Engineered antibody Fc variant with selectively enhanced $Fc\gamma RIIb$ binding over both $Fc\gamma RIIa^{RI3I}$ and $Fc\gamma RIIa^{HI3I}$

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Engaging inhibitory FcyRIIb by Fc region has been recently reported to be an attractive approach for improving the efficacy of antibody therapeutics. However, the previously reported S267E/L328F variant with enhanced binding affinity to $Fc\gamma RIIb$, also enhances binding affinity to $Fc\gamma RIIa^{R131}$ allotype to a similar degree because $Fc\gamma RIIb$ and $Fc\gamma RIIa^{R131}$ are structurally similar. In this study, we applied comprehensive mutagenesis and structure-guided design based on the crystal structure of the Fc/ FcyRIIb complex to identify a novel Fc variant with selectively enhanced $Fc\gamma RIIb$ binding over both $Fc\gamma RIIa^{R131}$ and $Fc\gamma RIIa^{H131}$. This novel variant has more than 200fold stronger binding affinity to FcyRIIb than wild-type IgG1, while binding affinity to $Fc\gamma RIIa^{R131}$ and $Fc\gamma RIIa^{H131}$ is comparable with or lower than wild-type IgG1. This selectivity was achieved by conformational change of the C_H2 domain by mutating Pro to Asp at position 238. Fc variant with increased binding to both FcyRIIb and FcyRIIa induced platelet aggregation and activation in an immune complex form in vitro while our novel variant did not. When applied to agonistic anti-CD137 IgG1 antibody, our variant greatly enhanced the agonistic activity. Thus, the selective enhancement of FcyRIIb binding achieved by our Fc variant provides a novel tool for improving the efficacy of antibody therapeutics.

Keywords: antibody engineering/Fc γ RIIb/Fc engineering/ inhibitory Fc γ R/platelet activation

Introduction

IgG-based monoclonal antibodies (mAbs) have become important therapeutic options for numerous diseases (Brekke and Sandlie, 2003; Maggon, 2007). Fc γ receptors (Fc γ R)-dependent cytotoxicity plays an important role in the antitumor activity of mAbs for cancer immunotherapy (Nimmerjahn and Ravetch, 2012).

Several works describe engineering the Fc region to enhance the effector function of mAbs by increasing the binding affinity for active Fc γ Rs (Fc γ RIa, Fc γ RIIa and Fc γ RIIIa) with amino acid substitutions. For example, antibodies engineered to have increased binding affinity for Fc γ RIIIa exhibited superior *in vitro* ADCC activity and *in vivo* antitumor activity compared with wild-type mAbs (Stavenhagen *et al.*, 2007; Zalevsky *et al.*, 2009). In addition to protein engineering, glyco-engineered tumor-specific mAbs with afucosylated N-linked oligosaccharides at Asn297 in the Fc region showed increased binding affinity for human Fc γ RIIIa and mouse Fc γ RIV, which resulted in enhancing the therapeutic activity in mouse models (Nimmerjahn and Ravetch, 2005; Mossner *et al.*, 2010).

In contrast to these activating $Fc\gamma Rs$ that function as immunostimulatory receptors, inhibitory $Fc\gamma RIIb$ is reported to function as an immunomodulatory receptor (Li and Ravetch, 2011; White *et al.*, 2011). The inhibitory receptor $Fc\gamma RIIb$ is the only IgG Fc receptor expressed on B-cells and plays a critical role in regulating B-cell homeostasis (Heyman, 2003; Nimmerjahn and Ravetch, 2008). Immune complexes (ICs) coengage $Fc\gamma RIIb$ and B-cell receptor (BCR) and then selectively suppress B-cells that recognize cognate antigen (Heyman, 2003). $Fc\gamma RIIb$ also regulates other B-cell stimulators that amplify B-cell proliferation and differentiation and suppresses the expression of costimulatory molecules (Leibson, 2004; Crowley *et al.*, 2009).

Fc γ RIIb also plays an inhibitory role in a homeostatic checkpoint of dendritic cells (DCs) for inducing tolerance or immunity by ICs, in contrast to Fc γ RIIa. While ligation of Fc γ RIIa led to DC maturation, targeting Fc γ RIIb alone did not activate DCs (Boruchov *et al.*, 2005). Fc γ RIIb on DCs induced peripheral tolerance by inhibiting antigenic processing and DC activation to suppress T-cell activation (Desai *et al.*, 2007).

Besides these inhibitory effects of $Fc\gamma RIIb$, several groups have recently reported that $Fc\gamma RIIb$ enhances the agonistic activity of anti-tumor necrosis factor receptor (TNFR) superfamily antibody by working as a scaffold (White *et al.*, 2013). Proliferation of antigen-specific T-cells induced by anti-CD40 antibodies was abrogated in $Fc\gamma RIIb$ -deficient mice (White *et al.*, 2011; Li and Ravetch, 2012). Anti-death receptor 5 (DR5) agonist antibody also required $Fc\gamma RIIb$ to exert its agonistic activity and initiate apoptosis in lymphoma cells (Wilson *et al.*, 2011). The $Fc\gamma RIIb$ -expressing cells are considered to work as a scaffold to efficiently crosslink anti-CD40 or DR5 antibodies bound to target cells via $Fc\gamma RIIb$.

In order to exploit these properties, engineering Fc region to increase the binding affinity to $Fc\gamma RIIb$ is considered to be a promising approach. Introducing S267E/L328F substitutions

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into the Fc region of human IgG1 increased the binding affinity to FcyRIIb 430-fold without increasing that to FcyRI, FcvRIIa^{H131} or FcvRIIIa (Chu et al., 2008). Anti-CD19 antibody with the Fc promoted suppression of B-cell activation and proliferation in SLE mouse model by coengaging FcyRIIb with BCR (Horton et al., 2011). Recent reports noted that anti-IgE antibody with S267E/L328F Fc variant reduced the production of IgE in vivo (Chu et al., 2012) and that the Fce domain fused with S267E/L328F Fc variant suppressed degranulation and calcium mobilization of mast cells (Cemerski et al., 2012). Moreover, agonistic antibodies against CD40 or DR5 showed more potent agonistic activity in vivo with enhanced FcyRIIb binding (Li and Ravetch, 2011, 2012). These reports clearly demonstrate that engineered Fc with enhanced binding to FcyRIIb has various therapeutic applications. However, it was reported that S267E/L328F substitutions also enhanced the binding to one of the FcyRIIa allotypes, $Fc\gamma RIIa^{R131}$, to a level similar to the binding to $Fc\gamma RIIb$ (Smith *et al.*, 2012). Therefore, when applying the substitutions to mAb therapeutics, the consequence of increasing the binding to FcyRIIa should be considered.

