

## Effect of temperature on lung function and symptoms in chronic obstructive pulmonary disease

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*Effect of temperature on lung function and symptoms in chronic obstructive pulmonary disease. G.C. Donaldson, T. Seemungal, D.J. Jeffries, J.A. Wedzicha. ©ERS Journals Ltd 1999.*

**ABSTRACT:** The present study investigated whether falls in environmental temperature increase morbidity from chronic obstructive pulmonary disease (COPD).

Daily lung function and symptom data were collected over 12 months from 76 COPD patients living in East London and related to outdoor and bedroom temperature. Questionnaires were administered which asked primarily about the nature of night-time heating.

A fall in outdoor or bedroom temperature was associated with increased frequency of exacerbation, and decline in lung function, irrespective of whether periods of exacerbation were excluded. Forced expiratory volume in one second (FEV<sub>1</sub>) and forced vital capacity (FVC) fell markedly by a median of 45 mL (95% percentile range: -113–229 mL) and 74 mL (-454–991 mL), respectively, between the warmest and coolest week of the study. The questionnaire revealed that 10% had bedrooms <13°C for 25% of the year, possibly because only 21% heated their bedrooms and 48% kept their windows open in November.

Temperature-related reduction in lung function, and increase in exacerbations may contribute to the high level of cold-related morbidity from chronic obstructive pulmonary disease.

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Chronic obstructive pulmonary disease (COPD) is characterized by progressive largely irreversible airflow limitation, leading to considerable respiratory disability and mortality [1]. The frequency of exacerbation of COPD increases with worsening lung function and during the winter months. Some of these exacerbations may be due to viral and bacterial infections [2, 3], but whether a cold environment is associated with exacerbation or deterioration in lung function is not known. Previous studies [2, 4, 5] have either been inconclusive, or negative, regarding a relationship between cold weather and lung function, possibly because they were of limited duration or relatively few patients were investigated, or the winter months were not examined. The relationship between temperature and exacerbation of COPD has not been examined.

Poor personal protection from indoor cold stress has been linked to increases in temperature-related mortality from all causes of respiratory disease [6]. Patients with more severe COPD may be housebound [7] and any changes in lung function may be more related to indoor rather than outdoor temperature levels, but the effects of indoor temperature have not previously been evaluated. A recent study in South-East England has reported that low daily temperatures were associated with excess deaths from respiratory disease in people >50 yrs of age [8].

The primary aim of this study was to determine whether cold had a deleterious effect on patients with COPD. Daily symptom and lung function data were collected over a

period of 12 months in a group of patients with moderate and severe COPD living in East London, and related to mean outdoor and morning bedroom temperatures. Further analyses were made to exclude possible confounders such as humidity and windspeed. Information was also collected on bedroom heating and other habits.

### Methods

#### *Lung function and symptom data*

In October 1995, 76 patients were recruited consecutively from those attending an outpatients clinic at the London Chest Hospital on the basis that they were willing to participate in a long-term study, and that they had moderate to severe COPD with a forced expiratory volume in one second (FEV<sub>1</sub>) <70% of predicted and reversibility from baseline to inhaled salbutamol of <15% and 200 mL. Baseline spirometry and arterial (ear-lobe capillary) blood gas measurements were carried out [9]. Patients were asked to record, on monthly diary cards, peak expiratory flow rate (PEFR), measured indoors after their morning medication, with a Mini-Wright peak flowmeter (Clement Clarke International Ltd, Harlow, UK); indoor temperature in their bedroom on awakening using a 1°C Thermax temperature strip (Thermographic Measurements Ltd, Burton, UK); and increase over their chronic (stable) symptoms

during the last 24 h in "major" symptoms (dyspnoea, sputum purulence, sputum amount) or "minor" symptoms (nasal discharge/congestion, wheeze, sore throat, cough). Patients were seen monthly and if necessary re-taught how to complete their diary cards. Data were missed when diary cards were not filled in, lost, holidays taken outside London or patients admitted to hospital. The average number of data points was 299 per patient (range 160–363). Respiratory medication was unchanged over the year, except during exacerbation or when prescribed by a patient's general practitioner from whom it was not feasible to collect data. Exacerbations were identified by symptoms, according to defined criteria [10] of any two major symptoms or one major and one minor symptom. Twenty-seven randomly selected patients also measured FEV<sub>1</sub> and forced vital capacity (FVC) using a hand-held spirometer (Micro Medical Ltd, Rochester, UK). All gas volumes were standardized at body temperature, ambient pressure and saturated with water (BTPS) conditions. Ethical permission was obtained from the East London and City Health Authority Ethics Committee.

