

# Elements of an archaeal promoter defined by mutational analysis

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## ABSTRACT

The sequence requirements for specific and efficient transcription from the 16S/23S rRNA promoter of *Sulfolobus shibatae* were analysed by point mutations and by cassette mutations using an *in vitro* transcription system. The examination of the box A-containing distal promoter element (DPE) showed the great importance of the TA sequence in the center of box A for transcription efficiency and the influence of the sequence upstream of box A on determining the distance between the DPE and the start site. In most positions of box A, replacement of the wild type bases by adenines or thymines are less detrimental than replacements by cytosines or guanines. The effectiveness of the proximal promoter element (PPE) was not merely determined by its high A + T content but appeared to be directly related to its nucleotide sequence. At the start site a pyrimidine/purine (py/pu) sequence was necessary for unambiguous initiation as shown by analysis of mutants where the wild type start base was replaced. The sequence of box A optimal for promoter function *in vitro* is identical to the consensus of 84 mapped archaeal promoter sequences.

## INTRODUCTION

Two consensus regions have been defined by comparison of promoter sequences of Archaea [1] (Archaeobacteria): the box A centered about 27 bases upstream of the transcription start site and the box B at the start site [2, 3].

Mutational analysis of the 16S/23S rRNA promoter of *Sulfolobus shibatae* using an *in vitro* transcription system [4] has identified the position and function of three essential promoter elements: (i) a distal promoter element (DPE) encompassing box A, which is important for transcription efficiency and start site selection; (ii) a proximal promoter element (PPE) between position -11 and -2 which also contributes to transcription efficiency; and (iii) a pyrimidine/purine-sequence which, at the proper distance from the DPE, serves as start site [5]. Utilisation of heterologous promoters in the *S. shibatae* transcription system showed that constitutive promoters are functionally conserved between distantly related archaea [6]. An analysis of the *Methanococcus vannielii* tRNA<sup>Val</sup> gene promoter [7] is in fair

agreement with these data but extends the determination of sequence requirements by point mutations in which certain bases between position -35 to +2 were replaced with guanine.

In this study we analyze the functional importance of positions in promoter elements in more detail, especially within box A where each base was replaced with the other three. We also examined the effect of all possible base exchanges at the start site in order to check the requirement for a pyrimidine/purine sequence. Moreover, we replaced the proximal promoter element by stretches of adenines or thymines, or the complement of the wild type PPE-sequence, to test the hypothesis that a high A + T content is sufficient for its function in the 16S/23S rRNA promoter [5]. Promoter strength and transcript start sites of all mutant promoters were determined by S1 nuclease mapping of the *in vitro* transcripts. The box A sequence optimal for promoter function *in vitro* was compared to the consensus of mapped archaeal promoters.

## MATERIALS AND METHODS

### Materials

Restriction enzymes, RNase-free DNase and T4 ligase were obtained from Boehringer Mannheim, T4 polynucleotide kinase, S1-nuclease and Klenow-fragment of *E. coli* DNA polymerase I from Pharmacia, radiochemicals from Amersham. The soluble cell-free extract of *S. shibatae* was prepared as described [4].

