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Effect of Density on Skin Friction Response of Piles Embedded in Sand by Simple Shear Interface Tests

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2 **Simple Shear Interface Tests**

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15 Abstract

16 This study focuses on a particular phenomenon related to the reduction in sand-pile skin friction
17 with initial relative density increment from medium to dense. Frictional behaviour of sand-pile
18 interface is simulated using a simple shear-type device capable of inducing constant normal
19 stiffness condition. Sand-pile interface sliding and soil deformation components are
20 distinguished quantitatively. The effects of initial relative density of sand, initial normal load,
21 and constant normal stiffness are examined on the magnitude of the pile skin friction and shear
22 displacement at failure. Results indicate that the magnitude of the mobilized shear stress at
23 failure significantly relies on the shear stress state concerning the inflexion point on volume
24 change graph, which is equivalent to the position of peak stress ratio. Good correlations exist
25 between results of this study and field data of several heavily instrumented piles embedded in
26 dense to very dense sands. The presented procedure is a useful framework for establishing more
27 accurate skin friction calculation methodologies and t-z curve developments of axially loaded
28 piles.

29 **Keywords:** Sand-pile interface, Non-displacement piles, Constant normal stiffness, Total
30 tangential displacement, Shear deformation, Sliding displacement.

31 **Introduction**

32 The sand-pile interface zone controls the shear deformation and the ultimate shaft resistance of
33 piles embedded in sand layers. Interface zone experiences large plastic simple shear mode
34 strains (Fioravante 2002). Typically, the surrounding soil constrains any volume changes at the
35 interface zone of a pile shaft (Fakharian 1996; Fakharian and Evgin 1997). When interface tests
36 are carried out in the lab between sand and pile surface (steel or concrete), two factors are very
37 significant, requiring special attention. They include “sliding displacement” between sand-
38 plate and “volumetric compression/dilation” of the surrounding soil. The compression/dilation
39 response can be simulated using a specific boundary condition normal to the contact surface
40 known as “constant normal stiffness”. However, it should be noted that as the soil response to
41 stress is nonlinear even at small magnitudes of strain, a constant normal stiffness may not
42 adequately simulate the real response of the surrounding soil to normal displacement and
43 normal stress variations. However, as simulation of variable normal stiffness is much more
44 complicated, the constant normal stiffness has been used by researchers in several studies (e.g.,
45 DeJong and Westgate 2009; DeJong et al. 2003; Evgin and Fakharian 1998; Fakharian and
46 Evgin 1997; Frost et al. 2004; Mortara et al. 2007; Poulos 1989; Randolph 2012). Moreover,
47 the volumetric behavior of interface plays a substantial role in mobilized shear strength
48 (Mortara et al. 2007; Wernick 1978). Therefore, the volumetric behavior of interface needs to
49 be taken into account for evaluating the frictional response of piles embedded in sand.

50 Both direct shear and simple shear type interface apparatuses have been utilized by many
51 researchers (e.g., Desai et al. 1985, DeJong and Westgate 2009; DeJong et al. 2003; Evgin and
52 Fakharian 1998; Fakharian and Evgin 1997; Frost et al. 2004; Mortara et al. 2007; Poulos 1989;
53 Randolph 2012). Initially, Uesugi and Kishida (1989, 1991) implemented a simple shear type
54 box instead of the direct shear box. Subsequently, Fakharian and Evgin (1993, 1996) developed
55 an apparatus capable of both direct shear and simple shear soil containers. They performed a

56 series of direct shear and simple shear sand-steel plate interface tests. Comparing test results
57 of the two soil containers indicated that peak and residual shear strengths from direct shear and
58 simple shear boxes are almost identical. However, the simple shear box facilitates measuring
59 and distinguishing the soil deformation and sliding displacement components (Fakharian and
60 Evgin 1995). In other words, as far as the strength parameters of the interface are concerned,
61 both direct shear and simple shear boxes are expected to result in similar magnitudes. However,
62 for stiffness calculations and more detailed aspects of soil-structure frictional response, the
63 simple shear method is preferred from the point of view of the authors. The advantages of
64 simple shear box with respect to direct shear boxes become even more evident when cyclic
65 loading is concerned, as pointed out in detail by Fakharian (1996) and Fakharian and Evgin
66 (1995).

67 The load transfer (or t-z) method is one of the most widely used techniques in load-movement
68 analysis of axially loaded piles. There are relations of shear stress between soil and pile skin
69 on the pile shaft (denoted by t) and relative pile shaft movement at the same elevation (denoted
70 by z) known as t-z curves in literature. The t-z method provides capability of modelling the
71 reaction of soil surrounding the pile shaft using localized springs. Preferably, the nonlinear
72 stress-strain behavior of soil should be incorporated into the t-z curves (Seidel and Coronel
73 2011). Recently, t-z curves obtained through accurate instrumentation and measurements on
74 several pile shafts during axial loading of the pile in the field have provided valuable results
75 on shaft resistance and response (Benzaria et al. 2013; Flynn and McCabe 2015; Puech 2013,
76 Li et al. 2017). The t-z method provides a platform to model sand-pile frictional behavior
77 through sand-steel/concrete element testing (Pra-ai and Boulon 2017; Randolph 2012).

78 All existing t-z approaches generally have parameters requiring to be determined by curve
79 fitting of measured data obtained from field trial tests (Ni et al. 2017). But few projects can
80 justify the high costs of the site specific and heavily-instrumented pile load tests. As a result,

81 the design stage could rely primarily on laboratory test data such as principally constant normal
82 stiffness (CNS) tests on sand-structural surface interface (Randolph 2012). In driven piles,
83 stress condition severely changes during pile penetration (Lehane et al. 1993; Yang et al. 2013).
84 Moreover, the surrounding soil undergoes volumetric and shear strains resulting in an increase
85 of the adjacent soil density. An interface zone develops around the pile shaft consisting of fine
86 broken soil particles (White and Bolton 2004). After pile installation, the density, particle size
87 and stress state of adjacent soil significantly alter. Therefore, laboratory interface test data may
88 not be applicable to account for the conditions of after pile installation around the pile shaft,
89 unless the end-of-pile-installation conditions could adequately be simulated at the beginning
90 of the interface shearing. As an alternative, the direct pile design methods based on cone
91 penetration test (CPT) could be used to correlate the pile tip and shaft capacities to the
92 penetrometer readings. In this research, a complete set of simple shear sand-steel plate interface
93 tests are performed assuming the condition of a non-displacement pile embedded in sand
94 subjected to monotonically increasing the axial load.

95 Fakharian and Evgin (1997) and Evgin and Fakharian (1996) performed “constant normal
96 load” and “constant normal stiffness” tests on an interface between sand and a steel plate using
97 a simple shear-type soil container. Their results showed that a considerable portion of the
98 displacements due to shear deformation of sand took place before the peak shear strength was
99 reached. After that, the shear deformation of sand mass was negligibly small while the sliding
100 displacement at the contact surface continued. The sliding at sand-pile contact surface can be
101 considered as the main reason of shear strength reduction and hence shear failure (DeJong and
102 Westgate 2009; Fakharian and Evgin 1997; Mortara et al. 2007; Uesugi et al. 1988; Zhang and
103 Zhang 2006).

104 Field observations revealing low magnitudes of frictional resistance of continuous flight auger,
105 CFA, piles embedded in dense to very dense sands are reported (e.g., Puech 2013). Frictional

106 resistance of the segments of the pile embedded in dense to the very dense zone is observed to
107 be lower than those obtained from cone penetration results through the sand. Load transfer (t-
108 z) curve results of Puech study showed that frictional resistance reduced by density increment
109 from relatively dense (around 65%) to dense (D_r of around 80%). This specific observation
110 was the main motive of the present study to further evaluate the mechanism of sand-pile
111 interface frictional behaviour and the dependency of the frictional behaviour on the sand
112 relative density. It is also of practical interest (in development of t-z relations) to find out the
113 tangential displacement at which the shear failure occurs.

114 Adequate instruments such as strain gages are generally installed in piles to measure axial
115 deformation (Krasinski and Wiszniewski 2017). The values of axial forces (Q_i) are deduced
116 from pile shaft deformation measurements and Hooke's law ($Q_i = E_i \varepsilon_i A_i$, in which E_i , ε_i and
117 A_i are elasticity or Young modulus, axial strain and pile cross section area of the pile in section
118 'i' of pile core, respectively). The deduced axial force is dependent on axial strain, Young
119 modulus and cross-section area of the pile. The effect of reinforcement on Young modulus
120 should be considered in reinforced concrete shafts. Additionally, in the case studies presented
121 here, extensometers are also installed along the piles' shaft to directly measure the incremental
122 displacements inferred as z component in produced t-z curves.

123 A comprehensive laboratory test program was planned to investigate a crushed silica sand-
124 steel interface behaviour under different conditions. Simple shear interface tests with initial
125 relative densities 30, 65 and 85% and initial normal stresses 50, 100, 200, and 300 kPa were
126 performed under various magnitudes of constant normal stiffness (CNS). The simple shear
127 interface test apparatus used is designed in such a way to accurately measure the "sliding
128 displacement" between sand and steel plate that takes place during shearing of the interface.
129 Additional tests were also performed using a ring shear apparatus equipped with piezoelectric

130 bender elements followed by measuring the shear wave velocity for more precise
131 characterization of the sand both under small and large strains.

132 Soil “shear deformation” and “sliding” at the sand-pile interface are evaluated and the state of
133 stress and deformation/sliding at “phase transformation” and “peak stress ratio” are illustrated.
134 The effects of initial relative density of sand, initial normal stress, and constant normal stiffness
135 on the interface behaviour and soil deformability are evaluated and discussed. Furthermore,
136 practical implications of this comprehensive experimental study are evaluated through
137 comparisons between the interface test results of this study with interface behaviour
138 measurements of Puech (2013) and Li et al. (2017) of several heavily instrumented piles
139 embedded in sand and silty sand. The presented results could be further used for modifications
140 of the pile skin friction resistance relations as well as t-z curve methodologies of non-
141 displacement piles embedded in sand.

142 **Simulation of Confining Pressure of Piles Embedded in Sand**

143 As illustrated in Figure 1, the confinement effect from the far-field soil around the pile shaft
144 can be simulated using linear springs perpendicular to the pile skin (Boulon and Forary 1986;
145 Suriyavut Pra-ai and Boulon 2017). The simulated springs impose a normal stiffness condition
146 onto the interface plane at any elevation between the pile shaft and the soil (Jardine et al. 2005).
147 As a result, any alteration in the condition of the soil at the interface zone such as
148 “compression” or “dilation” may cause a “decrease” or an “increase” in the magnitude of the
149 normal stress acting on the interface, respectively (Fakharian 1996). Changes in the effective
150 radial stress ($\Delta\sigma_n$) as a result of relative movement between pile shaft and soil tend to increase
151 with the sand shear modulus and decrease with the pile diameter. Cavity expansion theory can
152 then be used to define the far-field soil stiffness as shown in Equation 1 (DeJong et al. 2003;
153 Jardine et al. 2005; Vesic 1972; Yu 2013):

$$154 \quad K = \frac{\Delta\sigma_n}{\Delta v} = \frac{4G}{D} \quad (1)$$

155 where $\Delta\sigma_n$ is normal stress variation acting on the interface, Δv is normal displacement
156 variation, G is surrounding soil shear modulus, and D is pile diameter.

157 For non-displacement piles without significant horizontal stress variations during the pile
158 installation, initial radial stress σ_r is equivalent to the local free field horizontal effective stress.

159 Therefore, the coefficient of lateral earth pressure at rest, K_0 could be used to estimate initial
160 σ_r .

161 It is therefore rational to model the pile shaft shear stress-displacement and shear resistance
162 through simple shear interface testing by applying a normal stress perpendicular to the interface
163 plane (representing radial stress in the field) and a shear stress parallel with the interface plane
164 (Figure 1). The normal boundary condition imposed by the surrounding soil is simulated
165 through imposing a normal stiffness, K , on top of the testing box (Fakharian 1996; Fakharian
166 and Evgin 1997; Shahrour et al. 2013; Pra-ai and Boulon 2016, 2017).

