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# Electro-Optically Tunable Multifunctional Metasurfaces

Ghazaleh Kafaie Shirmanesh,<sup>†</sup> Ruzan Sokhoyan,<sup>†</sup> Pin Chieh Wu,<sup>†,‡</sup> and Harry A. Atwater<sup>†,‡,\*</sup>

<sup>†</sup> Thomas J. Watson Laboratory of Applied Physics and <sup>‡</sup> Kavli Nanoscience Institute, California Institute of Technology, Pasadena, California 91125, USA

<sup>‡</sup> Department of Photonics, National Cheng Kung University, Tainan 70101, Taiwan

\*E-mail: [haa@caltech.edu](mailto:haa@caltech.edu)

**Abstract:** Shaping the flow of light at the nanoscale has been a grand challenge for nanophotonics over decades. It is now widely recognized that metasurfaces represent a chip-scale nanophotonics array technology capable of comprehensively controlling the wavefront of light *via* appropriately configuring subwavelength antenna elements. Here, we demonstrate a reconfigurable metasurface that is multifunctional, *i.e.*, notionally capable of providing diverse optical functions in the telecommunication wavelength regime, using a single compact, lightweight, electronically-controlled array with no moving parts. By electro-optical control of the phase of the scattered light from each identical individual metasurface element in an array, we demonstrate a single prototype multifunctional programmable metasurface that is capable of both dynamic beam steering and reconfigurable light focusing. Reconfigurable multifunctional metasurfaces with arrays of tunable optical antennas thus can perform arbitrary optical functions by programmable array-level control of scattered light phase, amplitude, and polarization, similar to dynamic and programmable memories in electronics.

**Keywords:** active metasurface, multifunctional, indium tin oxide, wavefront engineering, beam steering, focusing meta-mirror

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3 Rapid advances in control of the phase and amplitude of the light scattered from planar arrays of  
4 nanophotonic elements has stimulated the development of metasurfaces that utilize amplitude/phase-  
5 sensitive scattering to enable wavefront engineering.<sup>1,2</sup> Metasurfaces are also now demonstrating some of  
6 their potential applications in compact, high-performance, and low-cost optical devices and components,  
7 creating burgeoning interest in photonic integration. To date, metasurfaces have mostly been designed in  
8 an application-specific manner and the design process resulted in bespoke architectures tailored to particular  
9 applications. Dynamical control of the properties of the scattered light is possible by using tunable  
10 metasurfaces, for which external stimuli such as electrical biasing, optical pumping, heating, or elastic strain  
11 can give rise to changes in the dielectric function or physical dimensions of the metasurface elements,<sup>3</sup>  
12 thereby modulating the antenna phase and amplitude response. Among these mechanisms, electrical tuning  
13 has been proven to be a robust, energy-efficient and reversible scheme for tuning active metasurfaces.<sup>4-16</sup>

14  
15 The ability of metasurfaces to spectrally, temporally, or spatially manipulate the wavefront of light  
16 with very high spatial resolution, is expected to accelerate miniaturization of optical devices and integration  
17 of optical systems.<sup>17</sup> However, in spite of advances in active metasurfaces to date, multifunctional  
18 reprogrammable metasurface components have not yet been demonstrated. Realization of a single hardware  
19 device that can provide multiple and --indeed general-- functions would further accelerate the impact of  
20 metasurfaces and their applications. Such multifunctionality can be found in electronics technology that has  
21 benefitted from development of programmable and reprogrammable circuits composed of identical circuit  
22 elements, such as dynamic<sup>18</sup> and static<sup>19</sup> random access memories and field-programmable gate arrays.<sup>20</sup> In  
23 this paper, we demonstrate a state-of-the-art prototypical multifunctional metasurface which can be  
24 electronically programmed to achieve two of the most essential functions identified to date for metasurfaces,  
25 namely, beam steering and focusing of light.

26  
27 Optical beam steering is the key element of a broad range of optical systems such as light detection  
28 and ranging (LiDAR),<sup>21</sup> optical interconnects,<sup>22</sup> and optical communications.<sup>23</sup> Conventional beam steering  
29 devices such as Risley prisms,<sup>24</sup> galvanometer-scanning mirrors,<sup>25</sup> and decentered lenses<sup>26</sup> employ  
30 mechanically moving optical components to steer the incident light. Although mechanical beam steering  
31 systems provide wide steering angular range and large number of resolvable beam directions, they suffer  
32 from low steering speed due to the inertia of their moving parts and the weight of their mechanical  
33 components.<sup>27</sup> The availability of electronic beam steering arrays at near infrared (NIR) wavelengths with  
34 scanning frequencies above the MHz range could replace mechanical components with compact and  
35 lightweight optoelectronic alternatives and enable diverse functions unachievable *via* mechanical motion.

36  
37 Reconfigurable metasurfaces have recently been employed to provide dynamic beam steering in  
38 the microwave and NIR regimes by exploiting microfluidic flows,<sup>28, 29</sup> incorporation of phase-change  
39 materials,<sup>30</sup> and reorientation of liquid crystals.<sup>31</sup> However, the performance of these devices is limited due  
40 to their failure to provide an exquisite control over the phase of the scattered light and accurately generate  
41 a desired phase profile, leaving them unable to demonstrate arbitrary functions. Alternatively, electro-optic  
42 modulation in multiple-quantum-well resonant metasurfaces,<sup>32,33</sup> an intrinsically ultrafast process, has been  
43 shown to provide high-speed modulation and dynamic beam steering, but to date, a limited phase  
44 modulation range has constrained the achievable beam directivity and steering angle range.

