

# Early rehospitalizations of frail elderly patients – the role of medications: a clinical, prospective, observational trial

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**Background and objective:** Early readmissions of frail elderly patients after an episode of hospital care are common and constitute a crucial patient safety outcome. Our purpose was to study the impact of medications on such early rehospitalizations.

**Patients and methods:** This is a clinical, prospective, observational study on rehospitalizations within 30 days after an acute hospital episode for frail patients over the age of 75 years. To identify adverse drug reactions (ADRs), underuse of evidence-based treatment and avoidability of rehospitalizations, the Naranjo score, the Hallas criteria and clinical judgment were used.

**Results:** Of 390 evaluable patients, 96 (24.6%) were rehospitalized. The most frequent symptoms and conditions were dyspnea (n = 25) and worsened general condition (n = 18). The most frequent diagnoses were heart failure (n = 17) and pneumonia/acute bronchitis (n = 13). By logistic regression analysis, independent risk predictors for rehospitalization were heart failure (odds ratio [OR] = 1.8; 95% CI = 1.1–3.1) and anemia (OR = 2.3; 95% CI = 1.3–4.0). The number of rehospitalizations due to probable ADRs was 13, of which two were assessed as avoidable. The number of rehospitalizations probably due to underuse of evidence-based drug treatment was 19, all of which were assessed as avoidable. The number of rehospitalizations not due to ADRs or underuse of evidence-based drug treatment was 64, of which none was assessed as avoidable.

**Conclusion:** One out of four frail elderly patients discharged from hospital was rehospitalized within 1 month. Although ADRs constituted an important cause of rehospitalization, underuse of evidence-based drug treatment might be an even more frequent cause. Potentially avoidable rehospitalizations were more frequently associated with underuse of evidence-based drug treatment than with ADRs. Efforts to avoid ADRs in frail elderly patients must be balanced and combined with evidence-based drug therapy, which can benefit these patients.

**Keywords:** frail elderly, early rehospitalizations, causes, drugs, patient safety

## Introduction Background

Frailty is a biological syndrome, implying reduced physiological reserves and vulnerability to stressors.<sup>1,2</sup> Frailty is highly associated with functional decline, activity limitations and prolonged recovery for the individual. It also predicts a high risk of being institutionalized and dying within a short time.<sup>3–5</sup> Frail elderly patients constitute a high percentage of individuals treated in specialized acute care units, and they are characterized by high use of health care resources.<sup>6,7</sup>

Early readmissions of frail elderly patients after an episode of hospital care are common<sup>8,9</sup> and constitute a crucial patient safety outcome and risk predictor. Early rehospitalization rates have been reported to be associated with age, comorbidity,

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length of hospital stay, polypharmacy, worsening of functional status,<sup>10</sup> severe morbidities at discharge, preadmission activities of daily living (ADL), malignant disease, dementia, high educational level,<sup>11</sup> frailty<sup>12</sup> and discharge from hospital based on patient's own request.<sup>13</sup> The most frequent diagnosis-related causes are cardiovascular disease and pulmonary disease.<sup>14</sup> Several risk prediction models for hospital readmission have been described,<sup>15,16</sup> and strategies to reduce readmissions have been outlined.<sup>17</sup> In a systematic review, however, most such interventions were reported to have limited, if any, effect.<sup>18</sup>

Adverse drug reactions (ADRs) are defined as "any noxious, unintended, and undesired effect of a drug, excluding therapeutic failures, intentional and accidental poisoning, and drug abuse."<sup>19</sup> Causality assessment scales have been widely used to determine the likelihood that an individual patient's condition indeed is an ADR, i.e., that a drug caused the undesirable condition.<sup>20,21</sup> There are also sets of criteria to assess the avoidability of an identified ADR.<sup>22</sup> Despite the presence of these scales, it can be very difficult to decide whether an adverse clinical event is really an ADR or due to worsening of the patient's disease.<sup>23,24</sup>

Of all hospital admissions in older patients, several studies have reported 6–12% to be due to ADRs.<sup>23,25–27</sup> However, in a recent large study, 3.3% of admissions of patients aged 65 years or older were reported to be ADR related,<sup>28</sup> while in another study it was 18%.<sup>29</sup> Some ADR-related hospitalizations are unavoidable, but a substantial proportion of hospital admissions for ADRs has been judged to be avoidable,<sup>29–31</sup> including ADRs due to missed contraindications, improper dosage, foreseeable drug interactions or reexposure of patients who have known drug allergies or other medication errors.<sup>32</sup> The main risk factors for ADR-related hospitalizations in older patients have been identified as advanced age, many drugs, multimorbidity and potentially inappropriate medications.<sup>23,33</sup>

