# Edge preserving orientation adaptive filtering

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#### Abstract

In this paper we describe a new strategy for combining orientation adaptive filtering and edge preserving filtering. The filter adapts to the local orientation and avoids filtering across borders. The local orientation for steering the filter will be estimated in a fixed sized window which never contains two orientation fields. This can be achieved using generalized Kuwahara filtering. This filter selects from a set of fixed sized windows that contain the current pixel, the orientation of the window with the highest anisotropy. We compare our filter strategy with a multi-scale approach. We found that our filter strategy has a lower complexity and yields a constant improvement of the SNR.

# **1** Introduction

Noise, which is present in every real world image, hampers manual interpretation by human experts as well as automatic segmentation and analysis by computers. Therefore many image processing techniques are developed to reduce noise. The Wiener filter [6] is the best linear filter but requires a priori knowledge of the spectrum of the noise-free image as well as the spectrum of the noise. Noise in domains without texture can simple be reduced by isotropic smoothing, where the spatial size of the smoothing operator determines the amount of noise reduction. So the size or scale of the domain constitutes the limit to this amount. To optimize the global noise reduction, scale adaptive smoothing can be used. In an oriented texture domain or along individual lines and edges, the noise level can be reduced by applying elongated smoothing operators that adapt to the local orientation. This requires a robust and continuous representation of orientation [1]. Since many natural images can be described as a collection of grey value and oriented texture domains, a scale and orientation adaptive smoothing scheme provides a powerful noise reduction method. Such a scheme can be realized in different ways, i.e. by anisotropic diffusion [8] or steerable filters [2].

Edges between domains are important features for the interpretation of images. However, smoothing operators tend to blur the edges or borders between the different domains. Therefore a filter should be used that reduces the noise but does not degrade the edges, i.e. an edge preserving filter. In a mosaic of domains characterized by grey value, the borders between the domains are characterized by the difference in grey value. This difference can directly be measured in the image. In a mosaic of domains characterized by oriented texture, the borders between the domains are characterized the the difference in local orientation. Therefore these borders will appear in the orientation estimation. At an orientation border there are two factors that make filtering more difficult. First, there are locally two dominant orientations, which make it more difficult to estimate the orientation. Secondly, the adaptive filter should not blur across the border.

In this paper we present a generalization of the Kuwahara filter for edge preserving smoothing [3, 4]. We will use this filter in a new method for combining edge preserving filtering with orientation adaptive filtering. The method is tested on both synthetic and natural images. The natural images are seismic images, which are narrow banded and contain layered structures. We will compare this with a scale adaptive approach [2].

### 2 Generalized Kuwahara filtering

A well known filter for edge preserving smoothing for images containing grey value domains, is the Kuwahara filter [3]. Kuwahara divided a square symmetric neighborhood in four (slightly overlapping) windows, each containing the central pixel, see fig.1a. The central pixel is replaced by the average of the most homogeneous window, i.e. the window with the lowest variance. The combination of filtering (average) and selection (homogeneity) avoids filtering across edges in the image. This filter has been fur-

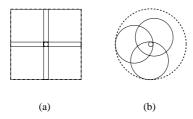


Figure 1: a) traditional Kuwahara filter, b) generalized Kuwahara filter. The dashed lines bound the neighborhood and the solid lines the windows.

ther develop by increasing the number of windows to eight and changing the shape of the windows to pentagons and hexagons [4].

Our filter evaluates each fixed size window that contains the current pixel. Each of these windows yields an estimate and a confidence value. The estimate from the window with the highest confidence value is taken as the result. We call this filter the generalized Kuwahara filter and a realization with round windows is depicted in fig.1b. Note that the shape of the windows determines the shape of the neighborhood. An mostly undesired side effect of the Kuwahara filter is a blemished result in regions without clear edges. The Kuwahara filter also creates false contours. These are artifacts due to the fact that the Kuwahara filter always selects. However, in homogeneous regions the differences in confidence value are due to noise and the selection should not take place. A solution to this problem is to give the filter the freedom to decide whether or not to select. This decision should be smooth and a good criterium would be the the variance in the confidence values. This variance can be evaluated globally or locall, depending on the type of data.

By applying the generalized Kuwahara filter it is possible to smooth grey value domains and to preserve sharp borders between these domains at the same time. A proper representation of the orientation reduces oriented texture domains to grey value domains. Combining the orientation estimation with the generalized Kuwahara filter yields an orientation representation with sharp borders.