45  
46 Electro-optically controllable beam-switching has also been demonstrated *via* incorporation of  
47 transparent conducting oxides as active material into metasurfaces.<sup>4, 34, 35</sup> However, individual control over  
48 each metasurface element, which is required for more complex phase distribution patterns, has not been  
49 reported. Other researchers have demonstrated beam steering using waveguide-based thermo-optical phase  
50 shifters coupled to antennas<sup>36-40</sup> or by employing frequency-gradient metasurfaces.<sup>41</sup> These chip-based  
51 antenna arrays can enable beam steering at visible or infrared frequencies, but are application-specific, and  
52 hence, has been unable to achieve more general array functionalities.

53  
54 Light focusing is another paramount optical function that plays a fundamental role in almost every  
55 optical system such as imaging, microscopy, optical data storage, and optical encryption.<sup>42</sup> Metasurfaces  
56 have given rise to versatile metalenses that can replace bulky conventional lenses by engineering the spatial  
57 variation of field amplitude or phase distribution over arrays of individual metasurface elements at  
58 approximately wavelength-scale or smaller spacing.<sup>43-47</sup> Metalenses have demonstrated the capability to

perform high-resolution imaging, wavefront shaping for aberration correction, and polarization conversion.<sup>1, 2, 48</sup>

Reconfigurable metasurfaces have been utilized to realize dynamic focusing by variation of the overall lens optical thickness or curvature, *via* liquid crystal reorientation,<sup>49</sup> microfluidic flow,<sup>50, 51</sup> or elastic deformation.<sup>52</sup> However, these modes of dynamic focusing do not permit precise tailoring of the lens focal properties by arbitrary phase control of the lens phase elements.

Here, we design and realize a multifunctional electro-optically tunable metasurface that can exhibit multiple functions in the NIR wavelength regime using a single device, *via* precise tailoring of the phase profile of an optical aperture. Figure 1a schematically illustrates this metasurface, whose independently addressable elements enable dynamic control of the wavefront *via* a pixel-by-pixel reconfiguration. Using this scheme, we demonstrate a reprogrammable metasurface whose function can be reconfigured between dynamic beam steering (Figure 1b) and dynamic focusing meta-mirrors, achieving a reconfigurable focal length and numerical aperture (Figure 1c) by tuning of the gate voltages applied to individual metasurface elements.

## Results and Discussions

### Design of Electro-Optically Tunable Metasurface Element

Figure 2a,b schematically illustrates the building blocks of our tunable gated field-effect metasurface, consisting of an Au back-reflector, on top of which an Al<sub>2</sub>O<sub>3</sub> layer is deposited. The Al<sub>2</sub>O<sub>3</sub> layer acts as a dielectric spacer, adding a degree of freedom for the metasurface optical mode profile design. This layer is followed by deposition of an indium-tin-oxide (ITO) layer, a gate dielectric, and Au ‘fishbone’ nanoantennas. The fishbone nanoantennas are comprised of patch antennas that are connected together by Au stripes, which also serve as gate voltage control electrodes. The gate dielectric is a hafnium/aluminum oxide nanolaminate (HAOL), a hybrid material that simultaneously exhibits high breakdown field and high DC permittivity<sup>6</sup> (see Supporting Information, Part 1 for a comparison between the proposed metasurface design and the dual-gated metasurface design<sup>6</sup>). We apply a DC electric bias between the ITO layer and the nanoantennas. This causes the ITO layer to undergo a reproducible field-effect-induced index change. By altering the applied electric field, one can modulate the ITO charge carrier density close to the interface of the ITO and the gate dielectric. By further increasing the applied bias, the real part of the dielectric permittivity in an accumulation layer located within ITO takes values between -1 and +1, yielding an epsilon-near-zero (ENZ) condition. In the ENZ regime, the ITO layer permittivity is varied at NIR wavelengths by changing the applied DC bias (see Supporting Information, Part 2).

The width and length of the antenna, and the width of the electrode are designed so that a magnetic dipole plasmon resonance occurs at the wavelengths coinciding with the ENZ regime for ITO, operating in the telecommunication wavelength regime. As a result of the spectral overlap of the ENZ regime of ITO and the geometrical resonance of the metasurface, the metasurface is expected to exhibit large phase modulation.

### Optical Modulation in Electro-Optically Tunable Metasurface Element

Figure 2c shows the reflectance spectrum of the metasurface for different applied biases. As seen in Figure 2c, at all applied biases, resonant dips are clearly observed at wavelengths close to  $\lambda = 1500$  nm, our wavelength of interest. Figure 2d,e illustrates the simulated reflectance and phase shift as a function of applied bias at different wavelengths. Here, phase shift is defined as a difference between the phases of the reflected and incident plane waves calculated at the same spatial point.

As can be seen, when the external bias is changed, we observe a reflectance change that is accompanied by significant phase modulation. This demonstrates that both the real and imaginary parts of the refractive index of the active region in the ITO layer are modulated by the applied bias. Once we obtained the reflectance and phase shift spectrum of the designed metasurface under applied bias, we can then pick the operation wavelength of the beam steering and focusing devices. To accomplish this, we utilize the