It is reported that a high incidence of thromboembolic complication was observed in patients treated with anti-CD154 or anti-VEGF antibody in clinical settings (Boumpas et al., 2003; Scappaticci et al., 2007). The ICs composing of these antibodies and the antigens activated platelets and induced thrombosis in human FcyRIIa transgenic mice by crosslinking FcyRIIa expressed on the platelets (Meyer et al., 2009; Robles-Carrillo et al., 2010). Moreover, intravenous immunoglobulins also induced FcyRIIa-mediated platelet aggregation in vitro (Pollreisz et al., 2008). These results demonstrate that even wild-type IgG1 generally has a potential risk of inducing thromboembolism through an FcvRIIa-dependent mechanism, suggesting that this risk could be increased if the binding to FcyRIIa is enhanced. Therefore, considering the therapeutic applications, antibody with selectively enhanced binding to FcyRIIb relative to both FcyRIIa^{R131} and FcyRIIa^{H131} is preferred.

In this work, we investigated antibodies with selectively enhanced binding to FcyRIIb over both FcyRIIa^{R131} and FcyRIIa^{H131}. We screened a large set of single substituted variants of human IgG1 for binding to human FcyRs and found a distinct variant that distinguishes FcyRIIb from both FcyRIIa allotypes. We solved its crystal structure in complex with human FcyRIIb and elucidated the structural recognition mechanism by which it recognizes FcyRIIb over both FcyRIIa allotypes. In order to improve its FcyRIIb binding, we conducted further optimization and identified a novel antibody variant with over 200-fold more enhanced binding to FcyRIIb without increasing the binding to either FcyRIIa allotype. Structural analysis of this variant revealed the mechanism of the improved binding to FcyRIIb. We also report that antibody that also has enhanced binding to FcyRIIa is more likely to activate platelets and induce aggregation and would have a shorter in vivo half-life compared to wild-type IgG1. We also confirmed that this variant enhanced the agonistic activity of anti-CD137 antibody in vitro. Our results indicate that the novel Fc variant with purely selectively enhanced binding to FcyRIIb is applicable to a broad range of therapeutic antibodies, since it avoids increasing the potential to activate or aggregate platelets and to have rapid clearance in vivo.

Materials and methods

Preparation of IgG1 variants

IgG1 variants used in the experiments were expressed transiently in FreeStyleTM 293F cells transfected with plasmids encoding heavy and light chain and purified from culture supernatants using protein A. Site-directed mutagenesis of the Fc region was performed using QuikChange Site-Directed Mutagenesis Kit (Stratagene) or In-Fusion HD Cloning Kit (Clontech).

Construction, expression and purification of $Fc\gamma Rs$

The genes encoding the extracellular region of human Fc γ Rs were synthesized based on the sequence information obtained from the National Center for Biotechnology Information. Fc γ Rs were fused with $6 \times$ His-tag at their C-terminus. Vectors containing Fc γ Rs were transfected into FreeStyleTM 293F cells. The receptors were purified from the harvested culture supernatants by using ion exchange chromatography, nickel affinity chromatography and size exclusion chromatography.

Binding and affinity analysis for human Fc γRs by surface plasmon resonance (SPR)

The interaction of antibody variants with Fc γ Rs was monitored using Biacore instruments (GE Healthcare), as previously described (Richards *et al.*, 2008). Antibody variants were captured on the CM5 sensor chip (GE Healthcare) on which antigen peptide or protein A/G (Thermo Scientific) was immobilized, followed by injection of Fc γ Rs. The binding of each variant to each Fc γ R was normalized by the captured amount of antibody on the sensor chip and was expressed as a percentage of that of IgG1. Kinetic analysis was performed by global fitting of binding data with a 1 : 1 Langmuir binding model using Biacore Evaluation Software (GE Healthcare).

Crystalization, data collection and structure determination of the complex of Fc fragment of human IgG1 with P238D substitution, Fc(P238D) and Fc γ RIIb

Crystals were obtained by 1:1 mixing of protein complex (10 mg/ml) with 0.1 M Bis-Tris pH6.5, 0.2 M ammonium acetate, 2.7% (w/v) D-galactose, 17% PEG3350 in sitting drop vapor diffusion setups at 20°C. For data collection, crystals were flash frozen at 95 K in precipitant solution containing 22.5% ethylene glycol. Diffraction data to 2.6 Å were collected using the Photon Factory beamline BL-1A. Data were processed with Xia2 (Winter, 2010), XDS Package (Kabsch, 2010) and Scala (Evans, 2006). The structure was determined by molecular replacement with PHASER (McCoy et al., 2007) using Fc part of Fc(IgG1)/FcyRIIIa structure (PDB ID: 3SGJ) and FcyRIIb structure (PDB ID: 2FCB) as search models. The asymmetric unit was formed by a single 1:1 complex. A model was built with the program Coot (Emsley et al., 2010) and refined with the program REFMAC5 (Murshudov et al., 2011).

Crystalization, data collection and structure determination of the complex of Fc fragment of V12 variant, Fc(V12) and Fc $\gamma RIIb$

Crystals were obtained by 1:1 mixing of protein complex (10 mg/ml) with 0.1 M Bis-Tris pH6.5, 0.2 M potassium phosphate dibasic, 19% PEG3350 in hanging drop vapor diffusion

setups at 20°C. For data collection, crystals were flash frozen at 95 K in precipitant solution containing 20% ethylene glycol. Diffraction data to 2.86 Å were collected at the SPring-8 beamline BL-32XU. After data processing and structure determination by molecular replacement using Fc(P238D)/Fc γ RIIb structure, a model was built with the program Coot and refined with the program REFMAC5. The asymmetric unit was formed by a single 1 : 1 complex.

Data collection and refinement statistics of both crystals are summarized in Supplementary Table S1. All graphical presentations were prepared with PyMOL (DeLano, 2002).

