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**Effect of light supply on CO₂ capture from atmosphere by *Chlorella vulgaris* and
*Pseudokirchneriella subcapitata***

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1 **Abstract**

2 Carbon dioxide (CO₂) is one of the primary greenhouse gases that contribute to climate
3 change. Consequently, emission reduction technologies will be needed to reduce CO₂
4 atmospheric concentration. Microalgae may have an important role in this context. They
5 are photosynthetic microorganisms that are able to fix atmospheric CO₂ using solar
6 energy with efficiency ten times higher than terrestrial plants. The objectives of this
7 study were: (i) to analyse the effect of light supply on the growth of *Chlorella vulgaris*
8 and *Pseudokirchneriella subcapitata*; (ii) to assess the atmospheric CO₂ capture by
9 these microalgae; and (iii) to determine the parameters of the Monod model that
10 describe the influence of irradiance on the growth of the selected microalgae. Both
11 microalgae presented higher growth rates with high irradiance values and discontinuous
12 light supply. The continuous supply of light at the highest irradiance value was not
13 beneficial for *C. vulgaris* due to photooxidation. Additionally, *C. vulgaris* achieved the
14 highest CO₂ fixation rate with the value of 0.305 g-CO₂ L⁻¹ d⁻¹. The parameters of
15 the Monod model demonstrated that *C. vulgaris* can achieve higher specific growth
16 rates (and higher CO₂ fixation rates) if cultivated under higher irradiances than the
17 studied values. The presented results showed that microalgal culture is a promising
18 strategy for CO₂ capture from atmosphere.

19

20 **Key words:** Bioenergy with carbon capture and storage; Carbon dioxide capture;
21 *Chlorella vulgaris*; *Pseudokirchneriella subcapitata*.

1 **1. Introduction**

2 A recent study identified some important planetary boundaries that must not be
3 transgressed to avoid unacceptable environmental changes (Rockstrom et al. 2009). The
4 continuous increase of atmospheric concentrations of greenhouse gases (mainly
5 CO₂) has been associated to perturbations on the climate (Rockstrom et al. 2009;
6 Singh and Ahluwalia 2013). Consequently, several nations have recognized the need
7 to shift to a low-carbon economy (Dovi et al. 2009; Shepherd et al. 2009; Pires
8 et al. 2011). However, the progress in CO₂ mitigation has been very slow and, even if
9 CO₂ emissions were immediately cut to zero, climate change would continue in the
10 future due to long residence time of this greenhouse gas in atmosphere (Allen et al.
11 2009; Keith 2009; Shepherd et al. 2009; Moss et al. 2010; McLaren 2012).
12 The application of geoengineering methods would be needed, which are divided
13 in two groups (Pielke 2009; Shepherd et al. 2009; McLaren 2011): (i) carbon
14 dioxide removal from atmosphere; and (ii) solar radiation management – reflexion
15 of a small percentage of sun’s light and heat back into space. The first methodologies
16 are preferable than the last ones, as they are able to return the climate system to
17 its natural state (Singh and Ahluwalia 2013). Carbon dioxide removal methods
18 include (Shepherd et al. 2009): (i) land use management (to protect land carbon
19 sinks); (ii) the use of biomass (result of photosynthetic conversion of CO₂) as carbon
20 neutral energy source; (iii) enhancement of natural weathering processes to capture
21 atmospheric CO₂; (iv) direct engineered capture (physicochemical processes); and
22 (v) enhancement of oceanic CO₂ uptake. These methods may allow future reductions
23 of atmospheric CO₂ concentrations, reason to also be called negative emission
24 technologies (NETs) (Keith 2009; Lemoine et al. 2012).

