

**Effectiveness of aquatic exercises compared to patient-education on health status in individuals with knee osteoarthritis: A randomized controlled trial.**

Journal:	<i>Clinical Rehabilitation</i>
Manuscript ID	CRE-2017-6315.R1
Manuscript Type:	Original Article
Keywords:	Self-Care, Hydrotherapy, Knee Osteoarthritis, pain, Depression
Abstract:	<p>Objective: To compare the effectiveness of aquatic exercises with patient-education in individuals with knee osteoarthritis.</p> <p>Design: Randomized controlled clinical trial with blinded assessor and intention-to-treat analysis.</p> <p>Setting: Aquatic Physiotherapy Centre and Primary Health Care Unit.</p> <p>Subjects: 60 patients, aged 68.3 (SD=4.8) with clinical symptoms and radiographic grading (Kellgren-Lawrence 1–4) of knee osteoarthritis were included.</p> <p>Interventions: an eight-week treatment protocol of aquatic exercise (n=31) (16 individual sessions, twice a week) and an educational program (group sessions, once a week) (n=29).</p> <p>Main measures: Before, after eight-week intervention, and a three-month follow-up with results for the following outcome measures: pain, function, quality of life, functional mobility, and depression.</p> <p>Results: At the end of treatment, the WOMAC functional capacity values reduced in favour of the aquatic exercise group for both the total score MD (mean difference) = -14.2 CI (confidence interval) 95% [-18; -10.5], P = 0.04 and the pain domain MD = -3.8 points; CI 95% [-8.71; -1], P = 0.021. The total score also reduced in the follow-up: MD = -12.3; CI 95% [-24.7; -6.1], P = 0.017. No differences were found for the outcomes functional mobility or depression.</p> <p>Conclusion: Aquatic exercise improved pain and function after eight weeks, and function at the three-month follow-up compared to the patient-education program.</p>

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3 1 **Effectiveness of aquatic exercises compared to patient-education on**  
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5 2 **health status in individuals with knee osteoarthritis: A randomized**  
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7 3 **controlled trial.**  
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11 5 **Introduction**  
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15 7 Osteoarthritis is known as a degenerative disorder of the joint cartilage  
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17 8 associated with hypertrophic bone changes and is the most common form of  
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19 9 arthritis, affecting more than 27 million people in the United States.<sup>1</sup> A variety of  
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21 10 factors, including demographic, clinical, and biomechanical aspects have been  
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23 11 studied and associated with functional and pain status.<sup>2</sup> In addition, growing  
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25 12 evidence suggests that psychological factors such as anxiety, fear, and  
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27 13 depression may also relate to physical function in patients with knee  
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29 14 osteoarthritis.<sup>3</sup>

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33 15 There is broad agreement on recommendations from the various  
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35 16 organizations for non-pharmacologic modalities of treatment for knee  
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37 17 osteoarthritis such as aerobic, aquatic, and/or resistance exercises,  
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39 18 education/self-management, walking, as well as weight loss in overweight  
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41 19 patients.<sup>4</sup> Results of systematic reviews/guidelines have pointed out that  
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43 20 physical exercise is the most recommended non-pharmacological intervention  
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45 21 for osteoarthritis patients and can reduce pain and enhance physical function of  
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47 22 joints affected by osteoarthritis.<sup>5,6</sup> Evidence with low to moderate quality has  
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49 23 demonstrated no important differences in self-management, pain, symptoms,  
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51 24 function or quality of life for these patients when compared to self-management  
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3 25 programs and other interventions such as exercise, social support or  
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5 26 acupuncture.<sup>7</sup> It has not been compared to an aquatic exercise program.

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7 27 The most recent review about the use of aquatic exercise for the  
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9 28 management of knee and hip osteoarthritis showed it can be effective at the  
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11 29 end of treatment with a small effect on pain, function, and quality of life. For only  
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13 30 knee osteoarthritis, no positive results were found. Moreover, the authors  
14  
15 31 recommended that future studies should be joint-specific and set exercise  
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17 32 programs with clearly described type and dose (intensity, frequency, and  
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19 33 duration)<sup>8</sup>, besides the comparison among several modalities used by  
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21 34 physiotherapy.

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24 35 Considering the rationale above, the role of self-management programs  
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26 36 compared to aquatic exercise still has not been investigated, including a well  
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28 37 described joint-specific exercise program and its results in long-term follow-up.  
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30 38 Moreover, the aspects of psychosocial outcomes should also be compared for  
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32 39 these modalities. Then the aim of this study was to investigate the  
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34 40 effectiveness of an aquatic exercise program compared to patient-education for  
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36 41 individuals with knee osteoarthritis on pain, function, quality of life, and  
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38 42 depression.

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## 44 **Method**

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### 47 *Study Design and Selection Criteria*

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49 48 A randomized controlled trial lasting 8-weeks, with a three-month follow-  
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51 49 up, according to the Consort-Statement<sup>9</sup>, was conducted at an Aquatic  
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55 49 Physiotherapy Centre and in a Primary Health Care Unit between January 2015  
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3 50 and April 2016. This study was registered at ClinicalTrials.gov (NCT02247882).  
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5 51 All procedures were approved by the University Ethics Committee  
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7 52 (#27913514.8.0000.5231).  
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9 53 Participants were recruited from the local Primary Health Care Unit, after  
10  
11 54 being evaluated by a rheumatologist, who confirmed the diagnosis of knee OA  
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13 55 according to the American College of Rheumatology<sup>10</sup> – including the Kellgren-  
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15 56 Lawrence radiographic criteria<sup>11</sup>, aged from 60 to 85 years and presented  
16  
17 57 adequate clinical and cognitive conditions for carrying out activities in the pool,  
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19 58 confirmed by the Mini-Mental State Examination (24-30 points).<sup>12</sup> The Kellgren-  
20  
21 59 Lawrence radiographic criteria indicated that most patients (58%) had a mild  
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23 60 degree (grades 1 and 2); while others (42%) had a severe stage of radiographic  
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25 61 abnormalities (grades 3 and 4).  
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28 62 The exclusion criteria were: patients undergoing orthopaedic and  
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30 63 neurological surgical procedures, those with coronary diseases, cancer, or  
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32 64 uncontrolled hypertension, patients unable to walk without aid equipment,  
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34 65 patients with contraindications to practice exercises or enter the pool, those  
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36 66 participating in nutrition or physical activity programs in the previous two  
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38 67 months, individuals with morbid obesity (body mass index > 40 kg/m<sup>2</sup>), and  
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40 68 those unable to continue the study due to change of address or scheduled  
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42 69 hospitalization.  
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#### 46 71 *Procedures*