ADRs are more frequently identified in frail elderly patients than in younger patients,<sup>34,35</sup> and they are more likely to cause hospitalizations.<sup>36</sup> This is attributed to somatic age-related changes in the metabolism, i.e., the capacity of elimination of drugs, polypharmacy and morbidity implying an increased vulnerability in various organs. ADR risk also increases with a larger comorbidity burden, inappropriate prescribing and suboptimal monitoring of drugs.<sup>37</sup> The drugs that most frequently are reported to have caused an ADR are cardiovascular drugs, drugs with central nervous effects, anticoagulants and nonsteroidal anti-inflammatory drugs (NSAIDs). The most common clinical manifestations

are falls, orthostatic hypotension, bleeding, delirium and renal failure.<sup>37</sup>

It has been pointed out that polypharmacy might be appropriate in some clinical contexts.<sup>28,38</sup> Most studies have focused on overuse, or inappropriate use of drug treatments. However, the opposite can also be of significant importance. In one study, 13% of hospital admissions were assessed to be medication related, and including also underuse of evidence-based regimens.<sup>39</sup> Of these admissions, 20% were classified as preventable. Underuse of drugs in an elderly care context has been reported, even when there is reasonable evidence for beneficial effects also for elders, e.g., regarding anticoagulants in atrial fibrillation,<sup>40,41</sup> Angiotensin converting enzyme (ACE) inhibitors/ angiotensin II receptor blockers (ARBs) and beta-blockers in heart failure<sup>42,43</sup> and anti-dementia drugs.<sup>44</sup> Focusing on avoiding ADRs might lead to reductions in the potential benefits of drug therapy.<sup>45</sup>

## Importance

Early rehospitalizations of frail elderly patients are common and constitute a problem for the individual patient as well as for the health care system from a socioeconomic perspective. Polypharmacy is common for these patients, and ADRs have been pointed out as an important cause of admissions. However, there are also data indicating that underuse of evidence-based drug treatments in the elderly might be common even when clearly indicated. From a patient safety perspective, it is important to give a balanced description of the causes of early readmissions of frail elderly patients, including the role of medications.

## Goals of the investigation

Our aim was to study the causes of early rehospitalizations of frail elderly patients, particularly in the context of medications, including both over- and underuse of evidence-based treatments.

## Patients and methods

### Study design and setting

This is a clinical, prospective, observational study. It was carried out at the NU County Hospital Group in the Västra Götaland region in Sweden between March 2013 and July 2015 within the study entitled "Is the treatment of frail elderly patients effective in an elderly care unit (TREEE)." The TREEE study was approved by the independent ethics committee at the Sahlgrenska University Hospital in Gothenburg, Sweden (8883-12, 20121212) and registered at the Swedish National Database of Research and Development; identifier

113021 (<http://www.researchweb.org/is/vgr/project/113021>; November 4, 2012).

## Selection of participants

The selection of participants and primary data collection has been previously described.<sup>46</sup> In summary, a total of 419 patients were included, 408 of whom could be evaluated. Inclusion criteria were as follows: age  $\geq 75$  years, screened as frail according to the validated F-Rail Elderly Support Research (FRESH) group screening instrument<sup>47</sup> and assessed as being in need of inpatient care. Two or more of the following criteria in the FRESH screening instrument implied frailty: tiredness from a short walk, general fatigue, frequent falls/anticipation of falls, dependence in shopping and three or more visits to the emergency ward during the past 12 months. Exclusion criteria included patients in acute need of care at an organ-specific medical unit, e.g., patients with an acute myocardial infarction or a strong suspicion of stroke. During the index care episode, 18 out of the 408 patients died before discharge. Thus, 390 patients who were discharged alive could be studied regarding early rehospitalizations and their causes (Figure 1). All patients provided written informed consent to participate.