# **3** Scale adaptive filtering

A well known general way for dealing with different events at a different scale in a single neighborhood is scale-space. This makes it possible to process each scale separately and thereby provides a way to do scale adaptive filtering. A computational more efficient way to apply scale-space is to build a scale pyramid, e.g. octave based difference of low-pass pyramid (DOLP) [7]. Since our images with oriented textures are narrow banded, the frequencies present in the images span only two octaves, so the evaluation of three scales should be enough. A filter can be made scale adaptive in a straight forward way. First, apply the filter on each scale. Secondly, the scale adaptive filtered result is obtained by summation of the results on each scale, see [2]. However, we shall show that applying our filtering method on a single scale already yields a good result.

# 4 Estimation of steering parameters orientation and anisotropy

The fundamental proposition on which the orientation adaptive filter is based, is local onedimensionality. This means that in textured domains D that constitute the image contain a single dominant orientation. If we describe the grey values in D with polar coordinates  $I(r, \phi)$ , we could write

$$\delta I(r,\phi_0) \gg \delta I(r,\phi_{other}) , \ r \in D \tag{1}$$

where  $\delta I$  denotes the change in grey value and  $\phi_0$  the dominant orientation. We make a distinction between orientation, defined modulo  $\pi$ , and direction, defined modulo  $2\pi$ . Thus two opposite vectors differ in direction but have the same orientation. A robust description of such neighborhood is given by a tensor representation [1].

$$\mathbf{T} = \frac{1}{x} \mathbf{x} \mathbf{x}^T \tag{2}$$

where **x** is a vector along the dominant orientation and  $x = ||\mathbf{x}||$  is the norm of the vector.

### 4.1 The Gradient Square Tensor

The tensor **T** from eq.2 can be implemented in several ways. The general idea is to use a set of directionally selective filters and combine the responses. Examples of directionally selective filters are derivative filters, i.e. first or second order, and quadrature filters [1]. The latter have the advantage to give a response on both edges and lines, but are computationally more expensive. The Gradient Square Tensor (GST) is based on Gaussian first order derivative filters and is given by eq.3.

$$\mathbf{T}_{GS} = \nabla I \nabla I^T = \begin{pmatrix} I_x^2 & I_x I_y \\ I_x I_y & I_y^2 \end{pmatrix}$$
(3)

where  $I_x$ ,  $I_y$  are the Gaussian derivatives in resp. x and y direction, in which the Gaussian regularization function has a size  $\sigma_g$ . Since this tensor is a quadratic form the tensor elements may be averaged without having cancellation problems. This averaging is implemented as Gaussian smoothing ( $\sigma_T$ ) over a window. Applying tensor averaging has three advantages:

- rapid changes in the orientation estimation due to noise on the gradient vector are suppressed, yielding a smooth result
- 2. having only responses on edges no longer constitutes a problem, since on a line the tensor averaging combines the gradients from both slopes, without cancellation of opposite vectors
- 3. The smoothed tensor allows information about the energy in the dominant and the perpendicular orientation

The local orientation estimation is given by the orientation of the eigenvector corresponding the the largest eigenvalue.

# 4.2 Anisotropy estimation

The certainty of the orientation estimation is proportional to the anisotropy, which describes to what extend one orientation dominates. The anisotropy can be measured from the ratio of the energy in the dominant and perpendicular orientation. We define the anisotropy A as

$$A = \frac{\lambda_1 - \lambda_2}{\lambda_1 + \lambda_2},\tag{4}$$

with  $\lambda_1, \lambda_2$  the eigenvalues of the GST. With this definition the anisotropy takes values between 0 and 1, indicating the range from completely isotrope to perfectly anisotrope.

# 4.3 Improved orientation estimation at orientation borders

Since the GST is based on the assumption that there is locally only one dominant orientation, the corresponding orientation measurement will fail as soon as it crosses an orientation border. The resulting orientation estimation is a weighted average of the two dominant orientations at both sides of the border, causing unsharp or blurred orientation borders. A powerful solution for allowing multiple orientations in one neighborhood in the image, is to add orientation as a new dimension [5]. This can be realized by applying multiple directionally selective filters. The drawback of this approach is the higher computational complexity and will therefor be skipped in this paper.

