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Published on: 01 Apr 2019 - Soil Biology & Biochemistry (Elsevier Limited)

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Effects of past and current drought on the composition and diversity of soil microbial communities

Reference:

Preece Catherine, Verbruggen Erik, Liu Lei, Weedon James T., Penuelas Josep.- Effects of past and current drought on the composition and diversity of soil microbial communities

Soil biology and biochemistry - ISSN 0038-0717 - 131(2019), p. 28-39

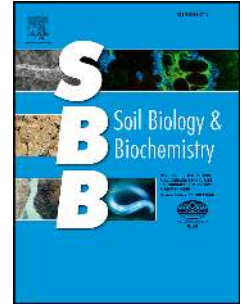
Full text (Publisher's DOI): <https://doi.org/10.1016/J.SOILBIO.2018.12.022>

To cite this reference: <https://hdl.handle.net/10067/1585220151162165141>

Accepted Manuscript

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PII: S0038-0717(18)30440-1

DOI: <https://doi.org/10.1016/j.soilbio.2018.12.022>

Reference: SBB 7374

To appear in: *Soil Biology and Biochemistry*

Received Date: 31 July 2018

Revised Date: 11 December 2018

Accepted Date: 20 December 2018

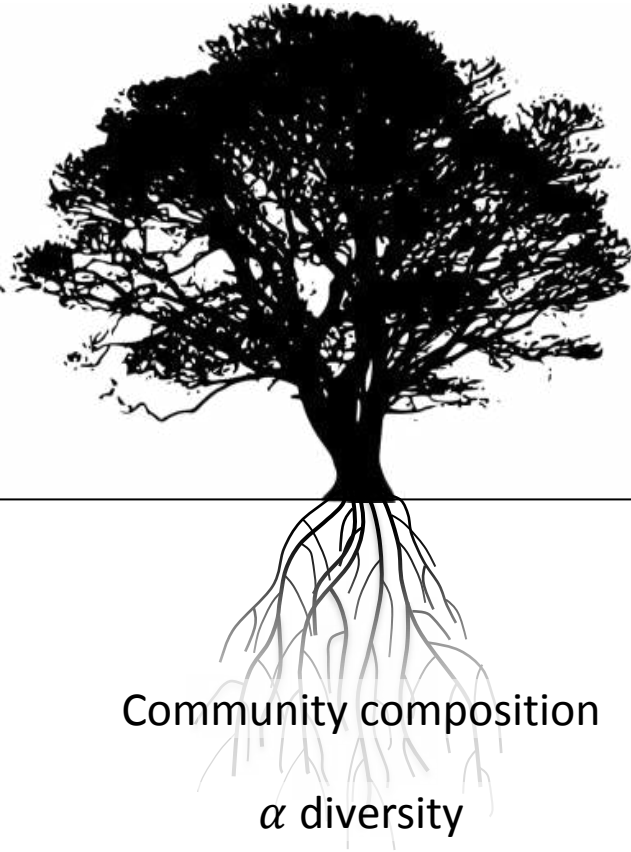
Please cite this article as: Preece, C., Verbruggen, E., Liu, L., Weedon, J.T., Peñuelas, J., Effects of past and current drought on the composition and diversity of soil microbial communities, *Soil Biology and Biochemistry* (2019), doi: <https://doi.org/10.1016/j.soilbio.2018.12.022>.

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HISTORICAL DROUGHT

Comparison between pre-droughted and control soils



CURRENT DROUGHT

Effect of current drought treatment

Bacteria

Fungi

Bacteria

Fungi



change

change

change

no change



Community composition

α diversity



Relative abundance in microbial biomass



IMPACT OF DROUGHT ON MICROBIAL COMMUNITY

1 **Effects of past and current drought on the composition and diversity of soil**
2 **microbial communities**

3

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18 **Keywords:** drought, legacy effect, fungal:bacterial ratio, Mediterranean, *Quercus ilex*.

19 **Abstract**

20 Drought is well known to have strong effects on the composition and activity of soil
21 microbial communities, and may be determined by drought history and drought duration, but
22 the characterisation and prediction of these effects remains challenging. This is because soil
23 microbial communities that have previously been exposed to drought may change less in
24 response to subsequent drought events, due to the selection of drought-resistant taxa. We set
25 up a 10-level drought experiment to test the effect of water stress on the composition and
26 diversity of soil bacterial and fungal communities. We also investigated the effect of a
27 previous long-term drought on communities in soils with different historical precipitation
28 regimes. Saplings of the holm oak, *Quercus ilex* L., were included to assess the impact of
29 plant presence on the effects of the drought treatment. The composition and diversity of the
30 soil microbial communities were analysed using DNA amplicon sequencing of bacterial and
31 fungal markers and the measurement of phospholipid fatty acids.

32 The experimental drought affected the bacterial community much more than the
33 fungal community, decreasing alpha diversity and proportion of total biomass, whereas
34 fungal diversity tended to increase. The experimental drought altered the relative abundances
35 of specific taxa of both bacteria and fungi, and in many cases these effects were modified by
36 the presence of the plant and soil origin. Soils with a history of drought had higher overall
37 bacterial alpha diversity at the end of the experimental drought, presumably because of
38 adaptation of the bacterial community to drought conditions. However, some bacterial taxa
39 (e.g. *Chloroflexi*) and fungal functional groups (plant pathogens and saprotrophic yeasts)
40 decreased in abundance more in the pre-droughted soils.

41 Our results suggest that soil communities will not necessarily be able to maintain the
42 same functions during more extreme or more frequent future droughts, when functions are

43 influenced by community composition. Drought is likely to continue to affect community
44 composition, even in soils that are acclimated to it, tending to increase the proportion of fungi
45 and reduce the proportion and diversity of bacteria.

46

47 **1. Introduction**

48 Drought is a serious problem in many parts of the world, and the impacts on plants, in both
49 natural and agricultural settings, are increasingly well documented. The effects of water stress
50 on soils and their associated biota, however, remain less certain, even though water stress
51 may be the most frequent environmental stress experienced by soil microorganisms (Schimel
52 et al., 2007), with demonstrated impacts on soil properties and microbial communities.

53 Severe and prolonged water stress, in the most extreme scenarios, leads to desertification but
54 may have important consequences for soil health much sooner. An estimated one fifth of the
55 Earth's soil is currently acutely degraded and showing declining productivity, often due to
56 drought-related phenomena (United Nations Convention to Combat Desertification, 2017).

57 Maintaining healthy soils is important because soils are fundamental for a wide range of
58 ecosystem services, including food security, nutrient cycling, timber production, and climatic
59 regulation. Moreover, the increasing research into belowground processes and plant-soil
60 interactions and the availability of methods for studying microbial communities have raised
61 interesting fundamental questions about the role of roots in mediating the effect of drought on
62 soil microbial communities.

63 Drought can directly affect microbes by desiccation or resource limitation, because
64 substrate diffusion is reduced at low levels of soil moisture (Schimel et al., 2007; Naylor and
65 Coleman-Derr, 2017). Drought experiments have reported decreases in microbial biomass
66 and activity (Hueso et al., 2012; Alster et al., 2013; Hartmann et al., 2017; Castaño et al.,

67 2018), reductions in carbon and nitrogen mineralisation (Hueso et al., 2012), and
68 accumulation of solutes, such as amino acids (in bacteria) and polyols (in fungi), which help
69 prevent dehydration but are energetically expensive (Schimel et al., 2007). Drought may also
70 have indirect effects, through interactions with plants, because plants can have species-
71 specific effects on rhizosphere microbiota mediated by rhizodeposits (Bergsma-Vlami et al.,
72 2005; Haichar et al., 2008; Bressan et al., 2009; Ladygina and Hedlund, 2010; Philippot et al.,
73 2013; Lareen et al., 2016). Plants may have a protective effect on microbes that live in or near
74 the rhizosphere, at least when normal root function can be maintained. The tolerance of a
75 plant species to drought can therefore be important for the soil community in the immediate
76 vicinity since the presence of a tolerant plant may modulate the impacts on soil.

77 Soil microbial communities vary greatly at all geographical scales, depending on
78 factors such as the chemical properties of the soil, the climate, and the plant community, but
79 some general factors associated with drought have been identified. For example, evidence
80 suggests that fungi are more tolerant than bacteria to water stress (Bapiri et al., 2010; Barnard
81 et al., 2015; de Vries et al., 2018), and Gram-positive bacterial lineages are generally more
82 drought resistant than Gram-negative lineages, perhaps due to their thicker cell walls
83 (Schimel et al., 2007). Some evidence suggests that among fungi, yeasts may have a high
84 tolerance to drought, because they tend to be more common in more extreme environments
85 and tend to reproduce by budding, which is generally a more stress tolerant strategy of
86 reproduction (Treseder and Lennon, 2015). Water stress may affect the taxonomic diversity
87 of microbial communities, and both decreases (Bouskill et al., 2013) and increases (Acosta-
88 Martínez et al., 2014) have been reported. Microbial communities with high diversity, and
89 particularly high functional diversity, may be more tolerant to drought (and to other
90 perturbations), but this tolerance is likely to be strongly associated with a range of biotic and

91 abiotic features of the soil (Griffiths and Philippot, 2013). Many uncertainties about the
92 response of microbial communities to water stress, though, remain.

93 Both soil microbial communities and droughts are highly variable, in both natural and
94 experimental environments. The impact of water stress on plants and soils can depend on the
95 timing or duration of the event or treatment (Hoover and Rogers, 2016; Mengtian et al.,
96 2018), the proportional change in water availability, and the historical precipitation regime
97 (Evans and Wallenstein, 2012; Bouskill et al., 2013). On this last point, soils that have
98 experienced drought events may demonstrate 'legacy effects' whereby the soil community
99 continues to show the impact of the drought for many years, and it may even modify the
100 response to a later drought (de Vries et al., 2012; Bouskill et al., 2013; Kaisermann et al.,
101 2017; Meisner et al., 2018). The impact of drought may also not elicit a linear response
102 (Knapp et al., 2017), meaning that any negative effect may decrease or increase as the
103 drought progresses, indicating acclimation or a 'tipping point', respectively. All this
104 variability adds to the difficulty of drawing conclusions about the impacts of drought on soil
105 microbes, and experiments are needed to test a more complex range of drought scenarios,
106 including multiple levels of drought intensity in space and time and investigating if and when
107 biologically relevant thresholds are exceeded (Beier et al., 2012).

108 The main objective of this study was to investigate the effect of increasing drought
109 intensity on the bacterial and fungal communities of a Mediterranean soil. We focused on a
110 holm oak (*Quercus ilex* L.) forest system, which is a predominant habitat throughout the
111 Mediterranean Basin where greater drought frequency and severity is predicted (Field et al.,
112 2014; Touma et al., 2015). We set up a greenhouse experiment with ten levels of drought and
113 then used DNA-based amplicon sequencing and lipid analyses to observe the effects on soil
114 bacterial and fungal communities in pots containing *Q. ilex* saplings. This experiment
115 allowed us to determine whether bacterial communities were more responsive than fungal

116 communities to drought and if we could identify changes in functional groups. We also
117 wanted to determine if the impacts of drought were mitigated or increased by the presence of
118 the plants and by the historical precipitation regime of the soil, and to determine the effect of
119 drought intensity. We hypothesised that: (1) drought would affect microbial community
120 composition, negatively affect diversity, and affect bacteria more than fungi, (2) the presence
121 of *Q. ilex* would decrease the impacts on the microbial communities, and (3) soils with a
122 history of drought would be more resistant to the drought treatment.

