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# Effect of TiO<sub>2</sub> nanoparticle addition on electroless Ni-P under bump metallization for lead-free solder interconnection

Xiao HU<sup>a</sup>, Sha XU<sup>a</sup>, Ying Yang<sup>b</sup>, Zhong Chen<sup>b</sup>, Y. C. Chan<sup>a,\*</sup>,

<sup>a</sup>Department of Electronic Engineering, City University of Hong Kong,

Tat Chee Avenue, Kowloon Tong, Hong Kong

<sup>b</sup>School of Materials Science and Engineering, Nanyang Technological University,

Singapore 639798, Singapore

\*Corresponding author. Tel.: +852 27887130; fax: +852 2788 7579.

E-mail address: [eeycchan@cityu.edu.hk](mailto:eeycchan@cityu.edu.hk) (Y.C. Chan).

## Abstract

One primary purpose of this study is to introduce an electroless Ni-P-TiO<sub>2</sub> (17.5 at.% of P) composite coating as a pad finish for advanced electronic packaging. In this study, TiO<sub>2</sub> nanoparticles were incorporated into the Ni-P layer by electroless deposition and its function as novel under bump metallization (UBM) was intensively investigated. The majority of the added TiO<sub>2</sub> nanoparticles were proved to be uniformly distributed in UBM by scanning electronic microscopy (SEM) and X-ray diffraction (XRD). The interfacial reaction between electrolessly deposited Ni-P-TiO<sub>2</sub> layer and Sn-3.5Ag solder alloy was systematically analyzed. The prime Ni-P UBM was used for comparison to evaluate the effect of TiO<sub>2</sub> nanoparticle on the interfacial microstructure and mechanical property. Both solder/Ni-P and solder/Ni-P-TiO<sub>2</sub> joints were aged at temperature from 150°C to 190°C for different aging periods in order to study the intermetallic compounds (IMCs) growth and calculate the activation energy. It was found the growth of Ni<sub>3</sub>Sn<sub>4</sub> IMC layer and void formation at the reaction interface were successfully suppressed with the help of the TiO<sub>2</sub> nanoparticle. The activation energies for the growth of Ni<sub>3</sub>Sn<sub>4</sub> on Ni-

P and Ni-P-TiO<sub>2</sub> layer were calculated to be 50.9 kJ/mol and 55.7 kJ/mol, respectively. The extensive growth of Ni<sub>3</sub>P and Ni-Sn-P phase as well as the consumption rate of the amorphous UBM was controlled in joints with TiO<sub>2</sub> nanoparticles. Thus Ni-P-TiO<sub>2</sub> UBM blocked the Cu diffusion from substrate to interface. A detailed reaction induced diffusion mechanism was proposed. The solder/Ni-P-TiO<sub>2</sub> solder joint consistently demonstrated higher shear strength than solder/Ni-P joint as a function of aging time. TiO<sub>2</sub> nanoparticle contributed to slow down the declining rate of shear strength from 0.021 Mpa/hour to 0.013 Mpa/hour with the aging time. Moreover, after the shear strength test, fracture mainly occurred at solder matrix of the solder/Ni-P-TiO<sub>2</sub> joint, the morphology showed a ductile fracture pattern with a large distribution of dimples on the rough surface.

**Key words:** lead-free solder, under bump metallization, electroless deposition, Ni-P-TiO<sub>2</sub>, interfacial reaction, shear strength

## 1. Introduction

Due to the ever increasing environment concern, lead free soldering is the irreversible trend in microelectronic packaging. On the other hand, fine-pitch in the electronic device called for the scale down of the semiconductor component. The miniaturization brings great challenge to the solder interconnect. Both the increased melting point of lead free solder alloy and the decreased joint dimension have exacerbation of the interfacial reaction. The morphology and growth of IMCs at interface is essential for determining the mechanical reliability of the interconnect. Electroless Ni-P has been recognized to be better than copper substrate during soldering due to its better barrier property. The characteristics of low cost, good solderability and uniform thickness make electroless Ni-P a constant focus in both industry and academia for years [1-5]. During the soldering process, Ni<sub>3</sub>Sn<sub>4</sub> is the primary IMC. However, the solder-reaction assisted crystallization is simultaneously happened at interface. The formation of P-rich layer and ternary Ni-Sn-P alloy underneath Ni<sub>3</sub>Sn<sub>4</sub> by Ni atoms diffusion may lead to the formation of voids, or even make the interface prone to cracks finally [6,7]. Moreover, ternary Ni-Sn-P alloy induced IMC spallation from UBM layer is another threat to severe degradation of the solder joint reliability [8].

To improve the solder interconnect reliability, many researchers focus their attention on the solder matrix. Both metallic and nonmetallic materials have been added into the solder matrix by various methods to reinforce the joint, such as mechanical stirring solder paste, mixing the solder powder and sintering [9-12]. UBM and solder alloy are equally important for good interconnect. However, fewer researchers concentrated on the UBM modification to reinforce the solder joint. Yang et al. have added third element, tungsten in Ni-P layer; and this Ni-P-W UBM significantly retarded interfacial reaction with lead-free Sn-3.5Ag solder [13]. Carbon nanotube has been used by Gu's group to deposit Ni-P-CNT composite coating, the result showed it successfully slowed down the growth rate of Ni<sub>3</sub>Sn<sub>4</sub> IMC layer and the P rich layer [14]. Ni-Sn-P UBM has been used by Yang et al. to suppress the void formation during soldering [15]. Furthermore, Sohn et al. have changed the P content in Ni-P UBM, and found its close relationship with IMC spallation [8].

TiO<sub>2</sub> has been used to improve the corrosion resistance of the composite coating [16]. Asit et al. has doped 1 wt% TiO<sub>2</sub> nanoparticle in Sn-Ag-Cu solder matrix, resulting in a finer microstructure and suppressed IMC growth [17]. From literature, TiO<sub>2</sub> nanoparticle is seldom used in UBM for electronic packaging. In this work, TiO<sub>2</sub> nanoparticles have been successfully added to the Ni-P layer (17.5 at.% of P) to form Ni-P-TiO<sub>2</sub> composite by electroless deposition. Both Ni-P and Ni-P-TiO<sub>2</sub> were reacted with Sn-3.5Ag solder to form solder joint. The atom diffusion induced interfacial reaction and microstructure evolution of the joints were systematically investigated after different aging condition. The growth kinetics and activation energies of IMC formation were calculated. The effect of TiO<sub>2</sub> on interface was highlighted with comparison. Moreover, the shear test was conducted to evaluate the mechanical property of solder/Ni-P-TiO<sub>2</sub> joint.

## **2. Experimental**

Titanium dioxide nanoparticles with dimension of 21 nm were bought from Evonik degussa. Cu foils (5 mm thick, 99.97 wt.%) were used as the substrate for both Ni-P and Ni-P-TiO<sub>2</sub> plating. Prior to both plating, the Cu substrates were polished and etched with 30 vol.% nitric acid for 30 s, followed by a

commercial Pd activation treatment (planar preinitiator solution from MacDermid) for surface activation. The Ni-P-TiO<sub>2</sub> plating bath was prepared by disperse TiO<sub>2</sub> nanoparticles into the commercial Ni-P plating bath (from MacDermid). The TiO<sub>2</sub> nanoparticle with an amount of 3g/L in solution was first ultrasonic processed for 1 hour to avoid agglomeration, followed by magnetic stirring at 500 rpm for 40 minutes to ensure a uniform dispersion of TiO<sub>2</sub> nanoparticles in the Ni-P plating bath. The concentration of TiO<sub>2</sub> nanoparticle in plating solution was optimized by previous experiment. The commercial Ni-P plating bath without TiO<sub>2</sub> nanoparticle was used for prime Ni-P layer (17.5 at.% of P) deposition as comparison. The pH levels of plating solution were adjusted to be 5.3 by ammonia hydroxide. Both the electroless plating processes were conducted at 84±1 °C for 30 min.

Then both deposited layers were tested by a thin film X-ray diffractometer (XRD) with Cu K  $\alpha$  source (Shimadzu XRD 6000) for phase identification. The surface morphology of the Ni-P and Ni-P-TiO<sub>2</sub> layers was observed by atomic force microscope (AFM, Veeco, Santa Barbara, CA) and scanning electron microscope (SEM, Philips XL 40 FEG). Sn-3.5Ag solder ball with rosin mildly activated (RMA) flux was used to form solder joint with Ni-P and Ni-P-TiO<sub>2</sub> layer. The soldering process was carried out in an 8-zone forced convection reflow oven (BTU Pyramax 100N, North Billerica, MA). The reflow profile was set with a peak temperature of 250 °C; and the time above the melting temperature of the Sn-3.5Ag solder was about 70 seconds, the reflow profile is shown in Fig.1.

For the interfacial microstructure characterization, the samples were mounted in resin and cross sectioned by carefully grinding towards the center using sand paper of different grit sizes followed by polishing with 0.5  $\mu$ m alumina suspension. Finally, the solder/UBM interfacial microstructure was observed using SEM under back-scattered electron (BSE) imaging mode. An energy dispersive X-ray spectrometer (EDX, International, Model No. DX-4) was utilized to perform the semi-quantitative analysis on the microstructures to determine the chemical composition and the phase. Leica LAS V3.8 image process software was used to measure the average thickness, which was obtained through dividing the measured area by the horizontal length.

To measure the shear strength, ball shear tests were performed on samples after aging for different time spans using a shear testing machine (DAGE Series 4000 Bond tester, UK) with a test speed of 350  $\mu\text{m/s}$ . The diameter of the contact interface was 0.17  $\text{mm}^2$ . The fracture surfaces and compositions were investigated thoroughly using SEM under secondary electron (SE) imaging mode. Shear stress testing of 30 solder balls was undertaken at each condition, with the minimum and maximum values removed.

### 3. Results and Discussion

The electrolessly deposited Ni-P and Ni-P-TiO<sub>2</sub> UBM is shown in Fig.2. The morphology of the Ni-P layer has slight protuberances with different dimensions in a close-packed structure (Fig.2(a)). In Fig.2(c), the TiO<sub>2</sub> nanoparticles were distinctly observed on the surface of the deposited Ni-P-TiO<sub>2</sub> layer. Despite the slight agglomeration, the TiO<sub>2</sub> nanoparticles were uniformly distributed over the whole UBM surface. The size of protuberances in Ni-P-TiO<sub>2</sub> was not as even as that in Ni-P layer. The average thickness of the Ni-P and Ni-P-TiO<sub>2</sub> were 7.1  $\mu\text{m}$  and 7.6  $\mu\text{m}$ , respectively. No voids were detected between UBM and Cu substrate in both samples, indicating a good adhesion between both types of coatings and substrate. Both kinds of UBM has  $17.5\pm 1$  at.% of P according to the EDX.

As shown in Fig.3, the X-ray diffraction pattern of both Ni-P and Ni-P-TiO<sub>2</sub> coatings in their as-prepared state demonstrated a broad peak centered  $2\theta$  at around  $45^\circ$ , referring to Ni (1,1,1) phase, indicating both layers have an amorphous structure. It's well consistent with G. Dietz's result that Ni-P layers with about 12–20 at.% P were found to be amorphous [18]. However, a distinct TiO<sub>2</sub> peak was detected at around  $25^\circ$  in Ni-P-TiO<sub>2</sub>. This finding further confirmed the existence of TiO<sub>2</sub> nanoparticles which were embedded in the UBM with a uniform distribution.

