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# Early risk assessment for COVID-19 patients from emergency department data using machine learning

## Authors

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## Keywords

SARS-CoV-2, COVID-19, machine learning, electronic healthcare records, risk factors, critical care, mechanical ventilation, mortality

## Running title

COVID-19 patient risk assessment using machine learning

## 33 Abstract

34 **Background** Since its emergence in late 2019, the severe acute respiratory syndrome  
35 coronavirus 2 (SARS-CoV-2) has caused a pandemic, with more than 4.8 million reported  
36 cases and 310 000 deaths worldwide. While epidemiological and clinical characteristics of  
37 COVID-19 have been reported, risk factors underlying the transition from mild to severe  
38 disease among patients remain poorly understood.

39  
40 **Methods** In this retrospective study, we analysed data of 820 confirmed COVID-19 positive  
41 patients admitted to a two-site NHS Trust hospital in London, England, between January 1<sup>st</sup>  
42 and April 23<sup>rd</sup>, 2020, with a majority of cases occurring in March and April. We extracted  
43 anonymised demographic data, physiological clinical variables and laboratory results from  
44 electronic healthcare records (EHR) and applied multivariate logistic regression, random  
45 forest and extreme gradient boosted trees. To evaluate the potential for early risk  
46 assessment, we used data available during patients' initial presentation at the emergency  
47 department (ED) to predict deterioration to one of three clinical endpoints in the remainder of  
48 the hospital stay: A) admission to intensive care, B) need for mechanical ventilation and C)  
49 mortality. Based on the trained models, we extracted the most informative clinical features in  
50 determining these patient trajectories.

51  
52 **Results** Considering our inclusion criteria, we have identified 126 of 820 (15%) patients that  
53 required intensive care, 62 of 808 (8%) patients needing mechanical ventilation, and 170 of  
54 630 (27%) cases of in-hospital mortality. Our models learned successfully from early clinical  
55 data and predicted clinical endpoints with high accuracy, the best model achieving AUC-  
56 ROC scores of 0.75 to 0.83 (F1 scores of 0.41 to 0.56). Younger patient age was associated  
57 with an increased risk of receiving intensive care and ventilation, but lower risk of mortality.  
58 Clinical indicators of a patient's oxygen supply and selected laboratory results were most  
59 predictive of COVID-19 patient trajectories.

60  
61 **Conclusion** Among COVID-19 patients machine learning can aid in the early identification of  
62 those with a poor prognosis, using EHR data collected during a patient's first presentation at  
63 ED. Patient age and measures of oxygenation status during ED stay are primary indicators of  
64 poor patient outcomes.

## 65 Introduction

66 COVID-19, caused by the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), is  
67 a novel infectious disease that leads to severe acute respiratory distress in humans. In March  
68 2020, the World Health Organisation declared the outbreak a pandemic and, by May 19<sup>th</sup>, it  
69 had caused more than 4 800 000 confirmed cases and 310 000 deaths worldwide [1].  
70 Disease severity for COVID-19 appears to vary dramatically between patients, including  
71 asymptomatic infection, mild upper respiratory tract illness and severe viral pneumonia with  
72 acute respiratory distress, respiratory failure and thromboembolic events that can lead to  
73 death [2–4]. Initial reports suggest that 6%-10% of infected patients are likely to become  
74 critically ill, most of whom will require mechanical ventilation and intensive care [3,5].

75 Currently, few prognostic markers exist to forecast whether a COVID-19 patient may  
76 deteriorate to a critical condition and require intensive care. In general, patients can be  
77 grouped into three phenotypes, being at risk of thromboembolic disease, respiratory  
78 deterioration and cytokine storm [6]. Early clinical reports find that age, sex and underlying  
79 comorbidities, such as hypertension, cardiovascular disease and diabetes, can adversely  
80 affect patient outcomes [7,8]. However, few studies have leveraged machine learning to  
81 systematically explore risk factors for poor prognosis.

82 Increasingly, hospitals collate large amounts of patient data as electronic healthcare  
83 records (EHRs). Combined with state-of-the-art machine learning algorithms, these data can  
84 help to predict patient outcomes with greater accuracy than traditional methods [9,10].  
85 However, EHR data for COVID-19 remains scarce in the public domain, prompting many  
86 authors to focus on statistical analyses instead [11–14]. Where machine learning has been  
87 applied to COVID-19, results have been promising, but most studies suffer from a lack of  
88 statistical power owing to small sample size [15–18]. Jiang *et al.* applied predictive analytics  
89 to data from two hospitals in Wenzhou, China, which included 53 hospitalised COVID-19  
90 patients, to predict risk factors for acute respiratory distress syndrome (ARDS) [15]. Exploring  
91 the risk factors for in-hospital deaths, Zhou and co-workers used univariate and multivariate  
92 logistic regression on data of 191 patients in two hospitals in Wuhan, China [16]. Similarly, Xie  
93 *et al.* used logistic regression to predict mortality, training a model on 299 patients and  
94 validating it on 145 patients from a different hospital in Wuhan, China [18]. Gong *et al.* used a  
95 logistic regression model to identify patients at risk of deterioration to severe COVID-19,  
96 applied to the data of 189 patients in Wuhan and Guangdong, China [17].

97 A key factor that determines the success of risk prediction models is the quality and richness  
98 of the available data. Studies to date have used a combination of demographics,  
99 comorbidities, symptoms, and laboratory tests [15–17,19]. These data typically comprise the  
100 patients' entire historical record, as well as observations collected during the current hospital  
101 stay [16,18–20]. While the inclusion of a patient's full EHR history improves predictive  
102 performance, such approaches may be limited in their clinical applicability to early risk-  
103 assessment; at the point of presentation in hospital, the entire EHR of a patient is rarely  
104 available.

105 In this work, we retrospectively apply machine learning to data of 820 confirmed COVID-19  
106 patients from two tertiary referral urban hospitals in London to predict patients' risk of  
107 deterioration to one of three clinical endpoints: A) admission to an adult intensive care unit  
108 (AICU), B) need for mechanical ventilation, and C) in-hospital mortality. We restrict our  
109 analysis to EHR data available during a patient's first presentation in the emergency  
110 department (ED) as this more accurately resembles the hospital reality of early-risk

111 assessment and patient-stratification. Our analysis provides a proof of principle for COVID-19  
112 risk assessment, with models achieving a high prediction performance, indicating that patient  
113 age, oxygenation status and selected laboratory tests are prime indicators of patient  
114 outcome.