metasurface as a phase modulator, for which the reflectance should ideally remain constant upon change in the applied bias. Here the operation wavelength of  $\lambda = 1510$  nm is chosen so that we obtain a phase shift of higher than  $270^\circ$  while the maximum reflectance modulation remains as small as possible (see Methods and Supporting Information, Part 3). After confirming this tunable response, we experimentally obtained the reflectance and phase shift of the fabricated metasurface under applied bias. Figure 2f illustrates the measured reflectance (blue curve) and phase shift (red curve) as a function of applied bias. In order to experimentally evaluate the reflection phase shift from the metasurface, we used a Michelson interferometer system.<sup>6, 8</sup> By focusing the incident laser beam on the edge of the metasurface nano-antenna array, the scattered beam is reflected partly from the metasurface and partly from the gold back-plane, resulting in a lateral shift in the interference fringe patterns of the metasurface and the back-reflector when changing the applied bias. By fitting these two cross sections to sinusoidal functions and obtaining the relative delay between the fitted sinusoidal curves when changing the applied voltage, we could retrieve the phase shift acquired due to the applied bias. As seen in Figure 2f, at an operation wavelength of  $\lambda=1522$  nm, an actively tunable continuous phase shift of  $0^\circ$  to  $274^\circ$  is accompanied by a non-negligible reflectance modulation. When analyzing beam steering performance of our multifunctional metasurface, we observe that the mentioned reflectance modulation results in the increased intensity of the undesired side-lobes in the far-field radiation pattern (see Supporting Information, Part 12 for the effect of the reflectance modulation on the beam steering performance of our multifunctional metasurface). Moreover, since the complex dielectric permittivity of ITO is significantly modulated only in a sub-nm-thick layer, a large tunable phase shift is observed only when the optical field is tightly confined in this sub-nm-thick ITO active layer. This tight field confinement results in enhanced absorbance and, hence, reduced reflectance of our active metasurface. Changing the thickness of the dielectric  $\text{Al}_2\text{O}_3$  and HAOL layers, or using transparent conducting oxides with higher electron mobilities, such as cadmium oxide ( $\text{CdO}$ ),<sup>53</sup> one can increase the reflectance of the metasurface (see Supporting Information, Part 4).

Once we validated the modulation performance of the individual metasurface elements, we investigated the metasurface array beam steering and focusing performance. Scanning electron microscopy (SEM) images of the fabricated metasurface nanoantennas are shown in Figure 3a. In our metasurface device, nanoantennas are electrically bus-connected together in one direction, forming equipotential antenna rows, referred to here as a metasurface pixel. Then each pixel is individually controlled by a separate applied gate voltage. Figure 3b is a photomicrograph of the fabricated array, consisting of 96 individually-controllable and identical metasurface pixels (see Methods and Supporting Information, Part 5 for fabrication steps). In order to individually bias each of these metasurface pixels, we designed two printed circuit boards (PCBs). Figure 3c shows the first PCB with the multifunctional metasurface mounted on and wire-bonded to it. Each conducting pad on the first PCB is then connected to an external pin on the second PCB that is shown in Figure 3d. This voltage deriving PCB is capable of providing 100 independent voltages that can be individually controlled through programming a number of micro-controllers by a computer (see Methods).

In order to characterize our multifunctional metasurface, we used a custom-built optical setup to measure reflectance spectrum, phase shift, beam steering profile, and focused beam profile (see Supporting Information, Part 6-10 for a detailed description of the measurements).

## Demonstration of Beam Steering

After validating the wide phase tunability of our metasurface, we designed and demonstrated a dynamic beam steering device. In order to implement beam steering, we designed the spatial phase profile of the light reflected from the metasurface by engineering the spatial distribution of the DC bias voltages applied to the 96 metasurface pixels (see Supporting Information, Part 11).

In order to design the spatial phase profile of the metasurface, we employed a multilevel approximation of blazed grating approach<sup>54-56</sup> that is widely used for demonstration of beam steering metasurfaces.<sup>31, 32, 34</sup> Here, we discretized the phase shift acquired by the metasurface pixels into four levels  $0^\circ$ ,  $90^\circ$ ,  $180^\circ$ , and  $270^\circ$  (see Supporting Information, Part 12 for a discussion on the choice of phase distribution). In this



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3 configuration, the metasurface acts as a diffraction grating with reconfigurable periodicity. Each effective  
4 period, hereafter termed a supercell, consists of the metasurface pixels exhibiting the discretized 4-level  
5 phase shift values. When no bias is applied, we observed only the zeroth order diffracted beam in the Fourier  
6 plane. In other words, the subwavelength period of the metasurface results in an absence of higher-order  
7 diffracted beams at zero bias. By changing the pixel repetition number ( $RN$ ) for each phase shift value  
8 within one supercell, we electrically modulated the effective periodicity of the metasurface array. This  
9 resulted in a shift of the spatial position of the first diffracted order, enabling manipulation of the far-field  
10 radiation.

11 Figure 4a shows the metasurface spatial phase profiles, for the four-level phase shift with different  
12  $RN$  values. In Figure 4a, each gray-shaded region determines one supercell in each case. The simulated far-  
13 field pattern of the beam steering device is presented in Figure 4b (see Supporting Information, Part 12 for  
14 simulation methods). It should be noted that the simulations correspond to the dimensions of our fabricated  
15 metasurface that showed an average pitch size of 504 nm. As can be seen, by changing the  $RN$  value, the  
16 size of the metasurface supercell is electrically modulated, resulting in reconfigurable beam steering with  
17 quasi-continuous steering angles that can be as large as  $\sim 70.5^\circ$  for our metasurface design with a pitch size  
18 of 400 nm (see Supporting Information, Part 12). Figure 4c shows the measured far-field pattern for our  
19 beam steering device. Due to limitations of our measurement setup, steering angles of higher than  $23.5^\circ$   
20 could not be captured by the imaging system. As a result, the maximum measured steering angle was  $\sim 22^\circ$ ,  
21 which corresponds to a repeat number of 2. As expected, by increasing the effective period of the  
22 metasurface, the beam angle becomes smaller. We also note that for each  $RN$  value, no diffracted order  
23 with an intensity equal to that of the desired steering angle is observed at negative angles, indicating true  
24 phase gradient beam steering rather than switchable diffraction. This confirms that the beam steering is  
25 obtained as a result of the asymmetric phase gradient introduced by the subwavelength metasurface phase  
26 elements.  
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28