#### Questionnaire data

In November 1996, all subjects were asked about their bedroom heating and ventilation, smoking history and pet ownership.

#### Meteorology data

The Meteorological Office provided three-hourly temperature, daily average windspeed and one-hourly relative humidity data recorded at the Ministry of Defence, Whitehall, London, for October 1995 to September 1996. Temperature and relative humidities were averaged to give daily mean values. No data were missing.

#### Statistical analysis

*Lung function and symptom data.* For all subjects, a regression coefficient of their FEV<sub>1</sub> on same day outdoor temperature was calculated with allowance for serial correlation, using the method of COCHRANE and ORCUTT [11], and for annual decline in lung function. Similar calculations were made for the same 27 patients who recorded FVC and for all 76 patients who recorded PEFR. Regression coefficients were also calculated with wind-speed, relative humidity or patient's bedroom temperature as the independent variable; for change in FEV<sub>1</sub> from the previous day on change in outdoor or bedroom temperature from the previous day; and for outdoor and bedroom temperature but with data collected 7 days before and 21 days after exacerbation onset excluded to determine whether the spirometry changes were independent of exacerbation. A further analysis was made with both outdoor and indoor temperature as independent variables, the purpose of which was to determine whether outdoor temperature affected lung function independently of whether the patients lived in cold homes or not, or whether low indoor temperatures affect lung function independently of how cold it was outdoors. Logit regression was used to estimate regression coefficients for a symptom on outdoor or bedroom temperature. Symptom data from the previous day were included as additional independent variables in the regression model to correct for the problem that the data from each day were not entirely independent of that of the previous day. Tests showed that the distributions of the coefficients were generally skewed or with kurtosis; thus median values are reported and tests for significance from zero made using the Wilcoxon sign-ranked test. The correlation between the regression coefficients of FEV<sub>1</sub> on outdoor temperature and per cent reversibility to salbutamol was also calculated. Bubble-plots of symptoms against temperature were made by determining the proportion of the group reporting a symptom on a given day and then binning these into 1°C intervals (the area of the bubble

Table 1. – Median regression coefficients (MRC) and 2.5–97.5 percentile ranges of spirometry on outdoor and bedroom temperature (with and without exacerbations), relative humidity and windspeed

	Including exacerbations	Excluding exacerbations
On outdoor temperature		
FEV <sub>1</sub> mL·°C <sup>-1</sup>	2.20 (-5.5–11.2)**	1.27 (-2.7–11.3)**
FVC mL·°C <sup>-1</sup>	3.64 (-22.4–48.6)**	3.69 (-8.5–48.6)*
PEFR L·min <sup>-1</sup> ·°C <sup>-1</sup>	0.12 (-0.97–1.67)**	0.18 (-1.13–1.91)*
ΔFEV <sub>1</sub> mL·°C <sup>-1</sup>	1.13 (-8.0–7.8)**	0.83 (-11.5–7.53)*
On bedroom temperature		
FEV <sub>1</sub> mL·°C <sup>-1</sup>	3.03 (-8.9–52.7)**	2.92 (-10.3–21.8)*
FVC mL·°C <sup>-1</sup>	10.90 (-36.6–91.1)**	10.00 (-27.4–91.1)*
PEFR L·min <sup>-1</sup> ·°C <sup>-1</sup>	0.41 (-1.55–7.50)**	0.61 (-2.65–7.84)**
ΔFEV <sub>1</sub> mL·°C <sup>-1</sup>	3.08 (-13.3–38.3)**	3.04 (-13.0–18.0)
On relative humidity %		
FEV <sub>1</sub> mL·% <sup>-1</sup>		0.02 (-2.5–1.5)
FVC mL·% <sup>-1</sup>		-0.52 (-3.8–2.3)*
PEFR L·min <sup>-1</sup> ·% <sup>-1</sup>		-0.003 (-0.3–0.3)
On windspeed m·s <sup>-1</sup>		
FEV <sub>1</sub> mL·m <sup>-1</sup> ·s <sup>-1</sup>		0.19 (-13.7–11.1)
FVC mL·m <sup>-1</sup> ·s <sup>-1</sup>		-0.30 (-21.0–15.2)
PEFR L·m <sup>-1</sup> ·s <sup>-1</sup>		0.15 (-1.4–1.7)*