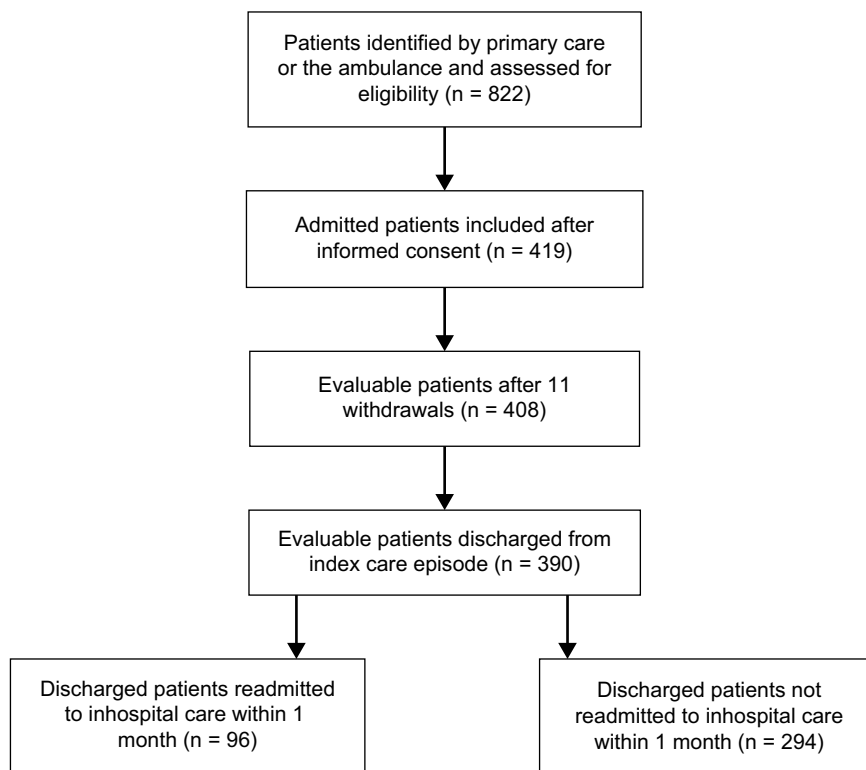
## Methods and measurements

### Clinical characteristics, hospital care consumption, rehospitalizations and mortality

The following data were collected from patients, medical records and registers during the index care episode and at the 3-month follow-up visit: age, gender, housing, diabetes mellitus, renal function, heart failure, other comorbidities, number of in-hospital care days, rehospitalizations and mortality. ADL independence/dependence was assessed by using the ADL staircase before discharge.<sup>48</sup> The patient's total burden of morbidity was measured by the Charlson Comorbidity Index.<sup>49</sup> The degree of frailty was determined using the FRESH screening instrument.<sup>47</sup> The risk of malnutrition was assessed by the Mini Nutritional Assessment – short form (MNA-SF).<sup>50</sup> Polypharmacy was defined as 10 or more drugs in one patient.

### Classification and characteristics of early rehospitalizations

Early rehospitalization was defined as occurring within 30 days from index hospitalization discharge. Classification of cause and characteristics of early rehospitalizations were made retrospectively from medical records by clinical



**Figure 1** Flowchart of patients with a history of rehospitalizations.

judgment and through scoring scales. Two senior clinicians independently classified the early rehospitalization cases into one of three categories based on the most probable main cause: 1) probably due to ADR according to clinical judgment and/or Naranjo scoring; 2) probably due to underuse of evidence-based drug treatment according to clinical judgment; and 3) probably not due to ADRs or underuse of evidence-based drug treatment. When the clinical judgment was discordant, a third clinician performed a final judgment.

The classification included the Naranjo score to identify an ADR as the cause of the condition.<sup>20,21</sup> A condition was classified as ADR if deemed probable or definite, i.e., if the Naranjo score was >4. Similarly probable avoidability of the rehospitalization was assessed by clinical judgment and, when an ADR was identified, the Hallas criteria.<sup>22</sup> If the Hallas criteria pointed out the ADR to be definitely avoidable, it was deemed to be avoidable in our study.

Early rehospitalization was classified as due to underuse of drug treatment when the absence of evidence-based treatment constituted the probable cause of the rehospitalization, and the indication was known at discharge and there was no absolute or relative contraindication.

## Statistical analysis

The data were computerized and analyzed using the SPSS Statistics for Windows, Version 22.0 (IBM Corporation, Armonk, NY, USA). Descriptive data are presented as mean, one SD and median (range). The Student's *t*-test was used to calculate the 95% CI of the mean. Categorical data were analyzed using Fisher's exact test or the  $\chi^2$  test, and the continuous data were compared using Student's *t*-test. When there was a significant difference, the Bonferroni post hoc test was used. A *p*-value of  $\leq 0.05$  was considered statistically significant. Regarding adjusted analysis of factors predicting early rehospitalizations, a logistic regression model was used.

## Results

### Characteristics of study subjects

Of 390 evaluable patients discharged from the index care episode, 96 patients (24.6%) were rehospitalized within 30 days, while 294 patients were not (75.4%). Baseline characteristics of both groups are presented in Table 1. In unadjusted analysis, the groups did not differ significantly in terms of age, gender, total morbidity burden, living in residential care, own living with home help services, marital status, ADL, MNA, frailty score, duration of index hospital stay and most of the studied

**Table 1** Baseline (index care episode) clinical and demographic characteristics of early readmitted patients (n=96) and patients not readmitted early (n=294), unadjusted analysis