AFM images of Ni-P and Ni-P-TiO<sub>2</sub> are shown in Fig.4. The root mean square roughness observed in plain Ni-P and TiO<sub>2</sub> doped Ni-P layers were 173 and 245 nm, respectively. The nodules-like surface morphology of Ni-P-TiO<sub>2</sub> coating, may be attributed to incorporation of TiO<sub>2</sub> nanoparticles. It is revealed that with the TiO<sub>2</sub> nanoparticles addition, the doped Ni-P layer shows a much bumpier morphology than the plain Ni-P layer.

Fig.5 shows the cross-section microstructure at as-reflowed Sn-3.5Ag/Ni-P and Sn-3.5Ag/Ni-P-TiO<sub>2</sub> joints interface. EDX result showed the primary IMC of Sn-3.5Ag/Ni-P joint was conventional Ni<sub>3</sub>Sn<sub>4</sub> phase. The formation of Ni<sub>3</sub>Sn<sub>4</sub> resulted from reaction between Ni atoms diffused from Ni-P layer and Sn atoms diffused from solder. This IMC layer had a scallop shape with average thickness of 2.09 μm (Fig.5(a)). Another kind of IMC Ag<sub>3</sub>Sn was detected near the interface, but the quantity was small due to the low percentage of Ag in the solder alloy. The formation of the Ni<sub>3</sub>Sn<sub>4</sub> in Sn-3.5Ag/Ni-P-TiO<sub>2</sub> joint is shown in Fig.5(b). The small rod like Ni<sub>3</sub>Sn<sub>4</sub> IMC layer closely adhered to the uneven Ni-P-TiO<sub>2</sub> UBM layer. Spallation of the Ni<sub>3</sub>Sn<sub>4</sub> phase into the solder matrix was hardly observed in both plain and TiO<sub>2</sub> doped samples. A very thin layer of Ni<sub>3</sub>P was present between Ni<sub>3</sub>Sn<sub>4</sub> and Ni-P metallization, but it is difficult to detect Ni<sub>3</sub>P layer adjacent to Ni-P-TiO<sub>2</sub> metallization.

Fig.6 shows the solder/Ni-P and solder/Ni-P-TiO<sub>2</sub> joints after aging at 150°C for 100 hours. The thickness of Ni<sub>3</sub>Sn<sub>4</sub> layer increased compared to the as-reflowed samples. The TiO<sub>2</sub> incorporated sample showed a smaller thickness compared to the plain sample. The shape of Ni<sub>3</sub>Sn<sub>4</sub> IMC formed in both samples transformed to chunky-type. Small part of the Ni-P layer (about 0.82 μm) has transformed into Ni<sub>3</sub>P phase with 15.5±1 wt.%P and 74.5±1 wt.%Ni in Sn-3.5Ag/Ni-P joint. The formation of Ni<sub>3</sub>P is a result of out-diffusion of Ni atoms from Ni-P layer to react with Sn. This process assisted the amorphous Ni-P layer partially transform into crystalline Ni<sub>3</sub>P phase. At the interface, Sn and Ni atoms had different diffusion rate. The Ni-P layer continued to provide Ni atoms to react with Sn, while no other atoms can compensate the lattice vacancy left by the Ni atoms in Ni<sub>3</sub>P layer. As a result, Kirkendall voids were present perpendicularly to Ni<sub>3</sub>P layer between solder and Ni-P layer formed at the solder/Ni-P interface as shown in Fig.6(a). It is noteworthy that there were no Kirkendall voids appeared at the solder/Ni-P-TiO<sub>2</sub> joint interface (Fig.6(b)). The upper side of the Ni<sub>3</sub>Sn<sub>4</sub> layer was in flash burr shape, indicating part of this IMC phase has not yet thoroughly changed from small rod-type to chunky-type.

Fig.7 shows the microstructure of both kinds of reaction couples after aging at 200°C for 625 hours. The Ni<sub>3</sub>Sn<sub>4</sub> IMC kept growing as the aging continues. With the same aging condition, the thickness of Ni<sub>3</sub>Sn<sub>4</sub>

in solder/Ni-P-TiO<sub>2</sub> joint was 8.21 μm (Fig.7(b)), which was 3.19 μm thinner than that formed in solder/Ni-P joint (Fig.7(a)). The difference in thickness under the same aging condition proved TiO<sub>2</sub> had helped retard the Ni<sub>3</sub>Sn<sub>4</sub> IMC layer growth. The addition of TiO<sub>2</sub> nanoparticles as the second phase contributed to alter the diffusion rate and driving force of Ni<sub>3</sub>Sn<sub>4</sub> phase growth. According to Li et al. [19], the third element added in the reinforced solder alloy helped to reduce the IMC growth by changing the thermodynamic parameters of elemental affinity and the diffusion coefficient. In the present study, TiO<sub>2</sub> nanoparticles acted as the similar way on the metallization to reinforce the solder joint. As TiO<sub>2</sub> nanoparticle is a non-reactive, non-coarsening material, it maybe adsorbed at the grain boundary, thus the relative relationship of the growth rates among the crystal orientations of the Ni<sub>3</sub>Sn<sub>4</sub> phase are changed, leading to a suppression of the Ni<sub>3</sub>Sn<sub>4</sub> layer growth. In solder/Ni-P joint, after aging at 200 °C for 625 hours, the thickness of the crystalline Ni<sub>3</sub>P layer expanded to 4.42 μm, which was more than three quarters of the initial thickness of the amorphous Ni-P UBM. On the contrary, Ni<sub>3</sub>P layer in TiO<sub>2</sub> doped joint was still very thin and voids can hardly be detected in this layer. Many researchers reported the existence of nanocrystalline Ni-Sn-P layer between Ni<sub>3</sub>Sn<sub>4</sub> layer and Ni<sub>3</sub>P layer in the case of Ni-P UBM, which was the main cause for IMC spalling [3]. In the present study, a very thin layer of Ni-Sn-P was detected adjacent to the Ni<sub>3</sub>P layer. A detailed study on this ternary Ni-Sn-P phase will be conducted in future by TEM. In the solder/Ni-P joint, another Cu containing phase was formed with an irregular cluster appearance and gradually expanded towards the thick Ni<sub>3</sub>Sn<sub>4</sub> layer. EDX result showed this phase contains 20.5±1 wt.% Ni, 5.5±1 wt.% Cu and 74±1 wt.% Sn, suggestive of (Ni<sub>1-x</sub>Cu<sub>x</sub>)<sub>3</sub>Sn<sub>4</sub> phase. The formation of (Ni<sub>1-x</sub>Cu<sub>x</sub>)<sub>3</sub>Sn<sub>4</sub> phase indicated the Cu atoms managed to diffuse from Cu substrate through thin Ni-P and thick Ni<sub>3</sub>P layer to incorporate into IMC formation. Ni-P layer has an amorphous structure which is difficult for copper to diffuse through. However, columnar grains of Ni<sub>3</sub>P layer act as a good channel for Cu diffusion. As the reaction proceeded, crystalline Ni<sub>3</sub>P layer grew thicker at the expense of the amorphous Ni-P layer. When most of the Ni-P layer was transformed into the crystalline Ni<sub>3</sub>P layer, it would be much easier for Cu atoms to diffuse through the Ni-P and Ni<sub>3</sub>P layers, resulting in the formation



of  $(\text{Ni}_{1-x}\text{Cu}_x)_3\text{Sn}_4$  phase. In the solder/Ni-P-TiO<sub>2</sub> joint, due to the barrier property of TiO<sub>2</sub> nanoparticle, the growth of Ni<sub>3</sub>P and Ni-Sn-P phases was quite slow and the interface between the solder and metallization remained uneven. TiO<sub>2</sub> successfully reduced the diffusion of Ni atoms thus decreased the consumption rate of the amorphous Ni-P layer. In solder/Ni-P-TiO<sub>2</sub> joint, after prolonged aging, only a small amount of amorphous UBM layer transformed into crystalline Ni<sub>3</sub>P layer, so it was difficult for Cu to penetrate through the UBM and IMC layer with few grain boundaries to the interface.

In general, the growth of the intermetallic layer is dominated by the volume diffusion. The IMC growth process can be described by a typical parabolic equation [20, 21] given below:

$$x - x_0 = k^{0.5} t^{0.5} \quad (1)$$

Where  $x$  is the thickness of the Ni<sub>3</sub>Sn<sub>4</sub> layer at  $t$  time,  $x_0$  is the initial Ni<sub>3</sub>Sn<sub>4</sub> layer thickness at the as-reflowed state,  $t$  is the aging time,  $k$  is the growth rate constant (cm<sup>2</sup>/s) which is also regarded as the diffusion coefficient of elements in IMC layer.

Solder/Ni-P and solder/Ni-P-TiO<sub>2</sub> joints were aged at 150°C, 170°C and 190°C for different period. Fig.8 demonstrates the average IMC layer thickness increment ( $x-x_0$ ) for solder/Ni-P and solder/Ni-P-TiO<sub>2</sub> joints versus the square root of aging time. From the graph, the average Ni<sub>3</sub>Sn<sub>4</sub> layer thickness is proportional to the square root of aging time, and the value of  $k$  is determined from the slope of the linear regression line. The estimated  $k$  value of Ni<sub>3</sub>Sn<sub>4</sub> in two kinds of joints are listed in table 1, the growth rate constant increased as the temperature grow from 150°C to 190°C, implying the Ni<sub>3</sub>Sn<sub>4</sub> layer grows faster at high temperature. He et al. has calculated the diffusivity  $k$  to be  $1.12 \times 10^{-14}$  cm<sup>2</sup>/s at 150°C,  $2.49 \times 10^{-14}$  cm<sup>2</sup>/s at 170°C and  $7.7 \times 10^{-14}$  cm<sup>2</sup>/s at 190°C, respectively [22]. Their result is relatively smaller than the diffusivity obtained in the present solder/Ni-P joint. It may ascribe to different method of soldering-reflow and volume of the liquid phase in particular [13], moreover dimension of contact area between solder and UBM may also contribute to the difference in growth rate constant. Due to the barrier function of TiO<sub>2</sub> nanoparticles doped in the metallization, the growth rate constant of the IMC layer is reduced by more than

half from its value in the case of plain UBM for all the three temperature points, under the same experimental condition as shown in table 1.

The Arrhenius relationship is also applicable as below

$$k = k_0 \exp\left(-\frac{Q}{RT}\right) \quad (2)$$

Where  $k_0$  is the constant growth rate ( $\text{cm}^2/\text{s}$ ),  $Q$  is activation energy for IMC growth ( $\text{kJ/mol}$ ),  $R$  is ideal gas constant ( $8.314 \text{ J/mol K}$ ) and  $T$  is absolute temperature (Kelvin). The value of  $Q$  can be deduced from the slope of the Arrhenius plot as shown in Fig.9. The activation energy of the  $\text{Ni}_3\text{Sn}_4$  growth in the aging condition were calculated to be  $50.9 \text{ kJ/mol}$  for solder/Ni-P joint and  $55.7 \text{ kJ/mol}$  for solder/Ni-P- $\text{TiO}_2$  joint, respectively. The solder with the  $\text{TiO}_2$  doped UBM showed a smaller  $Q$  value, indicating more energy was needed for the  $\text{Ni}_3\text{Sn}_4$  layer growth. Jeong-Won Yoon et al. reported the activation energy of  $\text{Ni}_3\text{Sn}_4$  in Sn-3.5Ag/Ni-P/Cu system to be  $49 \text{ kJ/mol}$  at temperatures from  $100^\circ\text{C}$ - $170^\circ\text{C}$  for up to 60 days [4]. Chang-Bae Lee et al. calculated the  $Q$  value in Sn-3.5Ag/Au/Ni-P joint to be  $72.5 \text{ kJ/mol}$ , and the aging condition was from  $70^\circ\text{C}$ - $170^\circ\text{C}$  for as long as 60 days [23]. Despite some difference in experimental conditions, the estimated  $Q$  value in present work is in the acceptable range when compared with the previous work. Therefore, it proves Sn diffusion in  $\text{Ni}_3\text{Sn}_4$  still act as the controlling mechanism for IMC growth similar to the previous work. The  $\text{TiO}_2$  nanoparticles exist in the Ni-P layer; they successfully hinder the out-diffusion of Sn atoms from solder matrix towards the metallization thus lead to limited supply of Sn atoms for interfacial reaction, resulting in profound obstacle to form  $\text{Ni}_3\text{Sn}_4$  phase.

