

Effect of Chiral Ligand Concentration and Binding Mode on Chiroptical Activity of CdSe/CdS Quantum Dots

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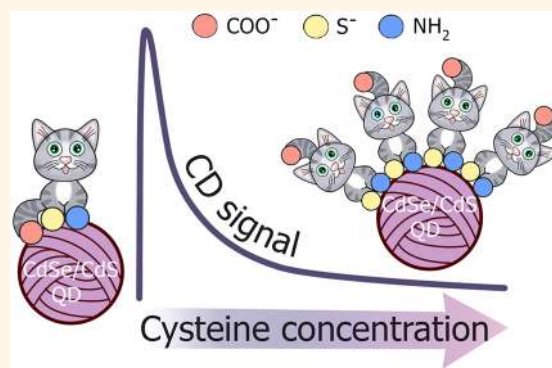
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S Supporting Information

ABSTRACT: Chiroptically active fluorescent semiconductor nanocrystals, quantum dots (QDs), are of high interest, from a theoretical and technological point of view, because they are promising candidates for a range of potential applications. Optical activity can be induced in QDs by capping them with chiral molecules, resulting in circular dichroism (CD) signals in the range of the QD ultraviolet–visible (UV-vis) absorption. However, the effects of the chiral ligand concentration and binding modes on the chiroptical properties of QDs are still poorly understood. In the present study, we report the strong influence of the concentration of a chiral amino acid (cysteine) on its binding modes upon the surface of CdSe/CdS QDs, resulting in varying QD chiroptical activity and corresponding CD signals. Importantly, we demonstrate that the increase of cysteine concentration is accompanied by the growth of the QD CD intensity, reaching a certain critical point, after which it starts to decrease. The intensity of the CD signal varies by almost an order of magnitude across this range. Nuclear magnetic resonance and Fourier transform infrared data, supported by density functional theory calculations, reveal a change in the binding mode of cysteine molecules from tridentate to bidentate when going from low to high concentrations, which results in a change in the CD intensity. Hence, we conclude that the chiroptical properties of QDs are dependent on the concentration and binding modes of the capping chiral ligands. These findings are very important for understanding chiroptical phenomena at the nanoscale and for the design of advanced optically active nanomaterials.

KEYWORDS: *chirality, quantum dots, chiroptical activity, cysteine, ligand concentration, binding mode, density functional theory*



Chirality is among the most fascinating occurrences in the natural world. A chiral molecule is one that has two mirror-image forms, i.e., enantiomers, which are nonsuperimposable in three dimensions. Well-known examples of chiral molecules are proteins, DNA, sugars, amino acids, enzymes, and drugs such as ibuprofen and L-Dopa, which is a drug for the treatment of Parkinson's disease. Overall, chirality is one of the most important factors in biomolecular recognition, and, therefore, chiral compounds play a very significant role in chemistry, biology, pharmacy and medicine. Chirality has also been envisaged to play an important role in nanotechnology, and recently, the area of chiral nanomaterials has received a great deal of attention, because of the range of potential applications offered by these materials. Chiroptically active quantum dots (QDs)—fluorescent semiconductor

nanocrystals with tunable optical properties—have also been intensively studied in the last two decades^{1,2} since they were first reported in 2007.³ Chiral QDs have several potential applications,^{1,2,4–7} such as biological sensors,^{8–17} anticounterfeiting material,^{18,19} as well as components in photonic^{20–23} and in spin-polarized devices.^{24–26}

Optical activity can be induced in QDs by functionalization with chiral ligands via a relatively simple post-synthetic phase-transfer ligand exchange.^{1,4,27–40} In this process, the initial hydrophobic ligands in QDs produced by the hot injection

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58 synthesis in organic medium are typically exchanged by chiral
59 hydrophilic ligands, accompanied by the transfer of QDs into
60 the aqueous phase.^{16,33,35,37} In this case, QDs exhibit optical
61 activity in their absorption region, unlike the chiral ligand
62 molecules, which typically absorb light only in the deep UV
63 region.^{33,37} The origin of induced chirality can be explained by
64 the chiral distortion of QD surface atoms upon the adsorption
65 of chiral ligands,^{3,34,41,42} or the hybridization of the energy
66 levels of the QD and chiral ligand molecules leading to the
67 splitting of QD hole–electron levels into two sublevels with
68 different absorptions of circularly polarized light.^{33,38,40,43–47}

69 The phenomenon of induced chiroptical activity in nano-
70 particles has attracted considerable attention, and, currently, it
71 is being intensively studied. The shape of QD circular
72 dichroism (CD) spectra and the magnitude of induced CD
73 bands are dependent on many factors, including the nature of
74 the material and the size of the QD core,^{29,40} QD shape and
75 anisotropy,^{1,31,35–37,48–50} the nature and thickness of the QD
76 shell,^{33,34,40} and the chemical composition and coordination
77 modes of the ligands.^{27,28,32,51} The concentration of chiral
78 ligands used during the QD synthesis has also been reported to
79 influence the intensity of CD signal of nanoparticles,^{31,52} but
80 the addition of different amounts of ligands to the synthetic
81 medium leads to the formation of nanoparticles with different
82 morphology, which is proven to be the main reason for the
83 changes observed in the CD spectra. Hence, the effect of the
84 chiral ligand concentration is still unclear, and it is yet to be
85 demonstrated.

86 Herein we report a detailed investigation of the effect of
87 chiral L-cysteine ligands (hereafter referred simply as Cys)
88 concentration and binding mode on the CD intensity of the
89 CdSe/CdS QDs, which were prepared by an exchange of
90 achiral organic ligands with chiral Cys ligands. We have found
91 that the dependence of QD CD signal intensity on Cys
92 concentration is nonlinear; initially, the CD signal peak
93 intensity increases with Cys concentration, followed by a
94 decrease when a further excess of ligands is added. Moreover,
95 CD intensity changes dramatically, depending on ligand
96 concentration, by up to 1 order of magnitude, which makes
97 it one of the key factors that should be taken into account
98 during the investigation of chiroptical properties of nano-
99 particles. By using NMR and FTIR spectroscopy and density
100 functional theory (DFT) calculations, we have shown that,
101 while at low concentrations, Cys ligands are bound to the QD
102 surface in a tridentate coordination, an increase of the Cys
103 concentration switches the coordination trend toward
104 bidentate binding modes. Furthermore, our DFT approach
105 has allowed us to assess the stabilization of the Cys ligands
106 quantitatively via noncovalent interactions at different ligand
107 concentrations and to clearly pinpoint these interactions. We
108 believe these studies are of great theoretical and practical
109 importance, because they provide a fundamental under-
110 standing of chiroptical phenomena at the nanoscale, by
111 considering chiral ligand concentrations, ligand–ligand inter-
112 actions, and their binding modes at the QD surface. Hence,
113 this research is envisioned to contribute to the design of
114 advanced chiroptically active nanomaterials by leveraging an
115 optimal ligand concentration to maximize the CD response
116 and improve signal-to-noise ratio for potential applications
117 such as CD spectroscopy, optical sensing, metamaterials, and
118 nanophotonics.

RESULTS AND DISCUSSION

119

Effect of Cys Concentration on the Chiroptical

Activity of CdSe/CdS Core/Shell QDs.

120 CdSe/CdS QDs (hereafter referred as QDs) were synthesized via the well-
121 documented SILAR hot injection technique.^{33,53} QDs were
122 characterized by UV-Vis, PL, and CD spectroscopy and
123 transmission electron microscopy. The diameter of the QDs
124 obtained was 5.2 ± 0.8 nm, while the thickness of the CdS
125 shell was 1.2 ± 0.4 nm, which corresponds to five monolayers
126 of CdS. Full characterization of QDs can be found in the
127 [Supporting Information](#). Original hydrophobic ligands (mostly
128 oleylamine) of QDs were subsequently displaced by L- and D-
129 cysteine, using a previously reported phase-transfer proce-
130 dure.¹⁴ Briefly, a Cys solution in methanol was added to the
131 QD chloroform solution, then shaken, and left for 2 min to
132 allow Cys to replace the hydrophobic ligands. After that, an
133 aqueous 0.01 M KOH solution was added and the Cys-
134 functionalized QDs were transferred to the aqueous phase. As
135 a result, the QDs became water-soluble and chiroptically
136 active, which is reflected in the appearance of mirror-imaged
137 CD signals in the region of QD absorbance including the
138 excitonic area ([Figure 1](#)). CD signal corresponding to the QD
139 140

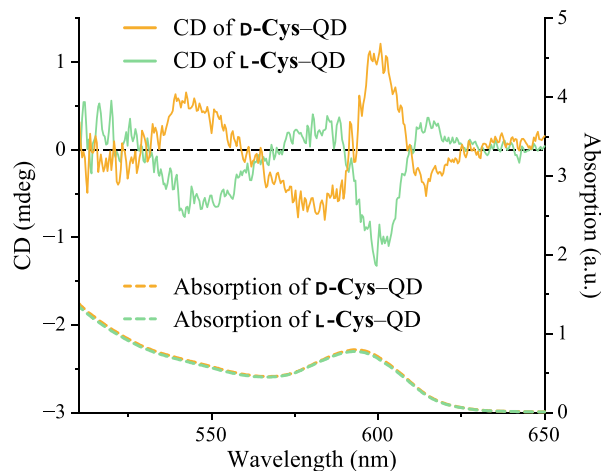


Figure 1. CD spectra (solid lines) and UV-vis spectra (dotted lines) of D-Cys-functionalized CdSe/CdS QDs (orange) and L-Cys-functionalized CdSe/CdS QDs (green). Cysteine concentration = 0.42 mg/mL.