Characteristics	Readmitted early (n = 96)	Not readmitted early (n = 294)	p-value
Age, mean (years) (SD)	85.7 (5.1)	85.7 (5.5)	0.960
Gender, male, n (%)	47 (49.0)	122 (41.5)	0.236
Charlson score, mean (SD)	6.8 (1.8)	6.8 (1.9)	0.984
ADL score, mean (SD)	4.9 (2.2)	4.4 (2.6)	0.064
Frailty score, mean (SD)	3.5 (0.95)	3.4 (0.84)	0.350
MNA, mean (SD)	10.2 (2.4)	10.2 (2.6)	0.968
Residential care,* n (%)	11 (11.5)	37 (12.6)	0.859
Own living with home help services, n (%)	38 (60.4)	146 (49.7)	0.078
Married/living in partnership, n (%)	26 (27.1)	105 (35.7)	0.136
Ischemic heart disease, n (%)	26 (27.0)	91 (31.0)	0.523
Chronic heart failure, n (%)	48 (50.0)	106 (36.1)	0.017
COPD, n (%)	18 (18.8)	57 (19.4)	1.000
Tumor, n (%)	12 (12.9)	52 (17.7)	0.269
Dementia, n (%)	7 (7.3)	37 (12.6)	0.194
Anemia, n (%)	64 (66.7)	138 (46.9)	0.001
Diabetes, n (%)	20 (20.8)	48 (16.3)	0.353
Renal function, GFR	38.9	53.1	0.155
Index diagnosis: acute heart failure, n (%)	37 (38.5)	85 (28.9)	0.077
Index diagnosis: bacterial infection, n (%)	46 (47.9)	129 (43.9)	0.555
Index diagnosis: myocardial infarction, n (%)	6 (6.2)	12 (4.1)	0.403
Reported cases with 10 or more drugs, n (%)	60 (62.5)	159 (54.0)	<0.001
LOS index, days, mean, n (SD)	10.5 (7.8)	10.0 (6.0)	0.552

**Note:** \*Living in care facilities.

**Abbreviations:** ADL, activities of daily living; COPD, chronic obstructive pulmonary disease; GFR, glomerular filtration rate; LOS, length of stay; MNA, Mini Nutritional Assessment.

diagnoses (all  $p > 0.05$ ). These data also indicate that disabilities, i.e., dependence or difficulty carrying out personal or instrumental ADL, were common among the participants in both groups. Both groups were heavily affected by diseases, particularly cardiovascular disease. The exceptions were that the early rehospitalized participants had a significantly higher baseline prevalence of chronic heart failure ( $p = 0.017$ ), anemia ( $p = 0.001$ ) and a higher prevalence of polypharmacy ( $p < 0.001$ ). By logistic regression analysis, independent predictors for early rehospitalization were anemia ( $p = 0.0031$ ) and chronic heart failure ( $p = 0.024$ ), and none of the other baseline variables ( $p > 0.05$ ).

## Main results

Admission characteristics of early rehospitalized patients are presented in Table 2. The symptoms and conditions which most frequently caused readmissions were dyspnea ( $n = 25$ ), worsened general condition/tiredness ( $n = 18$ ), pain ( $n = 15$ ), suspected infection ( $n = 14$ ) and vertigo/falling ( $n = 10$ ). The admission route was the ambulance for all these patients

**Table 2** Admission characteristics of early readmissions ( $n = 96$ )

Characteristics	N
Primary diagnosis at index hospitalization	
Pneumonia/exacerbation/acute bronchitis	21
Heart failure	19
Urinary tract infection	11
Other infectious disease	9
Pain	5
Arrhythmia	5
Acute coronary syndrome	5
Vertigo	4
Anemia	4
Others	13
Cause of readmission, condition	
Dyspnea	25
Worsened general condition/tiredness	18
Pain	15
Fever/infection	14
Vertigo/falling	10
Others	14
Primary diagnosis at early rehospitalization	
Heart failure	17
Pneumonia/exacerbation/acute bronchitis	13
Other infectious disease	10
Urinary tract infection	8
Stroke/TIA	7
Fracture	7
Arrhythmia	5
Myocardial infarction/angina pectoris	3
Pain	3
Bleeding/anemia	3
Others	20

**Abbreviation:** TIA, transient ischemic attack.

( $n = 96$ ). The four most frequent primary diagnoses at the index care episode for these patients in need of rehospitalization were pneumonia/exacerbation of chronic obstructive pulmonary disease (COPD)/acute bronchitis ( $n = 21$ ), heart failure ( $n = 19$ ), urinary tract infection ( $n = 11$ ) and other infectious disease ( $n = 9$ ). The four most frequent primary diagnoses at the early rehospitalization episode were heart failure ( $n = 17$ ), pneumonia/exacerbation of COPD/acute bronchitis ( $n = 13$ ), other infectious disease ( $n = 10$ ) and urinary tract infection ( $n = 8$ ).