123

124 **2. Materials and methods**

125 **2.1. Plant and soil material**

126 A greenhouse experiment was established in May 2015 at the experimental fields of the
127 Autonomous University of Barcelona (Spain). The experiment comprised 180 pots of 3.5 l,
128 half of which (90 pots) were planted with three-year-old *Q. ilex* saplings (provided by
129 Forestal Catalana, Barcelona, Spain). The other 90 pots contained substrate only. The
130 substrate used in all pots consisted of 45% autoclaved peat (121°C for 60 mins), 45% sand,
131 and 10% natural soil inoculum. Soil was collected from a south-facing slope (25%) in a
132 natural holm oak forest in the Prades Mountains in northeastern Spain (41°13'N, 0°55'E; 930
133 m a.s.l.). This forest is the site of a long-term drought experiment that began in 1999 and
134 reduces precipitation throughfall by approximately 30% (Ogaya and Peñuelas, 2007). There
135 were three soil inocula, each with 60 replicates, which had different prior treatments: control,
136 pre-droughted, or pre-sterilised. We collected topsoil from the treatment plots of the long-
137 term drought experiment. Soil from the control plots was used as the inoculum for the
138 corresponding control soil in our experiment and was autoclaved (121°C for 60 mins) for use
139 in our pre-sterilised treatment, and soil from the drought plots was used as the inoculum in

140 our pre-droughted treatment. This pre-droughted soil allowed us to test for ‘legacy’ effects of
141 the long-term drought. The pre-sterilised treatment was incorporated in order to try to
142 separate the effects of the previous soil community from the drought and plant effects and
143 remove any potential idiosyncratic features of the historical soil community. The roots of the
144 *Q. ilex* saplings were carefully washed in tap water before transplantation to remove soil from
145 the previous potting mix, so that the soil communities were representative of the three new
146 soil treatments. Whilst complete removal of previous substrate and original rhizosphere
147 microbes was not possible without causing damage to the roots, the soil microbes in the bulk
148 soil would have been predominantly composed of those from the new inocula. All plants
149 were then allowed to adjust to the greenhouse environment for six weeks, receiving daily
150 watering (until the end of June 2015), after which they were top-watered every day with
151 amounts sufficient to maintain soil moisture at 20-25%.

152

153 **2.2. Experimental design**

154 The drought treatment consisted of ten levels of drought, applied by withholding water for 0,
155 2, 4, 7, 9, 11, 14, 16, 18, and 21 days. Each drought level therefore had 18 pots, divided into
156 six replicate blocks (Supplementary Material Fig. S1). Soil samples were collected at the end
157 of the drought period specific for each drought level. For pots with zero days of drought this
158 sampling occurred at the end of the six week acclimation period. A subset of 90 of these soil
159 samples were used for the DNA sequencing analysis, representing all drought levels and all
160 soil history and plant treatments. This included 13-15 replicates for each combination of soil
161 history × presence of *Q. ilex*. One sample was discarded for the bacteria and two for the fungi
162 (see Supplementary Material, Table S1 for full details of replication). Air temperature was
163 monitored throughout the experiment using an EL-USB-2 data logger (Lascar Electronics,
164 Wiltshire, UK) and had a mean of 26.7 °C. Soil temperature averaged 27.0 °C across the

165 three soil types (Decagon Em50 data logger with 5TM soil probes, Decagon Devices,
166 Pullman, USA) (Supplementary Material Fig. S2). Soil moisture in each pot was measured at
167 the start of the experiment and at the end of its drought period using an ML3 Theta Probe
168 connected to a HH2 Moisture Meter (Delta-T Devices, Cambridge, UK). Mean soil moisture
169 was 22.6% at the start of the experiment and decreased exponentially to 0.3% by the end of
170 the 21-day drought treatment, and this did not differ significantly between pots with plants
171 and those without (Supplementary Material Fig. S3).

172

173 **2.3. DNA library preparation and sequencing**

174 Total community DNA was extracted from approximately 0.25 g of soil using a PowerSoil
175 DNA Isolation Kit following the manufacturer's protocol (MoBio, Carlsbad, USA). The
176 hypervariable V3-V4 regions of the bacterial 16S rRNA gene was amplified using the 341F –
177 806R primer pair (Klindworth et al., 2013) modified to include Illumina adapter sequences.
178 Each 25 µl reaction mixture contained 1.5 µl of undiluted DNA extract, 1 µl each of the
179 forward and reverse primers (10 µM), and 12.5 µl of Phusion High Fidelity PCR Master Mix
180 with HF Buffer (ThermoFisher Scientific, Waltham, USA). Initial DNA concentration ranged
181 between 3.9-10.2 ng/µl and DNA concentration was not standardized prior to PCR. PCR
182 conditions were: initial denaturation at 98 °C for 30 s, followed by 30 cycles of denaturation
183 at 98 °C for 30 s, annealing at 55 °C for 30 s, and extension at 72 °C for 30 s, with a final
184 extension at 72 °C for 4 min. After confirming successful amplification by agarose gel
185 electrophoresis, PCR products were purified and normalized using Sequalprep plates
186 (Thermofisher, USA), and subject to a second indexing PCR such that each sample received a
187 unique combination of 6-nucleotide barcoded forward and reverse primers. The reaction
188 mixture was as above, and the PCR program was an initial step at 95°C for 30 s, 8 cycles of:
189 95°C for 30 s, 55°C for 30 s, 72°C for 30 s; and a final step of 72°C for 5 min. PCR products

190 were again purified and normalized with Sequalprep plates and pooled for sequencing. The
191 fungal ITS1 region was amplified in a one step approach using the primers ITS1f and ITS2
192 augmented with multiplexing barcodes (Smith and Peay, 2014). Each reaction mixture
193 contained 1 μ l of the DNA extract, 1 μ l of forward and reverse primers (10 μ M), 200 μ M
194 dNTP's, 1X GC buffer and 0.4 U of Phusion DNA polymerase. PCR conditions were: initial
195 denaturation at 98 °C for 30 s, followed by 40 cycles of 98 °C for 30 s, 55 °C for 30 s, and 72
196 °C for 30 s, and a final extension at 72 °C for 10 min. Samples that failed to produce a PCR
197 product were discarded, and PCR was repeated. Some fungal samples still failed to produce
198 usable PCR products and were excluded from further analyses, but this only represented three
199 samples out of 88 in total. Fungal PCR products were also purified and normalized with
200 Sequalprep plates, and additionally extracted from a 1.5 agarose gel for size selection
201 (approximately 200-500 bp which covers the entire range of length variation in the fungal
202 ITS1 region) and to remove primer dimers. Then they were additionally purified using a
203 QIAquick Gel Extraction Kit (Qiagen, Venlo, The Netherlands). Both fungal and bacterial
204 libraries were quantified with real-time PCR using KAPA Library Quantification Kits (Kapa
205 Biosystems, Wilmington, USA) to determine dilution factors for the sequencing protocol.

206 The libraries were sequenced on the Illumina MiSeq platform (Illumina Inc., San
207 Diego, USA), with 2 x 300 cycles (V3 chemistry) for forward and reverse reads for bacteria,
208 and 300 cycles (V2 chemistry) in the forward direction only for fungi. The reproducibility of
209 sample preparation and sequencing was tested by sequencing a small number of technical
210 replicates (DNA isolated from the same samples but subjected to independent PCR reactions
211 with distinct primer barcodes).

212

213

214

215 2.4. Quality filtering and bioinformatic analysis

216 The initial bioinformatic analysis of bacterial sequences used USEARCH software (Edgar,
217 2013). Merging of paired-end reads was attempted, but low quality basecalls in the tail of
218 both read directions precluded satisfactory merging. We therefore proceeded with separate
219 parallel analyses of the forward and reverse reads (after truncating to 180bp and 150 bp
220 respectively). Both reads gave qualitatively very similar results, so we focus hereafter on the
221 longer forward read data. After primer removal sequences were filtered by quality leaving a
222 total of 2.4 M high-quality sequences. Replicate and singleton sequences were removed, and
223 a set of representative sequences of operational taxonomic units (OTUs) (97% similarity) was
224 constructed using the UPARSE-OTU algorithm (Edgar, 2013). Chimeras were removed
225 (leaving 11890 non-chimeric OTUs), and all original reads were mapped to the non-chimeric
226 OTUs using the USEARCH algorithm with global alignments at an identity threshold of 0.97,
227 yielding an OTU table. All subsequent steps used QIIME (Caporaso et al., 2010b). OTUs
228 were aligned using the PyNAST algorithm (Caporaso et al., 2010a) and the Green Genes
229 database (release 13_8, DeSantis et al., 2006) as a template alignment. A subsampled OTU
230 table was created by randomly sampling the original OTU table to avoid artefacts associated
231 with library size. Samples that contained fewer sequences than the requested depth (2480)
232 were omitted from the output OTU tables. Each OTU was taxonomically identified based on
233 the 97% Green Genes database (release 13_8) using the RDP classifier (Wang et al., 2007).

234 Fungal sequences were analysed using USEARCH following the UPARSE pipeline
235 (Edgar, 2013). The sequences were trimmed to 250 bp and filtered for quality (maximum
236 expected error of 0.5), leaving a total of 9.79M sequences. While we only sequenced the
237 forward reads, in some instances (sequences shorter than 250 bp) the reverse primer is found
238 at the end of the forward reads and these were removed. Then, Ns were added up to 250 bp
239 for efficient clustering of the OTUs. Singleton sequences were removed, and all others were

240 clustered to 97% similarity. Chimeras were filtered de novo and through the UNITE database
241 of ITS1 sequences implemented in UCHIME, leaving a total of 3,323 non-chimeric OTUs,
242 after which original sequences were mapped against these OTUs at a similarity threshold of
243 97% and assembled in an OTU table. Representative sequences for each OTU were aligned
244 to all fungal representative species in the UNITE database (Kõljalg et al., 2005) (release date
245 20.11.2016) using the BLAST algorithm with default settings. The resulting hits were
246 assigned to taxa, selecting the hit with the lowest E-value, provided it had a minimum E-
247 value of 1×10^{-36} and a minimum alignment length of 75 bp. OTUs were subsequently
248 assigned to functional groups if a genus was provided for the highest hit, and if it matched
249 one of the genera with known lifestyles provided by Tedersoo et al. (2014). When the genus
250 level was unknown, lifestyle was assigned at the family level if >80% of the genera within
251 that family (represented by more than three genera) had the same lifestyle. As for bacteria,
252 the OTU table was downsampled, to 12,332 reads per sample.

253

254 **2.5. Analysis of phospholipid fatty acids**

255 We used the amounts of phospholipid fatty acids (PLFAs) to quantify microbial biomass and
256 the ratio of fungal to bacterial biomass. PLFA extraction and identification followed
257 Frostegård et al. (1993), using 1 g of freeze-dried soil from each replicate. The abundance of
258 individual fatty acids was determined as nmol per g of dry soil, and standard nomenclature
259 was used (Tunlid et al., 1989). Concentrations of each PLFA were calculated based on the
260 19:0 internal standard concentrations. Selection of bacterial PLFAs follows the selection of
261 fatty acids by Frostegård and Bååth (1996), of which i14:0, i15:0, a15:0, i16:0, 16:1 ω 7c,
262 a17:0, i17:0, cy17:0, 18:1 ω 7, and cy19:0 were present in our samples. We calculated the sum
263 of i14:0, i15:0, a15:0, i16:0, a17:0, and i17:0 as an indicator of Gram-positive bacteria.
264 Gram-negative bacteria were identified by the PLFAs 16:1 ω 7c, cy17:0, 18:1 ω 7, and cy19:0

265 (Zelles, 1999). The fungi were identified by 18:2 ω 6 (Frostegård et al., 1993; Frostegård et al.,
266 2011). The ratio of 18:2 ω 6 to total bacterial PLFAs was used to estimate the ratio of fungal to
267 bacterial biomass in soils (Bardgett et al., 1996; Frostegård and Bååth, 1996).