Fig.10 shows a magnified IMC image from solder/Ni-P joints aged at  $200^\circ\text{C}$  for 750 hours. It shows the UBM has fully transformed to crystalline  $\text{Ni}_3\text{P}$  phase. The Cu diffusion induced  $(\text{Ni}_{1-x}\text{Cu}_x)_3\text{Sn}_4$  phase grew dramatically and expanded to a considerable portion of  $\text{Ni}_3\text{Sn}_4$  layer. Some cracks can be observed inside the  $(\text{Ni}_{1-x}\text{Cu}_x)_3\text{Sn}_4$  phase. Volume change in  $(\text{Ni}_{1-x}\text{Cu}_x)_3\text{Sn}_4$  phase generated stress, resulting in void formation at the interface between  $(\text{Ni}_{1-x}\text{Cu}_x)_3\text{Sn}_4$  phase and  $\text{Ni}_3\text{Sn}_4$  phase. The voids would nucleate and propagate with increasing aging time, and then gradually expanded to initiate cracks.

Fig.11 shows the schematic mechanism of solder/Ni-P and solder/Ni-P-TiO<sub>2</sub> interfacial reaction. Ni concentration difference drives Ni atoms diffuse from UBM to the solder matrix. The Sn atoms from solder matrix are also driven by the concentration difference to react with Ni to form Ni<sub>3</sub>Sn<sub>4</sub> IMC layer. In Fig.11(b), the TiO<sub>2</sub> nanoparticles appeared on the Ni-P layer, blocked the diffusion path of Sn and Ni atoms to interface, and resulting in the decreased Ni<sub>3</sub>Sn<sub>4</sub> layer thickness. In the plain Ni-P joint, due to the fast formation of Ni<sub>3</sub>Sn<sub>4</sub> layer, Ni atoms become significantly depleted in the UBM. This process assisted the transformation of amorphous Ni-P layer into crystalline Ni<sub>3</sub>P phase. According to Liu et al. [24], structure of Ni<sub>3</sub>P phase has many very fine grains, which act as fast diffusion channel and enhance the diffusion of Ni to penetrate the Ni<sub>3</sub>P to solder matrix. Due to the doping of TiO<sub>2</sub> nanoparticle, the reaction-assisted process is alleviated to some extent as the atoms movement is restricted, resulting in formation of less crystalline Ni<sub>3</sub>P phase and few voids formed at interface in Fig.11(b). In sample without TiO<sub>2</sub> nanoparticle, Ni atoms are consumed from electroless Ni-P through grain boundaries of Ni<sub>3</sub>P layer to form Ni<sub>3</sub>Sn<sub>4</sub> phase. This out-diffusion of Ni atoms triggered counter diffusion of vacancies. Not enough atoms are able to compensate the lattice vacancy left by the Ni atoms in Ni<sub>3</sub>P layer, so columnar voids are formed in Ni<sub>3</sub>P layer perpendicularly of as shown in Fig.11(a). As the reaction proceeded, Sn atoms from solder matrix will react with nickel from upper part of crystalline Ni<sub>3</sub>P layer to form Ni-Sn-P phase through a complicated reaction process [7]. In Fig.11(a), when the crystalline Ni<sub>3</sub>P layer keep growing to occupied majority area of the UBM, Cu atoms from the substrate managed to diffuse through the fine grains of Ni<sub>3</sub>P layer to react with Ni and Sn atoms to form irregular cluster shaped (Ni<sub>1-x</sub>Cu<sub>x</sub>)<sub>3</sub>Sn<sub>4</sub> phase. The presence of Kirkendall voids in Ni<sub>3</sub>P layer also facilitate the of Cu diffusion to trigger the dramatically expansion of (Ni<sub>1-x</sub>Cu<sub>x</sub>)<sub>3</sub>Sn<sub>4</sub> phase in Ni<sub>3</sub>Sn<sub>4</sub> layer. The irregular shape of (Ni<sub>1-x</sub>Cu<sub>x</sub>)<sub>3</sub>Sn<sub>4</sub> phase may be ascribed to the different size and quantity of voids in Ni<sub>3</sub>P layer. These voids acted as the dominant diffusion channel for Cu atoms to penetrate through. With the effect of TiO<sub>2</sub> nanoparticle, Ni atom diffusion from UBM towards solder is obstructed. So enough Ni atoms stay in the UBM to inhibit the growth of crystalline Ni<sub>3</sub>P. TiO<sub>2</sub> nanoparticles act as a barrier to slow down the unbalanced inter-diffusion between Sn and Ni at the

$\text{Ni}_3\text{Sn}_4$ /solder interface, thereby further retard the interfacial reaction and avoid significant shrinkage of amorphous Ni-P-TiO<sub>2</sub> layer. As Cu is very difficult to penetrate the amorphous structure, the formation of  $(\text{Ni}_{1-x}\text{Cu}_x)_3\text{Sn}_4$  phase is suppressed as shown in Fig.11(b). Volume mismatch induced by transformation between  $\text{Ni}_3\text{Sn}_4$  and  $(\text{Ni}_{1-x}\text{Cu}_x)_3\text{Sn}_4$  phase result in a local boost of huge amounts of stress inside the IMC. Such a high local stress will create physical voids [25-27]. As reaction proceeds, the voids propagate to form cracks and expand in  $(\text{Ni}_{1-x}\text{Cu}_x)_3\text{Sn}_4$  phase as shown in Fig.10. The cracks in the IMC are possible to induce catastrophic failure of interconnect. Moreover, this newly formed phase is unable to play a mechanical interlocking role at the interface between solders and metallization, thus severely threatens the reliability of the solder joint. In this way, TiO<sub>2</sub> nanoparticle addition is able to improve the reliability of the interconnect by decreasing of IMC layer thickness while inhibit the voids and cracks formation under circumstances of aging.

Shear strength is an essential mechanical property regarding to the reliability of solder joint. Solder interconnect often encounters external mechanical loading during processing as well as service, thus superior shear strength promises a better reliability for the electronic system. Ball shear tests were conducted to evaluate the effect of the TiO<sub>2</sub> nanoparticle on the mechanical properties of the modified Sn-3.5Ag/Ni-P solder joints as a function of aging time. Fig.12 demonstrates the assessment of the average shear strength of solder/Ni-P and solder/Ni-P-TiO<sub>2</sub> joint aged for different durations. For both kinds of joint, the shear strength decreased as the aging continued. The as-reflowed solder/Ni-P-TiO<sub>2</sub> joint had the highest shear strength of 43.2 MPa which is 1.9 MPa higher than that of the as-reflowed solder/Ni-P joint. Throughout the aging periods, solder/Ni-P-TiO<sub>2</sub> joint maintains higher shear strength than the plain sample despite the decline. The shear strength of solder/Ni-P joint declined at a rate 0.021 Mpa/hour at 190°C for 625 hours. The strength of solder/Ni-P joint reduced to 32.2% by the end of the aging period. In contrast, the decline rate decreased to be 0.013 Mpa/hour in solder/Ni-P-TiO<sub>2</sub> joint. The strength reduced by only 19.0% by the end of the aging period. The better reliability of solder/Ni-P-TiO<sub>2</sub> joint under shear test upon aging attributes to TiO<sub>2</sub> nanoparticle reinforcement. According to a dispersion strengthening mechanism

[28], the homogeneous distribution of  $\text{TiO}_2$  nanoparticle on the UBM together with the well-controlled thickness of IMC layer contributes to the improvement of mechanical property. They not only help suppress the grain boundaries from sliding, but also increase dislocation densities and retard the dislocations movement. Compared with the UBM without  $\text{TiO}_2$  nanoparticles, the rough and uneven morphology of the electroless deposited Ni-P- $\text{TiO}_2$  layer is prone to form a stable and ductile bonding with the solder thus perform better to defend external mechanical loadings.

After the shear test, the fractographic analyses of the solder/Ni-P and solder/Ni-P- $\text{TiO}_2$  joints were carried out by SEM to investigate the root cause for degradation of the shear strength. The SEM images of the fracture surface for both kinds of joints aged at  $190^\circ\text{C}$  are shown in Fig.13 for detailed analysis. In the joint without  $\text{TiO}_2$  nanoparticle aged for 400 hours, part of the bulk solder was removed by the shear blade and an underneath layer was exposed. This exposed layer was identified to be a mixture of IMCs and Ni-P UBM. The remained solder fracture surface showed a brittle fracture pattern with a quite smooth morphology. As shown in Fig.13(b), after aging for 625 hours, majority of the solder matrix was stripped off and less solder alloy remained on the fracture surface, so it can be confirmed that fracture happened mainly on the interface rather than solder matrix after prolonged aging time. Sohn et al. have reported brittle fracture usually occurred between the  $\text{Ni}_3\text{Sn}_4$  IMC and the Ni-P layer [29]. However, the fracture occurred in solder/Ni-P- $\text{TiO}_2$  joints was mainly through solder matrix even when the aging time is as long as 625 hours. The fracture surface exhibited a ductile transition appearance, with many dimples and plastic deformation observed on the tensile plastic fracture. It is attributed to the homogeneous distribution of the  $\text{TiO}_2$  nanoparticle on the Ni-P layer. The residual Sn-3.5Ag solder indicates that the fracture path was inside the solder matrix which proved the bonding stress of IMCs was stronger than the cohesive of solder matrix after aging for 625 hours. In contrast, for the solder/Ni-P joint, the fracture path shifted from inside to the bulk solder to interface after aging for 625 h. This is because the thick  $\text{Ni}_3\text{Sn}_4$  layer in solder/Ni-P joint may exceed the critical value, leading to a weaker interface bonding than the bulk solder [6]. In solder/Ni-P joint, excessive growth of the intrinsically brittle  $\text{Ni}_3\text{P}$  and Ni-Sn-P layers with voids and

cracks at interface without TiO<sub>2</sub> nanoparticles could easily provide a path for brittle fracture [30-32], thus severely deteriorate joint the mechanical property. In contrast, the less significant porosity in the solder/Ni-P-TiO<sub>2</sub> joint interface due to TiO<sub>2</sub> nanoparticle addition evidently assists to boost the shear strength. Chan et al. reported the brittle fracture pattern was a premonition which most likely to cause severe failure of interconnect [33]. Therefore, TiO<sub>2</sub> reinforced UBM helps to reinforce the shear strength of solder joint, thus improve the reliability of the interconnect.

