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REVIEW

## **Emerging patterns for engineered nanomaterials** in the environment: a review of fate and toxicity studies

Kendra L. Garner · Arturo A. Keller

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Abstract A comprehensive assessment of the environmental risks posed by engineered nanomaterials (ENMs) entering the environment is necessary, due in part to the recent predictions of ENM release quantities and because ENMs have been identified in waste leachate. The technical complexity of measuring ENM fate and transport processes in all environments necessitates identifying trends in ENM processes. Emerging information on the environmental fate and toxicity of many ENMs was collected to provide a better understanding of their environmental implications. Little research has been conducted on the fate of ENMs in the atmosphere; however, most studies indicate that ENMs will in general have limited transport in the atmosphere due to rapid settling. Studies of ENM fate in realistic aquatic media indicates that in general, ENMs are more stable in freshwater and stormwater than in seawater or groundwater, suggesting that transport may be higher in freshwater than in seawater. ENMs in saline waters generally sediment out over the course of hours to days,

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leading to likely accumulation in sediments. Dissolution is significant for specific ENMs (e.g., Ag, ZnO, copper ENMs, nano zero-valent iron), which can result in their transformation from nanoparticles to ions, but the metal ions pose their own toxicity concerns. In soil, the fate of ENMs is strongly dependent on the size of the ENM aggregates, groundwater chemistry, as well as the pore size and soil particle size. Most groundwater studies have focused on unfavorable deposition conditions, but that is unlikely to be the case in many natural groundwaters with significant ionic strength due to hardness or salinity. While much still needs to be better understood, emerging patterns with regards to ENM fate, transport, and exposure combined with emerging information on toxicity indicate that risk is low for most ENMs, though current exposure estimates compared with current data on toxicity indicates that at current production and release levels, exposure to Ag, nZVI, and ZnO may cause toxicity to freshwater and marine species.

**Keywords** Aggregation · Sedimentation · Dissolution · Groundwater · Soil · Natural waters · Environmental and health effects

#### Introduction

Until a few years ago, little was known about the fate of nanomaterials in the environment, but recent studies

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suggest important emerging patterns. There are still major strategic knowledge gaps for even the most widely used nanoparticles (NPs) involving their postproduction life cycles, including entry into the environment, environmental pathways, eventual environmental fate, and potential ecotoxicological effects. Actual environmental concentrations of engineered nanomaterials (ENMs) are largely unknown (Peralta-Videa et al. 2011), though recent release estimates have been completed (Gottschalk et al. 2009; Hendren et al. 2013; Keller et al. 2013; Tovar-Sánchez et al. 2013) and there is emerging evidence that manufactured NPs (<100 nm), including TiO<sub>2</sub>, are present in wastewater (Kiser et al. 2009; Westerhoff et al. 2011) and waste leachate (Hennebert et al. 2013).

By most definitions, ENMs encompass NPs synthesized and modified to enhance their performance for technical or industrial purposes that have at least one dimension less than 100 nm. They are increasingly used in a variety of consumer products including electronics, textiles, cosmetics, medicine, and food (Peralta-Videa et al. 2011). ENMs are released into the environment; either during their use, by spillages, by intentional release for environmental remediation applications, or as end-of-life waste (Keller et al. 2013). Studies estimate that more than 1,300 products that are on the market today contain NPs (Bondarenko et al. 2013) and production estimates of major ENMs range from 270,000 to 320,000 metric tons per year, of which high end estimates suggest that 17 % may be release to soils, 21 % to water, and 2.5 % to air, with the balance entering landfills (Keller and Lazareva 2013). Thus understanding the environmental and health risks associated with ENMs is of great importance. The fact that some ENMs are known to be toxic emphasizes the need for a comprehensive assessment of the environmental risks of the large quantities of ENMs entering our environment (French et al. 2009).

Once released, ENMs will interact with the environment in several ways. These interactions are controlled by the inherent properties of the ENMs (solubility in water, colloidal stability, reactivity, etc.) and the properties of the environment into which they are released (temperature, flows of air, water, and solids, and the physicochemical characteristics of each phase) (Mackay et al. 1992). Properties such as ionic strength (IS), pH, the presence of organic matter, and compartment composition are all important parameters that will modify ENM behavior (Keller et al. 2010; Lowry et al. 2012a, b; Zhou et al. 2012b). It is important to understand both how ENMs interact with their environment and how their environment alters the expected interactions.

The objective of this review is to identify the emerging trends in fate and toxicity of ENMs under various environmental conditions, as a preliminary step in understanding their environmental implications and potential risks. Since it is virtually impossible to conduct a full battery of tests that adequately describes interactions of each ENM in every environmental compartment and biological system (Johnston et al. 2010), the focus must be on identifying patterns in data that allow us to simplify our understanding of the complex interactions among ENMs and abiotic and biotic compartments across a range of conditions (Johnston et al. 2010). This review of the literature on fate and toxicity of ENMs in air, water, and soil showed that patterns are emerging that allow us to characterize rates of aggregation, sedimentation, dissolution, and toxicity in various aquatic media including stormwater, freshwater, groundwater, and seawater. The current status of the literature does not allow us to draw the same level of conclusions for air and soil; though an initial attempt is made to understand basic patterns in how ENMs interact with these media. By comparing fate and transport patterns with potential environmental release concentrations and toxicity data, we assess which ENMs are of greatest concern.

#### ENM fate and transport

There are many fate and transport processes that need to be considered to understand ENM mobility, bioavailability, and ultimate fate (Fig. 1). These include ENM emissions to air, water, and soil; advection in and out of the system; diffusive transport; volatilization to air; transformation into other ENMs or compounds; aggregation; sedimentation; dissolution; filtration; and sorption to suspended particles and the subsequent deposition to sediment (Quik et al. 2011).

Many processes are important to ENMs that may not be relevant to the environmental behavior of traditional contaminants (Quik et al. 2011), such as aggregation, dissolution, deposition, and attachment. These are all determined by their size, surface

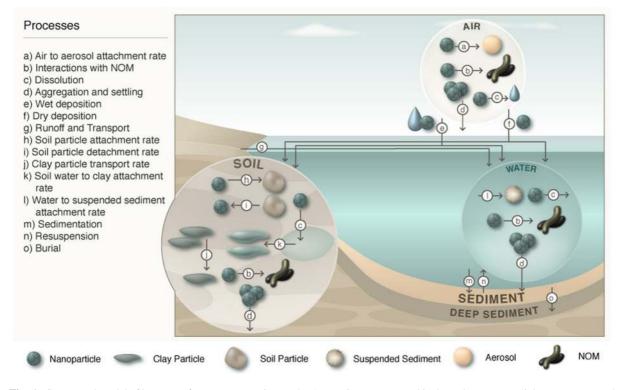


Fig. 1 Conceptual model of key ENM fate processes. Diagram by Anastasiya Lazareva. This shows how nanoparticles are transported between environmental compartments and how they may interact with other constituents in the environment as well as with themselves

properties, and ambient environmental characteristics. Further, some ENMs dissolve over time, so exposure can consist of both the suspended NPs and the dissolved ions (Stebounova et al. 2011). Some transformation processes, such as aggregation, can also create an altered state where the NP behaves in unexpected ways (Praetorius et al. 2012). For example, NP agglomerates will interact with the environment in different ways and at different rates compared with individual NPs or dissolved ions (Zhang et al. 2008). Most ENMs will also undergo transformation processes (e.g., oxidation, sulfidation) that alter their original properties such as acquiring coatings that alter their chemical properties and environmental behavior (Lowry et al. 2012a, b; Praetorius et al. 2012). In attempting to identify patterns in the fate and transformation processes, complicating factors such as uncertainties regarding NP emissions into the environment, ENM coatings, interactions with natural colloids and natural organic matter (NOM), and the effect of ambient environmental properties, limit the extent to which we can make broad conclusions about ENM fate and transport patterns (Arvidsson et al. 2011).

#### Fate and transport in air

ENMs are emitted to the atmosphere through either indirect or diffuse sources (Gottschalk et al. 2011) and will eventually deposit to land and surface waters. Recent studies indicate that ENM release to the atmosphere is quite small, with a high end estimate of 8,300 metric tons released annually around the globe (Keller and Lazareva 2013). While this may be quite small relative to other releases, and the residence time in the atmosphere is likely quite short, atmospheric fate and transport should not be disregarded. It is likely that localized releases of ENMs, such as from the use of aerosolized pesticides, could result in temporarily high concentrations of ENMs in the atmosphere and we need to understand both their residence time in the atmosphere and their eventual fate. While in the atmosphere, ENMs will be subject to physical and chemical alteration processes that will modify their fate and environmental concentrations in other compartments (Tiwari and Marr 2010).

A major drawback to the current state of the art measurement devices is their lack of differentiation of background particles from ENMs (Kuhlbusch et al. 2011). Aerosol mass spectrometer is currently the only instrument capable of sizing and chemically analyzing nano-scale particles; it currently cannot measure metal and metal oxides, though this is in development (Kuhlbusch et al. 2011). Additionally, the currently available measurement devices are able to discriminate particles according to size, but not according to density (Brouwer et al. 2009). As such, current research does not provide enough data to identify significant patterns in fate and transport processes within the air compartment, indicating that additional research is needed.

When released to the atmosphere, the size of NPs and their aggregates will increase due to the condensation of organic and inorganic vapors on the particle nuclei as a result of condensation/evaporation and dilution, with some contribution from coagulation and deposition (Meesters et al. 2013; Tiwari and Marr 2010; Zhang and Wexler 2004). In condensation, semivolatile substances or water condense on a particle, forming a shell around it; whereas coagulation is the process in which particles move by Brownian motion, collide with each other, aggregate, grow in size, and decline in number (Kumar et al. 2011; Meesters et al. 2013; Tiwari and Marr 2010). Condensation may also affect fate wherein the presence of hydrophilic compounds result in enhanced aqueous solubility and mobility through porous media (Meesters et al. 2013; Tiwari and Marr 2010). Environmental factors, such as temperature, relative humidity, and atmospheric turbulence, will affect the size and concentration of ENMs in the atmosphere (Navarro et al. 2008). Additionally, ENMs will experience atmospheric degradation through oxidation and photolysis; though the extent to which these may occur is unknown (Tiwari and Marr 2010).