268

269 **2.6. Statistical analyses**

270 All statistical analyses were carried out using R v3.4.1 (R Core Team, 2016). The data for the
271 fungal and bacterial communities were log-transformed relative abundance of each OTU
272 within a sample. Non-metric multidimensional scaling (NMDS) plots (using Bray-Curtis
273 distance with the *metaMDS* function in *vegan*) were constructed to visualize the separation
274 between treatments. A permutational multivariate analysis of variance (PERMANOVA) was
275 used to assess the effects of drought, soil history, and presence of a plant on the microbial
276 communities, using the *adonis2* function in the *vegan* package. Block was included as a
277 random factor, and 3000 permutations were used. This used Bray-Curtis dissimilarity indices
278 generated by the *vegdist* function (also from *vegan*). A pair-wise PERMANOVA analysis
279 was used to test for significant differences between pairs of factor levels (Martinez Arbizu,
280 2017). We also carried out an NMDS analysis and PERMANOVA with the pre-sterilised soil
281 removed, to check that this sterilised soil was not driving all of the significant soil history
282 effect, and of the non-droughted pots only to assess the differences in the soil communities
283 under ambient water conditions.

284 Shannon diversity (H) and Simpson index were calculated as a measure of community
285 alpha diversity (later referred to simply as community diversity) using the *diversity* function
286 (in *vegan*) and analysed using linear mixed effects models (*lme* function in the *nlme* package)
287 with drought intensity, soil history and plant-presence as predictor variables, and block as a
288 random factor. The change in community diversity following drought (ΔH) was calculated
289 for each soil and plant treatment as $(H_{dmax} - H_{con})/H_{con} * 100$, where H_{con} is the mean Shannon

290 diversity under normal water conditions (zero days of drought), and H_{dmax} is the mean
291 Shannon diversity under the most extreme drought level (21 days of drought). Unfortunately,
292 due to a lack of paired samples for H_{con} and H_{dmax} , only means per treatment combination
293 could be calculated, and not standard errors. Also, a two sample *t*-test was done to compare
294 the mean Shannon diversity of the bacteria and fungi communities under control conditions
295 compared with the most extreme drought.

296 The relative abundance of bacterial phyla and classes with more than 2% mean
297 relative abundance, and fungal functional groups with more than 1.5% mean relative
298 abundance was analysed using linear regression, with drought intensity, soil history and
299 plant-presence as predictor variables. PLFA data indicating total microbial abundance was
300 analysed using a linear mixed-effects model (*lme* function in the *nlme* package) with block as
301 a random factor. The fungal:bacterial ratio and the ratio of Gram-positive to Gram-negative
302 bacteria were analysed with a generalised linear model with a quasi-binomial distribution.

303 In all analyses except relative abundance of taxa, drought was analysed both as a
304 continuous and a categorical (non-ordinal) variable with levels grouped as control (0 days of
305 drought), low-level drought (2-7 days of drought), mid-level drought (9-14 days of drought),
306 and high-level drought (16-21 days of drought). These groups were selected in order to
307 equally divide the drought treatments, each spanning a 6-day period. Results are usually
308 shown with drought as a continuous variable, unless otherwise stated, in which case it is due
309 to a non-linear relationship between the dependent variable and drought, specifically for
310 Shannon diversity for fungi. In all cases, the *P*-values shown are the result of an ANOVA
311 (*Anova* function in the *car* package) with type III sums of squares.

312

313

314

315 3. Results

316 3.1. Initial differences in the soil communities

317 The NMDS analysis suggested that soil history and the presence of the plant affected the
318 composition of the bacterial community before the start of the drought treatment (after six
319 weeks of acclimation) (Fig. 1a, Fig. 1b, Table 1; PERMANOVA, soil history effect, $P <$
320 0.001 , pseudo $R^2 = 0.25$, plant effect, $P < 0.001$, pseudo $R^2 = 0.16$). Note that the soil effect
321 was driven by the strong difference between the pre-sterilised soil and the control and pre-
322 droughted soils (Pairwise PERMANOVA, $P < 0.05$), with no significant difference between
323 the latter two soils. The presence of *Q. ilex* did not affect the fungal community (Fig. 1c), but
324 soil history did, with the pre-sterilised soil clearly separated from the control and pre-
325 droughted soils (Fig. 1d; PERMANOVA, soil history effect, $P < 0.001$, pseudo $R^2 = 0.51$).

326 The diversity of the bacterial community measured by the Shannon index was
327 affected by an interaction between the soil history effect and the presence of the plant (Table
328 1; significant interaction, $\chi^2 = 29.5$, $P < 0.001$) such that the pre-sterilised soil had lower
329 diversity than the other soils, but only in the absence of the plant (Supplementary Material,
330 Fig. S4a). The Simpson index showed a very similar pattern, again with a significant
331 interaction between plant and soil history (Table 1; significant interaction, $\chi^2 = 21.9$, $P <$
332 0.001), again with the lowest value for pre-sterilised soil without the plant (Supplementary
333 Material, Fig. S5a).

334 Soil history, but not the plant, affected the diversity of the fungal community.
335 Diversity of the fungal community was higher in the pre-droughted than the pre-sterilised soil
336 (Table 1; $\chi^2 = 11.9$, $P < 0.01$), with the control soil intermediate, and the diversity was 14.5%
337 higher in the pre-droughted than the control soil, but not significantly higher (Supplementary
338 Material, Fig. S4b). The Simpson index showed a similar pattern (Supplementary Material,

339 Fig. S5b) although with an interaction between soil history and plant (Table 1; $\chi^2 = 9.3$, $P <$
340 0.01), so that the pre-droughted soil without *Q. ilex* had lower diversity than the control soil
341 with *Q. ilex* present.

342 343 **3.2. Microbial abundance**

344 The fungal:bacterial ratio increased with experimental drought duration ($\chi^2 = 10.1$, $P < 0.01$)
345 and was also higher in pots containing only soil than those containing *Q. ilex* ($\chi^2 = 34.2$, $P <$
346 0.001) (Fig. 2a). Soil history had no effect on the fungal:bacterial ratio. Drought had no effect
347 on total microbial biomass, but soil history significantly interacted with the presence of *Q.*
348 *ilex* in the pots containing only soil ($\chi^2 = 24.3$, $P < 0.001$). In the absence of *Q. ilex*, microbial
349 biomass was higher in the control and pre-droughted soils than the pre-sterilised soil.
350 However, microbial biomass was lower in the pre-droughted than the control soils when *Q.*
351 *ilex* was present, with intermediate biomass in the pre-sterilised soil (Fig. 2b). The ratio of
352 Gram-positive:Gram-negative bacteria decreased as drought duration increased ($\chi^2 = 5.5$, $P <$
353 0.05) and was affected by soil history ($\chi^2 = 21.3$, $P < 0.001$), being lower in the pre-sterilised
354 soil and in soils without *Q. ilex* ($\chi^2 = 11.5$, $P < 0.001$) (Supplementary Material Fig. S6).

355

356 **3.3. Composition of the microbial community during the drought experiment**

357 Drought had a strong impact on the bacterial community ($P < 0.001$) but not the fungal
358 community (Table 2, Fig. 3). The result was almost identical when drought was grouped into
359 the four categories (control and low-, mid-, and high-level drought, Table 2), except for an
360 interaction between drought and the presence of *Q. ilex* for the bacterial community (Table 2;
361 $P < 0.05$). Although, note that the analysis without the pre-sterilised soil revealed an effect of
362 drought ($P < 0.05$) on the fungal community when drought was considered as a categorical
363 variable (Supplementary Material, Table S2). Differences between each pair of categorical

364 drought levels are shown in Supplementary Material (Table S3). Soil history was a significant
365 driver of both the bacterial and fungal communities ($P < 0.001$), and there were significant
366 differences (Pairwise PERMANOVA, $P < 0.01$) between all pairs of the three groups of soil
367 history (Table S4). This significant effect of soil history was maintained even when the pre-
368 sterilised soil treatment was removed from the analysis (Supplementary Material, Table S2),
369 however note that the R^2 value decreases from 0.17 to 0.05, suggesting that the pre-sterilised
370 soil drives much of this effect. The presence of *Q. ilex* was important for bacteria ($P < 0.001$)
371 and fungi ($P < 0.001$). Soil history also influenced the effect of plant presence (there was a
372 significant interaction) on both bacteria and fungi community composition (Table 2, Fig. 3),
373 although for fungi this interaction was not seen when the pre-sterilised soil was removed
374 from the analysis (Supplementary Material, Table S2).

375

376 **3.4. Diversity of the microbial community during the drought experiment**

377 Drought duration had a negative effect on the diversity of the bacterial community (Fig. 4a,
378 Fig. S7a; Shannon diversity, $\chi^2 = 9.0$, $P < 0.01$, Simpson index, $\chi^2 = 6.2$, $P < 0.05$) but with
379 no interaction with either soil history or the presence of *Q. ilex* (Table 3). The three soils also
380 had different diversities, in the order pre-droughted > control > pre-sterilised (Shannon
381 diversity, $\chi^2 = 12.7$, $P < 0.01$), and the effect of soil history was modified by the presence of a
382 plant, with a strong plant effect increasing diversity in the pre-sterilised soil (Table 3, Fig. 4b,
383 Fig. S7b; Shannon diversity, $\chi^2 = 37.6$, $P < 0.001$, Simpson index, $\chi^2 = 19.4$, $P < 0.001$).

384

385 Drought had a positive effect on fungal Shannon diversity as a continuous variable ($\chi^2 = 3.9$,
386 $P < 0.05$), but this effect was even greater when drought was separated into discrete groups
387 (control and low-, mid-, and high-level droughts) ($\chi^2 = 21.6$, $P < 0.001$), with the low- and

388 high-level groups having higher Shannon diversities than the control group (Fig. 5a). This
389 same pattern was shown for Simpson index (Table 3, Fig. S8a; $\chi^2 = 19.0$, $P < 0.001$). Drought
390 duration did not interact with either the presence of *Q. ilex* or soil history for fungi (Table 3).
391 Fungal diversity was generally lower in the pre-sterilised soil than the control and pre-
392 droughted soils (Shannon diversity, $\chi^2 = 15.4$, $P < 0.01$), and soil history interacted with the
393 presence of *Q. ilex*, with a larger positive effect of *Q. ilex* in the pre-sterilised soil (Fig. 5b,
394 Fig. S8b; Shannon diversity, $\chi^2 = 9.0$, $P < 0.05$, and Simpson index, $\chi^2 = 13.6$, $P < 0.01$).