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49 73 In relation to random allocation process, numbers were generated from  
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51 74 the site [www.random.org](http://www.random.org) using a random sequence from 1 to 100, with two  
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3 75 columns. For allocation concealment, the numbers generated were placed in  
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5 76 sealed, opaque envelopes containing the previously decided group names  
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7 77 Aquatic Exercise or Education Program. The envelopes were numbered and  
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9 78 placed in sequence. One individual, not involved with the study, was  
10  
11 79 responsible for the randomization and opening the envelope. After the baseline  
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13 80 assessment, this individual informed the participants to which he/she was  
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15 81 allocated, either the aquatic exercise or the educational program group.  
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18 82 The participants who met the eligibility criteria were assessed on three  
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20 83 different occasions: at baseline, at the end of the treatment (8-weeks), and after  
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22 84 a three-month follow-up. Participants were evaluated by two individuals in the  
23  
24 85 morning period at the Laboratory of Biomechanics and Clinical Epidemiology. At  
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26 86 the baseline assessment, the participants were informed about all the  
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28 87 procedures and possible risks, signed the approved consent form, and  
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30 88 anthropometric data (mass and height) were collected. Following these initial  
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32 89 procedures, the questionnaires were completed, and the functions test  
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34 90 performed.  
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#### 40 92 *Study Interventions*

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42 93 The patient-education group program (five individuals per group) was  
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44 94 designed and delivered by a multidisciplinary team: physician, pharmacist,  
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46 95 nurse, nutritionist, psychologist, physiotherapist, and physical educator. The  
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48 96 classes were weekly (total of eight), lasting two hours and were given at the  
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50 97 Primary Health Care Unit. Following the suggestions by Coleman et al.,<sup>13</sup> the  
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52 98 guidance on the disease and its complications were included; strategies for pain  
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54 99 control (cognitive and pharmacological), physical exercise, nutrition, and weight  
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3 100 control, medications (type, interactions, side effects and updates), balance,  
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5 101 proprioception, preventing falls, and how to deal with chronic pain. This group  
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7 102 also received home knee osteoarthritis exercise guidelines for practice two to  
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9 103 three times a week, which included: warm-up, self-stretching, isometric and  
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11 104 dynamic exercises, proprioceptive and functional exercises of the lower limbs,  
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13 105 and cool down.

15 106 The aquatic program was performed individually twice a week, for 8-  
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17 107 weeks, each session lasting 60 minutes, totalling 16 sessions, provided by  
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19 108 certified physiotherapists in the Aquatic Physiotherapy Centre. The water  
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21 109 temperature was maintained at approximately 32 °C (89 °F), with a depth of  
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23 110 1.2 m. The exercise protocol consisted of specific exercises: five minutes of  
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25 111 warm-up with walking, patellar mobilization; stretching the leg muscles  
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27 112 (quadriceps, gluteus, adductors and abductors of hip, triceps surae, and  
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29 113 hamstrings); 15 minutes of knee and hip isometric and dynamic exercises with  
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31 114 elastic bands (gluteus, adductors and abductors, quadriceps, hamstrings, and  
32  
33 115 triceps surae); 20 minutes of aerobic exercises (stationary running or deep  
34  
35 116 water-running); 10 minutes of step training and proprioceptive exercises; and 10  
36  
37 117 minutes of cool down with massage and relaxation (Appendix 1). The selected  
38  
39 118 exercises were based on studies for outcomes function,<sup>8,14</sup> pain,<sup>8,14</sup> balance,<sup>15,16</sup>  
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41 119 and aerobic capacity.<sup>17</sup>

#### 42 120 43 44 121 *Study Outcomes*

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46 122 The primary outcome measures were pain, assessed by a visual  
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48 123 analogue scale<sup>18</sup> and functional capacity through the Western Ontario &

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3 124 McMaster Universities Osteoarthritis Index: WOMAC.<sup>19</sup> The Minimal Clinically  
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5 125 Important Difference for knee OA is -7.9 points for WOMAC total score.<sup>20</sup>  
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7 126 As secondary outcomes, quality of life, screen on depression, and  
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9 127 functional mobility were recorded. Quality of life was measured using the  
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11 128 Medical Outcome Study Short Form 36-item Health Survey (version 2.0) and an  
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13 129 improvement of 5 points in the physical component score of the questionnaire is  
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15 130 considered to be clinically significant.<sup>21</sup> The presence of depressive symptoms  
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17 131 was defined as obtaining six or more points in the short version (15 items) of the  
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19 132 Yesavage Geriatric Depression Scale.<sup>22</sup> The Timed Up and GO test is a  
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21 133 performance-based measure and the minimal detectable change of the test in  
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23 134 individuals with grade 1 – 3 (Kellgren-Lawrence criteria) for knee osteoarthritis  
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25 135 is 1.14 seconds.<sup>23</sup> The team involved in the study was blinded to which study  
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27 136 group the patient belonged to throughout the measurements. Two researchers  
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29 137 were involved in the assessment.  
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### 34 139 *Statistical Analysis*