115

## 116 Methods

### 117 Data collection and study design

118 Anonymised EHR data of patients admitted to two hospitals in London, England, between  
119 January 1<sup>st</sup>, 2020 and April 23<sup>rd</sup>, 2020, were gathered by Chelsea & Westminster NHS  
120 Foundation Trust (NHS Trust, hereafter). The data was supplied in accordance with internal  
121 information governance review, NHS Trust information governance approval, and General  
122 Data Protection Regulation (GDPR) procedures outlined under the Strategic Research  
123 Agreement (SRA) and relative Data Sharing Agreements (DSAs) signed by the NHS Trust and  
124 Sensyne Health plc on 25th July 2018.

125 Data encompasses clinical observations collated from inpatient encounters. The analysis was  
126 restricted to adult patients aged between 18 and 100 years at the time of their most recent  
127 hospital admission (assumed to be the COVID-19-related admission). Only confirmed SARS-  
128 CoV-2 positive patients, as determined by quantitative reverse-transcription PCR (qRT-PCR),  
129 were included. 65% of patients were male and 35% female (Table 1). The majority were white  
130 British (28%) or did not state their ethnicity (24%) (see also Fig. S1). All clinical features and  
131 their coverage in the data set are listed in Table S1. Features include patient demographics (3  
132 in total), vital signs (4 in total), laboratory measurements and clinical observations (60 in total).  
133 For vital signs and laboratory measurements, patients may have received multiple test results  
134 during their stay. These values were aggregated for each feature to only retain the respective  
135 minimum, maximum, mean and last observation value. Only clinical features with at least 5%  
136 coverage in the patient population were considered. The data set covered the patient's entire  
137 encounter history from their admission to the hospital's ED, with a median length of stay in  
138 that department of 5 hours, to their discharge. The median length of in-hospital stay was 7.2  
139 days.

140

### 141 Cohort definition

142 A total of 3229 patients fell within the observation time and study parameters. From these  
143 patients, three cohorts were derived, one for each clinical endpoint, as follows (see Fig. S2  
144 for flow diagram and patient numbers). Only confirmed COVID-19 positive patients were  
145 considered. Patients who did not have information relating to an admission to any hospital  
146 department in 2020 were excluded. Furthermore, the following exclusion criteria were applied  
147 to each of the considered endpoints: for cohort A) patients without a documented ward  
148 location were excluded; for cohort B) patients without information on oxygen supply were  
149 excluded; for cohort C) patients without hospital discharge information were excluded.  
150 Finally, since our models were trained on data available during a patient's stay in the ED, we  
151 removed patients who did not have a documented ED visit.

152

153 Each cohort was divided into target and control groups (see Table 2). For AICU admission,  
154 target patients comprise those that were admitted to an AICU at any time during their  
155 hospital stay, while control patients are those that remained in any other ward for their entire  
156 admission. Target patients in the ventilation cohort were defined as requiring invasive

157 mechanical ventilation, whereas control patient required no or only minimal breathing  
 158 assistance. Both categories are based on clinical records of oxygen supply according to  
 159 Table 3. Note that from clinical data the total number of mechanically ventilated patients was  
 160 135, however only 62 were visible in our data. This results from staggered deployment of  
 161 EHR data in the two hospitals such that one site is understood to lack certain data related to  
 162 mechanical ventilation. Mortality data was based on the discharge destination (mortuary) in  
 163 clinical records. All regularly discharged patients or patients remaining in hospital were  
 164 considered alive.

165  
 166 *Table 1. Composition of patient population.*

Demographics	
Patient age (years)	
Range	18-100 169
Overall mean (standard deviation)	67.3 (16.8) 170
Female mean (standard deviation)	70.3 (17.2) 171
Male mean (standard deviation)	65.8 (16.4) 172
Sex (number of patients)	
Female	286 (34.9%) 174
Male	533 (65.0%) 175
unknown	1 (0.1%) 176
Ethnicity (number of patients)	
White British	230 (28%) 177
Not Stated	196 (23.9%) 179
Ethnic Other	97 (11.8%) 180
White Other	76 (9.3%) 181
Asian Indian	63 (7.7%) 182
Asian Other	39 (4.8%) 183
Unknown	29 (3.5%) 184
Black African	24 (2.9%) 185
Black Caribbean	23 (2.8%) 186
Asian Pakistani	11 (1.3%) 187
Black Other	10 (1.2%) 188
Others	22 (2.7%) 189

190  
 191 *Table 2. Clinical endpoint cohorts.*

	Cohort A (AICU admission)	Cohort B (ventilation)	Cohort C (mortality)
Number of patients	820	808	630
Target patients	126 (15%)	62 (8%)	170 (27%)
Control patients	694 (85%)	742 (92%)	460 (73%)

192  
 193 *Table 3. Target and control definition for ventilation cohort.*

Category	Clinical observation value
Control	room air, air/none, nasal cannulae, high flow nasal cannulae, venturi mask, face mask, non-rebreather mask, simple face mask, swedish nose with, oxygen, mask, HFOV, face/tracheostomy mask, CPAP, BiPAP
Target	ventilator, tracheostomy, CMV, VC-CMV, t-piece, HELIOX, IPPV, SIMV, PC-BIPAP, APRV, CPAP / ASB_SPN / CPAP/PS



## 194 Patient outcome prediction

195 Three machine-learning algorithms were benchmarked to predict patient outcomes from EHR  
196 data: logistic regression, random forest and Extreme Gradient Boosted Trees (XGBoost).  
197 Logistic regression, which predicts the probability of a clinical endpoint as a linear function of  
198 the feature space, was used as a baseline algorithm. The model was regularised with elastic  
199 net using equal weighting given to  $L_1$  and  $L_2$  penalties in order to account for the high  
200 dimensionality of the data set relative to the number of observations. A random forest [21],  
201 i.e., an ensemble of decision trees where each tree is trained on a slightly different subset of  
202 data, was trained using 100 trees and splits were evaluated using Gini impurity. Classes were  
203 inversely weighted to account for the class imbalance present in the data set. An XGBoost  
204 algorithm [22] was trained with its hyperparameters set to 100 trees, max tree-depth of 6,  
205 step-shrinkage of 0.3, no subsampling and  $L_2$  regularisation, to minimize log-loss. This tree-  
206 based algorithm trains decision trees sequentially, with each new tree being trained on the  
207 residuals of previous trees.