1 Currently, photosynthesis is the only practical form of air capture. With the constant
2 increase of atmospheric CO₂ concentrations, the enhancement of natural sinks can have
3 a strong impact in the reduction of atmospheric concentrations (DuPont 2013).
4 The largest carbon sink in the planet is the algae floating in the ocean that converts CO₂
5 into biomass. Currently, it is estimated that they are responsible for capture of 12 Gt-
6 CO₂ yr⁻¹ (Singh and Ahluwalia 2013). Afforestation and bioenergy with carbon
7 capture and sequestration (BECCS) can have an important role in the atmospheric
8 CO₂ capture. Afforestation aims to increase biomass production, while BECCS
9 aims to produce bioenergy followed by the capture of released CO₂ (Obersteiner et al.
10 2001; Keith 2009; Lemoine et al. 2012). The main disadvantage of these
11 biological methods is the requirement for land (Keith et al. 2006). Aiming to
12 reduce the land use requirements, microalgal culture can be applied. These
13 photosynthetic microorganisms use solar energy with efficiency ten times greater than
14 terrestrial plants (Murakami and Ikenouchi 1997; Pires et al. 2012; Singh and Ahluwalia
15 2013). They are responsible for about 50% of the world oxygen production
16 (Chapman 2013; Singh and Ahluwalia 2013). Moreover, contrary to
17 physicochemical processes for CO₂ capture (absorption, adsorption, membrane
18 separation and cryogenic distillation), microalgal culture have a final product (their
19 biomass) with several applications (Chanakya et al. 2013; Chapman 2013; DuPont
20 2013; Gonçalves et al. 2013; Sing et al. 2013): (i) bioenergy production; (ii) food and
21 feed production; (iii) pharmaceuticals; and (iv) cosmetics.

22 The process variables that could influence the success of microalgal cultivation are light
23 distribution and saturation, temperature, pH, salinity, nutrient qualitative and
24 quantitative profiles, dissolved oxygen concentration and presence of toxic
25 elements (heavy metals) (Singh and Ahluwalia 2013). Light supply is one of the most
important variables that influence the growth kinetics of microalgae. Thus, this study
aims: (i) to

1 analyse the influence of irradiance and light/dark ratio on the growth of *Chlorella*
2 *vulgaris* and *Pseudokirchneriella subcapitata*; (ii) to assess the atmospheric CO₂
3 capture by microalgae; and (iii) to determine the parameters of the Monod model that
4 describe the influence of irradiance on microalgal growth. As far as it is known, there
5 has been no previous research study focusing the analysis of light supply effect on CO₂
6 capture from atmosphere by microalgae.

7 **2. Materials and Methods**

8 *2.1. Microorganisms and culture medium*

9 Stock solutions of the freshwater green algae *Chlorella vulgaris* and
10 *Pseudokirchneriella subcapitata* were prepared by previously described methods with
11 the following composition (per liter) (OECD 2011): 12 mg MgCl₂·6H₂O, 18 mg
12 CaCl₂·2H₂O, 15 mg MgSO₄·7H₂O, 1.6 mg KH₂PO₄, 0.08 mg FeCl₃·6H₂O, 0.1 mg
13 Na₂EDTA·2H₂O, 0.185 mg H₃BO₃, 0.415 mg MnCl₂·4H₂O, 3 µg ZnCl₂, 1.5 µg
14 CoCl₂·6H₂O, 0.01 µg CuCl₂·2H₂O, 7 µg Na₂MoO₄·2H₂O, and 50 mg NaHCO₃.
15 Nitrogen was supplied in the form of NaNO₃ for *C. vulgaris*, and in the form of NH₄Cl
16 for *P. subcapitata* (15 mg L⁻¹). These algae strains were selected to compare their
17 growth rate in the determined experimental conditions for selection of the best one for
18 future research work. The cells were incubated in 500 mL flasks at room temperature,
19 under continuous fluorescent light with an irradiance of 72 µE m⁻² s⁻¹ at the surface of
20 the flasks. Agitation was obtained by bubbling filtrated (0.2 µm, Orange Scientific
21 GyroDisc CA-PC) atmospheric air (flow rate of 1.5 L min⁻¹) in the bottom of the flasks.