Little is known about the rates of aggregation and deposition of specific ENMs in the atmosphere, due largely to the complex nature of the system and the lack of instrumentation for measuring ENMs at such small sizes and concentrations. However, one study suggests that if we assume a 10 day retention time for NPs in the lower atmosphere, approximately 1/36th of the ENM input to the air compartment constantly remains in the lower atmosphere (Gottschalk et al. 2010). This indicates that a majority of ENMs will likely settle very quickly, even given their small size. Another early study indicates that NP agglomerates are likely to remain below 1 µm which may indicate yery long term participance (Bourgerstree and Looffler).

are likely to remain below 1 µm which may indicate very long term persistence (Baumgartner and Loeffler 1986). Transport within the atmosphere will likely be limited as concentrations in the atmosphere are predicted to be low because most nanomaterials do not volatilize and aggregation and sedimentation will be relatively rapid (Quik et al. 2011).

A number of studies have been conducted on the fate of ENMs in the workplace (Bello et al. 2009; Curwin and Bertke 2011; Fujitani et al. 2008; Lee et al. 2012; Schneider and Jensen 2009; Seipenbusch et al. 2008; Tsai et al. 2009). These typically consider the release of ENMs to indoor air during the production of engineered nanoparticles (ENPs) or products that contain ENPs. While these studies may not fully translate to the fate of ENMs in the atmosphere, they help to elucidate processes and rates. The release of nano-sized particles at relatively low concentrations will result in bi-modal size distributions in air with one mode in the nanometer range and the other in the micrometer range; though the modes varied substantially (Brouwer 2010; Fujitani et al. 2008; Schneider and Jensen 2009; Tsai et al. 2009). Bello et al. (2009) found that the particle number concentrations for ENMs between 10 nm and 1 µm were quite stable over multiple time periods. The larger mode indicates that a majority of the atmospheric exposure will be of larger agglomerates (Brouwer 2010). Confirming this is a review by Curwin and Bertke (2011) who conducted exposure assessments for facilities producing metal oxides including titanium, magnesium, yttrium, aluminum, calcium, and iron and found that a majority of the particles in the workplace air were agglomerated with the predominant particle size between 0.1 and 1  $\mu$ m.

A study by Seipenbusch et al. (2008) mimicked the fate of ENMs during fugitive diffuse emissions from a reactor during production of platinum nanoparticles and found that the lifetime of primary nanoparticles in the atmosphere is limited by coagulation, so that larger aggregates and agglomerates are formed rapidly once nanoparticles enter the air, particularly in the presence of coarser background aerosols. Collisions between NPs within their own size class (coagulation), and if present, with background aerosols were identified as the most important mechanism driving the change in particle size and number concentration (Seipenbusch et al. 2008). Most importantly, at high aerosol background concentrations, virtually all of the platinum particles were attached to the background aerosols, whereas at low background concentrations many of the NPs remained in primary particle form (Seipenbusch et al. 2008), indicating that in the atmosphere, nanoparticles may enter soil and water in both their primary particle and agglomerated forms. At low NP concentrations, coagulation may be insignificant, so that most NPs will either be individual particles or, more likely, attached to larger aerosols (Maynard and Zimmer 2003).

ENMs in the atmosphere will be removed via wet or dry deposition. Dry deposition removes particles through transfer to air-surface interfaces. This process is mainly driven by Brownian diffusion and inertial impaction (Friedlander and Pui 2004). Under dry deposition, the size of the ENMs and their aggregates contribute to the rate of removal. This is because gravitational sedimentation velocities are proportional to the particle's diameter and density (Friedlander and Pui 2004). Thus sedimentation rates should correlate with aggregation rates, much as in aquatic systems, and will be lower for smaller particles than for larger particles. A number of workplace air studies found that dry deposition tends to occur within just a few hours. For example, Bello et al. (2009) found that dry cutting as a method of manufacturing CNTs does release nanoscale particles into the air, however, within just a few hours, concentrations decrease to within baseline levels: Tsai et al. (2009) found that air concentrations of ENMs released from under a fume hood return to baseline within 0.5–2 h for both nano-Al<sub>2</sub>O<sub>3</sub> and Ag; and Gong et al. (2009) measured the surface deposition loss rates of diesel exhaust particles and found a loss rate of ~10/h for 30 nm particles and ~4/h for 100 nm particles.

Wet deposition is the removal of particles through precipitation (Laakso et al. 2003). This can occur by nucleation scavenging (i.e., rain out, the inertial capture of dust particles by falling rain drops) and aerosol-hydrometeor coagulation (i.e., washout through formation of raindrops around particles as condensation nuclei) (Jacobson 2003). Particle size also determines the efficiency of washout of airborne particles by rain. Typically, the rainfall washout coefficient is larger for smaller particles (Pranesha and Kamra 1997), for the coefficient would be larger for nanometer sized particles than micrometer sized particles.

Environmental conditions may also affect atmospheric particle size and fate. However the results are not completely consistent. For example, one study found that higher particle number concentrations persisted at high temperatures (Chang et al. 2004). Others found that higher particle concentrations were observed in winter because of the combination of lower temperatures and less dilution (Jeong et al. 2004; Stanier et al. 2004). Similarly Tsai et al. (2009) found that low humidity caused more small agglomerates to become airborne during handling of nano-Al<sub>2</sub>O<sub>3</sub>.

#### Fate and transport in water

Current predictions indicate that globally as much as 66,000 metric tons of ENMs are released directly to surface waters every year (Keller and Lazareva 2013). The fate and transport of ENMs in water largely depends on the chemical properties of the water. In this review, we consider the effects of freshwater, stormwater, groundwater, and seawater on rates of aggregation, sedimentation, and dissolution. Some studies considered in this review did not specifically use any of the above four water types; where necessary we categorized the water using the IS or concentration of NOM according to the ranges in Keller et al. (2010) and Quik et al. (2013). Differences in aquatic characteristic can significantly impact the rate of many fate and transport processes. For example, the IS and concentration of NOM present in seawater versus freshwater will impact rates of aggregation, sedimentation, and dissolution for some ENMs. Variations in surface charge, surface coating, and shape can also alter the fate of ENMs in the environment. Transformations processes such as oxidation, sulfidation, and interactions with phosphate, all frequently present in aquatic systems, will also have a significant effect on aggregation, dissolution, and as a result toxicity.

#### Aggregation in water

Particle aggregation refers to the formation of ENM clusters in colloidal suspension. This is most likely to occur during the use phase of the ENMs while the ENM concentration is high. During this process, particles dispersed in a liquid adhere to one another via homoaggregation or to other particles via heteroaggregation (Praetorius et al. 2013; Zhou et al. 2012a). Following release to water most NPs will aggregate to some degree and the behavior of the resulting aggregates is expected to be very different from that of primary NPs (Zhang et al. 2008). The degree of aggregation and the size range of the aggregates depend on the characteristics of the particle, the concentration of the particles, and the characteristics of the environmental system (Dunphy Guzman et al. 2006; Phenrat et al. 2007). NP aggregation and deposition behavior will dictate particle transport potential and thus the environmental fate, bioavailability, and potential ecotoxicological impacts of these materials (Blaser et al. 2008; Petosa et al. 2010; Liu and Cohen 2014).

Aggregation of spherical NPs can generally be described using extended Derjaguin-Landau-Verwey-Overbeek (DLVO) theory (Elimelech et al. 1998; Isrealachvili 1992; Stebounova et al. 2011; Wang and Keller 2009). The basic DLVO theory predicts that the stability of NPs suspended in aqueous environments can be evaluated as the balance between attractive van der Waals (VDW) forces and repulsive electrical double layer (EDL) forces (Elimelech et al. 1998; Isrealachvili 1992). A stable suspension requires a dominant repulsive force to maintain dispersion of particles. However, if attractive forces dominate, or particles collide with sufficient energy to overcome repulsion, they will form aggregates that then sediment out of suspension (Elzey and Grassian 2010). Extended DLVO theory takes into account nonelectrostatic ion-specific forces such as acid-base, steric, magnetic, and hydrodynamic forces (Hyung and Kim 2008; Petosa et al. 2010; Stebounova et al. 2011; Zhu and Cai 2012), all of which can play important roles in the aggregation of ENMs. Additional considerations need to be made for nonspherical NP morphologies (Zhou and Keller 2010, Zhou et al. 2013).

In theory, aggregation rates can be calculated using the ENM collision rate and attachment efficiency (Praetorius et al. 2012; Quik et al. 2014). The attachment efficiency represents the fraction of collisions between particles that result in attachment (Pelley and Tufenkji 2008), since simply making contact with another particle does not ensure that aggregation will occur as various factors (such as the EDL) may prevent aggregation (Pelley and Tufenkji 2008). Attachment efficiency depends on environmental conditions such as pH, IS, ion valence, temperature, and ENM and other particle concentrations (Arvidsson et al. 2011). Additionally, recent research indicates that heteroaggregation, rather than homoaggregation, is far more likely in the environment (Quik et al. 2014). However, when nanoparticles first enter a water body, the release point may contain concentrations high enough to result in homoaggregation. While the same physical processes and environmental conditions apply to both homoaggregation and heteroaggregation, the ENM to natural particle attachment efficiencies and the much higher frequency of collisions may dominate the removal of ENMs (Zhou et al. 2013).

The stability of NPs in aquatic environments depends on the properties of the ENM itself (size, charge, zeta potential, coatings, ENM point-of-zero charge (PzC), particle density, and magnetization), the ambient environmental characteristics (pH, IS, NOM, suspended particle concentration), and the initial ENM concentration. Surface charge and aggregation tend to vary with pH, where the further the environmental pH is from the ENM's PzC, the farther the surface charge is from zero. This increases their stability, since like charges will repel (Adeleye et al. 2013; Judy et al. 2011; Reed et al. 2012; Wang et al. 2011). However, high IS can minimize the forces keeping NPs separate and cause aggregation and sedimentation (French et al. 2009; Handy et al. 2008) even for a pH that is far from the PzC. Divalent ions, such as calcium and magnesium, are prevalent in many aquatic systems, and affect aggregation of ENMs by more effectively compressing the EDL surrounding the NPs (French et al. 2009; Handy et al. 2008). This allows attractive forces to dominate, so that the primary particles floc and form aggregates that eventually sediment out of solution (Elzey and Grassian 2010). Thus, the zeta potential is a key parameter for predicting whether an ENM will be stable or will tend to aggregate in a given aqueous matrix. This is valid for homo- and heteroaggregation (Zhou et al. 2013).

