



20 **Highlights**

- 21     ▪ The effect of thermo-alkaline pretreatment on microalgae anaerobic digestion was evaluated.
- 22     ▪ Different lime doses and temperatures were tested to determine the best pretreatment
- 23         condition.
- 24     ▪ All pretreatment conditions improved process kinetics as compared to untreated microalgae.
- 25     ▪ The highest methane yield increase was achieved by adding 10% CaO at 72°C.

26 **Abstract**

27 The aim of this study was to evaluate for the first time the effect of a thermo-alkaline pretreatment  
28 with lime (CaO) on microalgae anaerobic digestion. The pretreatment was carried out by adding  
29 different CaO doses (4 and 10%) at different temperatures (room temperature (25°C), 55 and 72°C).  
30 The exposure time was 4 days for pretreatments at 25°C, and 24h for pretreatments at 55 and 72°C.  
31 Following, a biochemical methane potential test was conducted with pretreated and untreated  
32 microalgae. According to the results, the pretreatment enhanced proteins solubilisation by 32.4%  
33 and carbohydrates solubilisation by 31.4% with the highest lime dose and temperature (10% CaO  
34 and 72°C). Furthermore, anaerobic digestion kinetics were improved in all cases (from 0.08 to 0.14  
35 day<sup>-1</sup> for untreated and pretreated microalgae, respectively). The maximum biochemical methane  
36 potential increase (25%) was achieved with 10% CaO at 72°C, in accordance with the highest  
37 biomass solubilisation. Thus, lime pretreatment appears as a potential strategy to improve  
38 microalgae anaerobic digestion.

39

40 **Keywords**

41 Algae; Anaerobic digestion; Biogas; Biomass solubilisation; Chemical Pretreatment

## 42 **1. Introduction**

43 Microalgae-based wastewater treatment systems are promising solutions to shift the paradigm from  
44 wastewater treatment to energy and resources recovery. In these systems, microalgae assimilate  
45 nutrients and produce oxygen which is used by bacteria to biodegrade organic matter improving  
46 water quality. Moreover, microalgae biomass can be harvested and reused to produce biofuels or  
47 other non-food bioproducts [1,2]. In this context, anaerobic digestion is one of the most  
48 consolidated and well-known technologies to convert organic waste generated in a wastewater  
49 treatment plant into bioenergy [3].

50 Over the last decades, the feasibility to obtain biogas from microalgae has been proved.  
51 However, some microalgae species can present a low biodegradability due to the complex structure  
52 of their cell walls. This fact may hamper the hydrolysis step [4]. For that reason, some pretreatment  
53 techniques have been evaluated to improve both the microalgae anaerobic biodegradability and the  
54 kinetics of the process [4,5]. The most studied methods have been mechanical and thermal  
55 pretreatments, which may increase the biomass solubilisation, methane yield and methane  
56 production rate. Nevertheless, energy balances are not always positive, since some of these  
57 pretreatments have a high energy demand [5]. Thus, pretreatments which require minimal energy  
58 input, such as low-temperature, biological and chemical methods, have recently been gaining  
59 interest [6,7].

60 Chemical pretreatments consist of adding acids (acid pretreatment) or bases (alkaline  
61 pretreatment) under different conditions (e.g. different temperatures and exposure times). First  
62 applications of alkaline pretreatments were found to improve the biodegradability of lignocellulosic  
63 biomass due to their effectiveness at breaking ester bonds between lignin and polysaccharides [8]  
64 and partially solubilising hemicelluloses and celluloses to a lower extent [9]. Although microalgae  
65 do not contain lignin, some benefits have also been reported in the application of an alkaline  
66 pretreatment to microalgae. Indeed, Mahdy *et al.* [10] reported that both organic matter  
67 solubilisation and methane yield increased by applying an alkaline pretreatment. In addition, while

68 an acid pretreatment of microalgae only increased carbohydrate solubilisation, an alkaline  
69 pretreatment enhanced the solubilisation of both proteins and carbohydrates [11]. Moreover, the  
70 combination of thermal and alkaline pretreatments applied to different microalgae species was more  
71 effective than alkaline or thermal pretreatments applied separately [12]. The combination of  
72 temperature and alkali pretreatments has been tested at low (<100 °C) and high (>100 °C)  
73 temperatures. However, it has been demonstrated that high temperatures may lead to the production  
74 of refractory organic compounds or inhibitory intermediates generated through intramolecular  
75 reactions (i.e. Maillard reactions) [13]. Therefore, the use of lower temperatures might be more  
76 appropriate.