22 *2.2. Experimental setup*

23 Experiments were performed in 500 mL flasks (VWR, Germany) operating in
24 batch with a working volume of 450 mL. Cells were cultivated for 12 days using the
growth

1 medium described above. The experimental conditions were the following: initial
2 biomass concentration of 0.05-0.08 g L⁻¹ (Taştan et al. 2013), room temperature
3 (22±1 °C), and continuous aeration with the injection of atmospheric air in the bottom
4 of the flasks. The assays were carried out under different light irradiance values: 36, 72,
5 96, and 126 µE m⁻² s⁻¹. For each irradiance value, different light cycles were evaluated:
6 10:14, 14:10, and 24:0 (light:dark). All the experiments were performed in triplicates.

7 2.3. Analytical methods

8 Irradiance was monitored using a light meter (IsoTech Lux-1335). Duplicate samples
9 were collected at 24 h intervals and biomass concentration was determined by
10 measuring optical density at 683 nm (OD₆₈₃) (Kwon et al. 2005), using a V-1200
11 spectrophotometer provided by VWR company (Portugal). Each sample was diluted to
12 give an OD₆₈₃ in the range of 0.1-1.0 (assuming that the biomass concentration is
13 linearly correlated with OD₆₈₃). The relationship between optical density and the dry
14 cell weight of *C. vulgaris* and *P. subcapitata* was previously determined. In different
15 microalgal growth stages, simultaneous evaluation of OD₆₈₃ and biomass concentration
16 were performed and the linear relationships are given by linear regression: $y = 1.8415x$
17 ($R^2 = 0.9974$) and $y = 2.7318x$ ($R^2 = 0.9928$), respectively. The value y is the
18 OD₆₈₃ and the value x is the biomass concentration in g L⁻¹. The pH of the cultures was
19 also determined everyday using a HI 8424 pH meter (HANNA Instruments, USA).

20 2.4. Kinetic parameters

21 Cell concentration values were used to determine specific growth rates (μ , d⁻¹),
22 maximum biomass concentration (X_{\max} , g L⁻¹), and maximum biomass productivities
23 (P_{\max} , g L⁻¹ d⁻¹) of each microorganism. Specific growth rates were calculated by
24 exponential regression during the logarithmic phase (Bailey and Ollis 1986). Biomass

1 productivities (P) were calculated from the variation in biomass concentration (g L⁻¹)
2 within a cultivation time (d), according to the following equation:

$$P = \frac{X_1 - X_0}{t_1 - t_0} \quad (1)$$

3 where X_1 and X_0 were the biomass concentration (g L⁻¹) on days t_1 and t_0 , respectively.
4 CO₂ fixation rate (R_C) was calculated based on the relationship with microalgal carbon
5 content (C_C) and biomass productivities (Jacob-Lopes et al. 2009), represented by:

$$R_C = C_C \times P \times \frac{M_{CO_2}}{M_C} \quad (2)$$

6 Considering the typical molecular formula of microalgal biomass, CO_{0.48}H_{1.83}N_{0.11}P_{0.01},
7 each gram of microalgal biomass is equivalent to about 1.88 g of captured CO₂ (Chisti
8 2007; Wang et al. 2008; Jacob-Lopes et al. 2009).

9 Growth rate values for different irradiance values (I) were then used to determine the
10 kinetic parameters μ_{max} and K_I , according to the mathematical Monod model (Fergola
11 et al. 2007), expressed by:

$$f = \frac{\mu_{max} \cdot I}{K_I + I} \quad (3)$$

12 where μ_{max} is the maximum specific growth rate and K_I is the half saturation constant.
13 This model was fitted to the experimental data (irradiance versus specific growth rates)
14 using a non-linear minimization function (NonLinearRegress) of the software package
15 Mathematica (Wolfram Mathematica 8). These parameters were chosen to minimize the
16 χ^2 function given by the sum of squared residuals $\sum_i e_i^2$.

17 *2.5. Statistical analysis*

1 For each parameter tested, the average and the standard deviation were calculated. The
2 statistical significance of the results was evaluated using the Student's paired *t*-test to
3 investigate whether the differences between the controls and the actual tests could be
4 considered significant. Additionally, 3-way factorial design was applied to evaluate if
5 the three factors (algal species, light/dark ratio and irradiance) or their interaction were
6 significant for X_{\max} , μ and P_{\max} . All statistical tests were carried out at a significance
7 level of 0.05, using the statistical software SPSS 17.0 (SPSS Inc., Chicago, IL, USA).