Preparation of Fc fragment and Fc γ RIIb complexes

We cloned recombinant Fc fragments corresponding to the heavy chain residues from 216 (EU numbering) to C-terminus for the crystallization. Cys 220 was replaced with Ser so that the free cysteine would not make disulfide bonds. Fc γ RIIb expressed by FreeStyleTM 293F cells in the presence of the mannosidase I inhibitor, kifunensine, was purified and treated with endoglycosidase F1 fused with glutathione *S*-transferase for deglycosylation as described previously to minimize the heterogeneity of the oligosaccharide (Grueninger-Leitch *et al.*, 1996). The complexes of Fc fragment and the deglycosylated Fc γ RIIb were prepared by mixing Fc fragment with a little excess of the deglycosylated Fc γ RIIb, and purified by size exclusion chromatography.

Thermal shift assay

Melting temperature (T_M) of the C_H2 region was measured as previously described (He *et al.*, 2010). The SYPRO orange dye (Invitrogen) was added to 0.1 mg/ml protein solutions at a final dilution of 1:500. Fluorescence measurements were employed using a real-time polymerase chain reaction instrument, Rotor-Gene Q (QIAGEN). The temperature was increased from 30 to 99°C at a heating rate of 4°C/min.

Stability study of high concentration antibodies

Antibodies were concentrated to 100 mg/ml in a histidine buffer (20 mM histidine, 150 mM NaCl, pH6.0) by ultrafiltration (Millipore). The solutions were stored at 25°C to assess the stability of the antibodies. High-molecular-weight (HMW) species were analyzed with TSK-GEL G3000SWXL column (TOSOH) by size exclusion high-performance liquid chromatography at initial time point and after 4 weeks. The mobile phase contained 300 mM NaCl in 50 mM phosphate at pH7.0. The percentage of HMW species peak in total protein peak area was calculated using Empower Waters software.

Binding affinity analysis of antibodies for human FcRn

Recombinant, soluble human FcRn (hFcRn) was expressed in HEK293 cells and prepared as described previously (Dall'Acqua *et al.*, 2006). The interaction of soluble hFcRn was monitored as described previously (Igawa *et al.*, 2010).

Pharmacokinetic studies of monoclonal antibodies in hFcRn transgenic mouse

Anti-human interleukin-6 receptor (hIL-6R) IgG1 or V12 variant was administered to human FcRn (hFcRn) transgenic mice (B6.mFcRn^{-/-} hFCRN Tg32 B6.Cg-Fcgrt<tm1Dcr>Tg(FCGRT)32Dcr; Jackson Laboratories) by single intravenous injection together with 1 g/kg Sanglopor (CSL Behring K.K.) to mimic endogenous IgG in human. Blood samples

were collected. The concentration of each antibody was determined by capture with anti-idiotype antibody (in-house), followed by addition of hIL-6R and biotinylated anti-hIL-6R antibody (R&D Systems) and detected by Streptavidin-Poly HRP80. The half-life was calculated from the plasma concentration-time data using non-compartmental analysis with WinNonlin Professional software (Pharsight).

Platelet aggregation and activation study using washed platelets

Fresh blood from healthy volunteers whose FcyRIIa genotype is R/R or H/H was anticoagulated with 0.5 ml of 3.8% sodium citric acid-citrate in blood collection tubes. Platelet-rich plasma (PRP) was obtained by centrifuging at 200 g for 15 min and then removing the supernatant. PRP was washed in modified Tyrode buffer (137 mM NaCl, 2.7 mM KCl, 12 mM NaHCO₃, 0.42 mM NaH₂PO₄, 2 mM MgCl₂, 5 mM HEPES, 5.55 mM dextrose, 0.35% bovine serum albumin) with 1.5 U/ml apyrase and resuspended at a concentration of 3×10^8 /ml in modified Tyrode buffer. Washed platelets were then incubated with preformed IC for 5 min. The Preformed IC was prepared by mixing the anti-IgE mAb having different Fc variants (200 μ g/ml) with its antigen (229 μ g/ml), human IgE, at a molar ratio 1:1. Five minutes after the incubation, 30 µM ADP was added to induce the first wave of platelet aggregation. Platelet aggregation was measured by an aggregometer (MCM Hema Tracer 712; MC Medical) at 37°C with stirring at 1000 rpm.

Cells and reagents

CTLL-2 cells (mouse T lymphocyte cell line, No.RCB0637) were provided by the RIKEN BRC through the National Bio-Resource Project of the MEXT, Japan. Raji cells (human Burkitt's lymphoma cell line, ATCC No.CCL-86) were purchased from the American Type Culture Collection. Both cell lines were cultured in RPMI 1640 medium (Nacalai tesque), supplemented with 10% heat-inactivated foetal bovine serum (Bovogen). The culture medium for CTLL-2 was supplemented with 10 ng/ml recombinant mouse interleukin (IL)-2 (PeproTech). The culture medium for Raji cells was supplemented with 10 mM HEPES, 1 mM sodium pyruvate (Nacalai tesque), 4.5 g/l D-glucose, 1.5 g/l sodium bicarbonate (Sigma-Aldrich).

Flow cytometry analysis of CD137 expression

To analyze mouse CD137 expression on CTLL-2 cells, antimouse CD137 clone 1D8 variable region fused with the Fc domain of human IgG1 (Shuford *et al.*, 1997) or human IgG1 isotype control (Alexis, Lausen) was applied. Goat F(ab')2 anti-human IgG-FITC #732596 (Beckman Coulter) was used as the detection antibody. Cell Lab Quanta SC MPL system (Beckman Coulter) was used for cell acquisition and data analysis was conducted using FlowJo software (Tree Star Inc.).

Measurement of T-cell activation by anti-CD I 37 antibody by cytokine production

CTLL-2 cells (2×10^5 /mL) and Raji cells (2×10^5 /ml) were co-cultured in RPMI 1640 medium supplemented with 10% heat-inactivated foetal bovine serum (Bovogen), 10 ng/ml mouse IL-2 (PeproTech), 10 ng/ml phorbol 12-myristate 13-acetate, 0.5 µg/ml ionomycin (Sigma-Aldrich). The cells were treated with 3 µg/ml anti-CD137 antibodies with different Fc (Fc of human IgG1, S267E/L328F or V12 variant) for 24 h at 37°C, 5% CO₂. Mouse interferon (IFN)- γ concentration in the cultured medium was determined by ELISA system (PeproTech), according to the manufacturer's protocol.