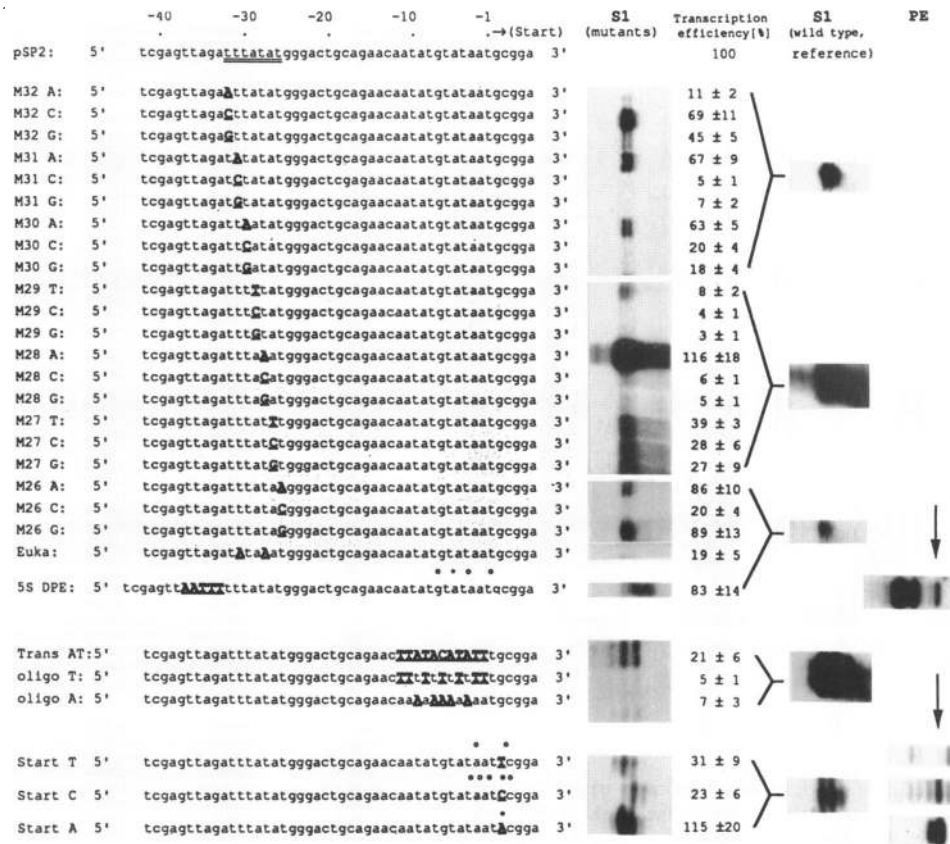
### Methods

**Construction of the vector and mutants.** A sequence identical to the -39 to +6 promoter region of the *S. shibatae* 16S/23S rRNA operon [14] was cloned into the phagemid pBluescript II KS<sup>+</sup> (Stratagene) between the *Xho* I and *Bam* HI cleavage sites, using synthetic oligonucleotides. The *Pst* I-site in the middle of the promoter region was generated by exchange of a thymidine against guanosine at position -19 and against cytidine at position -21 in the oligonucleotide sequence (Fig. 1). This difference in the promoter sequence had no negative effect on transcription efficiency and start site selection. This vector construct was named pSP2 and used as standard. The *Pst* I-site together with either the *Xho* I- or the *Bam* HI-site were used to generate mutants by introduction of synthetic oligonucleotides comprising distinct mutations. These oligonucleotides were designed with ends

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**Figure 2:** Transcription efficiencies of all mutant constructs mapped by S1-analysis. The data were derived from at least three independent repetitions of the S1-analysis. Box A-mutations upper part, PPE-mutations in the middle and box B-mutations at the bottom of the list. Mutations are shown by bold underlined uppercase letters, wild type nucleotides in lowercase letters. The box A motif defined by functional analysis is double underlined. The autoradiographs from S1 experiments and the deduced transcription efficiencies ( $\pm$  standard deviation) are shown to the right of the template sequences. Autoradiographs shown were assembled from several gels; the corresponding wild type (=pSP2) controls are shown to the right of the transcription efficiency data. Autoradiographs from the start site determinations by primer extension (PE) are shown to the extreme right of the figure; arrows indicate the fragment corresponding initiation at the wild type +1 position. Mapped start sites are also indicated on the template sequences, if deviating from the wild type start site position: major initiation site: black dot; minor initiation site(s): open circle.

used in S1 analysis for the examination of the transcription efficiency and the start site. Since the probe was complementary to the template DNAs up to box A, the start sites of all these mutants could be monitored without difficulty. In case of mutations in the PPE and in box B promoter mutants, specific DNA probes were prepared for each construct to exclude S1 nuclease digestion at mismatch positions.