FEV<sub>1</sub>: forced expiratory volume in one second; FVC: forced vital capacity; PEFR: peak expiratory flow rate; ΔFEV<sub>1</sub>: 1-day change in FEV<sub>1</sub> on 1-day change in temperature. \*: p<0.05; \*\*: p<0.01; \*\*\*: p<0.001.

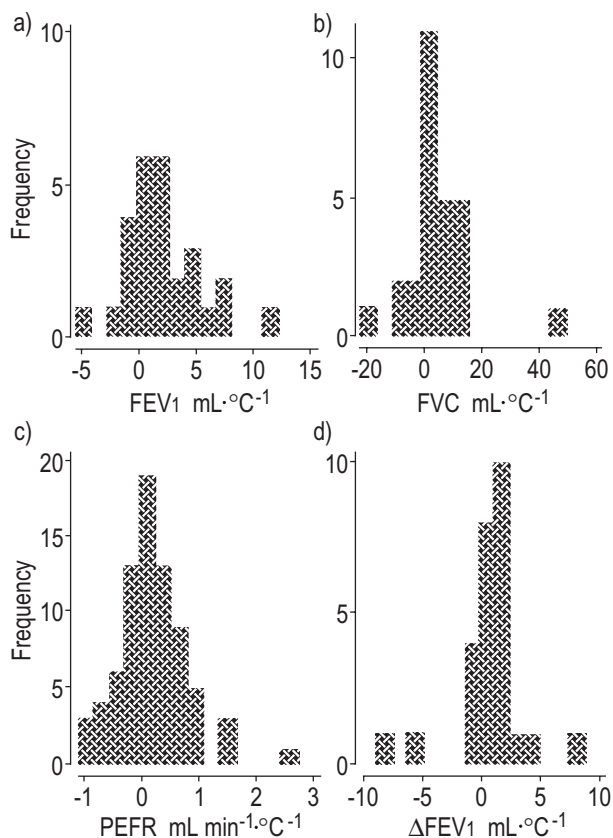


Fig. 1. – Histograms of regression coefficients of a) forced expiratory volume in one second (FEV<sub>1</sub>), b) forced vital capacity (FVC) and c) peak expiratory flow rate (PEFR) on outdoor temperature, and d) 1-day change in FEV<sub>1</sub> on 1-day change in outdoor temperature ( $\Delta$ FEV<sub>1</sub>); data during periods of exacerbations included.

is a measure of the number of days within the interval). Anthropomorphic and questionnaire results are reported as means and SD.

## Results

The group of 76 COPD patients comprised 56 males and 20 females with mean age  $68 \pm 8$  yrs. On entering the study they had a mean FEV<sub>1</sub> of  $1.06 \pm 0.43$  L, FVC  $2.49 \pm 0.8$  L, predicted FEV<sub>1</sub>  $40.8 \pm 19.4\%$  reversibility to salbutamol  $6.2 \pm 8.9\%$ , PEFR  $224 \pm 78$  L·min<sup>-1</sup> and arterial oxygen tension ( $P_{a,O_2}$ )  $8.83 \pm 1.06$  kPa. Ninety-five per cent had smoked and 35% currently smoked, 3% still worked and 29% owned either a dog, cat or bird. The subset in whom daily FEV<sub>1</sub> and FVC were recorded comprised 24 males and three females, mean age  $65 \pm 1.2$  yrs, FEV<sub>1</sub>  $1.11 \pm 0.43$  L, FVC  $2.52 \pm 0.65$  L, predicted FEV<sub>1</sub>  $37.6 \pm 16.6\%$ , reversibility to salbutamol  $8.2 \pm 9.0\%$ , PEFR  $259 \pm 69$  L·min<sup>-1</sup>, and  $P_{a,O_2}$   $8.71 \pm 1.20$  kPa. This subset was not significantly different in any of these from the main group.