Results

Screening and characterization of variants to selectively enhance $Fc\gamma RIIb$ binding by comprehensive mutagenesis

We screened a large set of over 500 variants prepared by replacing each of about 30 residues in the lower hinge and C_{H2} region with every naturally occurring amino acid except for cysteine, for binding to human $Fc\gamma RIIa^{H131}$, $Fc\gamma RIIa^{R131}$ and $Fc\gamma RIIb$ in order to identify substitutions that selectively enhance $Fc\gamma RIIb$ binding relative to both $Fc\gamma RIIa$ allotypes. The effect of each substitution on the binding to $Fc\gamma RIIa^{R131}$, $Fc\gamma RIIa^{H131}$ and $Fc\gamma RIIb$ is compared in Fig. 1A and B. Only two substitutions, P238D and L328E, were found to enhance $Fc\gamma RIIb$ binding while decreasing the binding to both $Fc\gamma RIIa$ allotypes, although previously reported S267E and L328F increased both binding.

We compared the dissociation constant (K_D) of wild-type human IgG1, P238D variant and L328E variant (Supplementary Table S2) and the changes in affinity from

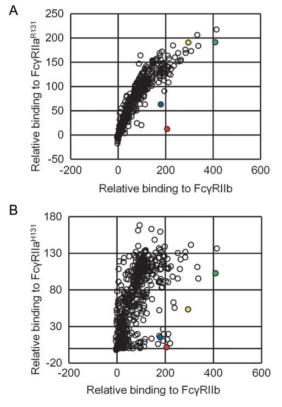


Fig. 1. Comprehensive mutagenesis of the lower hinge and C_H2 region. Binding affinity for Fc γ RIIa^{R131}, Fc γ RIIa^{H131} and Fc γ RIIb was evaluated. The binding to Fc γ RIIa^{R131}, Fc γ RIIa^{H131} or Fc γ RIIb of template antibody was normalized to 100. The binding to Fc γ RIIa^{R131} and Fc γ RIIb is shown in panel **A** and the binding to Fc γ RIIa^{H131} and Fc γ RIIb is shown in panel **B**. Novel substitutions to selectively improve Fc γ RIIb binding, L328E and P238D, are indicated in blue and red, respectively. Previously reported substitutions, S267E and L328F, to improve Fc γ RIIb but also Fc γ RIIa^{R131} binding are indicated in green and yellow, respectively.

IgG1 are shown in Fig. 2. Both P238D and L328E variants enhanced affinity to $Fc\gamma RIIb$, while reduced affinity to both $Fc\gamma RIIa^{R131}$ and $Fc\gamma RIIa^{H131}$. P238D variant reduced the affinity for all the active $Fc\gamma Rs$ and enhanced that for $Fc\gamma RIIb$ more significantly than L328E variant.

Crystal structure of the Fc γ RIIb complex with IgG1 Fc fragment with P238D

Fc(P238D) bound to FcγRIIb in an asymmetric fashion between the two C_H2 domains (C_H-A and C_H-B) like previously reported Fc(IgG1) and FcγR complexes. In Fig. 3A, the overall structure of Fc(P238D)/FcγRIIb was compared with known Fc(IgG1)/FcγRIIa^{R131} (PDB ID: 3RY6) (Ramsland *et al.*, 2011). Despite the high-sequence homology between FcγRIIb and FcγRIIa^{R131} (Supplementary Fig. S1), the domain arrangement of C_H2-B in Fc(P238D)-FcγRIIb interface was different from that in Fc(IgG1)/FcγRIIa^{R131}.

Structural comparisons of both C_H^2 domains with those of Fc(IgG1) complexed with Fc γ RIIa^{R131} revealed conformational changes of loops around position 238 in both C_H^2 domains (Fig. 3B and C). Pro238 in wild-type human IgG1 formed an inside hydrophobic core with Val263, Val266, V323, Asn325 and Leu328. On the other hand, Asp238 in P238D variant shifted out of the core and it was exposed to the solvent region. Instead, Leu235 occupied the vacated space which Pro238 occupied in Fc(IgG1), forming another hydrophobic core in C_H^2 -A, but not in C_H^2 -B. As a result, there was a large cavity surrounded by Val263, Val266, Val273, Val323, Asn325 and Leu328 in C_H^2 -B. Asp238 in C_H^2 -B formed an electrostatic interaction with Lys334 in the same chain.

In the C_H2-A domain, the main chain of Gly237 formed a novel hydrogen bond with Tyr160 of Fc γ RIIb (Fig. 3B). A weak hydrogen bond between the main chain of Asp238 and the side chain of Thr158 of Fc γ RIIb was also observed. In addition, the side chain of Asp238 formed van der Waals contacts with the side chain of Thr158 in Fc γ RIIb. In the C_H2-B domain, the conformation of the loop around Asp270 changed from that of Fc(IgG1) complexed with Fc γ RIIa^{R131}, and Asp270 formed a salt bridge with Arg131 of Fc γ RIIb (Fig. 3C).

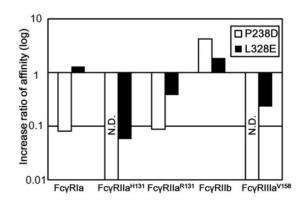


Fig. 2. Affinity ratio of Fc variants identified by comprehensive mutagenesis for all the human Fc γ Rs. The binding of P238D variant to Fc γ RIIa^{H131} and Fc γ RIIIa^{V158} and that of L328E variant to Fc γ RIIa^{H131} were not detected. Affinity ratio was calculated by the equation, $K_{\rm D}$ (IgG1)/ $K_{\rm D}$ (Fc variant).

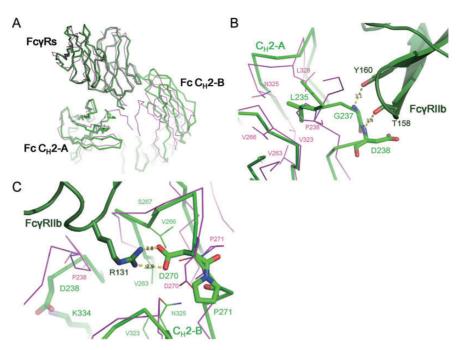


Fig. 3. Structural comparison of $Fc(P238D)/Fc\gamma RIIb$ complex with $Fc(IgG1)/Fc\gamma RIIa^{R131}$ complex. (A) The overall structural comparison of $Fc(P238D)/Fc\gamma RIIb$ complex with $Fc(IgG1)/Fc\gamma RIIa^{R131}$ complex. (B) The binding interface between the C_{H2} -A domain of Fc(P238D) and $Fc\gamma RIIb$. (C) The binding interface between the C_{H2} -B domain and $Fc\gamma RIIb$. The structural changes and novel interactions introduced by P238D in both interfaces are shown by comparing $Fc(P238D)/Fc\gamma RIIb$ and $Fc(IgG1)/Fc\gamma RIIa^{R131}$. $Fc(P238D)/Fc\gamma RIIb$ and $Fc(IgG1)/Fc\gamma RIIa^{R131}$ are shown in green and magenta, respectively. $Fc\gamma RIIb$ and $Fc\gamma RIIa^{R131}$ are shown in the darker color, respectively.