Early rehospitalizations probably due to ADRs according to clinical judgment and/or Naranjo scoring ( $n = 13$ ) are presented in Table 3. The number of ADRs according to clinical judgment was 13, and according to the Naranjo score was 9. The nine patients with ADRs according to the Naranjo score were included in the 13 clinical judgment patients. Of these early rehospitalizations probably due to an ADR, two were classified as probably avoidable by clinical assessment. The same two patients were classified as probably avoidable by the Hallas score. None of these 13 patients died.

Early rehospitalizations identified through clinical judgment as probably due to underuse of evidence-based drug treatment, i.e., despite known indication at the index care episode and without known contraindication ( $n = 19$ ), are presented in Table 4. The most frequently identified indications, not treated with evidence-based drug treatment, were heart failure ( $n = 11$ ) and atrial fibrillation ( $n = 3$ ). Of these 19 early rehospitalizations probably due to underuse of evidence-based drug treatment, all were classified as probably avoidable by clinical assessment. None of these 19 patients died.

Early rehospitalizations identified through clinical judgment as probably not due to ADRs or underuse of evidence-based drug treatment ( $n = 64$ ) are presented in Table S1. None of these rehospitalizations were assessed as probably avoidable. Of these patients, eight died during the rehospitalization.

Regarding the comparison of the proportions of patients rehospitalized due to underuse of evidence-based drug treatment (19/96) and patients rehospitalized due to ADRs (13/96), there was no statistically significant difference ( $p = 0.333$ ). Regarding the comparison of the proportions of patients rehospitalized due to underuse of evidence-based drug treatment, assessed to be avoidable (19/96), and patients rehospitalized due to ADRs, assessed to be avoidable (2/96), there was a statistically significant difference ( $p < 0.0001$ ).

## Discussion

In this study, one out of four frail elderly patients was rehospitalized within 1 month after discharge from a hospitalization

**Table 3** Early rehospitalizations probably due to ADRs according to clinical judgment and/or Naranjo scoring<sup>§</sup>

Case	Primary diagnosis at index discharge	Main reported cause of rehospitalization	Primary diagnosis at discharge from rehospitalization	ADR, clinical judgment*	ADR, Naranjo score**	Probably avoidable rehospitalization, clinical judgment	Probably avoidable rehospitalization, Hallas score	Drug/drug class
1	Back pain	Constipation	Constipation	Yes	Yes, 5	No	No	Oxycodone/opioid
2	Heart failure	Constipation	Abdominal pain	Yes	Yes, 5	No	No	Citalopram/antidepressant
3	Urinary tract infection	Falling	Falling	Yes	No, 3	No	No	Carbamazepine/antiepileptic
4	Orthostatism	Fainting	Orthostatism	Yes	Yes, 6	No	No	Metoprolol/beta-blocker
5	Depression	Falling	Fracture (collum chirurgicum)	Yes	No, 4	No	No	Duloxetine/antidepressant
6	Heart failure	GI bleeding	GI bleeding	Yes	Yes, 6	No	No	Warfarin/anticoagulant
7	Heart failure	Falling	Hip pain	Yes	Yes, 5	Yes	Yes	Digoxin/digitalis glycoside
8	NSTEMI	GI bleeding	Enterocolitis	Yes	Yes, 5	No	No	ASA/salicylate + clopidogrel/P2Y12 inhibitor
9	Supraventricular tachycardia	Vertigo, palpitations	Supraventricular tachycardia	Yes	Yes, 5	No	No	Metoprolol/beta-blocker + felodipine/calcium channel blocker
10	Heart failure	Fever, diarrhea	Enterocolitis	Yes	Yes, 5	No	No	Clindamycin/macrolide
11	Pneumonia	Abdominal pain	Muscle hemorrhage	Yes	No, 3	No	No	Warfarin/anticoagulant
12	Heart failure	Nausea, tiredness	Renal cancer	Yes	Yes, 6	Yes	Yes	Digoxin/digitalis glycoside
13	Bronchitis	Tiredness	Urinary tract infection	Yes	No, 1	No	No	Furosemide/loopdiuretic + spironolactone/potassium-sparing diuretic
				13	9	2	2	

**Notes:** †Thirteen early rehospitalizations were classified as probably due to ADRs by clinical judgment and/or the Naranjo score. Among these cases, two rehospitalizations were classified as probably avoidable by clinical judgment and/or the Hallas score. \*Classified as probable ADR by two independent clinicians (Björn W Karlson and Göran Östberg). When the judgment was discordant, a third clinician (Niklas Ekerstad) performed judgment (case 6). \*\*ADR classified as probable according to the Naranjo score, i.e., >4. The figures denote Naranjo score. None of these patients died during the rehospitalization care episode.

**Abbreviations:** ADR, adverse drug reaction; ASA, acetylsalicylic acid; GI, gastrointestinal; NSTEMI, non ST elevation myocardial infarction.