Table 1 shows that FEV<sub>1</sub>, FVC and PEFR were positively and significantly related to either outdoor or bedroom temperature, as was 1-day change in FEV<sub>1</sub> on 1-day change in outdoor or bedroom temperature (see figure 1 for distributions). No systematic alinearities in these relationships with temperature were seen; an example is shown in figure 2a. The warmest and coldest weeks of the

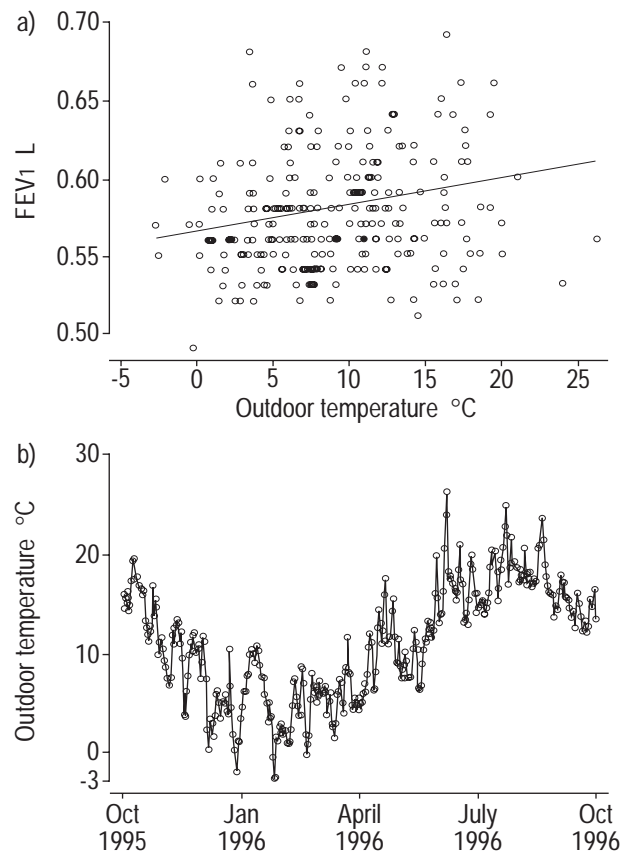


Fig. 2. – a) Forced expiratory volume in one second (FEV<sub>1</sub>) on outdoor temperature, an example from one subject. b) Outdoor temperature in Central London; 1/10/1995 to 31/9/1996.

year had mean temperatures of  $21.1$  and  $0.7^\circ\text{C}$ , respectively (fig. 2b), thus the typical temperature-related change over the year in PEFR would have been  $2.4$  L·min<sup>-1</sup> (95% percentile range  $-20.4$ – $34.1$  L·min<sup>-1</sup>); in FEV<sub>1</sub>  $45$  mL ( $-113$ – $229$  mL) and in FVC  $74$  mL ( $-454$ – $991$  mL). No correlation was found between the regression coefficients of FEV<sub>1</sub> on outdoor temperature and reversibility to inhaled salbutamol measured at the start of the study ( $r=0.23$ ,  $p=0.26$ ). Table 1 also shows median regression coefficients and their 2.5–97.5 percentile range obtained when data collected during exacerbation were excluded, and lung function remained significantly and positively associated with outdoor and bedroom temperature. The number of exacerbations averaged  $2.5 \pm 1.9$  for the whole and  $3.0 \pm 2.0$  for the subset. One-day change in FEV<sub>1</sub> was related to 1-day change in outdoor temperature ( $p=0.048$ ) but not quite significantly to bedroom temperature ( $p=0.055$ ). FEV<sub>1</sub>, FVC and PEFR were generally not related to either relative humidity or windspeed, except FVC negatively to relative humidity and PEFR positively to windspeed. Bedroom temperature was highly related to outdoor temperature (median coefficient  $=0.113^\circ\text{C}\cdot^\circ\text{C}^{-1}$ ; 95% range  $-0.0058$ – $0.3862$ ;  $p<0.001$ ). By determining the 25% quartile it was found that for 25% of the year, bedroom temperatures in the homes of 10% of the patients were  $\leq 13^\circ\text{C}$ .