167 **Materials and Test Methods**

168 ***Soil and Pile Materials***

169 The soil used in this research is crushed silica Firuzkuh sand (No. 131), supplied from Firuzkuh
170 mine in the northeast of Tehran, Iran. It is generally a uniform silica sand (SP) with median
171 grain size (D_{50}) of 0.71 mm, a specific gravity of 2.65, minimum and maximum void ratios of
172 0.642 and 0.919, respectively. The internal friction angles of sand are measured as 33.70, 35.04
173 and 36.10 degree, respectively, for 30, 65 and 85% relative densities, using direct shear test.
174 Particle size distribution curve of this sand is plotted in Figure 2. The specific gravity is

175 measured using ASTM D891-18. Minimum and maximum index densities are determined
176 using ASTM D4254-16 and ASTM D4253-16, respectively.

177 To study the sand-steel interface response to shear loads, quite a few experiments were
178 conducted on the interface between dry sand and a rough steel plate. An ST37 stainless steel
179 plate is used, and sandblasting was employed to produce an average surface roughness (R_{max})
180 of 32.7 μm for a gauge length of (L_c) 0.8 mm. A sample surface profile of the ST37 steel plate
181 is presented in Figure 3.

182 Relative roughness, R_n is generally used to normalize the structure surface roughness. It is using
183 D_{50} of the soil grains at the contact surface and has been defined as $R_n = R_{max}(L = D_{50}) / D_{50}$
184 (Kishida and Uesugi 1987). The individual particle interlocks with the structural surface at the
185 largest individual heights (asperities) and depths of the surface profile along a distance
186 equivalent to the particle length. Therefore, a gage length equivalent to the median size of
187 particles, D_{50} is appropriate to measure R_{max} (maximum height to depth difference). The relative
188 roughness, R_n is 0.05, representing the pile surface relative roughness. This magnitude is very
189 important for two main reasons which are implicitly correlated. They are failure mode and the
190 maximum mobilized interface friction angle (D'Aguiar et al. 2008). Besides, the coefficient of
191 interface friction can be used to correct the surface roughness of the steel over a wide range of
192 sand particle diameters (Kishida and Uesugi 1987). The normalized roughness coefficient is
193 generally more than 0.05 for the typical pile surfaces such as oxidized mild steel or concrete
194 (Randolph 2012). An average value of 5 surface roughness measurements along the interface
195 shearing direction is reported as the value of R_{max} . The surface roughness parameters are
196 determined from the surface profile as presented in Figure 3. The gage length ($L_c = 0.8$ mm)
197 is selected almost close to the median grain size of the sand, which was 0.71 mm. The gauge
198 length chosen ($L_c = 0.8$ mm) is the closest available value to the median grain size.

199 Skewness is defined as:

$$200 \quad R_{sk} = \frac{1}{R_q^3} \left[\frac{1}{L_c} \int_0^{L_c} Z^3(x) dx \right] \quad (2)$$

201 where Z is individual heights (asperities) and depths of the surface profile. R_q is root-mean-
202 square roughness, defined as:

$$203 \quad R_q = \left[\frac{1}{L_c} \int_0^{L_c} Z^2(x) dx \right] \quad (3)$$

204 The results of surface roughness parameters are shown in Table 1.

205 Recent studies on CFA piles have revealed a direct correlation between surface topography of
206 pile with the type of surrounding soil. The surface roughness parameters of CFA pile surpass
207 the comparative surface roughness parameters of a shot-blasted or a sand-blasted concrete (i.e.,
208 after artificial laboratory treatments). Among the available surface parameters, skewness is a
209 parameter that controls surface friction. It has been reported that surface friction is higher for
210 larger skewness values (Chen et al. 2019; Sedlaček et al. 2009, 2012). The measured skewness
211 value for the surface of the CFA pile in contact with silty sand for three piles was measured as
212 0.1. The measured skewness value for the employed surface in this study is 0.085, indicating a
213 surface having a friction even slightly lower than those reported for CFA piles surfaces
214 embedded in similar strata. Therefore, the utilized roughness in the experiments presented in
215 this study satisfactorily corresponds to the surface roughness of the target pile case study.

216 In the laboratory scale, shear wave velocity of the specimen (V_s) is measured using bender
217 element (e.g., Alvarado and Coop 2012; Lee and Santamarina 2005; Viggiani and Atkinson
218 1995) and it is being calculated as shown in Equation 4:

$$219 \quad V_s = \frac{d_{sr}}{t} \quad (4)$$

220 Where t is the travel time of the pulse and d_{sr} is the tip-to-tip distance between the source and
 221 receiver bender elements.

222 Vibrations generated by a bender element induce a small strain shear wave that propagates
 223 through the soil. The measured modulus at this low strain level is within the linear elastic
 224 domain and dependent on the confinement from the surrounding soil. Generally, V_s is related
 225 to the average stress on the polarization plane (σ'_0), and it can be defined as Equation (5) (Cha
 226 and Cho 2007; Hardin and Richart 1963; Lee and Stokoe 1986).

$$227 \quad V_s = \sqrt{\frac{G_{\max}}{\rho}} = a \left(\frac{\sigma'_0}{1 \text{ kPa}} \right)^\beta \quad (5)$$

228 where G_{\max} is the small-strain shear modulus. At $\sigma_0 = 1$ kPa, $a = V_s \cdot \beta$ shows the sensitivity of
 229 V_s to the state of stress.

230 The vertical stress was applied incrementally to both loose and dense specimens every 20
 231 minutes. The magnitude of normal stress was varied between 50 to 900 kPa at increments of
 232 50 kPa. Unloading stages were also included in the experiment; steps from 900 down to 100
 233 kPa with 100 kPa decrements, every 20 minutes. In every step, the dial gauge readings and
 234 shear wave signals were recorded before the next loading step. The values of shear wave
 235 velocity and shear modulus with respect to vertical shears for three different relative densities
 236 30, 65 and 85% are provided in Figure 4. It is observed that the calculated magnitudes using
 237 Equation 3 are in fair agreement with the measurements.

238 *Simple Shear Apparatus*

239 The sand-steel interface behaviour is tested using a fully-automated simple shear interface
 240 apparatus developed by Global-MTM. A picture of the Global MTM simple shear apparatus
 241 as well as an image of a sample are presented in Figure 5. The simple shear apparatus is capable

242 of applying two orthogonal forces simultaneously, tangent and perpendicular to the interface
243 plane to simulate shear (τ) and normal (σ_n) stresses in horizontal (x) and vertical (z) directions,
244 respectively. The constant normal stiffness is maintained throughout the tests using a closed-
245 loop computer-controlled system, ordering the servo system on z axes to adjust variations of
246 normal stress with respect to normal displacement as a constant K . For more details, please
247 refer to Fakharian (1996) and Fakharian and Evgin (1996, 1997). The soil container is a simple
248 shear box with an interior area of 100×100 mm built using stacks of 2-mm-thick Teflon-
249 coated, anodized, aluminum plates. A steel plate larger than the sand surface (150×200 mm)
250 is used as the base for this simple shear box to keep the contact surface area constant during
251 sliding. This configuration for interface tests is similar to those used by Uesugi and Kishida
252 (1986) and Fakharian and Evgin (1997). To prevent leakage of the sand particles throughout
253 shearing, a thin layer of polyurethane double-sided adhesive foam covered with a Teflon sheet
254 is attached to the bottom surface of the lowest aluminum plate. As a result, no leakage of sand
255 particles was observed during interface sliding.

256 Furthermore, differences between the sliding displacement along the contact surface and the
257 displacement resulting from the shear deformation of the soil mass are distinguished using two
258 linear variable differential transformers (LVDT). Figure 6 presents schematic diagrams of
259 tangential displacements in the x -direction. The *LVDT* a_x was used to measure the total
260 tangential displacement (u_{xa}) between the top aluminum plate and the steel plate. Besides, the
261 *LVDT* b_x facilitates the measurement of the tangential displacement resulting from the shear
262 deformation of the soil mass (u_{xb}) by reading the relative displacement between the top and
263 bottom aluminum plates. Finally, the difference of total tangential displacement and the shear
264 deformation of soil mass ($u_{xa} - u_{xb}$) is considered as the sliding displacement or slip across the
265 soil-steel interface (u_x).

266 ***Sample Preparation Method***

267 The specimens with 85% (very dense) and 65% (medium-dense) relative densities are prepared
 268 using multi-stage sieving pluviation method, with some modifications compared to Miuri and
 269 Toki (1982) and Fakharian and Evgin (1996). For preparing the interface test specimens with
 270 30% relative density, dry sand is poured from a funnel positioned at a height of 150 mm as the
 271 funnel is shifted over the specimen chamber. The specimens in the simple shear container were
 272 20 mm high for all the experiments.

273 **Assumptions and Definitions**

274 ***Soil Deformation and Sliding Ratios***

275 Two new parameters, soil “deformation ratio” and “sliding ratio” are introduced to quantify
 276 the contribution ratios of “soil deformation” and “sliding displacement” components with
 277 respect to the “total tangential displacement”, respectively. Soil deformation ratio and sliding
 278 ratio are defined as:

$$279 \text{ Soil Deformation Ratio} = \frac{u_{xb}}{u_{xa}} \quad (6)$$

$$280 \text{ Sliding Ratio} = \frac{u_x}{u_{xa}} \quad (7)$$

281 ***Phase Transformation and Inflection Points at the Interface***

282 Phase transformation (PT) and inflexion points correspond to two specific stress states. The PT
 283 is a point at which the volume contraction changes to dilation during shear loading. The
 284 inflexion is a point to start a reduction of the rate of dilation, almost coinciding with the peak
 285 shear strength.

286 Results for test MD-100-K400 are presented in Figure 7 as a benchmark test. The sample was
 287 sheared to a total tangential displacement, u_{xa} , of 7 mm. Stress ratio and normal displacement

288 variations during shearing are illustrated in Figures 7a and b, respectively. The PT points and
289 peak stress ratios are depicted by circular and square marks, respectively, on all the graphs of
290 Figure 7. Soil deformation and sliding ratios are shown in Figures 7c and d, respectively. As
291 illustrated in Figure 7c, by arriving at PT point, rate of soil deformation has started to reduce
292 slightly. The reduction in soil deformation corresponds to the onset of a slight increase in
293 sliding displacement at the sand-steel contact surface, u_x (Figure 7d). Beyond the peak stress
294 ratio point, however, soil deformation ratio has reduced significantly. Figure 7d, on the other
295 hand shows that the sliding ratio has remarkably increased beyond the peak stress ratio point,
296 indicating that the sliding propagation (slip) at the contact surface has taken over.

297 In summary, beyond the peak stress ratio, the interface has reached a state of sliding
298 propagation, reduction in shear stress ratio, and failure commencement. Soil deformability and
299 shear resistance at the pile-sand interface are correlated with several parameters such as the
300 relative density of sand, initial normal load, normal stiffness boundary condition (representing
301 confining pressure from the far-field surrounding soil in a direction normal to the pile shaft),
302 and surface roughness of the pile shaft. The influences of the mentioned parameters above, are
303 investigated in detail in the following sections.

304 **Test Results and Evaluations**

305 The details of the extensive monotonic testing program representing magnitudes of parameters
306 and the loading condition for each test are summarized in Table 2. The required parameters are
307 derived, assuming a non-displacement pile embedded in the sand. The G values are obtained
308 from bender element test results, as shown in Figure 4. The magnitudes of normal stiffness and
309 pile diameter are calculated using Equation 1. The assumptions and results are presented in the
310 following subsections.