141 exciton is produced due to the hybridization of the
142 degenerated valence band energy level of QDs and HOMO
143 level of Cys molecules yielding two sublevels. The resulting
144 optical transitions from these sublevels have a contra-
145 directional rotary strength, which is reflected in the splitting
146 of CD signal for positive and negative bands crossing zero in
147 the vicinity of exciton maximum.^{27–29,33,34,40,49}

148 To study the influence of Cys concentration on QD
149 chiroptical response intensity, a ligand exchange was
150 performed using different amounts of Cys, varying from 0.1
151 mg/mL to 1.6 mg/mL. We note that it was not technically
152 possible to perform the procedure with higher amounts of Cys
153 ligand, because of the limited solubility of Cys in methanol.
154 Thus, extra amounts (3 and 10 mg/mL) were added to the
155 aqueous solutions of Cys QDs to achieve the even-higher Cys
156 concentration of 4.6 and 11.6 mg/mL. G-factor curves of Cys-
157 functionalized QDs after phase transfer with different amounts
158 of Cys are illustrated in [Figure 2a](#). The G-factor was used

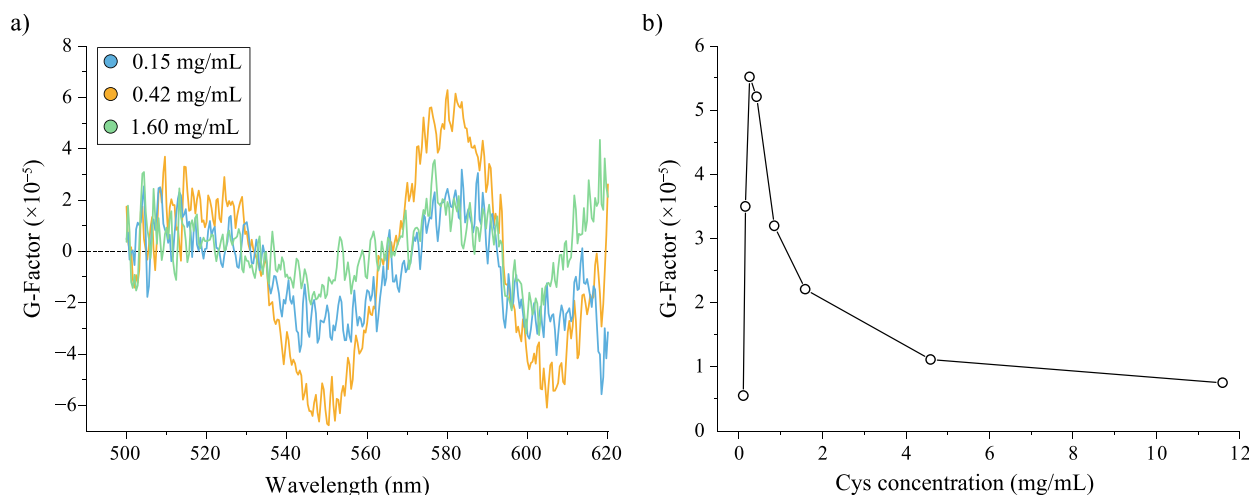


Figure 2. (a) G-factor graphs of Cys-functionalized CdSe/CdS QDs with varying Cys concentrations: 0.15, 0.42, and 1.60 mg/mL. (b) Dependence of the G-factor intensity in the excitonic region of Cys CdSe/CdS QDs at 605 nm on Cys concentration.

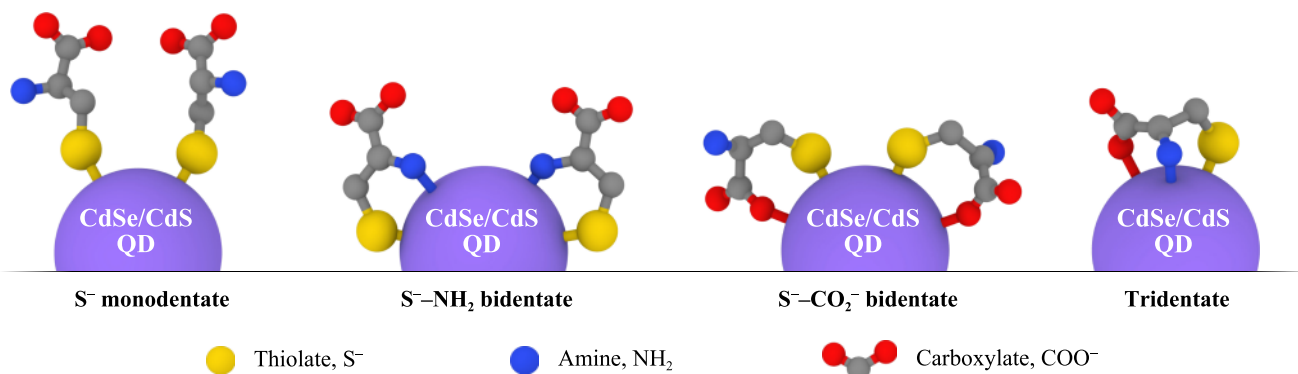


Figure 3. Possible binding modes of Cys ligand on the QD surface (from left to right): S⁻ monodentate, S⁻-NH₂ bidentate, S⁻-CO₂⁻ bidentate, and tridentate.

159 instead of CD signal to avoid the effect of the QD
160 concentration influence. The G -factor is defined as $G = \Delta\varepsilon /$
161 $\varepsilon = (A_L - A_R) / A$, where A_L and A_R are the absorbance of left-
162 handed and right-handed circularly polarized light, respec-
163 tively, and A is the absorbance of unpolarized light. It was
164 found that the G -factor intensity varied with the Cys
165 concentration in a nonlinear fashion. Similar results were
166 also obtained for D-Cys QDs (see Figure S3 in the Supporting
167 Information). The dependence of the G -factor intensity of the
168 maximum peak corresponding to the QD excitonic region on
169 Cys concentration is shown in Figure 2b. The G -factor
170 intensity increased initially with Cys concentration. However,
171 after a critical Cys concentration (i.e., 0.26 mg/mL) was
172 achieved, the QD G -factor intensity reached a maximum and
173 started to decrease. In light of these striking observations, we
174 decided to perform more-detailed investigations.

175 **Determination of Cys Binding Mode on the QD**
176 **Surface.** One explanation for the reduction of the G -factor
177 might be the change of the Cys binding mode with the Cd²⁺
178 ions on the QD surface upon increasing the amount of Cys
179 ligands in solution. Indeed, it was previously reported that the
180 shape of the QD CD spectra is strongly dependent on the
181 coordination mode of chiral ligands on the QD sur-
182 face,^{27,28,32,54,55} but the dependence of the intensity of the
183 QD CD signals and the coordination of the ligands on their
184 coordination has not been explored to date.