**DPE mutants**

In the following part, the influence of mutations in box A of the 16S/23S rRNA promoter shall be described in the order of decreasing effects on transcription efficiency. All nucleotide exchanges at positions -30 and -29 reduced transcription efficiency dramatically (Fig. 2), indicating the importance of the TA-sequence at these positions for the function of box A; only the adenine at position -30 maintained a high transcription efficiency. Every exchange at position -27 also led to a reduction, though to a lesser extent than at the positions -30 and -29. The thymine at position -32 could only be replaced by cytosine, the thymines at positions -31 and -28 only by adenines, in each case leaving the transcription efficiency higher than 67% of that of the wild type promoter (Fig. 2). Guanine at position -32 was tolerated, but in a lesser extent (45% transcription efficiency). The other possible exchanges at each

of these positions left, at most, 11% of the transcription efficiency. The tolerance of the transcription system especially towards cytosine, but not adenine or guanine, at position -32 shows that at this position occupation by a pyrimidine rather than a high A+T content is necessary for promoter function. In contrast, the tolerance towards transversion at positions -31 and -28 suggests that a weak base pairing rather than a certain base is required there. At position -26, only the replacement of the wild type thymine by cytosine led to a strong reduction in transcription efficiency: the other two possible exchanges were tolerated (Fig. 2; see also figure 3 for an overview). All of these box A mutations influenced the transcription efficiency without leading to a shift of the transcription start site.

One mutant ('5S DPE', Fig. 2) contained the DPE of the 5S rRNA gene promoter of *S. shibatae*. This alteration in the region immediately upstream of the box A, which itself was the same as in the 16S/23S rRNA promoter, left the transcription efficiency at 83% but introduced ambiguity of transcription initiation. Starts were mapped at positions -7 (guanine), -5, -3 (adenines) and +1 (guanine) with the major start site at position -5.

The mutation 'Euka' transformed the wild type box A sequence 'TTTATAT' to 'TATAAAT' which represents the 'TATA-box' consensus of eukaryotic pol2 promoters. The transcription efficiency from this promoter mutant was 19% and thus

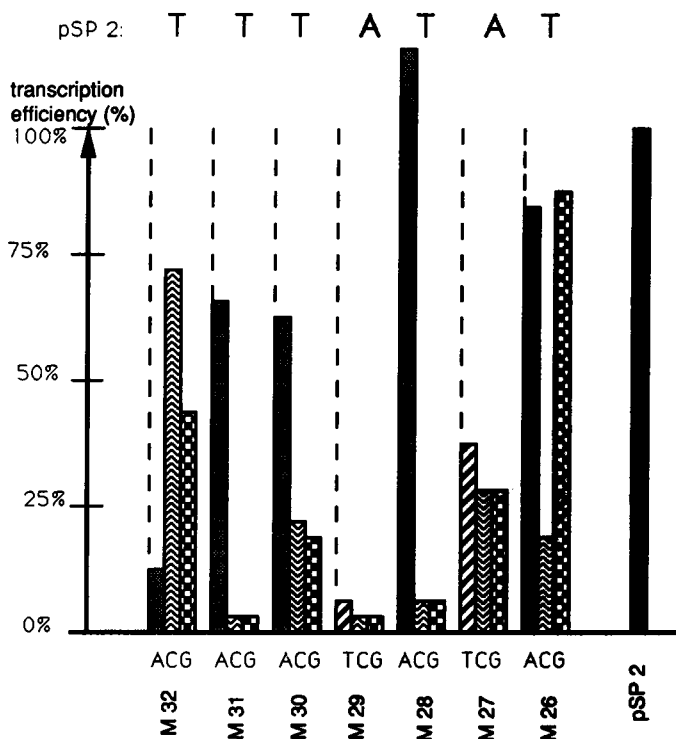


Figure 3: Transcription efficiencies of box A mutants obtained by quantitative S1-mapping. The names of the mutants are given below the x-axis at their corresponding column and are in accordance with those at figure 2. The black column at the right represents the pSP2 (the 16S/23S rRNA operon promoter introduced into pBluescript II KS+).

significant though weak. Transcription was initiated at the same site as in the wild type promoter.