FEV<sub>1</sub> was positively associated with outdoor temperature (median coefficient  $1.5$  mL· $^\circ\text{C}^{-1}$ ; 95% percentile range

Table 2. – Median logit regression coefficients (MLRC) and quartile range of symptoms and exacerbation on outdoor and bedroom temperature

	On outdoor temperature			On bedroom temperature		
	MLRC	Quartile range	n	MLRC	Quartile range	n
Shortness of breath	0.009	(-0.055–0.043)	58	0.019	(-0.187–0.095)	57
Sputum colour	-0.005	(-0.085–0.054)	42	-0.095	(-0.243–0.107)	41
Sputum amount	-0.004	(-0.101–0.600)	50	-0.073	(-0.245–0.107)*	50
Wheeze	-0.009	(-0.104–0.076)	48	-0.007	(-0.197–0.164)	22
Sore throat	-0.053	(-0.138–0.045)	21	-0.053	(-0.362–0.135)	22
Cough	-0.014	(-0.127–0.088)	40	-0.065	(-0.283–0.121)	39
Nasal congestion	-0.101	(-0.183–0.015)***	47	-0.187	(-0.458–0.167)***	47
Exacerbation	-0.026	(-0.116–0.309)*	65	-0.071	(-0.285–0.147)	62

n: number of patients with symptom; \*:  $p < 0.05$ ; \*\*\*:  $p < 0.001$ .

-5.9–8.1; significance from zero,  $p=0.02$ ) independently of bedroom temperature ( $1.10 \text{ mL} \cdot \text{C}^{-1}$ ; 95% range, -13.7, 56.6;  $p=0.08$ ). PEFR was positively associated with bedroom temperature ( $0.41 \text{ L} \cdot \text{min} \cdot \text{C}^{-1}$ ; -2.14, 6.51;  $p < 0.001$ ), independently of outdoor temperature ( $0.09 \text{ L} \cdot \text{min} \cdot \text{C}^{-1}$ ; -1.41, 1.21;  $p=0.18$ ). FVC was not significantly related to either, coefficients  $7.69 \text{ mL} \cdot \text{C}^{-1}$  outdoors; -20.9, 51.1;  $p=0.06$  and  $3.56 \text{ mL} \cdot \text{C}^{-1}$  bedroom; -20.9, 74.8;  $p=0.09$ .

Figure 3 shows the proportion of the group reporting an increase in symptoms and diagnosis of exacerbation, plotted against outdoor temperature. There was considerable scatter with a tendency for all variables except shortness of breath to increase in cold weather. Table 2 gives median logistic regression coefficients of symptoms on outdoor and bedroom temperature (a negative coefficient indicating an increase in cold conditions). The symptoms of nasal congestion and exacerbation were significantly related to outdoor temperature, and those of nasal congestion and increased sputum volume to bedroom temperature. Exacerbation against bedroom temperature was almost significant ( $p=0.052$ ).

#### Home heating habits

Sixty-nine of the 76 COPD patients responded to the questionnaire, and of these 51 had central, electrical or gas heating appliances in their bedrooms. However, only 14 patients used heating at night. Thirty-three patients kept their bedroom windows open and 55 spent on average  $20.5 \pm 43$  min out of bed, at night.