## 209 Performance evaluation

210 All models were evaluated using a stratified 3-fold cross-validation strategy. Results are  
211 reported as mean and standard deviation across these folds. Predictive performance was  
212 measured in terms of area under curve (AUC) of the receiver operating characteristic (ROC)  
213 as well as F1 score at each model's ideal classification threshold as derived from the ROC  
214 curve. Given the presence of class-imbalance, precision-recall curves were also computed to  
215 assess expected real-world performance relative to random classifiers.

216  
217 In order to extract the clinical features most relevant to predictions, permutation feature  
218 importance (PFI) was calculated for each model post-hoc [21,23]. Each feature was  
219 individually randomised. The model's AUC-ROC on the validation sets was then compared to  
220 the AUC-ROC before the feature had been randomised. PFI provides an estimate of the  
221 extent to which a model relies on a feature for its predictive performance and generalisability.  
222 The changes in performance were normalised by the sum of absolute changes over all  
223 features. Averages and standard deviations over the validation sets have been reported.

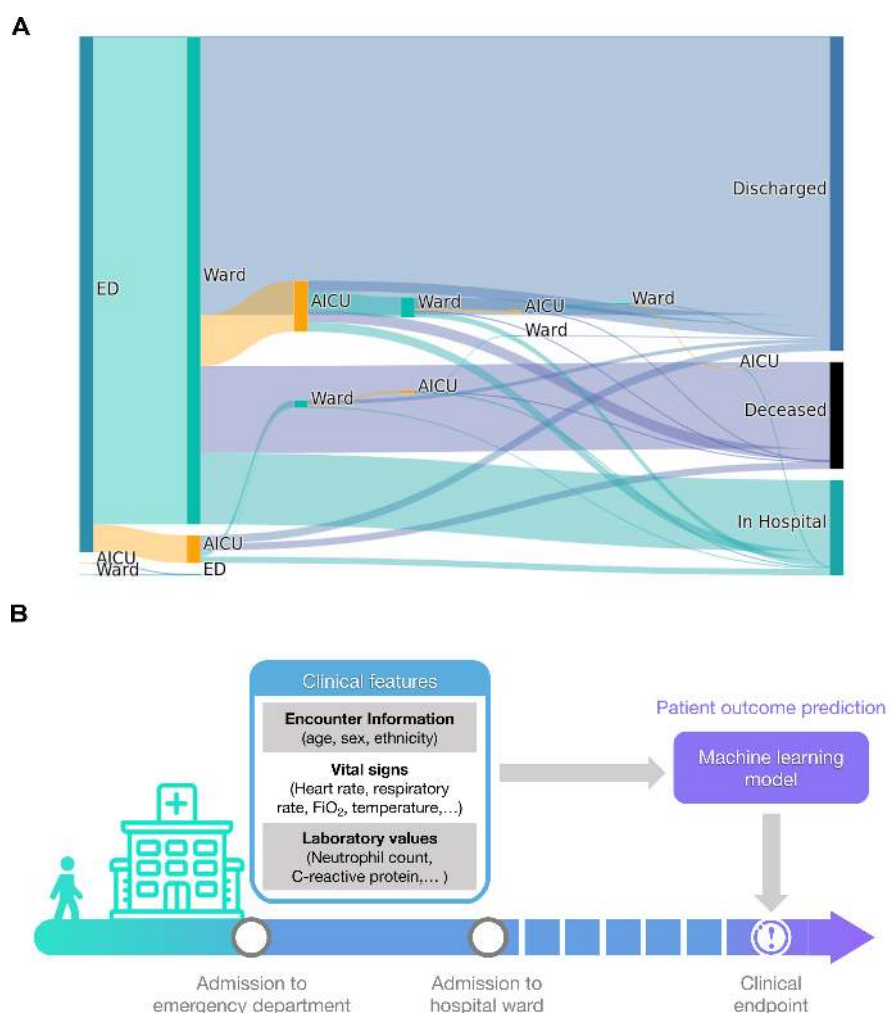
224  
225 Accumulated local effects (ALE) were computed to determine the directionality of a feature's  
226 effect on model predictions [24]. Specifically, the feature space was divided into ten  
227 percentile bins and each feature's effect was calculated as the difference in predictions  
228 between the upper and lower bounds of each bin, leaving all other features unchanged.  
229 Binning features in this way can reduce the influence of correlated features often encountered  
230 when trying to isolate the effect of a single feature.

## 231 Results

### 232 Patient pathways

233 A summary of observed patient in-hospital pathways is shown in Figure 1A. Of the 820  
234 patients in cohort A, which we present as an example, 818 (99.8%) entered the hospital via  
235 the ED, while 1 (0.1%) and 1 (0.1%) patients were admitted directly to a ward and the AICU,  
236 respectively. Upon leaving the ED, 775 (94.5%) patients transitioned to regular wards and 44  
237 (5.4%) to an AICU. Of the 775 patients in regular wards, 81 (10.5%) patients required  
238 subsequent admission to an AICU, 441 (57%) were discharged, 113 (14.5%) remained in  
239 hospital and 138 (18%) succumbed to the infection. From the 126 patients that have been  
240 admitted to an AICU, 57 (37%) were ultimately discharged, 32 (35%) did not survive and 37  
241 (29%) are still in hospital. Patients' median length of stay in ED was 5 hours (IQR 3.45 hours).  
242 During this time, demographic information, vitals and laboratory values were collected (Fig.  
243 1B). To aid an early patient stratification, our models use data collected during the ED stay  
244 only to predict whether a patient reached any of three clinical endpoints during their  
245 subsequent admission.

246



247

248 **Figure 1. Patient pathways and outcome prediction.** (A) Patient transitions between hospital departments are  
249 shown as bands proportional in size to patient numbers. Different departments are indicated by rectangles (ED,  
250 emergency department; Ward, regular hospital ward; AICU, adult intensive care unit). Patients who remain in  
251 hospital, are being discharged or die in hospital are indicated on the right. (B) Patient outcome prediction  
252 models use clinical data recorded within the ED stay of a patient to predict clinical endpoints during the  
253 remainder of the in-hospital stay.