311 *Effect of Relative Density on Interface Shear Strength*

312 A very specific observation in the results of this study is the reduction of the mobilized shear
313 stress between sand-steel (representing the pile skin friction resistance) at a relative density
314 (D_r) of 85% compared to 65%. On the contrary, the mobilized shear stress at the D_r of 65% has
315 increased compared to 30%. For example, according to Figures 8a1, a2 and a3, by increasing
316 initial D_r from 30 to 65%, the mobilized shear stress at 7 mm total shear displacement has risen
317 substantially, which may be considered as a reasonable expectation. However, comparing the
318 results with the same initial normal load and the same constant normal stiffness for 65% and
319 85% D_r shows that mobilized shear stresses for samples with 65% D_r are higher than those
320 with 85% D_r for all K values. This observation may not be so well understood or acceptable in
321 engineering practice. The questions here are if this observation could be actual and in
322 particular, what are the reasons and practical implications? Repeating several of the tests
323 showed precisely the same results. Moreover, similar trends are observed for different initial
324 normal loads. The main issue to focus in this paper is further **interpretations** of the results as
325 an attempt to find out the causes of the reduction of skin friction resistance with increase in
326 relative density of sand.

327 *Effect of Relative Density on Soil Deformability*

328 Figures 8, 9 and 10 illustrate sand-steel interface test results for relative densities 30, 65, and
329 85% and constant normal stiffness (CNS) magnitudes 400, 700, 1200 and 2000 kPa/mm. All
330 the 12 test results presented in Figures 8, 9 and 10 are having an initial normal stress of 100
331 kPa. As illustrated in Figures 8a1, a2, a3 through 8c1, c2, c3, samples with higher D_r have
332 represented lower shear deformation of soil (u_{xb}) and greater sliding displacement (u_x) at the
333 sand-steel interface. For example, soil deformations at the total tangential displacement of 7
334 mm for CNS of 400 kN/mm and D_r 30, 65 and 85 percent are 5.79, 3.39 and 2.38 mm,
335 respectively.

336 The PT and peak stress ratio lines are illustrated as threshold stress ratios through shear stress-
337 normal stress space in Figures 9a1, a2 and a3. The threshold stress ratios are also utilized to
338 characterize PT and peak stress lines as shown in the stress ratio-tangential displacement spaces
339 in Figures 9b1, b2 and b3 through d1, d2 and d3. The corresponding points at which the PT
340 line are touched, and the peak stress ratio line are un-touched are marked, respectively, by
341 circular and square points. As illustrated in Figures 9b1, b2 and b3, stress ratios have moved
342 upward to reach the peak stress ratio lines. Further continuing the shearing, the stress path has
343 approached the ultimate or critical state stress, which is more pronounced in dense samples.
344 The point on peak stress ratio lines at which the stress path has reduced corresponds to “yield
345 total tangential displacement” denoted by u_{xa-y} . To further clarify the definition of u_{xa-y} , its
346 location corresponds to the total tangential displacement on peak stress ratio lines beyond
347 which the sliding displacement at the sand-steel interface prevails. The definition of u_{xa-y} is
348 useful in developing t-z relations in simulating the pile skin friction behaviour.

349 Relative density seems to be an essential factor influencing the magnitude of u_{xa-y} . According
350 to Figures 9c1, c2, c3, d1, d2 and d3, after reaching u_{xa-y} point, the sliding component prevails
351 at the sand-steel contact surface. As shown in Figures 9b1, b2 and b3, increasing in D_r of sand
352 leads to a smaller total displacement mobilized to reach peak stress ratio lines and a shorter
353 distance to follow up on the peak stress ratio line. In samples with D_r of 30%, stress ratio –
354 total tangential displacement ($\tau/\sigma_n - u_{xa}$) paths have moved to reach at $(\tau/\sigma_n)_y$ and continued
355 on peak stress ratio line (Figure 9b1) until total tangential displacement reached at u_{xa-y} and
356 stress ratio started decreasing. The results show that the path with K of 2000 kPa/mm for the
357 loose sample, has not reached the u_{xa-y} even at 7 mm total tangential displacement. In samples
358 with D_r of 85%, however, $\tau/\sigma_n - u_{xa}$ curves have reached $(\tau/\sigma_n)_y$ and u_{xa-y} simultaneously, at
359 the peak stress ratio, followed by an immediate stress ratio reduction (Figure 9b3).

360 The test results on very dense silica sand with D_r of 88% presented by Fakharian and Evgin
361 (1997) had also indicated that during shearing of the sand-steel interface, most portion of shear
362 deformation of sand took place before reaching the peak shear strength. After the peak shear
363 strength, the shear deformation of the sand mass became negligibly small while the sliding
364 displacement at the contact surface continued (Fakharian and Evgin 1997). In this study,
365 however, a broader range of relative densities are attempted, and a framework is established to
366 substantiate the shearing behaviour mechanism of sand-steel interfaces.

367 The magnitudes of yield stress ratios $(\tau / \sigma_n)_y$ for relative densities 30, 65 and 85% and various
368 K are presented in Table 3. It is noticed that $(\tau / \sigma_n)_y$ is a function of relative density, varying
369 from about 0.6 for loose samples to as high as 0.71 for very dense samples. The table also
370 shows that with an increase in initial normal stress (σ_{n0}) and K , the yield stress ratio has slightly
371 decreased.

372 Figure 11 illustrates the results of CNL sand-steel interface tests with initial relative densities
373 15, 30, 45, 60 and 88% under a constant normal stress of 100 kPa (with a surface roughness of
374 25 μm) carried out by Fakharian (1996). The results indicate that the peak stress ratios are
375 considerably affected by D_r , ranging from 0.6 at 15% up to 0.8 at 88%. The residual stress
376 ratios, however, are having the same magnitude of 0.62 for all the relative densities.

377 ***Shear Resistance of Piles***

378 *Effects of Initial Normal Load and Constant Normal Stiffness*

379 Two factors are contributing to the shear resistance of pile shafts. They include pile-soil friction
380 angle (the stress ratio in interface test results) and the magnitude of normal stress acting onto
381 the interface plane. The pile frictional resistance (τ_f) is generally determined by the Coulomb
382 failure criterion, on the basis of which the following relation is admitted to define the frictional
383 resistance of piles embedded in granular soils:

$$384 \quad \tau_f = \sigma_n \tan \delta = (\sigma_{n0} + \Delta\sigma_n) \tan \delta \quad (8)$$

385 In Equation 8, δ is the mobilized soil–pile interface friction angle. σ_n , σ_{n0} , and $\Delta\sigma_n$ are
 386 respectively, normal stress during shearing, initial normal stress, and the change in normal
 387 stress occurred because of soil–pile interface dilation or contraction. In fact, the dilation or
 388 contraction of the interface causes, respectively, increase or reduction in normal stress due to
 389 the confined boundary condition normal to the interface plane and proportional to the stiffness
 390 of the surrounding soil, denoted by K .

391 The variations of “soil deformation” and “sliding” ratios are illustrated with respect to total
 392 tangential displacement, u_{xa} , in Figures 10a1, a2, a3 and 10b1, b2, b3, respectively. At the
 393 beginning of total tangential displacement increments until arriving to u_{xa-y} , the soil
 394 deformation ratio is indicated to be having a considerable value close to unity. The large value
 395 of soil deformation ratio is an indication that the soil deformation constitutes most of total
 396 tangential displacement. Thus, most of the shear resistance at the sand-pile interface is provided
 397 by the contribution of soil resistance. The soil deformation has resulted in an initial contractive
 398 response followed by a phase transformation and hence, dilative behaviour afterwards. The
 399 normal stress has also changed proportionally to the variations in normal displacement, which
 400 are shown to be in turn corresponding to the magnitudes of the normal stiffness and initial
 401 normal stress applied on the interface.

402 It is noticed from the figures that once reaching u_{xa-y} , the soil deformation ratio starts
 403 decreasing afterwards. The soil deformation ratio reduction is inversely proportional to the
 404 propagation of sliding at the sand-steel contact surface resulting in shear failure at the sand-
 405 steel interface. Afterwards, the stress ratio (τ/σ_n) decreases significantly approaching a residual
 406 state at which the total shear resistance is equivalent to the ultimate frictional resistance at the
 407 sand-steel contact surface.

408 Sand-steel interface friction angles are 32, 34.2 and 34.6 degree, respectively, for 30, 65 and
409 85% relative densities. On the other hand, the internal friction angles of sand were reported as
410 33.70, 35.04 and 36.10 degree, respectively, for 30, 65 and 85% relative densities, as pointed
411 out in subsection of introducing soil and pile materials. Comparing the sand-steel interface
412 friction angle with internal friction of sand, the interface friction angle is always smaller than
413 internal friction angle in all the presented test results in this study. Therefore, the shear failure
414 would have been expected to occur along the sand-steel interface, and not within the sand
415 media. The deformation and sliding patterns of the stacks of rings containing the sand supports
416 the expected deformation and sliding patterns. The results of Figures 10 comparing the
417 variations of soil deformation ratio with respect to sliding displacement ratio before and after
418 u_{xa-y} support the visual observations of the sliding plane at the sand-steel contact surface.

419 *Effect of Relative Density*

420 Figures 10c1, c2, c3 and d1, d2, d3 illustrate the normal displacement (same as volume change)
421 and normal stress variations versus total tangential displacement, u_{xa} . Before reaching the
422 inflexion point, at the same total tangential displacement and for the same normal stiffness,
423 denser samples have shown a higher increase in normal stress resulted from a higher dilative
424 response. After the inflexion point, however, it is surprisingly noticed that once comparing the
425 results of 85% D_r to 65% D_r , lower normal stress and correspondingly, lower normal
426 displacement are induced in the case of 85% D_r . The key reason is the fact that inflexion points
427 of Figures 10c and d are stress states beyond which the dilatancy of the interface has tapered
428 off. In other words, the potential of dilation (and hence increase in normal stress due to the
429 effect of CNS boundary condition) remarkably has reduced beyond this inflexion point.
430 Reconsidering Figures 9b1, b2, b3, clarifies that beyond inflexion point (almost peak strength),
431 the stress ratios have decreased towards the residual strength. The corresponding mobilized
432 shear stresses of Figures 8a1, a2, a3 are correlated with the positions of inflexion points or

433 peak strengths. By increasing the relative density, the inflexion points have moved backwards
434 closer to PT point. Hence the normal displacements have a smaller chance to increase (Figures
435 10d1, d2, d3). The lack of sufficiently significant increases of normal displacements
436 corresponds to lower mobilized shear stresses of dense samples.

437 The practical implication of this observation is that the mobilized shear stress between sand
438 and pile skin does not necessarily keep increasing with D_r of sand. The variations of maximum
439 mobilized shear stress with initial D_r for different CNS magnitudes, and initial normal stresses
440 are plotted in Figure 12. The figure clearly indicates that the peak shear stresses have decreased
441 from 65% to 85% D_r .

442 Therefore, a key point in estimating the magnitude of mobilized shear stress at any
443 displacement is whether the shear displacement is beyond or before the inflexion point location.
444 In other words, if the peak strength is already mobilized and the stress state is beyond the peak
445 strength, then the rate of mobilized shear stress under CNS condition (which applies to the case
446 of pile shaft resistance) reduces. As a result, lower shear resistances could be achieved for piles
447 at higher relative densities. It is, therefore, essential knowing at different elevations on the pile
448 shaft, the stress state is below or beyond the inflexion point (or peak strength), to calculate the
449 shear resistance of the pile at that elevation.

450 Previous studies available on cyclic degradation of pile shaft resistance (e.g., Uesugi and
451 Kishida 1986; Fakharian and Evgin 1997; DeJong et al. 2003) and friction fatigue in piling
452 (Randolph 2012) have emphasized on the accumulation of sliding increments at interface zone
453 and normal displacement amplitude diminishing by the number of cycles. Post-peak sliding
454 propagations at sand-steel plate interfaces are reported in studies as mentioned above.
455 Interfaces subjected to cyclic loads (or displacements) undergo successive compressive
456 response at the interface zone. The monotonic shearing of the interface on the other hand,

457 exhibits a low initial compression (until phase transformation, PT), followed by a dilative
458 response afterwards. The governing rule of interface behaviour under both monotonic and
459 cyclic loading is recognized to be the propagation of sliding displacement component at the
460 sand-steel (or concrete) interfaces.