77 To date, the most used alkali for microalgae pretreatment is NaOH, although a recent study  
78 also analysed the effect of KOH, Na<sub>2</sub>CO<sub>3</sub> and NH<sub>4</sub>OH [14]. However, some environmental and  
79 economic drawbacks should be considered when applying these chemicals. In particular, NaOH  
80 increases the concentration of Na<sup>+</sup> in digestates, which is known to be inhibitory to methanogens  
81 [15] and could be harmful for soil upon digestate agriculture reuse [16]. On the other hand, NH<sub>4</sub>OH  
82 may not be recommended for microalgae, as their high nitrogen content combined with the addition  
83 of NH<sub>4</sub>OH could inhibit anaerobic digestion [17]. Concerning KOH, it is more expensive than other  
84 alkalis. Conversely, lime (Ca(OH)<sub>2</sub> or CaO) is more environmentally friendly and cheaper [18]. In  
85 particular, lime is around 1.5 and 4-fold less expensive than NaOH and KOH, respectively. Lime  
86 pretreatment has already been tested on lignocellulosic biomass (i.e. wheat straw or sunflower  
87 stalks), showing a significant increase in biomass solubilisation and methane yield [8,9]. To the best  
88 of our knowledge, no studies have assessed the effect of lime pretreatment on microalgae anaerobic  
89 digestion.

90 The aim of this study is to evaluate and determine the best pretreatment conditions (alkali  
91 dose and temperature) for a thermo-alkaline pretreatment of microalgae with lime (CaO) by means  
92 of biomass solubilisation and methane production analysis.

93

## 94 **2. Material and Methods**

### 95 **2.1 Microalgal biomass**

96 Microalgae used in this study were harvested from a pilot raceway pond (17 m<sup>3</sup>) located at the  
97 INRA-LBE facilities (Narbonne, France), which treated synthetic wastewater based on the  
98 composition tested by Bracklow et al. (2007) [19]. A detailed description of the system can be found  
99 in Hreiz et al. (2014) [20]. Microalgal biomass, which consisted of a mixed culture of microalgae  
100 and bacteria, was harvested by membrane concentration followed by gravity settling (24h at 4 °C).  
101 Microalgae species were identified by optical microscopy (Olympus BX53).

102

### 103 **2.2 Microalgae pretreatment**

104 Thermal and thermo-alkaline pretreatments of microalgal biomass were carried out in glass  
105 bottles of 160 mL containing 27.62 g of microalgal biomass with a concentration of 14.5 g VS L<sup>-1</sup>.  
106 In order to assess the best pretreatment condition, two lime (Akdolit<sup>®</sup> Q90; purity ≥ 92%) doses  
107 were tested: 4 and 10% CaO on a TS basis, based on the common doses used when applying this  
108 pretreatment [21]. According to the literature, lime pretreatment requires long exposure times,  
109 ranging from several days to weeks, which can be reduced by increasing temperature [18]. For this  
110 reason, the following combinations of temperature and exposure time were tested: 4 days at room  
111 temperature (25°C) and 24 h at 55 and 72°C. After adding lime, bottles were closed and incubated  
112 with constant agitation. All conditions were compared with control trials (without lime): microalgae  
113 stored for 4 days at 4°C, and microalgae exposed to 25°C for 4 days and 55 and 72°C for 24h.

114 Each pretreatment condition was performed in five different bottles. Later, three of them  
115 were used in the biochemical methane potential (BMP) test (triplicates) (Section 2.3) and the rest  
116 were devoted to all analysis (Section 2.4). As far as the pretreatment at room temperature is  
117 concerned, 4 extra bottles were used in order to monitor the pH (duplicates), and the gas pressure  
118 and composition inside the bottles (duplicates).

119

### 120 **2.3 Biochemical methane potential tests**

121 Methane potentials of untreated and pretreated microalgae were tested by means of BMP tests. Each  
122 condition was performed in triplicate. The inoculum was granular sludge from a mesophilic digester  
123 which treated the effluent of a sugar factory. The sludge was diluted with distilled water to reach a  
124 concentration of 60 g TS L<sup>-1</sup> and 47.6 g VS L<sup>-1</sup>. Then, it was kept under anaerobic conditions at  
125 35°C with continuous stirring until use.