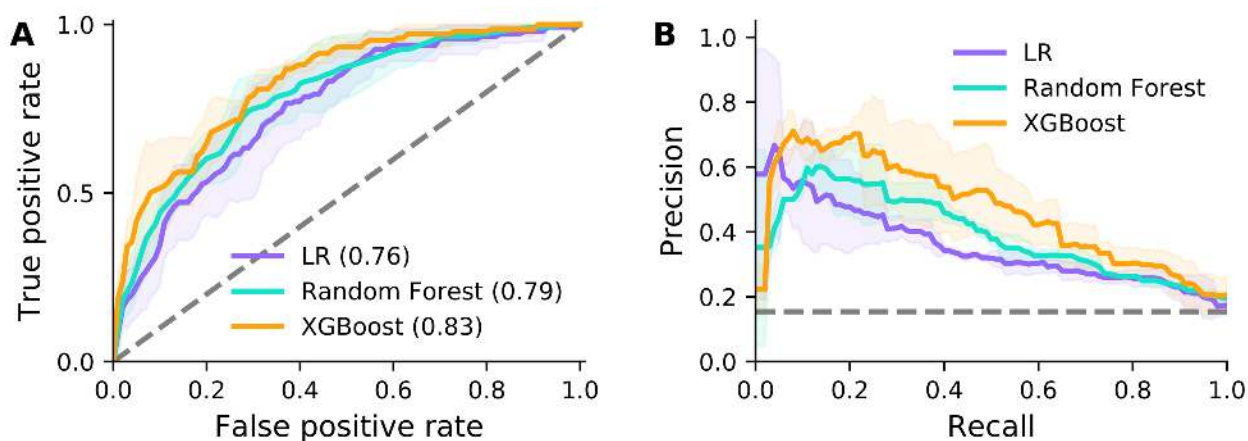


254

255 **AICU admission**

256 First, we studied patients transitioning to critical care and requiring admission to an AICU. All  
 257 three models reach good prediction performance on this endpoint, as measured by area  
 258 under the curve (AUC) of the receiver operating characteristic (ROC) and precision-recall  
 259 curves, significantly outperforming random classifiers (Fig. 2). The best performing model,  
 260 XGBoost, reaches an AUC-ROC of 0.83 and an F1 score of 0.51. Both tree-based methods  
 261 perform better than logistic regression (Table 4). This is to be expected since logistic  
 262 regression cannot model interactions between features unless such interactions are explicitly  
 263 encoded into the training data set through feature engineering. All models show a moderate  
 264 amount of variability across cross-validation folds (notice standard deviations in Fig. 2 and  
 265 Table 4), which can compromise subsequent analyses. This instability originates from the  
 266 limited number of patients and high class imbalance between target and control patients (see  
 267 Table 2). Specifically, in each of the three cross-validation folds the models are only trained  
 268 and validated on two thirds and one third of the data set, respectively, leaving few target  
 269 patients for these tasks.

270



271

272 *Figure 2. Prediction performance for AICU admission. Model performance for the logistic regression (LR),*  
 273 *random forest and XGBoost models are shown as ROC (A) and precision-recall curves (B). AUC under ROC is*  
 274 *provided in brackets. Solid lines and shaded areas indicate the mean and standard deviation across three*  
 275 *cross-validation folds, respectively. Dashed lines indicate random classifiers.*

276

277 *Table 4. Model performance on clinical endpoint prediction (standard deviation shown in brackets).*

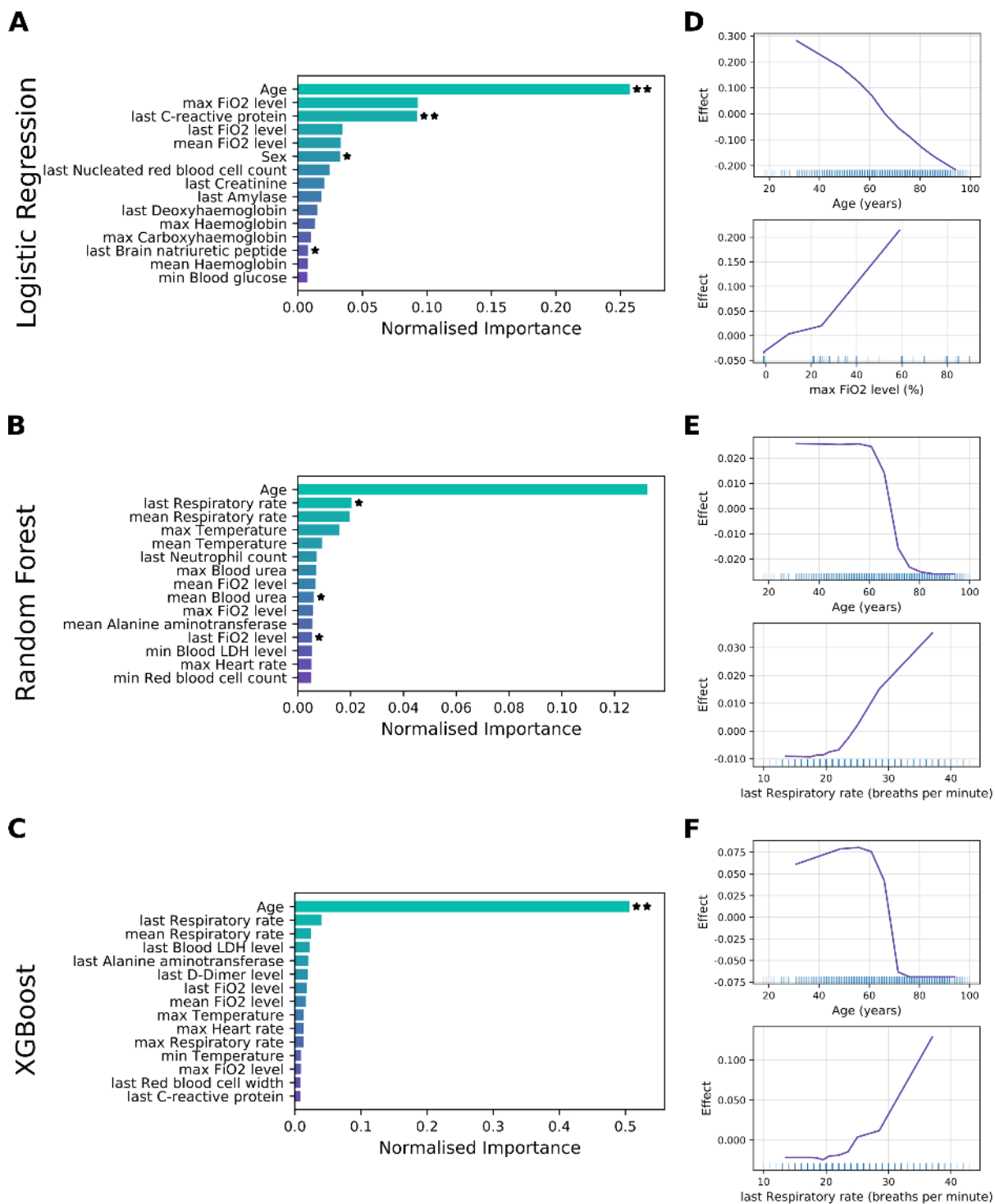
Model	Endpoint A (AICU admission)		Endpoint B (ventilation)		Endpoint C (mortality)	
	AUC	F1	AUC	F1	AUC	F1
Logistic regression	0.76 (0.067)	0.40 (0.029)	0.79 (0.097)	0.41 (0.083)	0.66 (0.030)	0.50 (0.035)
Random forest	0.79 (0.058)	0.41 (0.031)	0.81 (0.045)	0.37 (0.081)	<b>0.75</b> (0.016)	0.55 (0.039)
XGBoost	<b>0.83</b> (0.045)	<b>0.51</b> (0.037)	<b>0.83</b> (0.083)	<b>0.41</b> (0.052)	0.74 (0.011)	<b>0.56</b> (0.035)

