# Effects of Supplemental Calcium and Vitamin D on the APC/ßCatenin Pathway in the Normal Colorectal Mucosa of Colorectal Adenoma Patients 

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#### Abstract

APC/ $\beta$-catenin pathway malfunction is a common and early event in colorectal carcinogenesis. To assess calcium and vitamin D effects on the APC/ $\beta$-catenin pathway in the normal-appearing colorectal mucosa of sporadic colorectal adenoma patients, nested within a larger randomized, double-blind, placebo-controlled, partial $2 \times 2$ factorial chemoprevention clinical trial of supplemental calcium ( $1,200 \mathrm{mg}$ daily) and vitamin D ( $1,000 \mathrm{IU}$ daily), alone and in combination versus placebo, we assessed APC, $\beta$-catenin, and E-cadherin expression in colon crypts in normalappearing rectal mucosa biopsies from 104 participants at baseline and one-year follow up using standardized, automated immunohistochemistry and quantitative image analysis. For vitamin D vs. no vitamin D, the ratio of APC expression to $\beta$-catenin expression in the upper $40 \%$ (differentiation zone) of crypts (APC/ $\beta$-catenin score) increased by $28 \%$ ( $\mathrm{P}=0.02$ ), for calcium vs. no calcium it increased by $1 \%(\mathrm{P}=0.88)$, and for vitamin $\mathrm{D}+$ calcium vs. calcium by $35 \% ~(\mathrm{P}$ $=0.01)$. Total E-cadherin expression increased by $7 \%(P=0.35)$ for vitamin $D$ vs. no vitamin $D$,


[^0]$8 \%(\mathrm{P}=0.31)$ for calcium vs. no calcium, and $12 \%(\mathrm{P}=0.21)$ for vitamin $\mathrm{D}+$ calcium vs. calcium. These results support (i) that vitamin D , alone or in combination with calcium, may modify APC, $\beta$-catenin, and E-cadherin expression in humans in directions hypothesized to reduce risk for colorectal neoplasms; (ii) vitamin D as a potential chemopreventive agent against colorectal neoplasms; and (iii) the potential of APC, $\beta$-catenin, and E-cadherin expression as treatable, pre-neoplastic risk biomarkers for colorectal neoplasms.

## Keywords

Calcium; vitamin D; colorectal neoplasms; biological markers; clinical trial

## Introduction

Despite advances in screening and treatment, colorectal cancer (CRC) remains the second leading cause of cancer death in the US (1). CRC incidence varies approximately 20 -fold internationally (2), and migrants from lower- to higher-risk countries tend to acquire the CRC incidence rates of their adopted country within $1-2$ generations ( 3,4 ), emphasizing the importance of environmental exposures-especially diet and lifestyle-in the etiology and preventability of the disease.

There is strong biological plausibility and animal experimental and human observational evidence for protective effects of calcium and vitamin D against CRC (5). Proposed mechanisms of calcium effects against CRC include protection of the colorectal mucosa against free bile and fatty acids, direct effects on the cell cycle, and modulation of the APC colon carcinogenesis pathway ( 6,7 ). Moreover, calcium intake has been consistently, modestly, inversely associated with colorectal neoplasms across numerous epidemiologic observational studies, and in large randomized controlled trials calcium supplementation reduced adenoma recurrence $(5,8)$. Besides its important role in maintaining calcium balance, vitamin D promotes bile acid degradation, regulates cell cycle events, and modulates growth factor signaling, DNA repair, and more than 200 responsive genes (7). Also, higher serum levels of 25 -hydroxyvitamin-D $(25[\mathrm{OH}] \mathrm{D})$, the best indicator of total vitamin D exposure, have been consistently inversely associated with colorectal neoplasms, although there have been relatively few studies of this association $(5,9)$.

An aim of our research group is to develop "treatable" pre-neoplastic biomarkers of risk for colorectal neoplasms: 1) to corroborate the likely relevance of mechanisms discovered in vitro and in animal models, in free-living humans; 2) as endpoints for screening for the potential efficacy and safety and optimal doses of dietary and other preventive interventions against colorectal carcinogenesis; and 3) for clinical assessment for risk stratification and preventive treatment, analogously to measuring lipid panels for assessing and managing risk for ischemic heart disease (8). The adenomatous polyposis coli (APC) protein, $\beta$-catenin, and E-cadherin are potentially treatable pre-neoplastic biomarkers of risk for colorectal adenomas (10,11). Impaired APC expression, which occurs in approximately $80 \%$ to $90 \%$ of sporadic CRCs (12), results in an increased potential of $\beta$-catenin to translocate to the nucleus, bind with T-cell factor transcription factors, and activate target genes responsible
for promoting cell proliferation and inhibiting differentiation (12-14). Also, $\beta$-catenin, together with $\alpha$-catenin, can bind to the cytoplasmic tail of the calcium-dependent cell adhesion protein E-cadherin, linking E-cadherin to actin filaments and promoting cell adhesion and differentiation $(12,14)$. E-cadherin may antagonize the APC/ $\beta$-catenin pathway by sequestering $\beta$-catenin at cell adhesion junctions (12, 14). In the normal colorectal mucosa, APC, $\beta$-catenin, and E-cadherin are all strongly expressed-APC primarily in the cytoplasm, and $\beta$-catenin and E-cadherin primarily at the cell membrane. During the adenoma-carcinoma sequence, APC and E-cadherin expression markedly decreases, and $\beta$-catenin expression increases and translocates from the membrane to the cytoplasm and eventually into the nucleus (15).

Despite the basic science evidence, there is only one reported human in vivo investigation on the effects of calcium and vitamin D on the expression of APC, $\beta$-catenin, and E-cadherin in the normal colorectal mucosa (10). Based on the above described biological plausibility and the results of the previous pilot trial, we hypothesized that calcium and vitamin D , alone and in combination, would increase APC and E-cadherin expression, decrease $\beta$-catenin expression, and increase the balance of APC relative to $\beta$-catenin expression in the normalappearing colorectal mucosa of sporadic colorectal adenoma patients.

## Materials and Methods

## Participant population

The participants in this study ("adjunct biomarker study") were all participating in a larger 11-center, randomized, placebo-controlled, partial $2 \times 2$ factorial chemoprevention clinical trial ("parent study") which was designed to test the efficacy of supplemental calcium and vitamin D, alone and in combination, over 3-5 years on adenoma recurrence in colorectal adenoma patients (16). Eligible participants were 45 to 75 years of age and in general good health; within 4 months of study entry had a complete, clean colonoscopy during which all visible polypoid lesions were removed, at least one of which was a histologically-verified neoplastic polyp $\geq 2 \mathrm{~mm}$ in diameter; and were scheduled for a follow-up colonoscopy three or five years after their index colonoscopy. Exclusions from participation included invasive carcinoma in any colonic polyp removed, familial colonic polyposis syndromes, inflammatory bowel diseases, malabsorption syndromes, history of large bowel resection, narcotic or alcohol dependence, serum calcium outside normal range, creatinine greater than $20 \%$ above the upper limit of normal, serum 25-hydroxy vitamin D levels (25[OH]D) < 12 $\mathrm{ng} / \mathrm{ml}$ or $>90 \mathrm{ng} / \mathrm{ml}$, history of kidney stones or hyperparathyroidism, and history of osteoporosis or other medical condition that may require supplemental vitamin D or calcium. For participation in the adjunct biomarker study, additional exclusions were being unable to be off aspirin for 7 days, history of a bleeding disorder, or current use of an anticoagulant medication.

## Clinical trial protocol

Details of the parent clinical trial protocol, including the recruitment yields, were previously published (16). Briefly, for the parent study, between May 2004 and July 2008, 19,083 apparently eligible patients were identified through initial screening of colonoscopy and
pathology reports; of these, 2,259 met final eligibility criteria, consented to participate, and were randomized. After the parent study was underway, funding was received for the adjunct biomarker study. For the adjunct biomarker study, near the end of the placebo run-in period, without knowledge of treatment assignment, a total of 231 apparently eligible parent study participants at two clinical centers (South Carolina and Georgia) were offered participation in the biomarker study; of these, 109 met final eligibility, signed consent, and had baseline rectal biopsies taken, and of these, sufficient rectal biopsy tissue for biomarker measurements was obtained at baseline and 1-year follow up on 104. All participants signed a consent form at enrollment; the Institutional Review Boards at each clinical center approved the research.