#### **4. Conclusion**

In the present work, novel Ni-P-TiO<sub>2</sub> composite layer was electrolessly deposited as UBM for lead-free soldering in electronic packaging. SEM observation and XRD results prove that the TiO<sub>2</sub> nanoparticles were uniformly distributed in UBM. The interfacial reaction induced microstructural evolution between solder and Ni-P-TiO<sub>2</sub> layer was systematically analyzed and prime Ni-P layer was used as comparison. Both solder/Ni-P and solder/Ni-P-TiO<sub>2</sub> joints were aged at different temperatures for various aging periods in order to study the IMC growth kinetics and calculate the activation energy. It was found that the growth of Ni<sub>3</sub>Sn<sub>4</sub> IMC layer and void formation at interface were greatly retarded with the help of the TiO<sub>2</sub> nanoparticle. The activation energies for the growth of Ni<sub>3</sub>Sn<sub>4</sub> on Ni-P and Ni-P-TiO<sub>2</sub> layer are calculated to be 50.9 kJ/mol and 55.7 kJ/mol, respectively. The growth of Ni<sub>3</sub>P and Ni-Sn-P layers as well as the consumption of the amorphous UBM is suppressed by addition of TiO<sub>2</sub> nanoparticle in UBM. Thus the Cu diffusion from substrate to interface is hindered in Ni-P-TiO<sub>2</sub> UBM. The reaction induced diffusion mechanism was intensively investigated. The solder/Ni-P-TiO<sub>2</sub> solder joint consistently demonstrated higher shear strength than solder/Ni-P joint as a function of aging time. TiO<sub>2</sub> nanoparticle contributes to slow down the declining rate of shear strength with aging from 0.021 Mpa/hour to 0.013 Mpa/hour. Moreover, fracture mainly occurred at solder matrix of the aged solder/Ni-P-TiO<sub>2</sub> joint after the shear strength test, the morphology showed a ductile fracture pattern with a large distribution of dimples on the rough surface. In summary, the Ni-P-TiO<sub>2</sub> composite layer is shown to be a promising alternative UBM with barrier property for advanced electronic packaging.

## Acknowledgments

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## Figure captions

Fig.1 Reflow profile of the Sn-3.5Ag solder alloy.

Fig.2 Surface morphology and cross-section image of as-deposited (a, b) Ni-P layer and (c, d) Ni-P-TiO<sub>2</sub> layer

Fig.3 XRD patterns of Ni-P (upper) and Ni-P-TiO<sub>2</sub> (lower) coatings

Fig.4 AFM surface morphology of the (a) Ni-P and (b) Ni-P-TiO<sub>2</sub>

Fig.5 Cross section view of reaction interfaces in as-reflowed (a) Sn-3.5Ag/Ni-P and (b) Sn-3.5Ag/Ni-P-TiO<sub>2</sub> joints

Fig.6 Cross section view of reaction interfaces in (a) Sn-3.5Ag/Ni-P and (b) Sn-3.5Ag/Ni-P-TiO<sub>2</sub> joints aged at 150 °C for 100 hours.

Fig.7 Cross section view of reaction interfaces in (a) Sn-3.5Ag/Ni-P and (b) Sn-3.5Ag/Ni-P-TiO<sub>2</sub> joints aged at 200 °C for 625 hours.

Fig.8 Thickness of the Ni<sub>3</sub>Sn<sub>4</sub> layer in (a) Sn-3.5Ag/Ni-P and (b) Sn-3.5Ag/Ni-P-TiO<sub>2</sub> joints during aging at 150 °C, 170 °C and 190 °C up to 625 h

Fig.9 Arrhenius plot of the growth of Ni<sub>3</sub>Sn<sub>4</sub> layers in (a) Sn-3.5Ag/Ni-P and (b) Sn-3.5Ag/Ni-P-TiO<sub>2</sub> joints

Fig.10 Magnified view of reaction interfaces in Sn-3.5Ag/Ni-P-TiO<sub>2</sub> joints aged at 200 °C for 750 hours.

Fig.11 Schematic diagrams illustrating the diffusional formation mechanism at (a) Sn-3.5Ag/Ni-P and (b) Sn-3.5Ag/Ni-P-TiO<sub>2</sub> joints interface.

Fig.12 Ball shear strength result for Sn-3.5Ag/Ni-P and Sn-3.5Ag/Ni-P-TiO<sub>2</sub> joints.

Fig.13 SEM fracture surfaces of solder/Ni-P joint (a: aged for 400 hours and b: aged for 625 hours) and solder/Ni-P-TiO<sub>2</sub> solder(c and d, aged for 625 hours) joints after shear strength test.

## Table captions

**Table 1** Value of  $k$  determined from the slope of the linear regression

Figure.1  
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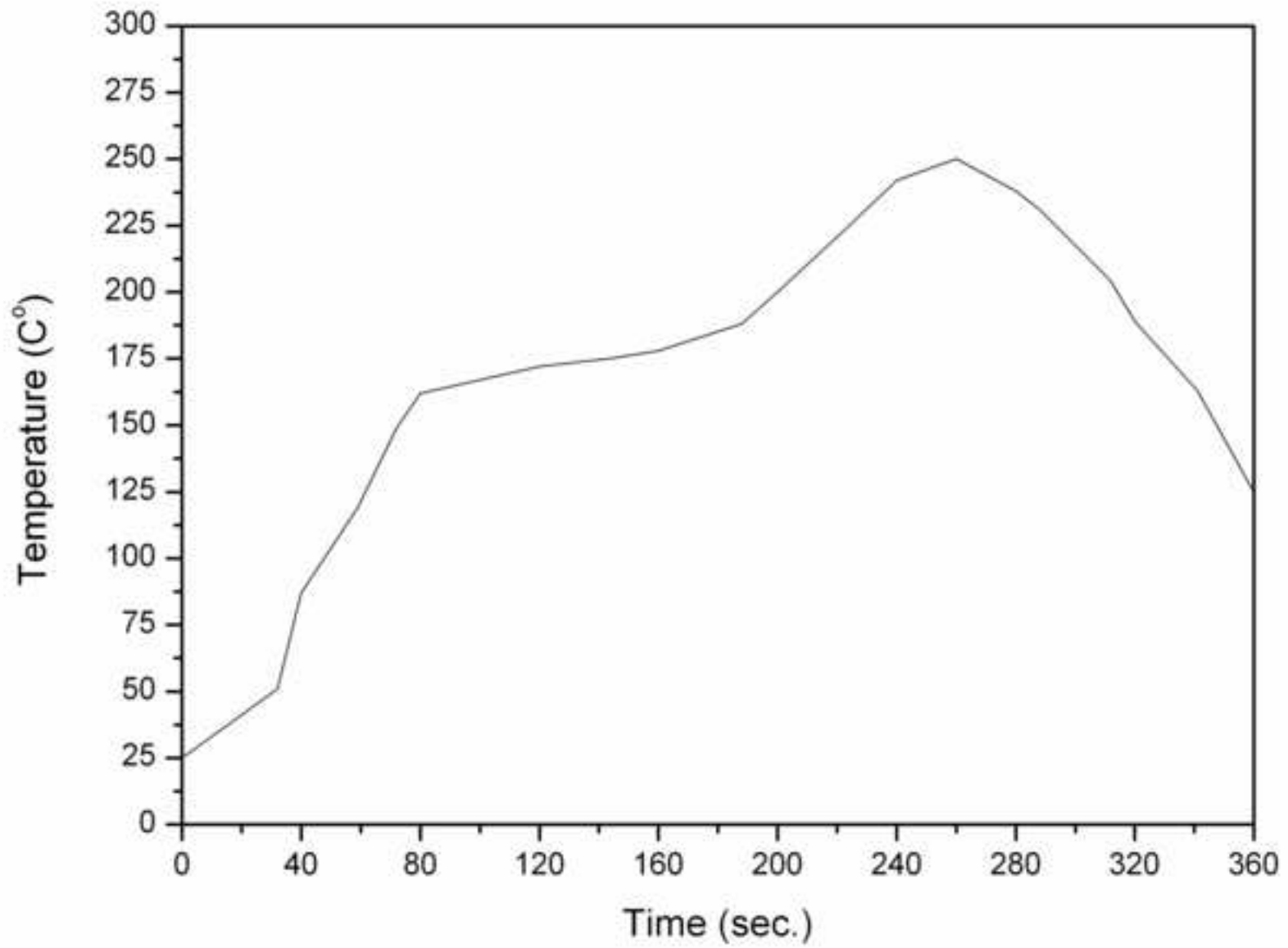