395 For bacterial communities, all combinations of soil history and plant-presence showed
396 a decline in Shannon diversity between the control (0 days drought) treatment and the most
397 extreme (21 days) drought treatment (a negative ΔH). Overall, for bacteria, a *t*-test on H in
398 the control and the extreme drought treatments showed that the difference between Shannon
399 diversity in the control and most extreme drought treatment was not significantly different
400 (Table 4; $t = 1.72$, $P = 0.10$). In contrast, fungal Shannon diversity tended to increase between
401 the most extreme drought treatment and the control drought level (a positive ΔH), by up to
402 35% in one case, although in the case of soil with a history of previous drought and with
403 presence of *Q. ilex* Shannon diversity decreased slightly. Overall, for fungi, a *t*-test did not
404 reveal a significant difference between the two groups (control – 0 days drought – and most
405 extreme drought – 21 days drought) ($t = -1.88$, P -value = 0.07).

406

407 3.5. Microbial taxonomic composition

408 Overall, the most abundant bacterial phyla were *Proteobacteria* (36.0% of amplicon reads),
409 *Actinobacteria* (18.5%), *Bacteroidetes* (13.4%), and *Verrucomicrobia* (6.4%). Other phyla
410 that comprised a substantial (>2%) amount of the bacterial community were *Planctomycetes*
411 (5.0%), *Chloroflexi* (4.8%), *Acidobacteria* (4.5%), and *Firmicutes* (2.9%). Drought affected

412 most of these phyla (Table S5), and there were often interactions with either the plant-
413 presence or soil history. *Actinobacteria* and *Planctomycetes* abundance increased with
414 drought whereas *Proteobacteria* abundance was negatively correlated with drought duration.
415 For *Bacteroidetes* there was a negative correlation with drought in control soil, and for
416 *Chloroflexi* abundance increased with drought in control soil but decreased with drought in
417 pre-droughted soil.

418 Twelve bacterial classes were present at >2%: *Alphaproteobacteria* (17.5%),
419 *Actinobacteria* (11.4%), *Betaproteobacteria* (6.9%), *Gammaproteobacteria* (6.4%),
420 *Saprosirae* (5.6%), *Thermoleophilia* (5.5%), *Cytophagia* (5.3%), *Deltaproteobacteria*
421 (5.1%), *Planctomycetia* (3.3%), *Opitutae* (3.0%), *Bacilli* (2.8%), and *Anaerolineae* (2.6%).
422 Again, the drought treatment affected most classes, but the effect was highly variable and
423 depended on the presence of *Q. ilex* and soil history (Table S6). A large proportion of the
424 fungal OTUs (45.8%) were unidentified, but filamentous saprotrophic fungi were the most
425 abundant functional group (48.3%), followed by plant pathogens (2.5%) and saprotrophic
426 yeasts (1.9%) (Table S7). Other functional groups at lower proportions (< 1.5%) of the total
427 abundance included mycoparasites, ectomycorrhizal fungi, and saprotrophic white-rot fungi.

428

429 4. Discussion

430 The impact of various drought scenarios on soil and rhizosphere microbes remains uncertain
431 and is likely to depend on soil and plant properties. Few previous studies have measured the
432 response of soil microbial communities to more than two levels of drought, a knowledge gap,
433 which our study aimed to address. The experimental design allowed us to determine the
434 effect of drought on microbial communities in detail, both as a continuous variable, to
435 observe general trends, and grouping the drought levels, to increase replication in separate

436 groups and allow comparisons between drought intensities. Our results have demonstrated
437 that bacteria and fungi can have complex responses to water stress that vary with the intensity
438 of the drought as well as soil history and presence or absence of plants.

439

440 **4.1. Differences in the soil communities without drought**

441 Our analysis of pots in the zero days of drought (control) treatment, which were sampled after
442 six weeks of acclimation in the greenhouse, revealed that *Quercus ilex* plants impacted
443 bacterial community composition and had a positive effect on bacterial diversity but did not
444 affect the composition or diversity of the fungal community. This result may indicate either a
445 larger influence of the rhizosphere (e.g. root exudation) on bacteria than fungi, or that fungi
446 community composition responds more slowly due to their longer generation times (Rousk
447 and Bååth, 2011). Consistent with this supposed relative inertia of fungal communities,
448 previous soil history was found to have had a strong influence on the fungal community,
449 which may have masked any effect of the plant. Indeed, soil history clearly separated both the
450 bacterial and fungal communities in the sterilised soil from those in the control and pre-
451 droughted soils. This showed that the sterilisation treatment successfully removed most of the
452 bacteria and fungi present in the soil, and that the new microbial communities that colonised
453 the soil were different than the original ones. Soil history also affected the diversity of
454 bacteria and fungi in pots with zero days of drought. Bacterial community diversity was
455 higher in pre-droughted and control soils compared with sterilised soil, but only in the
456 absence of *Q. ilex*, indicating that the presence of a plant can quickly restore bacterial
457 diversity. This is supported by previous studies that have shown the importance of plants for
458 increasing bacterial diversity and richness, such as during habitat restoration after

459 contaminated soil (Yin et al., 2000; Harris, 2003). Fungal diversity was higher for the pre-
460 droughted than the pre-sterilised soil (but did not differ from the control).

461

462 **4.2. Impact of drought on microbial-community composition**

463 The drought treatment generally had no impact on total microbial biomass, which may seem
464 surprising, but previous studies have also reported mixed results. For example, an
465 experimental drought treatment actually increased microbial biomass in a mountain meadow
466 (Fuchslueger et al., 2014), hypothesised to be due to the continuation of carbon inputs from
467 plants during the drought, especially to fungi. Similarly, a study of two grasses and one
468 leguminous species recorded higher microbial biomass under drought when plants were
469 grown in mixtures and variable trends under monocultures (Sanaullah et al., 2011), and a 10-
470 month throughfall-exclusion experiment in a tropical forest found no effect on microbial
471 biomass (Bouskill et al., 2013). Others studies, however, have reported a decrease in
472 microbial biomass linked to lower soil water content, such as in a six-year drought
473 experiment in a semiarid forest (Bastida et al., 2017), in a short-term experiment without
474 plants (Chowdhury et al., 2011), and in a hardwood forest correlating natural variation in soil
475 moisture with soil microbial biomass (Baldrian et al., 2010). Importantly, total microbial
476 biomass in our study was lower in the pre-droughted than the control soils when the plant was
477 present, providing evidence that the long-term drought conditions damaged the soil microbial
478 community, rather than led to acclimation as is sometimes hypothesised. Soils with an
479 associated plant community represent a more realistic scenario than a bare, non-vegetated
480 soil, and this result may indicate that only a small proportion of the soil microbiota are able to
481 adapt to the drought conditions (Kaisermann et al., 2017).

482 Drought duration had a significant effect on the fungal:bacterial ratio, which increased
483 with increasing drought. This result is broadly consistent with the majority of previous
484 studies on this topic (Bapiri et al., 2010; Barnard et al., 2015). It is likely attributable to the
485 chitinous cell walls of fungi, which should increase their resistance to environmental
486 fluctuations, such as water stress (Holland and Coleman, 1987), and fungal hyphal growth
487 (which most bacteria do not have) allowing them to cross small areas of dry soil (Yuste et al.,
488 2011). Trends in fungal versus bacterial dominance, however, are variable and may depend
489 on the trait measured (e.g. biomass or growth) and the method used (Strickland and Rousk,
490 2010). Drought also decreased the ratio of Gram-positive:Gram-negative bacteria, which was
491 surprising because Gram-positive bacteria are typically more drought resistant (Schimel et
492 al., 2007). This trait, however, may be linked to the increase in root exudation by *Q. ilex*
493 during increasing drought (Preece et al., 2018), because Gram-negative bacteria preferentially
494 consume this type of labile carbon source, whereas Gram-positive bacteria tend to consume
495 more recalcitrant C sources (Balasooriya et al., 2014; Naylor and Coleman-Derr, 2017).

496 In addition to reducing the proportion of bacterial biomass compared to fungi, drought
497 also clearly affected the composition of the bacterial community. Drought increased the
498 relative abundance of *Actinobacteria*, supporting previous studies that also reported this same
499 pattern in a range of soils and in the rhizosphere and endosphere of various plant species
500 (Bouskill et al., 2013; Nessner Kavamura et al., 2013; Naylor and Coleman-Derr, 2017). This
501 increase in abundance may be due to the ability of *Actinobacteria* to form spores, which
502 would allow them enter a dormant state during periods of environmental stress, such as
503 drought (Naylor and Coleman-Derr, 2017; Taketani et al., 2017).

504 The comparison of community compositions did not reveal an overall impact of
505 drought on fungal-community composition, but drought affected specific functional groups,
506 although these effects depended on soil history. For example, the relative abundance of plant

507 pathogens increased during drought in the control soils but decreased in the pre-droughted
508 and pre-sterilised soils. *Phytophthora* diseases such as *P. cinnamomi* are generally favoured
509 on soils where drainage is impeded (Desprez-Loustau et al., 2006) and have been shown to
510 increase in soils during adverse climatic conditions (such as drought or waterlogging) due to
511 host plants becoming less stress resistant, allowing a build up of pathogens in the soil
512 (Brasier, 1996; de Sampaio e Paiva Camilo-Alves et al., 2013). However, contrary to our
513 findings, most soil pathogens are thought to be favoured by wetter soils (Cook and
514 Papendick, 1972).

515 In addition, the relative abundance of yeasts was positively correlated with drought
516 duration in the pre-sterilised soil but decreased in the pre-droughted soil and did not change
517 in the control soil. This result suggests a complex network of interactions between
518 environmental factors (in this case water stress) and the pre-existing soil community, where a
519 difference in the history of the soil can generate variation in the subsequent response of
520 fungal community composition to perturbation. Previous research has predicted a potential
521 increase in yeasts under future drought scenarios, as they tend to be found in more stressful
522 environments (Treseder and Lennon, 2015).

523

524 **4.3. Impact of drought on microbial-community diversity**

525 The experimental drought generally had a negative effect on bacterial-community alpha
526 diversity (Shannon H and Simpson index), which has also been reported in a previous
527 drought study (Bouskill et al., 2013), although diversity is generally not affected (Bachar et
528 al., 2010; Acosta-Martínez et al., 2014; Naylor and Coleman-Derr, 2017; Tóth et al., 2017).
529 Drought had positive effects on fungal diversity, specifically in the low- and high-level
530 drought treatments compared to the control. Previous studies have reported higher fungal

531 diversity under drought (Acosta-Martínez et al., 2014; Schmidt et al., 2018) and may indicate
532 a higher tolerance of these organisms to drought, which would allow them to thrive if bacteria
533 are negatively affected.

534 In addition to the impact of drought on diversity, we noticed a clear pattern in the
535 effect of plants and soil. Not surprisingly, both bacterial and fungal diversity were lower in
536 the pre-sterilised soil than the other two soil histories, as communities in pre-sterilised soil
537 were much more recently created, with only a short time for colonisation of microorganisms.
538 Bacterial diversity was higher in the pre-droughted soil, indicating a positive effect of the
539 past precipitation regime. This agrees with a previous study that also found a positive legacy
540 effect of previous drought on bacterial diversity in a tropical forest soil (Bouskill et al., 2013).
541 The presence of the plant was very beneficial in augmenting both bacterial and fungal
542 diversity in the soil with the lowest diversity (pre-sterilised soil). This was almost certainly
543 due to the presence of microbes on the roots of the saplings at planting, which would have
544 had much more of an impact in this soil history type. Plant presence has been shown to have
545 beneficial effects on bacterial diversity, such as in a previous study in a semiarid shrub
546 system which found higher Shannon index, evenness and richness under the two shrub
547 species than under bare soil (Hortal et al., 2015).