At enrollment, the coordinator collected information from each parent study participant on medical history, medication and nutritional supplement use, and diet and lifestyle. Diet was assessed using the semi-quantitative Block Brief 2000 food frequency questionnaire (Nutritionquest, Berkeley, CA). After the subsequent placebo run-in period, subjects were randomly assigned to the following 4 treatment groups: placebo, $1,200 \mathrm{mg} /$ day calcium supplementation (as calcium carbonate in equal doses twice daily), 1,000 IU/day vitamin $\mathrm{D}_{3}$ supplementation ( 500 IU twice daily), and $1,200 \mathrm{mg} /$ day elemental calcium plus 1,000 IU/day vitamin $\mathrm{D}_{3}$ supplementation ("full factorial randomization"). Women who declined to forego calcium supplementation were randomized to calcium or calcium plus vitamin $D_{3}$ ("2-arm randomization"). Participants agreed to avoid taking vitamin D or calcium supplements outside the trial, although personal supplements up to $1,000 \mathrm{IU}$ vitamin D and/or 400 mg elemental calcium were permitted from April 2008 onwards. Randomization was conducted using computer-generated random numbers with permuted blocks, and stratified by sex, clinical center, scheduled colonoscopic follow-up of 3 or 5 years, and $4-$ vs. 2-arm participation. Participants and all clinical, coordination, and laboratory staff were blinded to treatment assignment.

During the treatment period, every four months, bottles of study tablets were mailed to participants who were interviewed via telephone every 6 months regarding their adherence to study treatment, illnesses, use of medications and supplements, and colorectal endoscopic or surgical procedures. During the first year of follow-up (the period that is relevant to the adjunct biomarker study) blood levels of calcium, creatinine, $25(\mathrm{OH}) \mathrm{D}$, and $1,25(\mathrm{OH})_{2} \mathrm{D}$ were obtained at baseline and 1 year after randomization.

Participants in the adjunct biomarker study underwent "non-prep" (i.e., with no preceding bowel-cleansing preparation or procedure) biopsies of normal-appearing rectal mucosa at baseline and at a year 1 follow-up visit. Six approximately 1 mm thick biopsy specimens were taken from the rectal mucosa 10 cm above the level of the external anal aperture through a short rigid proctoscope using a jumbo cup flexible biopsy forceps mounted on a semi-rigid rod. All biopsies were taken at least 4 cm from any polypoid lesions to avoid possible field effects from them. Biopsies were placed onto a strip of bibulous paper and immediately placed in normal saline, oriented, transferred to $10 \%$ normal buffered formalin for 24 hours, and then transferred to $70 \%$ ethanol. Then, within a week, the biopsies were processed and embedded in paraffin blocks ( 2 blocks of 3 biopsies per participant, per
biopsy visit). APC, $\beta$-catenin, and E-cadherin, were measured in the biopsies using automated immunohistochemistry with image analysis.

## Immunohistochemistry protocol

Five slides with 3 levels of $3 \mu$ m-thick biopsy sections taken $40 \mu \mathrm{~m}$ apart were prepared for each biomarker, yielding a total of 15 levels for each biomarker. To uncover the epitope, heat-mediated antigen retrieval was used: the slides were placed in a preheated Pretreatment Module (Lab Vision Corp., CA) with 100x Citrate Buffer pH 6.0 (DAKO S1699, DAKO Corp., Carpinteria, CA) and steamed for 40 minutes. Then, the slides were placed in a DakoCytomation Autostainer Plus System automated immunostainer and immunohistochemically processed using a labeled streptavidin-biotin method (LSAB2 Detection System [DAKO K0675]) and a monoclonal antibody to each biomarker (for APC, Oncogene OP80 at a concentration of 1:50; for $\beta$-catenin, BD Pharmingen [formerly Transduction Laboratories 610154], at a concentration of 1:300; for E-cadherin, Zymed 334000 at a concentration of $1: 50$ ). For each participant, baseline and follow-up biopsy slides were stained in the same batch, and each staining batch included a balance of participants from each treatment group. The slides, which were not counterstained, were coverslipped with a Leica CV5000 Coverslipper (Leica Microsystems, Inc., IL). Positive and negative control slides were included in each slide staining batch.

## Protocol for quantifying labeling densities of immunohistochemically-detected biomarkers in normal colon crypts ("scoring")

A quantitative image analysis method ("scoring") was used to measure detected levels of the biomarkers in colon crypts, as depicted in Figure 1. The major equipment and software for the image analysis procedures were: Scanscope CS digital scanner (Aperio Technologies, Inc., CA), computer, digital drawing board, MatLab software (MathWorks, Inc., MA), CellularEyes Image Analysis Suite (DivEyes LLC, GA), and MySQL (Sun Microsystems Inc., CA). First, slides were scanned with the Aperio Scanscope CS digital scanner, then, electronic images were reviewed in the CellularEyes program to identify colon crypts acceptable for analysis. A "scorable" crypt was defined as an intact crypt extending from the muscularis mucosa to the colon lumen. Before analysis, images of negative and positive control slides were checked for staining adequacy. Standardized settings were used on all equipment throughout the scoring procedures. The technician, who was blinded to treatment assignment, selected two of three biopsies with 16 to 20 "scorable" hemicrypts (one half of the crypt) per biopsy. Using the digital drawing board the borders of each selected hemicrypt were traced. The program then divided the outline into equally spaced segments with the average widths of normal colonocytes. Finally, the program measured the backgroundcorrected optical density of the biomarker labeling across the entire hemicrypt as well as within each segment. Subcellular locations of the biomarkers, such as nuclear $\beta$-catenin, were not measured. All resulting data were automatically transferred into the MySQL database. Then, the technician moved to the next identified hemicrypt and repeated all the previously described analysis steps. A reliability control sample previously analyzed by the reader was re-analyzed during the course of the trial to determine intra-reader "scoring" reliability by intra-class correlation coefficient, which was $>0.90$ for each biomarker.

## Statistical analysis

Our main analyses were to assess changes in the expression of APC and $\beta$-catenin (individually and in relation to one another), and E-cadherin after randomization in the treatment groups that received 1) vitamin D relative to those that did not ("vitamin D vs. no vitamin D"), 2) calcium relative to those that did not ("calcium vs. no calcium"), and 3) in those that received calcium plus vitamin $D$ relative to those that received only calcium ("calcium + vitamin D vs. calcium"). In addition to evaluating biomarker changes in the whole crypts, we evaluated changes within crypt functional zones, including the upper $40 \%$ of the crypts (the canonical differentiation zone), the lower $60 \%$ of the crypts (the canonical proliferation zone), and the ratio of the upper $40 \%$ of crypts to the whole crypt ( $\Phi$ h) ( 17 , 18). To assess changes in the balance of APC relative to $\beta$-catenin in the differentiation zone, an APC/ $\beta$-catenin score was calculated by dividing an individual's APC expression by their $\beta$-catenin expression in the upper $40 \%$ of crypts.

Treatment groups were assessed for comparability of characteristics at baseline and at final follow-up by chi square test for categorical variables and ANOVA or t-test for continuous variables. Treatment effects were evaluated by assessing the differences in APC, $\beta$-catenin, and E-cadherin expression from baseline to the final follow-up between participants in the treatment group of interest and those in the comparison group using a general MIXED linear model. The model included the intercept, follow-up visit effects, time, treatment group, and the interaction of treatment with time. The calcium analyses included only participants randomized to calcium (i.e., none of the 2-arm study participants were included). Potential confounders, selected because of imbalances in their distributions across treatment groups at baseline, included current smoking status, non-aspirin non-steroidal anti-inflammatory drug (NSAID) use, multivitamin use, physical activity measured as metabolic equivalent of task (MET)-minutes, and dietary fiber intake. Exploratory analyses to assess potential treatment effect modification were conducted by stratifying the above analyses on sex, body mass index (BMI), age, NSAID use, and total fat intake. For these analyses, NSAID use was categorized as < vs. $\geq$ once a week) and BMI, age, and total fat intake were categorized as below and above the sex-specific medians. Because all biomarker measurements were in optical density, to provide perspective on the magnitudes of the estimated treatment effects, relative treatment effects were calculated (relative effect $=[($ treatment group follow-up) $/$ (treatment group baseline)] / [(control group follow-up) / (control group baseline)]. The interpretation of a relative effect is similar to that for an odds ratio; for example, a relative effect of 1.3 would indicate that a biomarker increased about $30 \%$ more in the treatment group of interest relative to the control group. In all analyses of randomized treatments, participants were retained in their originally assigned treatment group, regardless of adherence to study treatment and procedures. All statistical analyses were conducted using SAS 9.4 statistical software (SAS Institute Inc.). A P value $\leq 0.05$ (2-sided) was considered statistically significant.

## Results

Selected baseline characteristics of the adjunct biomarker study participants are presented in Table 1. The mean age of study participants was 59 years, $46 \%$ were men, and $79 \%$ were
white. Most participants were high school graduates, non-current smokers, and overweight. There were significant differences in physical activity and dietary fiber intake among the treatment groups.