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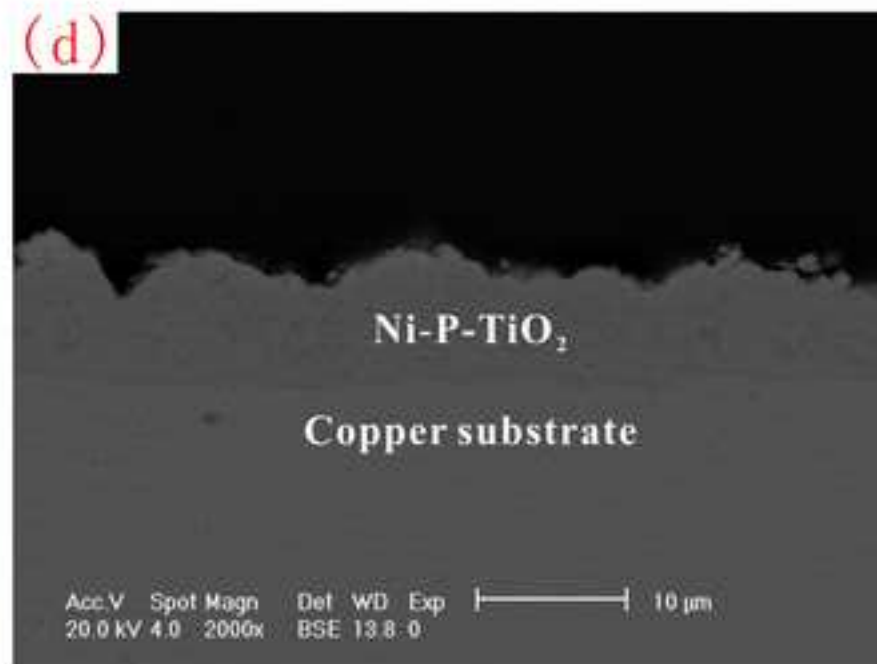
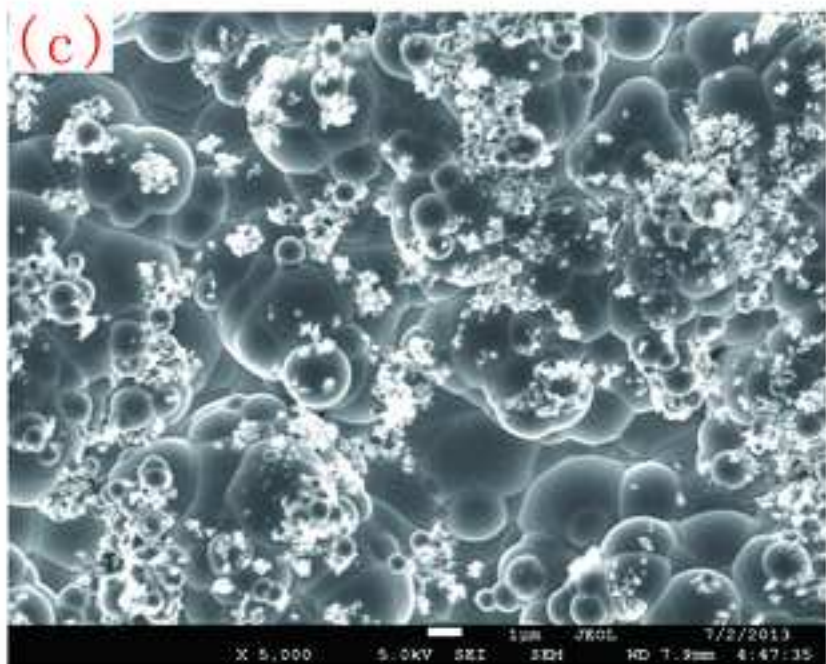
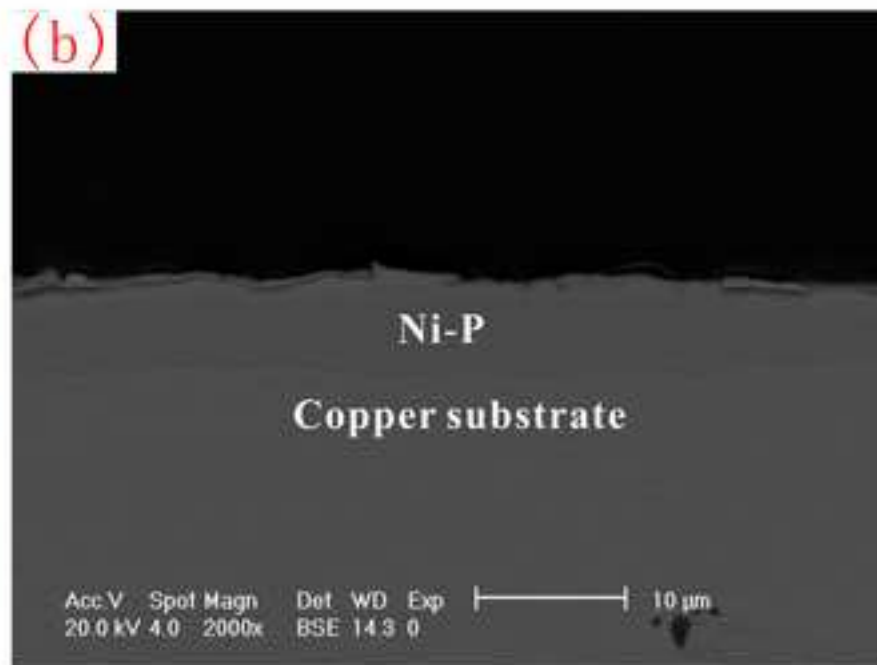
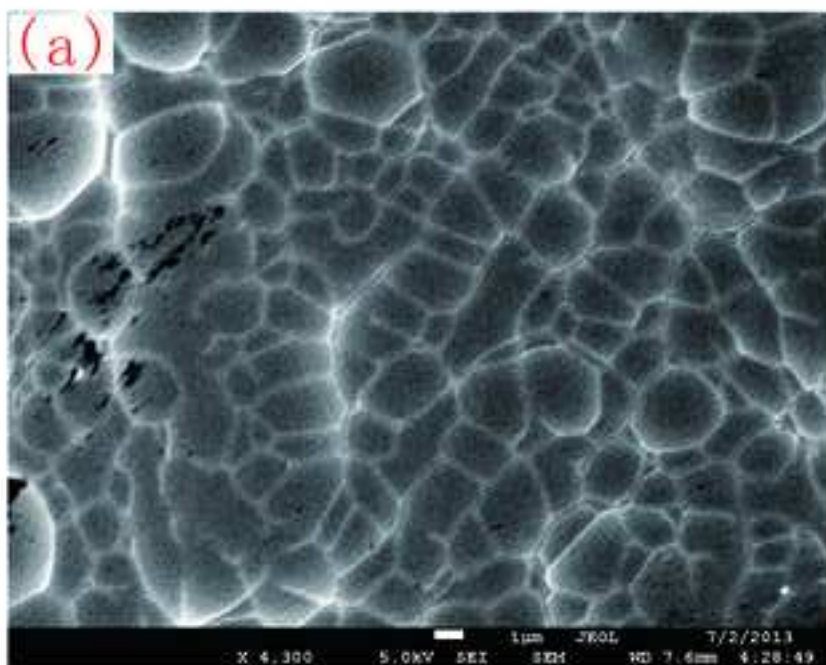


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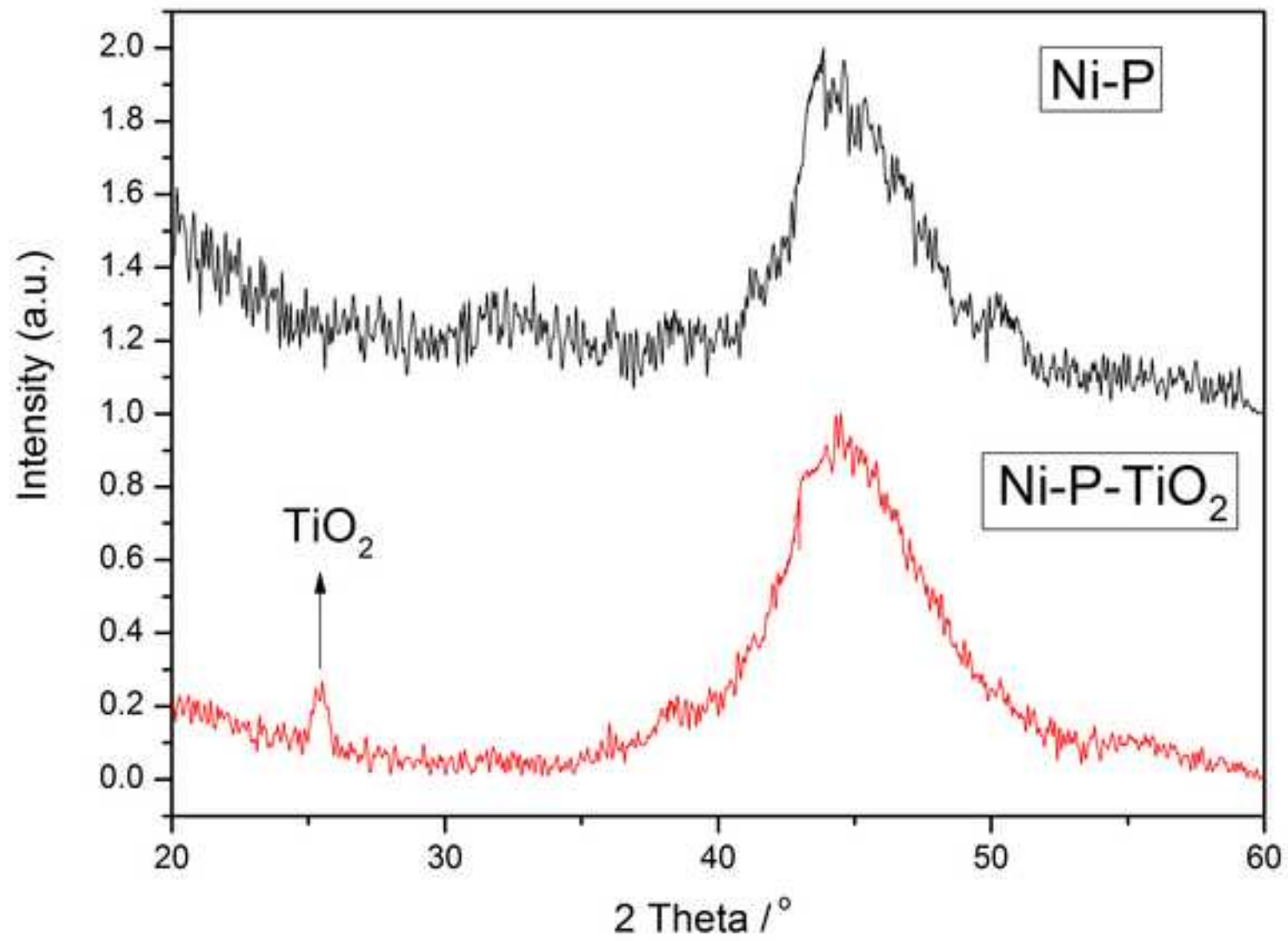


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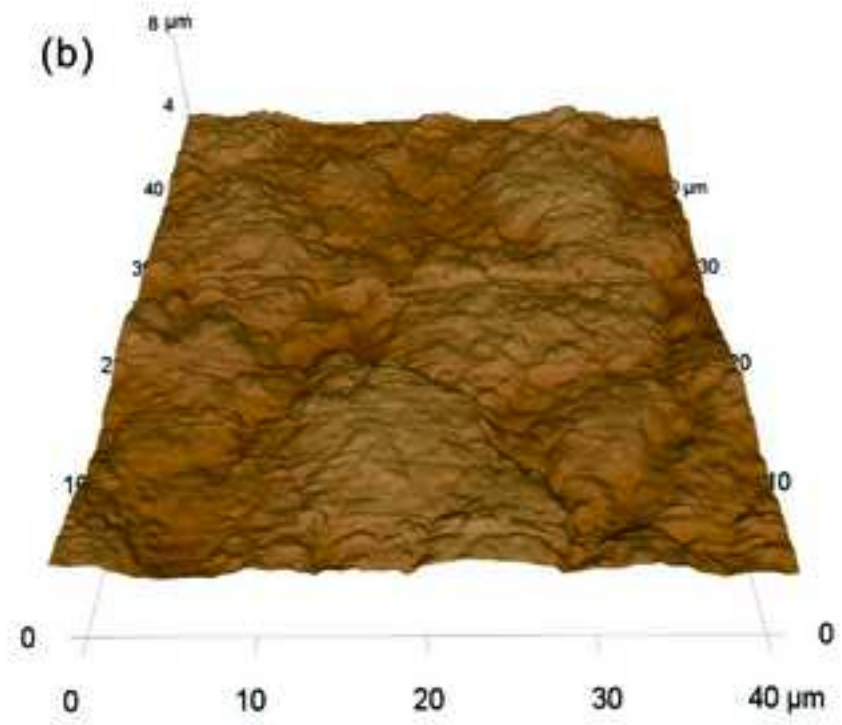
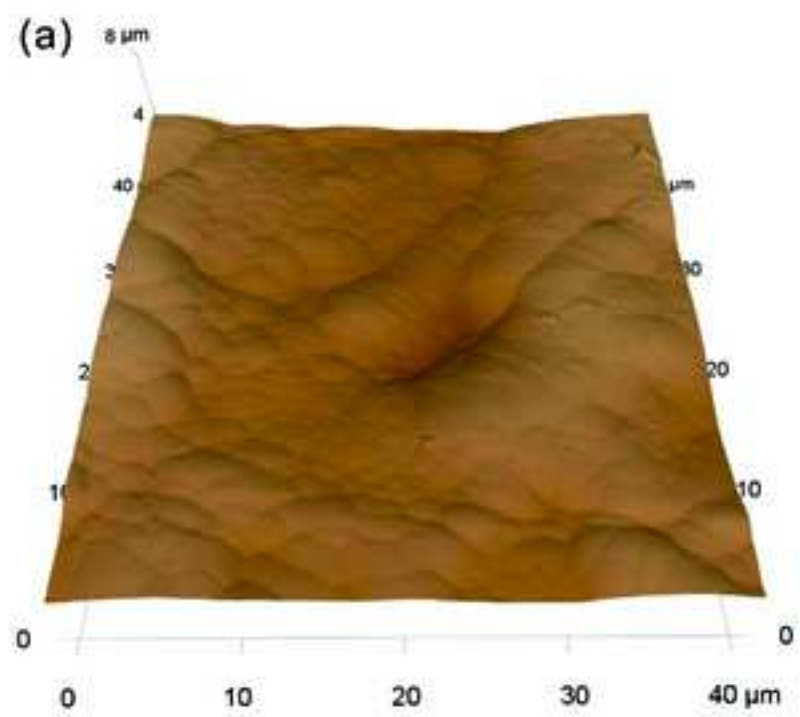


