

# Efficient Compilers for Authenticated Group Key Exchange

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**Abstract.** In this paper we propose two compilers which are designed to transform a group key exchange protocol secure against any passive adversary into an authenticated group key exchange protocol with key confirmation which is secure against any passive adversary, active adversary, or malicious insider. We show that the first proposed compiler gives protocols that are more efficient than those produced by the compiler of Katz and Yung.

## 1 Introduction

The case of 2-party authenticated key exchange has been well investigated within the cryptographic community; however, less attention has been given to the case of authenticated group key exchange protocols, which have more than two participants. A number of authors have considered extending the 2-party Diffie-Hellman protocol [1] to the group setting (e.g. [2, 3]). Unfortunately, most of these schemes only assume a passive adversary, so that they are vulnerable to active adversaries who control the communication network. Recently, several provably secure (against both passive and active adversaries) authenticated group key agreement protocols (e.g. [6, 7]) have been proposed.

In this paper we are particularly interested in protocol compilers which transform one kind of protocols into another. In [8], Mayer and Yung give a compiler which transforms any 2-party protocol into a centralised group protocol which, however, is not scalable. Recently, Katz and Yung [4] proposed a compiler (referred to as the Katz-Yung compiler) which transforms a group key exchange protocol secure against any passive adversary into an authenticated group key exchange protocol which is secure against both passive and active adversaries. Although the Katz-Yung compiler produces more efficient protocols than the Mayer-Yung compiler, it nevertheless still produces rather inefficient protocols. Each participant is required to perform numbers of signatures and verifications proportional to the number of rounds in the original protocol and the number of participants involved, respectively. Additionally, the Katz-Yung compiler also adds an additional round to the original protocol, but does not achieve key confirmation. We propose two new more efficient compilers and prove their security. Both our new compilers result in protocols achieving key confirmation with

lower computational complexity and round complexity than those produced by the compiler of Katz and Yung. Note that proofs of the two main theorems have been omitted for space reasons — these proofs will be given in the full version of the paper.

The rest of this paper is organised as follows. In section 2, we review the Katz-Yung compiler. In section 3, we propose a new compiler which transforms a group key exchange protocol secure against any passive adversary into an authenticated group key exchange protocol with key confirmation which is secure against any passive adversary, active adversary, or malicious insider. In section 4, we propose a second new compiler which outputs protocols that are both more efficient and provide the same functionality as the first compiler, at the cost of introducing a TTP. In section 5, we conclude the paper.

## 2 The Katz-Yung compiler

In this section we review the Katz-Yung compiler. With respect to the protocol  $P$  input to the compiler, which must be a group key exchange protocol secure against any passive adversary, we make the following assumptions:

1. There is no key confirmation, and all participants compute the session key after the last round of the protocol.
2. Every protocol message is transported together with the identifier of the source and the round number.

### 2.1 Description of the Katz-Yung compiler

Let  $\Sigma = (Gen, Sign, Vrfy)$  be a signature scheme which is strongly unforgeable under an adaptive chosen message attack (see, for example, [9] for a definition). If  $k$  is a security parameter,  $Gen(1^k)$  generates a pair of public/private keys for the signing and verification algorithms  $(Vrfy, Sign)$ .

Suppose a set  $S = \{U_1, \dots, U_n\}$  of users wish to establish a session key. Let  $ID_{U_i}$  represent  $U_i$ 's identity for every  $i$  ( $1 \leq i \leq n$ ). Given  $P$  is any group key exchange protocol secure against any passive adversary, the compiler constructs a new protocol  $P'$ , in which each party  $U_i \in S$  performs as follows.

1. In the initialisation phase, and in addition to all the operations in protocol  $P$ , each party  $U_i \in S$  generates a verification/signing key pair  $(PK_{U_i}, SK_{U_i})$  by running  $Gen(1^k)$ , where  $k$  is a security parameter.
2. Each user  $U_i$  chooses a random  $r_i \in \{0, 1\}^k$  and broadcasts  $ID_{U_i} || 0 || r_i$ , where here, as throughout,  $||$  represents concatenation. After receiving the initial broadcast message from all other parties, each  $U_i$  sets  $nonce_i = ((ID_{U_1}, r_1), \dots, (ID_{U_n}, r_n))$  and stores this as part of its state information. It is obvious that all the users will share the same nonce, i.e.,  $nonce_1 = nonce_2 = \dots = nonce_n$ , as long as no attacker changes the broadcast data (or an accidental error occurs).