For the adjunct biomarker study, during the first year after randomization, $76 \%$ of participants reported taking $80 \%$ or more of their study tablets. There was a mean increase in serum $25(\mathrm{OH}) \mathrm{D}$ of $10.87(\mathrm{SD}=9.57) \mathrm{ng} / \mathrm{ml}$ at year 1 in subjects randomized to vitamin D relative to those who were not.

The estimated effects of the study interventions on the expression of the three biomarkers are presented in Table 2 and described below. Adjustment for factors on which the treatment groups differed at baseline did not materially affect the estimated treatment effects, so only the unadjusted results are presented.

## APC

Following 1 year of treatment, for vitamin D vs. no vitamin D, APC expression increased by an estimated $12 \%(\mathrm{P}=0.21)$ in the full length of crypts, $21 \%(\mathrm{P}=0.03)$ in the upper $40 \%$ of crypts, $5 \% ~(\mathrm{P}=0.65)$ in the lower $60 \%$ crypts, and $4 \% ~(\mathrm{P}=0.16)$ in the $\Phi \mathrm{h}$ of crypts (Table 2). For calcium vs. no calcium, there were minimal non-statistically significant estimated increases in APC expression in all of the crypt parameters. For vitamin D + calcium vs. calcium, APC increased by $19 \%(\mathrm{P}=0.12)$ in the full length of crypts, $27 \%(\mathrm{P}=0.03)$ in the upper $40 \%$ of crypts, $13 \%(\mathrm{P}=0.32)$ in the lower $60 \%$ of crypts, and $4 \%(\mathrm{P}=0.31)$ in the $\Phi$ of crypts.

## $\beta$-catenin

For vitamin D vs. no vitamin $\mathrm{D}, \beta$-catenin expression decreased by an estimated $3 \%(\mathrm{P}=$ $0.41), 4 \%(\mathrm{P}=0.28)$, and $2 \%(\mathrm{P}=0.58)$ in the full length, the upper $40 \%$, and the lower $60 \%$ of the crypts, respectively (Table 2). The estimated treatment effects for vitamin D + calcium vs. calcium on the three crypt parameters were identical to those for vitamin D vs. no vitamin D. For calcium vs. no calcium there were non-statistically significant increases in $\beta$-catenin expression of $6-7 \%$ in the three crypt parameters. None of the treatments appeared to materially affect the $\Phi \mathrm{h}$ of crypts.

## APC/ $\beta$-catenin score

For vitamin D vs. no vitamin D, the APC/ $\beta$-catenin score increased by $28 \%$ ( $\mathrm{P}=0.02$ ), for calcium vs. no calcium it increased by $1 \%(\mathrm{P}=0.88)$, and for vitamin $\mathrm{D}+$ calcium vs. calcium by $35 \% ~(~ P=0.01) ~($ Table 2 ).

## E-cadherin

For vitamin D vs. no vitamin D, E-cadherin expression increased by an estimated 7\% ( $\mathrm{P}=$ $0.35)$ in the full length of crypts, $3 \%(\mathrm{P}=0.66)$ in the upper $40 \%$ of crypts, and $10 \%(\mathrm{P}=$ 0.22 ) in the lower $60 \%$ of crypts (Table 2). For vitamin D + calcium vs. calcium, E-cadherin expression increased by $12 \%(\mathrm{P}=0.21)$ in the full length of crypts, $9 \%(\mathrm{P}=0.38)$ in the upper $40 \%$ of crypts, and $15 \%(P=0.14)$ in the lower $60 \%$ of crypts. For calcium vs. no calcium, E-cadherin expression increased by $8 \%(\mathrm{P}=0.31)$ in the full length of crypts, $12 \%$
$(P=0.14)$ in the upper $40 \%$ of crypts, and $5 \%(P=0.55)$ in the lower $60 \%$ of crypts. None of the treatments appeared to materially affect the $\Phi \mathrm{h}$ of crypts.

## Stratified analyses

For vitamin D vs. no vitamin D and vitamin D + calcium vs. calcium, APC expression and the APC/ $\beta$-catenin score tended to be higher among subjects who took a non-aspirin NSAID $\geq 1 /$ week and among those with a higher total fat intake (Table 3).

## Discussion

Our findings suggest that supplemental vitamin D , alone or in combination with calcium, may increase APC (especially in relation to $\beta$-catenin, and especially in the crypt differentiation zone) and, to a lesser extent, E-cadherin, expression in the normal appearing colorectal mucosa of sporadic colorectal adenoma patients. Our findings also suggest that calcium may modestly increase E-cadherin expression. These possible effects are in directions hypothesized to reduce risk for colorectal neoplasms. Our findings also suggest that calcium may not materially affect APC or $\beta$-catenin expression.

APC, $\beta$-catenin, and E-cadherin are appealing candidates as treatable pre-neoplastic biomarkers of risk for colorectal adenomas because malfunctioning of the APC/ $\beta$-catenin pathway is a common and early event in colorectal carcinogenesis (12). In the normal colorectal mucosa, APC protein functions to degrade $\beta$-catenin, the effector of the WNT signaling pathway that controls the coordinated expansion and differentiation of the intestinal crypt stem cell (12). The WNT signaling pathway is normally inactive, but impaired APC expression can result in WNT signaling through stabilization of nuclear $\beta$ catenin, thus promoting cell proliferation and inhibiting differentiation (12). E-cadherin may antagonize the APC/ $\beta$-catenin pathway by sequestering $\beta$-catenin at cell adhesion junctions (15). In the normal colorectal mucosa, APC, $\beta$-catenin, and E-cadherin are all strongly expressed; during the adenoma-carcinoma sequence, APC and E-cadherin expression markedly decreases and $\beta$-catenin expression increases (15). An APC/ $\beta$-catenin score was suggested to be a modifiable predictor of risk for colorectal adenomas because it may represent the potential of $\beta$-catenin to translocate to the nucleus and promote proliferative signaling (11). It was found that an APC/ $\beta$-catenin score was statistically significantly lower in the normal colorectal mucosa of sporadic colorectal adenoma patients than in the normal colorectal mucosa of healthy controls (11), and APC, $\beta$-catenin, and E-cadherin expression and the APC/ $\beta$-catenin score were associated with lifestyle and dietary risk factors for colorectal neoplasms (10), suggesting that they may be modifiable pre-neoplastic biomarkers of risk for colorectal neoplasms.

The etiology of CRC is heavily influenced by modifiable dietary and lifestyle factors, and vitamin D and calcium are two promising chemopreventive agents against colorectal adenomas. Findings from CRC cell line studies indicate that calcium and $1,25(\mathrm{OH})_{2} \mathrm{D}$ upregulate E-cadherin production and promote a shift in $\beta$-catenin distribution from the nucleus and cytoplasm to the cell membrane (19-22). The typical "Western" diet was found to induce increased $\beta$-catenin expression and decreased APC expression when fed to wild-
type mice; however, intake of a "Western" diet with increased dietary calcium and vitamin D decreased $\beta$-catenin expression, but had no significant effect on APC expression (23).

Our results are consistent with the hypothesis that calcium and vitamin D reduce cell proliferation and promote differentiation in the colorectal mucosa. We previously proposed that the APC/ $\beta$-catenin score may represent the potential of $\beta$-catenin to translocate to the nucleus and promote proliferative signaling (11). In the present study, we observed increased APC expression, particularly in the upper $40 \%$, or differentiation zone, of crypts, and an increased APC/ $\beta$-catenin score in the vitamin D supplementation groups, suggesting that supplemental vitamin $D$ may decrease the potential of $\beta$-catenin to promote proliferative signaling. As reviewed in detail elsewhere (8), in one of our previous trials in colorectal adenoma patients we found that supplemental calcium statistically significantly reduced the proportion of proliferating cells in the crypts that were in the upper $40 \%$ of the crypts (the $\Phi h$ of crypts) (24), and in a second calcium and vitamin D statistically significantly increased p21 expression (as a marker of differentiation) (25).