278

279 Next, we assessed which clinical variables contribute the most to model predictions by  
 280 applying PFI. Figure 3A presents the 15 most important features for the logistic regression  
 281 with elastic net regularisation. Note that clinical variables that can be recorded multiple times

282 during a patient's ED visit were aggregated to retain only the minimum, maximum, mean and  
283 last observation value during the ED stay. Patient age, C-reactive protein and sex reached  
284 high importance and significance over cross-validation folds for the logistic regression.  
285 Moreover, the fraction of inspired oxygen (FiO<sub>2</sub>) contributes to predictions, albeit without  
286 being significant. The random forest (Fig. 3B) and XGBoost (Fig. 3C) models assign a higher  
287 importance to patient age, with respiratory rate following thereafter. Intriguingly, ALE analyses  
288 reveal that lower patient age increases the likelihood of AICU admission in all three  
289 models (Figs. 3D-F). This agrees well with a bias towards younger patients when comparing  
290 AICU-admitted patients with control patients (Fig. S3A). However, clinical indicators of  
291 disease severity, such as C-reactive protein and ferritin levels, show no clear trend across  
292 age groups (Fig. S4). We also find that the fraction of inspired oxygen (Fig. 3D) and  
293 respiratory rate (Figs. 3E and F) exhibit a positive effect on AICU admission probability.

294 In summary, machine learning algorithms can predict those patients most likely to require  
295 AICU admission in COVID-19 patients from EHR data available during the initial ED stay with  
296 high precision. Patient age and indicators of oxygenation status are strong indicators of  
297 patient outcome, with advanced age decreasing the probability of AICU admission.



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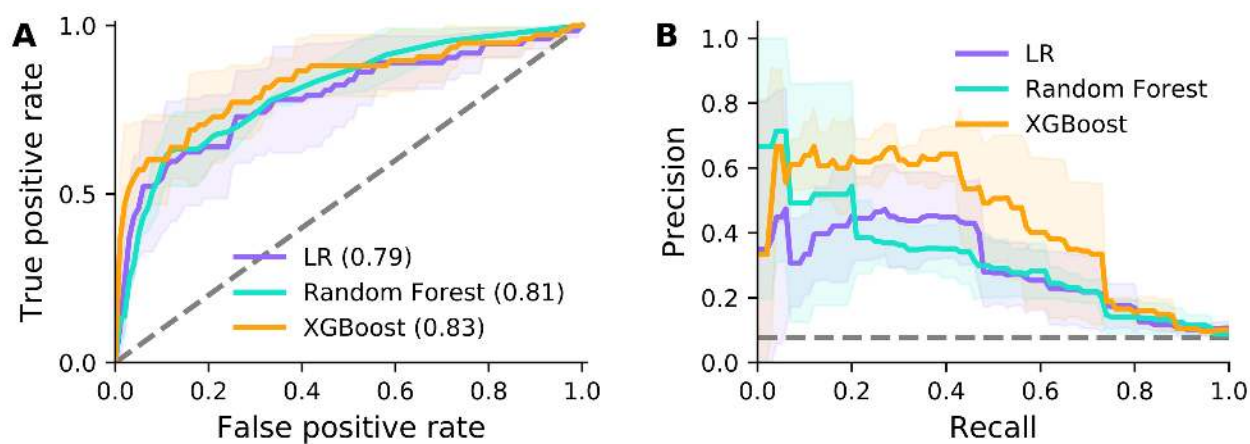
300 *Figure 3. Feature importance for AICU admission. (A-C) Permutation feature importance for the logistic*  
 301 *regression (A), random forest (B) and XGBoost (C) models. Only the top 15 features are shown. Asterisks mark*  
 302 *features with importance scores significantly different from zero across three cross-validation folds with t-test p-*  
 303 *value thresholds of 5% (\*) and 1% (\*\*). (D-F) Accumulated local effects plots for the logistic regression (D),*  
 304 *random forest (E) and XGBoost models (F). The top two features according to permutation feature importance*  
 305 *are shown for each model. Vertical bars at the bottom indicate feature values observed in the data set.*

306  
307

308 **Mechanical ventilation**

309 For mechanical ventilation prediction, we categorised patients into those that needed a  
310 ventilator (e.g., patients receiving SIMV, BIPAP or APRV ventilation) and control patients that  
311 either were able to breathe normally or required minimal assistance (e.g., those patients  
312 receiving oxygen via nasal cannulae or face masks). Prediction performance on this endpoint  
313 is comparable to prediction of AICU admission (Fig. 4). Specifically, XGBoost performs best,  
314 reaching an AUC of 0.83, while logistic regression and random forest reach 0.79 and 0.81,  
315 respectively (Table 4). This result is expected since most patients receive mechanical  
316 ventilation in AICU, meaning the ventilation cohort is a subset of the critical care cohort (56 of  
317 62 target patients in Cohort B are target patients in Cohort A). Notably, all models show a  
318 decrease in stability in predicting this clinical endpoint. This is most likely due to a higher  
319 class-imbalance and lower number of patients receiving ventilation.