126 In order to avoid biomass loss during the experimental process, the test was carried out  
127 using the same glass bottles as the pretreatment. As already mentioned, each bottle contained 4 g  
128 VS L<sup>-1</sup> of microalgae. The substrate to inoculum ratio (S/I) was 1 g VS substrate / g VS inoculum.  
129 Macronutrients, oligoelements and buffer solutions were added providing 360 mg N-NH<sub>4</sub>·L<sup>-1</sup>, 118  
130 mg P-PO<sub>4</sub>·L<sup>-1</sup>, 37.1 mg Mg ·L<sup>-1</sup>, 42.3 mg Ca ·L<sup>-1</sup>, 5.6 mg Fe ·L<sup>-1</sup>, 1.24 mg Co ·L<sup>-1</sup>, 0.28 mg Mn ·L<sup>-1</sup>,  
131 0.25 mg Ni ·L<sup>-1</sup>, 0.24 mg Zn ·L<sup>-1</sup>, 0.09 mg B ·L<sup>-1</sup>, 0.23 mg Se ·L<sup>-1</sup>, 0.15 mg Cu ·L<sup>-1</sup>, 0.04 mg Mo·L<sup>-1</sup>  
132 and 2.6 g NaHCO<sub>3</sub>·L<sup>-1</sup>. Bottles were filled with distilled water up to 100 mL, flushed with nitrogen  
133 gas, sealed with butyl rubber stoppers and incubated at 35 °C until biogas production ceased.

134 Accumulated biogas production was measured with a manometer (LEO 2, Keller) while  
135 biogas composition (CH<sub>4</sub>, CO<sub>2</sub>, N<sub>2</sub>, O<sub>2</sub>, H<sub>2</sub>) was analysed by means of a gas chromatograph (Clarus  
136 580, PerkinElmer) equipped with RtQBond and RtMolsieve columns coupled to a thermal  
137 conductivity detector (TCD). The carrier gas was argon, and the temperatures of the injector,  
138 detector and oven were 250, 150 and 60°C, respectively.

139 A blank treatment was used to quantify the amount of methane produced by the inoculum.  
140 The net biogas production was calculated by subtracting the blank results to each trial.

141

### 142 **2.4 Analytical methods**

143 Microalgal biomass was characterised by the concentration of TS, VS and total chemical oxygen  
144 demand (COD), following APHA Standard Methods [22]. Biomass macromolecular composition  
145 was expressed in terms of percentage of proteins, carbohydrates and lipids over the VS content.

146 Proteins were calculated by multiplying the total Kjeldahl nitrogen (TKN) by 5.95 [23], and TKN  
 147 was titrated using a Buchi 370-K after mineralisation of samples. The total carbohydrate content  
 148 (CH) was analysed by the phenol-sulphuric method [24] after acid hydrolysis. The lipid content was  
 149 determined after heptane extraction (ASE@200, DIONEX).

150 The liquid fraction from each pretreatment was analysed for soluble COD (CODs), TKN  
 151 (TKNs) and CH (CHs) as described before. Soluble sugars were also quantified by High  
 152 Performance Liquid Chromatography (HPLC) coupled to refractometric detection (Waters R410)  
 153 after mild acid hydrolysis [25]. Chemicals were separated by an Aminex HPX-87H column (300 x  
 154 7.8mm, Biorad) equipped with a protective precolumn (Microguard cation H refill catbridges,  
 155 Biorad). The eluting solution was 2 mM H<sub>2</sub>SO<sub>4</sub>, the flow rate was 0.3 ml·min<sup>-1</sup>, the column  
 156 temperature was 45°C and the refractive index detector (Waters 2414) worked at 45°C to quantify  
 157 sugars. All physico-chemical analyses were performed in triplicate.

158

### 159 *2.5 Solubilisation rates and biomass loss calculation*

160 Biomass solubilisation was evaluated by the soluble to total COD, CH and TKN ratios using the  
 161 following equations (Eq. 1-3):

$$162 \text{ *COD solubilised* (\%) = } \frac{(\text{COD})_p}{(\text{COD})_0} \cdot 100 \quad [\text{Eq. 1}]$$

$$163 \text{ *CH solubilised* (\%) = } \frac{(\text{CH})_p}{(\text{CH})_0} \cdot 100 \quad [\text{Eq. 2}]$$

$$164 \text{ *TKN solubilised* (\%) = } \frac{(\text{TKN})_p}{(\text{TKN})_0} \cdot 100 \quad [\text{Eq. 3}]$$

165 where sub-indexes refer to pretreated (p) and untreated (0) biomass.