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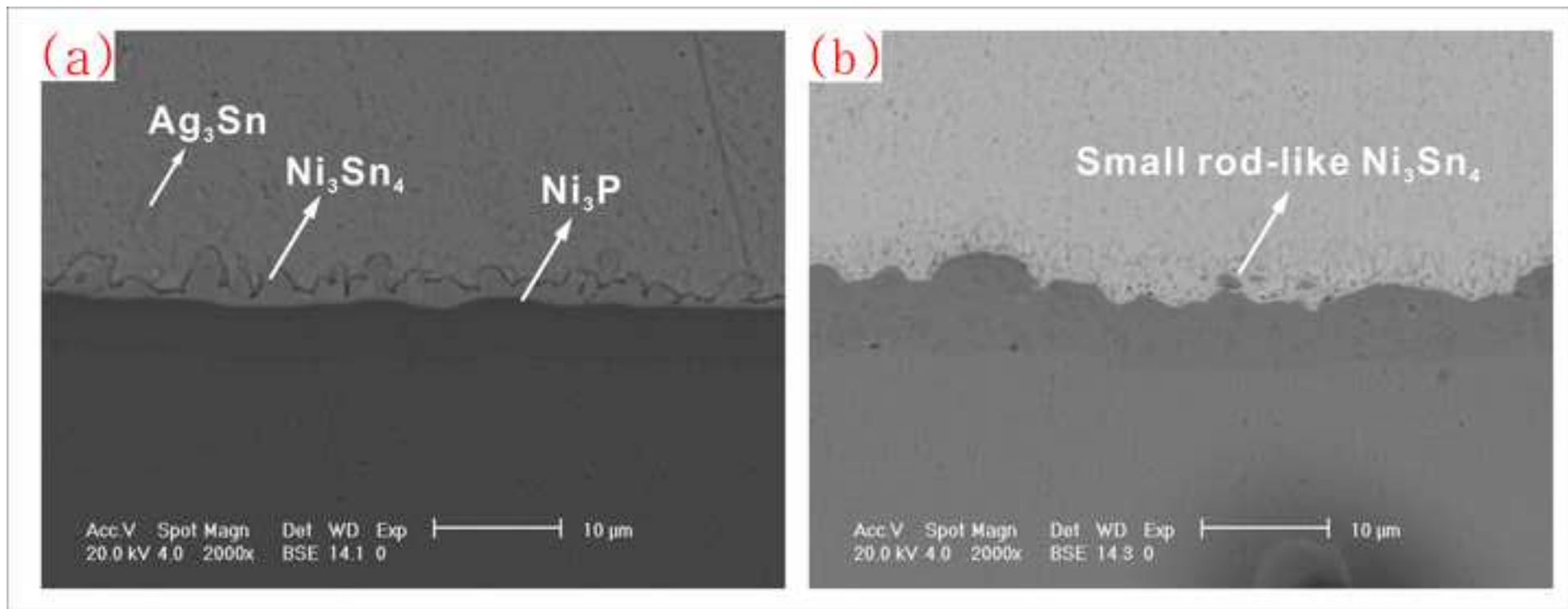


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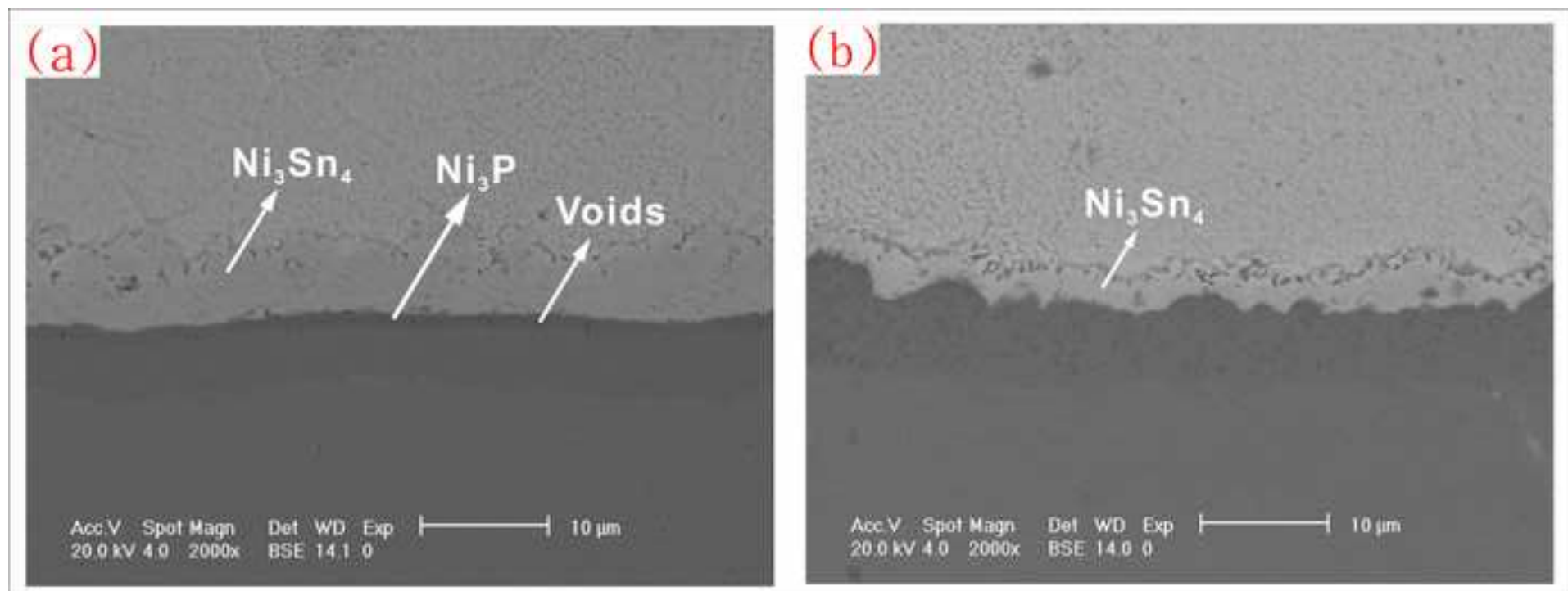




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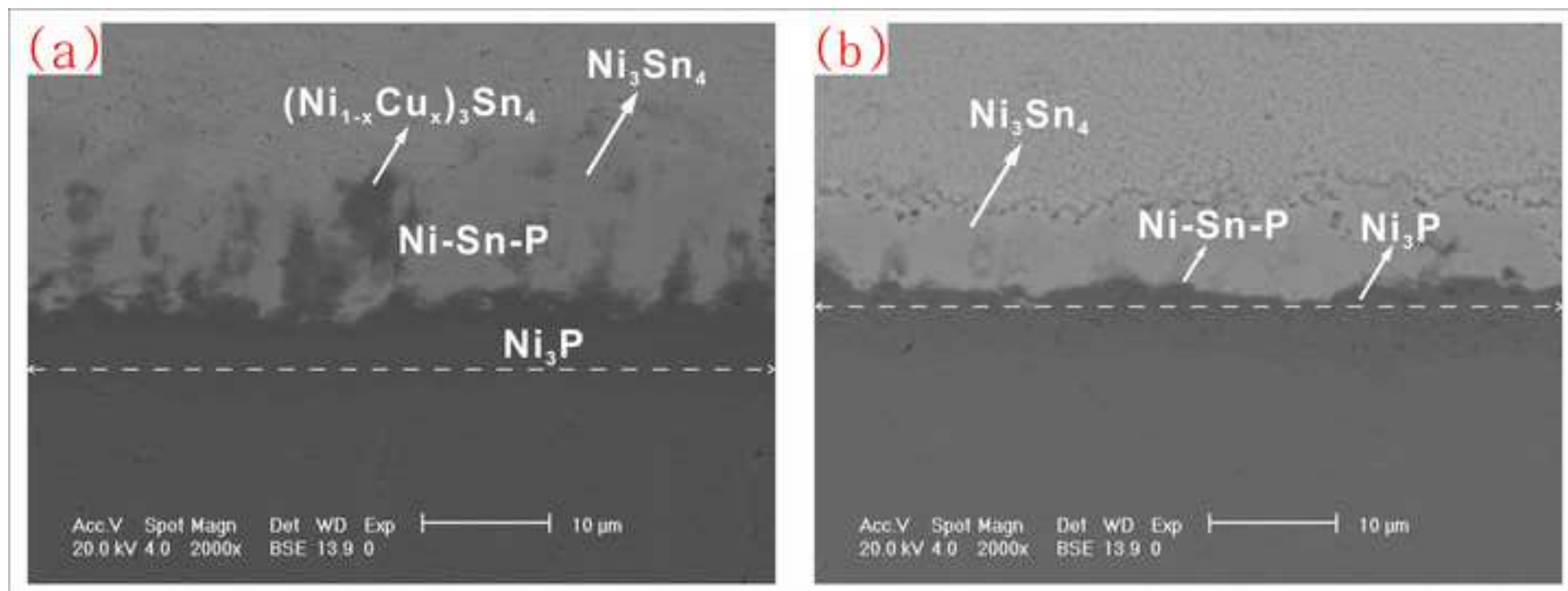


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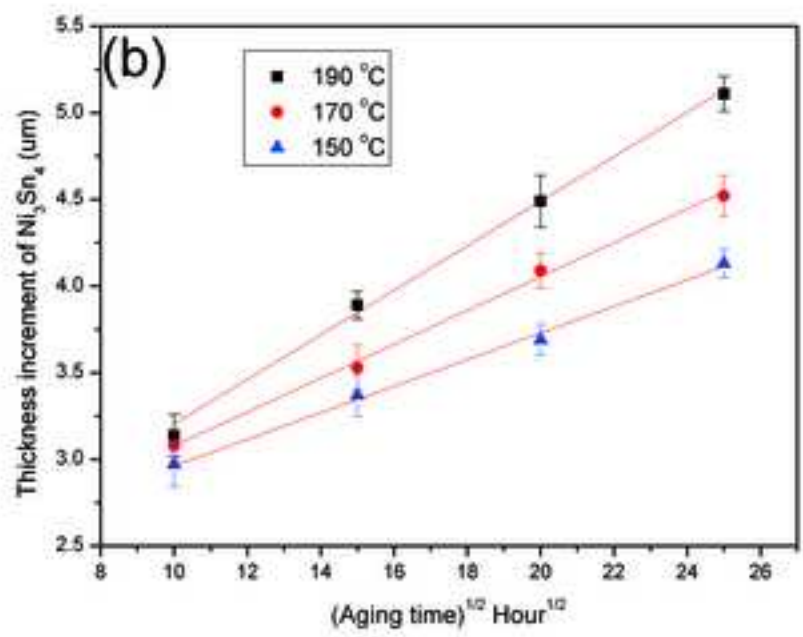
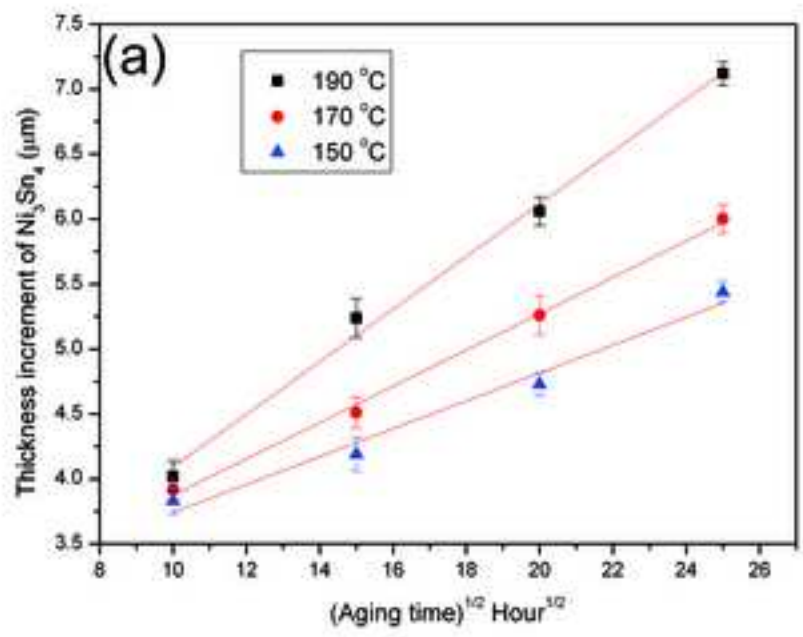