The Cys ligand at the experimental pH of 13 has three 185
moieties: thiolate (S⁻), carboxylate (COO⁻), and amino 186
(NH₂) functional groups. Potentially, all of them can be 187
coordinated to Cd²⁺ ions on the QD surface, although S⁻ has 188
the strongest affinity to Cd²⁺ ions. Thus, cysteine can bind to 189
the QD surface via all three groups (tridentate), via a 190
combination of S⁻ and NH₂ (S⁻-NH₂ bidentate) or S⁻ and 191
COO⁻ (S⁻-COO⁻ bidentate), and solely via the S⁻ 192
(monodentate). All of these possible Cys binding modes are 193
depicted in Figure 3. 194

Some investigations of Cys binding modes on the surface of 195
Cd-based clusters and nanoparticles have been previously 196
reported. For example, by performing multinuclear (¹H, ¹³C, 197
⁷⁷Se, ¹⁵N, ¹¹³Cd, and ²³Na) solid-state NMR techniques, 198
Takegoshi et al. have shown that Cys ligand binds with CdSe₃₄ 199
magic clusters via coordination to Cd²⁺ ions as S⁻-NH₂ 200
bidentate and S⁻ monodentate ligand, in amounts of 43% 201
and 57%, respectively.^{56–58} In another study using ¹³C solid- 202
state NMR,²⁹ it was demonstrated that Cys binds to the 203
surface of 2.9 nm CdSe QDs as a S⁻-COO⁻ bidentate ligand. 204
Density functional theory (DFT) calculations⁵⁹ revealed that 205
the interaction patterns between Cys and (CdSe)_n vary with 206
the cluster size and medium. Pattern S → Cd ← N is preferred 207
in the gas phase, toluene, and alkaline solution, while pattern O 208
→ Cd and H → Se is preferred in water. In another study,⁶⁰ 209
DFT calculations were performed on complexes of (CdSe)₁₃ 210

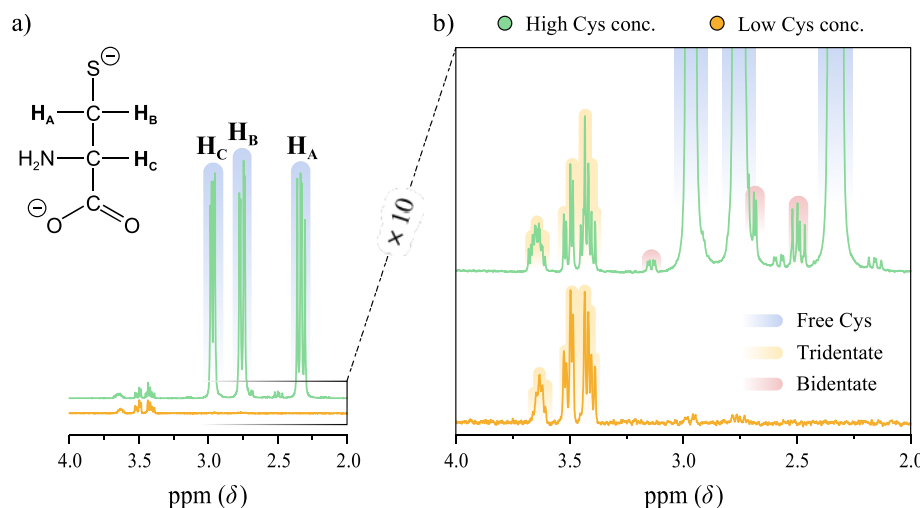


Figure 4. (a) ¹H NMR spectra of QDs in H₂O with high and low Cys concentration at pH 13 for free Cys, and (b) scaled-up ¹H NMR spectra of QDs with high and low Cys concentrations, including peaks of bound Cys.

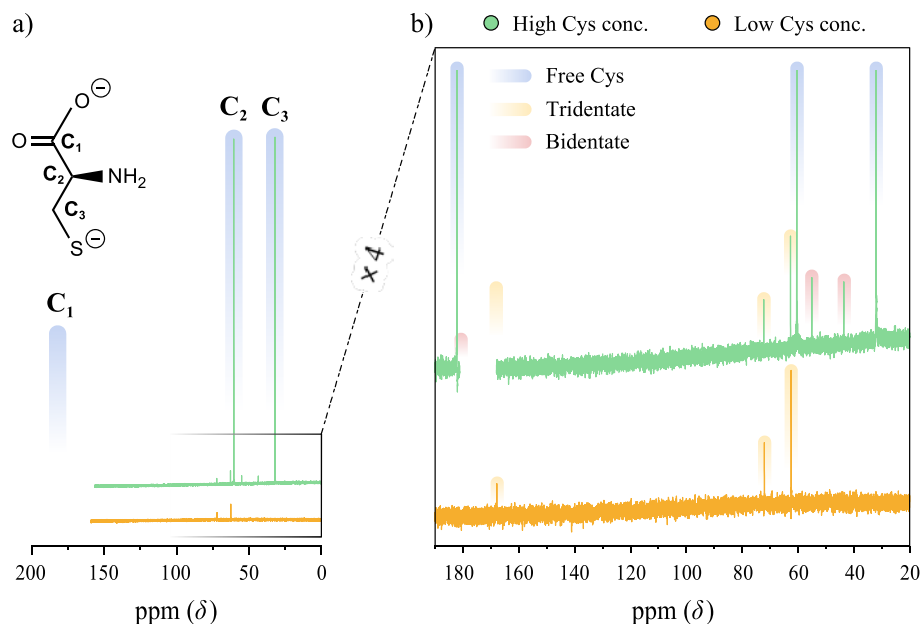


Figure 5. (a) ¹³C NMR spectra of QDs aqueous solutions with high and low Cys concentration at pH 13 for free Cys, and (b) scaled-up ¹³C NMR spectra of QDs with high and low Cys concentrations, including peaks of bound cysteine.

nanoclusters and Cys molecules attached as tridentate ligands to the distorted surface of the nanoclusters. Hence, it is known that binding modes of Cys are dependent on many factors such as medium, pH, cluster size, etc.

Previous studies on aqueous metal complexes have demonstrated that the Cys binding mode is also dependent on the ratio between the Cys and metal ions. For example, Cys can be coordinated to Pb²⁺ ions in a tridentate fashion in 1:1 complexes, while in 2:1 complexes, it binds in a bidentate fashion.⁶¹ Prompted by these results, we suggested that the concentration of Cys might influence its binding mode, and consequently, the *G*-factor intensity of Cys-stabilized QDs.

Investigation of Cys Binding Modes by NMR Spectroscopy. To investigate how the Cys concentration influences its binding mode on the QD surface, ¹H and ¹³C NMR spectroscopy analyses of two different Cys QD solutions were performed: (1) a solution with a “low” Cys concentration (ca. 0.2 mg/mL), at which *G*-factor increased, and (2) a

solution with a “high” Cys concentration (ca. 20 mg/mL), corresponding to the region where the *G*-factor is minimum. Cys-QD solutions for NMR analysis were prepared and measured under argon atmosphere to avoid Cys oxidation.

¹H NMR Spectroscopy. The ¹H NMR spectrum of free Cys at pH 13 is different from one at neutral pH.⁶¹ It has the form of three double doublets (Figure 4a), corresponding to a H atom bound to a C₂ atom with a chemical shift of 2.97 ppm (¹H_C), and two H atoms bound to C₃, which are chemically inequivalent, with 2.33 and 2.75 ppm chemical shifts (¹H_A and ¹H_B, respectively). The carboxylic and thiol groups are deprotonated at pH 13 (pK_a = 1.71 for –COOH and pK_a = 8.27 for –SH),⁶² and accordingly, the ¹H NMR spectra did not display any signals arising from protons on these groups. We note that the ¹H NMR peak of the amino group is not usually observed in aqueous solutions.^{63,64}