166 The biomass loss after pretreatment was calculated in terms of COD loss according to Eq. 4, where  
 167 (COD)<sub>p</sub> is the total COD concentration of pretreated samples and (COD)<sub>0</sub> is the total COD  
 168 concentration of untreated microalgae (control).

$$169 \text{ *COD losses* (\%) = } \frac{(\text{COD})_p - (\text{COD})_0}{(\text{COD})_0} \cdot 100 \quad [\text{Eq. 4}]$$



170

## 171 **2.6 Kinetic data analysis**

172 In order to evaluate the kinetics of the process, experimental data from BMP tests was adjusted to a  
173 first-order kinetic model [Eq.5] by the least square method.

$$174 \quad B = B_0 \cdot \{1 - \exp[-k \cdot (t - \lambda)]\} \quad [\text{Eq.5}]$$

175 where,  $B_0$  stands for the methane production potential ( $\text{ml CH}_4 \cdot \text{gVS}^{-1}$ ),  $k$  is the first order kinetic  
176 rate constant ( $\text{day}^{-1}$ ),  $B$  is the accumulated methane production at time  $t$  ( $\text{ml CH}_4 \cdot \text{gVS}^{-1}$ ),  $t$  is time  
177 (day) and  $\lambda$  represents the lag phase (day).

178 The error variance ( $s^2$ ) was estimated by the following equation:

$$179 \quad s^2 = \frac{\sum_i (y_i - \hat{y}_i)^2}{N - K} \quad [\text{Eq.6}]$$

180 where  $y_i$  is the experimental value,  $\hat{y}_i$  is the value estimated by the model,  $N$  is the number of  
181 samples and  $K$  is the number of model parameters.

182

## 183 **2.7 Statistical analyses**

184 Linear regressions were fit to find the relationship between solubilisation and explanatory variables  
185 (i.e lime dose, temperature). Differences among experimental conditions for the methane yield were  
186 determined by the ANOVA and Tukey tests. Differences were considered significant at  $p$  values  
187 below 0.05. All statistical analyses were performed using R 3.0.2 software.

188

## 189 **3. Results and discussion**

### 190 **3.1 Microalgae biomass characteristics**

191 Microscope examination showed that the predominant microalgae were *Chlorella* sp. and  
192 *Scenedesmus* sp. (Fig. 1). Both genus are characterised by a resistant cell wall which hampers their  
193 biodegradability, especially in the case *Scenedesmus* which has a complex multilayer cell wall [26].



194 **Figure 1.** Microscopic image of microalgal biomass mainly composed of *Chlorella* sp. and *Scenedesmus* sp.  
 195  
 196

197 Biochemical analysis indicated that microalgae biomass was mainly composed of proteins  
 198 (52%), followed by carbohydrates (16%) and lipids (9%) (Table 1). These results are in accordance  
 199 with the literature [27]. Carbohydrates were mainly constituted by glucose and xylose (48 and 39%  
 200 of the total carbohydrates, respectively). This is in agreement with previous studies which found a  
 201 similar carbohydrate composition in *Chlorella sorokiniana* and *Scenedesmus almeriensis* [28].

202 **Table 1.** Biochemical composition of microalgal biomass (mean  $\pm$  standard deviation).

Parameter	Value
TS (g·L <sup>-1</sup> )	17.8 $\pm$ 0.1
VS (g·L <sup>-1</sup> )	14.5 $\pm$ 0.1
COD (g O <sub>2</sub> ·L <sup>-1</sup> )	23.5 $\pm$ 0.2
Carbohydrates (% VS)	16.3 $\pm$ 0.5
Proteins (% VS)	52.0 $\pm$ 0.5
Lipids (% VS)	8.8 $\pm$ 0.0
Ash (%)	18.4 $\pm$ 0.9

203

### 204 3.2 pH monitoring over lime pretreatment

205 pH is an important parameter in alkaline pretreatments, as alkaline conditions must be

206 ensured during the whole pretreatment process. For that reason, pH was measured before and after  
207 applying the pretreatment with lime. While untreated microalgae showed a pH of 8.1, this value  
208 increased to 11.9 and 12.4 when 4 and 10% CaO was added, respectively. However, the final pH  
209 decreased after 4 days of alkaline pretreatment at room temperature and after 24h of thermal and  
210 thermo-alkaline pretreatment (Table 2).