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37 140 The sample size was calculated for the outcome of pain using the  
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39 141 formula proposed by Pocock<sup>24</sup> which considered an alpha = 0.05 and 80%  
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41 142 power to detect a reduction of 30% in pain.<sup>8</sup> The estimated sample was 60  
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43 143 patients in the Aquatic Exercise Group and Educational Program groups.  
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46 144 The variables were analysed for normal distribution using the Shapiro-  
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48 145 Wilk test and as the normality assumption was accepted, data are presented as  
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50 146 mean and standard deviation (SD), mean differences (MD), and 95%  
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52 147 confidence intervals (CI). A Generalized Estimating Equation<sup>25</sup> model through a  
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54 148 specific syntax was employed for comparison within/between groups. A working  
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3 149 correlation matrix was specified a priori and defined the hypothesized  
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5 150 relationship between repeated observations on a subject. The model type was  
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7 151 set up as a linear scale response. The standard error estimates were adjusted  
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9 152 according to the hypothesized correlation between different time points of the  
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11 153 outcome (primary and secondary). Bonferroni tests for analysis by multiple  
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13 154 comparisons were applied when appropriate. The statistical significance  
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15 155 adopted for all tests was 5% and performed according to intention-to-treat  
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17 156 analyses. All analyses were carried out using SPSS version 22.0 (IBM SPSS®,  
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19 157 Armonk, NY, USA).  
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## 24 159 **Results**

25  
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28 161 A total of 154 patients were screened for eligibility and 60 met the  
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30 162 eligibility criteria and were randomized between January 2015 and April 2016.  
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32 163 Thirty-one were allocated to the Aquatic Exercise group and 29 to the  
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34 164 Educational Program group and received the interventions. Two patients were  
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36 165 lost due to health problems (pneumonia and panic syndrome) before the follow-  
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38 166 up evaluation, and nine dropped out of the sessions, giving a total of 28 patients  
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40 167 in the Aquatic Exercise group and 21 patients in the Educational Program group  
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42 168 (dropout rate 18.3%) (Fig. 1) for follow-up evaluation. No side effects were  
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44 169 reported during the treatment in either group.  
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48 170 Both groups were similar in the assessed characteristics and outcomes  
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50 171 at baseline (Tables 1, 2, and 3). A statistically significant difference was found  
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52 172 between groups for the Yesavage questionnaire  $P = 0.013$ ; MD = -1.7 95% CI [-  
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3 173 3.76; -0.31] at baseline, although the scores did not indicate the presence of  
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5 174 depression.

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7 175 For the primary outcome of pain, no statistical differences were found  
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9 176 between or within groups when assessed by the Visual Analog Scale, but when  
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11 177 the pain domain of the WOMAC questionnaire was assessed, statistical  
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13 178 changes were found within and between groups in favour of the Aquatic  
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15 179 Exercise group. In this group, the pain decreased at the end of treatment MD =  
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17 180 -3.3 points; 95% CI [-6.56; -0.1]  $P = 0.031$ ; and at the follow-up period MD =  
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19 181 -3.1 points; 95% CI [-6.3; -0.03]  $P = 0.046$ . At the end of treatment, a significant  
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21 182 reduction was noted in favour of the Aquatic Exercise group when compared to  
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23 183 the Educational Program group, MD = -3.8 points 95% CI [-8.7; -1]  $P = 0.021$ .

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25 184 When function was analysed, WOMAC scores reduced after treatment  
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27 185 MD = -11 points 95% CI [-14.9; -9.6],  $P = 0.009$  and at the end of follow-up MD  
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29 186 = -11.8 points; 95% CI [-19.3; -3.6];  $P = 0.020$  compared to baseline in the  
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31 187 Aquatic Exercise group. The Minimal Clinically Important Difference was  
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33 188 achieved, with 13 (41.9%) individuals overcoming these values at the end of  
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35 189 treatment and 14 (45.2%) at the end of the follow-up period. Moreover, the  
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37 190 scores statistically reduced in favour of the Aquatic Exercise group both after  
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39 191 treatment MD = -14.2 points 95% CI [-18; -10.5],  $P = 0.04$ , and at follow-up MD  
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41 192 = -12.3 points; 95% CI [-24.7; -6.1],  $P = 0.017$ . When comparing the values of  
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43 193 Minimal Clinically Important Difference between the groups, the aquatic group  
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45 194 achieved improvement at the end of treatment in 13 (41.9%) versus 7 (24.1%)  
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47 195 individuals of the Educational Program group, and at the end of follow-up in 14  
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49 196 (45.2%) individuals versus 8 (27.5%) of the Educational Program group.

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3 197           Regarding the secondary outcome quality of life, improvements over time  
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5 198 in the Aquatic Exercise group were observed, with statistically significant  
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7 199 differences at the end of treatment MD = 9.6 95% CI [2.9; 16.3],  $P < 0.001$ , and  
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9 200 at the follow-up period MD = 10.6; 95% CI [3.5; 17.8],  $P < 0.001$ . When  
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11 201 comparing the Minimal Clinically Important Difference values between the  
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13 202 groups, the Aquatic Exercise group achieved improvement in 19 (61.3%)  
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15 203 patients versus 12 (41.4%) individuals in the Educational Program group at the  
16  
17 204 end of treatment, and in 19 (61.3%) versus 16 (55.2%) at the end of follow-up.

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20 205           Functional mobility assessed by the Timed Up and Go test showed no  
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22 206 statistically significant differences within/between groups, but the minimum  
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24 207 values of detectable change were reached at the end of treatment (2.3 seconds;  
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26 208 10 subjects, 34.5%) and at the end of follow-up (1.3 seconds; 13 subjects,  
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28 209 44.8%) in the Educational Program group. The depressive symptoms  
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30 210 demonstrated no statistically significant differences either within or between, at  
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32 211 the end of treatment and follow-up.