**PPE mutants**

The three cassette mutations in the PPE, an oligo adenine stretch, an oligo thymine stretch and the complement of the wild type PPE-sequence, reduced the transcription efficiency to 7%, 5% and 21%, respectively. The start site of each of these three mutants was the same as that of the wild type promoter (Fig. 2).

**Box B mutants**

In box B the guanine at the transcription start site was substituted by each of the three other bases. The replacement of the wild type guanine by adenine did not shift the transcription start site but increased the transcription efficiency slightly. The replacement by thymine shifted transcription initiation to the positions -3 (adenine) and +2 (cytidine) accompanied by a reduction of transcription efficiency to 31% overall. The replacement by cytidine led to an ambiguous initiation in the vicinity of the wild type start site but mainly at the position +1 (cytidine) with an overall transcription efficiency of 23% (Fig. 2).

**DISCUSSION**

Using an *in vitro* transcription system from *Sulfolobus shibatae* [4], the functional significance of single positions within archaeal promoter elements was examined by determining the strength of altered promoters and their start site selection in comparison to the wild type promoter of the 16S/23S rRNA-operon of *S. shibatae*. The latter was chosen because of its strength *in vivo*

organism and gene	sequence	reference
H.c. rRNA P1	TCGACGGTGTGTTTATGACCA	CGA [16]
H.c. rRNA P2	GTCCGATGCCCTTATGACCA	ANC [16]
H.c. rRNA P3	ATTGATGCCCTTATGACCA	ANC [16]
H.c. L1le	AAGACAAGGCTTAAACCCGGG	GCT [17]
R.c. L1e	CTTCGACCTTATGACCA	ACA [17]
H.c. HAB	GTTTCGACCTTATGACCA	TGG [17]
H.c. SOD	CGAAACCTTATGACCA	TCC [18]
H.h. RNAP	GTTGACAAGGCTTAAACCCGGG	ATC [19]
H.h. S12	AAAGCTCGGCTTATGACCA	ACG [19]
H.h. HOP	GTTGCGGAGGCTTAAACCCGGG	CAT [20]
H.h. BOP	GGTCTGAGGCTTAAACCCGGG	CAT [21]
H.h. Glycopr	GCAGAAAGCTTATGACCA	TGT [22]
H.h. flgA	GGGCAAAAGCTTATGACCA	CTC [23]
H.h. flgB	CCTGACCTTATGACCA	AGC [23]
H.h. Mn-SOD	CTCCGACCACTTATGACCA	GSA [24]
H.h. p-vas	CATGACCACTTATGACCA	TGA [25]
H.h. p-ppp	GAGCTGACCTTATGACCA	GSA [26]
H.h. $\Phi$ T1, 2, 3	GAGGCTCACTTATGACCA	GCA [27]
H.h. $\Phi$ T4	TAGAAATAGATATGACCA	GSA [27]
H.h. $\Phi$ T6	ATGCAACACTTATGACCA	GSA [27]
H.h. $\Phi$ T7	CTGACGGGCTTATGACCA	GSA [27]
H.h. $\Phi$ T8	ATCAACAGATATGACCA	GSA [28]
H.h. $\Phi$ T9/10	TGCGACAAATGATGACCA	GSA [29]
H.h. $\Phi$ T181.8	CGTCACAGATATGACCA	GCC [30]
H.h. $\Phi$ T181	CGGAAATGCTTATGACCA	GAG [31]
H.h. $\Phi$ Tant	CGTAACCTTATGACCA	GAG [29]
H.mm. 16S/23S rRNA P1	CTTCGACGGGCTTAAACCCGGG	GSA [32]
H.mm. 16S/23S rRNA P2	TTCCGACGGGCTTAAACCCGGG	GSA [32]
H.mm. 16S/23S rRNA P3	ATCCGACGGGCTTAAACCCGGG	AGC [32]