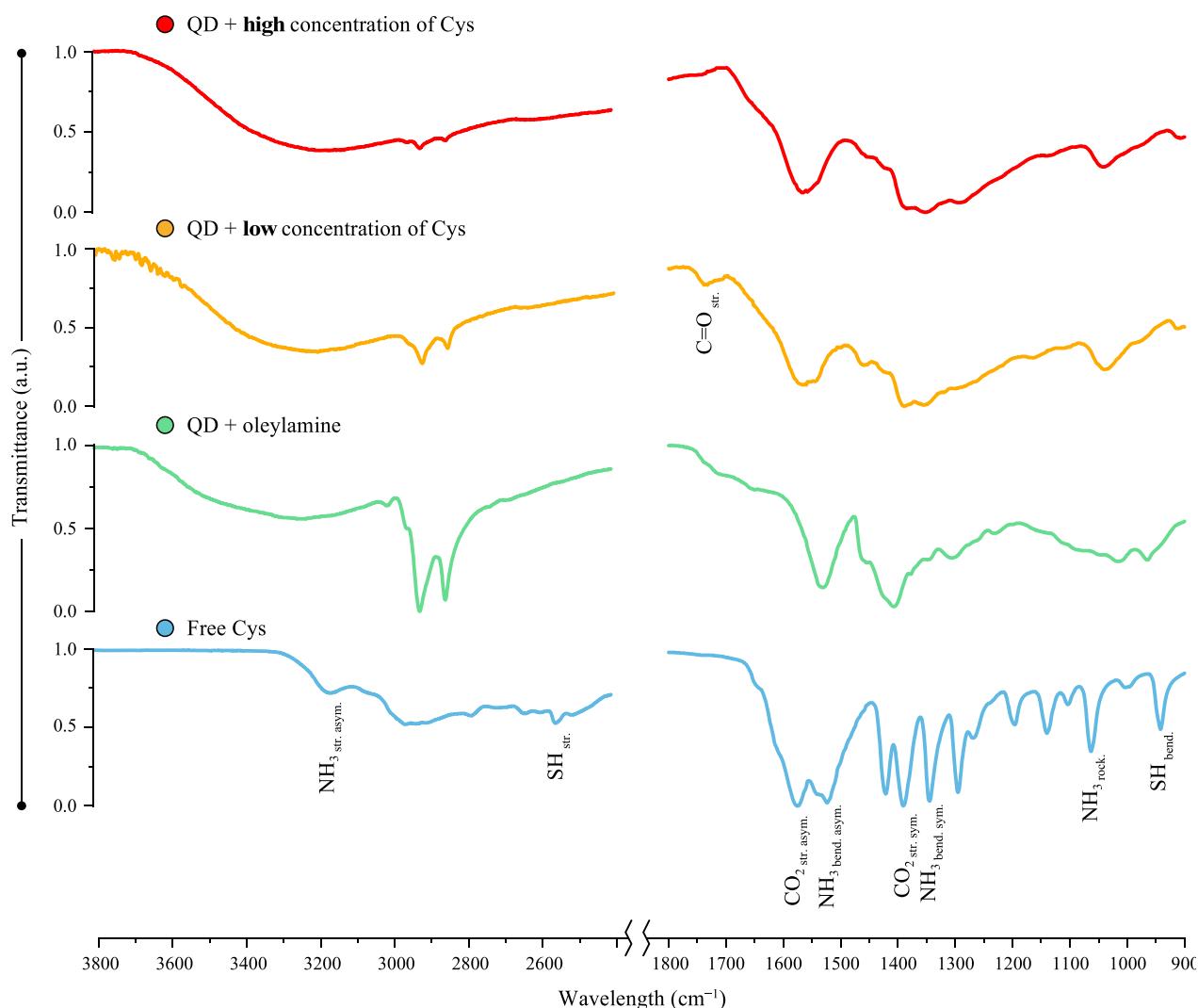


Figure 6. FTIR spectra of QDs precipitated from aqueous solutions with a high and low Cys concentration, QDs with organic ligands—mostly oleylamine and free cysteine. Full FTIR spectra are presented in Figure S4 in the Supporting Information.

At a low Cys concentration, almost all ligands are bound to the QD surface, and only one set of peaks corresponding to the tridentate⁶¹ binding mode of the molecules is observed in the ¹H NMR spectrum. This can be seen in Figure 4b (orange line), where peaks were shifted downfield, compared to free Cys (¹H_A, ¹H_B, and ¹H_C shifted from 2.33, 2.75, and 2.97 ppm to 3.42, 3.50 and 3.63 ppm, respectively).⁶¹ At a high Cys concentration, three sets of ¹H NMR peaks were observed (Figure 4b, green line): (i) the first intense peak set corresponds to the free Cys; (ii) the second one presents the same peaks associated with the tridentate mode registered in the spectrum at low Cys concentration; and (iii) the third one corresponds to the bidentate form. In the case of the bidentate mode, the ¹H_B peak is shifted downfield, with respect to the free Cys form, which corresponds to the S⁻ binding to Cd²⁺, while the ¹H_C peak did not shift very much, compared to the tridentate form, indicating that the COO⁻ is most likely free.

¹³C NMR Spectroscopy. ¹³C NMR results were consistent with the ¹H NMR data. Each Cys molecule has three carbon atoms, with the following ¹³C NMR peaks: ¹³C₁, representing the C atom of the carboxylic group; ¹³C₂ and ¹³C₃, representing the C atoms bound to NH₂ and S⁻ groups with

the peak positions at 179, 59, and 29 ppm, respectively (Figure 5a). At low concentrations (Figure 5b, orange lines), only one set of Cys peaks (different from that of the free molecule) can be observed, indicating that almost all cysteine molecules are bound to the QD surface. Besides, all three signals present a significant shift in position (from 179 ppm to 168 ppm for the carboxylic ¹³C₁,⁶⁵ from 59 ppm to 72 ppm and from 29 ppm to 62 ppm for the amidated ¹³C₂ and the thiolated ¹³C₃, respectively),⁶⁶ suggesting that, at low concentrations, Cys is coordinated in a tridentate mode.

At the higher Cys concentration (Figure 5b, green lines), the ¹³C NMR spectrum presents three sets of peaks: (i) the first one, with three intense signals at the same positions as free Cys; (ii) the second one, with three weak peaks corresponding to the low concentration ones; and (iii) the third one, where ¹³C₁ remains almost in the same position, but ¹³C₂ and ¹³C₃ are shifted from 59 ppm to 55 ppm and from 29 ppm to 44 ppm, respectively. Similar shifts have been reported for a NH₂–SH bidentate mode;⁶⁷ therefore, we attribute these signals to Cys molecules that are bound to the QD surface in a S⁻–NH₂ bidentate configuration.⁶⁷

Investigation of Cys Binding Modes by FTIR Spectroscopy. To determine the binding mode of the amino

291 group, which was not obvious from NMR analysis, we have
 292 also performed FTIR analysis of our QD samples. To prepare
 293 the samples for our FTIR studies, Cys-stabilized QDs were
 294 precipitated from aqueous solutions with high and low Cys
 295 concentrations, using acetone, and subsequently dried. It is
 296 important to note that samples did not contain water, which
 297 has a very broad and intense peak in the 3000–3750 cm^{-1}
 298 region that overlaps with the asymmetrical stretching vibration
 299 peaks of the amino group. Cys-QDs spectra are provided in
 300 Figure 6 for comparison with QDs functionalized with initial
 301 organic ligands (mostly oleylamine) and with pure Cys.

302 The S^- group of free Cys has two peaks at 2550 and 942
 303 cm^{-1} , corresponding to its stretching and bending modes,
 304 respectively. Both peaks are absent in the spectra of Cys-QDs.
 305 The NH_2 group of free Cys has a peak associated with
 306 asymmetrical stretching vibrations at 3165 cm^{-1} , which is
 307 significantly broadened when NH_2 is bound to the QD surface.
 308 This broadening can be observed in the spectra of QDs with
 309 oleylamine and QDs with Cys, both at high and low
 310 concentrations, confirming that the amino group is coordi-
 311 nated with the QD surface. Pure Cys has a zwitterionic form
 312 with a deprotonated carboxylic group (COO^-) and a
 313 protonated amino group (NH_3^+). The free negatively charged
 314 carboxylic group has asymmetric and symmetric stretching
 315 vibration peaks at 1575 and 1391 cm^{-1} , respectively. The
 316 appearance of a carbonyl stretching mode peak at 1735 cm^{-1}
 317 on the FTIR spectra of QDs with a low Cys concentration
 318 indicates the binding of the COO^- group. QDs with a high
 319 amount of Cys ligands display a smaller peak at this area,
 320 indicating that part of the COO^- groups are free. This is in full
 321 agreement with the NMR data.

322 **Effect of Cys Binding Mode on the Ligand Config-
 323 uration on the QD Surface.** The Cys molecule has three
 324 staggered rotamers as the result of rotation along the $\text{C}_2\text{--C}_3$
 325 axis (a), namely, a *trans*-rotamer, where the carboxyl and thiol
 326 groups are in *trans* position, and two *gauche*-rotamers, where
 327 these groups are separated by a torsion angle of 60° (see
 328 Figure 7a).

329 Previous reports of NMR studies of Pb^{II} -based Cys
 330 complexes have shown that, under alkaline conditions, when
 331 COOH and SH groups of free Cys are deprotonated and

negatively charged, the *trans* rotamer is prevalent.⁶¹ Therein, it
 was also demonstrated that if the Cys ligand is bound to Pb
 metal ion through all three functional groups, NMR spectra
 mostly correspond to the *gauche-h* rotamer, i.e., all three
 groups are located at one side of the molecule, which facilitates
 tridentate Cys binding. When Cys is coordinated via a S^-
 NH_2 bidentate mode, both conformations are present in
 solution, but the *trans* rotamer is prevalent. However, in our
 system, when Cys is bound to the QD surface, the *trans*
 rotamer can be even more preferable, because of steric
 constraints.