548 When interpreting the effects of drought duration on the measured responses it is
549 important to note that samples for different drought duration treatments were taken at
550 different time points. It is therefore possible that underlying temporal dynamics, perhaps
551 related to disturbance during soil sampling, and therefore unrelated to the treatment, could be
552 causing the observed patterns. However, given the extended pre-incubation and stabilization
553 period of six weeks prior to the initiation of drought, and the fact that disturbance effects on
554 microbial community in incubations attenuate over relatively short time periods (e.g. Weedon
555 et al., 2013) we consider it unlikely that such temporal dynamics would be as large as drought

556 effects. It is also important to note that the interpretation of alpha diversity measures from
557 amplicon data can be problematic due to potentially spurious OTUs and the possibility of
558 some taxa falling under the detection limit due to incomplete sampling. These results should
559 therefore be considered as preliminary and be used as the basis for more detailed future
560 studies.

561

562 **4.4. Are bacteria more affected than fungi by drought?**

563 Drought tended to affect the community composition of bacteria more than fungi, and the
564 proportion of bacterial biomass compared with fungal biomass decreased under water stress,
565 even though total microbial biomass was unaffected by drought. Taken together, this could
566 suggest important changes in the future functioning of the bacterial community in soils
567 exposed to water stress, for example relating to carbon and nutrient cycling (Schimel et al.,
568 2007; Frank et al., 2015). During drought (in water-limited areas) decomposition rates slow,
569 leading to a build up in soil organic matter (SOM) and lower N mineralisation (Borken and
570 Matzner, 2009; Larsen et al., 2011; van der Molen et al., 2011; Sanaullah et al., 2012;
571 Nguyen et al., 2018). The lack of strong effects of drought on fungal-community composition
572 may be due to different responses being found depending on the strength of the drought, thus
573 a lack of a clear unidirectional pattern: fungal diversity increased under low- and high-level
574 drought but not mid-level drought. Soil bacteria are more abundant than fungi, so fungi may
575 tend to take advantage of gaps where and when they can, resulting in a less standardised and
576 more idiosyncratic response to disturbance. For example, we could speculate that under low
577 drought there is some release of competition with bacteria, but during mid-level drought this
578 is offset by inhibitive effects, such as low substrate diffusion rates and energetically
579 expensive solute accumulation (Schimel et al., 2007). During extreme drought, some fungi

580 will benefit from being able to consume necromass, and this may especially favour fungi if
581 there is an increase in the C:N ratio of this dead mass (Moore et al., 2004) which can happen
582 under drought (Crowther et al., 2015). In addition, the bacterial community is able to respond
583 much quicker than fungi to the experimental conditions, thus it could be that bacteria are also
584 more rapidly affected by the imposed drought, and such responses are slower to be seen in
585 fungi. This would fit with previous studies that have found that soil bacterial activity was
586 more responsive to soil water content than fungal activity (Bell et al., 2008) and that changes
587 to bacterial communities under drought were longer lasting than for fungal communities (de
588 Vries et al., 2018). However, it is difficult to generalise across other systems, and there is
589 some evidence indicating that fungi are more sensitive than bacteria to smaller changes in soil
590 moisture (Kaisermann et al., 2015) with some cases where fungal abundance was more
591 greatly reduced by drought (Cregger et al., 2012).

592

593 **4.5. Does the presence of *Q. ilex* lessen the impacts of drought on microbial** 594 **communities?**

595 Previous studies have reported strong links between above and belowground communities,
596 and high plant diversity tends to increase soil microbial biomass and activity due to inputs of
597 organic matter and the regulation of soil moisture (Zak et al., 2003; Lange et al., 2015;
598 Thakur et al., 2015). The presence of plants can also shield microbial communities from the
599 impacts of drought (de Vries et al., 2012) and deep roots may act as moisture hotspots during
600 dry seasons (Castaño et al., 2018). We hypothesised that the presence of *Q. ilex* would be
601 beneficial for microbes (e.g. total microbial biomass), because plants are a source of carbon
602 inputs, such as root exudates and litter that provide a readily available energy source for
603 many microorganisms (Dennis et al., 2010). Indeed, a recent study of root exudation by our

604 study species, *Q. ilex*, under the same experimental conditions found that the exudation of
605 carbon increased during drought (Preece et al., 2018). Additionally, a review summarising
606 published drought impacts on root exudation in a range of species and with various
607 experimental methods found that carbon inputs tend to increase under drought, although this
608 effect may decrease or reverse under very severe drought (Preece and Peñuelas, 2016). The
609 impact of water stress on the overall composition and diversity of both bacteria and fungi in
610 our current study, however, was not affected by the presence of *Q. ilex*. Whilst we must be
611 careful about the conclusions drawn from amplicon data about alpha diversity, these results
612 suggest that any protective effect of the presence of the plant on microbial diversity would be
613 very minor, especially in comparison to other factors such as water stress or soil history.

614 Water is so limiting to microbial growth (Manzoni et al., 2011) that any positive
615 effect of the plant under drought conditions of more than a few days was likely minimal.
616 Although without measurements of water potential this is hard to confirm. An increase in
617 carbon inputs to the soil by plants also likely only affects a very isolated area near the roots
618 (Dennis et al., 2010), and these inputs cannot easily diffuse in dry soil to be able to influence
619 a large proportion of the soil microbes. An additional consideration is that the length of this
620 experiment may not have been sufficient for demonstrating the full impacts on fungi, which
621 have relatively slow growth compared to bacteria. For example, bacterial turnover time tends
622 to be days to weeks, whereas fungal turnover is usually weeks to months (Rousk and Bååth,
623 2011). The complexity of the microbial community may also mask some impacts that affect
624 some groups of the community. Many specific bacterial and fungal taxa showed an
625 interaction between the drought treatment and the presence of *Q. ilex*, although the direction
626 of the responses was not consistent. For example, *Gammaproteobacteria* relative abundance
627 was negatively correlated with increasing drought when *Q. ilex* was absent, but there was no

628 correlation when *Q. ilex* was present, whereas *Cytophagia* showed the opposite pattern
629 (negative correlation with drought only when *Q. ilex* was present).

630 It is important to note that although the roots of the saplings were thoroughly cleaned
631 before planting, it was impossible to completely remove the original community present on
632 the roots. This community was from the substrate that the plants were grown in at the nursery
633 they were purchased from, and could have influenced the microbial community that we
634 measured. However, this should not affect our interpretation of any interaction between the
635 presence of *Q. ilex* and the drought treatment as the impact of roots being present (with all of
636 the accompanying rhizosphere microbes and root processes) versus roots being absent, would
637 be much greater than any small variations in rhizosphere composition due to the amount of
638 influence of the initial community. Additionally, all roots were treated equally, so there
639 should be no systematic difference in the influence of the initial inoculum for the different
640 plants.

641

642 **4.6. Are soil microbial communities with a history of drought more resistant to** 643 **drought?**

644 Recent studies have identified ‘legacy effects’ of drought-stressed soils. This means that for
645 soils that have been previously water stressed, a subsequent drought may have a stronger or
646 weaker impact on the soil community than a soil without such a history (de Vries et al., 2012;
647 Evans and Wallenstein, 2012; Bouskill et al., 2013; Hawkes and Keitt, 2015; Kaisermann et
648 al., 2017; Meisner et al., 2018). A stronger impact could be due to the loss of resistance or
649 resilience of a repeatedly disturbed soil, whereas a weaker impact would imply the selection
650 of taxa that are better adapted to the conditions. We found no interaction between drought
651 and soil history for the overall bacterial or fungal composition or diversity, but some

652 evidence suggested that previous soil history affected the response of specific taxa to drought.
653 For example, the relative abundance of the bacterial phylum *Chloroflexi* was correlated
654 positively with drought duration in the control soil but negatively in the pre-droughted soil.
655 Relative abundance may thus increase during a short-term drought (the current experiment)
656 but not during long-term perturbations (the 16 years of the long-term drought study).
657 Previous studies have found *Chloroflexi* to increase in relative abundance during drought
658 periods or increasing aridity (Acosta-Martínez et al., 2014; Maestre et al., 2015). However,
659 this is not a universal response, with another recent study finding a decrease in relative
660 abundance under drought (Meisner et al., 2018).

661 Another interesting example is the bacterial class *Thermoleophilia*, where diversity
662 (number of OTUs) was positively correlated with drought duration, but only in the pre-
663 droughted soil. The abundance of *Thermoleophilia* was also positively correlated with
664 drought duration (in the control and pre-droughted soils) and was therefore an example of a
665 group of bacteria that may be able to take advantage of recurrent droughts, consistent with
666 previous studies reporting that *Thermoleophilia* can respond positively to drought (Pereira de
667 Castro et al., 2016; Ochoa-Hueso et al., 2018). *Thermoleophilia* diversity, however, was not
668 higher in the pre-droughted soil before the start of the current drought experiment,
669 demonstrating the difficulty in both predicting the response of soil microbes and
670 understanding the mechanisms behind any adaptation to drought in long-term droughted soil.

671 Bacterial and fungal alpha diversity before the start of the drought treatment was
672 highest in the pre-droughted soil, which could indicate a source of resilience or resistance for
673 the microbial community, even though the diversity was not significantly higher than in the
674 control soil. Further investigation of this finding may provide evidence of adaptation to water
675 stress. Our results indicate a tendency for plant fungal pathogens to increase under drought,
676 and have a higher abundance in soils with a long-term history of drought. This may be due to

677 the damaging impact of drought on plants, which may increase their susceptibility to disease
678 and thereby increase the population of fungal soil pathogens (Brasier, 1996). Pre-droughting
679 had a negative legacy effect on the saprotrophic yeasts, with relative abundance negatively
680 correlated with drought duration in the pre-droughted soil. The abundance of yeasts in this
681 case was not higher in the pre-droughted soil, which may indicate that the long-term
682 historical drought increased the vulnerability of this group to the subsequent drought in this
683 experiment.

684 The soil inoculum used in this study was taken from a Mediterranean holm oak forest,
685 which is exposed to relatively large variation in precipitation within and between years
686 (Ogaya and Peñuelas, 2007; Liu et al., 2015). In the period from 1999-2015, mean annual
687 precipitation was 616.1 mm, and this varied greatly from 379.8 mm (in 2006) to 926.7 mm
688 (in 2010). The majority of rainfall (80%) is in spring (March-May) and autumn (September-
689 November), with less than 10% in summer (June-August). The soil water content varies
690 between ~10% v/v in summer to ~30% v/v in spring and autumn. Whilst the long-term
691 drought treatment did decrease mean soil moisture throughout the study period by 13%
692 compared with control plots (Liu et al., 2015), the high variability in precipitation may reduce
693 the chance of the microbial community demonstrating legacy effects, as the soil can cycle
694 between being very dry and then saturated, and the 'control' soil community may already be
695 dominated by phenotypes that can tolerate the dry summer conditions (Curiel Yuste et al.,
696 2014). Thus legacy effects may be less pronounced in this Mediterranean system compared
697 with areas that have more uniform precipitation patterns, such as in temperate locations (de
698 Vries et al., 2012; Kaisermann et al., 2017; Meisner et al., 2018), humid continental (Evans
699 and Wallenstein, 2012), or humid tropical sites (Bouskill et al., 2013).