8 **3. Results and Discussion**

9 3.1. Biomass Growth and Productivity

10 Microalgal cultures were performed under photoautotrophic conditions, converting
11 inorganic carbon into biomass by photosynthesis. Figure 1 presents the growth curves of
12 *C. vulgaris* and *P. subcapitata* for different light conditions at room temperature and
13 aerated with CO₂ at atmospheric concentration, showing their different growth stages.
14 For almost all cultures, it was observed the lack of an adaptation phase. The exponential
15 phase started before completing the first day of culture. However, for a light/dark ratio
16 of 10:14 and low light irradiance values, the adaptation phase was observed with both
17 microalgal species. Generally, the stationary phase occurred at the seventh day of
18 culture. Similar behaviour was observed by Jacob-Lopes et al. (2009), when analysed
19 the effect of light cycles on cultures of the cyanobacterium *Aphanothece microscopica*
20 *Nägeli*.

21

22 Table 1 shows the main kinetic parameters (μ ; X_{\max} ; and P_{\max}) for cultures of
23 *C. vulgaris* and *P. subcapitata*. From *t*-test ($p < 0.05$), the maximum value for specific
24 growth rate was achieved with *C. vulgaris* with constant supply of light energy

1 (light/dark ratio of 24:0) with irradiance of $96 \mu\text{E m}^{-2} \text{ s}^{-1}$ (0.738 d^{-1}), which value was
2 not statistically different ($p>0.05$) from the ones obtained with the same light/dark ratio
3 and irradiance of $126 \mu\text{E m}^{-2} \text{ s}^{-1}$, and with the same irradiance value and the light/dark
4 ratio of 14:10. Regarding the maximum biomass concentration, it occurred with the
5 same microalga for the light/dark ratio of 14:10 and irradiance of $126 \mu\text{E m}^{-2} \text{ s}^{-1}$
6 (0.821 g L^{-1} , representing 10 times more the initial concentration of the culture). This
7 kinetic parameter did not present significant differences varying the irradiance, but it
8 was significantly different for other light/dark ratios. The achievement of the highest
9 value in a discontinuous light supply (already observed in Figure 1 for both microalgae)
10 may be related with possible photooxidation (Molina et al. 2001; Chisti 2008). The
11 oxygen generated by photosynthesis may accumulate in culture medium, reaching
12 values that in combination with intense light can damage microalgal cells. During the
13 dark period, microalgae do not perform photosynthesis and the oxygen may be released
14 from the culture by the constant aeration. On the other hand, the cells get energy by
15 oxidizing the compounds produced during the light period. Consequently, the O_2
16 concentration in the culture decreases and the microalgae could repair the photo-
17 induced damage (Merchuk et al. 1998; Carvalho et al. 2011). Taking into account the
18 maximum biomass productivity, the highest value was obtained for the light/dark ratio
19 of 14:10 and irradiance of $36 \mu\text{E m}^{-2} \text{ s}^{-1}$ ($0.162 \text{ g L}^{-1} \text{ d}^{-1}$) that did not statistically differ
20 from the values obtained with other light/dark ratios (maintaining the irradiance value)
21 and other irradiances (maintaining the light/dark ratio).

22

23 Three-way factorial design was applied using as main effects: (i) algal species with two
24 levels (*C. vulgaris* and *P. subcapitata*); (ii) light/dark ratio with three levels (10:14,

1 14:10 and 24:0); and (iii) irradiance with four levels (36, 72, 96, and 126 $\mu\text{E m}^{-2} \text{s}^{-1}$).
2 Regarding specific growth rate, algal specie ($p=0.0064$), light/dark ratio ($p=0.0002$) and
3 irradiance ($p=0.0036$) were considered statistically significant, as well as the
4 interactions between light/dark ratio with algal specie ($p=0.0271$) and irradiance
5 ($p=0.0461$). However, concerning X_{max} and P_{max} , only the main effects were considered
6 statistically significant: (i) algal species ($p=0.0039$ and $p=0.0023$, respectively); (ii)
7 light/dark ratio ($p=0.0114$ and $p=0.0333$, respectively); and (iii) irradiance ($p=0.0048$
8 and $p=0.0217$, respectively).