**Table 4** Early rehospitalization probably due to drug underuse, according to clinical judgment<sup>§</sup>

Case	Primary diagnosis at index discharge	Indication for drug treatment known at index care episode	Absence of treatment at discharge from index – drug class*	Main reported cause of rehospitalization	Primary diagnosis at discharge from rehospitalization
1	Pneumonia	Pneumonia	Antibiotics	Dyspnea, cough	Pneumonia
2	Heart failure	Atrial fibrillation, CHADS-VASC 5	Anticoagulant	Paresis	TIA
3	Heart failure	Known previous stroke	ASA	Stroke	Cerebral infarction
4	COPD with exacerbation	Heart failure	Beta-blocker	Worsened general condition	Worsened general condition
5	Heart failure	Heart failure	ACE/ARB	Dyspnea	Heart failure
6	Urinary tract infection	Heart failure	Beta-blocker	Dyspnea	Heart failure
7	Unstable angina	Unstable angina	DAPT	Progressive chest pain	Chest pain
8	Abnormal ADH sekretion	Heart failure	Beta-blocker	Dyspnea	Heart failure
9	Malnutrition	Heart failure	ACE/ARB	Dyspnea, back pain	Heart failure
10	Sick sinus syndrome	Heart failure	ACE/ARB	Dyspnea	Heart failure
11	Pyelonefritis	Heart failure	ACE/ARB; beta-blocker	Tiredness	Worsened general condition
12	Enterocolitis ( <i>C. difficile</i> )	Enterocolitis ( <i>C. difficile</i> )	Antibiotics	Diarrhea	Enterocolitis ( <i>C. difficile</i> )
13	Pneumonia	Atrial fibrillation, CHADS-VASC	Anticoagulants	Paresis, aphasia	Cerebral infarction
14	Asthma	Heart failure	ACE/ARB	Dyspnea	Dyspnea
15	Heart failure	Heart failure	Beta-blocker	Dyspnea	Heart failure
16	COPD, exacerbation	Heart failure	ACE/ARB	Dyspnea	Heart failure
17	Heart failure	Heart failure	Beta-blocker	Tiredness	Infection, heart failure
18	COPD, exacerbation	COPD, exacerbation	Antibiotics	Dyspnea	COPD, exacerbation
19	Diverticulitis	Known paroxysmal atrial fibrillation	Anticoagulants	Dysarthria	Stroke

**Notes:** <sup>§</sup>Early rehospitalization classified as probably due to underuse of evidence-based drug treatment despite known indication at discharge from the index care episode. Classified as probably avoidable rehospitalization by two independent clinicians (Niklas Ekerstad and Björn W Karlson). \*Absence of evidence-based treatment constituting the probable cause of rehospitalization. Known indication and no known contraindication. Of these 19 early rehospitalizations probably due to underuse of evidence-based drug treatment, all were classified as probably avoidable by clinical assessment. None of these patients died during the rehospitalization care episode.

**Abbreviations:** ACE/ARB, angiotensin converting enzyme-inhibitor/angiotensin II receptor antagonist; ADH, antidiuretic hormone secretion; ASA, acetylsalicylic acid; *C. difficile*, *Clostridium difficile*; COPD, chronic obstructive pulmonary disease; DAPT, dual antiplatelet therapy; TIA, transient ischemic attack.

episode. The most frequently reported symptoms causing rehospitalization were dyspnea and tiredness, and the most frequently reported diagnoses were heart failure and infectious disease. Independent risk predictors for rehospitalization were heart failure and anemia at index hospitalization discharge.

ADRs were reported to be the main cause in 13 of the 96 (13.5%) rehospitalizations when assessment was performed through clinical judgment, and in nine of the 96 (9.4%) rehospitalizations when the Naranjo scale was used. In two of the 96 (2.1%) rehospitalizations, avoidable ADRs were assessed to be the main cause, according to clinical judgment as well as according to the Hallas avoidability criteria. The drugs most frequently reported to have caused an ADR were warfarin, digoxin and antidepressants. The most common clinical manifestations were bleeding, gastrointestinal symptoms and falls.

Underuse of evidence-based drug treatment, i.e., no treatment at discharge despite the known presence of an indication

and the absence of contraindication, was assessed through clinical retrospective judgment to be the main probable cause of rehospitalization in 19 of the 96 (19.8%) patients. All of these undertreatment cases were assessed as potentially avoidable. The diagnoses most frequently associated with underuse of evidence-based drug treatment were heart failure and atrial fibrillation. The drugs that consequently most frequently were reported to be underused were ACE inhibitors/ARBs, beta-blockers and anticoagulants.