3. Each user  $U_i$  in  $S$  executes  $P$  according to the following rules.
  - Whenever  $U_i$  is supposed to broadcast  $ID_{U_i}||j||m$  as part of protocol  $P$ , it computes  $\sigma_{ij} = \text{Sign}_{SK_{U_i}}(j||m||\text{nonce}_i)$  and then broadcasts  $ID_{U_i}||j||m||\sigma_{ij}$ .
  - Whenever  $U_i$  receives a message  $ID_U||j||m||\sigma$ , it checks that: (1)  $U \in S$ , (2),  $j$  is the next expected sequence number for a message from  $U$ , and (3)  $\text{Vrfy}_{PK_U}(j||m||\text{nonce}_i, \sigma) = 1$  where 1 signifies acceptance. If any of these checks fail,  $U_i$  aborts the protocol. Otherwise,  $U_i$  continues as it would in  $P$  upon receiving message  $ID_U||j||m$ .
4. Each non-aborted protocol instance computes the session key as in  $P$ .

## 2.2 Security and efficiency

Katz and Yung [4] claim that their proposed compiler provides a scalable way to transform a key exchange protocol secure against a passive adversary into an authenticated protocol which is secure against an active adversary. They also illustrate efficiency advantages over certain other provably-secure authenticated group key exchange protocols. With respect to efficiency, we make the following observations on the protocols produced by the Katz-Yung compiler.

1. Each user  $U_i$  must store the nonce  $\text{nonce}_i = ((U_1, r_1), \dots, (U_n, r_n))$  regardless of whether or not the protocol successfully ends. Since the length of this information is proportional to the group size, the storage of such state information will become a non-trivial overhead when the group size is large.
2. From the second round onwards, the compiler requires each user to sign all the messages it sends in the protocol run, and to verify all the messages it receives. Since the total number of signature verifications in one round is proportional to the group size, the signature verifications will potentially use a significant amount of computational resource when the group size is large.
3. The compiler adds an additional round to the original protocol  $P$ ; however, it does not provide key confirmation. As Katz and Yung state [4], in order to achieve key confirmation a further additional round is required.

## 3 A new compiler without TTP

In this section we propose a new compiler that transforms a group key exchange protocol  $P$  secure against a passive adversary into an authenticated group key exchange protocol  $P'$  with key confirmation which is secure against passive and active adversaries, as well as malicious insiders.

We assume that  $\Sigma = (\text{Gen}, \text{Sign}, \text{Vrfy})$  is a signature scheme as specified in section 2.1. We also assume that a unique session identifier  $S_{ID}$  is securely distributed to the participants before every instance is initiated. In [5] Katz and Shin propose the use of a session identifier to defeat insider attacks.

Suppose a set  $S = \{U_i, \dots, U_n\}$  of users wish to establish a session key, and  $h$  is a one-way hash function. Let  $ID_{U_i}$  represent  $U_i$ 's identity for every  $i$  ( $1 \leq i \leq n$ ).

Given a protocol  $P$  secure against any passive adversary, the new compiler constructs a new protocol  $P'$ , in which each party  $U_i \in S$  performs as follows.

1. In addition to all the operations in the initialisation phase of  $P$ , each party  $U_i \in S$  also generates a verification/signing key pair  $(PK_{U_i}, SK_{U_i})$  by running  $Gen(1^k)$ .
2. In each round of the protocol  $P$ ,  $U_i$  performs as follows.
  - In the first round of  $P$ , each user  $U_i$  sets its key exchange history  $H_{i,1}$  to be the session identifier  $S_{ID}$ , and sets the round number  $k$  to 1. During each round,  $U_i$  should synchronise the round number  $k$ .
  - When  $U_i$  is required to send a message  $m_i$  to other users, it broadcasts  $M_i = ID_{U_i} || k || m_i$ .
  - Once  $U_i$  has received all the messages  $M_j$  ( $1 \leq j \leq n, j \neq i$ ), it computes the new key exchange history as:

$$H_{i,k} = h(H_{i,k-1} || S_{ID} || k || M_1 || \dots || M_n)$$

Then  $U_i$  continues as it would in  $P$  upon receiving the messages  $m_j$  ( $1 \leq j \leq n, j \neq i$ ). Note that  $U_i$  does not need to retain copies of all received messages.

3. In an additional round,  $U_i$  computes and broadcasts the key confirmation message  $ID_{U_i} || k || \sigma_i$ , where  $\sigma_i = Sign_{SK_{U_i}}(ID_{U_i} || H_{i,k} || S_{ID} || k)$ .
4.  $U_i$  verifies the key confirmation messages from  $U_j$  ( $1 \leq j \leq n, j \neq i$ ). If all the verifications succeed, then  $U_i$  computes the session key  $K_i$  as specified in protocol  $P$ . Otherwise, if any verification fails, then  $U_i$  aborts the protocol execution.