9 3.2. Carbon Sequestration

10 The determination of carbon sequestration rate was performed using an empirical
11 chemical formula for microalgae proposed by Chisti (2007). This assumption was
12 considered to avoid the elemental characterization of biomass for each experiment (24
13 experiments, excluding the replicates), as the chemical composition depends on
14 microalgal species and culture conditions. Moreover, other authors have already applied
15 this relationship to determine the CO_2 capture by microalgae from the produced
16 biomass (Wang et al. 2008; Jacob-Lopes et al. 2009). In this study, the maximum
17 fixation rate was calculated based on the maximum productivity, achieving a value of
18 $0.305 \text{ g L}^{-1} \text{ d}^{-1}$ for *C. vulgaris*, which is the same order of magnitude as values obtained
19 in other research studies that aerated microalgal cultures with enriched CO_2 streams (Jin
20 et al. 2006; Jacob-Lopes et al. 2009; Tang et al. 2011; Yeh and Chang 2011).

21 The experimental results showed that microalgal culture is a promising methodology to
22 integrate BECCS technology, contributing to negative carbon dioxide emissions.
23 Capturing CO_2 from atmosphere represents a cost reduction in microalgal cultures, as it
24 is obtained for free and in any location (land use). However, to implement this

1 technology in industrial scale, research based on the design of photobioreactors should
2 be performed to reduce the energy required in the process, improving their
3 sustainability. Renewable energy sources (solar and wind) could be coupled in
4 microalgal cultivation to reduce the energetic dependence.

5 3.3. Monod model

6 The influence of irradiance on microalgal growth was modelled by Monod function
7 (Equation 2). Table 2 shows the model parameters that characterize each microalga
8 growth with continuous illumination obtained by the non-linear minimization function
9 (Wolfram, 1988). *C. vulgaris* presented higher maximum specific growth rate and half
10 saturation constant than *P. subcapitata*. The half constant of $124.112 \mu\text{E m}^{-2} \text{s}^{-1}$
11 determined for *C. vulgaris* is almost equal to the maximum irradiance value applied in
12 this study ($126 \mu\text{E m}^{-2} \text{s}^{-1}$), which means that future studies with this microalga could be
13 performed with higher light irradiance values to achieve higher growth rates and,
14 consequently, CO₂ removal efficiencies.

15

16 4. Conclusions

17 *Chlorella vulgaris* and *Pseudokirchneriella subcapitata* presented higher growth rates
18 under high irradiances and discontinuous light supply. Based on the Monod model,
19 *C. vulgaris* can significantly increase its growth rate (to almost double) if the culture is
20 performed under higher irradiance. Regarding the CO₂ capture from atmosphere, even
21 under sub-optimal culture conditions, *C. vulgaris* achieved fixation rates (up to 0.305 g
22 $\text{L}^{-1} \text{d}^{-1}$) comparable to the ones obtained by other species from CO₂ enriched streams.
23 Thus, microalgal cultures showed to be a promising technology for capturing CO₂ from
24 atmosphere.

1

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6

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1 **Figure Captions:**

2 **Figure 1.** Growth curves of *Chlorella vulgaris* (a, c and e) and *Pseudokirchneriella*
3 *subcapitata* (b, d and f) under different light supplies: irradiance value (36, 72, 96 and
4 $126 \mu\text{E m}^{-2} \text{s}^{-1}$) and light/dark ratios (10:14 – a and b; 14:10 – c and d; 24:0 – e and f).