The rate of early rehospitalizations in this study is higher compared to most previous reports.<sup>8,9</sup> This is most probably due to the characteristics of our study population including high age, multimorbidity and frailty, which are all considered to predict rehospitalizations.

ADRs constituted the probable main cause of readmission for 9.4–13.5% of the patients, which resembles the percentages reported in previous studies.<sup>23</sup> Only two avoidable ADRs as main cause of readmission were identified, i.e., 15.4% (2/13) up to 22.2% (2/9) of all ADRs causing

rehospitalizations. This proportion is lower than reported in some previous studies,<sup>30,31</sup> although similar to the percentage avoidable ADRs reported in a recent study.<sup>29</sup> Furthermore, extrapolating this proportion of rehospitalizations due to avoidable ADRs to a clinical population of frail elderly would imply that many patients are affected.

Underuse of evidence-based drug treatment was assessed to be the main probable cause in as much as 19.8% of rehospitalizations in our study, and all of these were assessed to be potentially avoidable. This was based on the presumption that the symptoms causing rehospitalizations, e.g., dyspnea from heart failure, might have been prevented or delayed by evidence-based drug therapy. This estimate might even be conservative, since in cases of a relative, rather than an absolute, contraindication we did not classify the rehospitalization as due to underuse of drug treatment. To our knowledge, very few trials have studied early rehospitalizations from this viewpoint. However, in one study, 13% of hospital admissions were assessed to be medication related including underuse of evidence-based regimens. Of these admissions, 20% were classified as preventable.<sup>39</sup>

Evidence-based use of drugs has a definite potential to benefit also elderly patients, in some cases even more than in younger patients, since the disease-related risk usually is higher. Despite that the majority of publications focus on drug overuse in the elderly, there are also indications of underuse of drugs, even when there is reasonable evidence for beneficial effects also for elders, e.g., regarding atrial fibrillation (anticoagulants) and heart failure (ACE inhibitors/ARBs and beta-blockers). Powerful efforts to avoid all possible ADRs might switch the benefit–risk balance and lead to suboptimal use of evidence-based drug therapy.<sup>45</sup>

It is a strength that our study included very frail elderly patients with a heavy comorbidity burden, while these patients would be excluded in most clinical trials. Further, most previous trials studying rehospitalizations of elderly have focused on ADRs, while our aim to describe both ADRs and underuse of drugs, i.e., a balanced perspective, comes closer to the considerations and trade-offs which have to be made in the daily clinical work. Moreover, it is a strength that we combined clinical assessment of ADRs and underuse of drugs with the use of two of the most common assessment scales, including judgment of the avoidability.

One limitation of the study is that it is based on secondary analyses, although in fact outlined in the original study protocol. Further, although the clinical assessments were made in an assessor-blinded fashion, the filling up of the assessment scales was not blinded. On the other

hand, the latter assessments did not differ markedly from the former ones, which points to a limited risk of bias. The study sample was mid-sized, and a larger number of patients would have strengthened the results. Further, an even broader approach regarding drug therapy could be valuable in future research.<sup>51–53</sup> We were not able to specifically investigate the effects of possible drug interactions in this study population. Thus, we cannot exclude the possibility that such interactions could have been involved in a few of the reported rehospitalizations.

Powerful patient safety efforts to avoid ADRs might lead to underestimation of the potential benefits of evidence-based drug therapy. This might have negative consequences for the elderly patients, and for the health care system as well. There is a need for larger studies of frail elderly patients, including evaluating rehospitalizations, which take both the perspective of underuse of drugs and ADRs into account.

## Conclusion

In this study, one out of four frail elderly patients discharged from hospital were rehospitalized within 1 month. Independent risk predictors for rehospitalization were heart failure and anemia. Although ADRs constituted an important cause of rehospitalizations, assessed underuse of evidence-based drug treatment might be an even more frequent cause. Moreover, potentially avoidable rehospitalizations were more frequently associated with underuse of evidence-based drug treatment than with ADRs, although this finding should be interpreted with caution, since the number of avoidable ADR readmissions was small in this study. Reasonable patient safety efforts made to avoid ADRs in frail elderly patients must be balanced and combined with evidence-based drug therapy which can benefit these patients. This implies a need for intensified educational efforts regarding drug therapy for frail elderly patients.

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## Disclosure

Björn W Karlson is an employee of AstraZeneca. The other authors report no conflicts of interest in this work.