In the only previously reported clinical trial of the effects of calcium and/or vitamin D on the APC/ $\beta$-catenin pathway (10), 92 sporadic colorectal adenoma patients were randomized to calcium $2,000 \mathrm{mg} /$ day and/or vitamin D $800 \mathrm{IU} /$ day over six months. In the vitamin $\mathrm{D}_{3}{ }^{-}$ supplemented group relative to placebo, the proportion of APC in the upper $40 \%$ of crypts ( $\Phi \mathrm{h}$ APC) increased $21 \%(\mathrm{p}=0.01), \beta$-catenin decreased $12 \%(\mathrm{p}=0.18)$, E-cadherin increased $72 \%(\mathrm{p}=0.03)$, and the APC/ $\beta$-catenin score increased $31 \%(\mathrm{p}=0.02)$. In the calciumsupplemented group Фh APC increased $10 \%(p=0.12)$, $\beta$-catenin decreased $15 \%(p=0.08)$, and the APC/ $\beta$-catenin score increased $41 \%(p=0.01)$. In the calcium $/ v i t a m i n D_{3}$ supplemented group $\beta$-catenin decreased $11 \%(p=0.20)$, E-cadherin increased $51 \%(p=0.08)$, and the APC/ $\beta$-catenin score increased $16 \%(\mathrm{p}=0.26)$. As can be seen, the results for the effects of vitamin D and/or calcium on APC, E-cadherin, and the APC/ $\beta$-catenin score in the two trials were similar in most, but not all, respects. In the present trial, the estimated treatment effects on $\beta$-catenin alone were closer to the null. In addition, in the present trial, unlike in the previous trial but consistent with our hypothesis and other reports $(26,27)$, the estimated treatment effects of vitamin D plus calcium appeared greater than those of either the calcium or vitamin D alone in increasing APC and the APC/ $\beta$-catenin score. It was also previously reported that the effects of vitamin D plus calcium may be stronger than those of either agent alone on colorectal mucosa markers of apoptosis and differentiation (25). The reason(s) for the differences in findings between the two studies in relation to $\beta$-catenin are unclear, but could be due to the different intervention agent doses, study durations, and study populations, and, considering the small sample sizes, may have been due to chance.

In our stratified analyses, we found that the estimated effects of supplemental vitamin D , alone or in combination with calcium, on APC expression and the APC/ $\beta$-catenin score tended to be a little stronger among participants who regularly took an NSAID or who had higher intakes of total fat. The sample size for the stratified analyses was small and the results were not statistically significant and thus may have been strictly due to chance. However, there is some plausibility to the findings. NSAID use is consistently reported to reduce risk of colorectal neoplasms, presumably mostly via reducing COX-2 expression, which impacts the APC/ $\beta$-catenin pathway (28). When vitamin D binds to its receptor, it can
upregulate CYP3A4, which catabolizes the secondary bile acid, lithocholic acid, which can prevent its cytotoxicity and thus a secondary inflammatory response (29). This suggests that vitamin D and NSAIDs together may reduce inflammation and increase APC expression.
Higher intakes of total fat are directly associated with risk of colorectal neoplasms, presumably via increased production of cytotoxic, mitogenic bile acids (30). This suggests that vitamin D may be most effective in circumstances in which fat intake is sufficiently high to produce the bile acids that vitamin D can affect via the mechanism described above.

Notably, the parent trial to this study found no evidence that calcium and/or vitamin D reduced adenoma recurrence over 3-5 years (16), despite previous clinical trial evidence that calcium supplementation did so (31). Given the relatively long period of time it takes to develop an adenoma and that a substantial proportion of persons diagnosed with adenomas get subsequent adenomas (in the parent trial population about $40 \%$ did), would indicate that such persons have fairly committed clones of cells in the intestinal mucosa about to become grossly visibly manifest as adenomas. This suggests that if a preventive agent would be most effective early in the process (for example, years $1-16$ of development) and not later (for example, years $17-20$ ), then we would not be able to see an effect on preventing new adenomas in documented adenoma formers until after a substantial number of years of treatment (i.e., during, for example, years $1-5$ of follow-up), the committed clones are still playing out, and after that time (for example, after 5 years) we may be able to begin to see a treatment effect. The pattern of 3 vs. 5 year findings in the parent trial, and the long-term follow up of participants in the previous calcium and adenoma recurrence trial (stronger estimates 5 years post trial vs. at trial end [OR 0.63 vs. 0.85$]$ ) also are consistent with this (32).

This study had several limitations and strengths. The primary limitation of this study was the small sample size, which increased the role of chance observations. Despite our limited sample size, we found statistically significant effects of vitamin D, with and without calcium, on APC expression and the APC/ $\beta$-catenin score. We only examined the rectal mucosa and therefore treatment effects on other parts of the colon are unknown. We only assessed the protein expression of selected biomarkers but not the protein activity and therefore could not correlate changes in expression with changes in protein activity. Also, we were unable to measure subcellular localization of $\beta$-catenin; however, our previous findings (11) suggested that sporadic colorectal adenoma cases relative to normal controls may have greater total $\beta$-catenin expression in the normal colorectal mucosa. We previously proposed that the $\mathrm{APC} / \beta$ catenin score may represent the potential of $\beta$-catenin to promote proliferative signaling, and needs to be investigated in basic science studies (10). The strengths of the study include: (i) the high protocol adherence by study participants, and (ii) the automated immunostaining and novel image analysis software to quantify crypt biomarker distributions and the consequent high biomarker measurement reliability.

In summary, the results of this chemoprevention trial provide human in vivo evidence that supplemental vitamin $D$, alone or in combination with calcium, may modify APC, $\beta$-catenin, and E-cadherin expression, and, to a lesser extent, that supplemental calcium may modify Ecadherin expression, in directions hypothesized to reduce risk for colorectal neoplasms. These results provide further support that APC and $\beta$-catenin expression, the APC/ $\beta$-catenin
score, and E-cadherin expression may be modifiable pre-neoplastic biomarkers of risk for colorectal neoplasms and that further, larger investigations are warranted. Our results also support further investigation of vitamin D as a chemopreventive agent against colorectal neoplasms.

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[B]

[C]


Figure 1.
Measurement of biomarker expression (in this figure, APC) in crypts of normal appearing rectal mucosa using custom-designed quantitative image analysis software. A, finding a full length hemicrypt; B, tracing the hemicrypt; C, automated sectioning and quantification of APC labeling optical density, overall and within each section of the hemicrypt.
Table 1
Selected baseline characteristics of the adjunct biomarker study participants ( $\mathrm{n}=104$ ), according to treatment assignment ${ }^{a}$

| Characteristics | Treatment assignment |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Randomization to vitamin D and to calcium (4-arm) |  |  |  |  | Randomization to vitamin D only (2-arm) |  |  |
|  | Placebo ( $\mathrm{n}=12$ ) | Calcium ( $\mathrm{n}=16$ ) | Vitamin $D(n=17)$ | $\text { Calcium + Vitamin } D(\underset{17}{(n)}$ | P value ${ }^{\text {b }}$ | Placebo ( $\mathrm{n}=23$ ) | Vitamin D ( $\mathrm{n}=19$ ) | P value ${ }^{\text {c }}$ |
| Demographics, medical history, habits, anthropometrics |  |  |  |  |  |  |  |  |
| Age, years | 59.9 (7.2) | 59.9 (6.5) | 59.2 (7.8) | 57.7 (7. 1) | 0.79 | 58.2 (5.3) | 59.2 (7.3) | 0.60 |
| Male (\%) | 75 | 81 | 71 | 82 | 0.87 | 0 | 0 | -- |
| White (\%) | 83 | 75 | 71 | 94 | 0.42 | 70 | 84 | 0.57 |
| $\geq$ College (\%) | 92 | 63 | 88 | 82 | 0.24 | 91 | 74 | 0.21 |
| Take non-aspirin NSAID ${ }^{\text {d }}$ regularly ${ }^{\text {e }}$ | 33 | 44 | 24 | 29 | 0.69 | 26 | 32 | 0.74 |
| Current smoker (\%) | 25 | 6 | 0 | 6 | 0.11 | 0 | 16 | 0.16 |
| Alcohol intake, drinks/day | 0.7 (0.7) | 0.8 (1.0) | 0.9 (1.0) | 0.9 (0.9) | 0.92 | 0.5 (1.0) | 0.3 (0.5) | 0.40 |
| Take multivitamin (\%) | 42 | 81 | 47 | 65 | 0.11 | 70 | 89 | 0.15 |
| Physical activity, MET ${ }^{\text {f-mins./wk. }}{ }^{\text {g }}$ | 1,620 (1,195) | 2,128 (2,378) | 2,782 (2,764) | 3,875 (2,424) | 0.06 | 1,458 (1,235) | 3,021 (3,469) | 0.05 |
| BMI, $\mathrm{kg} / \mathrm{m}^{2}$ | 29.4 (4.9) | 32.3 (7.6) | 28.7 (5.5) | 30.0 (4.5) | 0.32 | 29.7 (5.6) | 27.5 (4.7) | 0.18 |
| Dietary intakes |  |  |  |  |  |  |  |  |
| Total energy intake, kcal/d ${ }^{h}$ | 1,314 (381) | 1,737 (556) | 1,437 (527) | 1,613 (550) | 0.18 | 1,254 (549) | 1,429 (595) | 0.39 |
| Total fat, gm/d ${ }^{h}$ | 57.1 (22.3) | 68.9 (25.6) | 60.5 (27.3) | 62.6 (27.2) | 0.70 | 50.3 (25.9) | 61.5 (36.1) | 0.22 |
| Dietary fiber, gm/d ${ }^{h}$ | 9.5 (4.1) | 15.8 (5.6) | 13.7 (6.2) | 15.6 (5.5) | 0.03 | 13.8 (5.4) | 17.2 (5.0) | 0.07 |
| Total calcium ${ }^{i}$, mg/d ${ }^{j}$ | 715.3 (455.4) | 894.5 (263.9) | 671.3 (278.3) | 667.1 (254.7) | 0.14 | 995.6 (97.6) | 1,232.3 (562.9) | 0.34 |
| Serum levels |  |  |  |  |  |  |  |  |
| 25-OH-vitamin D, ng/ml | 22.4 (8.2) | 24.5 (13.4) | 23.1 (8.7) | 22.5 (6.5) | 0.93 | 24.8 (8.9) | 26.5 (9.6) | 0.54 |