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6

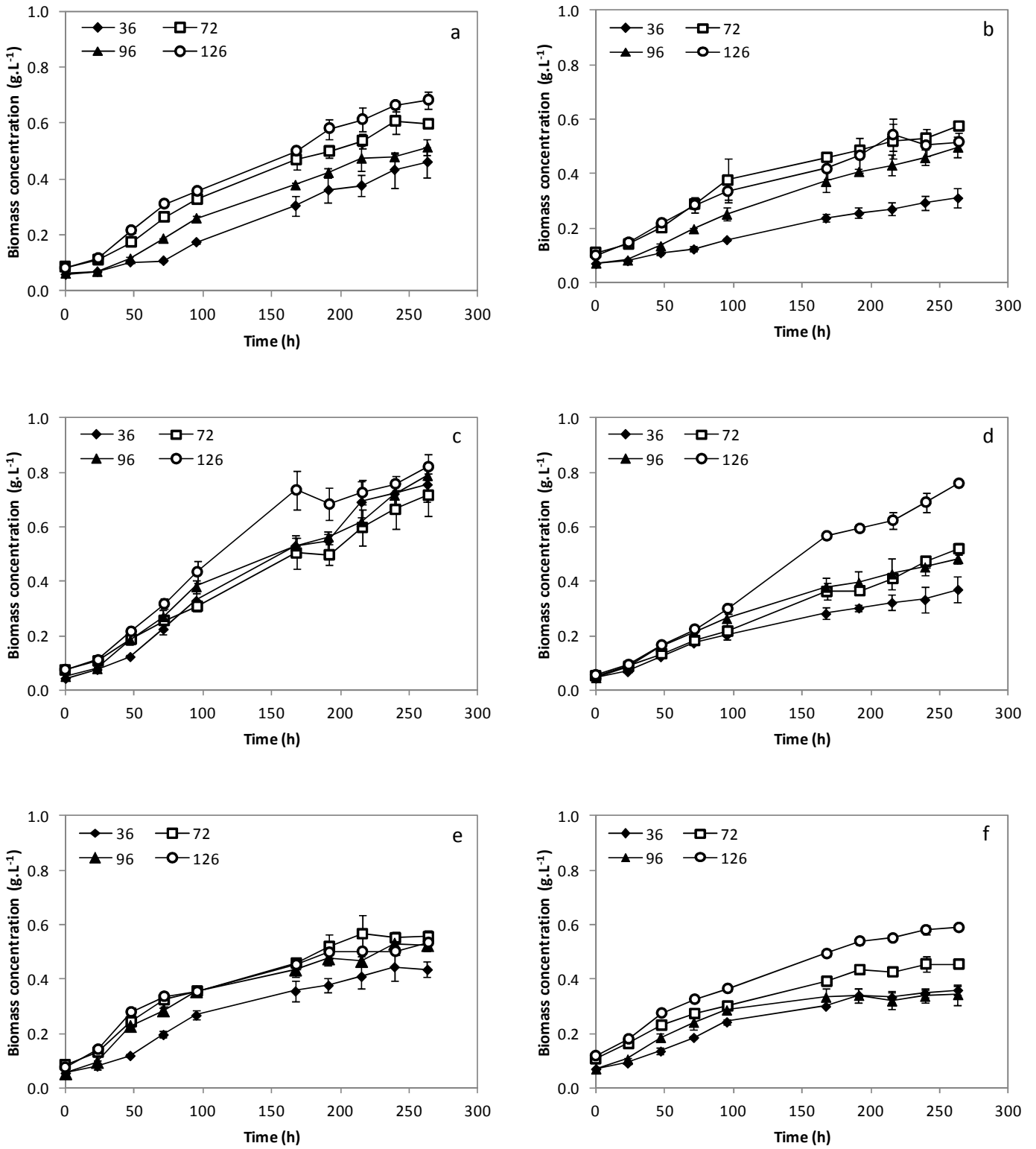


Figure 1.