700

701 **4.7. The future of soil communities under drought**

702 Drought is a growing threat around the world, and we have demonstrated complex effects on
703 bacterial and fungal communities that depend on the intensity of the drought, the presence of
704 plants, and previous soil history. We have particularly demonstrated that bacteria may be
705 more negatively affected than fungi in terms of biomass stock and that plants may provide
706 some protection for maintaining microbial diversity, so bare soils may be more at risk. Soils
707 with a history of long-term drought showed a legacy effect, which positively affected the
708 diversity of the bacterial community, presumably due to the adaptation of the soil community
709 to these conditions. We also found, however, many examples of taxa or functional groups
710 with a negative legacy effect due to the historical drought. Also it might indeed be that the
711 negative effect on some taxa represents an alleviation of competition that leaves resources
712 available to other taxa, which in turn can increase the diversity. We therefore cannot assume
713 that soil communities will be able to adapt to the occurrence of more frequent or severe
714 droughts and continue to maintain the same functions. Drought will continue to have impacts
715 on microbial community composition, with a general shift towards an increasing proportion
716 of fungi and a decrease in the mass and diversity of bacteria.

717

718 **Acknowledgements**

719 Funding was provided by the FP7 S-Clima project PIEF-GA-2013-626234, the European
720 Research Council Synergy grant ERC-2013-726 SyG-610028 IMBALANCE-P, the Spanish
721 Government project CGL2016-79835-P (FERTWARM), the Catalan Government project
722 SGR 2014-274 and the EU ClimMani COST action project (ES1308). We thank J van Hal
723 and J de Gruyter for their assistance with molecular work, and the technicians of the Facultat

724 de Ciències at the Autonomous University of Barcelona (UAB) that assisted with the
725 autoclaving of soil.

726

727 **Declarations of interest:** none

728

729 **Figure and Table Captions**

730 Fig. 1. NMDS plots based on Bray-Curtis dissimilarities of the (a,b) bacterial and (c,d) fungal
731 communities prior to onset of drought (drought duration of zero days), showing the difference
732 due to the presence of *Q. ilex* and the soil history. Points represent individual samples,
733 convex hulls encompass samples of the same treatment.

734

735 Fig. 2. (a) Fungal:bacterial ratio for the drought durations with *Q. ilex* present (green lines
736 and dots) and for soil only (black lines and grey dots) There was a positive relationship with
737 drought ($P < 0.01$) and a positive effect of plant-presence ($P < 0.001$). (b) Total PLFAs (nmol
738 g^{-1}) separated by soil history and presence of *Q. ilex* (blue) or soil only (red). $n = 15$ for each
739 plant and soil combination. Soil treatments are 'Control', 'Drought' (pre-droughted) and
740 'Sterile' (pre-sterilised).

741

742 Fig. 3. NMDS plots based on Bray-Curtis dissimilarities of the (a) bacterial and (b) fungal
743 communities after drought treatments of 0 to 21 days. Numbers (0 to 21) show the length in
744 days of the drought treatment, and represent individual samples. The six combinations of soil
745 history and plant presence are grouped by colour, with shades of red when *Q. ilex* was present

746 and shades of blue when *Q. ilex* was absent. Convex hulls encompass samples of the same
747 treatment.

748

749 Fig. 4. Relationships between bacterial Shannon diversity and (a) drought duration (days) (P
750 < 0.001) and (b) soil history ($P < 0.01$, all three soil histories differed from each other) and
751 the presence of *Q. ilex* (significant interaction, $P < 0.001$). $n = 15$ for each plant and soil
752 combination. Soil treatments are 'Control', 'Drought' (pre-droughted) and 'Sterile' (pre-
753 sterilised). For plot (a) small grey points represent individual samples and larger black points
754 are mean values.

755

756 Fig. 5. Relationships between fungal Shannon diversity and (a) drought duration as a
757 categorical variable (Control, 0 days of drought; Low, 2-7 days; Mid, 9-14 days; High, 16-21
758 days; $P < 0.001$) and (b) soil history ($P < 0.01$, pre-sterilised soil differs from the control and
759 pre-droughted soil) and the presence of *Q. ilex* (significant interaction, $P < 0.001$). Letters a
760 and b denote treatments which differ from each other (Tukey HSD test, $P < 0.05$). $n = 15$ for
761 each plant and soil combination except those with *Q. ilex* and in pre-droughted soil, where n
762 $= 14$. Soil treatments are 'Control', 'Drought' (pre-droughted) and 'Sterile' (pre-sterilised).

763

764 Table 1. Factors affecting initial differences in soil community composition (results of
765 PERMANOVA using the `adonis2` function in the R `vegan` package) and Shannon diversity
766 (results of linear regression). Asterisks represent the P -value: * = $P < 0.05$, ** = $P < 0.01$, ***
767 = $P < 0.001$. NS = non-significant.

768

769 Table 2. Results of the PERMANOVA of microbial composition (Bray-Curtis dissimilarity
770 indices) using the adonis2 function in the R vegan package. Drought was treated as both a
771 continuous variable (days of drought) and as a factor (control, low, mid, high), as the impact
772 of drought may not always be linear. Asterisks represent the P-value: * = $P < 0.05$ and *** =
773 $P < 0.001$.

774

775 Table 3. Effect of drought, soil history, and the presence of *Q. ilex* on the Shannon diversities
776 of bacteria and fungi. Drought duration is a continuous variable for bacteria and a categorical
777 variable for fungi as the response to drought was non-linear.

778

779 Table 4. ΔH (% change after most intense drought compared to control) of the Shannon
780 diversity (H) of the bacterial and fungal communities to drought.

781

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Treatment factor Type of drought variable	Bacteria				Fungi			
	Continuous		Factor		Continuous		Factor	
	R^2	P	R^2	P	R^2	P	R^2	P
Drought	0.12	***	0.06	***	NS	NS	NS	NS
Soil history	0.17	***	0.17	***	0.40	***	0.40	***
Plant	0.08	***	0.08	***	0.04	***	0.04	***
Drought * Soil	NS	NS	NS	NS	NS	NS	NS	NS
Drought * Plant	NS	NS	0.03	*	NS	NS	NS	NS
Soil history * Plant	0.03	***	0.03	***	0.03	*	0.03	*

Table 2. Results of the PERMANOVA of microbial composition (Bray-Curtis dissimilarity indices) using the adonis2 function in the R vegan package. Drought was treated as both a continuous variable (days of drought) and as a factor (control, low, mid, high), as the impact of drought may not always be linear. Asterisks represent the P -value: * = $P < 0.05$ and * = $P < 0.001$.**

Factor	Bacteria				Fungi			
	Shannon		Simpson		Shannon		Simpson	
	χ^2	<i>P</i>	χ^2	<i>P</i>	χ^2	<i>P</i>	χ^2	<i>P</i>
Drought	9.0	**	6.2	*	21.6	***	19.0	***
Soil history	12.7	**	NS	NS	15.4	***	NS	NS
Plant	NS	NS	NS	NS	NS	NS	NS	NS
Soil history * plant	37.6	***	19.4	***	9.0	*	13.6	**

Table 3. Effect of drought, soil history, and the presence of *Q. ilex* on the Shannon diversities of bacteria and fungi. Drought duration is a continuous variable for bacteria and a categorical variable for fungi as the response to drought was non-linear.

Soil history	<i>Q. ilex</i>	ΔH	
		Bacteria	Fungi
Control	Present	-2.91	+26.04
Control	Absent	-1.71	+8.33
Pre-droughted	Present	-1.58	-1.46
Pre-droughted	Absent	-1.84	+17.47
Pre-sterilised	Present	-4.08	+15.15
Pre-sterilised	Absent	-3.94	+34.65

Table 4. ΔH (% change after most intense drought compared to control) of the Shannon diversity (H) of the bacterial and fungal communities to drought.

Treatment factor	Bacteria						Fungi					
	Composition		Shannon diversity		Simpson index		Composition		Shannon diversity		Simpson index	
	R^2	P	χ^2	P	χ^2	P	R^2	P	χ^2	P	χ^2	P
Soil history	0.25	***	NS	NS	6.3	*	0.51	***	11.9	**	NS	NS
Plant	0.16	***	NS	NS	NS	NS	NS	NS	NS	NS	NS	NS
Soil history * Plant	NS	NS	29.5	***	21.9	***	NS	NS	NS	NS	9.3	**

Table 1. Factors affecting initial differences in soil community composition (results of PERMANOVA using the *adonis2* function in the R *vegan* package) and Shannon diversity (results of linear mixed effects model). Asterisks represent the P -value: * = $P < 0.05$, ** = $P < 0.01$, * = $P < 0.001$. NS = non-significant.**