Other previous studies have shown that one type of
 enantiomer of a chiral molecule can bind to the nanoparticle
 surface in different ways.^{28,55} For example, acetyl-L-Cys can
 bind to HgS via the SH and COOH groups or SH and Ac
 groups, depending on the synthesis conditions.⁵⁵ Sometimes,
 these bound molecules exhibit almost mirror-image config-
 urations on the QD surface, which gives rise to inverted CD
 spectra. These results were further confirmed by theoretical
 calculations.²⁸

In our study, Cys can bind to the QD surface in a tridentate
 and S^- - NH_2 bidentate fashion, as was shown above, based on
 FTIR and NMR data, and Cys molecules in these two
 coordination modes can adopt different rotamers: the *gauche-h*
 rotamer for the tridentate binding mode, and, prevalently, the
trans rotamer for the bidentate coordination (see Figure 7b).
 These two rotamer forms bound to the QD can be considered
 as almost diastereomers of each other, with respect to the
 relative position of the S^- and the NH_2 groups. Hence, we
 suggest that, because of these diastereomeric conformations,
 Cys bound in bidentate and tridentate modes can be the origin
 of the opposite CD signals. Therefore, at high concentrations,
 when the amount of Cys molecules bound in a bidentate mode
 increases, the CD signal produced by the tridentate ligands at
 low concentrations is partially compensated, resulting in an
 overall decrease of the CD signal.

Computational Studies of Cys Binding Modes. Based
 on the experimental observations presented above, a likely
 explanation for the change in the coordination of the adsorbed
 Cys ligands upon increase of Cys concentration is the
 emergence of ligand–ligand interactions. To confirm this, we
 conducted a thorough investigation by means of periodic DFT
 calculations (see the Materials and Methods section for
 details) in order to elucidate the binding modes of Cys
 molecules on the QD surface at different concentrations.
 Following an exhaustive iterative coverage analysis, we selected
 five theoretical models featuring 1–5 Cys molecules adsorbed
 on a $p(3 \times 3)$ supercell of a $\text{CdS}(0001)$ surface—
 corresponding to the structure of the exposed QD shell—
 with the objective of reproducing low, intermediate, and high
 coverage limits, respectively. For each surface coverage, we
 then considered all possible combinations of binding modes,
 rendering a total of 67 different structures. The results
 obtained for the most energetically favorable adsorptions are
 summarized in Figure 8, which also displays the binding modes
 adopted by each of the ligands per unit cell.

The analysis of the most stable configurations of the low-
 coverage model (Figure 8a) revealed that Cys ligands are
 predominantly bound to the QD surface in a tridentate
 conformation with the S^- , COO^- , and NH_2 groups
 coordinated to surface Cd^{2+} ions, in agreement with experi-
 ments. As can be seen in Figure 8b, the addition of a second
 ligand did not affect the Cys binding mode in the lowest 394

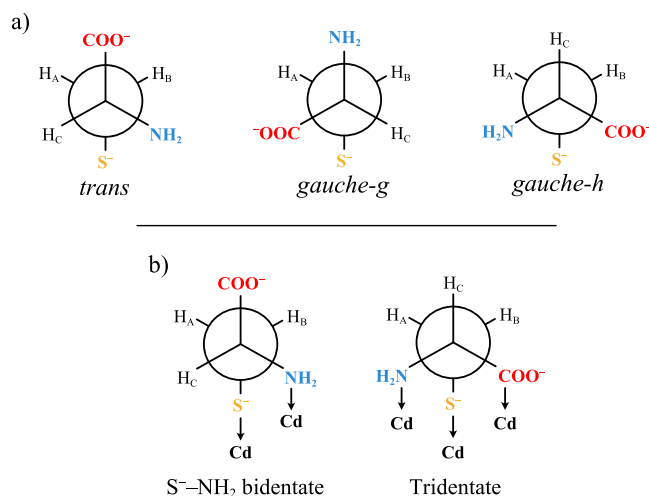


Figure 7. (a) Newman projections of the three rotamers of the Cys molecule. (b) Newman projections of S^- - NH_2 bidentate (left) and tridentate (right) coordination modes of Cys on the QD surface.

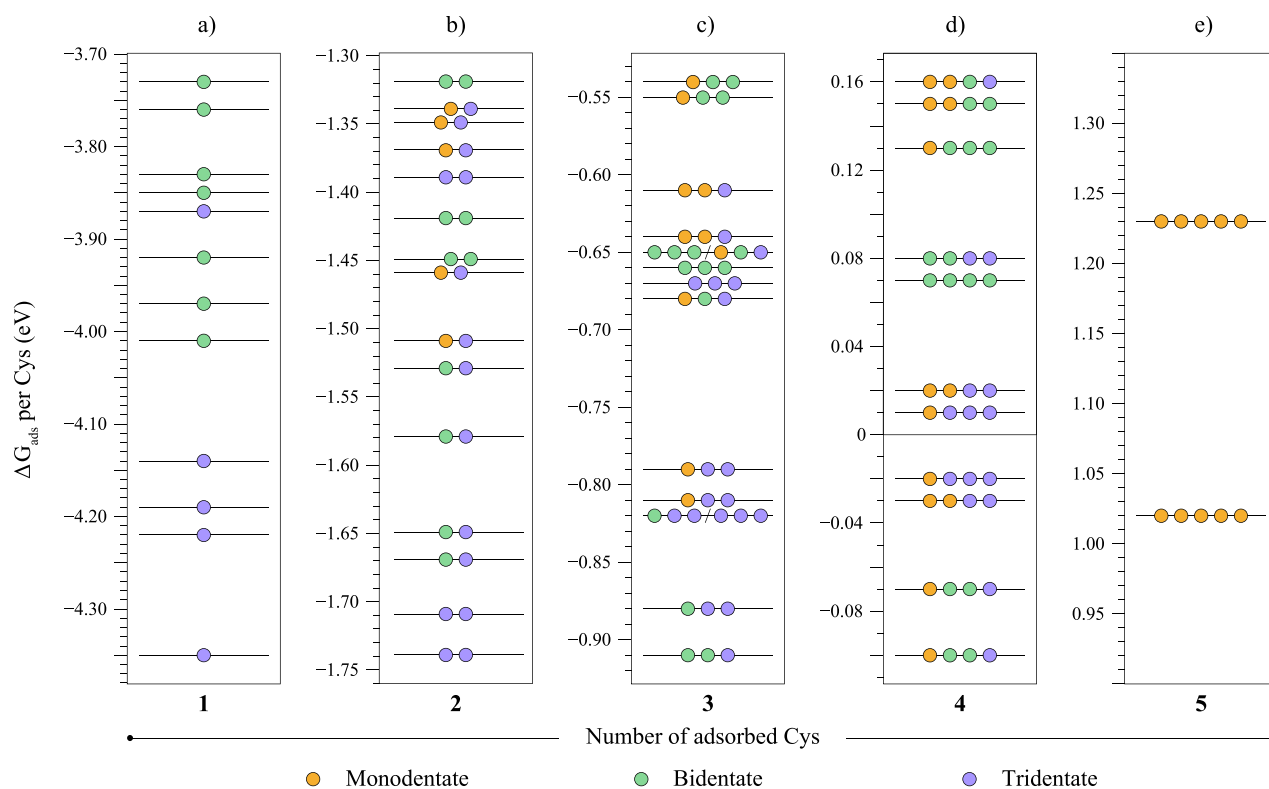


Figure 8. Calculated adsorption Gibbs energies, ΔG_{ads} , for the (a) low, (b, c) intermediate, and (d, e) high coverage models with 1–5 Cys molecules per unit cell. Each line represents a different configuration of the ligands within a given coverage. Monodentate, bidentate, and tridentate Cys binding modes are represented as orange, green, and purple circles, respectively. Gray areas indicate endergonic configurations; therefore, these are predicted to be unlikely. We note that, in panel (c), there are two sets of configurations that possess the same ΔG_{ads} value, up to the second decimal digit; these are represented on the same line and separated by a slash.

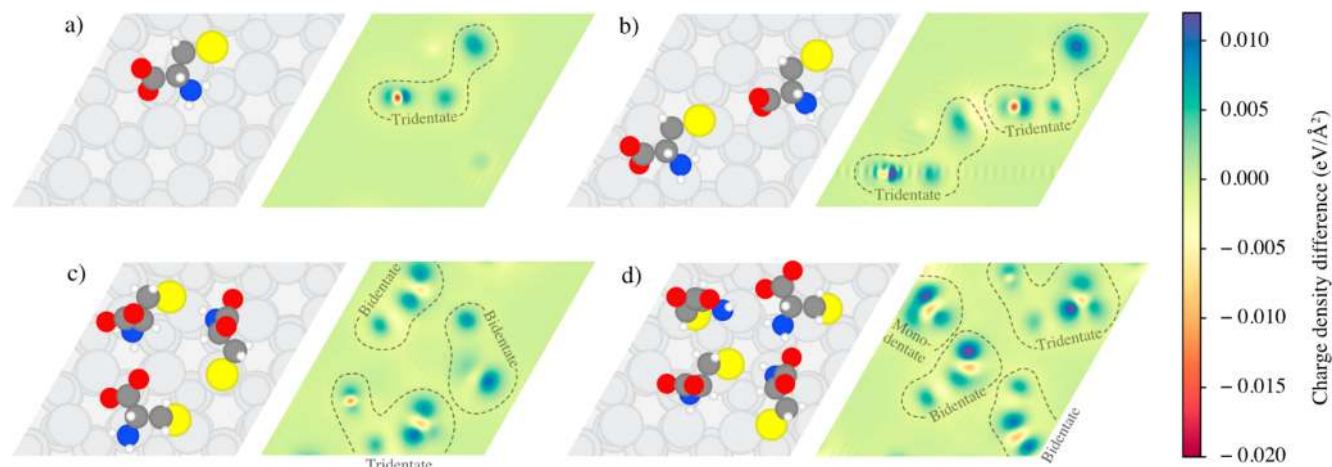


Figure 9. Optimized structures of the most stable configurations with a predicted exergonic adsorption for the (a) low, (b, c) intermediate, and (d) high coverage models featuring 1–4 Cys, alongside a 2D plot of the charge density difference across the interaction plane. [Atom color code: C (gray), H (white), N (blue), O (red), and S (yellow).]