Zeta potentials for Ag, CeO<sub>2</sub>, and NiO, and nZVI, and TiO<sub>2</sub> are generally fairly negative (>-20 mV) in natural waters, particularly in freshwater (Delay et al. 2011; Griffitt et al. 2008; Quik et al. 2010; Sunkara et al. 2010). The zeta potential for nZVI also remains relatively negative even at high IS and pH ranging from 7 to 10 (Adeleye et al. 2013; Yin et al. 2012). Similarly, the zeta potential for  $Al_2O_3$  is generally fairly negative even in the presence of some IS at pH ranging from 4 to 9 (Ghosh et al. 2010; Griffitt et al. 2008). Conversely, the zeta potential for  $TiO_2$  at 10 mM CaCl<sub>2</sub> is close to zero at pH 7 (Petosa et al. 2012). The zeta potential for ZnO seems to vary significantly from very positive to very negative at pH values ranging from 4 to 10 (Jiang et al. 2009a, b; Petosa et al. 2012; Reed et al. 2012). The zeta potentials for Au and Cu/CuO tend to be close to zero for a pH between 5.5 and 8.5, except in groundwater for Au and in algal growth media for Cu/CuO (Griffitt et al. 2008; Hitchman et al. 2013; Judy et al. 2011; Wang et al. 2011). At pH < 7, increasing the IS increases aggregation for Ag, whereas at pH > 7, increasing the IS has minimal effect on the rate and extent of aggregation as well as the zeta potential (Badawy et al. 2010). Furthermore, change in pH had minimal effect on the zeta potential of electrostatically stabilized Ag NPs and only a moderate effect on the zeta potential of uncoated particles (Badawy et al. 2010). However, changes in pH have a strong effect on electrosterically stabilized Ag NPs zeta potential, though changes in IS do not significantly affect stability (Badawy et al. 2010). These results indicate that both pH and IS will strongly determine surface charge and thus the extent of aggregation in water. Similar results were found for  $TiO_2$ , where pH and thus surface charge and aggregate size dominate nanoparticle interactions with themselves and the environment (Dunphy Guzman et al. 2006).

Aggregation is generally regarded as irreversible (Arvidsson et al. 2011). Zhang et al. (2008) found that it is very difficult to disaggregate metal oxide NPs and neither sonication nor dispersants were effective in fully disaggregating these ENMs. However, Zhou et al. (2012a, b) found that fractal agglomeration of TiO<sub>2</sub> and other metal oxide ENMs was partially reversible during exposure to sunlight and diurnal temperature variations. Silver agglomerates were also found to break apart with agitation and the primary particles were easily resuspended (Elzey and Grassian 2010). Thus partial disaggregation can occur under natural conditions.

Stabilization of ENMs by surface coatings may cause them to remain in the water column and increase their transport distances (Christian et al. 2008). Stabilizers may be used in the manufacturing process to reduce aggregation and enhance the dispersion of engineered NPs, which decreases the attachment efficiency. Stabilizers work either by electrostatic repulsion, where a charged stabilizer increases repulsion between particles or steric hindrance, where the stabilizer physically impedes particle adhesion (Baalousha 2009; Illés and Tombácz 2006). A wide range of stabilizers have been found to be effective including thiols, carboxylic acids, surfactants, and polymers. For example, Kvitek et al. (2008) found that PVP was the most effective polymer stabilizer for Ag and that the addition of a charged group to the polymer can increase electrosteric stabilization. Adsorbing charged ions such as pyrophosphate onto the surface of TiO<sub>2</sub> NPs stabilizes the NPs and decreases aggregation (Jiang et al. 2009a, b). Polyelectrolyte surface coatings can be used to inhibit nZVI aggregation and enhance mobility (Kim et al. 2009; Sirk et al. 2009). The stabilizers not only affect the behavior of the NP within a product but can also enhance the mobility of ENMs in the environment (Tungittiplakorn et al. 2004).

The effect of NOM on aggregation is complex since it can both enhance and reduce aggregation, and is usually interconnected with other ambient environmental properties (Arvidsson et al. 2011). The presence of NOM generally results in a more negatively charged particle, enhancing particle stability via electrosteric stabilization mechanisms as well as steric hindrance (Chen et al. 2007; Fabrega et al. 2009; Gilbert et al. 2007). ENM interaction with NOM is best described as a heteroaggregation process, where ENMs collide with NOM on the basis of their respective diffusion velocities and adhere to NOM depending on the surface properties of both (Praetorius et al. 2012). NOM can also affect the structure of aggregates. For example, iron oxide in the absence of NOM forms porous aggregates, whereas in the presence of NOM, it forms compact aggregates (Baalousha et al. 2008). High concentrations of NOM have been shown to induce partial disaggregation of Ag at 10 mg NOM  $L^{-1}$  and full disaggregation at higher concentrations. However, these concentrations of NOM are not frequently observed in the environment and thus full disaggregation by NOM alone is unlikely.

Studies conducted on the aggregation of ENMs in various types of waters with a range of IS and NOM concentrations can be categorized by their aggregation Fig. 2 Aggregation time frames of ENMs in different water types (asterisk = coated). The current commonly predicted rate of aggregation for ENMs in stormwater, freshwater, groundwater, and seawater are delineated. Within each rate division, there is no distinction between which ENMs may aggregate faster or slower. The asterisks indicate if most studies used to estimate the rate of aggregation of a specific ENM and water type used a coated ENM

Residence Time	Stormwater	Freshwater	Groundwater	Seawater
Months	$\begin{array}{c} Ag^* \\ Au^* \\ CeO_2 \\ C_{60} \\ FeO/Fe_2O_3 \\ MWCNTs \\ SiO_2 \\ TiO_2 \\ ZnO \end{array}$	Ag* Au* CeO <sub>2</sub> C <sub>60</sub> FeO/Fe <sub>2</sub> O <sub>3</sub> MWCNTs SiO <sub>2</sub> TiO <sub>2</sub> ZnO	C <sub>60</sub> MWCNTs SiO <sub>2</sub>	SiO2
Weeks	nZVI* SWCNTs	NiO nZVI* SWCNTs	Au* FeOOH Latex SWCNTs	CuO FeO/Fe <sub>2</sub> O <sub>3</sub> *
Days		Al <sub>2</sub> O <sub>3</sub>	Ag* CeO <sub>2</sub> CuO NiO TiO <sub>2</sub>	Ag* C <sub>60</sub> FeOOH SWCNTs
Hours			FeO/Fe <sub>2</sub> O <sub>3</sub> nZVI* ZnO	Au* CeO <sub>2</sub> MWCNTs nZVI* TiO <sub>2</sub> ZnO

time frame (hours, days, weeks, months or greater) and water type (Fig. 2). The ENMs are listed alphabetically within each rate category. Au and Ag NPs in these studies are coated with organics, typically PVP or citrate. Deviations and exceptions to these categorizations are identified in Supplemental Table S2. Faster aggregation indicates that NPs will not remain in the water column for long (hours to days) and thus exposure to most pelagic aquatic species will be limited. In addition, aggregation will lower the transformation and reactivity of NPs since less effective surface area is exposed.

Most NPs are largely stable in freshwater and stormwater with some aggregation observed for  $Al_2O_3$ (Pakrashi et al. 2012), NiO (Gong et al. 2011), nZVI (Keller et al. 2012; Saleh et al. 2008a, b), and SWCNTs (Bennett et al. 2013; Wang et al. 2008a, b). Sorption and change in electrophoretic mobility have been shown to occur on exposure of NOM to metal and metal oxide NPs (Fabrega et al. 2009). Keller et al. (2010) found that NOM adsorbed onto TiO<sub>2</sub>, ZnO, and CeO<sub>2</sub> and significantly reduced their aggregation, stabilizing them under many conditions. Even within a water type, variations in NOM concentration will result in varied aggregation rates (Hammes et al. 2013; Liu et al. 2013a, b). This is consistent with our findings that many metal oxides are less likely to aggregate in stormwater and freshwater given their high NOM content. However, with the exception of SiO<sub>2</sub> (Zhang et al. 2009), most NPs will aggregate fairly rapidly in seawater. This is due to the high IS, which compresses the EDL, and low concentration of NOM, which does not provide sufficient electrostatic stabilization in seawater. Groundwater had the most variable results, with some ENMs aggregating rapidly, such as FeO/Fe<sub>2</sub>O<sub>3</sub> (Zhang et al. 2008), coated nZVI (Keller et al. 2012; Yin et al. 2012), and ZnO (Zhang et al. 2008; Zhou and Keller 2010), while others remained fairly stable over the long term, such as Au (Stankus et al. 2010; Unrine et al. 2010), C<sub>60</sub> (Chen and Elimelech 2007; Fortner et al. 2005), FeOOH (Gilbert et al. 2007), SiO<sub>2</sub> (Zhang et al. 2008, 2009), and SWCNTs (Bennett et al. 2013). This likely is due as much to an individual ENM's characteristics as it is to the high variability in the IS of groundwater and difficulty categorizing water samples as groundwater equivalent. Many groundwater studies are conducted in the laboratory with artificial groundwater under unfavorable aggregation conditions that may not be representative of natural systems. For ZnO and nZVI, the fast aggregation in groundwater is similar to the fast aggregation in seawater, and thus may be explained by the IS of groundwater. However, aggregation of FeO/Fe<sub>2</sub>O<sub>3</sub> was much slower in seawater (Chen et al. 2006) than in groundwater (Zhang et al. 2008), so there may be other factors at work.