461 To further clarify and validate the applicability of the above findings from interface tests to the
462 correlations of skin friction of piles with the relative density of sand, it is necessary to compare
463 the results with field data. The next section presents the evaluation and interpretation of static
464 load test results of two well-instrumented piles embedded in the sand. One is a CFA pile, and
465 the other is a drilled shaft bored pile.

466 **Validation with Field Data**

467 Axial compressive static load test results on well-instrumented piles under different sand
468 densities and pile diameters are required to validate the interface test results and findings
469 presented in this study. However, field data are practically limited to specific sand density and
470 pile diameter. Two well-documented projects having piles with extensive instrumentation
471 embedded in sandy layers are selected as case studies from which the skin friction behaviour
472 results are extracted and thoroughly evaluated and compared with the experimental results of
473 this paper. Compressive loading test results performed on CFA (Continuous Flight Auger) piles
474 belonging to the 'French National Project SOLCYP', are used for the verification purposes.
475 Moreover, compressive loading test results performed on two drilled uncased bored piles
476 presented by Li et al. (2017), are adopted for further evaluations.

477 The test site of the CFA pile is located at Loon-Plage near Dunkirk in northern France. The
478 stratigraphy, cone penetration test (CPT) results and the sand relative density profile at Loon-
479 Plage are presented in Figure 13. The water table at the time of pile testing was approximately
480 2 m below grade level. A silica sand layer exists between 2.2 and 11.5 meters of depth. The

481 sand is fine ($D_{50} = 0.15$ mm) and poorly graded (uniformity coefficient $C_U = 0.98$). The average
482 relative density between a depth of 4 to 8 m is about 80%. More details are presented in
483 Benzaria et al. (2013) and Puech (2013).

484 The CFA Pile F4 (outer diameter OD = 420 mm, length L = 8 m) was instrumented with a set
485 of LCPC removable extensometers. The t-z curves deduced from extensometers at different
486 depths are shown in Figure 14. The details of the procedure to determine the local load transfer
487 curves are presented in Benzaria et al. (2013). The t-z curves of the pile conceptually
488 correspond to "shear stress-total tangential displacement" curves of Figures 8a1, a2 and a3 of
489 the sand-steel interface. It would be, however, interesting to evaluate the results quantitatively
490 to see how they compare to their corresponding interface test results.

491 One significant observation is the very low values of limit skin friction ($\tau_f < 45$ kPa) obtained
492 from the instrumented static load test. Puech (2013) has pointed out that "*ultimate skin frictions*
493 *are low (in the range 20 kPa to 45 kPa) concerning the high values of cone resistance (10 to*
494 *30 MPa) and relative density ($D_r \sim 80\%$)".*

495 Many correlations are available in the literature between the CPT tip resistance q_c , and pile tip
496 and skin friction resistances (e.g. Bustamante and Gianselli (LCPC method); De Kuiter and
497 Beringen 1979, 1982; Eslami and Fellenius, 1997; Meyerhof 1956; Schmertmann 1978).
498 Canadian Foundation Engineering Manual (CFEM, 2006) has proposed direct correlations
499 between pile skin friction resistance and q_c , which is in fact, very similar to LCPC method, as
500 shown in Equation 9:

$$501 \quad \tau_f = \frac{1}{\alpha} q_c \quad (9)$$

502 in which α is a coefficient depending on the pile type, installation method and soil type.

503 Focusing on the correlations between CPT test results and pile resistance, experimental data
 504 has shown that a good correlation exists between q_c and lateral (radial) stress in soil (Salgado
 505 et al. 1997; Salgado and Prezzi 2007). When a penetrometer is pushed into the soil, it creates
 506 and expands a cylindrical cavity. A rigid soil core with an approximately conical shape is
 507 formed under the base of the pile with an extending sloped line. In cross-section, soil beyond
 508 the pile base zone undergoes horizontal displacement (Salgado et al. 1997). The compression
 509 in surrounding soil resulted from horizontal displacement, mobilizes the utmost of radial stress
 510 and mobilized shear strength of the surrounding soil in q_c .

511 The magnitude of $1/\alpha$ at peak strength of Pile F4 and also the proposed values from CFEM for
 512 the same pile type and sand density are illustrated in Figure 15a. It is noticed that the magnitude
 513 of $1/\alpha$ has reduced with increasing q_c and reached to a strength independent ultimate value ($1/\alpha$
 514 = 0.002) in very dense condition for Pile F4. But the magnitudes proposed by CFEM for $1/\alpha$
 515 are higher than those measured, and the differences have become more apparent with an
 516 increase in q_c , which is somewhat an indication of a higher density of sand. In other words, the
 517 skin friction obtained from CFEM is over-predicted at higher relative densities of sand.

518 To further evaluate the correlations between q_c and skin friction and sand density, the $1/\alpha$ of
 519 peak stress ratios from the sand-steel interface tests are back-calculated and plotted in Figure
 520 15b. Relation 10, as proposed by Salgado and Prezzi (2007) is used for estimating the
 521 equivalent q_c of the sand used in interface tests at different relative densities.

$$522 \quad \frac{q_c}{p_a} = 1.64 \exp(0.1041\phi_c + (0.0264 - 0.0002\phi_c)D_r) * \left(\frac{\sigma'_h}{p_a}\right)^{(0.841 - 0.0047D_r)} \quad (10)$$

523 in which D_r is the sand relative density, ϕ_c is the critical-state friction angle, and P_a is a
 524 reference stress of 100 kPa. As shown in Figure 15b, $1/\alpha$ has reduced by sand density and
 525 normal load increments and reached to a value of 0.0038 for 85% relative density and the

526 (assumed) 447.8 mm pile of the test VD-100-K1200 (Table 2). The resulted $1/\alpha$ values are
527 reasonably close to each other for interface element testing results of this study and CFA Test
528 Pile F4, which are 0.0038 and 0.002, respectively. Of course, the difference in $1/\alpha$ values could
529 be attributed to factors such as different sand types, surface roughness, etc., between Pile F4
530 and the interface test results of this study.

531 The presented results in Figures 15*a* and *b* indicate that there are reasonably good correlations
532 between the back-calculated $1/\alpha$ values for Pile F4 and interface element testing results of this
533 study. However, the proposed $1/\alpha$ values of CFEM have over-predicted the skin friction, in
534 particular for dense sands of non-displacement piles. Pile F4 and interface element testing
535 results have resulted in $1/\alpha$ values in the range of 0.002 to 0.0044 for very dense samples. The
536 $1/\alpha$ predicted by CFEM, however, has resulted in a larger magnitude of 0.0067. CFEM
537 proposes minimum amounts for $1/\alpha$, which is closer to the test condition, but still beyond the
538 real quantities back-calculated from the field pile testing results.

539 The test site of the second case study, including two drilled uncased piles is located at the
540 GEFRS in Corvallis, Oregon, USA. The soil layers and cone penetration test, CPT (SCPT)
541 profiles of 4 experiments at GEFRS are presented in Figure 16. The water table at the time of
542 pile testing was reported between 1.6 to 1.8 m below the grade level. There is a silty sand layer
543 with 6.5 m thickness between 5.2 to 11.7 m. The mentioned layer consists of dense silty sand
544 followed by gravel and intermittent seams of sandy silt. More details are presented in Li et al.
545 (2017).

546 Two drilled uncased Piles MIR and HSIR (outer diameter OD = 915 mm, length L = 19.8 m)
547 were fully instrumented. The instruments relevant for observing the axial load transfer included
548 resistance strain gages installed on steel sister bars, concrete embedment vibrating wire strain
549 gauges, load cells, dial gages and string-potentiometers. The surface of piles is reported as a

550 rough contact surface as no long casing was used. Axial loads were applied to induce pile head
551 displacements of 4.3 and 3.8 mm for piles MIR and SHIR, respectively. The measured, fitted
552 and proposed t-z curves deduced from instrumentation at different depths are shown in Figure
553 17. Mark points show the measured values and dashed lines present the trends. The maximum
554 measured t-z values are utilized for further calculations in this study. The details of the
555 procedure to determine the local load transfer curves are presented in Li et al. (2017).

556 The magnitudes of $1/\alpha$ corresponding to maximum measured strengths of Piles MIR, HSIR
557 and also the proposed values in CFEM for the same pile types and sand densities are illustrated
558 in Figure 18a. The same trend is observed similar to the previous case in that $1/\alpha$ has reduced
559 with increasing q_c and reached to an ultimate value of 0.00355 to 0.0057 in very dense
560 condition. The comparisons show that at lower densities, CFEM has even under-predicted the
561 tip resistance, while at very dense condition, the $1/\alpha$ values are slightly over-predicted by
562 CFEM. The results indicate that the differences are not very high compared to the former CFA
563 pile case, but still, the significant reduction of $1/\alpha$ with sand density is quite evident.
564 Comparisons are then made for the $1/\alpha$ corresponding to the maximum measured strength of
565 Piles MIR, HSIR with those back-calculated from the results of this study. The comparisons
566 show that the $1/\alpha$ values of Piles MIR, HSIR are between the predicted values of this study. An
567 exception is that the test piles have shown $1/\alpha$ values higher than the results of this study at
568 small values of $q_c = 7.14$ MPa, i.e. under loose sand condition.

569 It should be noted that there could be considerable discrepancies between the sand type, particle
570 shape and size, pile surface roughness, etc., that affect the back-calculated $1/\alpha$ values for the
571 tested piles and interface test results. This is while the trends and even magnitudes satisfactorily
572 compare to each other. However, more detailed studies comprised of both interface element
573 testing as well as physical modelling and preferably, full-scale pile testing under identical
574 soil/surface and boundary conditions are required in future studies.

575 Discussion

576 In this study, the surface roughness investigated has been considered equivalent to that of an
577 oxidized mild steel or a concrete surface to having simulated the prevailing pile surface
578 conditions. However, the surface roughness has a significant controlling effect on shear stress-
579 displacement (t-z) behaviour of the soil-pile interface. The results of interface tests with the
580 same condition and different surface roughness, performed by Fakharian (1996) clarify the
581 effect of surface roughness. The results of two CNL tests with the same normal stress and
582 relative density of 100 kPa and 88%, respectively, but different surface roughness of 25 and 4
583 μm , presented by Fakharian (1996), are discussed here. The results indicate that the peak and
584 residual shear stresses at rough surface condition are much higher than those in smooth surface,
585 and the rough interface dilates significantly while the smooth interface contracts to reach a
586 steady-state of stress.

587 Surface roughness also affects the loci of PT and peak stress ratio. Both PT and peak stress
588 ratio have shifted to smaller total tangential displacements for smooth surface in comparison
589 to rough surface.

590 Results of Subba et al. (1998) represent a nonlinear relation between increasing the ratio of
591 interface friction angle to internal friction angle with relative roughness, R_n increment (Subba
592 et al. 1998). Comparing the ratio of interface friction angle to internal friction angle for results
593 of this study indicates δ/ϕ_p values of 0.94, 0.97 and 0.96 for samples with initial relative
594 densities of 30, 65 and 85%, respectively. The proposed δ/ϕ_p value by Subba et al. (1998) at a
595 relative roughness of ($R_n =$) 0.05 is 0.96. The R_n of the steel surface used in this study is also
596 around 0.05. Therefore, the measured roughness values of this research are in good agreement
597 with previous results.

598 It is noticed that surface roughness has a very significant effect on interface behaviour, shear
599 strength and loci of PT and peak stress ratios. Further studies carrying out more number of
600 experiments are required to focus on the influences of the magnitude of the surface roughness.
601 In engineering practice, however, the real pile skins are usually having a surface roughness
602 analogous to the implemented surface roughness in this study, as discussed in previous
603 sections. Hence the results are expected to be valid for practical applications as far as the
604 interface response of piles embedded in silica sand is concerned.