Table I. Effect of additional substitution(s) into P238D variant on binding affinity for $Fc\gamma RIIb$

| Variant no. | Amino a | Fold (K_D for Fc γ RIIb) | | | | |
|----------------|------------|------------------------------------|-------|-------|-------|-----|
| Single sub | ostitution | | | | | |
| Ŭ1 | E233D | | | | | 1.7 |
| V2 | | G237D | | | | 1.5 |
| V3 | | | H268D | | | 1.7 |
| V4 | | | | P271G | | 5.0 |
| V5 | | | | | A330R | 1.2 |
| Two subst | titutions | | | | | |
| V6 | E233D | | | | A330R | 1.9 |
| Three sub | stitutions | | | | | |
| V7 | E233D | | | P271G | A330R | 8.2 |
| V8 | | G237D | H268D | P271G | | 9.5 |
| V9 | | G237D | | P271G | A330R | 33 |
| Four subs | titutions | | | | | |
| V10 | E233D | | H268D | P271G | A330R | 9.0 |
| V11 | | G237D | H268D | P271G | A330R | 40 |
| Five subst | titutions | | | | | |
| V12 | E233D | G237D | H268D | P271G | A330R | 62 |

Fold = $K_{\rm D}$ (P238D variant)/ $K_{\rm D}$ (Fc variants).

Screening, characterization and design of variants to further enhance $Fc\gamma RIIb$ binding by comprehensive mutagenesis using the P238D variant as a template

In order to further enhance the affinity to $Fc\gamma RIIb$, we combined P238D with L328E or S267E/L328F, previously known variant to increase binding to $Fc\gamma RIIb$ and $Fc\gamma RIIa^{R131}$. Unexpectedly, the additive effect by combining P238D with those substitutions was not observed. Affinities for $Fc\gamma RIIb$ of these variants are listed in Supplementary Table S3.

Then, we screened substitutions to improve $Fc\gamma RIIb$ binding when they were combined with P238D by

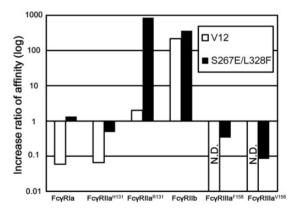


Fig. 4. Affinity ratio of S267E/L328F and V12 variant for all the human Fc γ Rs. The binding of V12 variant to Fc γ RIIIa^{F158} or Fc γ RIIIa^{V158} was not detected. Affinity ratio was calculated by the equation, $K_{\rm D}$ (IgG1)/ $K_{\rm D}$ (Fc variant).

comprehensive mutagenesis using the P238D variant as a template. Approximately 400 variants with an additional single substitution onto the P238D variant were generated, and the affinity for Fc γ RIIb was determined. Six effective substitutions (E233D, G237D, H268D, P271G, Y296D and A330R) were identified. We further combined these substitutions to create V12 variant having all the six substitutions described above. The fold increases of the variants against Fc γ RIIb over P238D variant are listed in Table I.

 $K_{\rm D}$ s of wild-type IgG1, S267E/L328F variant and the most potent variant, V12 variant, to all the FcγRs are listed in Supplementary Table S2 and the changes in affinity from IgG1 are shown in Fig. 4. V12 variant showed 217-fold increase in affinity for FcγRIIb, yet bound to FcγRIIa^{R131} with similar affinity to that of wild-type IgG1 and to the other activating Fc γ Rs with affinity significantly lower than that of IgG1. On the other hand, S267E/L328F variant increased the affinity for Fc γ RIIb 355-fold and that for Fc γ RIIa^{R131} even greater, 864-fold.

Crystal structure of the $Fc\gamma RIIb$ complex with Fc(VI2)

The same conformational changes around Asp238 induced by P238D substitution in Fc(P238D)/Fc γ RIIb were also observed in Fc(V12)/Fc γ RIIb.

In the C_H2-A domain, the introduced residues, Asp233, Asp237 and Arg330, were located in the interface with FcyRIIb (Fig. 5A). The weak electron density of Asp233, replaced from Glu, was observed. As Lys113 of FcyRIIb is located near Asp233, they might interact with each other. In the C_H2-A domain, Asp237, replaced from Gly, made van der Waals contacts with Trp87 and Tyr160 of FcyRIIb. In addition, the hydrogen bond distance between NH of the main chain at position 237 in Fc and OH of the Tyr160 side chain in FcyRIIb changed from 3.1 Å in Fc(P238D) (Fig. 3C) to 2.9 Å in Fc(V12) (Fig. 5A). A weak hydrogen bond between the side chain of Asp238 and the side chain of Thr158 of FcyRIIb was also observed. The electron density of the side chain of Arg330, which replaced Ala, was not clearly observed. As Glu86 of FcyRIIb is located near Arg330, a weak interaction between those residues could be present.

In the C_H2-B domain, H268D and P271G substitutions induced a further conformational change of a loop around Asp270 (Fig. 5B). The substitution from Pro to Gly at position 271 caused the main chain to flip and consequently rearranged the 266–273 loop and Arg131 of Fc γ RIIb, which also formed a salt bridge with Asp270 in C_H2-B of Fc(V12) as well as in Fc(P238D). In addition, the electron density of Gly271 in C_H2-B was clearly observed, though in Pro271 in Fc(P238D) it was not. This conformational change of the loop caused a rearrangement of Arg292 in C_H2-B of Fc(V12). The other substituted residue, Asp268, formed an electrostatic interaction with the shifted Arg292.

Pharmacokinetic and biophysical property assessment of VI2 variant

In order to characterize the pharmacokinetic aspect of V12 variant, we measured the affinity for hFcRn and the pharmacokinetics in hFcRn transgenic mice. The binding affinity of V12 variant for hFcRn at pH6.0 was comparable with that of wild-type IgG1 and the *in vivo* half-life was also comparable (Table II).

 $T_{\rm M}$ of the C_H2 domain was measured by thermal shift assay. The $T_{\rm M}$ of V12 variant decreased by 8°C relative to wild-type IgG1 (Table II). To assess the real-time stability for pharmaceutical application of V12 variant, a stability study at an antibody concentration of 100 mg/ml was performed. The formation of HMW species of V12 variant after storage for 4 weeks at 25°C was comparable with that of wild-type IgG1 (Table II).