395 energy configuration. However, DFT calculations predict the
 396 emergence of a relatively stable configuration (only 70 meV
 397 higher in energy, with respect to the lowest energy
 398 configuration) in which one of the Cys ligands becomes
 399 bidentate through the S^- and NH_2 groups, while the other one
 400 remained coordinated in a tridentate mode. This original
 401 configuration points toward a decrease in the prevalence of the
 402 tridentate mode at higher Cys coverages, which, again, is
 403 consistent with experimental observations. This was indeed
 404 observed upon the addition of the third and fourth Cys ligands

in the intermediate and high coverage models depicted in
 405 Figures 8c and 8d. In both cases, the bidentate conformation
 406 was found to predominate in the most stable configurations,
 407 where even a monodentate Cys was predicted to coexist on the
 408 surface covered with four ligands. An inclusion of a fifth Cys
 409 molecule was revealed to be energetically unfeasible based on
 410 the endergonic ΔG_{ads} values obtained for the models depicted
 411 in Figure 8e. The differential adsorption of the Cys in each
 412 coverage model is depicted in Figure S5 in the Supporting
 413 Information. 414

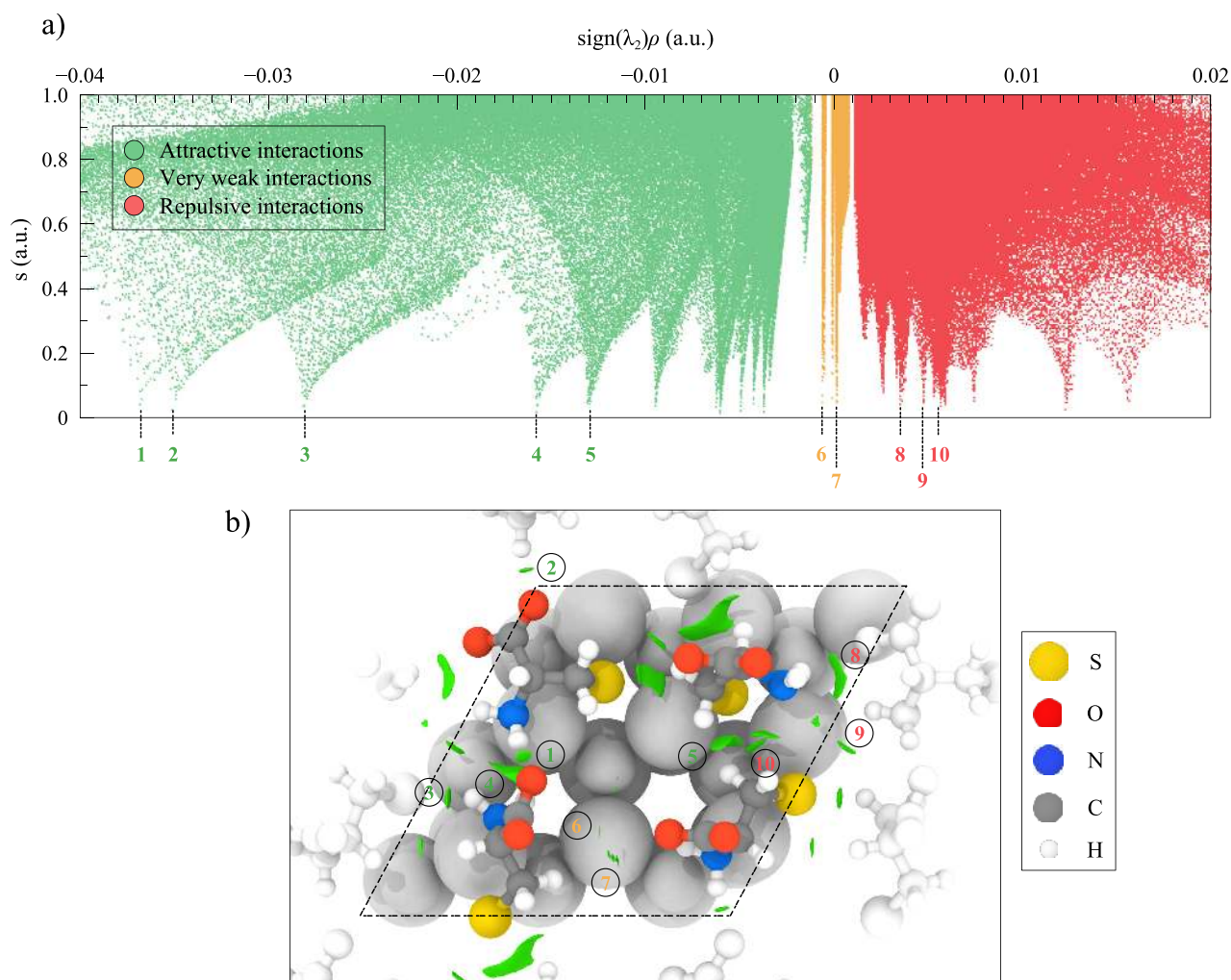


Figure 10. (a) Plot of s , as a function of $\text{sign}(\lambda_2)\rho$. (b) Representation of the intermolecular noncovalent interactions inside a unit cell, displayed as a green isosurface with an isovalue of $0.45 \text{ e}^-/(\text{a.u.})^3$. The 10 most relevant interactions are labeled in both the reduced density gradient plot and the representation of the noncovalent interactions. Atoms of Cys ligands from neighboring unit cells are colored white, while Cd and S atoms from the QD surface are colored light gray for clarity.

415 The lowest energy structures from the coverages including
 416 1–4 Cys ligands are represented in Figure 9, alongside two-
 417 dimensional (2D) plots of the charge density differences
 418 between the ligands and the QD surface. In these plots,
 419 warmer (orange and red) and colder (turquoise and blue)
 420 colors indicate a decrease and increase, respectively, in the
 421 charge density difference across the interaction plane. Hence,
 422 functional groups that lay on higher or lower charge-density
 423 difference regions are predicted to interact with the QD
 424 surface. This allows one to confirm the binding mode of each
 425 adsorbed Cys molecule, based on the number of functional
 426 groups laying on these regions.

427 To understand the change in the Cys binding mode
 428 observed from tridentate to bidentate and monodentate at
 429 high ligand concentrations, we subsequently analyzed the
 430 intermolecular noncovalent interactions (NCIs) on QD
 431 surfaces terminated with 2–5 Cys molecules (see the [Materials](#)
 432 [and Methods](#) section for details)—the low coverage model
 433 with 1 Cys per unit cell was not considered, since ligands in
 434 periodic images are too far apart to interact noncovalently.
 435 Importantly, the methodology employed herein allows for the
 436 semiquantitative analysis of these interactions by identifying
 437 the regions in which the atomic clouds of the Cys molecules

overlap. In short, since the electron density in these regions is 438
 maximum, the reduced density gradient approaches zero. 439
 Hence, by plotting the reduced density gradient (s) as a 440
 function of the density multiplied by the sign of the second 441
 eigenvalue of the Hessian matrix $\text{sign}(\lambda_2)\rho$, which effectively 442
 distinguishes if the interaction is attractive [negative] or 443
 repulsive [positive]), a series of peaks are obtained, which can 444
 be attributed to each NCI and its attractive or repulsive 445
 behavior, as described elsewhere.^{68,69} Attractive interactions 446
 include hydrogen bonding, dipole–dipole, and London 447
 dispersion interactions, while very weak interactions corre- 448
 spond to long-range van der Waals interactions; repulsive 449
 interactions mainly encompass steric effects. 450

The NCI analysis of the high coverage model with 4 Cys per 451
 unit cell is displayed in Figure 10. According to this 452
 representation, the three strongest attractive interactions 453
 correspond to the hydrogen bonding between the NH_2 454
 group and COO^- or S^- . Interestingly, these attractive 455
 interactions offset the steric clashes between Cys molecules, 456
 making this configuration stable with a ΔG_{ads} per Cys of ca. 457
 -0.10 eV (Figure 8d). However, the ability of Cys molecules 458
 to create such strong attractive interactions is hindered upon 459
 the addition of a fifth Cys (high coverage, Figure 8e), which is 460

461 derived in weaker attractive interactions and stronger steric
462 clashes leading to the unfavorable adsorption of further Cys
463 ligands. The NCI plots for both low and high coverage models
464 are presented in Figure S6 in the Supporting Information.