#### Sedimentation in water

ENMs can be deposited to the sediment compartment via gravitational settling of aggregates or settling of ENMs sorbed to NOM or other suspended particles. There is a strong correlation between aggregation and sedimentation since particle size is such a strong determining factor in the rate of sedimentation. However, particle buoyancy is also a factor. Generally there is a delay between aggregation and sedimentation, which results in rates of sedimentation that are slightly slower than those for aggregation. In many instances, initial aggregation is so fast that it results in almost simultaneous sedimentation. Aggregate particle size is a major factor affecting the rate of sedimentation along with ambient environmental characteristics, such as the presence of NOM or other stabilizing agents and the IS or presence of different electrolytes as well as the viscosity of the fluid and the initial ENM concentration (Phenrat et al. 2008; Quik et al. 2011). The rate of sedimentation depends on the density and size of the particles, regardless of whether they are primary particles or complex aggregates, as well as the density of the fluid (Praetorius et al. 2012). Discrete settling can be estimated using Stoke's law (Quik et al. 2011). For sedimentation to occur, the settling velocity must be equal to or greater than the critical settling velocity for the system (Praetorius et al. 2012; Westerhoff et al. 2013). This is valid for aggregates as well as primary particles. Phenrat et al. (2007) found that the rate of sedimentation tends to follow three phases: an initial slow phase as aggregation is still occurring; a fast phase; and then another slow phase where the overall concentrations of particles is low as a result of sedimentation.

In addition to ENM aggregation, collisions of ENMs with suspended particles, such as clays, will lead to accelerated aggregation and sedimentation (Zhou et al. 2012a). This is dependent on the nature of the suspended particle and whether attachment of the ENM to the suspended particle is highly favorable, unfavorable, or somewhere in between. For example, at low pH values and intermediate IS, clay particles with positive surface charges reduce the stability of negatively charged Ag and positively charged TiO<sub>2</sub> ENMs (Zhou et al. 2012a).

As with aggregation, a review of the literature was conducted on the sedimentation rates of ENMs in various types of waters based on their IS and NOM concentration. These were categorized by residence time and water type (Fig. 3) with details on specific sources provided in Supplemental Table S3. Faster sedimentation (i.e., within hours to days) results in a shorter residence time in the water column and will result in lower exposure doses to species living in the water column, with corresponding accumulation in sediment (Klaine et al. 2008). Slower sedimentation (i.e., multiple weeks or longer) indicates greater transport distances, but with increasing dilution over time as the ENMs move away from the source via advection and dispersion.

In general, sedimentation is faster in seawater than in the other water types, much as with aggregation. Also, there are fewer notable differences in rates of sedimentation for stormwater, freshwater, and groundwater than there are for aggregation. This is because of ENMs such as NiO (Griffitt et al. 2008; Zhang et al. 2008), nZVI (Saleh et al. 2008a, b; Schrick et al. 2004), and ZnO (Franklin et al. 2007; Keller et al. 2010; Zhang et al. 2008; Zhou and Keller 2010; Zhou et al. 2012b) have similar sedimentation rates for groundwater, stormwater, and freshwater. Further, Ag (Chinnapongse et al. 2011; Griffitt et al. 2008; Lowry et al. 2012a, b), nZVI (Schrick et al. 2004), and ZnO (Franklin et al. 2007; Keller et al. 2010; Zhou and Keller 2010; Zhou et al. 2012b) appear to have sedimentation rates that are marginally faster than the rate of aggregation in both freshwater and stormwater (weeks instead of months, or days instead of weeks).  $CeO_2$  (Keller et al. 2010; Quik et al. 2010; Zhou et al. 2012b) and FeO/Fe $_2O_3$  (Zhu et al. 2012) have sedimentation rates that are faster than the aggregation time frame in freshwater (days or weeks instead of months). This may be a result of different ENM primary particle sizes or coatings in the various studies.

Fig. 3 Sedimentation time frames of ENMs in different water types, as measured by the residence time in the water column (asterisk = coated). The current commonly predicted rate of sedimentation for ENMs in stormwater, freshwater, groundwater, and seawater are delineated. Within each rate division, there is no distinction between which ENMs may sediment faster or slower. The asterisks indicate if most studies used to estimate the rate of sedimentation of a specific ENM and water type used a coated ENM

Residence Time	Stormwater	Freshwater	Groundwater	Marine
Months	Au* CeO <sub>2</sub> C <sub>60</sub> MWCNTs SiO <sub>2</sub> SWCNTs TiO <sub>2</sub>	Au * C <sub>60</sub> MWCNTs SiO <sub>2</sub> SWCNTs TiO <sub>2</sub>	C <sub>60</sub> FeOOH SiO <sub>2</sub>	SiO <sub>2</sub>
Weeks	Ag* ZnO	Ag* CeO <sub>2</sub> CuO NiO ZnO	Au* CeO <sub>2</sub> NiO ZnO	Au
Days	nZVI*	FeO/Fe <sub>2</sub> O <sub>3</sub> nZVI*	nZVI* TiO <sub>2</sub>	Ag* C <sub>60</sub> FeOOH nZVI* ZnO
Hours			FeO/Fe <sub>2</sub> O <sub>3</sub>	CeO <sub>2</sub> MWCNTs TiO <sub>2</sub>

As with aggregation, these results indicate that sedimentation will occur more quickly in seawater than other natural waters, whereas in freshwater and stormwater, particles are likely to remain suspended for extended lengths of time. This will lead to higher exposures of freshwater aquatic species to ENMs and higher exposures of benthic marine species to ENMs.

#### Dissolution in water

Dissolution is important for some ENMs, though it is very specific to the ENM and sometimes the aqueous medium. It involves the release of dissolved ions from the NP, even within an aggregate (Quik et al. 2011). Dissolution is a surface-controlled process that is dependent on the surface area of the ENM and the concentration of the dissolved ions near the particle's surface (Quik et al. 2011). Greater surface to volume ratios of NPs generally result in increased dissolution (Quik et al. 2011). Additionally, most metal NPs show increased dissolution at extreme pH values, particularly low pH (Quik et al. 2011). This is because solution pH affects the dissociation equilibrium of a complexing agent, the protonation or hydroxylation of the ionic groups released by NP dissolution, and NP interfacial free energy (Zhang et al. 2010).

The resulting extent of dissolution is determined by the thermodynamics of the system; if the overall free energy is negative, dissolution will occur and if the solubility product coefficient is positive  $(K_{sp})$ . Essentially, energy is needed to break the bonds between ions in NPs. The free energy is determined by the chemical bonds present in the system. Thus, dissolution rate is primarily a function of the bond strength between atoms on the NP surface; it can be accelerated by surface reactions that strip atoms from the surface (Stumm and Morgan 1981). Dissolution rate is also controlled by the metal ion concentration gradient between the particle surface and the bulk medium, as well as the concentration of other dissolved ions that can react with metal ions released from the NP (Misra et al. 2012). If the released ions (e.g.,  $Ag^+$ ) can react quickly with other water constituents (e.g., Cl<sup>-</sup>) near the NP surface, this will maintain a high gradient resulting in faster overall dissolution, as observed with Ag NPs in seawater (Thio et al. 2012). Thus, dissolution is also controlled by the characteristics of the surrounding media (pH, IS, water hardness), presence of organic components (NOM, polysaccharides, proteins) that can bind released ions, and sulfides and phosphates which form strong bonds with released metal ions.

NP size has also been found to affect the rate and extent of dissolution, since surface area increases with decreasing size, exposing more atoms per volume to the surrounding medium. For both CuO and coated Ag NPs, decreasing the size of the NPs increases the dissolution (both the rate and the equilibrium concentration), whereas the size of ZnO nanoparticles does not appear to significantly increase dissolution (Baek and An 2011; Franklin et al. 2007; Levard et al. 2012; Liu et al. 2011; Ma et al. 2012; Misra et al. 2012; Mortimer et al. 2010; Navarro et al. 2008; Xia et al. 2008).

Surface chemistry can also impact rates of dissolution. Functional groups on the NP surface often determine solubility (Verma and Stellacci 2010). For example, Au NPs capped with the appropriate surface monolayer do not readily dissolve (Zhu et al. 2010). A silica coating on hydrophobic Ag, Au, and Fe<sub>3</sub>O<sub>4</sub> increased the stability to weeks or even months (Jana et al. 2007).

NOM can act as a complexing agent that decreases the dissolution of some ENMs. For example, ENMs can bind to NOM, colloids, or other sediments while dissolution is still occurring (Allen and Hansen 1996). One study found that the small size of fulvic acid results in little impact on Ag particle dissolution; however, larger molecular weight humic acids appear to decrease stability and increase dissolution (Li et al. 2010a, b, c). As with NOM, ENM surface oxidation or sulfidation can decrease dissolution rates for ENMs such as Ag, which can also decrease toxicity (Levard et al. 2011). This is because oxidation and sulfidation can produce coatings on the ENMs which hinder the release of the metal ions from the inner core of the ENM.

Many studies have been conducted on the dissolution rates of ENMs in various types of waters based on their IS and NOM concentration. These were categorized by dissolution time frame (hours, days, weeks, months, or greater) and water type (Fig. 4). Carbonaceous NPs such as  $C_{60}$ , MWCNTs, and SWCNTs do not dissolve to any significant extent and thus are not included in this study. However, in many cases carbonaceous NPs include metal catalyst residuals, which can leach ions to a significant extent (Adeleye and Keller 2014; Bennett et al. 2013). The carbonaceous NPs are not included in Fig. 4, since the type of metal ions and their dissolution extent and time frame depends on the method of synthesis. The ENMs are listed alphabetically within each rate category. The categories are based on many different studies and there are deviations and exceptions to some of these categorizations, identified in Supplemental Table S4. Faster dissolution means decreased NP concentrations and increased dissolved ions.