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## 36 37 213 **Discussion**

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41 215           This study showed that aquatic exercises, when compared to patient-  
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43 216 education, were superior in improving function and pain in individuals with knee  
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45 217 osteoarthritis, while quality of life and depressive symptoms presented no  
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47 218 differences. The results of the group submitted to aquatic exercises were  
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49 219 effective in improving pain, function, and quality of life after treatment, and  
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51 220 function at the end of the three-month follow-up period.

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3 221 The results indicated no differences between the groups or within the  
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5 222 groups for pain when assessed by the Visual Analog Scale. However, it should  
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7 223 be noted that the mean baseline pain was moderate: 4.1 cm for the aquatic  
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9 224 exercises patients and 4.6 cm for the Educational Program group; there was a  
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11 225 decrease for the Aquatic Exercise group of 1.2 cm at the end of the 8-weeks  
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13 226 and in the follow-up this value was maintained. On the other hand, for the  
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15 227 Educational Program group, the reduction was 0.8 and 0.9 cm at the end and  
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17 228 follow-up assessments respectively. It is known that the minimal clinically  
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19 229 important difference was not established for Visual Analog Scale on  
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21 230 osteoarthritis population, however, according to Tubach et al.,<sup>20</sup> the minimal  
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23 231 clinically important improvement varies depending on the baseline state:  
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25 232 patients who have the most severe symptoms (which represented 48% of  
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27 233 individuals according to the Kellgren-Lawrence criteria) must experience a  
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29 234 greater change to consider them improved. In this case, improvements in pain  
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31 235 in the present study can be considered satisfactory and must not be discarded  
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33 236 within the groups.

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37 237 However, when assessed by the WOMAC questionnaire (pain domain),  
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39 238 changes were observed over time for the Aquatic Exercise group and by the  
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41 239 end of the treatments between groups, also in favour of the Aquatic Exercise  
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43 240 group. It is generally accepted that the WOMAC questionnaire has greater  
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45 241 specificity and consequently better responsiveness for people with osteoarthritis  
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47 242 when compared to Visual Analog Scale, explaining the improvement just in the  
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49 243 WOMAC questionnaire.<sup>26</sup>

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52 244 Aquatic exercise may have effects on pain because of fluid mechanics.  
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54 245 The effect of buoyancy could reduce pain during exercise as the depth of

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3 246 immersion is directly related to the percentage weight bearing.<sup>8,27-30</sup> The  
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5 247 hydrostatic pressure acts compressing the tissues and, in combination with the  
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7 248 circulatory changes that occur with immersion, reduces swelling, permitting  
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9 249 greater movement to reduce joint and soft-tissue stiffness and, therefore,  
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11 250 improve pain complaints.<sup>29-30</sup>  
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14 251 A meta-analysis of trials investigating water-based, aerobic and  
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16 252 strengthening exercises, and spa therapy for osteoarthritis concluded that all  
17  
18 253 have a positive effect on pain.<sup>31</sup> A Cochrane review of aquatic therapy for  
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20 254 osteoarthritis of the hip or knee also concluded that pain may be decreased by  
21  
22 255 aquatic exercises.<sup>8</sup> A recent clinical trial investigating aquarobic therapy  
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24 256 (several types of exercises including aerobics in water, three times a week in 1-  
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26 257 hour sessions, for a total of 36 sessions over 12 weeks) versus patient  
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28 258 education (two educational sessions delivered through lectures on osteoarthritis  
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30 259 and the necessity of exercising), showed a statistically significant difference in  
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32 260 pain.<sup>32</sup>  
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35 261 The present study presented some similar methodological elements  
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37 262 when compared to the aforementioned studies, for example, time of the  
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39 263 sessions with a duration of 60 minutes, a minimum weekly frequency of two  
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41 264 times and a minimum duration of eight weeks of intervention. When confronted  
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43 265 with the types of exercises used in the programs, the clear majority (and the  
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45 266 present study) was composed of warm-up, flexibility, dynamic and aerobic  
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47 267 exercises. The present study differed in the addition of balance exercises,  
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49 268 proprioceptive, deep-water running in the aerobic component and relaxation  
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51 269 with the addition of massage in the periarticular musculature of the knees.  
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3 270 Educational programs have been statistically proven to be good in  
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5 271 reducing pain, as evaluated by Coleman et al.,<sup>33</sup> with a 6-week knee specific  
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7 272 self-management education program, delivered by health professionals. In a  
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9 273 recent review including 29 studies (6,753 participants) Kroon et al.,<sup>7</sup> found that  
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11 274 educational programs mildly reduced pain when compared with usual care. In  
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13 275 the current study, the Aquatic Exercise group improved function over time and  
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15 276 presented better results than the Educational Program group. The Aquatic  
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17 277 Exercise group values of minimal clinically important difference from the  
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19 278 WOMAC questionnaire were achieved at the end of treatment and at the follow-  
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21 279 up period.

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24 280 This positive result was also reported in the systematic reviews published  
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26 281 by Barker et al.,<sup>34</sup> and Bartels et al.,<sup>8</sup> aquatic therapy mildly improved physical  
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28 282 function both in patients affected by musculoskeletal, and in patients with  
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30 283 combined hip and knee osteoarthritis. In another systematic review, aquatic  
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32 284 physiotherapy was compared with exercises on land by Batterham et al.,<sup>14</sup> for  
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34 285 function, mobility, and health outcomes. No favourable results were found for  
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36 286 either group. In conclusion, the authors suggested the option of aquatic  
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38 287 exercises for individuals who have difficulty in attending on land.

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41 288 Functional improvements were reflected by changes in several measured  
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43 289 parameters, such as pain and quality of life. It is generally accepted that the  
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45 290 WOMAC questionnaire has greater specificity and consequently better  
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47 291 responsiveness for people with osteoarthritis;<sup>26</sup> nonetheless, the Medical  
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49 292 Outcome Study Short Form 36-item Health Survey also reflected these  
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51 293 changes.