605 **Conclusions**

606 An attempt was made to simulate the sand-pile skin friction response (t - z) using simple shear
607 interface tests under constant normal stiffness condition. Two new parameters, “soil
608 deformation ratio” and “sliding ratio” are defined to determine the contribution levels of “soil
609 deformation” and “sliding displacement” components versus the “total tangential
610 displacement”, resembling the axial movement of the pile with respect to the surrounding soil.
611 Interface behaviour between sand-steel under different magnitudes of initial normal stress, sand
612 relative density, and constant normal stiffness (K) was thoroughly examined. Special attention
613 was paid on displacement and deformation characteristics at phase transformation (PT) and
614 peak stress ratios. Results were compared with heavily instrumented field data of axially loaded
615 piles. Based on the presented results, the most important findings of the study are summarized
616 below:

- 617 1. Approaching the phase transformation point represents the onset of increasing the
618 sliding displacement at the sand-steel interface as well as shifting the volume
619 change response from contractive to dilative at the sand-steel interface.
- 620 2. Reaching the peak stress ratio point has resulted in prevailing the sliding
621 displacement component, after which failure develops at the sand-pile contact
622 surface. The point of inflexion on the volume change curve is equivalent to the peak

623 stress ratio, which is corresponding to the pile shear displacement at peak skin
624 friction resistance.

625 3. The maximum mobilized shear stress under CNS condition could reduce with
626 increase in relative density, if the inflexion point corresponding to peak stress ratio
627 occurs at a low shear displacement level. The practical implication is that with an
628 increase in relative density, shear resistance on the pile shaft may or may not
629 increase, depending on the stress state with respect to the inflexion point.

630 4. The findings of the interface element test results at very dense condition were
631 successfully validated by two axially loaded well-instrumented non-displacement
632 test pile results. The results indicated that the proposed correlations between q_c of
633 CPT test and skin friction of piles require modifications for dense sand conditions.

634 5. The loci of phase transformation and peak stress ratio are significantly affected by
635 the surface roughness of the steel plate. The results seem to be valid, however, for
636 representative surface roughness magnitudes of oxidized steel piles, CFA piles, and
637 drilled shaft bored piles in engineering practice. Physical modelling and high-
638 quality field test data are required, however, to further conclude the combined
639 effects of the pile surface roughness and sand density.

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648 **List of Symbols**649 *The following symbols are used in this paper:*650 a = a variable651 D = pile diameter652 Dr = sand relative density653 d_{st} = tip-to-tip distance between the source and receiver bender elements654 G = soil shear modulus655 G_{max} = small-strain shear modulus656 K = constant normal stiffness657 K_0 = coefficient of lateral earth pressure at rest658 L_c = measurement length659 P_a = reference stress of 100 kPa660 q_c = CPT tip resistance661 R_q = root mean-square roughness662 R_{sk} = skewness663 t = travel time of the pulse between tip-to-tip distance between the source and receiver bender
664 elements665 u_x = sliding displacement666 u_{xa} = total tangential displacement667 u_{xa-y} = yield total tangential displacement

- 668 u_{xb} = shear deformation of the soil mass
- 669 v = normal displacement
- 670 V_s = shear wave velocity
- 671 z = depth
- 672 Z = individual heights (asperities) and depths of surface profile
- 673 α = a variable
- 674 β = a variable
- 675 $\Delta\sigma_n$ = normal stress variation acting on the interface
- 676 Δv = normal displacement variation
- 677 ϕ_c = critical-state friction angle
- 678 τ = shear stress
- 679 τ_f = pile frictional resistance
- 680 $(\tau/\sigma_n)_y$ = yield stress ratios
- 681 σ'_o = average stress on the polarization plane
- 682 σ'_h = horizontal effective stress
- 683 σ_n = normal stress during shearing
- 684 σ'_{n0} = consolidation normal stress
- 685 σ_{n0} = initial normal load
- 686 σ_r = radial stress
- 687

688

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724 **Figure 18.** magnitudes of $1/\alpha$ for: (a) measured values obtained from deduced t-z curves and
725 proposed values of CFEM for Piles MIR and HSIR, and (b) comparing peak stress ratio for
726 sand-steel interface tests and obtained values for Piles MIR and HSIR.

Table 1. Results of the measurements of roughness parameters for surface profile of the sand blasted ST37 steel plate used in this study.

Name of parameter	unit	Measured value
Average roughness (R_a)	(μm)	5.0
Average of all R_{max} s (R_z)	(μm)	32.7
root mean-square roughness (R_q)	(μm)	6.4
Skewness (R_{sk})	-	0.085

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Table 2. Outline of tests and parameters

Test Identification*	$\sigma'_h = \sigma_{n0}$ (kPa)	K (kPa/mm)	G_{max} (kPa)	D (mm)	Z (m)	Dr %	q_c (MPa)
L-50-K400		400		605.3			
L-50-K700	50	700	60530	345.9	5.3	30	5.82
L-50-K1200		1200		201.8			
L-50-K2000		2000		121.1			
L-100-K400		400		739.5			
L-100-K700	100	700	73950	422.6	10.7	30	9.45
L-100-K1200		1200		246.5			
L-100-K2000		2000		147.9			
L-200-K400		400		868.7			
L-200-K700	200	700	86870	496.4	21.3	30	15.35
L-200-K1200		1200		289.6			
L-200-K2000		2000		173.7			
MD-50-K400		400		941.5			
MD-50-K700	50	700	94150	538	3.4	65	13.85
MD-50-K1200		1200		313.8			
MD-50-K2000		2000		188.3			
MD-100-K400		400		1152			
MD-100-K700	100	700	115200	658.3	6.8	65	20.09
MD-100-K1200		1200		384			
MD-100-K2000		2000		230.4			
MD-200-K400		400		1321.2			
MD-200-K700	200	700	132120	755.0	13.5	65	29.11
MD-200-K1200		1200		440.4			
MD-200-K2000		2000		264.2			
VD-50-K400		400		1128.3			
VD-50-K700	50	700	112828	644.7	5.4	85	22.39
VD-50-K1200		1200		376.1			
VD-50-K2000		2000		225.7			
VD-100-K400	100	400	134330	1343.3	5.4	85	22.39

VD-100-K700		700		767.6			
VD-100-K1200		1200		447.8			
VD-100-K2000		2000		268.7			
VD-200-K400		400		1508.8			
VD-200-K700	200	700	150880	862.2	10.8	85	30.41
VD-200-K1200		1200		502.9			
VD-200-K2000		2000		301.8			
VD-300-K400		400		1761.5			
VD-300-K700	300	700	176150	1006.6	16.3	85	41.30
VD-300-K1200		1200		587.2			
VD-300-K2000		2000		352.3			

Note: * Density-initial normal load-constant normal stiffness, $K_0 = 1 - \sin(\varphi') + (\nu_d/\nu_{d(\min)} - 1)5.5$;

L, MD and VD in test labels indicate loose, medium dense and very dense samples; σ'_h = horizontal stress; σ'_{n0} = consolidation normal stress; K = normal stiffness; G_{max} = max shear modulus; D = pile diameter; Z = depth; Dr = consolidation relative density; q_c = CPT tip resistance.

Table 3. Magnitudes of “peak stress ratio” resulted from different tests

σ_{n0} (kPa)	K (kPa/mm)	Dr →	$(\tau/\sigma_n)_y$		
			30%	65%	85%
50	400		0.641	0.702	0.710
100			0.622	0.685	0.690
200			0.607	0.677	0.678
300					0.677
50	700		0.642	0.689	0.69
100			0.621	0.655	0.685
200			0.607	0.669	0.678
300					0.679
50	1200		0.634	0.681	0.695
100			0.626	0.68	0.691
200			0.604	0.662	0.681
300					0.679
50	2000		0.631	0.688	0.685
100			0.621	0.687	0.682
200			0.602	0.679	0.676
300					0.669

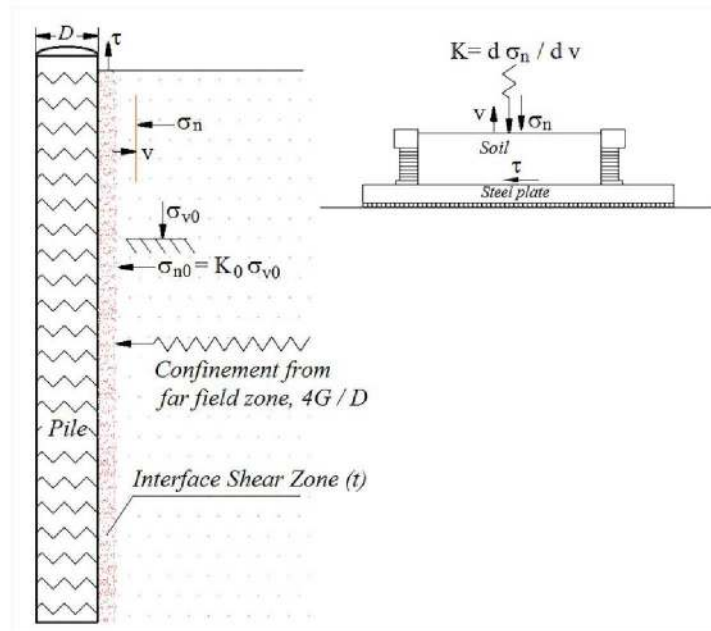


Figure 1. Analogy between the localized shear stress along pile shaft and a simple shear test with an imposed normal stiffness (modified from Boulon and Forary 1986; Pra-ai and Boulon 2017).

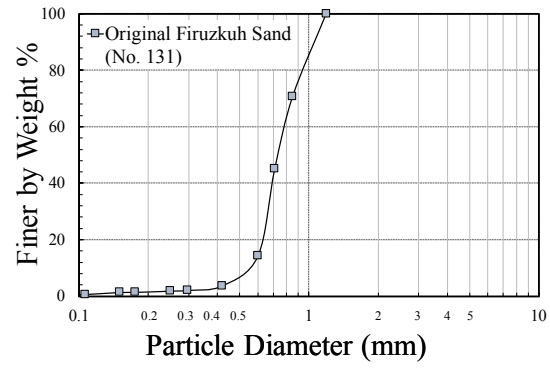


Figure 2. Representative particle size distribution curve of Firuzkuh sand (131).

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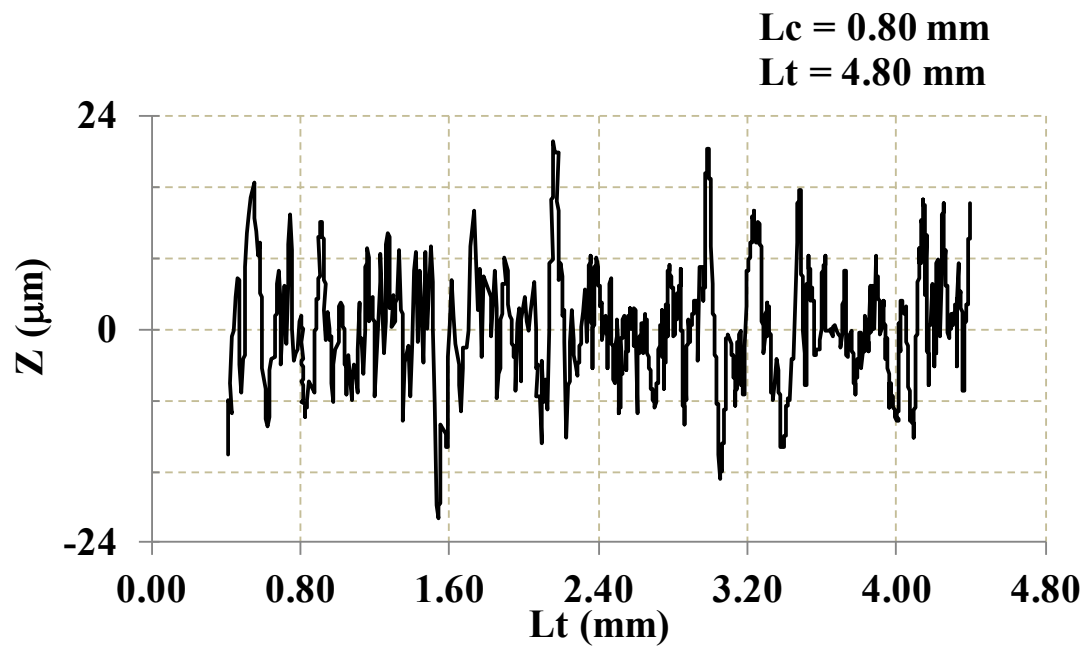


Figure 3. Representative surface profile of the ST37 steel plate used in this study.