With the exception of ZnO in seawater (Fairbairn et al. 2011; Li et al. 2013; Miller et al. 2010; Xia et al. 2008) and freshwater (Franklin et al. 2007; Li et al. 2013; Mortimer et al. 2010; Reed et al. 2012), NP dissolution is generally slow, occurring over the course of weeks or months, if at all. Dissolution of ZnO is highly pH dependent and the presence of phosphate can significantly alter the rate of dissolution so that it can be either very high or very low (Blinova et al. 2010; Montes et al. 2012). ENMs such as Au (Griffitt et al. 2008; Hitchman et al. 2013), CeO<sub>2</sub> (Cornelis et al. 2011; Gaiser et al. 2011; Montes et al. 2012), and TiO<sub>2</sub> (Griffitt et al. 2008; Keller et al. 2010; Miller et al. 2010) are not expected to dissolve to any significant extent, even over long periods of time regardless of water type. There is a slight increase in rate of dissolution from weeks to months as IS increases and NOM decreases, but this could also be driven by the presence of Cl<sup>-</sup> and other ions that enhance precipitation of the dissolved ions, as in saline media. These studies also indicate that most NPs are unlikely to dissolve in stormwater, particularly due to the short residence times of ENMs in this medium.

It is also worth noting that the toxic effect observed with some of these ENMS, such as CuO and ZnO, strongly correlate with the fraction of ENMs dissolved in the aquatic media (Aruoja et al. 2009; Blinova et al. 2010; Li et al. 2013). While faster dissolution may mean that the NPs do not remain in particle form, the ionic form of a metal is often toxic and this may have as much or more significant effects if dissolved than in particle form. At the same time, dissolution of ENMs can decrease the hydrodynamic diameter of the NPs, which may increase their toxicity (Li et al. 2010a, b, c).

These results indicate that dissolution may occur marginally faster in seawater and groundwater than in stormwater or freshwater, with some exceptions (e.g., Ag). This means that many ENMs will remain in NP form, within aggregates, for significant periods of time. If they remain suspended, as they do in some water for Au, CeO<sub>2</sub>, Cr<sub>2</sub>O<sub>3</sub>, CuO, NiO, SiO<sub>2</sub>, and TiO<sub>2</sub> (Fig. 3), this will lead to high exposure of aquatic

Residence Time	Stormwater	Freshwater	Groundwater	Marine
Months	Au* FeO/Fe <sub>2</sub> O <sub>3</sub> NiO TiO <sub>2</sub>	Au* CeO <sub>2</sub> FeO/Fe <sub>2</sub> O <sub>3</sub> TiO <sub>2</sub>	Au* CeO2* CuO NiO TiO2	Au* CeO <sub>2</sub> CuO TiO <sub>2</sub>
Weeks	CuO ZnO	Ag* Al <sub>2</sub> O <sub>3</sub> CuO NiO PbS	Ag* ZnO nZVI*	Ag* Al <sub>2</sub> O <sub>3</sub> NiO
Days		ZnO		
Hours				ZnO

Fig. 4 Dissolution time frames of ENMs in different water types (*asterisk* = coated). The current commonly predicted rate of dissolution for ENMs in stormwater, freshwater, groundwater, and seawater are delineated. Within each rate division, there

species to particulate ENMs rather than dissolved ENMs. However, if they tend to sediment quickly in some waters, as with Ag, FeO, and nZVI (Fig. 3), this will lead to high exposure of benthic marine species to particulate ENMs rather than dissolved ENMs. The exception is ZnO, which is the only ENM predicted to dissolve rapidly in seawater and freshwater. Given the slower sedimentation rates relative to the dissolution rates of ZnO, one can expect that water column species will have a higher probability of exposure to dissolved  $Zn^{2+}$  ions. However,  $Zn^{2+}$  can form precipitates with phosphate (Kandah 2004), which can reduce the dissolved  $Zn^{2+}$  concentrations.

#### **Transformations**

Environmental transformations of NPs will also affect their physical and chemical properties and thus their fate and toxicity. Significant transformation processes may include oxidation, sulfidation, and reactions with phosphorous. In general, many transformations tend to result in less reactive nanoparticles that are less likely to dissolve and may thus be less toxic.

Many metallic NPs can and likely will be oxidized in oxic waters. For example, nZVI is highly redox active and generates reactive oxygen species (ROS) through Fenton chemistry (Joo and Zhao 2008). Through oxidation, nZVI is transformed to magnetite (Fe<sub>3</sub>O<sub>4</sub>) or other iron oxides, releasing Fe<sup>2+</sup> and Fe<sup>3+</sup> which can further react (Adeleye et al. 2013). There is

is no distinction between which ENMs may sediment faster or slower. The asterisks indicate if most studies used to estimate the rate of dissolution of a specific ENM and water type used a coated ENM

extensive evidence that nZVI in the presence of oxygen can induce oxidative transformations of a variety of organic and inorganic species including heavy metals, pesticides, and chlorinated organic compounds (Feitz et al. 2005; Joo and Zhao 2008; Joo et al. 2004). Ag is also oxidized, releasing Ag+, which can then react with Cl<sup>-</sup> to form AgCl even in freshwater, since it is more thermodynamically favorable than Ag<sub>2</sub>O (Levard et al. 2012). Under oxic conditions, little or no sulfide is present, but as the AgCl precipitates out and is deposited on sediments,  $Cl^{-}$  can exchange with  $S^{2-}$  to form  $Ag_2S$  (Levard et al. 2012). Nano-Cu particles can also readily oxidize, forming various complexes depending on water chemistry. For example, in freshwater, after dissolving nano-Cu may form carbonate complexes such as  $CuCO_3$  and  $Cu(CO_3)_2^{2-}$  or hydroxide complexes such as Cu(OH)<sub>2</sub>, Cu(OH)<sup>+</sup>, and Cu<sub>2</sub>(OH)<sub>2</sub><sup>2+</sup> (Flemming and Trevors 1989). The predominant species formed depend significantly on the concentrations of OH<sup>-</sup> and  $\text{CO}_3^{2-}$  (i.e., pH and water hardness) (Adeleye et al. in preparation).

Sulfidation is an important transformation process affecting metal and metal oxide NPs by replacing ions with sulfide, under low redox conditions such as sediments and certain phases of wastewater treatment. The concentration of sulfides controls the rate and extent of sulfidation. Typical sulfide concentrations in oxic waters may lead to very low rates of sulfidation. High sulfide levels are usually associated with anaerobic conditions, but if oxygen is completely absent, the results of Liu et al. (2011) indicate that sulfidation will actually be suppressed. In anaerobic waters, ZnO NPs can be transformed to ZnS through the dissolution and reprecipitation of zinc ions (Ma et al. 2013), Cd NPs can be transformed to CdS (Cabot et al. 2008), and PVP-coated Ag NPs may be transformed to silver sulfide (Ag<sub>2</sub>S) sometimes via oxysulfidation (Levard et al. 2011; Liu et al. 2011; Reinsch et al. 2012). Near complete conversion of Ag to Ag<sub>2</sub>S was found in wastewater treatment plant effluent, indicating that Ag<sub>2</sub>S will be formed in sulfur rich, reducing environments (Kim et al. 2010). This transformation can affect surface properties of NPs in terms of surface charge and dissolution rate. Both may affect the reactivity, transport, and toxicity (Levard et al. 2011). Sulfidation of Ag has been shown to significantly reduce toxicity due to the lower solubility of silver sulfide (Levard et al. 2012). Sulfidation is very likely to occur for other metal and metal oxide NPs, such as CuO, Fe, and Pb, given the low  $K_{sp}$  for metal sulfides (Stumm and Morgan 1981).

The extent of sulfidation is strongly dependent on sulfide concentration. For ZnO, close to 100 % was converted to ZnS within 5 days in the presence of sufficient sulfide concentrations (Ma et al. 2013). Similarly, for Ag, in systems with a ratio of S/Ag as low as 0.2, more than 15 % of the Ag is found as  $Ag_2S$ within 24 h (Levard et al. 2011). The presence of sulfides may also cause rapid aggregation and sedimentation (Ma et al. 2013). This concurs with the fact that the point-of-zero charge increases with increasing sulfur for Ag, though this will not necessarily lead to an increase in aggregation (Levard et al. 2011). As soon as a very small amount of sulfur is added to the system, (S/ Ag of 0.019) particles begin to aggregate and form chain-like structures, so that along with transforming to Ag<sub>2</sub>S, the presence of sulfur appears to cause rapid aggregation and sedimentation (Levard et al. 2011; Reinsch et al. 2012). This will limit mobility in aquatic systems with sulfide. Sulfidation also decreases the rate and extent of dissolution. For ZnS, there was only a significant decrease in dissolution at Zn/S ratios above 0.616 (Ma et al. 2013) whereas even the lowest tested presence of Na2S decreases dissolution of Ag NPs by a factor or 7 (Levard et al. 2011). In a high sulfide environment, the concentration of Ag<sup>+</sup> was found to decrease from less than 1 mg/L to less than 10 ppb (Reinsch et al. 2012).

Environmental characteristics such as pH, IS, and the presence of NOM can alter the rate and effect of sulfidation. Much as NOM alters the stability of ENMs by decreasing aggregation, sedimentation, and dissolution; it was also found to slightly increase sulfidation of Ag (Liu et al. 2011). A low IS may minimize aggregation of Ag<sub>2</sub>S and reducing the pH increases the rate of Ag sulfidation (Liu et al. 2011; Reinsch et al. 2012). Sulfidation may also be more likely to occur under anaerobic conditions, and while metal sulfides have been found in aerobic waters their persistence may be short (Luther and Tsamakis 1989; Rozan et al. 2000). The presence of a surface coating on Ag does not appear to have any effect on the rate of sulfidation (Li et al. 2010a, b, c).

The effects of phosphate have largely been ignored despite the wide presence of phosphate in aqueous environments likely interacting with ENMs entering those same systems. Only a few studies have looked specifically at how the presence of phosphate affects the fate, transport, and toxicity of ENMs (Daou et al. 2007; Lv et al. 2012). Phosphate at a low concentration rapidly and substantially reduced the release of  $\mathrm{Zn}^{2+}$ into aqueous solution. One study found that low concentrations of phosphate rapidly reduced the dissolution of ZnO by transforming the ZnO to zinc phosphate (Lv et al. 2012). Another study found that phosphate in phosphate buffered saline media either reacted with the ZnO or strongly attached to the surface of the ZnO particles (Li et al. 2011a, b, c). This may result in decreased toxicity from ZnO (Lv et al. 2012; Li et al. 2011a, b, c). Phosphate adsorption to magnetite nanoparticles was also found to occur and to inhibit dissolution, though the study only considered a low pH environment (Daou et al. 2007). A study on the transformation of ZnO and Ag in wastewater treatments plants found that Ag was converted to Ag<sub>2</sub>S, indicating that Ag is less likely to react with phosphates; whereas ZnO was transformed to ZnS,  $Zn_3(PO_4)_2$ , and Zn associated with Fe oxyhydroxides (Ma et al. 2014). Interestingly, a study on the effect of phosphate impurities in TiO<sub>2</sub> found that its presence improved the suspension stability of TiO<sub>2</sub> (Liu et al. 2013a, b). This suggests a small possibility that  $TiO_2$ will be more stable in water with phosphate.