## 29 **Demonstration of Dynamic Focusing Meta-Mirror**

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31 Using the same concept of controlling the phase imposed by each individual metasurface pixel, we  
32 were able to demonstrate use of our multifunctional metasurface as a reconfigurable lens by developing  
33 phase profiles for lenses with different focal lengths. Figure 5a-c shows the spatial distribution of the phase  
34 shift (diamond) and the corresponding applied bias voltage (square) required to focus the reflected beam at  
35 focal lengths of 1.5  $\mu\text{m}$ , 2  $\mu\text{m}$  and 3  $\mu\text{m}$ . These values were extracted from the simulated phase shift as a  
36 function of applied bias (see Supporting Information, Part 13). In order to investigate the focusing  
37 performance, we simulated the multifunctional metasurface under the applied bias distributions illustrated  
38 in Figure 5a-c. In our full-wave electromagnetic simulations, we modeled a miniaturized lens with a 20  $\mu\text{m}$   
39 aperture size since simulating the full metasurface at the small mesh sizes required for the ITO layer active  
40 region is beyond our present numerical simulation capability. Figure 5d-f illustrate the far-field pattern of  
41 the beam reflected from our tunable metasurface in the  $x$ - $z$  plane. As seen in Figure 5d-f, the metasurface  
42 can clearly focus the reflected light at the focal lengths of 1.5  $\mu\text{m}$ , 2  $\mu\text{m}$  and 3  $\mu\text{m}$ , when appropriate bias  
43 voltages are applied to the individual metasurface pixels.  
44

45 We then experimentally characterized the dynamic focusing meta-mirror once the focusing  
46 performance of our multifunctional metasurface was confirmed by calculations. We programmed the  
47 voltages applied to each metasurface pixel in order to experimentally achieve the desired phase shift values  
48 (Figure 2f) (see Supporting Information, Part 13). Then the fabricated focusing meta-mirror was  
49 characterized utilizing our multifunctional setup (see Supporting Information, Part 10). Using this setup,  
50 the intensity profile of the reflected beam in the  $xy$ -plane was recorded. By extracting the cross sections of  
51 the captured intensity profiles at fixed  $y$  values, we reconstructed the intensity profile of the reflected beam  
52 in the  $xz$ -plane. Figure 5g-i illustrate the metasurface reflected beam intensity profiles in the  $xz$ -plane for  
53 the applied bias distributions shown in Figure 5a-c. As can be seen, the fabricated metasurface focuses the  
54 reflected beam at the desired depths. The scale bars in Figure 5g-i were obtained by imaging an object of  
55 known size. When the incident light was polarized perpendicular to the antennas, no focusing was observed  
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3 since no phase modulation could be achieved in that polarization. This observation confirmed that the  
4 captured focusing originated from the metasurface.

5 Using the same concept of individually-controlled metasurface pixels, we reprogrammed the  
6 applied bias voltages to the metasurface in order to experimentally demonstrate a tunable focusing meta-  
7 mirrors with focal length varying from 15  $\mu\text{m}$  to 25  $\mu\text{m}$  (see Supporting Information, Part 14).  
8

## 9 **Conclusions**

10 We have designed and experimentally demonstrated an electrically tunable multifunctional metasurface in  
11 the NIR wavelength range. The multifunctional metasurface is realized *via* field-effect-induced modulation  
12 of transparent conducting oxide active regions incorporated into the metasurface and is capable of  
13 spatiotemporal modulation of the fundamental attributes of light. As a proof of concept, we designed phase  
14 profiles for our multifunctional metasurface to demonstrate beam steering and dynamic focusing using the  
15 same device *via* individually controlling each metasurface pixel. Such a multifunctional metasurface can  
16 initiate integrated on-chip electro-optical devices such as light detection and ranging (LiDAR) systems.  
17 Prior research has shown that the reflectance of the ITO-based active metasurfaces can be considerably  
18 enhanced by utilizing ITO-integrated all-dielectric guided-mode resonance mirror designs.<sup>57</sup> The efficiency  
19 of the multifunctional metasurface can possibly be further improved *via* optimization algorithms.<sup>58-61</sup> It has  
20 been previously shown that optimization algorithms may yield non-trivial structural shapes and metasurface  
21 antenna distributions that yield significantly improved optical performance. In particular, optimization  
22 algorithms may significantly boost the performance of active beam steering metasurfaces.<sup>62</sup> A worthy  
23 direction for future research is to extend the multifunctional metasurface concept demonstrated here to a  
24 two-dimensional phased array architecture. In addition to enabling beam steering and focusing in two  
25 dimensions, such a two-dimensional array could enable fast and energy-efficient programmable devices  
26 such as dynamic holograms, off-axis lenses, axicons, vortex plates, and polarimeters.  
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## 30 **Methods**

### 31 **Full-wave simulation of reconfigurable metasurface**

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33 Full full-wave electromagnetic calculations for our tunable metasurface were performed using finite  
34 difference time domain optical simulations (FDTD Lumerical). Figure S3a shows the calculated phase shift  
35 spectrum at different applied biases.  
36

37 After we confirmed that our designed metasurface can provide both reflectance (Figure 3a-c of  
38 main manuscript) and phase (Figure S3a) modulation, we chose the operating wavelength of the device  
39 such that the metasurface could provide a large phase modulation and as modest reflectance modulation as  
40 possible. Figure S3b shows the maximum reflectance modulation and the maximum achievable phase shift  
41 at different wavelengths. As can be seen, at  $\lambda = 1510$  nm, a phase shift larger than  $270^\circ$  is achievable while  
42 the reflectance change is kept to be as modest as possible.  
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### 46 **Multifunctional metasurface fabrication**