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## Supplementary material

**Table S1** Early rehospitalizations probably not due to ADRs or underuse of evidence-based drug treatment

Case	Primary diagnosis at index discharge	Main reported cause of rehospitalization	Primary diagnosis at discharge from rehospitalization	Probably avoidable rehospitalization	Death at rehospitalization
1	COPD with exacerbation	Dyspnea	COPD with exacerbation	No	No
2	Stroke	Dyspnea	Bronchitis	No	No
3	Septicemia	Dyspnea	Septicemia	No	No
4	Septicemia	Wound	Orthopedic care	No	No
5	Wound infection	Paresis	Cerebral infarction	No	Yes
6	Septicemia	Tiredness	Endocarditis	No	No
7	Pneumonia	Coughing	Pneumonia	No	No
8	Atrial fibrillation	Nausea	Atrial fibrillation	No	No
9	Myocardial infarction	Dyspnea	Heart failure	No	No
10	Nausea	Swollen urogenital organ	Paraphimosis	No	No
11	Atrial fibrillation	Dyspnea	Dyspnea	No	No
12	Urinary tract infection	Worsened general condition	Urinary tract infection	No	No
13	Urinary tract infection	Abdominal and back pain	Vertebral compression	No	No
14	Urinary tract infection	Falling	Tibia fracture	No	No
15	Heart failure	Dyspnea	Septicemia	No	Yes
16	Pain	Foot pain	Foot distorsion	No	No
17	Pneumonia	Fever	Pneumonia	No	No
18	Anemia	Tiredness	Calici infection	No	No
19	Nausea	Malnutrition	Hiatus hernia	No	No
20	Pneumonia	Dyspnea	Pneumonia	No	No
21	Heart failure	Chest pain	Cholecystitis	No	No
22	Anemia	Fainting	Pulmonary edema	No	Yes
23	Coughing	Headache	Blood pressure fall	No	No
24	Myocardial infarction	Chest pain	Myocardial infarction	No	No
25	Pulmonary hypertension	Heart failure	Heart failure	No	No
26	Pneumonia	Coughing	Urinary tract infection	No	No
27	Vertebral compression	Dysarthria	Cerebral infarction	No	No
28	Anemia	Worsened general condition	Septicemia	No	No
29	Septicemia	Tiredness	Urinary tract infection	No	No
30	Urinary tract infection	Hip fracture	Hip fracture	No	No
31	Endocarditis	Absence attack	Urinary tract infection	No	No
32	Anemia	Tiredness	Anemia	No	No
33	Heart failure	Tiredness	Heart failure	No	No
34	Heart failure	Falling	Heart failure	No	Yes
35	Headache	Atrial fibrillation	Atrial fibrillation	No	No
36	Heart failure	Fever	Diverticulitis	No	No
37	Pneumonia	Tiredness	Urinary bladder cancer	No	No
38	Dermal infection	Suspected infection	Dermal infection	No	No
39	Heart failure	Heart failure	ACE/ARB	Dyspnea	Heart failure
40	Pulmonary embolism	Abdominal pain	Pleural effusion	No	No
41	Vertigo	Worsened general condition	Vertigo	No	No
42	COPD with exacerbation	Dyspnea	COPD with exacerbation	No	No
43	Myocardial infarction	Paresis	Cerebral infarction	No	No
44	Heart failure	Chest pain	Angina pectoris	No	No
45	Erysipelas	Leg ulcers	Peripheral arterial insufficiency	No	No
46	Vertigo	Vertigo	Vertigo	No	No
47	Pneumonia	Coughing	Tiredness	No	No
48	Pneumonia	Dyspnea	Pulmonary embolism	No	No
49	Hip fracture	Abdominal pain	Ileus	No	No
50	COPD with exacerbation	Dyspnea	COPD with exacerbation	No	Yes
51	Urinary tract infection	Fever	Urinary tract infection	No	Yes

(Continued)

**Table S1** (Continued)

Case	Primary diagnosis at index discharge	Main reported cause of rehospitalization	Primary diagnosis at discharge from rehospitalization	Probably avoidable rehospitalization	Death at rehospitalization
52	Pneumonia	Hand pain	Pneumonia	No	No
53	COPD, exacerbation	COPD, exacerbation	Antibiotics	Cough, dyspnea	COPD, exacerbation
54	COPD with exacerbation	Dyspnea	Heart failure	No	No
55	Pneumonia	Coughing	Pneumonia	No	Yes
56	Heart failure	Worsened general condition	Pneumonia	No	No
57	Cholecystitis	Hip pain	Hip fracture	No	No
58	Heart failure	Cardiac arrest	Cardiac arrest	No	Yes
59	Atrial fibrillation	Chest pain	Chest pain	No	No
60	Vertebral compression	Bleeding	Vertebral fracture	No	No
61	Urinary tract infection	Fever	Utero-vaginal prolapsis	No	No
62	Orthostatism	Falling	Encephalopatia	No	No
63	Pleural effusion	Dyspnea	Dyspnea	No	No
64	Chest pain	Chest pain	Angina pectoris	No	No

**Abbreviations:** ADR, adverse drug reaction; COPD, chronic obstructive pulmonary disease.

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