211 Concerning the alkaline pretreatment, pH values achieved at the end of the pretreatment  
212 were very low (7.6 and 8.1 with 4 and 10% CaO, respectively). These results were unexpected,  
213 since lime was applied to induce alkaline conditions during the whole pretreatment. To further  
214 investigate the pH drop, the lime pretreatment at room temperature was repeated measuring the pH  
215 and gas content in the bottles over time (Fig. 2). As can be observed in Fig. 2, after the first 20-30  
216 hours the pH decreased and then it stabilised at similar values as those obtained during the thermal  
217 pretreatment without lime ( $\text{pH} = 7.3 \pm 0.3$ ). The same graph also shows that the  $\text{CO}_2$  content  
218 increased over time. This can be explained by the presence of heterotrophic bacteria in the microalgal  
219 biomass, which release  $\text{CO}_2$  as a result of organic matter biodegradation. The higher the dose of  
220 lime, the lower the  $\text{CO}_2$  concentration in the gas phase, especially at the beginning of the  
221 pretreatment when  $\text{CO}_2$  increase was moderate (even null for 10% CaO). This fact suggests that  
222  $\text{CO}_2$  was dissolved, decreasing the pH. Hence, the alkaline pretreatment of this type of biomass at  
223 room temperature only makes sense with contact times below 24 h.

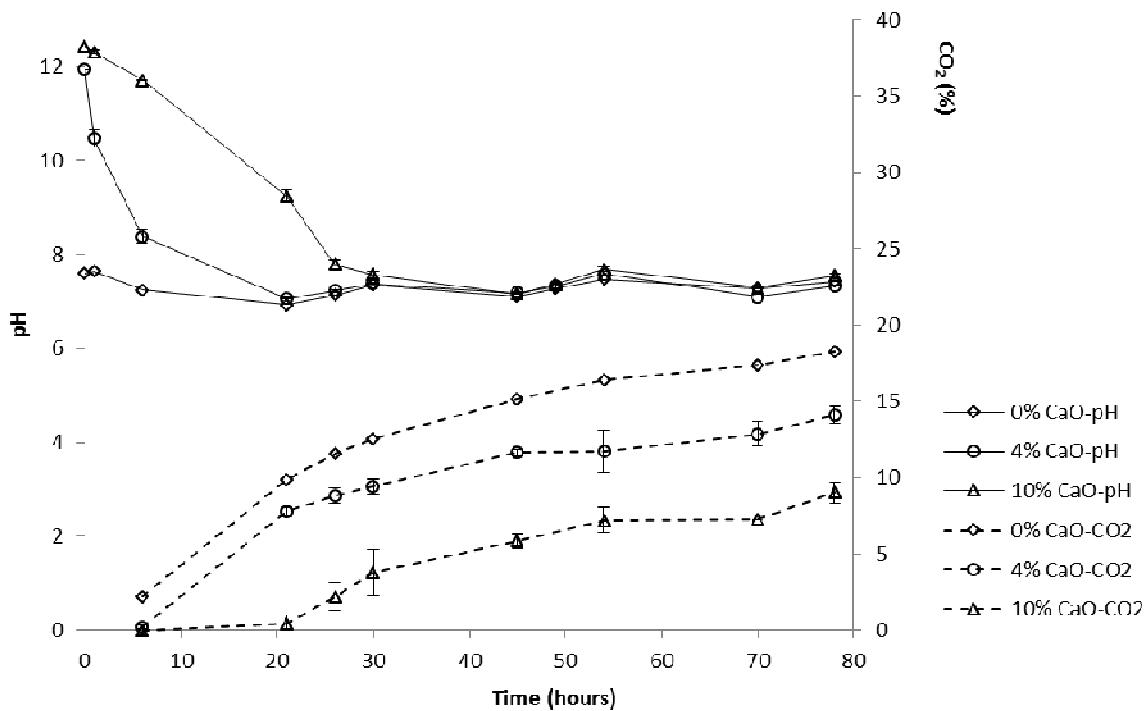
224 Regarding the thermo-alkaline pretreatment at 55 and 72°C, higher final pH values were  
225 achieved as compared to the alkaline one (8.8 for 4% CaO and 11.9 for 10% CaO) (Table 2), even  
226 though they showed a pH decrease at the end of the pretreatment. On the other hand, thermally  
227 pretreated samples presented a slight pH decrease with respect to untreated microalgae (7.71 and  
228 7.78 at 55 and 72°C, respectively). In this case, the decrease could be attributed to a certain  
229 acidification caused by organic matter biodegradation. The same evidence was detected after  
230 pretreating the macroalga *Palmaria palmata* with 4% NaOH, when the pH decreased from 11.3 to  
231 9.3 and 9.9 after 24 h at 70 and 85°C, respectively [29]. Nonetheless, in comparison with the

232 alkaline pretreatment at room temperature, mild temperatures enhanced alkaline conditions during  
 233 the pretreatment.