465 Overall, DFT calculations clearly show that, at low
466 concentrations, Cys molecules are predominantly adsorbed
467 on the Cd²⁺ ions of the QD surface in a tridentate mode via the
468 S⁻, NH₂, and COO⁻ groups. However, this trend in
469 coordination is altered as the Cys concentration increases.
470 Particularly, the high coverage models predict the prevalence
471 of bidentate Cys ligands bound via the S⁻ and NH₂ groups,
472 with the possibility of ligands present in a monodentate
473 coordination via the S⁻ moiety. These results are in good
474 agreement with the experimental data observed in the NMR
475 and FTIR spectra at low and high Cys concentrations, showing
476 the same trend in the coordination change with concentration.

477 CONCLUSIONS

478 Thus, in this work the influence of chiral Cys ligand
479 concentration on the chiroptical response intensity of CdSe/
480 CdS QDs has been investigated. Experiments demonstrated
481 that QD CD signal intensity increases with Cys concentration
482 at the beginning, then reaches a maximum and decreases at
483 high Cys concentrations. We found that the intensity of CD
484 signal showed a 10-fold increase at an optimal Cys
485 concentration of 0.26 mg/mL. NMR and FTIR analyses
486 demonstrated that Cys molecules adopt different binding
487 configurations on the QD surface at different ligand
488 concentrations. Particularly, at high concentrations, Cys
489 molecules are most likely bound to the QD surface via the
490 S⁻ and NH₂ groups, whereas at low concentrations, they are
491 coordinated via all three functional groups, i.e., S⁻, NH₂ and
492 COO⁻. Our results also suggest that tridentate and bidentate
493 configurations of bound Cys are almost diastereoisomeric
494 configurations, which gives rise to opposite CD signals. At high
495 Cys concentrations, however, at which large amounts of Cys
496 are bound in a bidentate mode, the CD signal can decrease as a
497 result of superposition of those opposite CD signals. These
498 results were fully supported by our DFT calculations, which
499 indicate a clear change in the coordination mode of Cys
500 molecules as the ligand concentration increases. Furthermore,
501 simulations indicated that variations in the binding modes are
502 caused by noncovalent interactions between the ligands.
503 Overall, this combined experimental and theoretical work
504 demonstrated that chiroptical properties of QDs are strongly
505 dependent on the concentration and binding modes of chiral
506 ligands, which is very important for the understanding of
507 chiroptical phenomena at the nanoscale and the future design
508 of advanced optically active nanomaterials. Since chirality plays
509 a key role in chemical and biological systems, the results
510 described herein are of considerable interest, from both a
511 fundamental and practical point of view, and may usefully
512 contribute to the development of potential applications of
513 optically active nanocrystals, including optical chiral sensing,
514 detection of various enantiomeric species, enantiospecific
515 separation, asymmetric catalysis, and biological imaging.

516 MATERIALS AND METHODS

517 **Chemicals.** All chemical reagents were of analytical grade and used
518 as purchased without further purification. L-Cys and D-Cys, HPLC
519 acetone, HPLC hexane, HPLC toluene, oleic acid (90%), 1-
520 octadecene (ODE, 90%), selenium (99.99%), sulfur (99.998%),
521 oleylamine (98%), trioctylphosphine (TOP, 97%), and trioctylphos-

phine oxide (TOPO, 99%) were purchased from Sigma–Aldrich. 522
Cadmium oxide (99.995%) were purchased from Alfa Aesar. 523
Hydrochloric acid, methanol, chloroform, and potassium hydroxide
524 were purchased from Sigma–Aldrich and used for phase-transfer
525 procedure. Toluene and distilled water (Millipore) were used as
526 solvents. 527

Synthesis of CdSe QDs. CdSe QDs were synthesized following
528 the protocol described in a previous work.³³ A 0.2 M Cd-oleate stock
529 solution (in ODE) was prepared by adding 0.257 g of CdO to 2 mL
530 of oleic acid in 8 mL of ODE, degassing it under reduced pressure,
531 and then heating to 300 °C under argon, followed by cooling to 30
532 °C. A 1.5 M Se-TOP solution was prepared by dissolving 0.3553 g of
533 Se in 3 mL of TOP, using sonication under argon. Next, CdSe core
534 nanocrystals were prepared by mixing 4.5 g of octadecylamine, 1.5 g
535 of TOPO, 12 g of ODE, and 3 mL of Cd-oleate solution in a three-
536 neck round-bottom flask. This mixture was then degassed at 90 °C for
537 30 min, flashed with argon, and then heated to 290 °C. Upon
538 reaching this temperature, the Se-TOP solution above was injected
539 and the reaction vessel was immediately removed from the heat. The
540 solution was allowed to cool to room temperature, followed by the
541 addition of 20 mL of acetone to the mixture to isolate CdSe QDs. 542

Synthesis of CdSe/CdS QDs. This synthesis was also performed
543 as reported in a previous work.³³ The volumes used were calculated
544 using the SILAR approach to control the precise thickness of CdS
545 deposited. Initially, a 0.1 M cadmium stock solution was prepared by
546 adding 0.514 g (4 mmol) of CdO to 8 mL of oleic acid and 32 mL of
547 ODE, which was degassed, then heated to 300 °C under argon, and
548 finally cooled to 30 °C. A 0.1 M sulfur stock solution was prepared by
549 dissolving 0.128 g (4 mmol) of S in 40 mL of ODE at 180 °C under
550 argon (should appear as a yellow solution, changing from a very light
551 straw color at 120 °C). Next, 33.2 mL of oleylamine, 66.4 mL of
552 ODE, and 2.24 × 10⁻⁶ mol of QDs were added to a 250-mL three-
553 neck round-bottom flask. This was heated to 50 °C and degassed for
554 60 min, followed by injection of 5.7 mL of Cd stock solution and,
555 finally, heating to 230 °C. After 10 min at this temperature, 5.7 mL of
556 S solution was injected, followed by a 10 min wait. The reaction
557 mixture was then heated to 250 °C for 1 h to fully allow the reaction
558 to complete the growth of the first shell. At this time, 25 mL of the
559 reaction solution was removed and allowed to cool under argon,
560 producing the first sample. Subsequently, following the same
561 procedure, 6.2 mL of 0.1 M Cd and S stock solution was added to
562 grow the second shell, removing 25 mL of reaction solution again to
563 produce the second shell sample. This overall procedure was repeated
564 three times, injecting 6 mL for shell 3, 5.2 mL for shell 4, and 3.85 mL
565 for shell 5, following which the solution was allowed to cool to room
566 temperature, at which time 20 mL of acetone was added to precipitate
567 the samples using centrifugation. The precipitate was redispersed in
568 a minimum volume of hexane and then precipitated using acetone. This
569 procedure was repeated twice to produce a cleaned QD sample. 570

Ligand Exchange of the CdSe/CdS Core/Shell Quantum
Dots with Chiral Cysteine Molecules. Cysteine ligand exchange
571 was performed using the previously reported method,^{14,33} with some
572 modifications. Briefly, 750 μL of CdSe/CdS QDs in chloroform with
573 the concentration of 12 μM was precipitated with methanol (1 mL).
574 Centrifugation was used to separate precipitated QDs from solution,
575 which were redissolved in chloroform (750 μL). Then, 75 μL of a
576 cysteine solution in methanol (0.27 mM) was added to the QD
577 chloroform solution, shaken, and left for 2 min. Next, 750 μL of an
578 aqueous 0.01 M KOH solution was added, therefore adjusting the pH
579 to 13 and forming a bilayer solution. The layers were then mixed by
580 gentle inversions multiple times until the majority of the QDs were
581 transferred to the aqueous layer, as indicated by color change. The
582 sample was then centrifuged in order to fully separate the layers and
583 remove aggregates (15 000 rpm, 1 min). Finally, the aqueous layer
584 was extracted using a pipet and stored between 2 and 5 °C. 585

Preparation of CdSe/CdS QD Solutions with Cys for NMR
Analysis. After ligand exchange, QDs still contained some amount of
586 residual hydrophobic molecules on the surface. In order to remove
587 those molecules, the QDs were purified after phase transfer by
588 washing with excess cysteine (20 mg/mL) using Millipore Sigma
589 590

592 Amicon Ultra centrifugal filter units. To obtain a sample with a low
593 amount of Cys the excess cysteine was removed by washing of QDs
594 with a pH 13 KOH solution. High Cys concentration solution pH was
595 adjusted to 13 via the addition of concentrated KOH solution. Cys-
596 QD solutions for NMR analysis were prepared and measured under
597 argon atmosphere to avoid Cys oxidation. H₂O was used instead of
598 D₂O to avoid the replacement of H atoms in amino group of Cys with
599 D atoms, which could influence the results of the measurements.