[^1]

Mol Carcinog. Author manuscript; available in PMC 2018 February 01
Expression of APC, $\beta$-catenin, E-cadherin, and the APC/ $\beta$-catenin score ${ }^{a}$ in the normal-appearing colorectal mucosa of the adjunct biomarker study participants $(\mathrm{n}=104)$

| Treatment group | Baseline |  |  |  | 1-year follow-up |  |  |  | Absolute Rx effect |  |  | Relative effect ${ }^{d}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | n | Mean | SE | P | n | Mean | SE | P | Rx effect ${ }^{\text {b }}$ | SE | $\mathbf{P}^{c}$ |  |
| APC (OD) |  |  |  |  |  |  |  |  |  |  |  |  |
| Whole crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 51 | 2,607 | 194 |  | 51 | 2,098 | 149 |  |  |  |  |  |
| Vitamin D | 53 | 2,419 | 173 | 0.47 | 53 | 2,177 | 145 | 0.71 | 266 | 209 | 0.21 | 1.12 |
| No calcium | 29 | 2,583 | 244 |  | 29 | 2,008 | 194 |  |  |  |  |  |
| Calcium | 33 | 2,858 | 212 | 0.40 | 33 | 2,333 | 148 | 0.18 | 49 | 265 | 0.85 | 1.05 |
| Calcium | 39 | 2,524 | 224 |  | 39 | 2,041 | 164 |  |  |  |  |  |
| Vitamin D + calcium | 36 | 2,440 | 210 | 0.79 | 36 | 2,348 | 183 | 0.21 | 391 | 249 | 0.12 | 1.19 |
| Upper 40\% of crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 51 | 1,106 | 89 |  | 51 | 867 | 68 |  |  |  |  |  |
| Vitamin D | 53 | 929 | 72 | 0.13 | 53 | 881 | 73 | 0.89 | 190 | 86 | 0.03 | 1.21 |
| No calcium | 29 | 1,040 | 120 |  | 29 | 813 | 100 |  |  |  |  |  |
| Calcium | 33 | 1,216 | 92 | 0.24 | 33 | 986 | 70 | 0.15 | -2 | 115 | 0.99 | 1.04 |
| Calcium | 39 | 1,050 | 97 |  | 39 | 835 | 73 |  |  |  |  |  |
| Vitamin D + calcium | 36 | 959 | 88 | 0.49 | 36 | 966 | 88 | 0.25 | 221 | 101 | 0.03 | 1.27 |
| Lower 60\% of crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 51 | 1,339 | 110 |  | 51 | 1,101 | 88 |  |  |  |  |  |
| Vitamin D | 53 | 1,356 | 108 | 0.91 | 53 | 1,171 | 77 | 0.55 | 53.3 | 118 | 0.65 | 1.05 |
| No calcium | 29 | 1,395 | 140 |  | 29 | 1,073 | 104 |  |  |  |  |  |
| Calcium | 33 | 1,462 | 131 | 0.73 | 33 | 1,201 | 88 | 0.35 | 60.9 | 145 | 0.68 | 1.07 |
| Calcium | 39 | 1,322 | 131 |  | 39 | 1,084 | 99 |  |  |  |  |  |
| Vitamin D + calcium | 36 | 1,338 | 131 | 0.93 | 36 | 1,244 | 99 | 0.26 | 144.5 | 143 | 0.32 | 1.13 |
| $\Phi h^{e}$ |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 51 | 0.42 | 0.02 |  | 51 | 0.41 | 0.02 |  |  |  |  |  |
| Vitamin D | 53 | 0.37 | 0.02 | 0.03 | 53 | 0.38 | 0.02 | 0.16 | 0.02 | 0.01 | 0.16 | 1.04 |
| No calcium | 29 | 0.38 | 0.02 |  | 29 | 0.37 | 0.03 |  |  |  |  |  |

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| Treatment group | Baseline |  |  |  | 1-year follow-up |  |  |  | Absolute Rx effect |  |  | Relative effect ${ }^{d}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | n | Mean | SE | P | n | Mean | SE | P | Rx effect ${ }^{\text {b }}$ | SE | $\mathbf{P}^{\boldsymbol{c}}$ |  |
| Calcium | 33 | 0.43 | 0.02 | 0.07 | 33 | 0.43 | 0.02 | 0.05 | 0.01 | 0.02 | 0.49 | 1.03 |
| Calcium | 39 | 0.41 | 0.02 |  | 39 | 0.42 | 0.02 |  |  |  |  |  |
| Vitamin D + calcium | 36 | 0.38 | 0.02 | 0.15 | 36 | 0.39 | 0.02 | 0.34 | 0.01 | 0.01 | 0.31 | 1.04 |
| $\beta$-catenin ${ }^{f}$ (OD) |  |  |  |  |  |  |  |  |  |  |  |  |
| Whole crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 51 | 10,517 | 341 |  | 51 | 10,990 | 356 |  |  |  |  |  |
| Vitamin D | 52 | 10,728 | 366 | 0.68 | 52 | 10,868 | 370 | 0.81 | -332 | 402 | 0.41 | 0.97 |
| No calcium | 29 | 10,882 | 489 |  | 29 | 10,872 | 427 |  |  |  |  |  |
| Calcium | 32 | 10,922 | 420 | 0.95 | 32 | 11,591 | 514 | 0.29 | 680 | 588 | 0.25 | 1.06 |
| Calcium | 39 | 10,477 | 376 |  | 39 | 11,080 | 398 |  |  |  |  |  |
| Vitamin D + calcium | 35 | 10,572 | 454 | 0.87 | 35 | 10,807 | 504 | 0.67 | -368 | 469 | 0.43 | 0.97 |
| Upper 40\% of crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 51 | 3,805 | 128 |  | 51 | 4,011 | 139 |  |  |  |  |  |
| Vitamin D | 52 | 3,915 | 140 | 0.56 | 52 | 3,955 | 137 | 0.78 | -166 | 153 | 0.28 | 0.96 |
| No calcium | 29 | 3,900 | 188 |  | 29 | 3,941 | 165 |  |  |  |  |  |
| Calcium | 32 | 4,007 | 173 | 0.68 | 32 | 4,318 | 201 | 0.16 | 269 | 227 | 0.24 | 1.07 |
| Calcium | 39 | 3,818 | 140 |  | 39 | 4,056 | 154 |  |  |  |  |  |
| Vitamin D + calcium | 35 | 3,875 | 175 | 0.80 | 35 | 3,935 | 186 | 0.62 | -178 | 185 | 0.34 | 0.96 |
| Lower 60\% of crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 51 | 6,355 | 207 |  | 51 | 6,585 | 212 |  |  |  |  |  |
| Vitamin D | 52 | 6,423 | 219 | 0.82 | 52 | 6,514 | 227 | 0.82 | -138 | 248 | 0.58 | 0.98 |
| No calcium | 29 | 6,616 | 295 |  | 29 | 6,533 | 261 |  |  |  |  |  |
| Calcium | 32 | 6,520 | 237 | 0.80 | 32 | 6,840 | 302 | 0.45 | 403 | 359 | 0.27 | 1.06 |
| Calcium | 39 | 6,298 | 229 |  | 39 | 6,631 | 241 |  |  |  |  |  |
| Vitamin D + calcium | 35 | 6,303 | 269 | 0.99 | 35 | 6,473 | 304 | 0.68 | -164 | 283 | 0.56 | 0.98 |
| $\Phi h^{e}$ |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 51 | 0.36 | 0.00 |  | 51 | 0.36 | 0.00 |  |  |  |  |  |
| Vitamin D | 52 | 0.36 | 0.00 | 0.55 | 52 | 0.36 | 0.00 | 0.89 | 0.00 | 0.01 | 0.65 | 0.99 |
| No calcium | 29 | 0.36 | 0.01 |  | 29 | 0.36 | 0.01 |  |  |  |  |  |