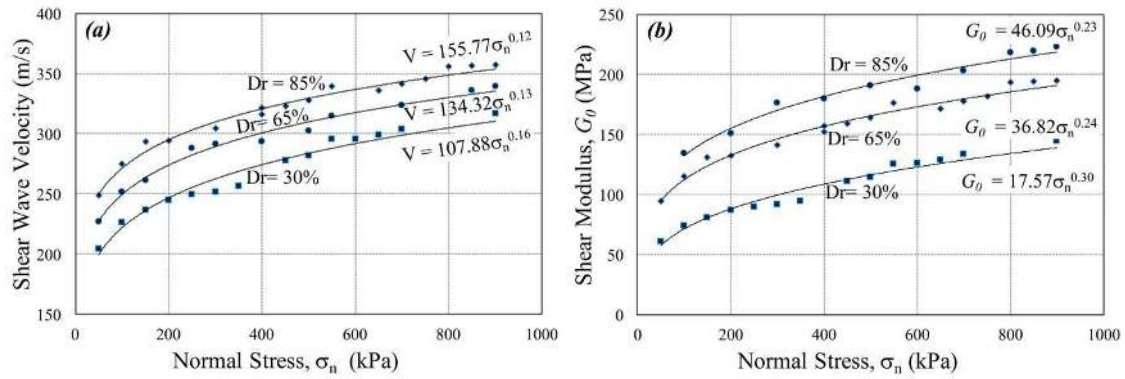


Figure 4. Effect of normal stress and relative density, D_r , on: (a) Shear wave velocity, (b) shear modulus of Firuzkuh sand (131).

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(a)



(b)

Figure 5. (a) Global MTM Simple shear apparatus, (b) An image of the sample container before shearing.

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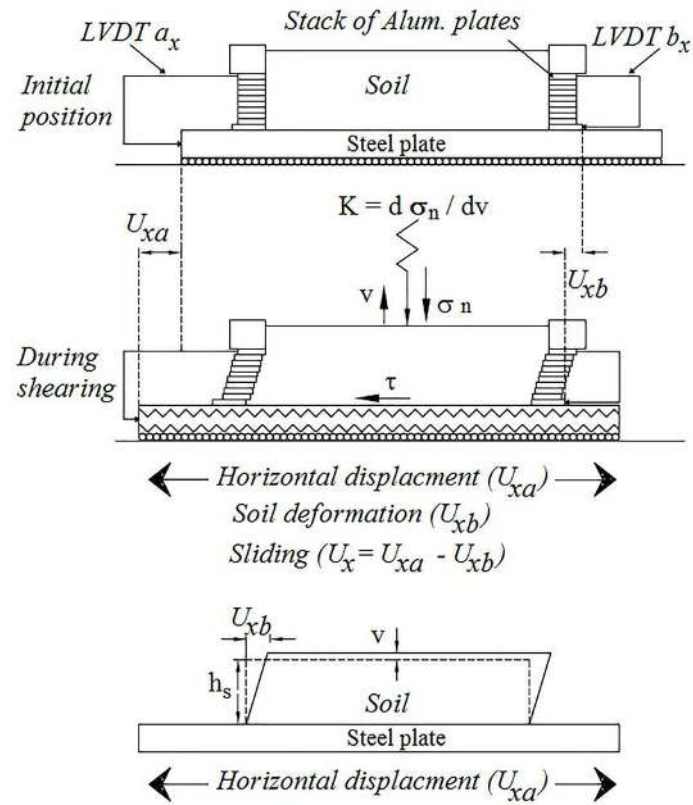


Figure 6. Analogy between the localized shear along pile shaft and a simple shear test with an imposed normal stiffness (modified from Fakharian and Evgin 1997; Uesugi and Kishida 1986).

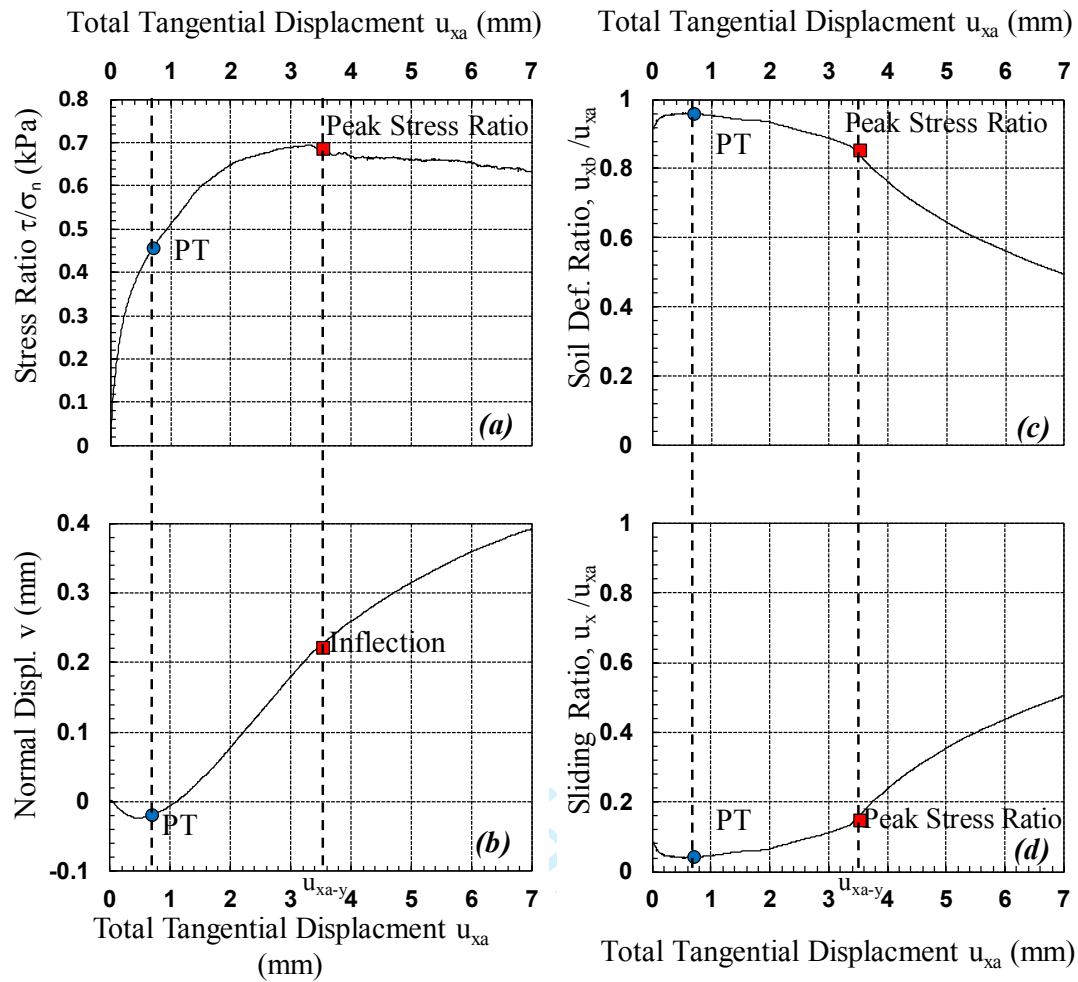


Figure 7. Positions of phase transformation (PT) and inflexion points for MD-100-K400 test.

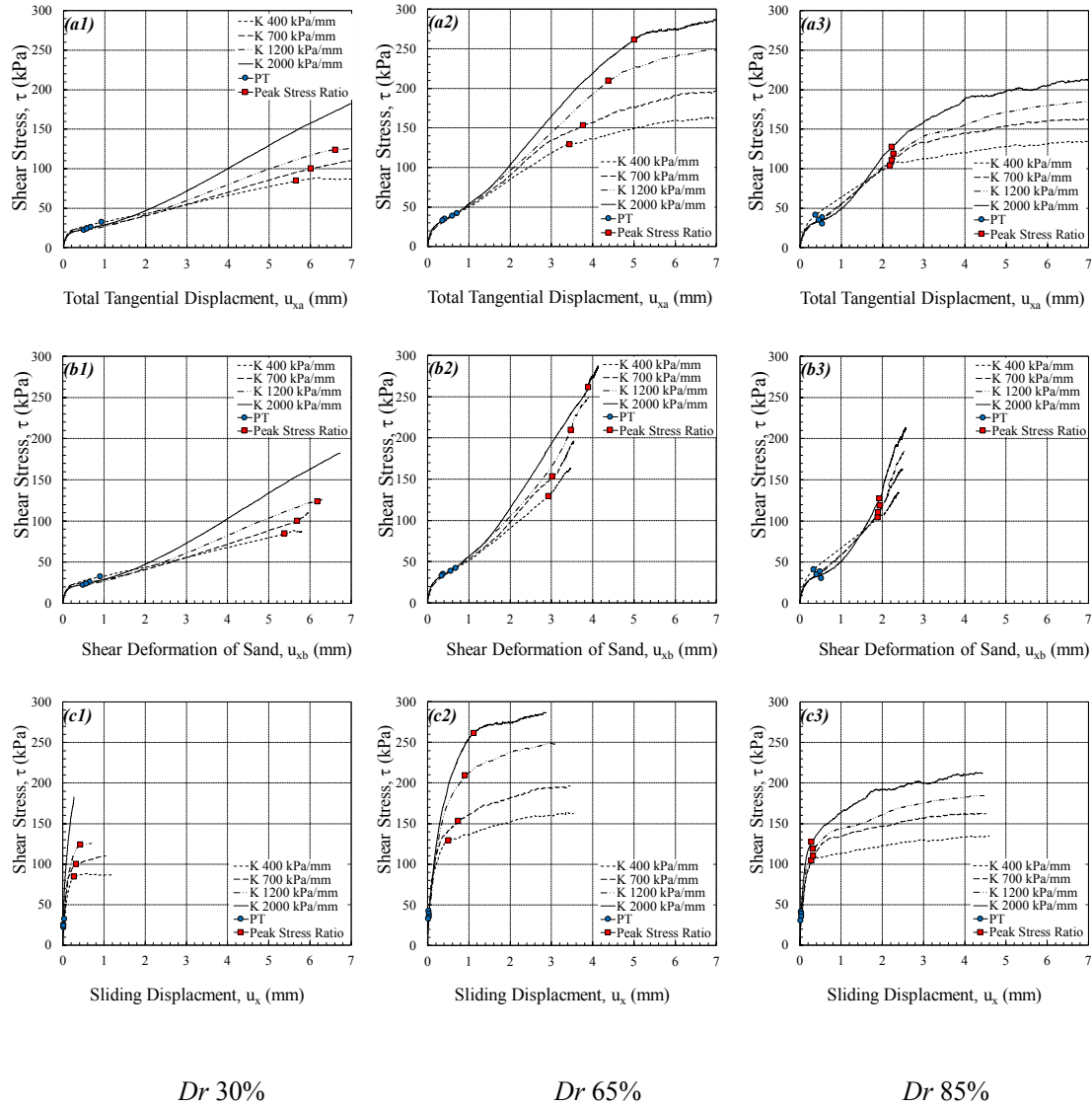


Figure 8. Sand-steel interface test results with relative densities 30, 65, 85%, 100 kPa initial normal stress, and 400, 700, 1200, 2000 kPa/mm constant normal stiffness.