However, it is possible to allow only one orientations in one neighborhood in the image and also preserve sharp edges. This can be achieved by applying the generalize Kuwahara filter. Calculate the GST in each window and use the anisotropy as the certainty measure. The idea of this method is to prevent that the GST overlaps a border as depicted in fig.2. With the anisotropy measure we can detect an orientation border, since the anisotropy drops if the region over which the GST is smoothed contains an orientation border.

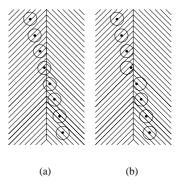


Figure 2: a) standard GST, b) Improved orientation estimation. The circles denote the regions  $\sigma_t$  in which the orientation is estimated, the black dots are the positions the result is written to.

# 4.4 Limitations of anisotropy as border detector

The success of the method described above, depends very much on the estimation of the anisotropy, since this parameter is used to locate the borders. It can be derived that:

$$A \propto \cos(\Delta\phi) \tag{5}$$

with A the anisotropy and  $\Delta \phi$  the difference between the orientation at both sides of the border. As a consequence, for small values of  $\Delta \phi$ , the anisotropy will decrease only a few percent, e.g. if  $\Delta \phi = 30 \text{ deg}$ the anisotropy will decrease 10 %. We experimentally verified this angular dependency and the results are depicted in fig.3. We measured the anisotropy at a border applying the GST with  $\sigma_g = 1.0$  and  $\sigma_T = 5.0$ . The measured anisotropy differs slightly from a cosine but is well described by a cosine, as can be seen in fig.3.

# 5 Adaptive filtering

Adaptive filtering means that the filter can be controlled by parameters. For example, an orientation adaptive filter given by

$$F_{a\,da\,p} = F(\phi, A) \tag{6}$$

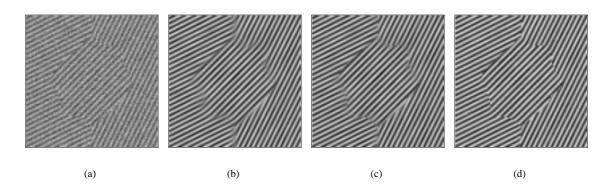


Figure 4: a) test image, b) adaptive filter steered with orientation from fig.2b, c) same as b but with improved orientation estimation fig.2d, d) same as c but with edge preserving property.

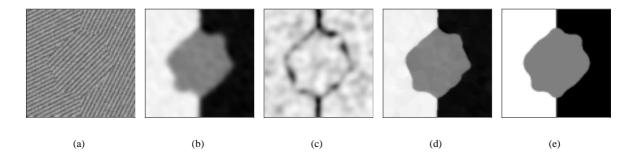


Figure 5: a) test image, b) orientation estimation GST, c) anisotropy estimation GST, d) improved orientation estimation, e) ground truth for orientation.

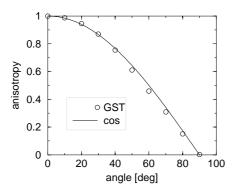


Figure 3: The anisotropy obtained from the GST as a function of the angular difference at an orientation border.

with  $\phi$  the orientation and A the anisotropy, is used in [2]. The idea of this filter is to use the estimated shape and orientation of the tensor **T** to adapt the filter behaving in the same way as the signal. The filter can also be made scale adaptive, which is discussed in sec.3. For now we assume that the signal is narrow banded and can be described as single scale signal. Noise reduction in oriented textures can be achieved by steering an elongated filter with the orientation estimation as steering parameter. The orientation of the filter should be perpendicular to to dominant orientation from eq.1. However, sharp domain edges will be blurred by this filter.

# 5.1 Edge preserving filtering

We have shown that it is possible to correctly estimate the orientation near borders, so the filter can correctly be directed along the texture orientation. Still we have to make sure that the adaptive filter does not overlap borders. This is in fact the same problem we encountered during the orientation estimation. The difference is that we only allow displacement along the layers, as depicted in fig.6. This is a one dimensional version of the generalized Kuwahara filter. However, since the orientation can be different for each point in the image, it is not possible to efficiently implement this one dimensional version of the generalized Kuwahara filter. Therefore we chose to allow only 5 windows evenly spread over the neighborhood.