234 **Table 2.** Pretreatment conditions and final pH achieved after the pretreatment.

Trial	Pretreatment conditions			Final pH
	Temperature	Contact time	CaO dose	
	(°C)	(h)	(% TS)	
Untreated microalgae	-	-	-	8.06
Room temperature	25	96	0	8.12
Room temperature + 4% CaO	25	96	4	7.55
Room temperature + 10% CaO	25	96	10	8.09
55 °C	55	24	0	7.71
55 °C + 4% CaO	55	24	4	8.85
55 °C + 10% CaO	55	24	10	11.92
72 °C	72	24	0	7.78
72 °C + 4% CaO	72	24	4	8.82
72 °C + 10% CaO	72	24	10	11.91

235



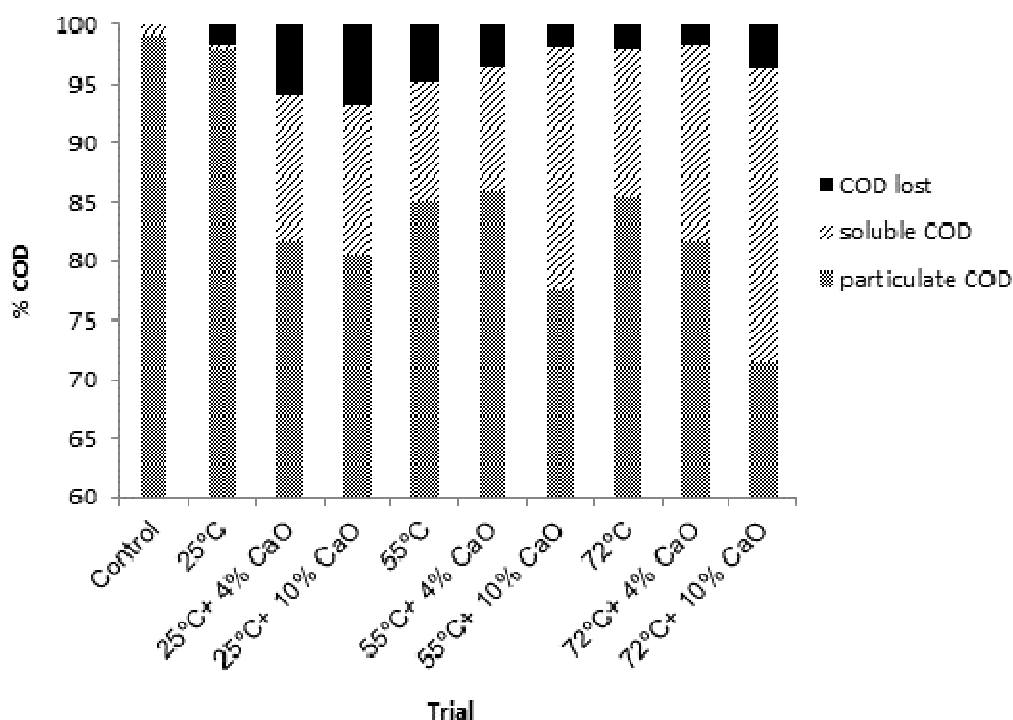
236 **Figure 2.** pH and CO<sub>2</sub> measured in the bottles after addition of 0, 4 and 10% CaO at room  
 237 temperature.  
 238  
 239

240 **3.3 Effect of the pretreatment on microalgal biomass solubilisation and biomass loss**

241 **3.3.1. Organic matter solubilisation**

242 Thermal and thermo-alkaline pretreatments enhanced organic matter solubilisation under all  
243 pretreatment conditions (Fig. 3). Indeed, the soluble to total COD ratio increased by 10-25%,  
244 depending on the pretreatment condition. Moreover, the addition of lime enhanced biomass  
245 solubilisation under all temperatures assayed. The highest soluble COD values were observed for  
246 the thermo-alkaline pretreatment with 10% CaO at 55 and 72°C (20 and 25% CODs, respectively).