320



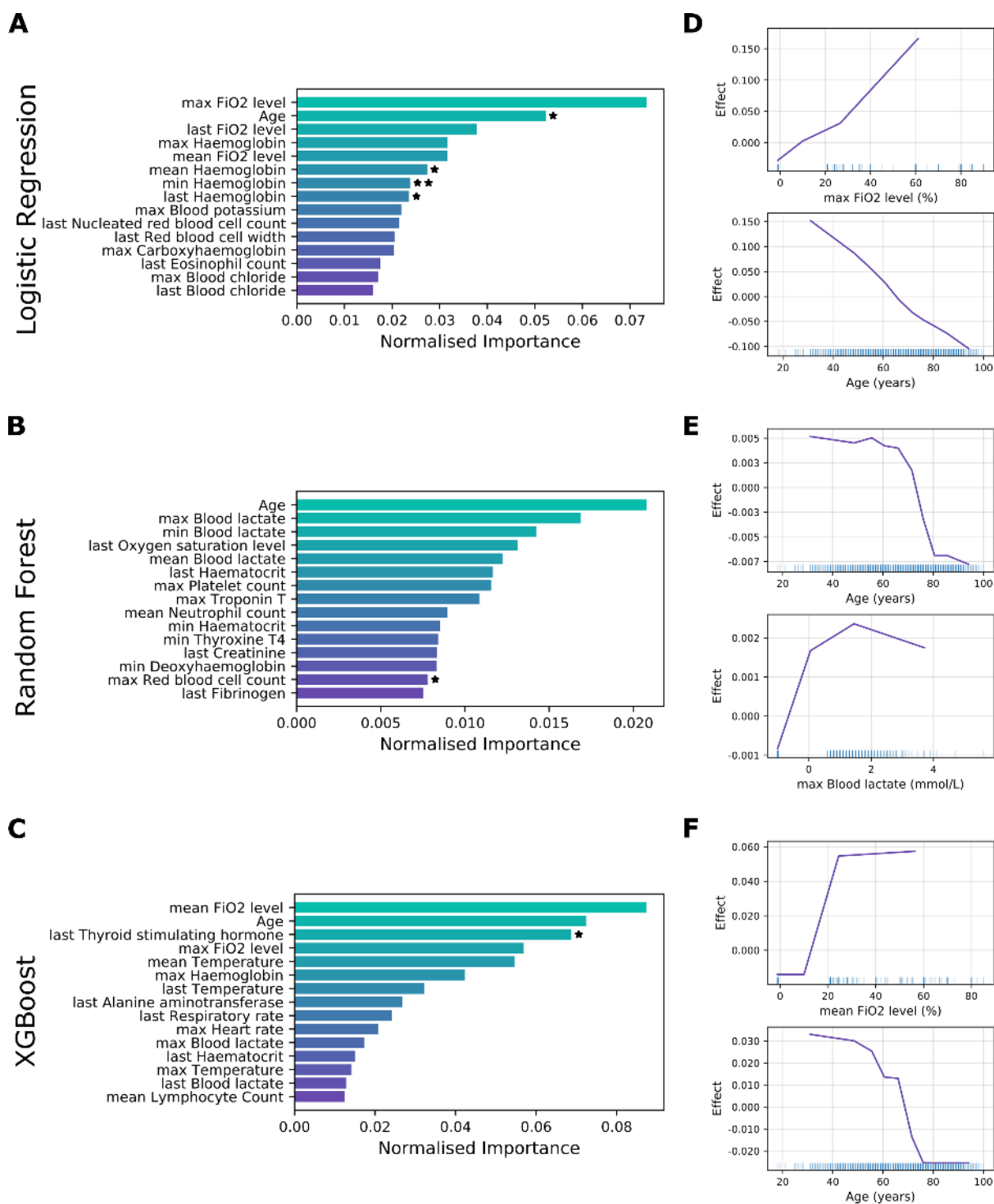
321

322 **Figure 4. Prediction performance for mechanical ventilation.** Model performance for the logistic regression (LR),  
323 random forest and XGBoost models are shown as ROC (A) and precision-recall curves (B). AUC under ROC is  
324 provided in brackets. Solid lines and shaded areas indicate the mean and standard deviation across three  
325 cross-validation folds, respectively. Dashed lines indicate random classifiers.

326

327 Feature importance analysis for the logistic regression shows a large effect of the fraction of  
328 inspired oxygen and patient age (Fig. 5A). This mirrors the results for AICU admission. We  
329 also observe a significant influence of haemoglobin levels on model predictions. Both tree-  
330 based methods rank age highly (Figs. 5B and C). In addition, blood lactate levels and oxygen  
331 saturation are used by the random forest (Fig. 5B), while XGBoost relies on the fraction of  
332 inspired oxygen and levels of thyroid stimulating hormone (Fig. 5C), although few values are  
333 significant. In general, all models rely on a broader set of features for the ventilation endpoint.  
334 ALE analysis shows younger patients had an increased probability of receiving  
335 ventilation (Fig. 5D-F), which agrees with an inherent bias towards younger age when  
336 comparing ventilated with non-ventilated patients (Fig.S4B). By contrast, a higher fraction of  
337 inspired oxygen and higher blood lactate level were associated with a poor prognosis.

338 Taken together, models show good performance when predicting ventilation, albeit with a  
339 decreased model stability (higher standard deviation). Patient age and oxygenation status are  
340 most predictive of poor outcome, with additional contributions from blood test values, such  
341 as lactate and haemoglobin levels.