47  
48 The metasurface fabrication steps are illustrated in Figure S8. In order to fabricate the multifunctional  
49 metasurface device, we first did a standard cleaning process on Si wafers. Then we patterned the outmost  
50 part of the connecting pads as well as some alignment markers using photolithography. After developing  
51 the photoresist, a 10 nm-thick Ti layer followed by 200 nm-thick Au layer was deposited on the samples  
52 using electron beam evaporator. After lifting-off the excess Ti-Au parts, we patterned the back reflector by  
53 electron beam lithography [VISTEC electron beam pattern generator (EBPG) 5000+] at an acceleration  
54 voltage of 100 keV. After developing the electron beam resist, we deposited a 3 nm-thick Cr layer followed  
55 by 80 nm-thick Au layer using electron beam evaporator. After the lift-off process, a 9.5 nm-thick  $\text{Al}_2\text{O}_3$   
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layer was deposited on the samples using atomic layer deposition (ALD) through shadow masks. After developing the electron beam resist, the ITO layer was patterned by electron beam lithography, and a 5 nm-thick ITO layer was deposited on the sample using room-temperature RF magnetron sputtering in Ar/O<sub>2</sub> plasma environment. The deposition pressure is 3 mTorr while the applied RF power is 48W. Once the excess ITO regions were lifted off, we patterned the contact pads of the ITO layer by electron beam lithography. A 10 nm-thick Ti layer followed by 200 nm-thick Au layer was then deposited on the samples using electron beam evaporator after developing the electron beam resist. Afterwards, a 9.5 nm-thick HAOL layer was deposited on the samples using ALD. The size of the HAOL film was controlled by using shadow masks during the atomic layer deposition. Then we patterned the antennas by electron beam lithography and made the inner contact pad connections. Once the electron beam resist was developed, we deposited a 1.5 nm-thick Ge layer followed by a 40 nm-thick Au layer.

### Electrical connections to individually control metasurface pixels

In order to individually bias each of 96 different metasurface elements, we designed two printed circuit boards (PCBs). The sample is mounted on the first PCB (P<sub>1</sub>), and 96 individual metasurface elements as well as 4 ITO connecting pads (to be used as the ground) are wire-bonded to 100 conducting pads on the PCB (Figure 3c of main manuscript). Each conducting pad on P<sub>1</sub> is then connected to an external pin on the second PCB (P<sub>2</sub>). This voltage deriving PCB is capable of providing 100 independent voltages that can be individually controlled (Figure 3d of main manuscript). These independent bias voltages are produced by programming 12 digital to analog converters (DACs). Every set of three DACs is programmed by an Arduino Nano micro-controller board based on the ATmega328P (Arduino Nano 3.x). In order to provide the desired voltages at the output ports of the DACs, the input ports of the DACs are connected to the digital outputs of the Arduino microcontrollers and are then programmed *via* computer by using the Arduino Software (IDE).

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at:

Comparison to the previously proposed design; Calculating electrostatic properties of ITO; Full-wave simulation of reconfigurable metasurface; Increasing metasurface reflectance level; Multifunctional metasurface fabrication; Universal measurements setup; Reflectance measurements; Phase shift measurements; Beam steering measurements; Reconfigurable focusing measurements; Choosing the number of metasurface pixels; Beam steering metasurface simulations; Spatial phase and voltage profiles for reconfigurable focusing meta-mirror; Reconfigurable focusing meta-mirror: accessing longer focal lengths.

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## AUTHOR INFORMATION

### ORCID

**Ghazaleh Kafaie Shirmanesh:** 0000-0003-1666-3215.

**Ruzan Sokhoyan:** 0000-0003-4599-6350.



1  
2  
3 **Pin Chieh Wu:** 0000-0002-5781-9696.

4 **Harry A. Atwater:** 0000-0001-9435-0201.

### 5 **Author Contributions**

6 G.K.S, R.S., and H.A.A conceived the original idea. G.K.S performed the numerical design, device  
7 fabrication, performed the optical measurements, analyzed numerical and experimental data, designed and  
8 build up the PCB for individually electrical control of metasurface elements, helped with the build-up of  
9 optical setup for measurement, and wrote the manuscript. P.C.W built up the optical setup, performed the  
10 numerical simulations for beam steering and focusing. R.S. performed the device physics numerical  
11 calculations, helped with data analysis, and wrote the manuscript. H.A.A. organized the project, designed  
12 experiments, analyzed the results, and prepare the manuscripts. All authors discussed the results and  
13 commented on the manuscript.  
14  
15

16  
17 The authors declare no competing financial interest.