In addition to the initialisation phase, the above protocol adds one round to the original protocol and achieves key confirmation. Each participant needs to sign one message and verify  $n$  signatures, in addition to the computations involved in performing  $P$ . In addition, each participant only needs to store the (hashed) key exchange history. Hence this compiler yields protocols that are more efficient than those produced by the Katz-Yung compiler.

**Theorem 1.** *If  $h$  can be considered as a random oracle, the compiler transforms a group key exchange protocol  $P$  secure against any passive adversary into an authenticated group key exchange protocol  $P'$  with key confirmation which is secure against any passive adversary, active adversary, or malicious insider.*

## 4 A new compiler with TTP

We assume that  $\Sigma = (Gen, Sign, Vrfy)$  is a signature scheme which is strongly unforgeable under an adaptive chosen message attack. We also assume that a unique session identifier  $S_{ID}$  is securely distributed to the participants and the TTP before every protocol instance is initiated. In addition, we assume that the TTP acts honestly and is trusted by all the participants.

Suppose a set  $S = \{U_1, \dots, U_n\}$  of users wish to establish a session key, and  $h$  is a one-way hash function. Let  $ID_{U_i}$  represent  $U_i$ 's identity for every  $i$  ( $1 \leq i \leq n$ ).

Given a protocol  $P$  secure against any passive adversary, the compiler constructs a new protocol  $P'$ , in which each party  $U_i \in S$  performs as follows.

1. In addition to all the operations in the initialisation phase of  $P$ , the TTP generates a verification/signing key pair  $(PK_{TA}, SK_{TA})$  by running  $Gen(1^k)$ , and make  $PK_{TA}$  known to all the potential participants. Each party  $U_i \in S$  also generates a key pair  $(PK_{U_i}, SK_{U_i})$  by running  $Gen(1^k)$ . The TTP knows all  $PK_{U_i}$  ( $1 \leq i \leq n$ ).
2. In each round of the protocol  $P$ ,  $U_i$  performs according to the following rules.
  - In the first round of  $P$ ,  $U_i$  sets his key exchange history  $H_{i,1}$  to be  $S_{ID}$ , and sets the round number  $k$  to 1. During each round,  $U_i$  should synchronise the round number  $k$ .
  - When  $U_i$  is supposed to send message  $m_i$  to other users, it broadcasts  $M_i = ID_{U_i} || k || m_i$ .
  - When  $U_i$  receives the message  $M_j$  from user  $U_j$  ( $1 \leq j \leq n$ ), it computes the new key exchange history as:

$$H_{i,k} = h(H_{i,k-1} || S_{ID} || k || M_1 || \dots || M_n)$$

Then  $U_i$  continues as it would in  $P$  upon receiving the messages  $M_j$ . As before,  $U_i$  does not need to store copies of received messages.

- In an additional round,  $U_i$  computes and sends the key confirmation message  $ID_{U_i} || k || H_{i,t} || \sigma_i$  to the TTP, where

$$\sigma_i = \text{Sign}_{SK_{U_i}}(ID_{U_i} || H_{i,t} || S_{ID} || k)$$

3. The TTP checks whether all the key exchange histories from  $U_j$  ( $1 \leq j \leq n$ ) are the same, and verifies each signature. If all these verifications succeed, the TTP computes and broadcasts the signature  $\sigma_{TA} = \text{Sign}_{SK_{TA}}(H_{i,k} || S_{ID} || k)$ . Otherwise, the TTP broadcasts a failure message  $\sigma_{TA} = \text{Sign}_{SK_{TA}}(S_{ID} || str)$ , where  $str$  is a pre-determined string indicating protocol failure.
4.  $U_i$  verifies the signature from TA. If the verification succeeds, then  $U_i$  computes the session key  $K_i$  as required in protocol  $P$ . If this check fails, or if  $U_i$  receives a failure message from the TTP, then  $U_i$  aborts the protocol.

In addition to the initialisation phase, the above protocol adds two rounds to the original protocol and achieves key confirmation. Each participant needs to sign one message and verify one signature, in addition to the computations involved in performing  $P$ . In addition, it only needs to store the (hashed) key exchange history. Of course, the TTP needs to verify  $n$  signatures and generate one signature.

**Theorem 2.** *If  $h$  can be considered as a random oracle, the compiler transforms a group key exchange protocol  $P$  secure against any passive adversary into an authenticated group key exchange protocol  $P'$  with key confirmation which is secure against any passive adversary, active adversary, or malicious insider.*

## 5 Conclusion

In this paper, we have investigated existing methods for building authenticated group key agreement protocols, and proposed two compilers which can generate more efficient protocols than the Katz-Yung compiler.

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