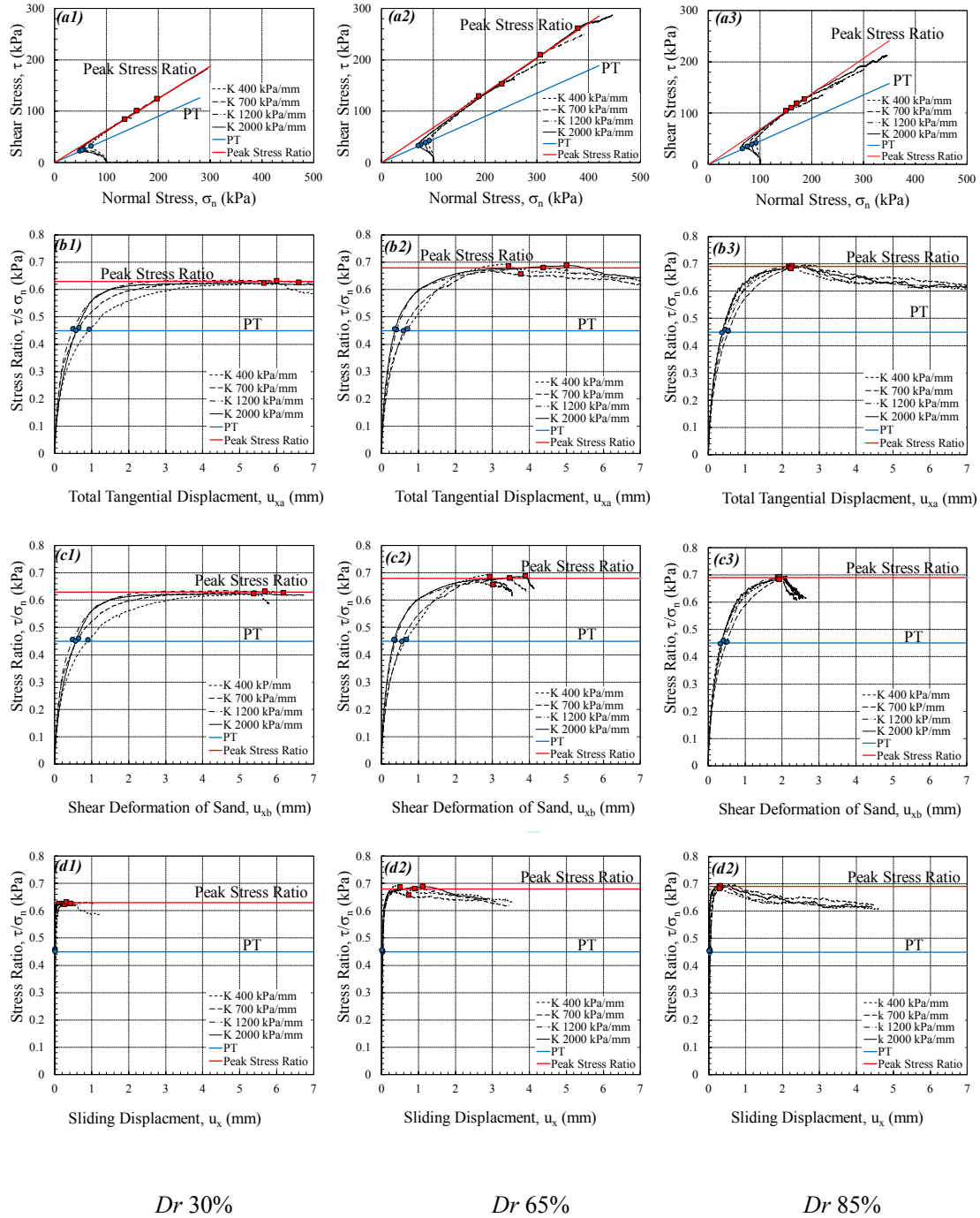


Figure 9. Locations of PT and peak stress ratio points at stress space (a1-a3), stress ratio-total tangential displacement (b1-b3), stress ratio-shear deformation (c1-c3) and stress ratio-sliding displacement (d1-d3) for different relative density and K values.

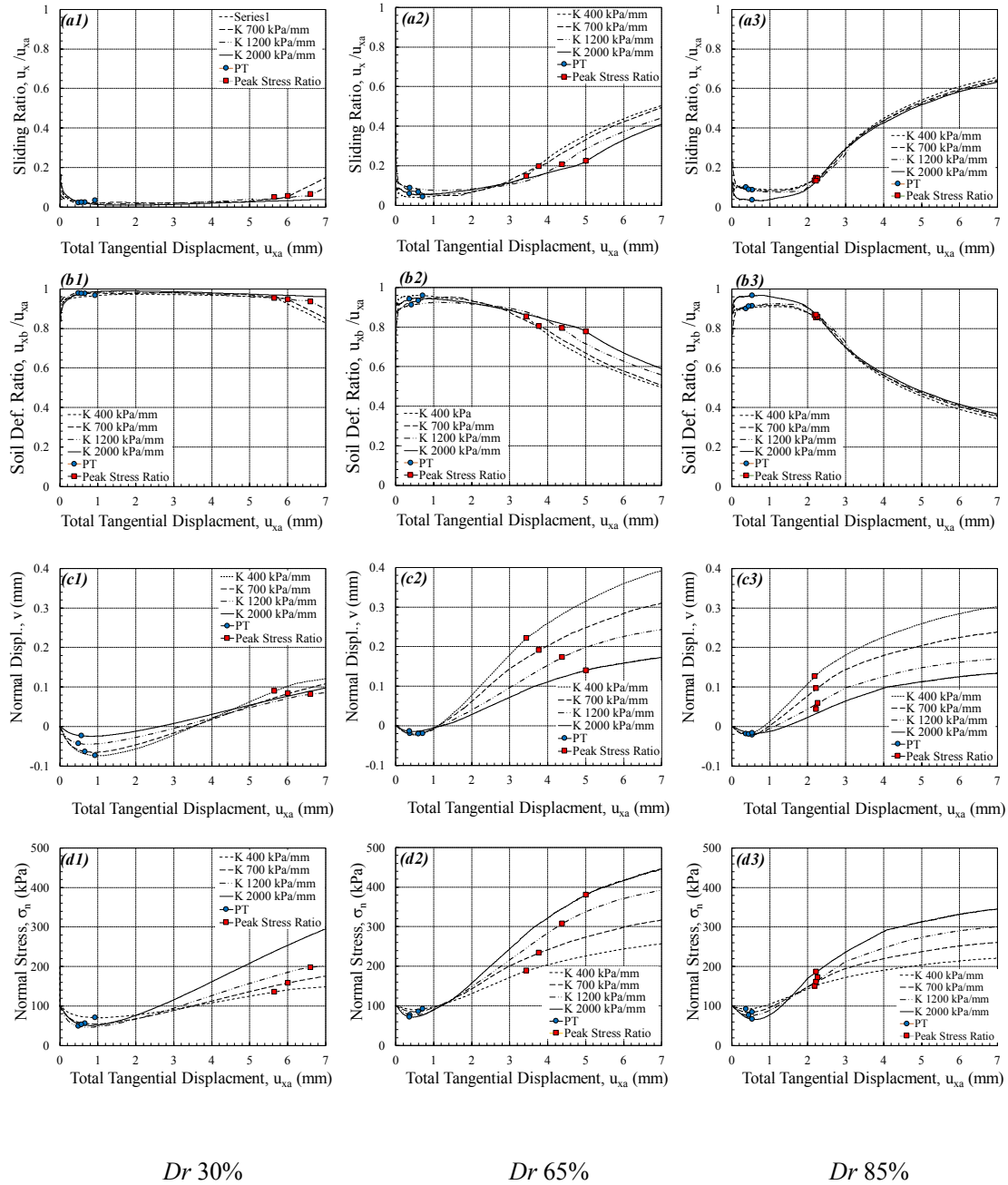


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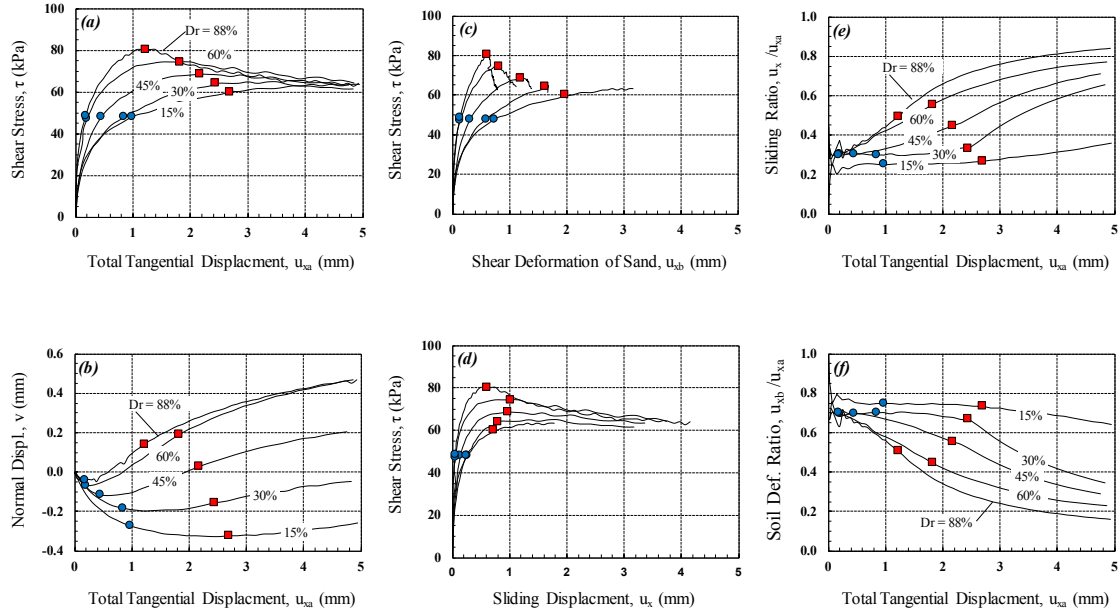


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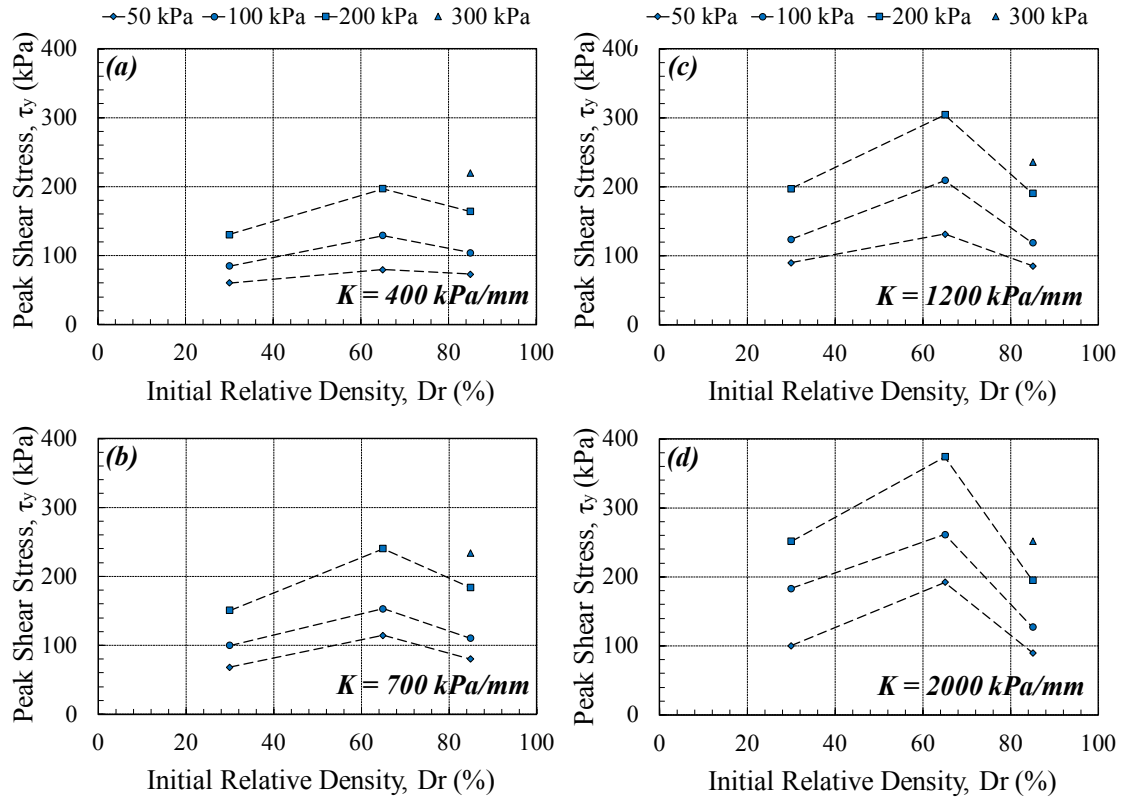


Figure 12. Peak shear stress, τ_y variation with relative density, for normal stiffnesses of 400, 700, 1200 and 2000 kPa/mm.