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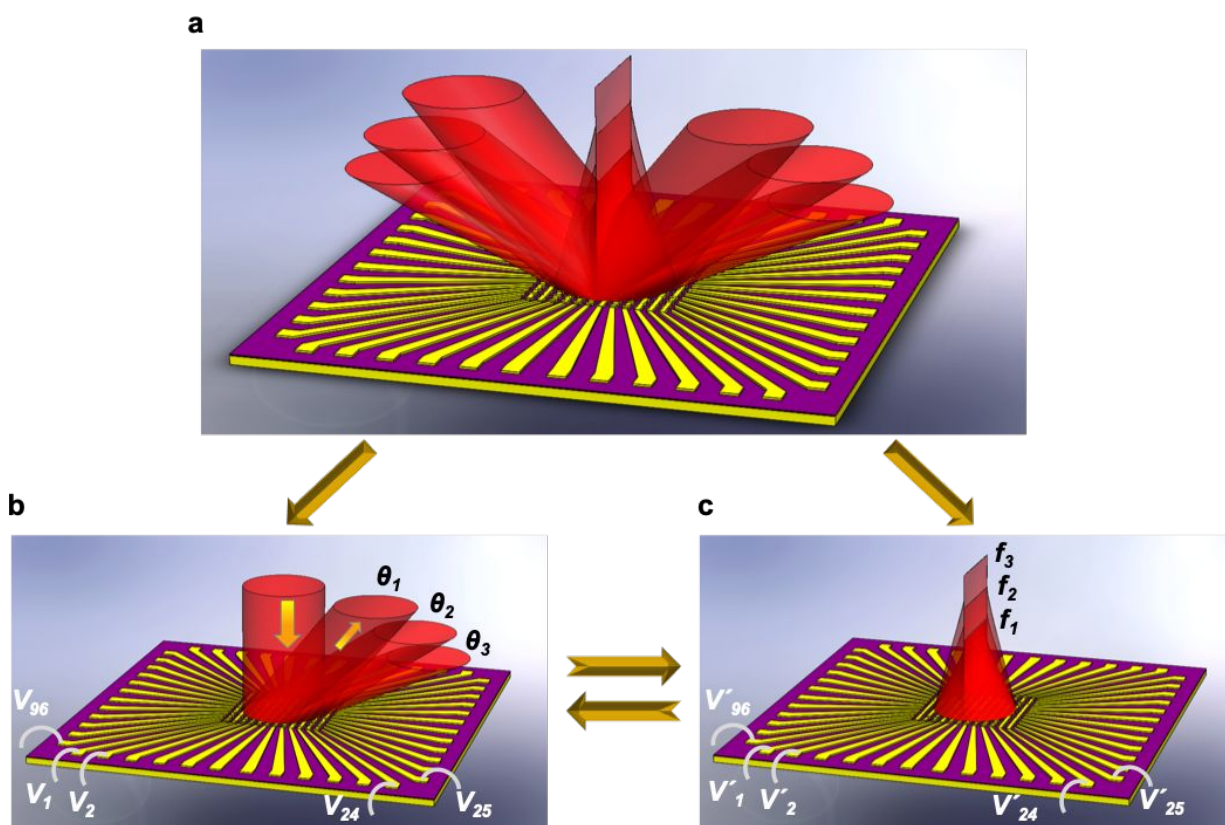
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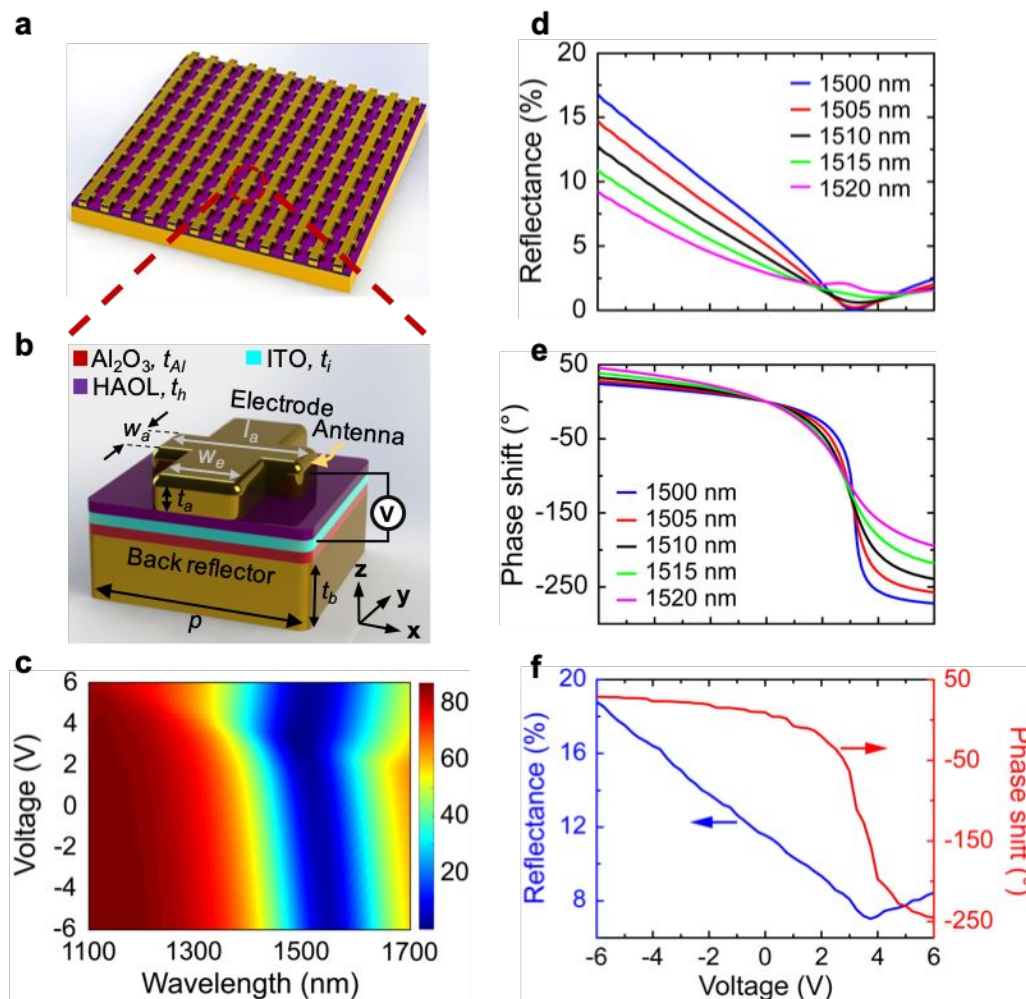