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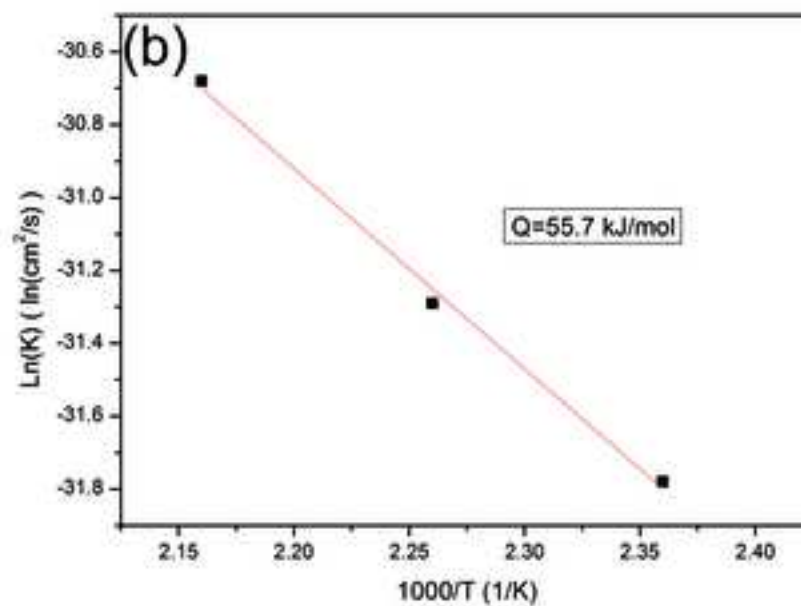
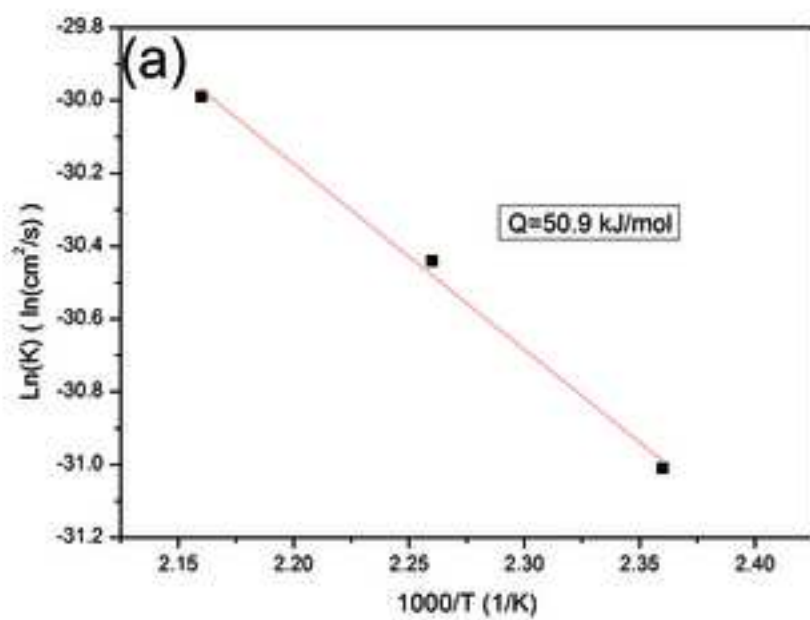


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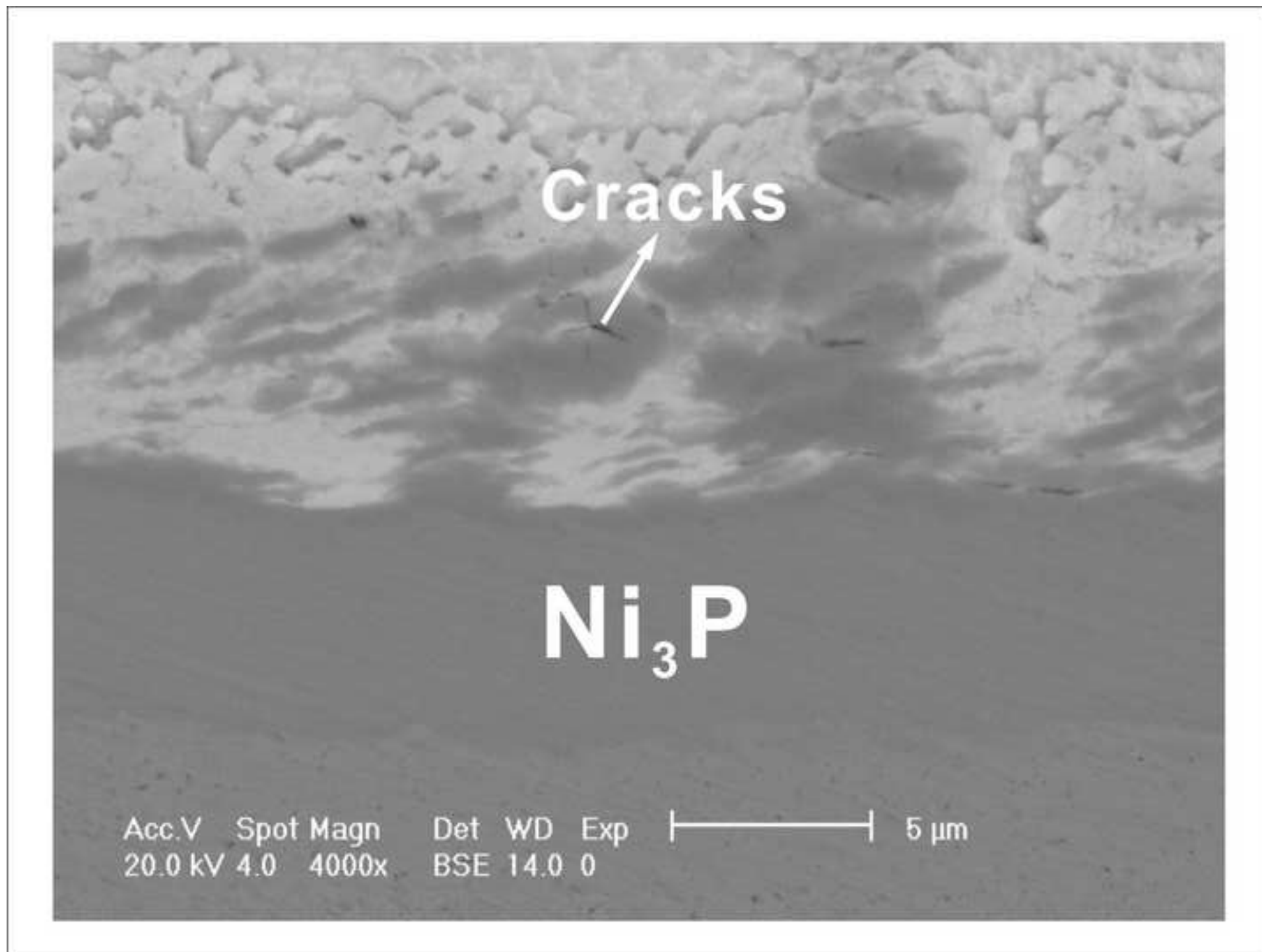


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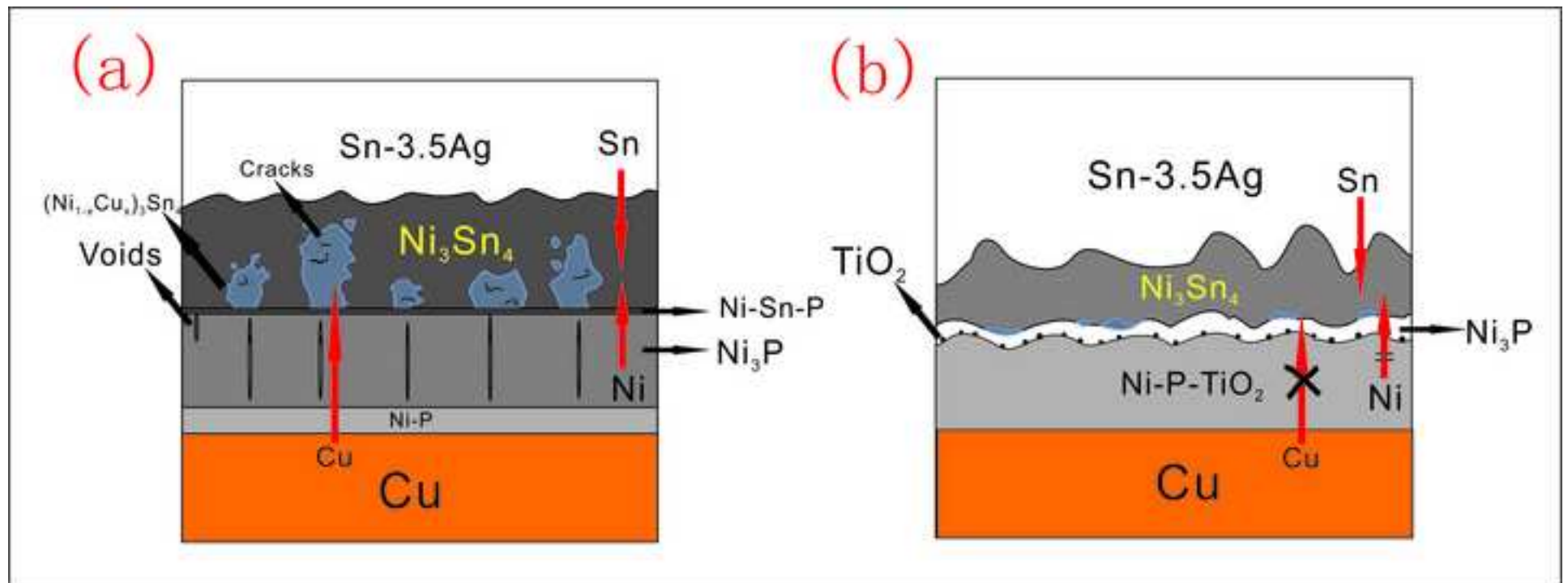