Fig. 1

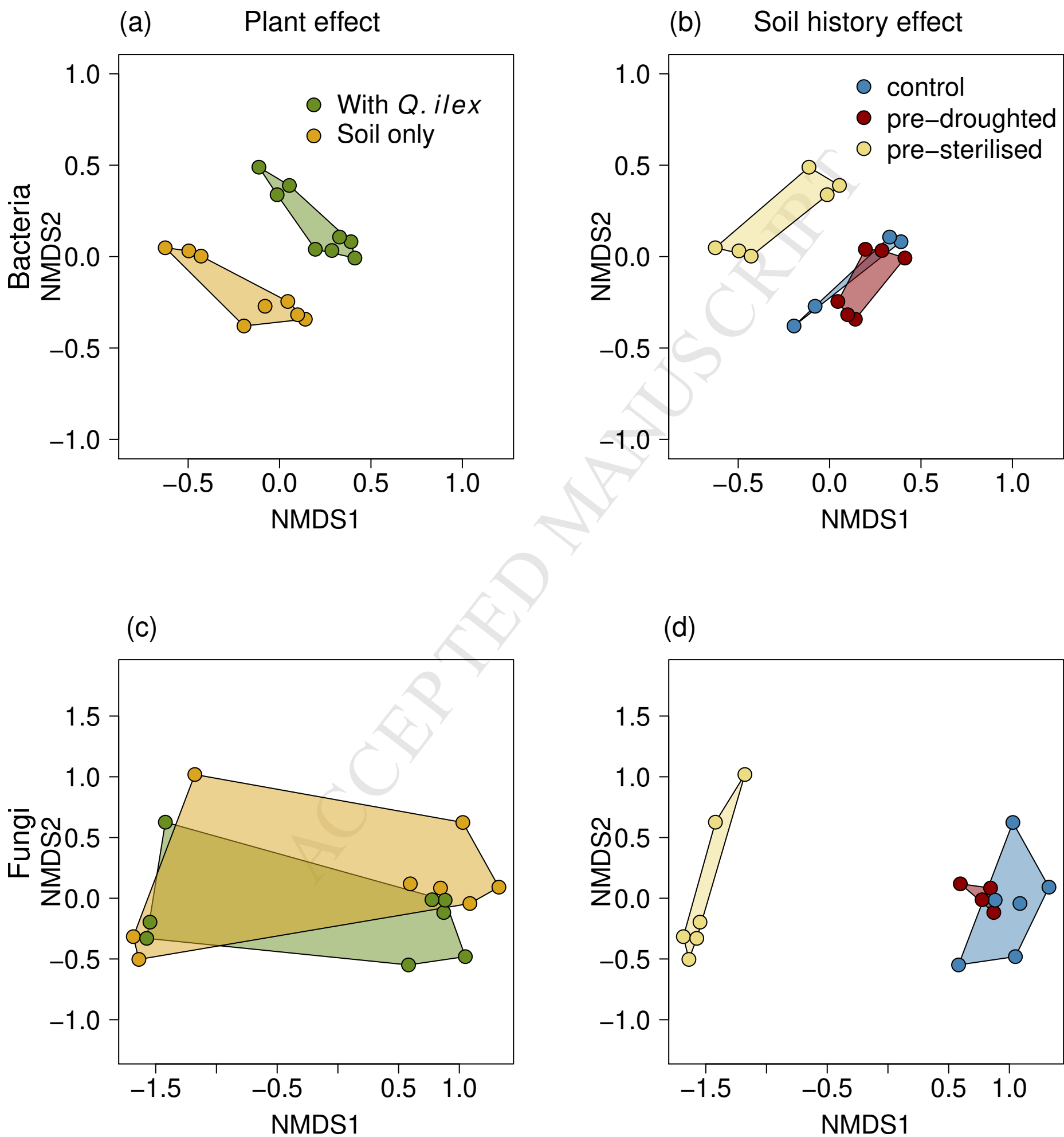
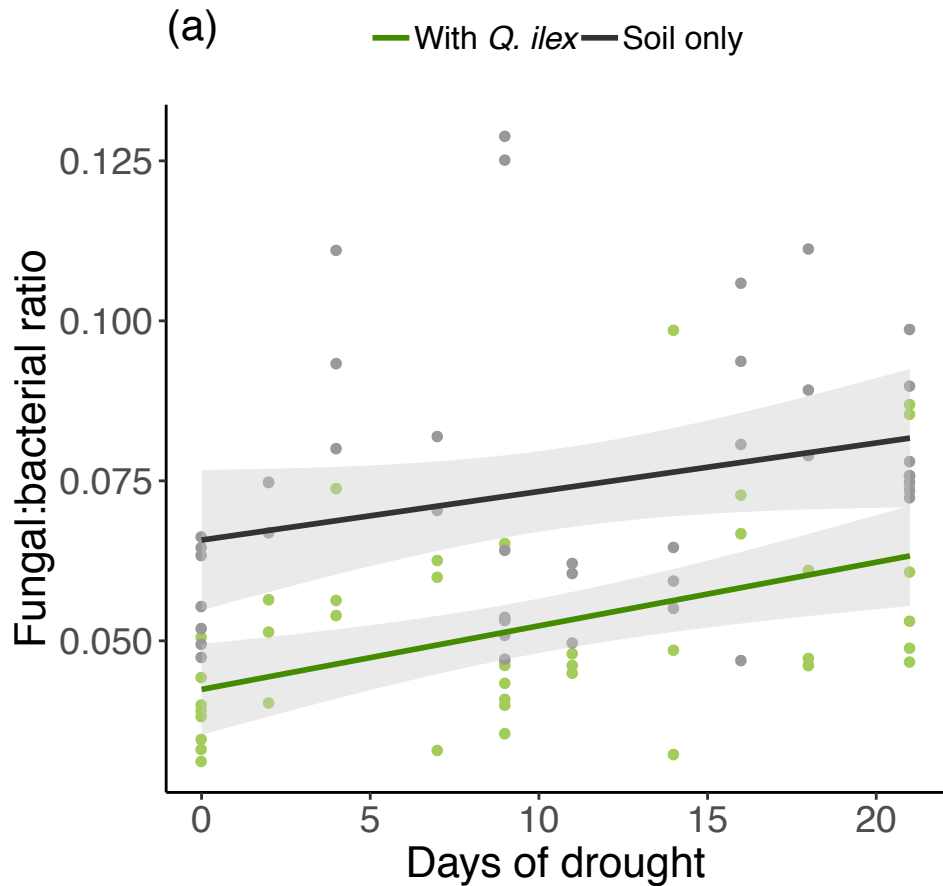


Fig. 2

(a)



(b)

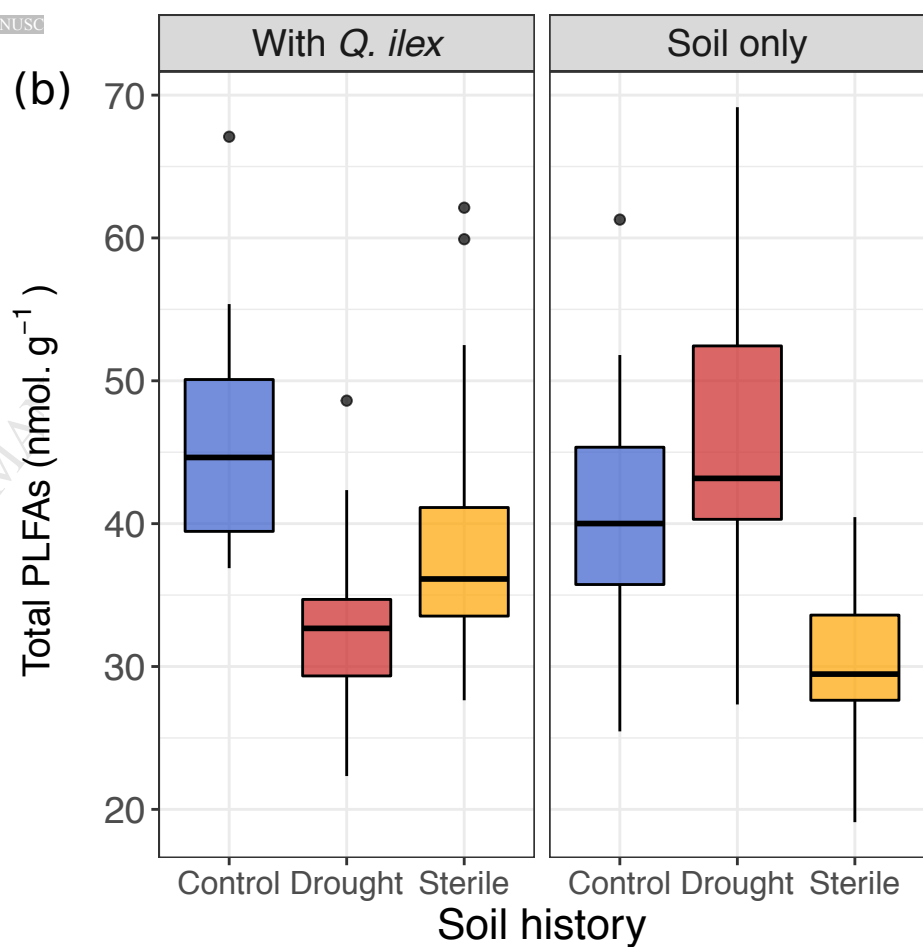
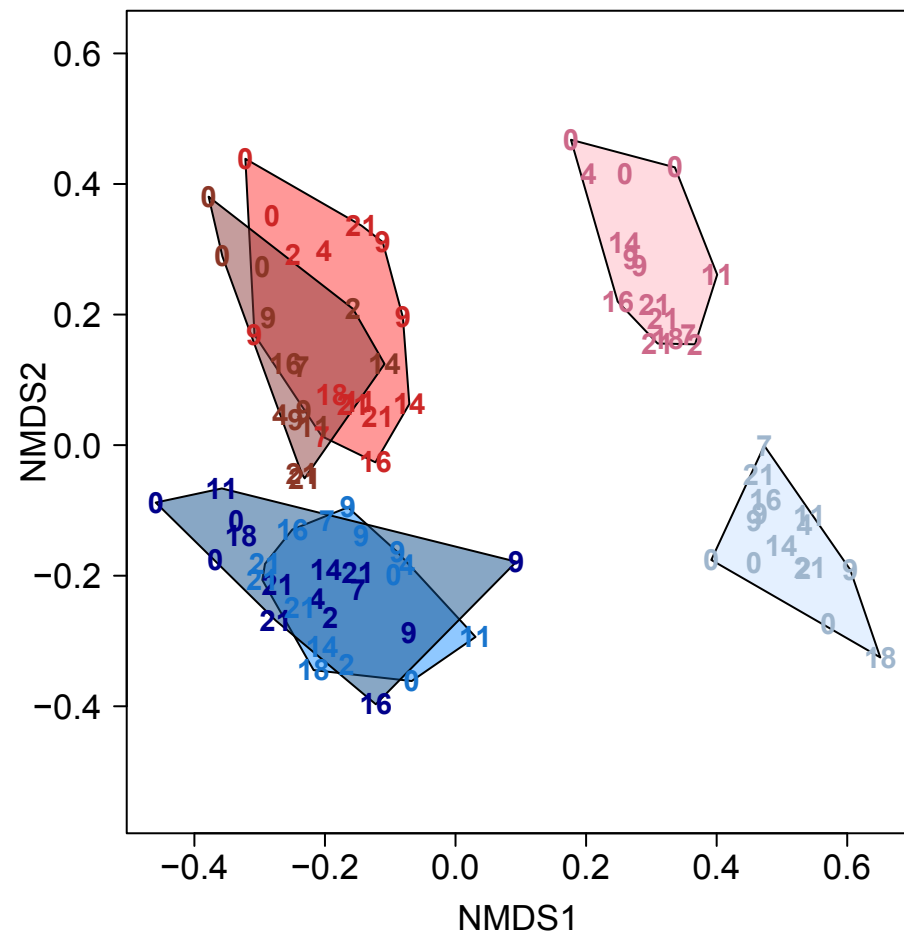
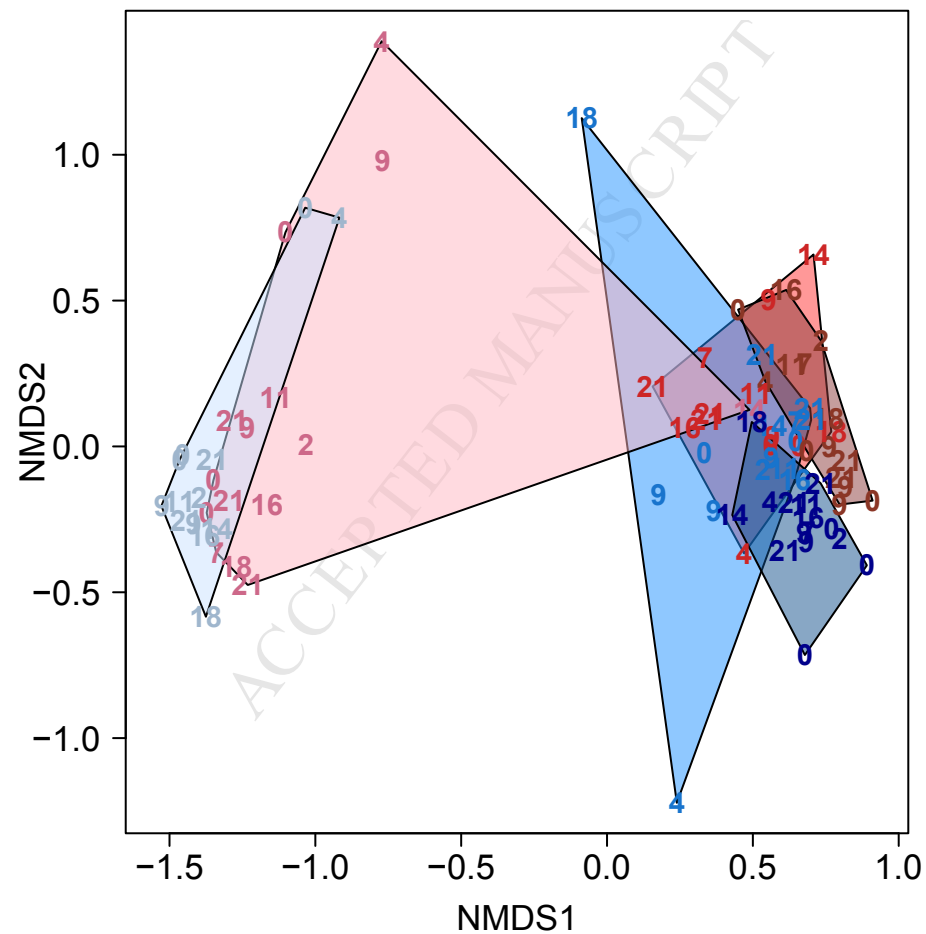