M.t. mvhDAB	ATAGTACGCTTATGACCA	TAC [33]
M.t. mex	AGAAAGAACTTATGACCA	GSA [34]
M.t. purE	GCTGCACTTATGACCA	TAA [35]
M.v. 16S/23S rRNA	TACTTAAACCTTATGACCA	ANT [15]
M.v. rRNA 4 5S rRNA	TACCGAAACTTATGACCA	ANC [15]
M.v. rRNA <sup>AE9</sup>	ANCGAAATGATGACCA	TCT [15]
M.v. ORF A	TAGCAATACTTATGACCA	AGA [16]
M.v. S17	CTATATTAAGCTTATGACCA	CTT [16]
M.v. h1eA	TAGGTACCACTTATGACCA	ANT [17]
M.v. scr	TGAANAATGATGACCA	ATA [18]
M.v. ORF1	TATGCAAAAGTATGACCA	TAT [19]
T.a. 16S rRNA	GCCTTCGAAAGTATGACCA	CAC [40]
T.a. 23S rRNA	GATCAAAATGATGACCA	GCT [40]
T.a. 5S rRNA	TCAGGAAATGATGACCA	AGC [40]
T.a. rRNA <sup>Met</sup>	CCCTACAGCCCTTATGACCA	TGG [41]
D.a. Ligase	CATCATCAAAATGATGACCA	GAG [42]
D.a. SOR (aer)	AAGAAGAAATGATGACCA	AAA [43]
D.a. sor-ORF2 (konat.)	AATTCACCTTATGACCA	TAT [43]
D.a. sor-ORF3 (aer)	GANGAATGATGATGACCA	CTC [43]
D.a. sor-ORF4 (anaer)	CTCAACTATGATGATGACCA	AGT [43]
D.a. 5S rRNA	CCTAACACCTTATGACCA	CCC [44]
D.m. rRNA-P1	TATTAACCTTATGACCA	CCC [44]
D.m. rRNA-P2	ACCCGATGATGATGACCA	CGG [44]
S.a. S12	CTAGTAAATGATGATGACCA	TGT [45]
S.a. ORF8 (rpoH)	CTAACATACTTATGACCA	TGT [45]
S.a. rpoC	CTGTGGAAGCTTATGACCA	TGA [45]
S.a. ORF-X	CGGTAACCTTATGACCA	AGC [45]
S.a. SOD	AATCAAAATGATGATGACCA	CCA [46]
S.a. S7	CACAACTTATGATGACCA	TAA [47]
S.a. rRNA <sup>Sex</sup>	TTTGATTAATGATGACCA	CGG [47]
S.a. Ef 1a	TAGTAAACTTATGACCA	GTA [48]
S.a. Ef 2	TCCAACACCTTATGACCA	CCC [48]
S.a. 16S/23S rRNA	AGAAGTACCTTATGACCA	CCC [2]
S.a. 5S rRNA	TAGTTAATGATGATGACCA	CCC [2]
S.s. rRNA <sup>AE9</sup>	TGCTAACACTTATGACCA	ACC [49]
S.s. SSV1 T1, 2	ACTGGAGGGCTTATGACCA	AGG [2]
S.s. SSV1 T3	TTAGGCTCTTATGACCA	GGA [2]
S.s. SSV1 T4	GATAGCCCTTATGACCA	GTT [2]
S.s. SSV1 T9	AAGTAGGCCCTTATGACCA	GAG [2]
S.s. SSV1 T5	TAGAGTAAGCTTATGACCA	GAG [2]
S.s. SSV1 T6	TAGAGTAAGCTTATGACCA	GAG [2]
T.c. 5S rRNA	CGCCGTAACCTTATGACCA	AGC [50]
T.c. rpoH (1)	TCCGTAACCTTATGACCA	AGC [51]
T.c. rpoH (2)	ACTCGAAAGCTTATGACCA	ATA [51]
T.c. rp130	ACCCGAAAGCTTATGACCA	GTC [52]
T.c. rp912	CTAGGAACCTTATGACCA	GTC [52]
T.c. rRNA <sup>Thr</sup>	GAGGAAAGCTTATGACCA	GTC [46]
T.c. rRNA <sup>PFO</sup>	GAGGAAAGCTTATGACCA	GTC [46]
T.p. 16S/23S rRNA	GCATAATATGATGACCA	GTA [53]
T.p. rRNA <sup>Met</sup>	ATGCTAAAGCTTATGACCA	GTT [53]
T.p. ORF1	GCATTCGAAATGATGACCA	GTT [53]
T.p. ORF2	TAAATAGCTTATGACCA	GTT [53]
T.c. 16S/23S rRNA	CGGAAATGATGATGACCA	TAA [54]
T.c. rRNA <sup>h1a</sup>	AGCGAAATGATGATGACCA	TAG [54]
T.c. rRNA <sup>Met</sup>	ACAAAGCTTATGATGACCA	TAG [54]
consensus:	T T A T A C T A	
H.c. ORF	CGAAAGCCCTTTCGGGCTTCTGCTACCGGCACTGATG	[17]
H.h. BRP	GGCTTTTGGATGCTCGGTAGTACGCTGATTTTCATGAGCA	[55]
H.h. $\Phi$ TLX3	CGATGGGTCAGAACCTCGCTCCGCTGATTTTCATGAGTCCG	[31]
H.me. mc-qvpA	CAGAAATGATTTGTTTCTGCCACACCTTTTCAGATGGTA	[56]
S.s. SSV1 Tind	GTCGACTCTGTGATCTTATGATCTTATAGCAAAATGAGGGA	[2]