In vitro activation and aggregation of platelets by ICs consisting of IgE and anti-IgE antibody with S267E/L328F variant or V12 variant

Platelets obtained from two donors homozygous for $Fc\gamma RIIa^{R131}$ genotype and incubated with IC consisting of IgE and anti-IgE S267E/L328F variant increased the expression of CD62p and PAC-1 on the platelets, but those incubated with

IC consisting of IgE and anti-IgE with the V12 variant did not (Supplementary Fig. S2A and B). On the other hand, when we used platelets obtained from two donors homozygous for Fc γ RIIa^{H131} genotype, IC consisting of IgE and anti-IgE S267E/L328F variant slightly upregulated the expression of CD62p and PAC-1 on the platelets but IC consisting of anti-IgE with the V12 variant did not after the incubation compared with the control (phosphate-buffered saline) (Supplementary Fig. S2C and D).

Next, the platelet aggregation induced by ICs was evaluated with an aggregometer. After the addition of ADP, only IC consisting of IgE and anti-IgE with S267E/L328F substitutions aggregated the platelets obtained from two donors homozygous for Fc γ RIIa^{R131} genotype, while IC consisting of IgE and anti-IgE with V12 variant did not (Fig. 6A and B). On the other hand, IC consisting of neither variant induced the aggregation of the platelets obtained from two donors homozygous for Fc γ RIIa^{H131} genotype (Fig. 6C and D).

Enhancement of agonistic activity of anti-CD137 antibody with enhanced $Fc\gamma RIIb$ binding Fc

Several reports have described that agonistic anti-TNFR superfamily antibodies generally require $Fc\gamma RIIb$ coengagment for their agonistic activity and that enhancing the binding affinity of the antibodies for $Fc\gamma RIIb$ could increase the agonistic activity (White *et al.*, 2011; Wilson *et al.*, 2011; Li and Ravetch, 2012). Therefore, we tested whether V12 also has the same property using agonist antibody against CD137 (clone 1D8), which is one of the TNFR superfamily.

Mouse T lymphoma cell line CTLL-2 was used as mouse CD137-expressing cells (Fig. 7A), and human B lymphoma cell line Raji was used as human Fc γ RII-positive cells (Hernández *et al.*, 2010). T-cell activating agonistic activity of anti-CD137 antibody was measured with the production of mouse IFN- γ production of CTLL-2 co-cultured with Raji cells. Consistent with the previous reports, V12 variant as well as S267E/L328F variant increased IFN- γ production induced by agonist anti-CD137 antibody compared with intact human IgG1 by more than 5-fold (Fig. 7B).

Discussion

Several efforts to improve $Fc\gamma R$ binding by Fc engineering have been reported to date. While most of the engineering enhances the binding affinity for activating $Fc\gamma Rs$ (Stavenhagen *et al.*, 2007; Zalevsky *et al.*, 2009; Mossner *et al.*, 2010), engineering to enhance binding affinity to inhibitory $Fc\gamma R$ is limited (Chu *et al.*, 2008). S267E/L328F variant, the Fc variant reported to have the highest affinity for inhibitory $Fc\gamma R$ binding, enhanced the binding affinity for Fc $\gamma RIIb$ by 355-fold; however, it also enhanced the binding affinity for $Fc\gamma RIIa^{R131}$ to the same level as $Fc\gamma RIIb$ (Smith *et al.*, 2012). The high similarity between $Fc\gamma RIIb$ and $Fc\gamma RIIa^{R131}$ suggests that discriminating $Fc\gamma RIIb$ and $Fc\gamma RIIa^{R131}$ by Fc engineering is challenging (Supplementary Fig. S1).

In this work, we investigated the substitutions to distinguish $Fc\gamma RIIb$ from $Fc\gamma RIIa^{R131}$ by comprehensive mutagenesis and discovered a highly selective substitution, P238D, which provides the highest selectivity for $Fc\gamma RIIb$ relative to all the other active $Fc\gamma Rs$ including $Fc\gamma RIIa^{R131}$. Fc variants which discriminate $Fc\gamma RIIb$ from $Fc\gamma RIIa^{R131}$ were extremely rare, probably because $Fc\gamma RIIb$ is highly homologous to

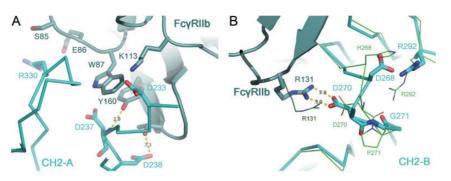


Fig. 5. Structure of $Fc(V12)/Fc\gamma RIIb$ complex. (A) The binding interface between the C_H2-A domain of Fc(V12) and $Fc\gamma RIIb$. The substitutions in Fc(V12) and the residues in $Fc\gamma RIIb$ with a minimum distance of 3.8 Å from these substitutions are shown as sticks. (B) The binding interface between the C_H2-B domain of Fc(V12) and $Fc\gamma RIIb$. The structural changes around Asp270 in the C_H2-B domains shown by comparing $Fc(P238D)/Fc\gamma RIIb$ (green) and $Fc(P238D)/Fc\gamma RIB$ (green) and $Fc(P238D)/Fc\gamma RIB$ (green) and $Fc(P238D)/Fc\gamma RIB$ (green)

| Table II. Characterization of V12 variant | | | | | | | |
|---|--|---------------------------------|--|---|--|--|--|
| | $\begin{array}{c} T_{\rm M}({\rm CH2}) \\ (^{\circ}{\rm C}) \end{array}$ | HMW formation after storage (%) | $K_{\rm D}$ for FcRn $(\mu M)^{\rm a}$ | Half-life (day) ^b | | | |
| IgG1 V12 | 70 62 | 0.30 0.33 | $1.5 \pm 0.0 \\ 1.4 \pm 0.0$ | $\begin{array}{c} 12.8 \pm 3.8 \\ 12.6 \pm 3.2 \end{array}$ | | | |

The group mean \pm SD are given for the parameter (n = 3 each).

 ${}^{a}K_{D}$ for FcRn was measured at pH6.0 by SPR.

^bHalf-life of intravenously injected IgG1 and V12 variant at 1 mg/kg in hFcRn transgenic mouse.