#### Fate and transport in soil

Soils are characterized by the presence of a heterogeneous mixture of gas, liquid, and soil phases, the interfaces between them, and the presence of organic matter and microbial communities. The complex nature of soil systems mean that our understanding of processes affecting the fate of ENMs in soil is limited, especially in unsaturated soils. This is due in part to the complexity of measuring how ENMs interact with unsaturated soil as opposed to groundwater (Tiede et al. 2009; Tourinho et al. 2012). As in water, most ENMs in soil are likely to aggregate, sorb to surfaces, sediment, and dissolve (Dunphy Guzman et al. 2006; Franklin et al. 2007; Tourinho et al. 2012), which can be determined by the estimated rates of aggregation, sedimentation, and dissolution in groundwater (Figs. 2, 3, 4), with some possible exceptions. In unsaturated soil, work with colloids suggests that ENMs will likely be trapped in the air/water interface (Sirivithayapakorn and Keller 2003a).

Transport in porous media can be described by three mechanisms: (i) direct interaction of ENMs with soil; (ii) sedimentation due to gravity; and (iii) diffusion due to Brownian motion (Dunphy Guzman et al. 2006; Fang et al. 2009). Gravitational sedimentation will be negligible without significant aggregation (Fang et al. 2009). For transport to occur, forces that cause ENMs to attach to soil particles, such as electrostatic forces, VDW forces, hydrodynamic forces, hydration/structural forces, hydrophobic forces, and steric interactions must be minimized (Bradford et al. 2002; Schrick et al. 2004). A number of studies have determined that the fate of ENMs in soil is strongly dependent on primary particle size (Darlington et al. 2009; Tufenkji and Elimelech 2004), aggregate particle size (Darlington et al. 2009), and surface charge, as well as environmental conditions such as pH, IS, the presence of NOM, clay content, and flow velocity (Tourinho et al. 2012). These characteristics will affect physical and chemical processes that affect ENMs such as aggregation and dissolution (Tourinho et al. 2012).

Transport is strongly dependent on the size of the ENMs; it is the aggregate size, not the primary particle size, which tends to correlate with mobility (Darlington et al. 2009). One study with  $Al_2O_3$  found that at larger primary particle size and larger aggregate size, ENMs are less mobile (Darlington et al. 2009). Another study confirmed that attachment efficiency increased with increasing particle size for latex NPs (Tufenkji and Elimelech 2004). Conversely, a study with SiO<sub>2</sub> found that smaller NPs resulted in higher

relative retention in column experiments, which could be caused by the relative charge on the NPs (Wang et al. 2012a, b). There are likely two mechanisms responsible for this observed size effect: (i) size directly affects the interaction energy between ENMs and soil surfaces, and (ii) size may influence the physical and chemical properties of ENMs, since smaller particles are generally more reactive (Wang et al. 2012a, b). Surface charge can affect particleparticle interactions as well as particle-soil interactions (Saleh et al. 2008a, b). As with water, when an ENM is in a system where the pH causes the zeta potential to be close to zero, the ENM is likely to aggregate and adhere to soil particles, because the surface charges causing repulsion between particles are minimized.

Transport also explicitly depends on the size of the soil particles and the pore size. If the aggregate size is of similar dimensions or larger than the soil pore throats and is trapped, transport will likely be reduced by straining (Sirivithayapakorn and Keller 2003a, b), and by filtration if the particle is removed by interception, diffusion, and/or sedimentation (Bradford et al. 2002; Dunphy Guzman et al. 2006; Fang et al. 2009; Nowack and Bucheli 2007). As a result, it is possible that larger aggregates will be retained in the upper soil layers (Fang et al. 2009). The presence of clay particles and humic acid in soil can also cause adsorption of the ENMs if there are opposing surface charges between the ENMs and the surface mineral or organic deposits (Tourinho et al. 2012). Sorption can be caused by electrostatic attraction, surface bridging, hydrogen bonding, or hydrophobic interactions (Laak et al. 2006), which in turn are influenced by soil properties such as pH, metal oxide content, IS, organic fraction, and cation exchange capacity (Laak et al. 2006). However, if the ENM is similarly charged to the clay or NOM, such as nZVI coated with anionic hydrophilic carbon or poly-acrylic acid (PAA), both of which have anionic surface charges, transport through soil will be facilitated because the similar charge causes repulsion between the ENM and soil constituents (Schrick et al. 2004). Similarly, positively charged Al<sub>2</sub>O<sub>3</sub> has little mobility and deposits rapidly in soils because the NPs sorb to the negatively charged soil particles. A phosphate coating on the Al<sub>2</sub>O<sub>3</sub>, however, creates a negative charge and thus greater mobility (Darlington et al. 2009).

Much as in water, soil pH affects the aggregation of ENMs by altering surface charge, which strongly modulates ENM mobility in soils (Dunphy Guzman et al. 2006). For example, when the pH is near the point-of-zero-charge for both ZnO and TiO<sub>2</sub>, transport is low (Godinez and Darnault 2011; Kanel et al. 2008). In one set of column experiments, a neutral pH prevented transport of TiO<sub>2</sub>, whereas at most other pH values (such as 1, 10, and 12), 90–100 % of the  $TiO_2$ NPs were transported the entire length of the soil column (Dunphy Guzman et al. 2006). This is also the case for Cu<sup>0</sup> NPs, which are positively charged at a neutral pH and thus essentially immobile, whereas at high pH, surface charge becomes more negative, allowing transport by decreasing attachment efficiency (Jones and Su 2012).

IS can also affect the surface charge of ENMs; when IS is high it compresses the EDL, which decreases repulsive forces and mobility by increasing aggregation and sorption (Fang et al. 2009; Tourinho et al. 2012). For example, the presence of sodium chloride reduced the electrostatic repulsion between particles and soil for CuO, Fe<sub>2</sub>O<sub>3</sub>, latex, TiO<sub>2</sub>, nZVI, and ZnO NPs, due to aggregation and reduced mobility (Ben-Moshe et al. 2010; Fang et al. 2009; Saleh et al. 2008a, b; Tufenkji and Elimelech 2004). Another study found that the compression of the EDL caused by increasing IS created a net attractive force for CeO<sub>2</sub>, C<sub>60</sub>, and MWCNTs NPs, which caused both increased aggregation and deposition (Brant et al. 2005; Li et al. 2011a, b, c; Tian et al. 2012). Similarly, studies with TiO<sub>2</sub> and ferrihydrite NPs indicated that mobility was high in low IS soils and low in high IS soils (Fang et al. 2009; Tosco et al. 2012).

Several studies have indicated that the electrolyte species matters significantly, much as it does in water (Espinasse et al. 2007; Jaisi and Elimelech 2009; Jaisi et al. 2008). For  $C_{60}$ , little aggregation occurred in the presence of NaCl, but significant aggregation occurred in the presence of CaCl<sub>2</sub>, and mobility was equally affected by both (Wang et al. 2008a, b). Similarly deposition and filtration of  $C_{60}$  and MWCNTs increase with increasing IS and also from monovalent to divalent cations (Espinasse et al. 2007; Jaisi et al. 2008). However, at high IS (>30 mM), the cation species effect can disappear and mobility will be minimal regardless of the electrolyte, such as for CNTs (Wang et al. 2008a, b). Thus, in groundwater that has traveled through calcareous deposits or with

increased salinity, ENM transport is likely to be significantly decreased. Similarly, in marine or estuarine sediments one would expect very limited mobility due to high attachment efficiencies to the sediments.

Much as in water, dissolved or particulate organic matter can sorb to ENM surfaces in soils, which can influence their fate in this medium. Soil organic matter may enhance the stability of ENMs and thus increase their mobility in soil (Ben-Moshe et al. 2010; Espinasse et al. 2007; Fang et al. 2009; Johnson et al. 2009). This is in part because humic substances tend to be negatively charged at typical environmental pH values, which can create an overall negative charge on an ENM-NOM agglomerate (Ghosh et al. 2008). For example, NOM in soil suspensions was found to have a stabilizing effect on TiO<sub>2</sub>, nZVI, and SWCNTs, thus increasing their mobility (Arvidsson et al. 2011; Fang et al. 2009; Jaisi et al. 2008; Johnson et al. 2009). The presence of 2–20 mg L<sup>-1</sup> NOM greatly increased the mobility of nZVI over the absence of NOM (Johnson et al. 2009). NOM also decreased the attachment efficiency of both latex and Cu<sup>0</sup> ENMs (Johnson et al. 2009; Jones and Su 2012; Tufenkji and Elimelech 2004). Similarly, deposition of  $TiO_2$  was highest in the absence of NOM or bacteria and lowest in the presence of both NOM and bacteria, with NOM having a greater impact (Chowdhury et al. 2012). Under some conditions, however, the presence of NOM may destabilize particle dispersions (Tourinho et al. 2012). For example, the presence of polysaccharide-based natural organic matter, which is produced by algae or bacteria, may have the opposing effect to humic-based organic matter and thus may cause deposition and limit mobility (Espinasse et al. 2007).

Groundwater flow rate has also been shown to affect the mobility of ENMs (Tourinho et al. 2012). A low flow rate reduces ENM transport due to increased probability of collision, whereas a higher flow rate increases mobility in part due to the reduced likelihood of attachment (Ben-Moshe et al. 2010; Jeong and Kim 2009). For example, at the low flow velocity typical of groundwater (0.38 m/day),  $C_{60}$  NPs showed limited mobility (Cheng et al. 2005). However, another study determined that while doubling the flow velocity did increase the transport of TiO<sub>2</sub>, this increase was not significant (Godinez and Darnault 2011).