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3 294 Educational programs have also proved beneficial in improving function  
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5 295 in some clinical trials: Bezalel et al.,<sup>35</sup> reported a reduction in the WOMAC total  
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7 296 score after four weeks of treatment and at the 8-week follow-up, between  
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9 297 education and control groups. Similar findings were listed by Carvalho et al.,<sup>36</sup>  
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11 298 after three months of treatment. Coleman et al.,<sup>33,37</sup> reported improvements in  
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13 299 WOMAC total score at the end of a 12-month treatment, as well as after an 8-  
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15 300 week intervention and a 6-month follow-up period. Contrary to these findings, a  
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17 301 recent review did not show differences between self-management programs or  
18  
19 302 any other intervention for the function outcome.<sup>7</sup>

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22 303 In the present study, positive effects were seen in quality of life in the  
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24 304 Aquatic Exercise group and the minimal detectable change values were  
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26 305 achieved at the end and at follow-up period. Two systematic reviews showed  
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28 306 improvement in quality of life using the aquatic therapy modality. Bartels et al.,<sup>13</sup>  
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30 307 at the end of aquatic exercise treatment for combined knee and hip  
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32 308 osteoarthritis, showed a small effect on quality of life. Moderate improvements  
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34 309 were reached by Barker et al.,<sup>34</sup> when comparing aquatic exercise with no  
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36 310 exercise for musculoskeletal conditions.

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39 311 The reasons that justify the effectiveness of educational programs for  
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41 312 health outcomes are still not well understood and can be justified by many  
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43 313 different factors. Moreover, the meta-analysis of educational programs has  
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45 314 concluded that it is difficult to compare models between different chronic  
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47 315 conditions, which is also the case for different types of arthritis.<sup>38</sup>

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50 316 The present educational program was developed specifically for the  
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52 317 population with knee osteoarthritis, aimed at decreasing pain as well as  
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54 318 improving function and quality of life, delegated by professionals with

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3 319 experience. Information about the disease and the benefits of exercise were  
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5 320 incorporated into the constructs of self-knowledge to improve self-efficacy and  
6  
7 321 changes in the behaviour of these individuals. Using the knowledge and skills of  
8  
9 322 health professionals is a major component of the educational program because  
10  
11 323 knowledge is an important part of self-efficacy and no amount of trust will  
12  
13 324 succeed unless the necessary knowledge and skills are present.<sup>39</sup>

15 325 Understanding the rationale for adopting concepts in the program allows  
16  
17 326 participants to become self-motivated to change behaviour and thus to be more  
18  
19 327 adherent in the long term.<sup>40</sup>

22 328 In the present investigation, functional mobility, assessed by the Timed  
23  
24 329 Up and Go test, did not demonstrate significant differences within or between  
25  
26 330 groups, but in the Educational Program the minimal detectable change was  
27  
28 331 achieved at the end of the treatment (2.3 seconds) and at the follow-up period  
29  
30 332 (1.3 seconds). The same test was investigated in a clinical trial which compared  
31  
32 333 an orientation (manual with guidelines on how not to overload the knee in daily  
33  
34 334 activities and instructions for pain and medication) and an exercise group (on  
35  
36 335 land, twice a week, 8 weeks, involving stretching and strengthening of the  
37  
38 336 quadriceps).<sup>41</sup> At the end of the treatment there was no statistically significant  
39  
40 337 difference in the pre-and post-intervention evaluation in the orientation group.

43 338 However, in the exercise group, there was a statistically significant  
44  
45 339 difference in Timed Up and Go test scores. Comparing the groups, a higher  
46  
47 340 improvement in the Timed Up and Go test in the exercise group compared to  
48  
49 341 the orientation group was observed. When investigating water based exercise,  
50  
51 342 a recent study compared the effects of two aquatic exercise programs (aqua-  
52  
53 343 fitness program and seated aqua-based exercise program) on physical function

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3 344 for 12 weeks in individuals with osteoarthritis (hip, hands, knee or spine) and no  
4  
5 345 significant changes in Timed Up and Go test were observed.<sup>42</sup> Both instruments  
6  
7 346 (WOMAC and Timed Up and Go test) were used to evaluate function, but  
8  
9 347 WOMAC showed better improvement compared to Timed Up and Go test,  
10  
11 348 regarding its greater specificity to people with osteoarthritis and consequently  
12  
13 349 better responsiveness.

15 350 With respect to the screen on depression, no differences were found  
16  
17 351 between or within the groups of the present study. At baseline, the individuals  
18  
19 352 showed no signs or symptoms of depression (<6 points from the questionnaire)  
20  
21 353 and both treatments appeared to maintain this status. Scopaz et al.,<sup>3</sup>  
22  
23 354 investigated the association between fear, anxiety, and depression with physical  
24  
25 355 function in individuals with knee osteoarthritis. Depression may influence scores  
26  
27 356 in function under conditions of low anxiety and no results were found when  
28  
29 357 correlating the Timed Up and Go test and depression.  
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33 358 Axford et al.,<sup>43</sup> proposed a clinical trial (educational versus no treatment)  
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35 359 consisting of four 1-h group sessions led by a trained registered nurse. The  
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37 360 sessions covered information about the disease, medication and other  
38  
39 361 treatments, activities (exercise and relaxation), and skills (strategies for pain  
40  
41 362 management) guided by a special booklet for both groups. A complex  
42  
43 363 interrelationship between depression, pain, disease knowledge, and physical  
44  
45 364 ability in patients with knee osteoarthritis was demonstrated. All patients  
46  
47 365 showed a progressive decrease in mental health over the duration of the study  
48  
49 366 and greater pain scores were associated with reduced coping, increased  
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51 367 depression, and reduced physical ability. The authors concluded that the  
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53 368 treatment of depression and pain may be paramount to the successful  
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3 369 treatment of knee osteoarthritis, and these factors should be considered for  
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5 370 each patient. Kim et al.,<sup>44</sup> investigated depression symptoms with another  
6  
7 371 questionnaire comparing a non-equivalent control group and 36 sessions of an  
8  
9 372 aquarobic exercise program (60-minute sessions, three times per week). At the  
10  
11 373 end of the protocol, the aquarobic group presented significantly reduced  
12  
13 374 depression values compared to the control group.