Fig. 3

(a) Bacteria



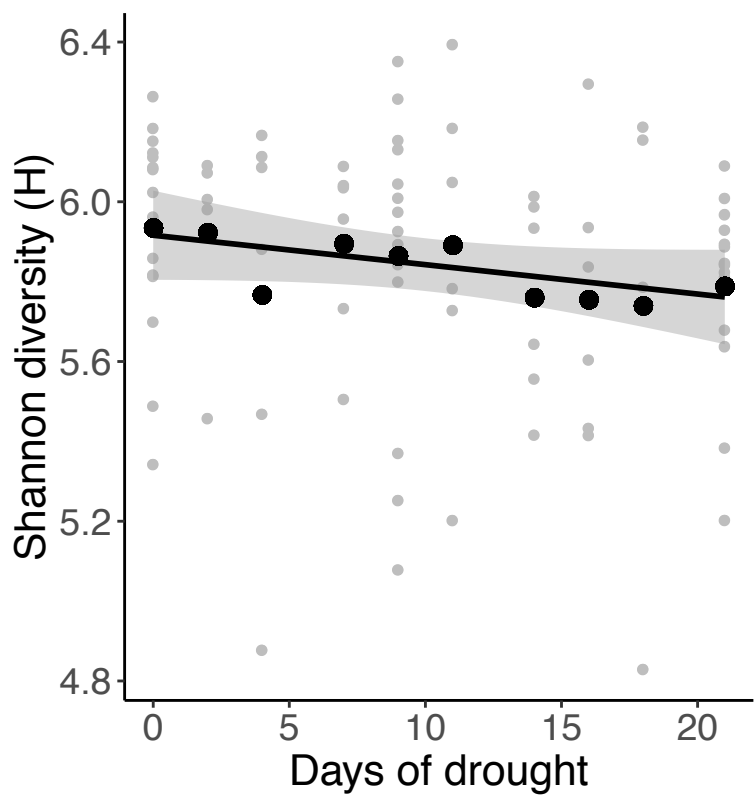
(b) Fungi



- With *Q. ilex* – control soil
- With *Q. ilex* – pre-droughted soil
- With *Q. ilex* – pre-sterilised soil
- Without *Q. ilex* – control soil
- Without *Q. ilex* – pre-droughted soil
- Without *Q. ilex* – pre-sterilised soil

Fig. 4

(a)



(b)

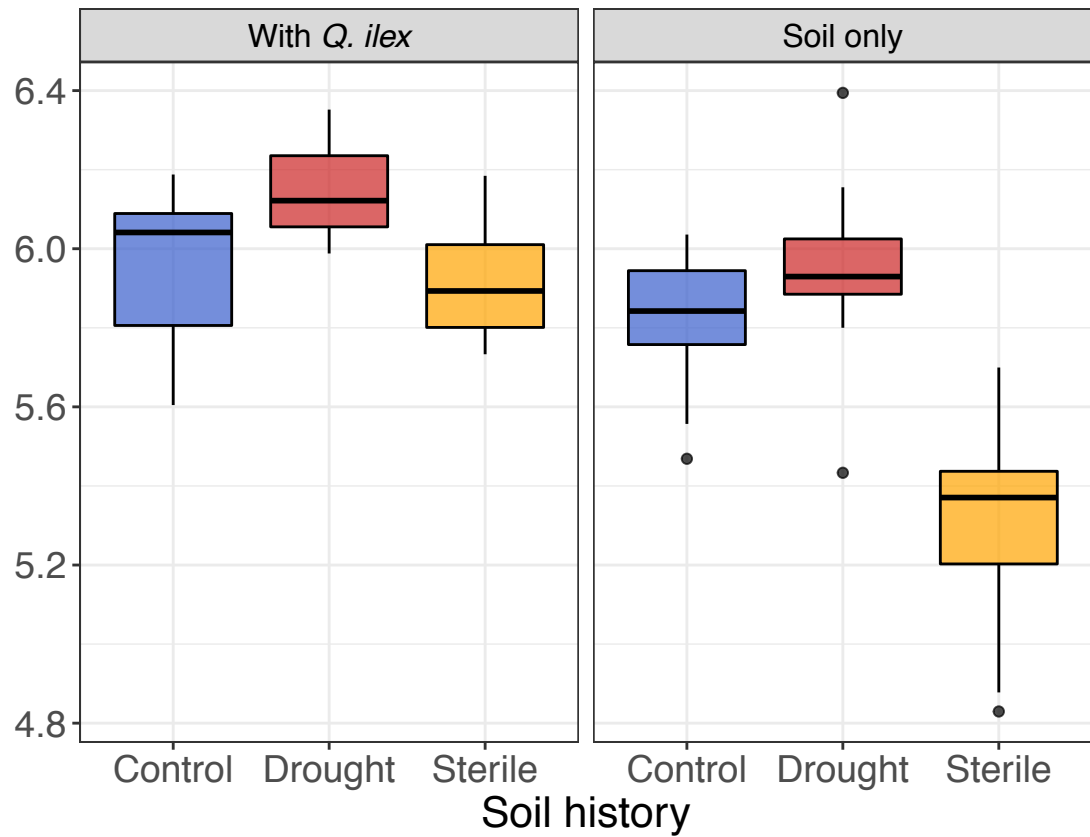
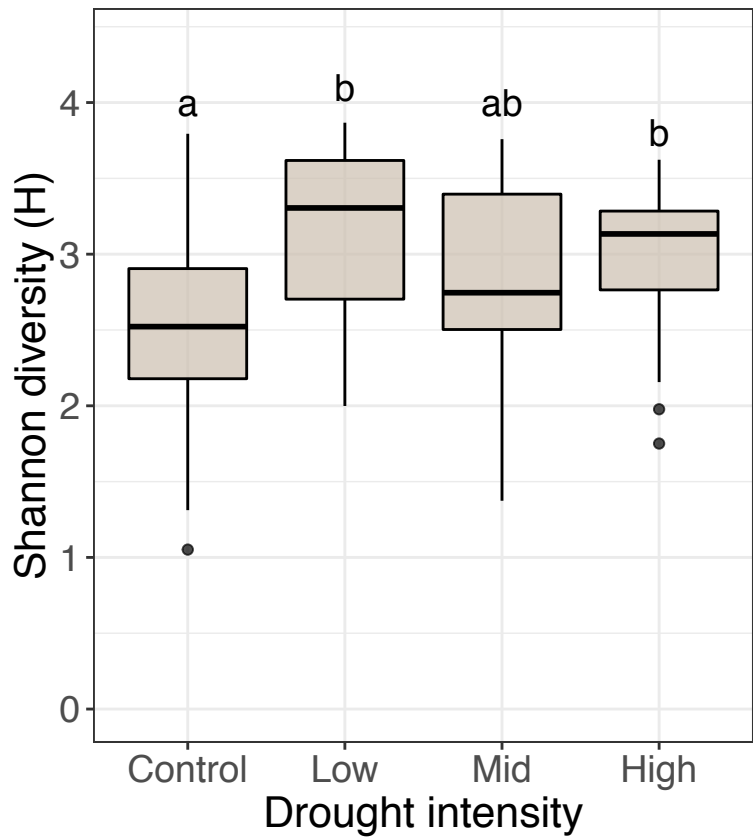
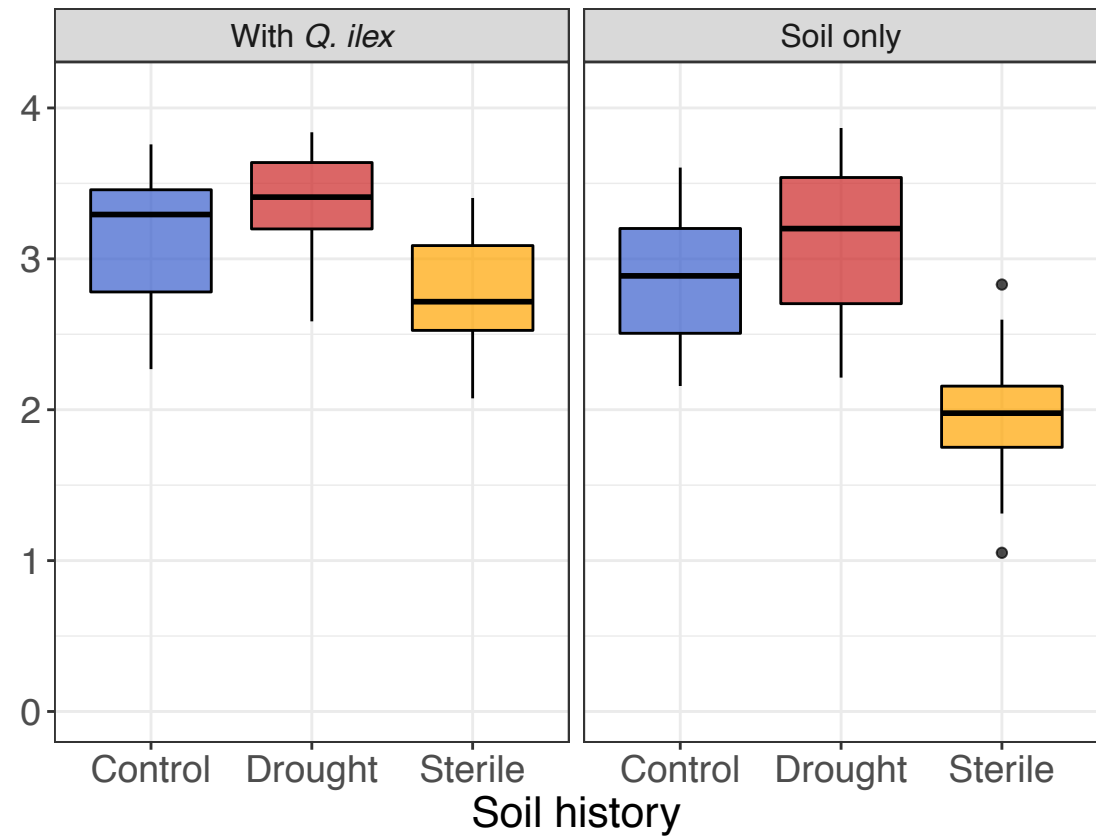


Fig. 5

(a)



(b)



1 **Highlights**

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3 • The current drought had greater negative effects on bacteria than fungi

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5 • Bacterial diversity and proportion of microbial biomass decreased under drought

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7 • Bacterial diversity was higher in soil with a history of drought than control soil

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9 • During new drought, abundance of some taxa fell more in historically droughted

10 soil

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12 • Drought can affect microbial communities even in soils with historical drought

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