247 Similar results were observed in a previous study that analysed COD solubilisation after  
248 applying NaOH at mild temperature (50°C) to different microalgae species [10]. They obtained  
249 values of 16-20% of COD solubilised when pretreating *Chlorella* sp. and 4-18% for *Scenedesmus*  
250 sp. The authors attributed such a low COD solubilisation to the fact that the tested pretreatments  
251 were unable to break down microalgae cell walls. Hence, soluble COD increase seemed to be  
252 caused by exopolymers release rather than intracellular material. Higher COD solubilisation was  
253 observed by applying NaOH to *Chlorella* sp. and autoclaving at 120°C, achieving up to 81% CODs  
254 [12]. This shows how higher solubilisation can be achieved by combining alkaline pretreatment  
255 with high temperatures as compared to mild temperatures.



256

257 **Figure 3.** COD fractions after thermo-alkaline pretreatment, expressed as % of the total initial COD of  
258 untreated microalgae. Soluble fractions were calculated according to Eq. 1; particulate fractions were  
259 calculated as the difference between total COD and soluble COD; and removed COD fractions were  
260 calculated according to Eq. 4. Mean values (relative error < 2%).

261

### 262 3.3.2. Biomass loss during the pretreatment

263 During the pretreatment step biomass loss should be minimised not to reduce the methane potential.  
264 In this study, biomass loss was expressed as the total COD removed during the pretreatment (Eq. 4)  
265 and the values were low (< 7%). As can be observed in Fig. 3, organic matter loss was the highest  
266 (between 6-7%) after alkaline pretreatment at room temperature. This was due to the fact that  
267 alkaline conditions were not preserved during the whole pretreatment (Table 2). Thus, biomass  
268 solubilisation by the pretreatment enhanced the consumption of readily biodegradable organic  
269 matter by heterotrophic bacteria. On the contrary, in the pretreatments at mild temperatures (55, 72  
270 °C), lime addition contributed to avoid organic matter biodegradation (except for the sample  
271 pretreated at 72°C with 10% CaO). In that case, thermal effects prevailed over biological ones.

272

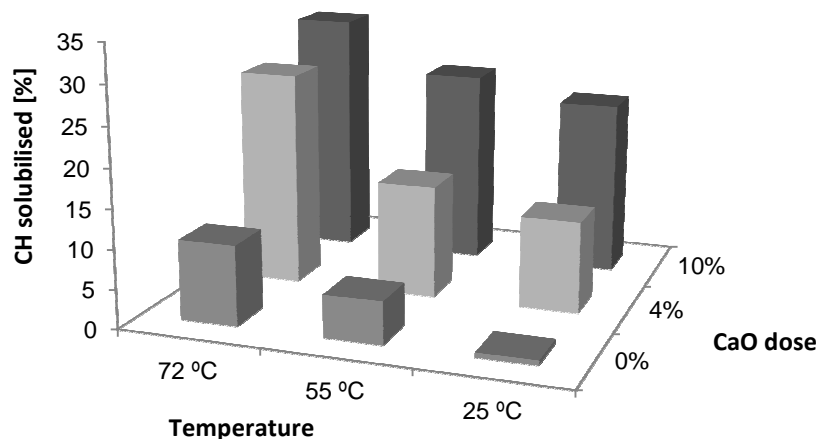
### 273 3.3.3. Carbohydrate and protein solubilisation

274 CH and proteins are the main macromolecules of microalgae biomass (Table 1). In addition, CH are  
275 the main constituents of microalgae cell wall, which hampers microalgae hydrolysis. In order to  
276 evaluate the effect of the pretreatment on both macromolecules, CH and TKN (which is directly  
277 related to proteins) contents in the liquid phase were analysed after each pretreatment (Fig. 4 and 5).

278 According to the results, CH solubilisation increased with temperature and lime dose (from 5%  
279 of solubilised CH for samples pretreated at room temperature with 4% CaO to 31% for samples  
280 pretreated at 72°C with 10% CaO). In fact, the combination of alkali and temperature could induce  
281 cellulose swelling, increasing the internal surface area and reducing the degree of crystallinity and  
282 polymerization [30]. Moreover, the hydrolysis of CH may occur through a variety of reactions  
283 induced by lime, including the disruption of H-bonds and saponification of intermolecular ester

284 bonds in cellulose and hemicelluloses and crosslinking hemicellulose with other polymeric  
 285 components [18]. Indeed, carbohydrate release after thermo-chemical pretreatment of microalgae  
 286 has already been reported [10,28]. However, the comparison of alkali and acid pretreatments  
 287 showed how alkaline hydrolysis cleaved intermolecular linkages between complex polysaccharides  
 288 and fibers and other polymeric compounds, but only acid hydrolysis was able to break down  
 289 complex carbohydrates into simple sugars [28].