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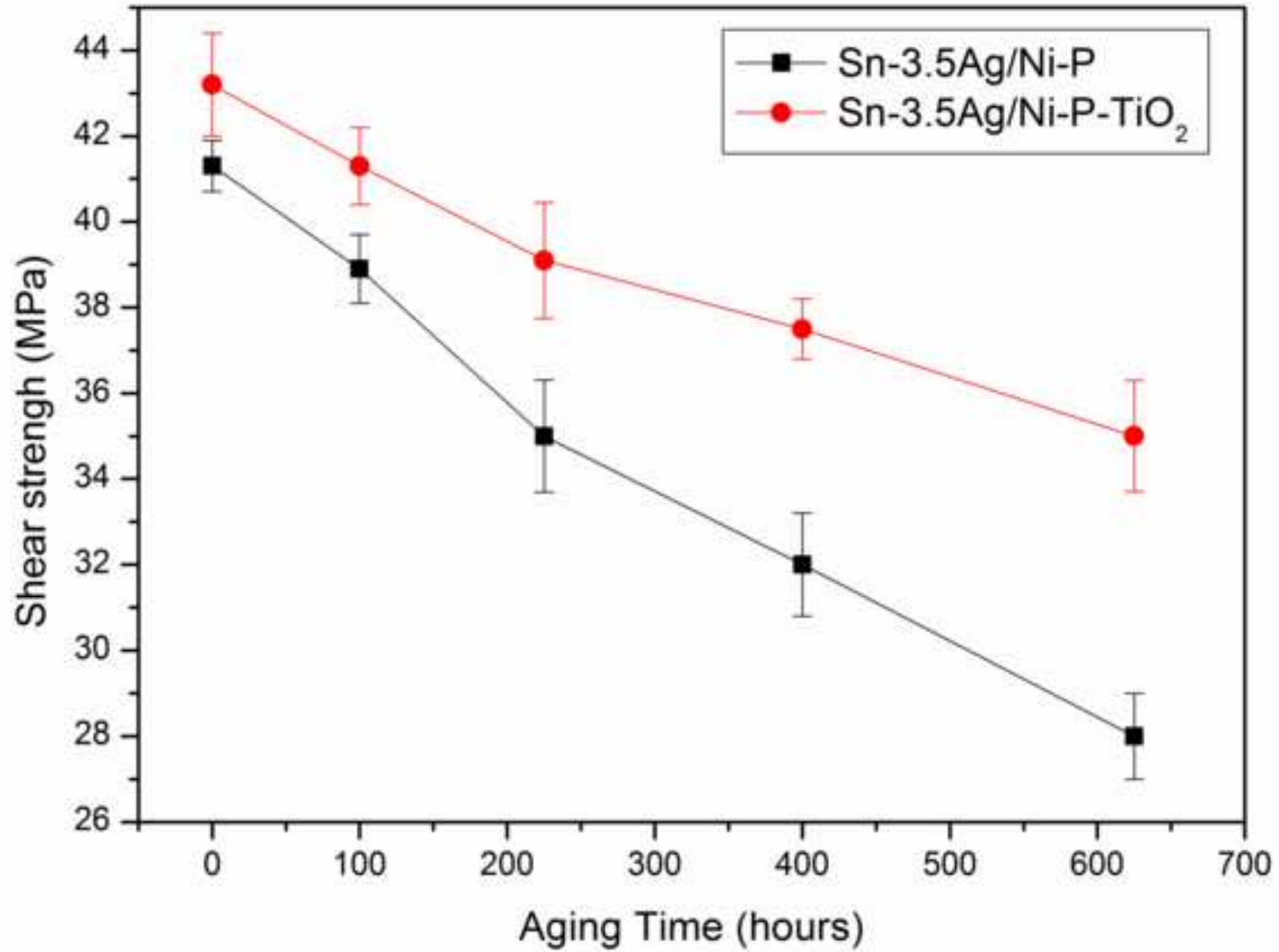
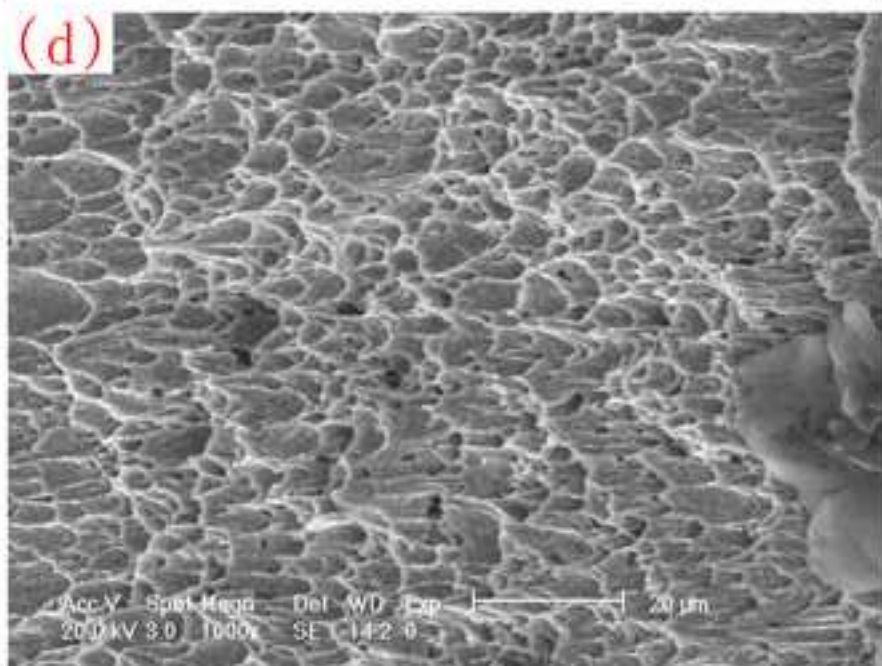
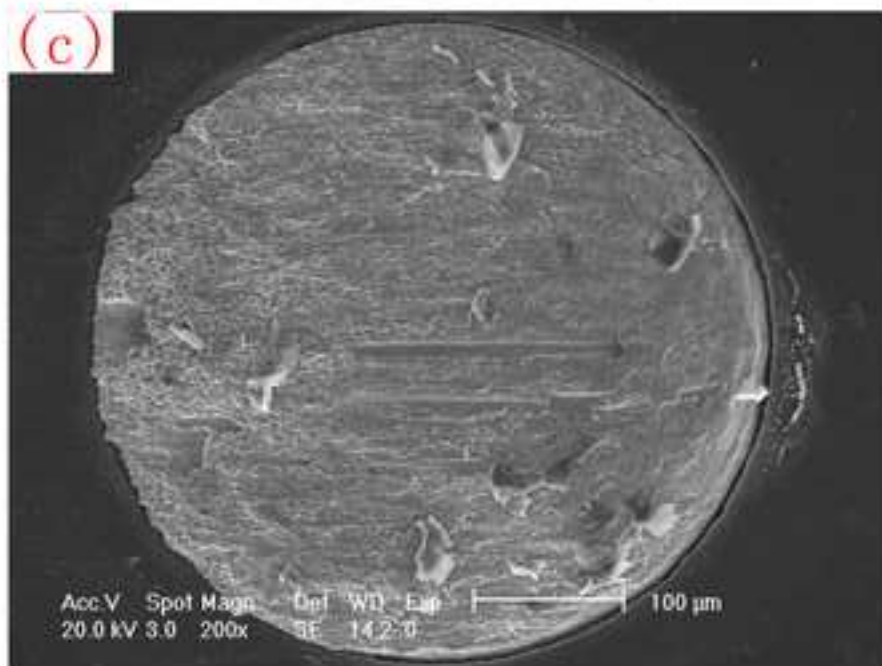
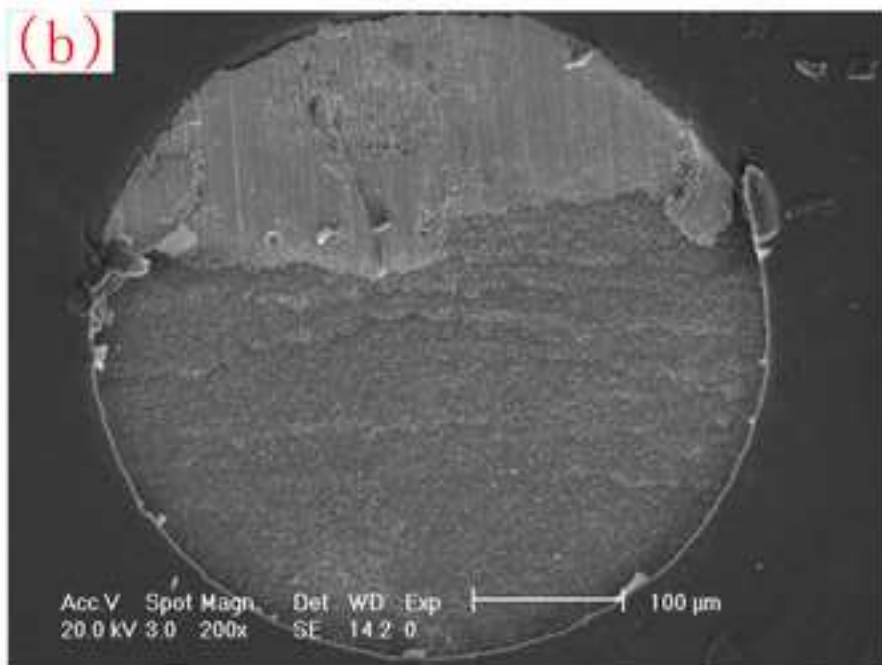
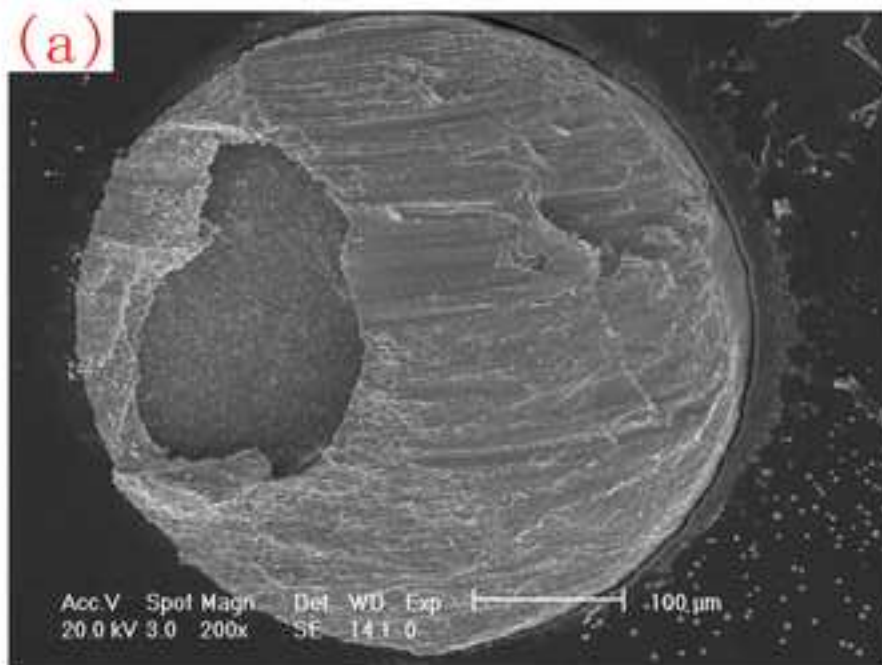




Figure.13

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**Table 1** Value of  $k$  determined from the slope of the linear regression

Temperature(°C)	solder/Ni-P		solder/Ni-P-TiO <sub>2</sub>	
	$k^{1/2}(\mu\text{m}/\text{s}^{1/2})$	$k(\text{cm}^2/\text{s})$	$k^{1/2}(\mu\text{m}/\text{s}^{1/2})$	$k(\text{cm}^2/\text{s})$
150	$1.81 \times 10^{-7}$	$3.28 \times 10^{-14}$	$1.26 \times 10^{-7}$	$1.59 \times 10^{-14}$
170	$2.39 \times 10^{-7}$	$5.71 \times 10^{-14}$	$1.63 \times 10^{-7}$	$2.66 \times 10^{-14}$
190	$3.02 \times 10^{-7}$	$9.11 \times 10^{-14}$	$2.17 \times 10^{-7}$	$4.71 \times 10^{-14}$