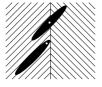


Figure 6: Top filter: the orientation adaptive filter is correctly oriented along the layers, but overlaps a border. Bottom filter: allowing displacement along the layers

### 6 Experiments and Results

### 6.1 Synthetic images

To test our filtering method, we created a test image which contains three domains that contain a one dimensional sinusoidal signal, so that they are characterized by their orientation, see fig.5a. The period of the sinusoidal signal is approximately 6 pixels. Furthermore we added some noise, SNR = 10db, where

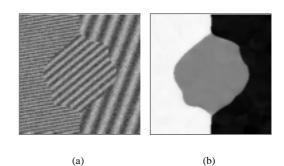
$$SNR = 20 \log_{10} \left(\frac{A}{\sigma_n}\right) \tag{7}$$

with A the amplitude of the signal and  $\sigma_n$  the standard deviation of the Gaussian noise. First we applied the GST with  $\sigma_g = 1.0$  and  $\sigma_T = 5.0$ , and calculated the orientation and anisotropy, which are depicted in fig.5b and c. The result of the generalized Kuwahara filter combined with the GST is shown in fig.5d, where the windows have a diameter of 11 pixels. The ground truth of the orientation is given in fig.5e for comparison. We applied an orientation adaptive filter that uses the orientation estimation derived from the gradient square tensor as a steering parameter. We also applied the this filter steered with the improved orientation estimation as described in section 4.3 and finally we enhanced this filter with the edge preserving property as described in section 5.1. The filter type we used is the Gaussian filter, with  $\sigma = 7.0$  in a 29\*1 pixel window. A comparison of fig.4b and c, shows that the improvement in orientation estimation yields a clear improvement in filtering near a border. From fig.4d can be seen that the edge preserving method works and yields sharp orientation borders.

To show that our method still gives good results when there is more than one scale present, we repeated the measurement described above on a second test image, see fig.7a. The SNR of this image is 13 db. The filter type we used on this image is a Gaussian filter, with  $\sigma = 6.0$  in a 25\*1 pixel window.

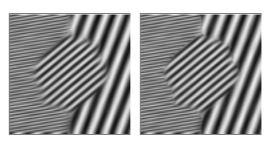
#### 6.2 Natural images

To test our method on natural images we obtained a seismic image that contains a lot of faults,





(c)



(d)

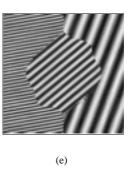


Figure 7: a) test image, b) Improved orientation estimation, c) adaptive filter steered with orientation estimation from GST, d) same as c but with improved orientation estimation, e) same as d but with edge preserving property.

fig.8. Again we applied our edge-preserving orientation adaptive filter. The filter type we used is the Gaussian filter, with  $\sigma = 6.0$  in a 25\*1 pixel window. Furthermore, the effect of allowing the filter to decide whether or not to select is shown by applying the filter to a bore-hole image, fig.9. This image has larger homogeneous areas than the seismic image, so we expect more false contours. As a first test we implemented the extra decision as a binary decision. The criterium is that the ratio of the highest and the lowest local variance should be larger than three to enable selection. The result is depicted in fig.9d.

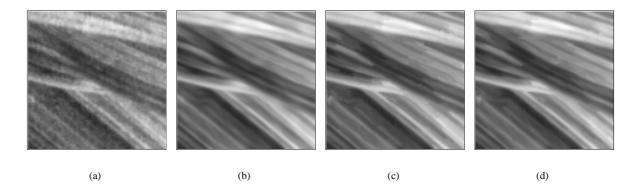
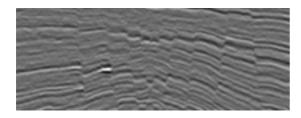


Figure 9: a) bore-hole image, b) orientation adaptive filtered version of a), c) same as b with edge preserving property, d) same as c with threshold decision (factor 3).



(a)

(b)

Figure 8: a) seismic image containing many faults, b) edge preserving filtered version of a.

### 7 Discussion

We have shown that it is possible to correctly estimate the orientation near borders by combining the GST with the generalized Kuwahara filter. This makes it possible to correctly steer an orientation adaptive filter in the whole image. Furthermore, this filter is made edge preserving by again applying a one dimensional version of the generalized Kuwahara filter.

Our method yields good results when applied on a single scale in narrow banded image such as seismic images, where narrow banded means that the frequencies present in the image do not span more than two octaves. Compared with multi-scale [2] or orientation space [5] approaches, our method has a low computational complexity. Furthermore, our method gives a constant improvement in the SNR, where as a multi-scale approach would apply smaller filters near the borders which gives less SNR improvement near these borders. The generalized Kuwahara filter may introduce a slight bias in the edge location due to the fact that it uses decentralized orientation estimation.

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