 $Fc\gamma RIIa^{R131}$ (Supplementary Fig. S1) and the Fc-Fc $\gamma RIIb$ interface would be also highly homologous to the Fc-Fc $\gamma RIIa^{R131}$. To the best of our knowledge, this is the first report illustrating an Fc variant that enhances Fc $\gamma RIIb$ binding while distinguishing Fc $\gamma RIIb$ and Fc $\gamma RIIa^{R131}$ precisely.

To elucidate the structural mechanism by which P238D variant discriminates $Fc\gamma RIIb$ from $Fc\gamma RIIa^{R131}$, we solved the crystal structure of the complex of Fc(P238D) and FcyRIIb. Our structural analysis indicated that the high selectivity of P238D variant was achieved by a dynamic conformational change of the Fc-FcyRIIb interface that was induced by P238D substitution into wild-type IgG1. In reported Fc(IgG1) structures, Pro238 forms a hydrophobic core with its surrounding residues. Therefore, substituting this hydrophobic Pro238 to hydrophilic Asp should cause large free energy loss for desolvation of Asp to maintain the same structure. To avoid this free energy loss, Asp238 showed a large shift out of its original position to achieve access to the solvent region. Additionally, the position of Pro238 in Fc(IgG1) was occupied by Leu235 instead in C_H2-A of Fc(P238D) complexed with FcyRIIb. As the result, the large conformational change of loop233-240 attached to the hinge region was observed. This change would affect the domain arrangement between the C_H 2-A and B domains because both C_H 2 domains connect by S-S bonds in the hinge region and cannot move independently. In fact, the relative arrangement of C_H2-A and B domains in the Fc(P238D)/Fc γ RIIb complex was different from that of Fc(IgG1)/Fc γ RIIa^{R131}, despite Fc γ RIIb having the highest homology to Fc γ RIIa^{R131}. As the result of these dynamic conformational changes, Fc(P238D) acquired two novel interactions with FcyRIIb. One is the hydrogen bond between the main chain of Gly237 in C_H2-A and the side chain of Tyr160 in FcyRIIb. Both FcyRIIa allotype cannot make this hydrogen bond because corresponding residue of this Tyr is Phe in

FcyRIIa (Supplementary Fig. S1). So, this interaction would play a critical role for distinguishing FcvRIIb from FcvRIIa. The other one is the salt bridge between Asp270 in C_H2-A and Arg131 of FcyRIIb. This salt bridge would contribute to improve not only the binding affinity to FcyRIIb but also the selectivity over FcyRIIa^{H131} because this allotype has His as the corresponding residue of Arg131 in FcyRIIb. On the other hand, the S267E/L328F variant increased binding affinity to both FcyRIIb and FcyRIIa^{R131} to the same extent, while it did not increase binding affinity to $Fc\gamma RIIa^{H131}$ or other $Fc\gamma Rs$. From the reported complex structure of Fc(IgG1)/ $Fc\gamma RIIa^{R131}$, we elucidated that Glu267 of the Fc with S267E/ L328F substitution would form a salt bridge with Arg131 of the $Fc\gamma RIIb$ and also of the $Fc\gamma RIIa^{R131}$. This might explain the reason for the lack of selectivity of the S267E/L328F variant to $Fc\gamma RIIb$ over $Fc\gamma RIIa^{R131}$, both of which have Arg at position 131. Large conformational change induced by P238D would also explain the reason for lack of additive effect of combining P238D with L328E or S267E/L328F.

Then, in order to further increase the binding affinity to $Fc\gamma RIIb$, we conducted a second comprehensive mutagenesis using the P238D variant as a template rather than combining substitution(s) effective for wild-type IgG1 template, since the interface of Fc(P238D)/Fc γ RIIb was considered to be significantly changed from that of Fc(IgG1)/Fc γ RIIb. P238D-based comprehensive and combinatorial study identified V12 variant whose affinity for Fc γ RIIb was significantly increased from P238D variant. Especially, P271G markedly increased affinity for Fc γ RIIb. The substitution of fixed proline to flexible glycine would contribute to release conformational stress when a salt bridge between Asp270 in Fc (V12) and Arg131 in Fc γ RIIb is formed, which is considered to contribute to the affinity improvement for Fc γ RIIb.

Structural analysis of P238D suggests that P238D substitution seemed to destabilize the hydrophobic core of C_{H2} domain, although this could be partially compensated by Leu235 as found in C_{H2} -A domain. The conformational changes seem to be the cause of decreased T_M for V12 variant. Although this reduced T_M raised concern regarding the storage stability of Fc(V12) for pharmaceutical application, its stability (aggregation tendency at 100 mg/ml) was comparable with that of wild-type IgG1.

It is known that, for IgG1 antibody to have a long half-life *in vivo*, binding to FcRn at acidic pH is important. The affinity of V12 variant for hFcRn was comparable with that of wild-type IgG1 and, consistently, the *in vivo* half-life of V12

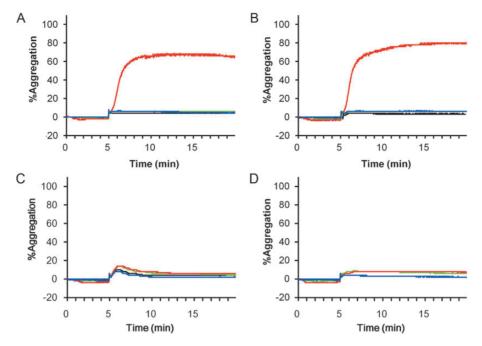


Fig. 6. Platelet aggregation studies incubated with ICs. Platelet aggregation was evaluated after platelets were incubated with ICs consisting of IgE with anti-IgE V12 variant (blue), that of anti-IgE S267E/L328F variant (red), IgE and anti-IgE IgG1 (green) or phosphate-buffered saline (black) for 5 min after being primed with ADP. Aggregation of the platelets from two donors with Fc γ RIIa R/R131 homozygous genotype are shown in panels **A** and **B**, respectively. Aggregation of the platelets from two donors with Fc γ RIIa H/H131 homozygous genotype are shown in panels **C** and **D**, respectively.