#### Discussion

The main finding from this study is that falls in environmental temperatures are associated with a reduction in lung function in patients with COPD. FEV<sub>1</sub> fell typically by 44.9 mL (at  $2.20 \text{ mL} \cdot \text{C}^{-1}$  outdoors) and FVC by 74.2 mL (at  $3.64 \text{ mL} \cdot \text{C}^{-1}$  outdoors) between the warmest and coolest weeks in the 12 months studied. These changes were larger than for PEFR ( $2.4 \text{ L} \cdot \text{min}^{-1}$  over the same temperature range), possibly because they reflect changes in both small and large airway, unlike PEFR which is mainly determined by large airway calibre. Although the falls in lung function are relatively small, their importance is that they may be sufficient to compromise patients with COPD who already have marked airflow obstruction (or may

make them more susceptible to infections). This finding is contrary to previous studies [2, 4, 5] possibly because a greater number of COPD patients were closely monitored over a longer period in this study. The deterioration in lung function could not easily be otherwise explained by changes in relative humidity or windspeed, as there were no consistent relationships between these and lung function. Another possible alternative explanation is greater atmospheric pollution in the winter. However, a preliminary analysis of spirometry data from this over the first 6 months and of ambient air pollution at that time showed little relationship, possibly because pollution levels in London were not high enough to produce any response (mean ozone  $9.4 \pm 6.3$  parts per billion (ppb); nitric oxide  $44.5 \pm 41.4$  ppb, sulphur dioxide  $7.6 \pm 5.8$  ppb; during the study in Bloomsbury Square, Central London).

It may have been that ambient temperature had an important effect on the measuring instruments. Mini-Wright flow meters have been found to underestimate PEFR by 0.8% if the device is cooled in a refrigerator from 37 to  $10^\circ\text{C}$  and Micro-Medical turbine spirometers have also been found to under-read by 1% after 3 weeks at  $-4^\circ\text{C}$  compared to measurements at  $25^\circ\text{C}$  [12, 13]. Errors due to this would, however, be very small, as the median room temperature at which the lung function measurements were made varied for subjects by only  $2.3^\circ\text{C}$  between the warmest and coolest weeks in this study. In addition, the smallest median temperature-related change observed,  $0.18\% \cdot \text{C}^{-1}$  for PEFR on indoors temperature (baseline  $244 \text{ L} \cdot \text{min}^{-1}$ ) was six-times greater than the reported instrumentation error of  $0.03\% \cdot \text{C}^{-1}$ . Micro-Medical spirometers have excellent long-term reproducibility [14]. The reductions in the spirometric readings also could not be attributed solely to exacerbations of COPD, even though these were more frequent in cold conditions, as the relationship between lung function and temperature remained after excluding data collected over a four-week period during exacerbation. The changes were also not due to a seasonal effect, such as diet, as they remained if any seasonal effect was removed by examining 1-day changes in spirometry and temperature [15]. Thus, it appears that temperature has an effect on lung function in patients with COPD, and these changes should be taken into account when interpreting long-term studies.

The reduction in lung function may be caused by increased airway inflammation in winter. Recent work has shown that exacerbations of asthma are largely precipitated by viral infections, especially with rhinovirus [16, 17], and