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| Treatment group | Baseline |  |  |  | 1-year follow-up |  |  |  | Absolute Rx effect |  |  | Relative effect ${ }^{d}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | n | Mean | SE | P | n | Mean | SE | P | Rx effect ${ }^{\text {b }}$ | SE | $\mathbf{P}^{\boldsymbol{c}}$ |  |
| Calcium | 32 | 0.37 | 0.00 | 0.26 | 32 | 0.37 | 0.00 | 0.15 | 0.00 | 0.01 | 0.77 | 1.01 |
| Calcium | 39 | 0.36 | 0.00 |  | 39 | 0.37 | 0.00 |  |  |  |  |  |
| Vitamin D + calcium | 35 | 0.37 | 0.00 | 0.88 | 35 | 0.36 | 0.00 | 0.84 | 0.00 | 0.01 | 0.77 | 1.00 |
| APC/ $\beta$-catenin score ${ }^{\text {a, }}$ f |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 51 | 0.30 | 0.03 |  | 51 | 0.19 | 0.01 |  |  |  |  |  |
| Vitamin D | 52 | 0.26 | 0.02 | 0.19 | 52 | 0.21 | 0.02 | 0.66 | 0.06 | 0.03 | 0.02 | 1.28 |
| No calcium | 29 | 0.29 | 0.04 |  | 29 | 0.22 | 0.03 |  |  |  |  |  |
| Calcium | 32 | 0.32 | 0.03 | 0.60 | 32 | 0.24 | 0.02 | 0.56 | -0.01 | 0.03 | 0.88 | 1.01 |
| Calcium | 39 | 0.28 | 0.03 |  | 39 | 0.21 | 0.02 |  |  |  |  |  |
| Vitamin D + calcium | 35 | 0.27 | 0.03 | 0.71 | 35 | 0.26 | 0.03 | 0.07 | 0.07 | 0.03 | 0.01 | 1.35 |
| E-cadherin ${ }^{(0)}$ (OD) |  |  |  |  |  |  |  |  |  |  |  |  |
| Whole crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 46 | 4,700 | 210 |  | 46 | 4,603 | 193 |  |  |  |  |  |
| Vitamin D | 50 | 4,665 | 264 | 0.92 | 50 | 4,888 | 275 | 0.41 | 320 | 343 | 0.35 | 1.07 |
| No calcium | 27 | 5,165 | 398 |  | 27 | 4,753 | 254 |  |  |  |  |  |
| Calcium | 31 | 4,568 | 233 | 0.19 | 31 | 4,533 | 238 | 0.53 | 376 | 365 | 0.31 | 1.08 |
| Calcium | 34 | 4,571 | 217 |  | 34 | 4,562 | 229 |  |  |  |  |  |
| Vitamin D + calcium | 35 | 4,417 | 273 | 0.66 | 35 | 4,933 | 364 | 0.39 | 525 | 411 | 0.21 | 1.12 |
| Upper 40\% of crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 46 | 1,727 | 83 |  | 46 | 1,771 | 74 |  |  |  |  |  |
| Vitamin D | 50 | 1,740 | 91 | 0.92 | 50 | 1,842 | 105 | 0.59 | 59 | 133 | 0.66 | 1.03 |
| No calcium | 27 | 1,918 | 139 |  | 27 | 1,794 | 88 |  |  |  |  |  |
| Calcium | 31 | 1,708 | 89 | 0.20 | 31 | 1,784 | 100 | 0.94 | 200 | 134 | 0.14 | 1.12 |
| Calcium | 34 | 1,697 | 91 |  | 34 | 1,776 | 89 |  |  |  |  |  |
| Vitamin D + calcium | 35 | 1,627 | 92 | 0.59 | 35 | 1,850 | 142 | 0.67 | 144 | 163 | 0.38 | 1.09 |
| Lower 60\% of crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 46 | 2,792 | 126 |  | 46 | 2,641 | 117 |  |  |  |  |  |
| Vitamin D | 50 | 2,734 | 169 | 0.79 | 50 | 2,840 | 162 | 0.33 | 257 | 209 | 0.22 | 1.10 |
| No calcium | 27 | 3,043 | 255 |  | 27 | 2,770 | 168 |  |  |  |  |  |

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| Treatment group | Baseline |  |  |  | 1-year follow-up |  |  |  | Absolute Rx effect |  |  | Relative effect ${ }^{d}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | n | Mean | SE | P | $n$ | Mean | SE | P | Rx effect ${ }^{\text {b }}$ | SE | $\mathrm{P}^{\text {c }}$ |  |
| Calcium | 31 | 2,678 | 141 | 0.20 | 31 | 2,550 | 134 | 0.31 | 144 | 240 | 0.55 | 1.05 |
| Calcium | 34 | 2,694 | 124 |  | 34 | 2,590 | 134 |  |  |  |  |  |
| Vitamin D + calcium | 35 | 2,611 | 176 | 0.70 | 35 | 2,875 | 209 | 0.26 | 368 | 244 | 0.14 | 1.15 |
| $\Phi h^{e}$ |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 46 | 0.37 | 0.01 |  | 46 | 0.39 | 0.01 |  |  |  |  |  |
| Vitamin D | 50 | 0.38 | 0.01 | 0.14 | 50 | 0.38 | 0.01 | 0.18 | -0.02 | 0.01 | 0.03 | 0.95 |
| No calcium | 27 | 0.37 | 0.01 |  | 27 | 0.38 | 0.01 |  |  |  |  |  |
| Calcium | 31 | 0.37 | 0.01 | 0.99 | 31 | 0.39 | 0.01 | 0.20 | 0.01 | 0.01 | 0.33 | 1.03 |
| Calcium | 34 | 0.37 | 0.01 |  | 34 | 0.39 | 0.01 |  |  |  |  |  |
| Vitamin D + calcium | 35 | 0.37 | 0.01 | 0.66 | 35 | 0.37 | 0.01 | 0.03 | -0.02 | 0.01 | 0.08 | 0.95 |

Abbreviations: SE, standard error; OD, optical density
${ }^{a} \mathrm{APC} / \beta$-catenin score $=\mathrm{APC}$ expression in the upper $40 \%$ of crypts $/ \beta$-catenin expression in the upper $40 \%$ of crypts
$b_{\text {Rx effect }}($ treatment effect $)=[($ treatment group follow-up $)-($ treatment group baseline $)]-[($ placebo group follow-up $)-($ placebo group baseline $)]$
${ }^{c} \mathrm{P}$ value for difference between each active treatment group and placebo group from repeated-measures MIXED model
$d_{\text {Relative effect }}=[($ treatment group follow-up) $/($ treatment group baseline $)] /[($ placebo group follow-up) $/($ placebo group baseline $)]$; interpretation similar to that for an odds ratio ${ }^{e} \Phi \mathrm{~h}=$ proportion of expression in the distribution zone (i.e., ratio of expression in upper $40 \%$ to expression in whole crypt)

[^2]${ }^{g}$ Eight subjects excluded due to missing values for the measurement of E-cadherin expression
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Table 3
Expression of APC, $\beta$-catenin, E-cadherin, and the APC/ $\beta$-catenin score ${ }^{a}$ in the normal-appearing colorectal mucosa of the adjunct biomarker study participants according to categories of selected risk factors $(\mathrm{n}=104)$