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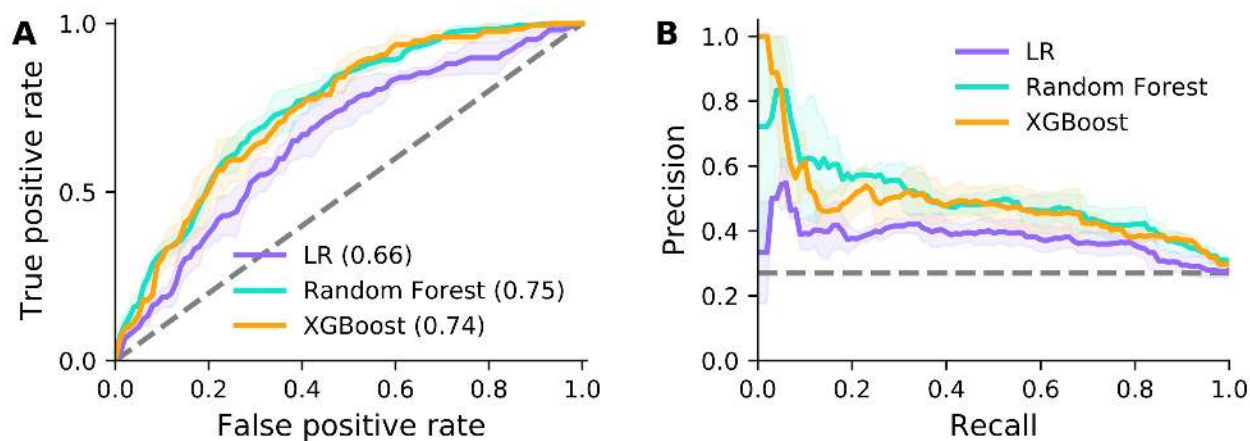
**Figure 5. Feature importance for mechanical ventilation.** Permutation feature importance for the random forest (A), logistic regression (B) and XGBoost (C) models. Only the top 15 features are shown. Asterisks mark features with importance scores significantly different from zero across three cross-validation folds with *t*-test *p*-value thresholds of 5% (\*) and 1% (\*\*). (D-F) Accumulated local effects plots for the logistic regression (D), random forest (E) and XGBoost models (F). The top two features according to permutation feature importance are shown for each model. Vertical bars at the bottom indicate feature values observed in the data set.



351 **Mortality**

352 The performance of all three models shows a marked decrease when predicting mortality  
353 (Fig. 6). The logistic regression and XGBoost reach AUCs of 0.66 and 0.74, respectively, only  
354 outperformed by random forest reaching an AUC of 0.75. However, model stability is  
355 improved with standard deviations across cross-validation folds reaching their lowest levels  
356 over all three clinical endpoints (Table 4).

357



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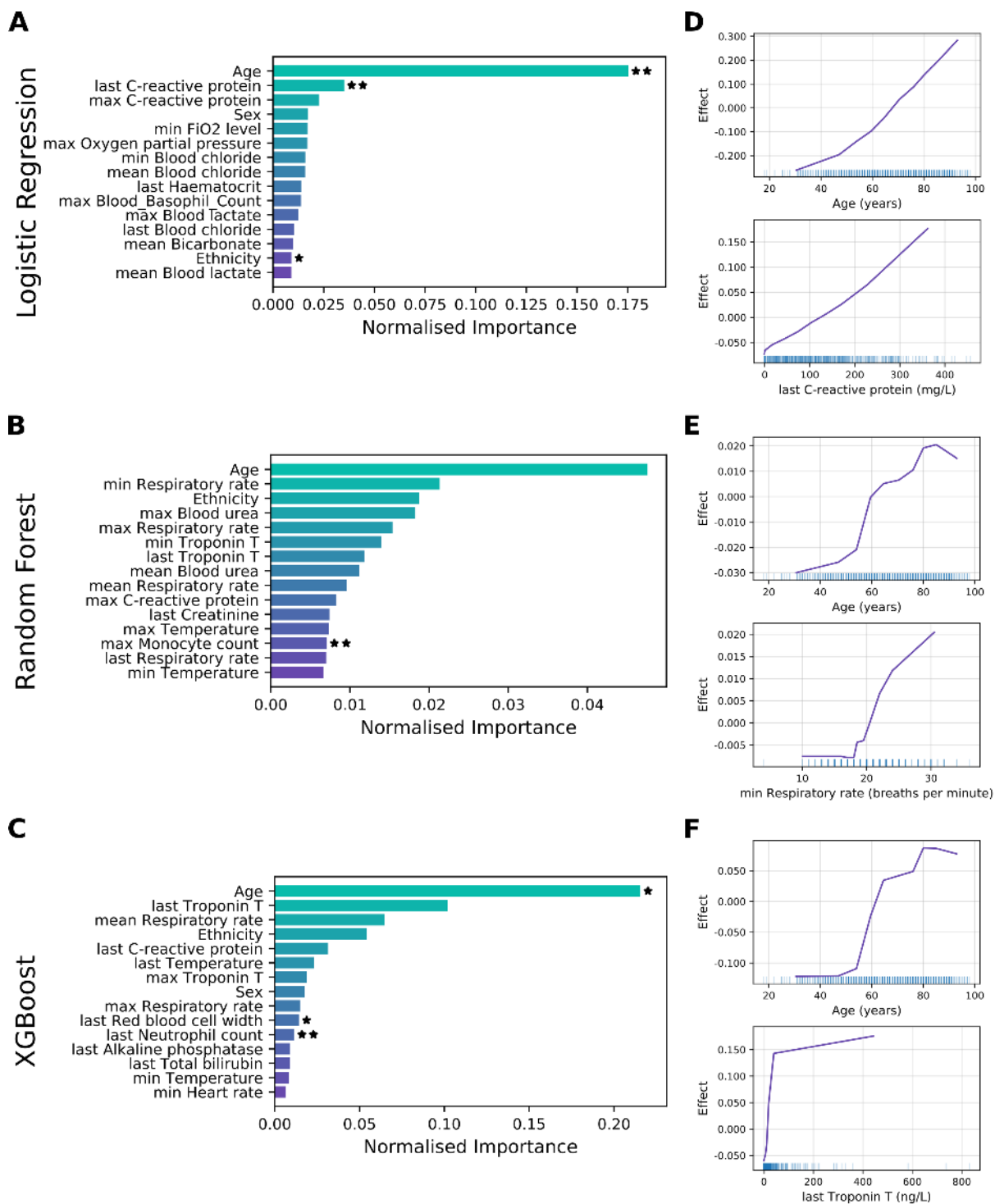
359 *Figure 6. Prediction performance for mortality. Model performance for the logistic regression (LR), random forest*  
360 *and XGBoost models are shown as ROC (A) and precision-recall curves (B). AUC under ROC is provided in*  
361 *brackets. Solid lines and shaded areas indicate the mean and standard deviation across three cross-validation*  
362 *folds, respectively. Dashed lines indicate random classifiers.*