15 375 Some limitations of this study are listed as follows: a high dropout rate  
16  
17 376 (especially in the Educational Program group) may have jeopardized the  
18  
19 377 results, even using the intention-to-treat analysis. The Education Program  
20  
21 378 Group does not receive an equivalent amount of supervised land-based  
22  
23 379 exercise when compared to the Aquatic Exercise group. The heterogeneity of  
24  
25 380 the groups in relation to the outcome of depressive symptoms in the baseline  
26  
27 381 evaluation should also be taken into consideration.

30 382 New clinical trials are needed to confirm the effects of aquatic exercise  
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32 383 and educational programs on patients with knee osteoarthritis, including the  
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34 384 cost-effectiveness outcome. High quality studies that follow the  
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36 385 recommendations of the Consort-Statement<sup>20</sup> are required, as well as  
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38 386 standardization of outcomes and interventions to facilitate comparisons.  
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3 394 **Clinical Messages**  
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7 396 Aquatic exercise program (16 sessions, twice a week) was superior when  
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9 397 compared to the educational program (eight sessions, weekly, lasting two  
10  
11 398 hours) in pain and function, at the end eight weeks and after three-month follow-  
12  
13 399 up, for patients with knee osteoarthritis.  
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15 400

16 401 **Conflict of interest**  
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18 402

19  
20 403 The authors declared no potential conflicts of interest with respect to the  
21  
22 404 research, authorship, and/or publication of this article.  
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## 1 ABSTRACT

2 **Objective:** To compare the effectiveness of aquatic exercises with patient-  
3 education in individuals with knee osteoarthritis.

4 **Design:** Randomized controlled clinical trial with blinded assessor and  
5 intention-to-treat analysis.

6 **Setting:** Aquatic Physiotherapy Centre and Primary Health Care Unit.

7 **Subjects:** 60 patients, aged 68.3 (SD=4.8) with clinical symptoms and  
8 radiographic grading (Kellgren-Lawrence 1–4) of knee osteoarthritis were  
9 included.

10 **Interventions:** an eight-week treatment protocol of aquatic exercise (n=31) (16  
11 individual sessions, twice a week) and an educational program (group sessions,  
12 once a week) (n=29).

13 **Main measures:** Before, after eight-week intervention, and a three-month  
14 follow-up with results for the following outcome measures: pain, function, quality  
15 of life, functional mobility, and depression.

16 **Results:** At the end of treatment, the WOMAC functional capacity values  
17 reduced in favour of the aquatic exercise group for both the total score MD  
18 (mean difference) = -14.2 CI (confidence interval) 95% [-18; -10.5],  $P = 0.04$   
19 and the pain domain MD = -3.8 points; CI 95% [-8.71; -1],  $P = 0.021$ . The total  
20 score also reduced in the follow-up: MD = -12.3; CI 95% [-24.7; -6.1],  $P = 0.017$ .  
21 No differences were found for the outcomes functional mobility or depression.

22 **Conclusion:** Aquatic exercise improved pain and function after eight weeks,  
23 and function at the three-month follow-up compared to the patient-education  
24 program.

25 **Keywords:** self-care, hydrotherapy, knee osteoarthritis, pain, depression,

**Table 1.** Baseline characteristics of participants.

	<b>AE (n=31)</b>	<b>EP (n=29)</b>	
Gender			
Male n (%)	8 (25.8)	11 (37.9)	<i>P</i> =0,37
Female n (%)	23 (74.2)	18 (62.1)	<i>P</i> =0,16
Age (years)	67.3 (5.9)	68.7 (6.7)	<i>P</i> =0,21
BMI (kg/m <sup>2</sup> )	29.2 (0.8)	30.4 (0.9)	<i>P</i> =0,42

AE: Aquatic Exercises Group, EP: Educational Program Group, mean (SD): standard deviation, BMI: body mass index, and cm: centimeters.

**Table 2.** Summary of the primary outcome measures.

	<b>AE (n=31)</b>		<b>EP (n=29)</b>		
	Mean (SD)	<b>MD [95% CI] Within AE Group</b>	Mean (SD)	<b>MD [95% CI] Within EP Group</b>	<b>MD [95% CI] Between Groups</b>
<b>VAS (cm)</b>					
Baseline	4.1 (0.5)		4.6 (0.6)		-0.47 [-2.55; 1.61]
Week 8	2.9 (0.5)	-1.2 [-1.92; 3.81]	3.8 (0.6)	-0.8 [-1.24; 2.56]	-0.90 [-2.90; 1.70]
Follow-up	2.9 (0.5)	-1.2 [-1.92; 3.94]	3.7 (0.6)	-0.9 [-1.40; 2.64]	-0.76 [-3.12; 1.88]
<b>WOMAC Total</b>					
Baseline	33.7 (3.7) <sup>a</sup>		38.9 (3.5)		-5.2 [-12.8; 16.8]
Week 8	22.7 (3.6) <sup>b</sup>	-11 [-14.9; -9.6]	36.9 (3.5)	-2 [-4.8; 8.9]	-14.2 [-18; -10.5] <sup>A</sup>
Follow-up	21.9 (3.4) <sup>b</sup>	-11.8 [-19.3; -3.6]	34.2 (3.9)	-4.7 [-8.4; 10.1]	-12.3 [-24.6; -6.1] <sup>B</sup>
<b>WOMAC Pain</b>					
Baseline	7.6 (0.8) <sup>a</sup>		6.9 (0.8)		-0.7 [-2.5; 3.9]
Week 8	4.2 (0.7) <sup>b</sup>	-3.3 [-6.5; -0.1]	8.1 (1.5)	1.2 [-6.1; 3.6]	-3.8 [-8.7; -1] <sup>B</sup>
Follow-up	4.4 (0.7) <sup>b</sup>	-3.1 [-6.3; -0.03]	7.6 (1.5)	0.72 [-5.6; 4.1]	-3.2 [-8; 1.6]