| Treatment group | Baseline |  |  |  | 1-year follow-up |  |  |  | Absolute Rx effect |  |  | Relative effect ${ }^{d}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | n | Mean | SE | P | n | Mean | SE | P | Rx effect ${ }^{\text {b }}$ | SE | $\mathbf{P}^{c}$ |  |
| Non-aspirin NSAID $^{e}$ use < 1/week |  |  |  |  |  |  |  |  |  |  |  |  |
| APC (OD) |  |  |  |  |  |  |  |  |  |  |  |  |
| Upper 40\% of crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 34 | 1,127 | 104 |  | 34 | 891 | 73 |  |  |  |  |  |
| Vitamin D | 38 | 946 | 82 | 0.17 | 38 | 832 | 89 | 0.61 | 122 | 103 | 0.24 | 1.11 |
| No calcium | 21 | 894 | 127 |  | 21 | 618 | 91 |  |  |  |  |  |
| Calcium | 21 | 1,347 | 89 | 0.01 | 21 | 1,106 | 74 | 0.00 | 36 | 137 | 0.80 | 1.19 |
| Calcium | 26 | 1,136 | 115 |  | 26 | 925 | 84 |  |  |  |  |  |
| Vitamin D + calcium | 25 | 1,038 | 102 | 0.53 | 25 | 995 | 110 | 0.62 | 167 | 123 | 0.18 | 1.18 |
| $\beta$-catenin (OD) |  |  |  |  |  |  |  |  |  |  |  |  |
| Upper 40\% of crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 34 | 3,715 | 165 |  | 34 | 4,000 | 189 |  |  |  |  |  |
| Vitamin D | 37 | 3,801 | 150 | 0.70 | 37 | 3,956 | 175 | 0.86 | -130 | 174 | 0.46 | 0.97 |
| No calcium | 21 | 3,634 | 181 |  | 21 | 3,797 | 206 |  |  |  |  |  |
| Calcium | 20 | 3,986 | 201 | 0.20 | 20 | 4,451 | 282 | 0.07 | 302 | 258 | 0.25 | 1.07 |
| Calcium | 26 | 3,834 | 187 |  | 26 | 4,100 | 206 |  |  |  |  |  |
| Vitamin D + calcium | 24 | 3,791 | 208 | 0.88 | 24 | 4,003 | 248 | 0.76 | -54 | 226 | 0.81 | 0.99 |
| APC/ $\beta$-catenin score ${ }^{\text {a }}$ |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 34 | 0.32 | 0.04 |  | 34 | 0.23 | 0.02 |  |  |  |  |  |
| Vitamin D | 37 | 0.27 | 0.03 | 0.29 | 37 | 0.23 | 0.03 | 0.87 | 0.04 | 0.03 | 0.16 | 1.15 |
| No calcium | 21 | 0.28 | 0.05 |  | 21 | 0.18 | 0.04 |  |  |  |  |  |
| Calcium | 20 | 0.36 | 0.03 | 0.19 | 20 | 0.27 | 0.02 | 0.05 | 0.01 | 0.04 | 0.87 | 1.15 |
| Calcium | 26 | 0.30 | 0.03 |  | 26 | 0.23 | 0.02 |  |  |  |  |  |
| Vitamin D + calcium | 24 | 0.30 | 0.04 | 1.00 | 24 | 0.28 | 0.03 | 0.22 | 0.05 | 0.03 | 0.17 | 1.21 |
| E-cadherin (OD) |  |  |  |  |  |  |  |  |  |  |  |  |

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| Treatment group | Baseline |  |  |  | 1-year follow-up |  |  |  | Absolute Rx effect |  |  | Relative effect ${ }^{d}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | n | Mean | SE | P | n | Mean | SE | P | Rx effect ${ }^{\text {b }}$ | SE | $\mathrm{P}^{\boldsymbol{c}}$ |  |
| Whole crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 30 | 4,551 | 258 |  | 30 | 4,614 | 256 |  |  |  |  |  |
| Vitamin D | 35 | 4,777 | 287 | 0.57 | 35 | 5,057 | 370 | 0.34 | 218 | 439 | 0.62 | 1.04 |
| No calcium | 19 | 4,900 | 383 |  | 19 | 4,461 | 280 |  |  |  |  |  |
| Calcium | 20 | 4,725 | 302 | 0.72 | 20 | 4,831 | 310 | 0.38 | 545 | 402 | 0.18 | 1.12 |
| Calcium | 22 | 4,578 | 284 |  | 22 | 4,702 | 325 |  |  |  |  |  |
| Vitamin D + calcium | 24 | 4,579 | 351 | 1.00 | 24 | 5,300 | 503 | 0.33 | 597 | 560 | 0.29 | 1.13 |
| Non-aspirin NSAID ${ }^{e}$ use $\geq 1 /$ week |  |  |  |  |  |  |  |  |  |  |  |  |
| APC (OD) |  |  |  |  |  |  |  |  |  |  |  |  |
| Upper 40\% of crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 17 | 1.063 | 174 |  | 17 | 819 | 145 |  |  |  |  |  |
| Vitamin D | 15 | 887 | 154 | 0.46 | 15 | 1,005 | 122 | 0.34 | 362 | 160 | 0.03 | 1.47 |
| No calcium | 8 | 1,424 | 243 |  | 8 | 1,323 | 177 |  |  |  |  |  |
| Calcium | 12 | 987 | 187 | 0.17 | 12 | 776 | 123 | 0.02 | -110 | 221 | 0.62 | 0.85 |
| Calcium | 13 | 878 | 176 |  | 13 | 655 | 131 |  |  |  |  |  |
| Vitamin D + calcium | 11 | 779 | 169 | 0.69 | 11 | 900 | 148 | 0.23 | 345 | 184 | 0.07 | 1.55 |
| $\beta$-catenin (OD) |  |  |  |  |  |  |  |  |  |  |  |  |
| Upper 40\% of crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 17 | 3,983 | 198 |  | 17 | 4,032 | 185 |  |  |  |  |  |
| Vitamin D | 15 | 4,197 | 309 | 0.55 | 15 | 3,952 | 208 | 0.78 | -294 | 298 | 0.33 | 0.93 |
| No calcium | 8 | 4,598 | 411 |  | 8 | 4,320 | 221 |  |  |  |  |  |
| Calcium | 12 | 4,043 | 330 | 0.30 | 12 | 4,096 | 261 | 0.55 | 331 | 448 | 0.47 | 1.08 |
| Calcium | 13 | 3,787 | 201 |  | 13 | 3,969 | 219 |  |  |  |  |  |
| Vitamin D + calcium | 11 | 4,060 | 330 | 0.47 | 11 | 3,787 | 250 | 0.59 | -455 | 314 | 0.16 | 0.89 |
| APC/ $\beta$-catenin score ${ }^{\text {a }}$ |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 17 | 0.27 | 0.04 |  | 17 | 0.20 | 0.03 |  |  |  |  |  |
| Vitamin D | 15 | 0.22 | 0.04 | 0.38 | 15 | 0.26 | 0.03 | 0.28 | 0.11 | 0.04 | 0.02 | 1.57 |
| No calcium | 8 | 0.34 | 0.06 |  | 8 | 0.32 | 0.05 |  |  |  |  |  |
| Calcium | 12 | 0.25 | 0.05 | 0.29 | 12 | 0.19 | 0.02 | 0.02 | -0.04 | 0.06 | 0.47 | 0.79 |

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| Treatment group | Baseline |  |  |  | 1-year follow-up |  |  |  | Absolute Rx effect |  |  | Relative effect ${ }^{d}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | n | Mean | SE | P | n | Mean | SE | P | Rx effect ${ }^{\text {b }}$ | SE | $\mathbf{p}^{c}$ |  |
| Calcium | 13 | 0.24 | 0.05 |  | 13 | 0.16 | 0.03 |  |  |  |  |  |
| Vitamin D + calcium | 11 | 0.19 | 0.03 | 0.41 | 11 | 0.24 | 0.04 | 0.15 | 0.13 | 0.05 | 0.01 | 1.88 |
| E-cadherin (OD) |  |  |  |  |  |  |  |  |  |  |  |  |
| Whole crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 16 | 4,980 | 359 |  | 16 | 4,582 | 290 |  |  |  |  |  |
| Vitamin D | 15 | 4,405 | 584 | 0.40 | 15 | 4,493 | 296 | 0.83 | 485 | 542 | 0.38 | 1.10 |
| No calcium | 8 | 5,794 | 1,005 |  | 8 | 5,446 | 482 |  |  |  |  |  |
| Calcium | 11 | 4,283 | 362 | 0.13 | 11 | 3,991 | 314 | 0.02 | 55 | 782 | 0.94 | 0.99 |
| Calcium | 12 | 4,558 | 343 |  | 12 | 4,306 | 257 |  |  |  |  |  |
| Vitamin D + calcium | 11 | 4,064 | 415 | 0.37 | 11 | 4,133 | 267 | 0.65 | 321 | 516 | 0.54 | 1.08 |
| Total fat intake < median ${ }^{\text {a }}$ |  |  |  |  |  |  |  |  |  |  |  |  |
| APC (OD) |  |  |  |  |  |  |  |  |  |  |  |  |
| Upper 40\% of crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 26 | 1,124 | 124 |  | 26 | 898 | 96 |  |  |  |  |  |
| Vitamin D | 24 | 959 | 105 | 0.32 | 24 | 746 | 95 | 0.27 | 13 | 110 | 0.91 | 0.97 |
| No calcium | 15 | 1,097 | 164 |  | 15 | 748 | 139 |  |  |  |  |  |
| Calcium | 14 | 1,325 | 112 | 0.27 | 14 | 1,044 | 96 | 0.10 | 68 | 147 | 0.65 | 1.16 |
| Calcium | 19 | 1,030 | 131 |  | 19 | 875 | 102 |  |  |  |  |  |
| Vitamin D + calcium | 16 | 1,012 | 143 | 0.93 | 16 | 838 | 120 | 0.82 | -19 | 136 | 0.89 | 0.97 |
| $\beta$-catenin (OD) |  |  |  |  |  |  |  |  |  |  |  |  |
| Upper 40\% of crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 26 | 3,705 | 186 |  | 26 | 4,000 | 219 |  |  |  |  |  |
| Vitamin D | 23 | 3,883 | 140 | 0.46 | 23 | 4,148 | 231 | 0.64 | -30 | 244 | 0.90 | 0.99 |
| No calcium | 15 | 3,541 | 228 |  | 15 | 3,755 | 268 |  |  |  |  |  |
| Calcium | 13 | 4,160 | 182 | 0.05 | 13 | 4,874 | 351 | 0.02 | 500 | 357 | 0.17 | 1.10 |
| Calcium | 19 | 3,728 | 208 |  | 19 | 4,081 | 250 |  |  |  |  |  |
| Vitamin D + calcium | 15 | 4,111 | 145 | 0.16 | 15 | 4,370 | 302 | 0.46 | -94 | 328 | 0.78 | 0.97 |
| APC/ $\beta$-catenin score ${ }^{a}$ |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 26 | 0.33 | 0.05 |  | 26 | 0.24 | 0.03 |  |  |  |  |  |