Transport estimates vary by NP and also by characteristics of the soil and flow. Thus, comparing transport rates across very different experiments has limited use. Most studies show some transport for all ENMs. The longest transport was predicted for ferrihydrite (30 m) and functionalized fullerenes (10 m) (Lecoanet et al. 2004; Tosco et al. 2012). Silica is also expected to have a high mobility, in part because of the limited aggregation that occurs in soil (Lecoanet and Wiesner 2004). TiO<sub>2</sub> transport is expected to range from 41 to 370 cm, which may allow TiO<sub>2</sub> ENMs to reach deeper soil layers (Fang et al. 2009). SWCNTs are expected to experience some mobility in low IS soils (1.7 m), but transport could also be as low as 5-20 cm (Jaisi and Elimelech 2009; Jaisi et al. 2008). CNTs, MWCNTs, and Ag are expected to be relatively mobile, approximately to the same extent as natural clay colloids (Mattison et al. 2011; Tian et al. 2010).  $Al_2O_3$  and uncoated nZVI, on the other hand, are expected to experience very little transport (Darlington et al. 2009; Jaisi and Elimelech 2009; Schrick et al. 2004). Transport can also be facilitated when NPs are attached to mobile clay particles, as seen for TiO<sub>2</sub>, Ag, and Pu NPs (Abdel-Fattah et al. 2013; Zhou et al. 2012a).

These studies suggest that under some conditions, such as a neutral pH, high IS, low NOM, and low flow, many ENMs may have limited mobility and will be unlikely to enter deeply into groundwater aquifers or transport laterally to other water bodies (Brant et al. 2005). Conversely, in areas with high NOM or mobile clays, ENM transport may be significant during periods of saturation due to heavy rain. This is especially the case for ENMs that have coatings to make them less reactive, less likely to aggregate, and more mobile, such as functionalized fullerenes and CNTs, organically coated metal and metal oxide NPs, or iron-doped NPs, as well as certain other ENMs that are more stable, including TiO<sub>2</sub>, silica, and Ag.

#### Toxicity

There is a growing body of literature on the toxicity of ENMs in many different systems. Most tests have been conducted on freshwater or marine species, with only a few on soil organisms. Toxic effects have been observed for many NPs at a range of concentrations, for a variety of species. Studies use various measures of toxicity including: no observed effect concentration (NOEC), minimum inhibitory concentration (MIC), least observed effect concentration (LOEC), median lethal dose (LD50), median lethal concentration (LC50), half maximal effective concentration (EC50). For the purposes of this screening analysis, no distinction was made between chronic and acute toxicity, the particular toxic effect. As such, toxic effects could include direct death of individuals, limited growth rates, reproductive weaknesses, alterations to genetics, etc. The purpose of reviewing the literature on toxicity was to find the environmental concentrations at which any negative effect is caused to any species, so as to determine which ENMs are the greatest immediate threat at current production and predicted release concentrations.

In order to understand the risk of ENMs in the environment we must understand both the dose response effect of ENMs as well as the exposure pathway. Exposure pathway determines how an ENM enter an organism, which is generally via the respiratory system, the gastrointestinal system, or the epidermis (Davoren et al. 2007; Hoet et al. 2004; Li et al. 2007; Oberdörster et al. 2005; Warheit et al. 2004). Factors that may influence entry to an organism include ENM size, charge, surface area, and shape (Auffan et al. 2008; Yah et al. 2012). The morphology (i.e., spherical, cylindrical, etc.) of the ENM may be a significant determinant to the toxic response. For example, cylindrical carbon nanotubes have been found to act much like asbestos in the lungs of rats raising concerns that exposure may lead to pleural abnormalities such as mesothelioma, whereas other shapes of carbon-based nanoparticles such as fullerenes do not appear to be toxic (Oberdörster et al. 2005; Poland et al. 2008). Surface functionality also plays a role in determining the fate of nanomaterials, with hydrophilic Au NPs ingested and rapidly cleared with no toxic impact whereas hydrophobic NPs accumulated and caused toxicity in medaka (Zhu et al. 2010). Surface charge may also determine uptake, with greater uptake for positively charged NPs than neutral or negatively charged particles (Verma and Stellacci 2010; Zhu et al. 2010). The same was found for Au NPs where the cationic particles were moderately toxic, yet the anionic particles were non-toxic (Goodman et al. 2004). Surface coating and resulting charge determine toxicity for Ag NPs, with the most negatively charged citrate-capped Ag showed the least toxicity and the most positively charged polyethyleneimine (BPEI Ag NPs showing the most toxicity (El Badawy et al. 2011). Surface coatings may also decrease toxicity by reducing ROS production. For example, oleic acid (OA) and poly(methylacrylic acid) (PMAA) coated ZnO NPs both reduced ROS production and cytotoxicity over uncoated ZnO NPs (Yin et al. 2010). Another study found that Ag NPs coated with protein were more toxic than those citrate-coated Ag NPs, which were more toxic than PVP-coated Ag NPs (Suresh et al. 2010). Toxicity will also depend on the persistence of ENMs within the organism or clearance from the organism due to an immune response (Card et al. 2008; Yah et al. 2012).

Once in an organism, ENMS may be able to transverse the cells and intermingle with tissue cells which can cause organ malfunction (Oberdörster et al. 2005). Cationic surfactant stabilized Au NPs were shown to readily transfer from the water column to an estuarine food web (Ferry et al. 2009). Studies have shown that airborne ENMs can be deposited in the respiratory tract when inhaled. From there, the NPs can transverse the blood stream, and be relocated to other organs (Warheit et al. 2004; Zhang et al. 2005). Gwinn and Vallyathan (2006) reported that inhaled nanosized particles may trigger phagocytosis and cause systemic health effects in experimental animals. ENMs can also enter an organism via the gastrointestinal tract through water, food, cosmetics, drugs, and medicines. TiO<sub>2</sub> ENMs have been found in the lymph, liver, and spleen after entry via the gastrointestinal tract (Jani et al. 1990). If exposure is via the epidermis, there is some possibility that SWCNTs and MWCNTs can enter cell membranes and cause oxidative stress and decreased viability based on in vitro studies (Manna et al. 2005).

Within an organism, ENMs appear to be transported to most major organs (Oberdörster et al. 2005). ENMs have proved toxic to human tissue and cell cultures, resulting in increased oxidative stress, inflammatory cytokine production and cell death (Oberdörster et al. 2005). Unlike larger particles, nanomaterials may be taken up by cell mitochondria and the cell nucleus (Geiser et al. 2005; Li et al. 2003; Porter et al. 2007). Studies demonstrate the potential for nanomaterials to cause DNA mutation and induce major structural damage to mitochondria, even resulting in cell death (Geiser et al. 2005; Li et al. 2003; Savić et al. 2003). Additionally, for ENMs with high mass to surface area ratios, the production of ROS can be quite high. ROS and free radical production may be

a significant mechanism of nanoparticle toxicity; it may result in oxidative stress, inflammation, and consequent damage to proteins, membranes, and DNA (Nel et al. 2006).

The results of 61 ENM ecotoxicity studies were placed into context by comparing them against the high end of current predicted release concentrations in freshwater and seawater media (Fig. 5), in order to estimate the level of risk an ENM poses in each media. Release concentration estimates for ENMs considered in this review range from the low ng  $L^{-1}$  to  $\mu g L^{-1}$ 

Freshwater	Seawater	
SiO <sub>2</sub>	FeO/Fe <sub>2</sub> O <sub>3</sub> SWCNTs	
Au FeO/Fe <sub>2</sub> O <sub>3</sub>	Al <sub>2</sub> O <sub>3</sub> Cr <sub>2</sub> O <sub>3</sub> MWCNTs NiO TiO <sub>2</sub>	
$AI_2O_3$ $CeO_2$ $Cu$ $CuO$ $C_{60}$ $Fe_3O_4$ $Latex$ $MWCNTs$ $NiO$ $SWCNTs$ $TiO_2$	Au CeO <sub>2</sub> CuO C <sub>60</sub> SiO <sub>2</sub>	
Ag nZVI ZnO	Ag nZVI ZnO	
No toxicity observed		
Toxic at >10 mg/L		
Toxic at <10 mg/L		
Toxic at 100x environmentally relevant concentrations		

Fig. 5 Toxicity of ENMs in freshwater and marine systems. This table shows the highest toxicity thus measured for freshwater and seawater species

(Gottschalk et al. 2009; Keller and Lazareva 2013). There will likely be some hotspots and other exceptions, for instance where nZVI is directly injected into soil for groundwater remediation, or for accidental spills or improper disposal of ENMs outside of landfills. We grouped the risk of hazard, based on maximum predicted environmental concentrations and toxicity to the most sensitive species, into five categories: (1) toxic at maximum predicted environmental concentrations; (2) toxic at 100 times the maximum predicted environmental concentrations; (3) toxic at any concentration up to 10 mg  $L^{-1}$ ; (4) toxic at concentrations >10 mg  $L^{-1}$ ; and (5) nontoxic at all tested concentrations. The goal was to identify the most toxic concentration as yet identified in the literature and compare that with the current release estimates, which may change as more information becomes available. As new research is conducted, the location of some of these ENMs will likely shift in this table. Details for the studies considered in Fig. 5 are provided in Table S5.

At current predicted release quantities and using the results of current toxicity tests, none of the ENMs considered are expected to cause aquatic toxicity (Fig. 5). This is dependent on how and where the ENMs included in this study are released to surface waters (e.g., diffuse release vs. major spill). Even if current production and subsequent release quantities were to increase 100-fold, only three ENMs would raise concern: Ag, nZVI, and ZnO. Of these, ZnO is the greatest concern since all studies indicate ZnO is toxic at some concentration to all species tested (Blinova et al. 2010; Franklin et al. 2007; Li et al. 2013; Miller et al. 2010). If production of ZnO were to increase significantly, it is clear that its release and effects on the environment would need to be monitored closely. Also, special care should be given to the use of nZVI in soil and groundwater remediation as toxicity is observed at concentrations >0.5-1 mg L<sup>-1</sup> (Keller et al. 2012), and typical remediation concentrations can range as high as 1-10 g/L (Grieger et al. 2010; Phenrat et al. 2007). Additionally, while the production of Ag is currently quite low (Keller et al. 2013), most studies indicate some level of toxicity to a variety of species and thus consideration should be given should production increase. Toxicity from Ag may either be caused by the production of ROS or the interaction of Ag ions with thiol groups of vital enzymes and proteins (Levard et al. 2012). However, the presence of sulfides in aquatic systems may limit toxicity by limiting the dissolution of Ag (Levard et al. 2011).