## FIGURES

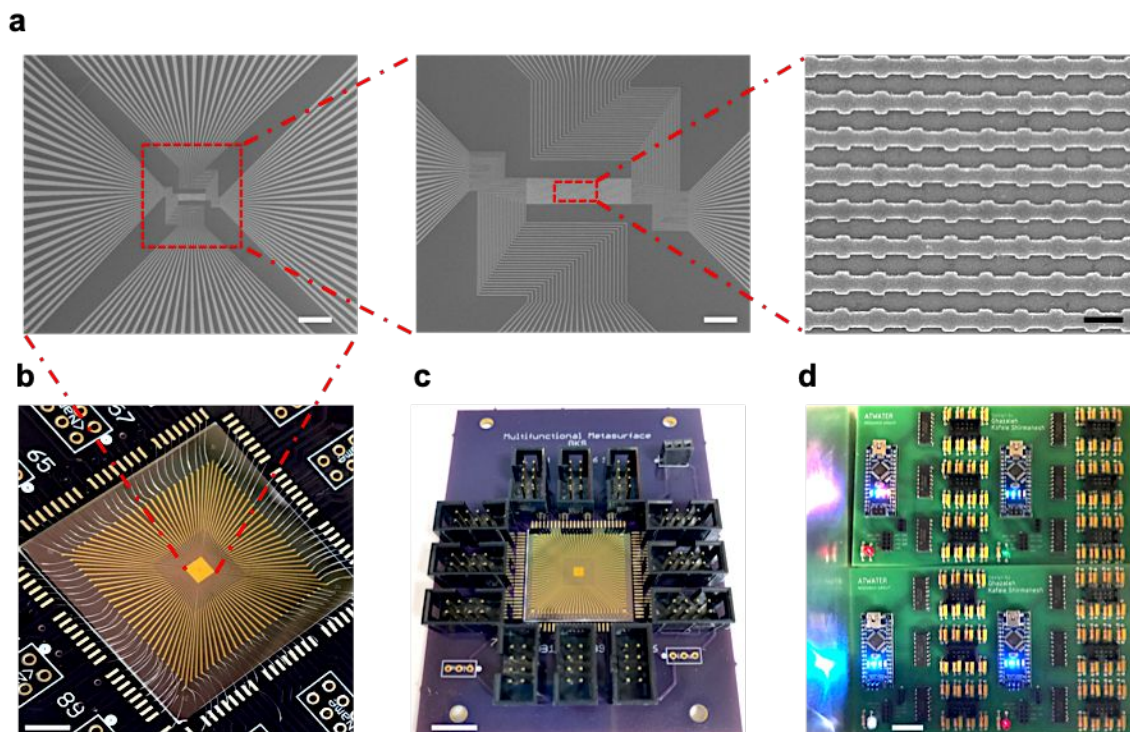


**Figure 1. Multifunctional metasurface with 96 independently addressable metasurface elements. Schematic of (a) the multifunctional metasurface whose functionality can be switched between (b) dynamic beam steering and (c) cylindrical metalens with reconfigurable focal length.**