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| Treatment group | Baseline |  |  |  | 1-year follow-up |  |  |  | Absolute Rx effect |  |  | Relative effect ${ }^{d}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | n | Mean | SE | P | n | Mean | SE | P | Rx effect ${ }^{\text {b }}$ | SE | $\mathrm{P}^{\boldsymbol{c}}$ |  |
| Vitamin D | 23 | 0.25 | 0.03 | 0.14 | 23 | 0.19 | 0.02 | 0.17 | 0.03 | 0.04 | 0.44 | 1.02 |
| No calcium | 15 | 0.35 | 0.07 |  | 15 | 0.22 | 0.05 |  |  |  |  |  |
| Calcium | 13 | 0.33 | 0.03 | 0.82 | 13 | 0.24 | 0.03 | 0.80 | 0.03 | 0.05 | 0.47 | 1.13 |
| Calcium | 19 | 0.29 | 0.04 |  | 19 | 0.22 | 0.03 |  |  |  |  |  |
| Vitamin D + calcium | 15 | 0.24 | 0.04 | 0.40 | 15 | 0.20 | 0.03 | 0.68 | 0.03 | 0.04 | 0.45 | 1.10 |
| E-cadherin (OD) |  |  |  |  |  |  |  |  |  |  |  |  |
| Whole crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 24 | 4,412 | 308 |  | 24 | 4,615 | 254 |  |  |  |  |  |
| Vitamin D | 22 | 4,771 | 341 | 0.44 | 22 | 4,862 | 260 | 0.50 | -112 | 379 | 0.77 | 0.97 |
| No calcium | 13 | 5,071 | 614 |  | 13 | 4,923 | 438 |  |  |  |  |  |
| Calcium | 14 | 4,302 | 234 | 0.24 | 14 | 4,689 | 334 | 0.67 | 534 | 488 | 0.28 | 1.12 |
| Calcium | 17 | 4,219 | 270 |  | 17 | 4,419 | 275 |  |  |  |  |  |
| Vitamin D + calcium | 16 | 4,575 | 315 | 0.40 | 16 | 4,913 | 245 | 0.19 | 138 | 409 | 0.74 | 1.03 |
| Total fat intake $\geq$ median ${ }^{f}$ |  |  |  |  |  |  |  |  |  |  |  |  |
| APC (OD) |  |  |  |  |  |  |  |  |  |  |  |  |
| Upper 40\% of crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 22 | 1,077 | 139 |  | 22 | 805 | 96 |  |  |  |  |  |
| Vitamin D | 29 | 905 | 101 | 0.31 | 29 | 993 | 104 | 0.20 | 360 | 137 | 0.01 | 1.47 |
| No calcium | 12 | 961 | 187 |  | 12 | 868 | 142 |  |  |  |  |  |
| Calcium | 18 | 1,127 | 144 | 0.48 | 18 | 927 | 103 | 0.74 | -108 | 193 | 0.58 | 0.91 |
| Calcium | 19 | 1,057 | 154 |  | 19 | 774 | 110 |  |  |  |  |  |
| Vitamin D + calcium | 20 | 916 | 113 | 0.46 | 20 | 1,069 | 124 | 0.08 | 435 | 145 | 0.00 | 1.59 |
| $\beta$-catenin (OD) |  |  |  |  |  |  |  |  |  |  |  |  |
| Upper 40\% of crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 22 | 3,836 | 187 |  | 22 | 3,999 | 192 |  |  |  |  |  |
| Vitamin D | 29 | 3,941 | 227 | 0.73 | 29 | 3,801 | 162 | 0.43 | -303 | 192 | 0.12 | 0.93 |
| No calcium | 12 | 4,247 | 295 |  | 12 | 4,172 | 199 |  |  |  |  |  |
| Calcium | 18 | 3,879 | 279 | 0.39 | 18 | 3,897 | 211 | 0.38 | 92 | 297 | 0.76 | 1.02 |
| Calcium | 19 | 3,881 | 201 |  | 19 | 4,000 | 199 |  |  |  |  |  |

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| Treatment group | Baseline |  |  |  | 1-year follow-up |  |  |  | Absolute Rx effect |  |  | Relative effect ${ }^{d}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | n | Mean | SE | P | n | Mean | SE | P | Rx effect ${ }^{\text {b }}$ | SE | $\mathrm{P}^{\boldsymbol{c}}$ |  |
| Vitamin D + calcium | 20 | 3,698 | 283 | 0.60 | 20 | 3,608 | 213 | 0.19 | -209 | 205 | 0.31 | 0.95 |
| APC/ß-catenin score ${ }^{\text {a }}$ |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 22 | 0.28 | 0.03 |  | 22 | 0.20 | 0.02 |  |  |  |  |  |
| Vitamin D | 29 | 0.26 | 0.04 | 0.76 | 29 | 0.28 | 0.03 | 0.07 | 0.09 | 0.04 | 0.02 | 1.47 |
| No calcium | 12 | 0.24 | 0.05 |  | 12 | 0.22 | 0.04 |  |  |  |  |  |
| Calcium | 18 | 0.31 | 0.05 | 0.28 | 18 | 0.24 | 0.03 | 0.63 | -0.05 | 0.05 | 0.33 | 0.85 |
| Calcium | 19 | 0.27 | 0.04 |  | 19 | 0.19 | 0.02 |  |  |  |  |  |
| Vitamin D + calcium | 20 | 0.27 | 0.05 | 0.82 | 20 | 0.31 | 0.04 | 0.01 | 0.11 | 0.04 | 0.01 | 1.57 |
| E-cadherin (OD) |  |  |  |  |  |  |  |  |  |  |  |  |
| Whole crypts |  |  |  |  |  |  |  |  |  |  |  |  |
| No vitamin D | 19 | 5,007 | 315 |  | 19 | 4,569 | 341 |  |  |  |  |  |
| Vitamin D | 28 | 4,582 | 393 | 0.44 | 28 | 4,908 | 451 | 0.58 | 764 | 603 | 0.21 | 1.17 |
| No calcium | 12 | 5,325 | 626 |  | 12 | 4,633 | 320 |  |  |  |  |  |
| Calcium | 16 | 4,739 | 398 | 0.42 | 16 | 4,341 | 356 | 0.56 | 293 | 580 | 0.62 | 1.05 |
| Calcium | 16 | 4,883 | 343 |  | 16 | 4,661 | 392 |  |  |  |  |  |
| Vitamin D + calcium | 19 | 4,284 | 434 | 0.30 | 19 | 4,950 | 647 | 0.72 | 888 | 722 | 0.23 | 1.21 |

Abbreviations: SE, standard error; OD, optical density
 ${ }^{e}$ Nonsteroidal anti-inflammatory drug
${ }^{f}$ Sex-specific median for total fat intake: $61.25 \mathrm{~g} / \mathrm{d}$ for men, and $47.00 \mathrm{~g} / \mathrm{d}$ for women


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    Analysis and interpretation of data: S Liu, RM Bostick, JA Baron, EL Barry
    Writing, review, and/or revision of the manuscript: S Liu, RM Bostick, JA Baron, EL Barry
    Administrative, technical, or material support: RM Bostick, JA Baron, EL Barry, RE Rutherford, ME Seabrook
    Study supervision: RM Bostick

[^1]:    ${ }^{a}$ Data are given as means (SD) unless otherwise specified
    $b_{\text {By Fisher's Exact test for categorical variables, and ANOVA for continuous variables }}$
    chy Fisher's Exact test for categorical variables, and t-test for continuous variables
    ${ }^{d}$ Nonsteroidal anti-inflammatory drug

[^2]:    One subject excluded due to missing values for the measurement of $\beta$-catenin expression