SD: standard deviation, MD: mean difference [95% confidence interval], AE: Aquatic Exercises Group, EP: Educational Program Group, VAS: visual analogue scale, WOMAC: Western Ontario & McMaster Universities Osteoarthritis Index, cm: centimetres, Intention-to-treat analysis; GEE: Generalized Estimating Equation analysis, a, b (lowercase letters): within group differences from baseline,  $P < 0.05$ , and A, B, C (uppercase letters): between groups differences,  $P < 0.05$ .

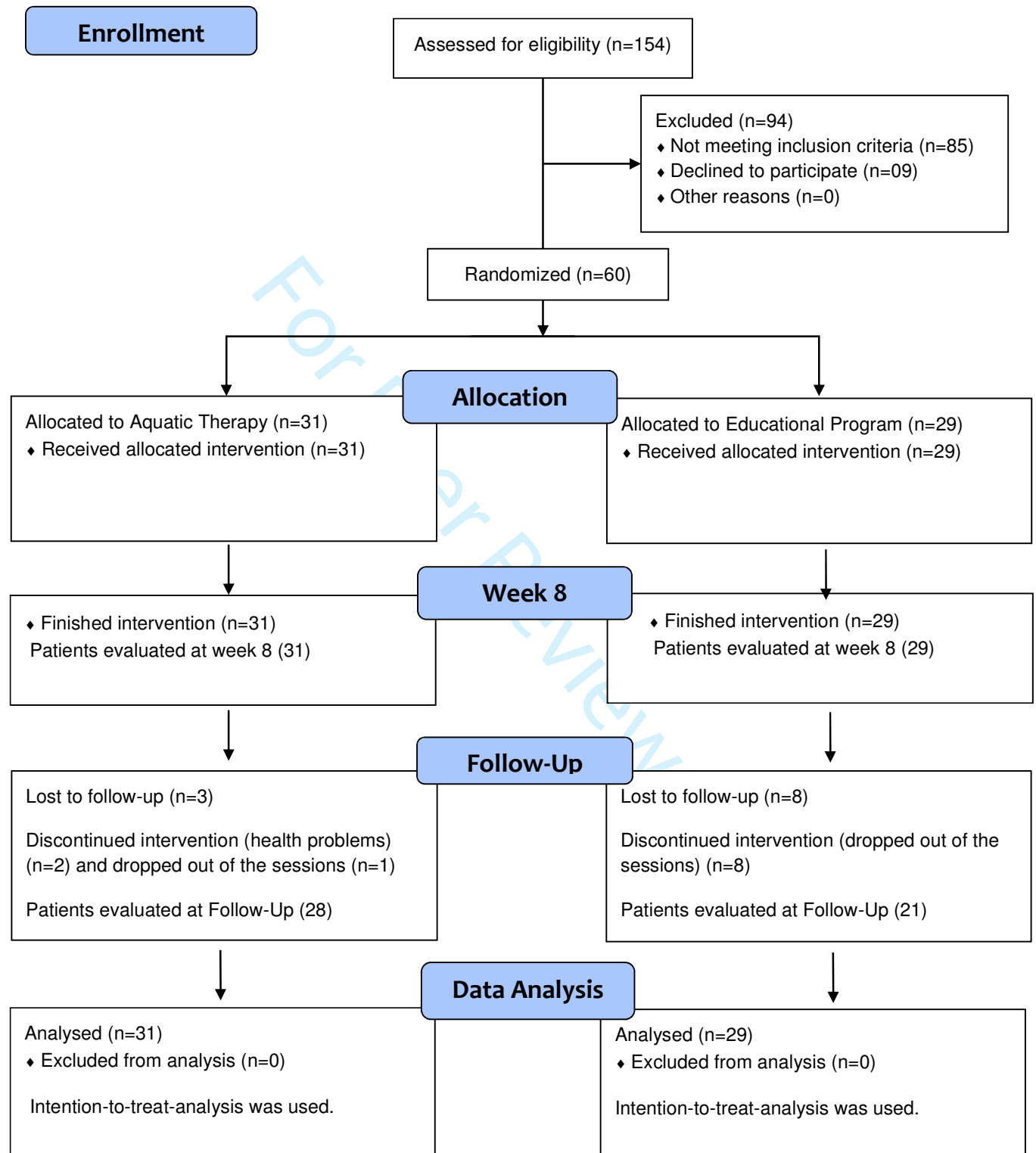
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**Table 3.** Summary of the secondary outcome measures.