600 **Preparation of CdSe/CdS QD Samples with Cys for FTIR**
601 **Analysis.** After ligand exchange, QDs were washed several times with
602 an excess of Cys (20 mg/mL) to replace all the residual surfactant
603 ligands, which could be the cause of experimental artifacts using
604 Millipore Sigma Amicon Ultra centrifugal filter units. Sample with a
605 high amount of Cys were prepared via the precipitation of QDs with
606 acetone from the solution with a Cys concentration of 20 g/mL. To
607 obtain the sample with the low amount of Cys, the QDs were washed
608 two times with KOH solution with pH 13 to gradually decrease the
609 amount of Cys and then were precipitated with acetone. Samples were
610 dried at a temperature of 70 °C overnight to remove remains of the
611 water and acetone.

612 **Equipment.** UV-vis absorption spectroscopy was performed using
613 a Cary 50 spectrophotometer (Varian, Australia). CD spectroscopy
614 was performed using a Jasco J-815 CD spectrometer operating under
615 a N₂ flow of 5–8 L/min. TEM was performed using a FEI Titan
616 electron microscope operating at a beam voltage of 300 kV. FTIR
617 spectra were recorded on a Spectrum 100 instrument (PerkinElmer).
618 NMR studies were performed using a Bruker Avance III 400 NMR
619 spectrometer operating at 400.23 MHz for ¹H and 100.64 MHz for
620 ¹³C. The NMR spectra were acquired and processed using Bruker
621 Tospin 3.6 software. Standard ¹H and ¹³C (proton -decoupled) pulse
622 sequences were taken from the Bruker pulse program library.

623 **Computational Methods.** DFT calculations reported in this
624 work were performed using projector-augmented wave (PAW)
625 pseudopotentials⁷⁰ and the Perdew–Burke–Ernzerhof (PBE) func-
626 tional,⁷¹ as implemented in the Vienna *Ab Initio* Simulation Package
627 (VASP) code, version 5.4.4.^{72,73} In order to determine the optimal
628 parameters for the optimization of the CdS bulk, the reciprocal space
629 was sampled using Γ -centered *k*-point grids of size 3 × 3 × 3, 5 × 5 ×
630 5, 7 × 7 × 7, and 9 × 9 × 9 and an energy cutoff of 500 eV.
631 Complying an energy convergence criterion of 1 meV/atom, a Γ -
632 centered *k*-point grid of size 5 × 5 × 5 was used for following bulk
633 calculations.

634 Periodic slab calculations were performed using a plane wave
635 kinetic energy cutoff of 500 eV and a vacuum spacing of 15 Å along
636 the *z*-axis, sampling the reciprocal space using a Γ -centered *k*-point
637 grid of size 3 × 3 × 1, based on the optimized *k*-point density found in
638 the above bulk calculations. To model the surface of the core/shell
639 QD, a four-layer Cd-terminated CdS(0001) surface with a (3 × 3)
640 periodicity was employed for all the Cys adsorption calculations,
641 which is equivalent to the experimentally predominant (0002) plane
642 observed in the XRD patterns of CdS, CdSe, and CdSe/CdS
643 heterostructures.⁷⁴ In these structures, atoms in the two topmost
644 layers were allowed to relax from their initial positions, whereas the
645 rest of the atoms were kept fixed at their bulk positions.

646 To assess the influence of Cys concentration on their binding
647 modes, an initial Cys molecule was adsorbed on the slab with a net
648 charge of −2 per molecule, which accounts for the 2 negative charges
649 from the deprotonated carboxyl and thiol groups in Cys at the
650 experimental pH (pH 13). A total of 16 calculations were performed
651 for the adsorption of 1 Cys molecule on the surface, considering all
652 possible starting binding modes (Figure 3) while sampling all the
653 available surface adsorption sites. To simulate an increase in the
654 surface ligand concentration, the following calculations were
655 performed: (i) a second Cys was adsorbed on the lowest energy
656 structure containing 1 Cys ligand, and (ii) two Cys were adsorbed on
657 the pristine CdS(0001) surface to account for other potential binding
658 modes. This process was repeated with the addition of further Cys
659 molecules, until the computed ΔG_{ads} of an additional ligand became
660 endergonic. This procedure resulted in a total of 67 calculations; the
661 most stable ones are represented in Figure 8.

Gibbs corrections to the DFT-calculated potential energies were
662 computed for the QD surfaces with one adsorbed Cys at the
663 experimental temperature of 300 K and pressure of 1 atm. These
664 corrections included the zero point energy (ZPE), vibrational
665 enthalpy, and entropy terms obtained by means of the harmonic
666 approximation using the Thermochemistry module implemented in
667 the Atomic Simulation Environment (ASE) package.⁷⁵ The Gibbs
668 energy for the isolated Cys molecule was calculated at the same
669 temperature and pressure by means of the ideal gas approximation,
670 adding the ZPE and the translational, rotational, vibrational, and
671 electronic contributions of the constant-pressure heat capacity, plus a
672 k_{B} term. The Gibbs corrections obtained for the lowest energy
673 configurations with a single monodentate, bidentate, and tridentate
674 Cys ligand were employed to calculate the Gibbs corrections for
675 higher coverages. For instance, the Gibbs energy of a high coverage
676 model with 2 monodentate and 2 bidentate ligands was calculated as
677 follows: 678

$$G_{2\text{mono}+2\text{bi}} = E_{2\text{mono}+2\text{bi}} + 2\Delta G_{\text{mono}} + 2\Delta G_{\text{bi}}$$

where $G_{2\text{mono}+2\text{bi}}$ is the Gibbs energy of a set of two monodentate and
679 two bidentate Cys adsorbed on the surface, $E_{2\text{mono}+2\text{bi}}$ is the potential
680 energy of the same system, and ΔG_{mono} and ΔG_{bi} are the Gibbs
681 energy corrections of adsorbed monodentate and bidentate Cys
682 ligands on their own, in their lowest energy configurations. Gibbs
683 energies of adsorption of Cys molecules on the QD surface were
684 calculated with the following formula: 685

$$\Delta G_{n \times \text{Cys}^*} = G_{n \times \text{Cys}^*} - n \times G_{\text{Cys}} - E_*$$

where $\Delta G_{n \times \text{Cys}^*}$ is the Gibbs energy of adsorption of *n* Cys molecules
686 (the asterisk symbol (*) indicates that the ligands are adsorbed on a
687 surface site), $G_{n \times \text{Cys}^*}$ is the total Gibbs energy of the system, G_{Cys} is the
688 Gibbs energy of a Cys molecule in the gas phase, and E_* is the
689 potential energy of the clean surface. 690

Finally, noncovalent interactions within the ligand phase were
691 calculated by means of the Critic2 software,⁷⁶ by computing the
692 electron density, $\rho(r)$, and reduced density gradient, $s(r)$: 693

$$s(r) = \frac{|\nabla\rho(r)|}{2(3\pi^2)^{1/3}\rho(r)^{4/3}}$$

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the
696 ACS Publications Web site at DOI: The Supporting
697 Information is available free of charge on the ACS Publications
698 website at DOI: 10.1021/acsnano.9b07513. 699

CdSe/CdS QD TEM and PL data, D-Cys CdSe/CdS
700 QD CD spectra, full free Cys, Cys-, and oleylamine-
701 capped CdSe/CdS QD FTIR spectra, differential
702 adsorption vs Cys coverage, NCI plots of DFT models.
703 All the DFT data underlying this work, including the
704 Cartesian coordinates of the modeled structures and
705 energies, are available at the following ioChem-BD
706 online dataset: 10.19061/iochem-bd-6-20 (PDF) 707

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721 Author Contributions

722 The manuscript was written through contributions of all
723 authors. All authors have given approval to the final version of
724 the manuscript.

725 Author Contributions

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727 Notes

728 The authors declare no competing financial interest.

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