Carbon-based NPs, including  $C_{60}$ , SWCNTs, and MWCNTs show some toxicity at concentrations below 10 mg L<sup>-1</sup> (Velzeboer et al. 2008; Zhu et al. 2007, 2009) and all other studies indicate some toxicity though not at concentrations likely to occur in the environment. Similarly, Cu/CuO, Fe<sub>2</sub>O<sub>3</sub>/Fe<sub>3</sub>O<sub>4</sub>, and NiO also caused some toxicity at all tested concentrations. Some studies indicated toxicity at concentrations less than 10 mg L<sup>-1</sup> (Aruoja et al. 2009; García et al. 2011; Griffitt et al. 2007, 2008; Heinlaan et al. 2008), while others indicated toxicity at far greater concentrations (e.g., Baek and An 2011; Blinova et al. 2010; Gong et al. 2011; Horie et al. 2009; Zhu et al. 2012).

Al<sub>2</sub>O<sub>3</sub>, CeO<sub>2</sub>, and TiO<sub>2</sub> ENMs cause some toxicity at concentrations below 10 mg  $L^{-1}$ , and are clearly toxic at greater concentrations, although some studies indicated no toxicity at any tested concentration ranging from 25 mg  $L^{-1}$  to 20 g  $L^{-1}$  (e.g., Heinlaan et al. 2008; Jiang et al. 2009a, b; Miller et al. 2010; Velzeboer et al. 2008; Xia et al. 2008). Interestingly for CeO<sub>2</sub>, studies done on the same species and at similar concentrations occasionally resulted in toxic effects occurring at fairly different concentrations, which resulted in them being placed in different categories in this study (Gaiser et al. 2011; García et al. 2011; Hoecke et al. 2009; Rogers et al. 2010). A similar range of concentrations of  $TiO_2$ caused differing toxic effects (e.g., Adams et al. 2006; García et al. 2011; Heinlaan et al. 2008; Simon-Deckers et al. 2009; Zhu et al. 2009).

Au,  $Cr_2O_3$ ,  $Sb_2O_3$ ,  $SiO_2$ , and  $ZrO_2$  ENMs exhibit very low toxicity, though fewer studies are available and as results are published their locations within the toxicity table may shift. Au caused toxicity only at 70 mg L<sup>-1</sup> (Li et al. 2010a, b, c).  $Cr_2O_3$  and  $ZrO_2$  did not cause any toxicity at concentrations up to 100 mg L<sup>-1</sup> (Horie et al. 2013; Singh et al. 2011; Velzeboer et al. 2008).  $Sb_2O_3$  caused toxicity only at concentrations greater than 140 mg L<sup>-1</sup> (Baek and An 2011). SiO<sub>2</sub> caused toxicity at very different concentrations for the same species; the lowest being 20 mg L<sup>-1</sup> (Adams et al. 2006; Jiang et al. 2009a, b).

Most of these studies focused on toxicity of ENMs to aquatic organisms. A few, however, considered

toxicity to terrestrial organisms in soil. A number of studies have indicated toxicity of ENMs to soil organisms as well as the ability for ENMs such as Au and Ag to enter terrestrial food webs and biomagnify (Benn and Westerhoff 2008; Judy et al. 2011; Lowry et al. 2012a, b). At high exposure concentrations, reproduction of E. fetida decreased for both Au and Al<sub>2</sub>O<sub>3</sub> (Coleman et al. 2010; Unrine et al. 2010). Along with harming reproduction in E. fetida, Ag was found to cause acute toxicity at 7.41 mg/kg in soil (Shoults-Wilson et al. 2011). Both CuO and Fe<sub>3</sub>O<sub>4</sub> were found to cause changes in soil microbial communities, caused by toxicity, at 1 and 5 % w/w dry soil (Ben-Moshe et al. 2013). Conversely  $C_{60}$ caused no change in the functioning of microbial soil communities, even at very high concentrations, suggesting that toxicity may be strongly connected with bioavailability and thus solubility (Tong et al. 2007). While there are clearly toxic effects at both the acute and chronic level, specifically for bioavailable ENMs, there is virtually no information on actual exposure to ENMs in soils.

#### Conclusions

While there is still a need to better understand the implications of ENMs, emerging patterns with regards to ENM fate, transport, and exposure combined with emerging information on toxicity indicate that risk is low for most ENMs at predicted current environmental concentrations.

In the atmosphere, removal of ENMs will be via wet or dry deposition, both of which correlate strongly with particle size as well as environmental factors such as temperature, relative humidity, and atmospheric turbulence. A majority of ENMs are expected to settle very quickly due to interactions with larger particles, in spite of their small size. This will limit transport in the atmosphere.

The fate and transport of ENMs in natural waters is dependent on the characteristics of the ENM and the chemical properties of the water, specifically the IS and the presence of NOM and suspended particles. We found that there are clear differences in the fate of ENMs and the rate of ENM specific processes in different types of water, such as stormwater, freshwater, groundwater, and seawater.

Aggregation and sedimentation generally have similar time scales for most ENMs across the different water types. Faster aggregation indicates that NPs will not remain in the water column for long (residence times of hours to days) and thus exposure to many aquatic species will be limited, whereas slower aggregation, such as in stormwater or freshwater, may result in greater likelihood of exposure. Faster sedimentation (hours to days) generally indicates lowered exposure to species living in the water column, but increased and prolonged exposure to benthic species. Slower sedimentation (>weeks) indicates that ENMs will be transported over greater distances, but it may also mean greater dilution over time. ENMs will most likely exhibit low mobility in marine systems because of the higher rates of aggregation and sedimentation observed for many ENMs relative to freshwater. Areas near points of release (e.g., wastewater effluent discharge) may develop higher ENM concentrations in sediments over time, and may need to be monitored carefully for environmental impacts.

In most cases, dissolution does not vary significantly by water type, but is highly dependent on ENM composition. Ag, Al<sub>2</sub>O<sub>3</sub>, CuO, and NiO ENMs will dissolve over days to weeks, while ZnO dissolves even faster (hours to days). This will result in the release of metal ions and disappearance of the NP, although under some conditions the ENM may acquire a coating that slows down dissolution. The extent of oxidation and sulfidation, as well as interactions with phosphate and other ions, will also determine the extent of dissolution. Available data suggests that NPs that dissolve require close monitoring and merit more intensive follow-up research compared to those that do not dissolve. This is because, in many instances, the ionic form of a metal is very toxic and may have more significant effects than the NP. Frequently there is a strong correlation between toxicity and dissolution. The ENM may also be ingested by an organism and then dissolve, resulting in a high toxic dose. NPs that do not dissolve (e.g.,  $SiO_2$ ,  $TiO_2$ ) tend to be much less toxic than those that do.

The fate of ENMs in soil is expected to be similar to those of traditional chemicals and colloids. For transport to occur, forces that cause ENMs to attach to soil particles must be minimized. In saturated soils the rates of aggregation, sedimentation, and dissolution are predictable based on their behavior in groundwater. In unsaturated soils, work with colloids suggests that ENMs will be trapped at the air/water interface. The fate is strongly dependent on both primary particle size and aggregate particle size, as well as soil pore size, soil particle size, and soil characteristics. Under neutral pH, high IS (e.g., high salinity or hardness), low NOM, and low flow conditions, ENMs are unlikely to be transported great distances and are thus unlikely to enter groundwater aquifers to a significant depth. This information can be used to design ENM removal mechanisms in soil applications.

More research must be conducted on rates of heteroaggregation and sedimentation in complex aquatic media and at environmentally realistic concentrations. Most studies included in this paper were conducted at mg/L concentrations, which cannot in all cases be scaled down to the far more likely ng/L or ug/ L concentrations that are likely present in the environment. Most studies on aggregation, sorption, sedimentation, and dissolution use simplified aquatic or soil media, which makes it far more difficult to predict actual rates of transformation processes in the environment. In particular, quantitative changes in concentration over time and transport in soils are often not reported; these are necessary to both quantify fate and transport and to be able to compare across types of nanomaterials. Additionally, more work should be done on the rate and extent of transformation and reactions with other ions and complex NOM that occur in the environment. Results of studies should also be presented in a standardized quantitative manner so that they can be compared statistically across various ENM types, sizes, and shapes and environments.

Toxicity is not expected at current predicted environmental concentrations for the ENMs considered in this study. It is important to note that predicted environmental concentrations have a high degree of uncertainty, and thus the assessment should adapt as more information becomes available. However, direct use of ENMs in the environment (e.g., nZVI) or spills and other direct releases may have significant local effects. Even if current production and release were to increase 100-fold, only Ag, nZVI, and ZnO would be of significant concern. Generally, toxicity was highest for Ag, CuO, NiO, nZVI, and ZnO, as expected based on their dissolution behavior. Additionally, while fewer studies have been conducted on the toxicity of ENMs to soil organisms, in part because of the complexity with which organisms are exposed to ENMs in the different soil phases, studies do indicate that ENMs such as Ag, Au,  $Al_2O_3$ , CuO, and  $Fe_3O_4$  will cause toxicity if ENM concentrations in soil become high enough.

The results from these 61 toxicity studies, combined with emerging exposure predictions, indicate that there are some areas of concern. ENMs such as Ag, nZVI, and ZnO are all relatively well studied and may pose risks under some release scenarios. After these, research should be directed toward the possible effects of C-based NPs, Cu ENMs, Fe ENMs, and NiO since all will cause toxicity but only if production and release quantities increase by several orders of magnitude. In addition, because  $Al_2O_3$  and TiO<sub>2</sub> both have high production levels that are likely to increase, their risk should be carefully evaluated as well.

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