	<b>AE (n=31)</b>		<b>EP (n=29)</b>		
	Mean (SD)	<b>MD [95% CI]</b>	Mean (SD)	<b>MD [95% CI]</b>	<b>MD [95% CI]</b>
		<b>Within AE Group</b>		<b>Within EP Group</b>	<b>Between Groups</b>
<b>SF-36 (Physical Function)</b>					
Baseline	64.7 (3.1) <sup>a</sup>		57.4 (3.1)		7.3 [-5.5; 20.2]
Week 8	74.3 (2.9) <sup>b</sup>	9.6 [2.9; 16.3]	61.5 (4.1)	4.1 [-13.5; 5.2]	12.8 [-1.7; 27.4]
Follow-up	75.4 (3) <sup>b</sup>	10.6 [3.6; 17.8]	61 (4.1)	3.6 [-12.7; 5.4]	14.3 [-0.3; 29.1]
<b>Yesavage Scale</b>					
Baseline	2.5 (0.4)		4.2 (0.5)		-1.7 [-3.7; -0.3] <sup>A</sup>
Week 8	2.4 (0.5)	-0.1 [-3.4; 1.4]	3.5 (0.5)	-0.7 [-0.9; 2]	-1.06 [-3.2; -1.]
Follow-up	2.4 (0.5)	-0.1 [-3.4; 1.4]	3.9 (0.6)	-0.3 [-1; 1.7]	-1.4 [-3.7; 0.9]
<b>TUG (s)</b>					
Baseline	11.2 (0.8)		14.7 (2.5)		-3.5 [-3.7; 2]
Week 8	11.4 (0.7)	0.2 [10.2; 12.5]	12.4 (0.8)	-2.3 [-3.3; 2]	-0.9 [-4.7; 0.5]
Follow-up	11.6 (0.7)	0.4 [10.2; 12.8]	13.4 (1.1)	-1.3 [-4.3; 1.3]	-2 [-5.9; 1.7]

SD: standard deviation, MD: mean difference (95% confidence interval), AE: Aquatic Exercises Group, EP: Educational Program Group, SF-36: Medical Outcome Study Short Form 36-item Health Survey, YESAVAGE: Yesavage Geriatric Depression Scale, TUG: Timed Up and Go Test, s: seconds, Intention-to-treat analysis; GEE: Generalized Estimating Equation analysis, a, b (lowercase letters): within group differences from baseline, *P* < 0.05, and A (uppercase letter): between groups differences, *P* < 0.05.

Figure 1. Flow Diagram.



## Appendix 1. Aquatic exercises protocol.

<b>1<sup>st</sup> and 2<sup>nd</sup> weeks</b>	<ul style="list-style-type: none"> <li>- Walking forward, side-to-side, and backward (3 min);</li> <li>- Patellar mobilization (2 min);</li> <li>- Passive stretching of the leg muscles: quadriceps, gluteus, adductors, and abductors of the hip, triceps surae, and hamstrings (5 min);</li> <li>- Isometric and dynamic exercises for quadriceps, gluteus, adductors, and abductors of the hip, triceps surae, and hamstrings (5 min);</li> <li>- Balance exercises: step-up, side, and down (5 min);</li> <li>- Proprioceptive exercises with water board (5 min);</li> <li>- Extension exercises with board in supine position (5 min);</li> <li>- Aerobic exercise with stationary running (20 min);</li> <li>- Massage on knee joints (5 min).</li> </ul>
<b>3<sup>rd</sup> and 4<sup>th</sup> weeks</b>	<ul style="list-style-type: none"> <li>- Walking forward, side-to-side, and backward with elastic band (3 min);</li> <li>- Patellar mobilization (2 min);</li> <li>- Active stretching of the leg muscles: quadriceps, gluteus, adductors, and abductors of the hip, triceps surae, and hamstrings (5 min);</li> <li>- Isometric and dynamic exercises with elastic band for quadriceps, gluteus, adductors, and abductors of the hip, triceps surae, and hamstrings (5 min);</li> <li>- Balance exercises: step-up, side, and down with elastic band (5 min);</li> <li>- Proprioceptive exercises with water board with eyes closed (5 min);</li> <li>- Extension exercises with board in prone position – swimming leg (5 min);</li> <li>- Aerobic exercise with aquatic bike (20 min);</li> <li>- Relaxation in supine position (5 min).</li> </ul>
<b>5<sup>th</sup> to 8<sup>th</sup> weeks</b>	<ul style="list-style-type: none"> <li>- Walking forward, side-to-side, and backward with elastic band (3 min);</li> <li>- Patellar mobilization (2 min);</li> <li>- Active stretching of the leg muscles: quadriceps, gluteus, adductors, and abductors of the hip, triceps surae, and hamstrings (5 min);</li> <li>- Isometric and dynamic exercises with elastic band for quadriceps, gluteus, adductors, and abductors of the hip, triceps surae, and hamstrings (5 min);</li> <li>- Balance exercises with step: kicks and squats (5 min);</li> <li>- Proprioceptive exercises with spaghetti (5 min);</li> <li>- Extension exercises with board in supine and prone position (5 min);</li> <li>- Aerobic exercise with deep-water running (20 min);</li> <li>- Massage on quadriceps and triceps surae (5min).</li> </ul>



1 **Acknowledgements:** The authors thank the employees of the Primary Health  
2 Care Unit “Vila Brasil” and the assistant pool manager of the Aquatic  
3 Physiotherapy Centre “Paulo A. Seibert”, Mr. Anselmo Borges, for their careful  
4 and valuable help during the study.

5  
6 **Funding:** This study was supported by the Araucaria Research Foundation  
7 PPSUS/SESA-PR/MS-Decit/CNPq (# 04/2013) and CNPq (National Council for  
8 Scientific and Technological Development) grants.

9  
10 **Contributors:**

11  
12 Ligia Facci and Jefferson Cardoso were responsible for conducting the RCT,  
13 mentoring the students, and managing the grants. Celita Trelha, Fernanda  
14 Melo, and Daniela Silva supervised the Education Program group at the  
15 Primary Health Care Unit. Marcelo Taglietti, Geovane Sawczuk, Thamires  
16 Ruivo, and Thaisley Souza conducted the physical examination, assessed the  
17 outcome measures, and carried out the exercises at the Aquatic Physiotherapy  
18 Centre “Paulo A. Seibert”. Chiarella Sforza mentored the PhD student Marcelo  
19 Taglietti at the Università degli Studi di Milano and, along with Jefferson  
20 Cardoso, was responsible for the statistical analysis.

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