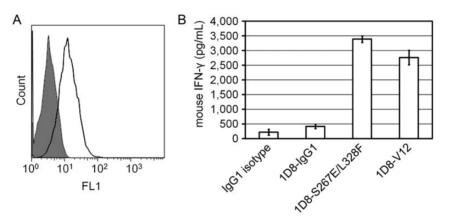


Fig. 7. V12 variant enhanced the T-cell activating agonistic activity of anti-CD137 antibody 1D8. (A) CD137 surface expression on CTLL-2 cells. Open histogram indicates 1D8-IgG1 and filled histogram indicates IgG1 isotype control. (B) T-cell activation induced by anti-CD137 antibody with different Fc (IgG1, V12 variant and S267E/L328F variant) was measured as mouse IFN- γ production of CTLL-2 co-cultured with Raji. Each bar shows mean \pm SEM of three independent experiments.

variant was also comparable with that of wild-type IgG1. However, it should be noted that because the binding affinity of V12 variant to murine $Fc\gamma RIIb$ (the mouse counterpart of human $Fc\gamma RIIb$) was not increased, its effect on the pharmacokinetics was not addressed in this study.

ICs induce platelet aggregation and activation when antibody binds to $Fc\gamma RIIa$ expressed on the platelet surface (Boumpas *et al.*, 2003; Scappaticci *et al.*, 2007). The previous studies suggest that ICs consisting of IgG with enhanced binding to $Fc\gamma RIIa$ have the potential to induce platelet aggregation and activation more intensively than IC consisting of wild-type IgG. However, the effect of engineered Fc with enhanced binding affinity to $Fc\gamma RIIa$ on platelet activation and aggregation induced by IC has not so far been investigated. In this report, IC consisting of IgE and anti-IgE antibody with enhanced binding affinity to both $Fc\gamma RIIb$ and FcγRIIa^{R131} (S267E/L328F variant) induced the activation and aggregation of platelets obtained from FcγRIIa genotype of R/R131 homozygous donors, but not from FcγRIIa genotype of H/H131 homozygous donors. On the other hand, anti-IgE antibody with selectively enhanced binding affinity to FcγRIIb did not induce the activation or aggregation of platelets from donors with either genotype. Platelets whose FcγRIIa genotype is R/H131 are considered to express both FcγRIIa allotypes on their surface, while platelets whose FcγRIIa genotype is R/R131 express only FcγRIIa^{R131}. Therefore, a similar tendency will be observed in the platelets from heterozygous donors, although the induction of the aggregation and activation might be milder.

It is known that $Fc\gamma RIIa$ internalizes ICs by endocytosis and transfers them to lysosomal degradation (Zhang and Booth, 2010), while $Fc\gamma RIIb$ recycles the internalized ICs (Bergtold *et al.*, 2005; Mousavi *et al.*, 2007). These reports suggest that an antibody with enhanced binding affinity to Fc γ RIIa would be more rapidly eliminated from plasma by Fc γ RIIa-mediated uptake and degradation than wild-type IgG1, while an antibody with enhanced binding only to Fc γ RIIb would have a longer half-life because the antibody would be recycled back to the cell surface. Indeed, an antibody with enhanced binding to both murine Fc γ RII and Fc γ RIII (counterpart of human Fc γ RIIa) exhibited more rapid clearance from plasma compared with an antibody with enhanced binding only to murine Fc γ RII (data not shown).

These facts indicate that therapeutic IgG with S267E/L328F substitution has the potential to induce platelet aggregation and activation and to be rapidly cleared from plasma in patients with Fc γ RIIa^{R131} genotype. One report described that the allelic frequency of R/R131, R/H131 and H/H131 is 31, 44 and 25%, respectively, among healthy Caucasians, and 26, 43 and 31%, respectively, among healthy African Americans (Lehrnbecher *et al.*, 1999). Another report showed that in Japanese, Chinese and Asian Indian populations the R/R131 genotype occurs in 6, 6 and 31%, respectively (Osborne *et al.*, 1994). Considering the proportion of populations with R/R and R/H genotypes, enhancing the binding affinity to Fc γ RIIa^{R131} would have a substantial impact.

Previous studies using S267E/L328F or S267E substitution(s) have demonstrated that enhancing affinity to FcyRIIb is a promising application for therapeutic antibodies against CD19, IgE, DR5 and CD40 (Li and Ravetch, 2011, 2012; Chu et al., 2012; Hammer, 2012). Consistent with these reports, V12 variant, as well as S267E/L328F variant, enhanced agonistic activity of antibody against CD137, one of TNFR superfamily molecules, compared with intact human IgG1. Since agonistic antibodies against TNFR superfamily are currently being explored for cancer immunotherapy, enhancement of the agonistic activity of these antibodies by selectively improving the binding affinity for FcyRIIb could be a promising approach. In addition, in Ba/F3 cells expressing constitutively active mutants of the receptor tyrosine kinase, Kit, ICs that crosslinked FcyRIIb and Kit inhibited growth factorindependent proliferation (Malbec and Daëron, 2012). In another report, ICs suppressed the TLR4-mediated response of DCs in rheumatoid arthritis patients through FcyRIIb. Each of these effects of FcyRIIb could be enhanced by applying Fc with enhanced affinity for FcyRIIb. Moreover, ICs significantly suppressed expression of CD40, CD80 and CD86 on FcyRIIb-overexpressing DCs, suggesting that in DCs, using ICs consisting of an antibody variant with selectively enhanced FcyRIIb affinity relative to FcyRIIa might polarize IC-triggered activating signals to inhibitory signals (Zhang et al., 2011).

Conclusion

In this study, we screened antibody Fc variant which selectively enhances the binding affinity to $Fc\gamma RIIb$ over both $Fc\gamma RIIa^{R131}$ and $Fc\gamma RIIa^{H131}$ by comprehensive mutagenesis. We identified a distinct substitution, P238D, that could discriminate $Fc\gamma RIIb$ from $Fc\gamma RIIa^{R131}$ precisely, and crystal structural analysis revealed that this substitution substantially changed the recognition interface of Fc-Fc γ RIIb. We further designed an antibody variant with 200-fold higher affinity for $Fc\gamma RIIb$ than IgG1 without increasing the affinity for other active $Fc\gamma Rs$. The variant was comparable with IgG1 in terms of pharmacokinetics and storage stability. We also showed that an antibody with increased affinity for $Fc\gamma RIIa$ has an increased possibility of inducing platelet activation and aggregation and of being rapidly cleared from plasma. Since previous studies and our study using agonist anti-CD137 antibody suggested that increasing the binding affinity to $Fc\gamma RIIb$ has various therapeutic applications, our engineered Fc, which enhances binding selectivity to $Fc\gamma RIIb$, is expected to have a significant therapeutic potential.

Supplementary data

Supplementary data are available at PEDS online.

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F.Mimoto et al.

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