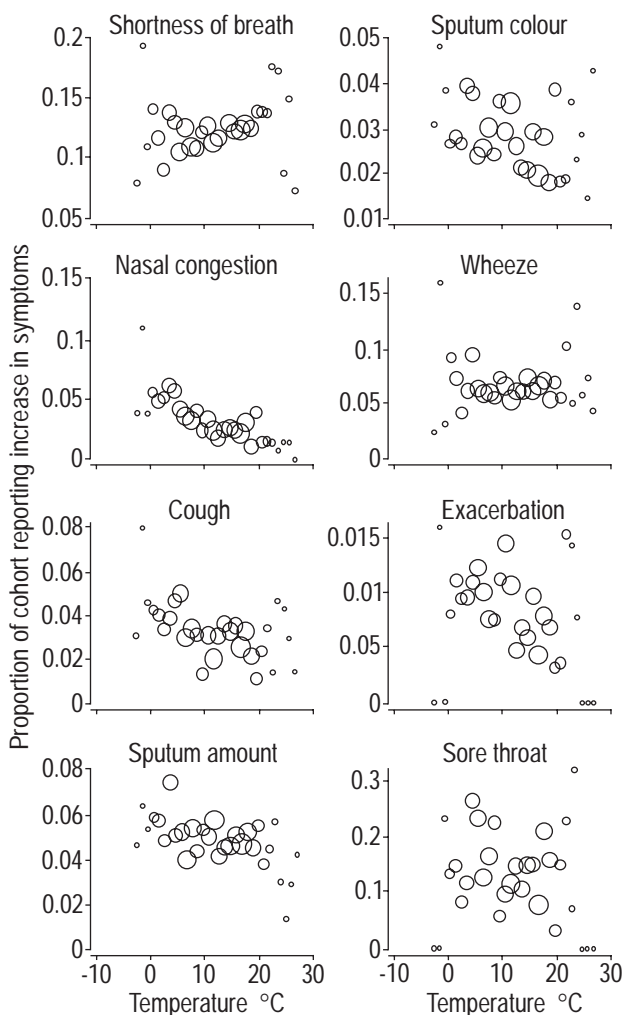


Fig. 3. – Bubble plots of respiratory symptoms against same day outdoor temperature; size of bubble indicates number of days within each 1°C interval.

it is likely that viruses are also important in increasing airway inflammation in COPD in winter. Upper respiratory tract infection with rhinovirus, which is commoner during the winter season, has been shown to cause lower airway inflammation in asthma [18, 19]. Similarly, inflammatory changes in COPD could contribute to a persistent fall in FEV<sub>1</sub>, without the presence of a symptomatic exacerbation. In the present study, it was found that common cold symptoms, such as nasal congestion, were also related to the fall in temperature in winter. Adenoviral deoxyribonucleic acid has been detected in airways of patients with COPD and reactivation of latent viral infection with cold temperature could also induce airway inflammatory changes [20]. Patients with COPD also show increased levels of the cytokines tumour necrosis factor- $\alpha$  and interleukin-8 in sputum, which are involved in neutrophil chemoattraction and activation [21]. Low ambient temperatures may act directly through cytokine activation to induce airway inflammatory changes. Mechanical factors may also be implicated as cold temperatures will cause increased peripheral vasoconstriction and shunt blood centrally [22] and thus reduce lung capacity. Inhalation of cold air can cause post-exertional bronchoconstriction in asth-

matics, although this effect may be due to drying of the airways [23, 24]. Patients with COPD have a largely fixed airflow obstruction, with relatively little daily variability and thus it is unlikely that they will show significant bronchoconstriction as a result of the direct effect of cold on airways. This is supported by the absence of any abrupt changes in the linearity of relationships between the spirometry and temperature, and by the absence of any relation between the fall in FEV<sub>1</sub> with temperature and reversibility to inhaled salbutamol.

This is the first study to investigate the effect of indoor temperature in patients with COPD, whose disability makes them more likely to be housebound. Morning bedroom temperature was measured on rising to obtain the lowest value experienced during the night which was practical for patients to record. The study clearly showed that low bedroom temperatures were associated with reduced lung function, although it was not possible to show independent and significant effects of both outdoor and indoor temperature, perhaps owing to the variance-inflation produced by their co-linearity. However, FEV<sub>1</sub> was significantly associated with outdoor temperature whilst independent of bedroom temperature, and PEF<sub>R</sub> was significantly associated with bedroom temperature, independently of outdoor temperature; and all were positive. It seems reasonable therefore to assume that low temperatures, either outdoors or indoors, will be associated with reduced lung function. A large proportion of the study COPD patients were found not to heat their bedrooms and kept their windows open at night, which would explain why a proportion had markedly cold bedrooms. Further studies would, however, be necessary to show that better protection from cold outdoors (especially the wearing of hats and scarfs [6]), improved bedroom heating, and the closure of windows at night would make an improvement in the health of COPD patients, although this is suggested by the results of the present study.

This study has demonstrated that a cold environment, whether during outdoor excursion or indoors, was associated with reduction in spirometric values. The interaction of reduced lung function and exacerbation during cold weather may contribute to the high cold-related morbidity and mortality in chronic obstructive pulmonary disease patients who are already suffering from chronic respiratory disability and increased susceptibility to respiratory failure.

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