Figure 4: The list (first part euryarchaeotal, second part crenarchaeotal species) shows the transcription start sites of mapped archaeal genes and their promoter sequences (box A-sequences are underlined and the transcription start sites bold and underlined). The sequences are aligned for the box A element, except the last five sequences which are aligned for the transcription start sites since no consensus box A could be found. Abbreviations used for organisms: H.c.: Halobacterium cutirubrum H.h.: Halobacterium halobium, H.mm.: Halobacterium marismortui, H.me.: Halobacterium mediterranei, M.t.: Methanobacterium thermoautotrophicum, M.v.: Methanococcus vannielii, T.a.: Thermoplasma acidophilum, D.a.: Desulfurolobus ambivalens, D.m.: Desulfurococcus mobilis, S.a.: Sulfolobus acidocaldarius, S.s.: Sulfolobus shibatae, T.c.: Thermococcus celer, T.p.: Thermofilum ssp., T.t.: Thermoproteus tenax.

and *in vitro* and its identity to the promoter consensus defined by sequence comparison [2, 3, 15].

### Promoter efficiency

**The box A.** All box A mutations replacing the thymine at position -30 and the adenine at position -29 in the center of box A showed strong reductions of transcription activity indicating the importance of the TA-sequence at these positions. The only exception, a tolerance towards the exchange of thymine -30 against adenine, can be interpreted as a shift of the TA-sequence one position upstream. These data are in accordance with a high conservation of the TA-sequence in the center of box A in archaeal promoters (Fig. 4). The distance between the TA-sequence and the start site is 29 bases in the 16S/23S promoter but between 25 to 28 bases on average.

These results, and the sensitivity of the promoter to certain base exchanges at single positions of box A, defined an optimal box A sequence for promoter function. For the determination of this optimal box A sequence, only mutants with a transcription efficiency higher than 66% of the wild type were considered. Position -26 of the 16S/23S promoter was not considered important for promoter function since only one of the three possible base exchanges, the introduction of cytosine instead of the wild type thymine, led to a strong reduction of promoter strength. Applying the above criteria, an optimal box A sequence 5' T/CTTAT/AA 3' (positions -32 to -27) was derived. This functionally-determined sequence was in good agreement with the consensus of archaeal box A sequences 5' TTTA<sup>T/A</sup> 3' [2, 3, 15]. Inspection of 84 mapped archaeal promoters (Fig. 4) showed that cytosine did indeed sometimes replace thymine in the first position of the consensus box A. The last position, -27, in the consensus occupied by adenine proved very sensitive against base exchange in accordance with its conservation in all but the halobacterial promoters.