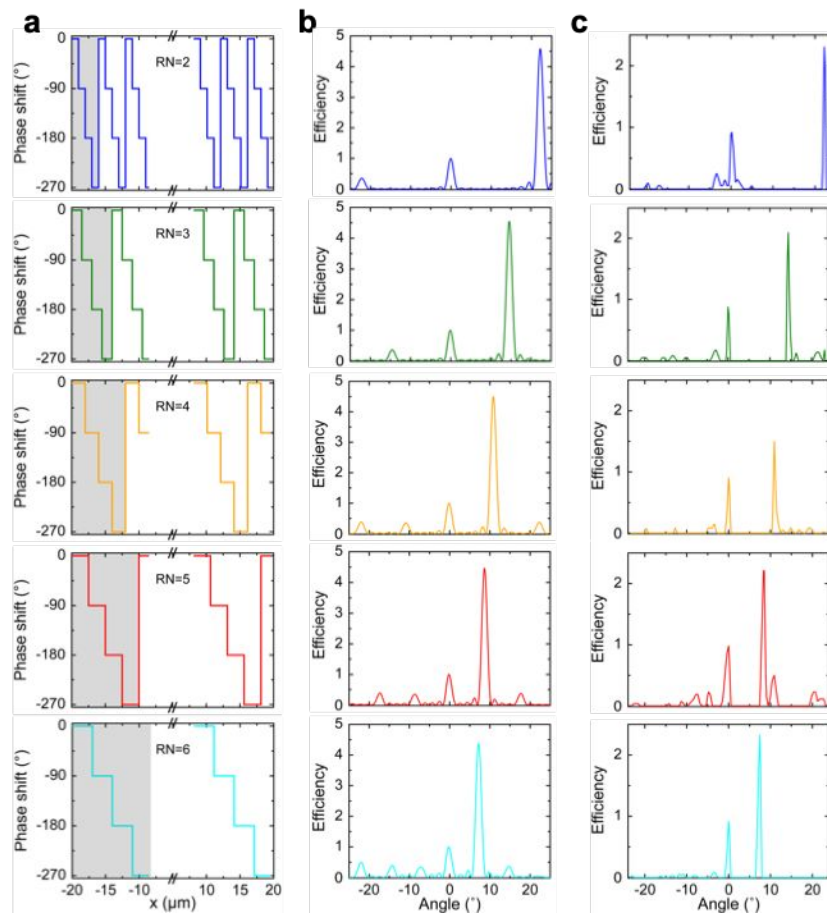




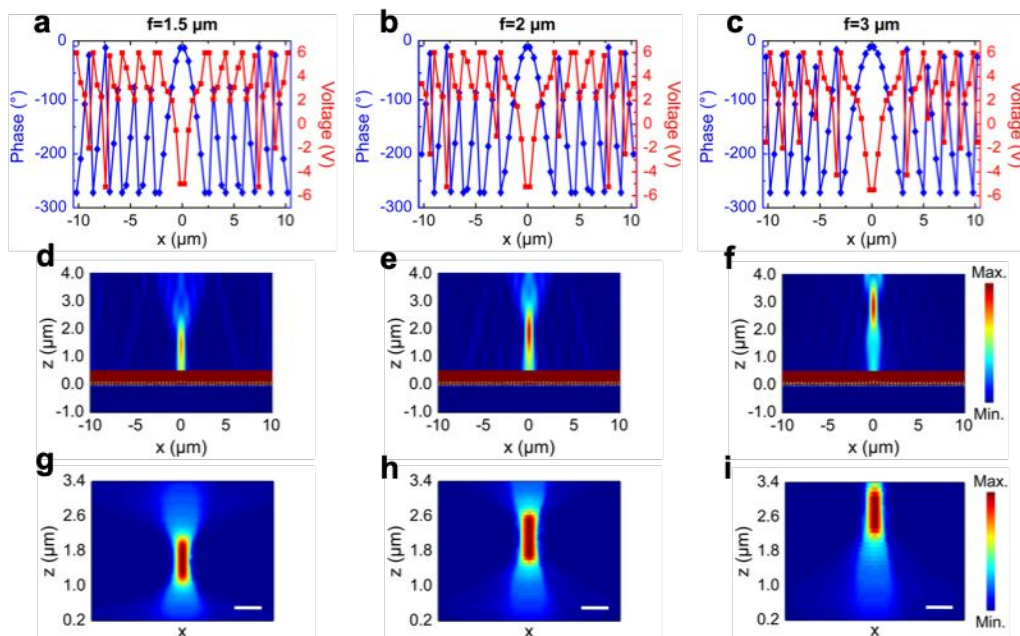
**Figure 2.** Unit cell design of the multifunctional metasurface. Schematic of (a) periodic array and (b) unit cell of the antenna elements. The metasurface is composed of an Au back-reflector, an  $\text{Al}_2\text{O}_3$  dielectric layer, an ITO layer, and a hafnium oxide/aluminum oxide laminated (HAOL) gate dielectric followed by an Au fishbone antenna. The periodicity of the metasurface is  $p = 400$  nm, and the thickness of the back-reflector,  $\text{Al}_2\text{O}_3$ , ITO, and HAOL layers are  $t_b = 80$  nm,  $t_{\text{Al}} = 9.5$  nm,  $t_i = 5$  nm, and  $t_h = 9.5$  nm, respectively. The width, length, and the thickness of the antenna are  $w_a = 130$  nm,  $l_a = 230$  nm, and  $t_a = 40$  nm, respectively and the width of the electrode is  $w_e = 150$  nm. (c) Simulated reflectance spectrum at different bias voltages. Simulated (d) reflectance and (e) phase of the reflection from the metasurface as a function of applied voltage for different wavelengths. (f) Measured reflectance (blue curve) and phase shift (red curve) as a function of applied bias voltage. The operation wavelength of the fabricated device was chosen to be  $\lambda = 1522$  nm such that a phase shift greater than  $270^\circ$  accompanied by moderate amplitude variation could be obtained.



**Figure 3.** Fabrication and measurement of a multifunctional metasurface. (a) Scanning electron microscope image of the nanoantennas of the fabricated gate-tunable metasurface for the demonstration of dynamic beam steering and a reconfigurable focusing meta-mirror. The scale bars from left to right are 200  $\mu\text{m}$ , 50  $\mu\text{m}$ , and 500 nm respectively. (b) Photographic image of the multifunctional metasurface with 96 independently addressable elements. Scale bar is 5 mm. (c) Sample mounting circuit board to which we wire-bond the multifunctional metasurface pads. 96 metasurface elements' pads and 4 ITO pads are wire-bonded from the sample to 100 conducting pads on the first circuit board. Scale bar is 10 mm. (d) Voltage deriving PCB that provides 100 voltages controlled by programming micro-controllers. Scale bar is 20 mm.

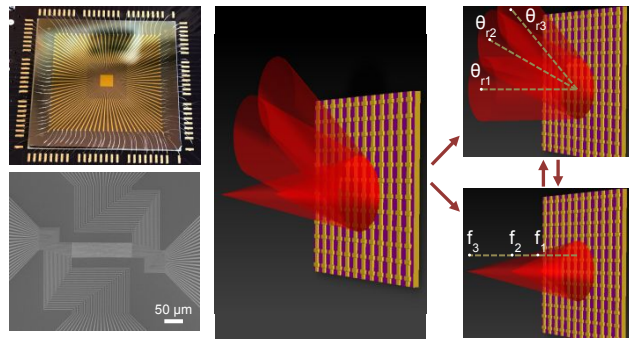


**Figure 4. Demonstration of dynamic beam steering by the multifunctional metasurface. (a) The spatial phase distributions of the metasurface elements with different repeat number ( $RN$ ) values that are used to create phase gradients resulting in beam steering. (b) Simulation results of the beam steering metasurface obtained through analytical calculations. Changing the  $RN$  value from 2 to 6, the steering angles of  $22.17^\circ$ ,  $14.56^\circ$ ,  $10.86^\circ$ ,  $8.66^\circ$ , and  $7.20^\circ$  were obtained through calculations. (c) Experimental results of the beam steering metasurface. Changing the  $RN$  value from 2 to 6, we could obtain the steering angles of  $22.19^\circ$ ,  $14.43^\circ$ ,  $10.91^\circ$ ,  $8.51^\circ$ , and  $7.40^\circ$ . Each steering angle corresponds to the spatial phase distribution of the same color presented in (a).**



**Figure 5. Demonstration of a dynamic focusing meta-mirror.** Spatial phase (diamond) and voltage (square) distribution of a focusing meta-mirror with focal lengths of (a)  $f = 1.5 \mu\text{m}$ , (b)  $f = 2 \mu\text{m}$ , and (c)  $f = 3 \mu\text{m}$  using the phase shifts obtained from the simulation. Full-wave simulation of the spatial distribution of the electric field  $|E|^2$  for the focusing meta-mirror with focal lengths of (d)  $f = 1.5 \mu\text{m}$ , (e)  $f = 2 \mu\text{m}$ , and (f)  $f = 3 \mu\text{m}$ . Measured intensity profile of the beam reflected from the focusing meta-mirror with focal lengths of (g)  $f = 1.5 \mu\text{m}$ , (h)  $f = 2 \mu\text{m}$ , and (i)  $f = 3 \mu\text{m}$ . Scale bar is  $2 \mu\text{m}$ .

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