363

364 Predictions from the logistic regression model are dominated by patient age, with C-reactive  
365 protein levels adding a small but significant contribution (Fig. 7A). Similarly, tree-based  
366 methods rely heavily on age for their predictions, with smaller contributions of respiratory rate  
367 and Troponin T levels (Figs. 7B and C). More generally, prediction of mortality relies more  
368 strongly on blood tests as opposed to indicators of oxygen supply observed in other cohorts.  
369 ALE analysis shows that advanced age is predictive of higher mortality (Fig. 7D-F). This  
370 agrees with a bias towards older age in patients that die in hospital (Fig. S4C). Higher C-  
371 reactive protein, respiratory rate and Troponin T levels increase the risk of mortality in our  
372 models (Figs. 7D-F).

373 In summary, models show an increased stability but lower overall performance when  
374 predicting mortality. Feature importance scores reveal a high and significant contribution of  
375 patient age with advanced age contributing to poor patient outcomes.





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377

378 *Figure 7. Feature importance for mortality. (A-C) Permutation feature importance for the logistic regression (A),*  
 379 *random forest (B) and XGBoost (C) models. Only the top 15 features are shown. Asterisks mark features with*  
 380 *importance scores significantly different from zero across three cross-validation folds with t-test p-value*  
 381 *thresholds of 5% (\*) and 1% (\*\*). (D-F) Accumulated local effects plots for the logistic regression (D),*  
 382 *random forest (E) and XGBoost models (F). The top two features according to permutation feature importance*  
 383 *are shown for each model. Vertical bars at the bottom indicate feature values observed in the data set.*

## 384 Discussion

385 Disease severity can vary dramatically between COVID-19 patients, ranging from  
386 asymptomatic infection to severe respiratory distress and failure. To evaluate the potential of  
387 an early stratification of hospitalised patients into risk groups, we built machine learning  
388 models from EHR care data of confirmed Covid-19 positive patients, aimed at predicting one  
389 of three clinical endpoints: admission to AICU, the need for mechanical ventilation and  
390 mortality. On all three cohorts, our models reach good performance with the best model  
391 showing AUC-ROC between 0.75 and 0.83. Overall, mortality proved to be the most difficult  
392 prediction task, presumably reflecting the complex interactions underlying in-hospital death.

393 The most predictive feature for all three endpoints was patient age, followed by indicators of  
394 patients' oxygenation status, including fraction of inspired oxygen and respiratory rate. Given  
395 that SARS-CoV-2 causes an infection of the respiratory tract, which can lead to severe  
396 respiratory distress, these results were to be expected. Our findings are supported by similar  
397 works, in which age is consistently found to be the most important feature [16–18]. However,  
398 we note that other potential indicators for severe viral infection, like increased temperature  
399 and markers of immune system activation, e.g. C-reactive protein, are less prominent in our  
400 feature importance scores. Overall, prediction of mortality relies more strongly on blood tests  
401 as opposed to indicators of oxygen supply observed in other cohorts. The reason for this  
402 observation and its clinical significance is, as of yet, unclear. Our ALE analysis reveals that  
403 lower patient age contributes to an increased probability of receiving mechanical ventilation  
404 and critical care in AICU, while coinciding with lower mortality. We also note that Docherty *et al.*  
405 find that 17% of COVID-19 patients require admission to a High Dependency or Intensive  
406 Care Unit [25], which is similar to 15% of patients in our data.

407 Conversely, our findings concerning the importance of features relating to patients'  
408 oxygenation status are not corroborated by other works. Specifically, other studies find that  
409 one important predictor of patient outcome is the level of lactate dehydrogenase [17,18],  
410 which, although present in our data set, does not significantly contribute to predictions.

411 A novel aspect of the present analysis is the use of data limited to a patient's first few hours  
412 in ED. While this perhaps more accurately reflects the data available at the time of admission,  
413 it may well come at the cost of missing important information, such as medical history or  
414 primary care data, for predicting patient outcome. This may explain the comparative difficulty  
415 in predicting mortality, since a patient's overall chance of surviving infection may depend  
416 heavily on their medical history. Also note that, in our analysis, all patients were considered  
417 together for mortality prediction and the cohort was not further split according to  
418 confounding factors such as age or sex. In addition, mortality data for recent hospital  
419 admissions are by their nature censored, with clinical endpoints for patients who remain in  
420 hospital not yet fully known.

421 While we base our study on a comparatively large data set from two hospitals, longitudinal  
422 information from additional treatment centres and geographic regions may improve a model's  
423 ability to generalise. We note that such data is currently unavailable for COVID-19. However,  
424 future studies may benefit from a multicentre approach. As a result of limited data and the  
425 imbalanced cohorts, model stability remains a major challenge. While we use inverse class  
426 weights and stratified 3-fold cross validation to mitigate this issue, large uncertainties in  
427 model results persist, and many predictions do not reach statistical significance. Increased  
428 patient numbers, in particular among target patients, may lead to more conclusive results.  
429 Once such data is available, more complex models, such as deep neural networks, may

430 achieve higher prediction performance. A key aspect which should be considered in such  
431 works is the prediction horizon, which impacts on how useful a model could be.

432 In conclusion, our models represent a first step towards the prediction of COVID-19 patient  
433 pathways in hospital at the point of admission in the emergency department. While they  
434 succeed in predicting patient outcomes and reveal critical clinical variables that may influence  
435 patient trajectories, larger data sets and further analyses are required to draw clinically  
436 relevant conclusions.

437

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454

## 455 Ethics statement

456 The data were extracted, anonymised, and supplied by the Trust in accordance with internal  
457 information governance review, NHS Trust information governance approval, and General  
458 Data Protection Regulation (GDPR) procedures outlined under the Strategic Research  
459 Agreement (SRA) and relative Data Sharing Agreements (DSAs) signed by the Trust and  
460 Sensyne Health plc on 25th July 2018.

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