Table 1. Kinetic parameters for cultures of *Chlorella vulgaris* and *Pseudokirchneriella subcapitata* with different light conditions

		<i>Chlorella vulgaris</i>			<i>Pseudokirchneriella subcapitata</i>		
	Irradiance ($\mu\text{E m}^{-2} \text{s}^{-1}$)	Light/dark ratio					
		10:14	14:10	24:0	10:14	14:10	24:0
μ (d^{-1})	36	0.267±0.035 ^{a,1}	0.544±0.017 ^{a,2}	0.425±0.019 ^{a,3}	0.201±0.024 ^{a,1}	0.516±0.036 ^{a,2}	0.321±0.011 ^{a,3}
	72	0.387±0.030 ^{b,1}	0.428±0.032 ^{b,1}	0.523±0.052 ^{b,2}	0.324±0.019 ^{b,1}	0.465±0.019 ^{a,2}	0.417±0.008 ^{b,3}
	96	0.367±0.023 ^{b,1}	0.659±0.112 ^{a,2}	0.738±0.077 ^{c,2}	0.324±0.015 ^{b,1}	0.635±0.016 ^{b,2}	0.496±0.037 ^{c,3}
	126	0.469±0.037 ^{c,1}	0.485±0.034 ^{b,1}	0.650±0.014 ^{c,2}	0.354±0.022 ^{b,1}	0.543±0.016 ^{a,2}	0.421±0.002 ^{b,3}
X_{max} (g L^{-1})	36	0.460±0.055 ^{a,1}	0.756±0.063 ^{a,2}	0.445±0.053 ^{a,1}	0.311±0.034 ^{a,1}	0.370±0.047 ^{a,1}	0.360±0.017 ^{a,1}
	72	0.606±0.045 ^{b,1}	0.716±0.078 ^{a,1}	0.566±0.070 ^{b,2}	0.574±0.007 ^{b,1}	0.519±0.023 ^{b,2}	0.455±0.003 ^{b,3}
	96	0.513±0.029 ^{a,1}	0.789±0.029 ^{a,2}	0.530±0.023 ^{b,1}	0.497±0.036 ^{c,1}	0.483±0.017 ^{b,1}	0.343±0.037 ^{a,2}
	126	0.682±0.032 ^{c,1}	0.821±0.048 ^{a,2}	0.534±0.000 ^{b,3}	0.517±0.033 ^{c,1}	0.760±0.013 ^{c,2}	0.589±0.005 ^{c,3}
P_{max} ($\text{g L}^{-1} \text{d}^{-1}$)	36	0.066±0.003 ^{a,1}	0.162±0.081 ^{a,1}	0.077±0.002 ^{a,1}	0.034±0.001 ^{a,1}	0.054±0.005 ^{a,1}	0.057±0.005 ^{a,1}
	72	0.090±0.007 ^{a,1}	0.080±0.002 ^{a,1}	0.111±0.005 ^{a,2}	0.087±0.007 ^{a,1}	0.069±0.023 ^{a,1}	0.089±0.029 ^{a,1}
	96	0.073±0.009 ^{a,1}	0.110±0.006 ^{a,1}	0.132±0.001 ^{b,1}	0.063±0.007 ^{a,1}	0.078±0.007 ^{a,1}	0.079±0.015 ^{a,1}
	126	0.100±0.009 ^{a,1}	0.117±0.023 ^{a,1}	0.146±0.013 ^{b,1}	0.071±0.023 ^{a,1}	0.089±0.007 ^{a,1}	0.115±0.028 ^{a,1}

μ - specific growth rate; X_{max} - maximum biomass concentration; P_{max} - maximum biomass productivities; Values are mean±s.d.; within the same column (and the same kinetic parameter), means having different superscript letters are significantly different ($p<0.05$) by t -test; within the same row (and the same microalgal specie), means having different superscript numbers are significantly different ($p<0.05$) by t -test.

Table 2. Monod model parameters of microalgal growth with continuous light supply

Parameter	<i>Chlorella vulgaris</i>		<i>Pseudokirchneriella subcapitata</i>	
	Estimation	Standard error	Estimation	Standard error
μ_{\max} (d ⁻¹)	1.867	0.602	0.680	0.054
K_I ($\mu\text{E m}^{-2} \text{s}^{-1}$)	124.112	69.668	40.955	9.651

μ_{\max} – maximum specific rate; K_I – half saturation constant.