**The PPE.** Box A is not the only element determining the efficiency of transcription. Exchange of a second essential promoter element, the A+T rich PPE at positions -11 to -2, by stretches of adenines or thymines (Fig. 2) led to a 20 fold reduction in promoter efficiency and replacement of this element by its complementary sequence (Fig. 2) resulted in a 5 fold reduction of promoter strength. Thus, the element is not merely an A+T-rich region facilitating strand separation during the initiation of transcription, as previously discussed [5]. A certain sequence, the alternating purine/pyrimidine sequence 5' ATATGTATA 3' in the case of the strong 16S/23S rRNA promoter of *S. shibatae*, appears to be required for maximal promoter strength. The strong reduction of transcription efficiency upon replacement of the element by its complementary sequence indicates that the sequence must be correctly positioned with respect to the start site. The PPE sequence is not generally conserved between archaeal promoters (Fig. 4) and may therefore represent a particular feature of the 16S/23S rRNA promoter.

**The start site.** Promoter strength appears to depend on the distance between the DPE and the start site [5] as well as on the occupation of this site. Apart from the elements already discussed, the core promoter region thus includes the start site itself. When an unfavourable start site was introduced, e. g. a pyrimidine instead of the wild type purine, the start shifted to nearby sites at less favourable distances concomitant with a reduction of total

transcription efficiency. In these cases, it is difficult to estimate the contributions of the changes of distance and start site context to the overall effect. But it appears that promoter strength declines sharply whenever a dinucleotide different from a pyrimidine/purine (py/pu) occupies the optimal initiation region defined by its distance from the DPE.

### Start site selection

Analysis of promoter mutants with regard to start site selection indicated the necessity of a start motif as well as a distance measurement in defining a start region in which this motif serves its role. We suggested previously [5] that a purine preceded by a pyrimidine acted as minimal start signal since most initiations on a number of mutant constructs occurred at a purine following a pyrimidine. Results from a methanogene transcription system [7] and those of our current work corroborate this assumption. Furthermore a comparison of mapped archaeal transcription start sites showed that 79 of 89 transcripts initiate at a purine after a pyrimidine (Fig. 4). Since such a dinucleotide sometimes occurs more than once at an appropriate distance from box A and initiation nevertheless remained specific there must be additional information to provide specific initiation. The formerly proposed box B consensus <sup>T/A</sup>TG<sup>C/A</sup> found around the start site [2] does not appear to play this role since initiation occurs either upstream, or within, or downstream, and thus not at a defined site in this sequence. Moreover many of the mapped promoters in figure 4 do not show this consensus. Aligning promoter sequences for the start site yielded a different, rather weak consensus <sup>A/T</sup>T<sup>C/G</sup>/<sub>A</sub> with the initiation at the last position and in crenarchaeotal promoters a weakly conserved (py/pu)<sub>4</sub> pattern (see above).

The DPE as well as the py/pu dinucleotide determines the start site. This had already been shown with the insertion and deletion mutants [5] and was reconfirmed by some of our promoter mutants. Alteration of the distance between the DPE and the wild type start site led to a shift of the start site and concomitantly sometimes to ambiguity of initiation. This ambiguity was also observed when the wild type start guanine was substituted with thymine or cytosine. The mutant containing the 5S rRNA promoter DPE instead of the 16S/23S rRNA promoter DPE showed both an upstream shift of the start site and ambiguity in start site selection. The three different types of mutants share one common feature: all of the new start sites were positioned within a region of eight bases. This indicates that the DPE delimits (by some sort of distance measurement) a certain window of about eight bases in which initiation occurs.

Furthermore, the mutant with the 5S DPE shows that a stretch of more than four thymines upstream of box A altered the structure of the promoter in such a way that the transcription system used a start point nearer to box A than with the 16S/23S DPE-sequence. Several other archaeal promoters carrying stretches of thymines in this region also showed a reduction in the distance between box A and the start site. A stretch of more than three thymines causes bending of the DNA which therefore could be the reason for the reduction of this distance.

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