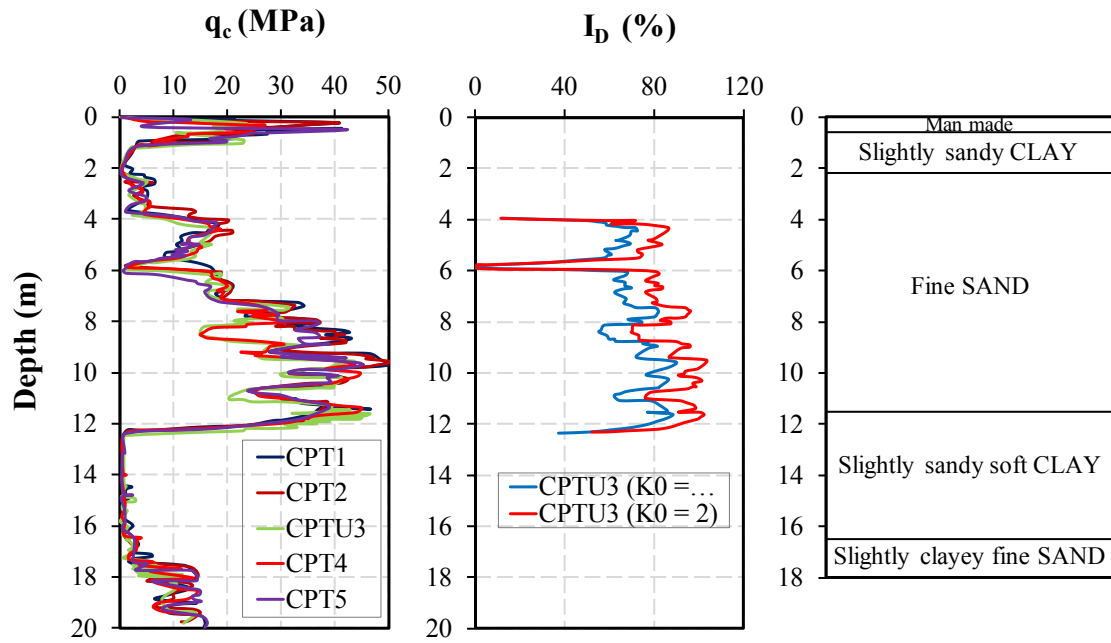


Figure 13. Soil layering, CPT and density index profiles at Loon-Plage (modified from Benzaria et al. 2013).

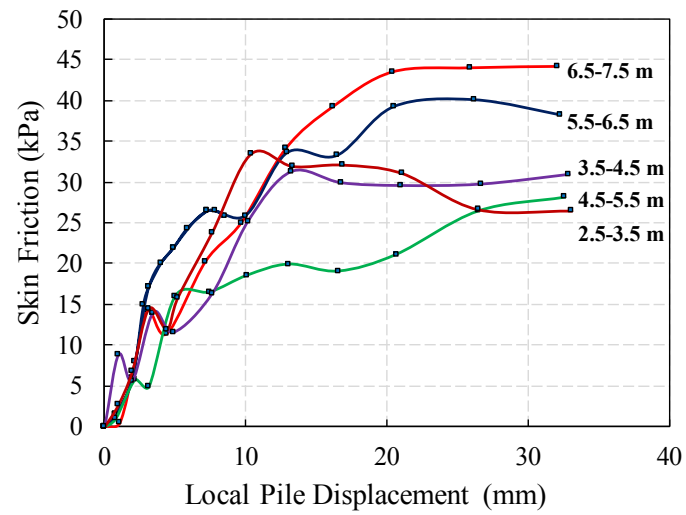


Figure 14. Local shear transfer (t-z) curves in Flanders sand from static load test on CFA pile F4 at different depths (modified from Benzaria et al. 2013).

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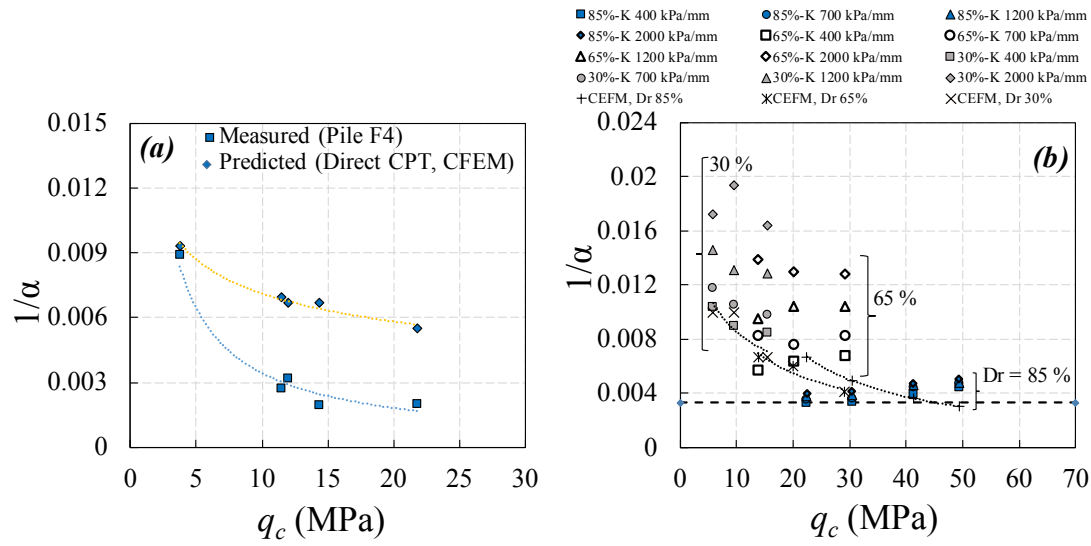


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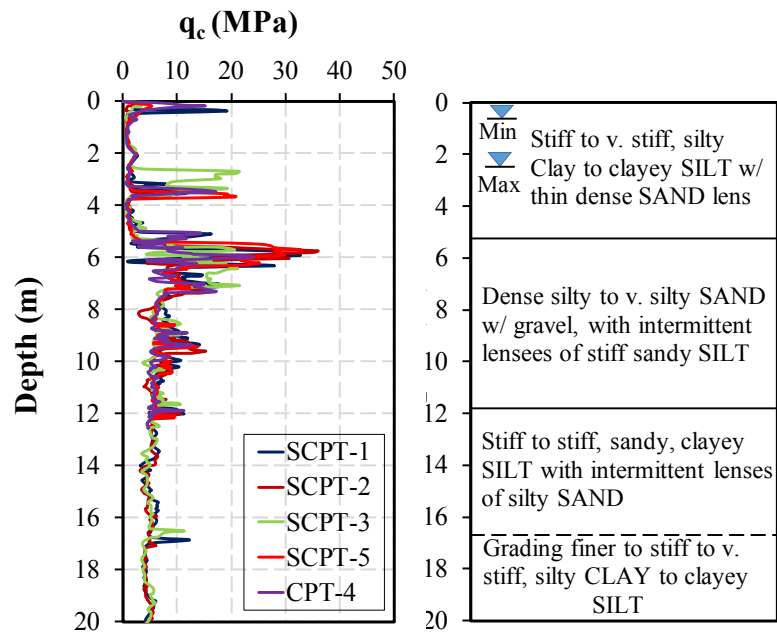


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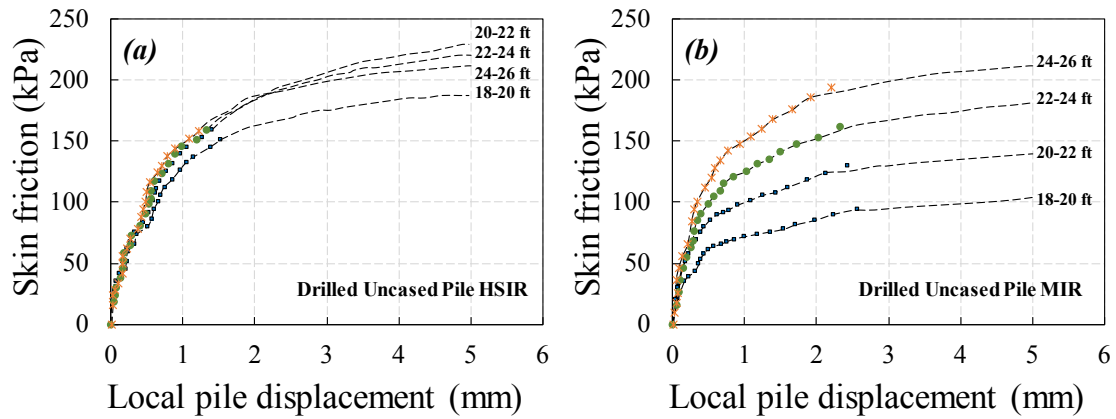


Figure 17. Measured, fitted and proposed t - z responses for the two uncased test piles (a) HSIR and (b) MIR for load increments between 5.5 to 7.9 m (18–26 ft) (Li et al. 2017).

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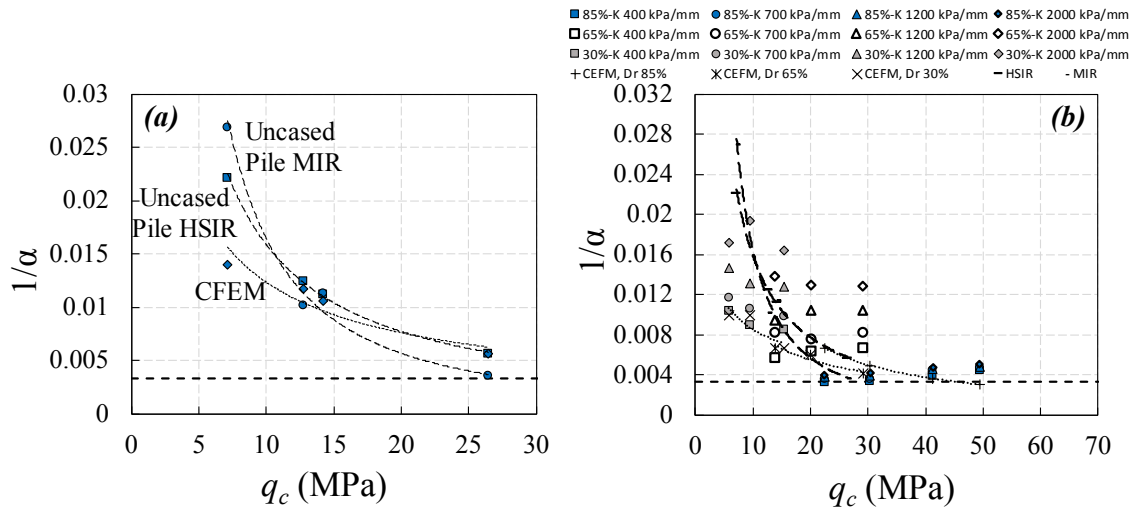


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