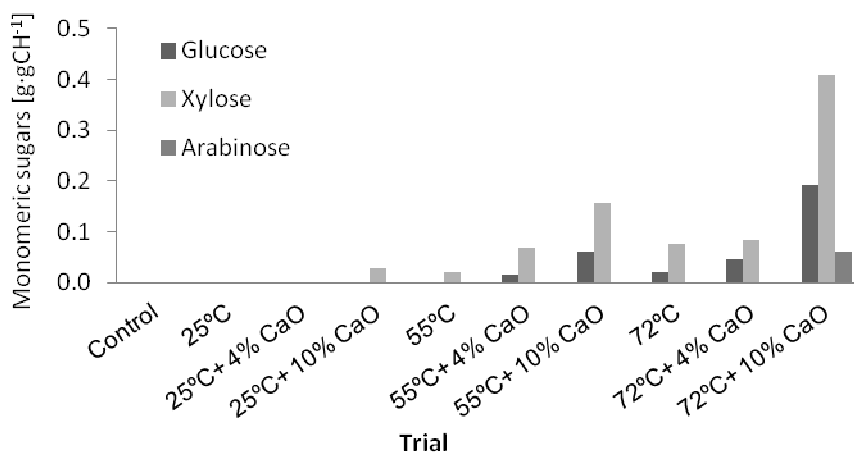
290 a)



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293 b)



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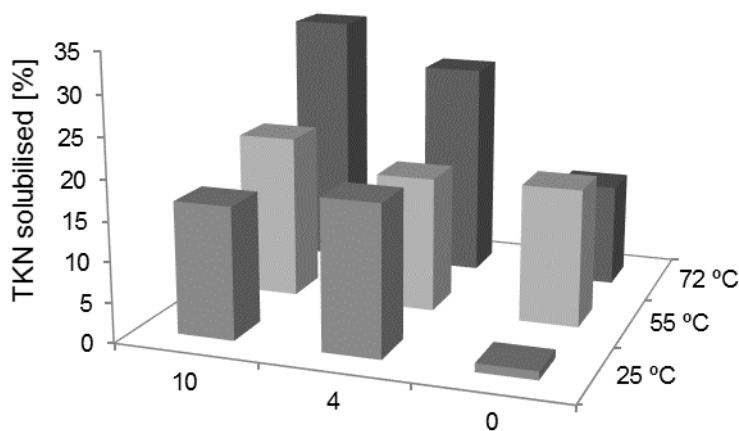
295 **Figure 4.** Carbohydrates solubilised (CHs) expressed as percentage over the total carbohydrates (CH) (Eq. 2)

296 (a) and main sugar monomers solubilised (b) after each pretreatment. Mean values (relative error < 2%).

297 Opposite to [10], who observed low COD solubilisation (4-20%) attributed to exopolymers  
 298 release, in the current study, the high COD and CH solubilisation (> 30%) observed with the highest  
 299 lime dose and temperature (10% CaO and 72°C) could not only be attributed to exopolymers release

300 but also other structural macromolecules. Indeed, the soluble fraction of different structural sugar  
301 monomers (i.e. glucose, xylose and arabinose) was also analysed (Fig. 4b). The goal was to verify if  
302 carbohydrates released during the pretreatment came not only from intracellular material but also  
303 from structural carbohydrates from the cell wall. The results showed a substantial increase in  
304 glucose and xylose after the pretreatment at the highest temperature and lime dose (72°C and 10%  
305 CaO). Moreover, arabinose release was only detected in that case. Such a significant sugar release  
306 could be attributed to the cell wall damage, since the cell wall of the studied microalgae species is  
307 constituted by these monomeric sugars [31,32].

308         Regarding proteins, there was no direct correlation between their solubilisation and the lime  
309 dose (Fig. 5). For the pretreatment at room temperature, the percentage of solubilised TKN was the  
310 highest with the lowest lime dose (17.2 and 12.9% with 4 and 10% CaO, respectively). Taking into  
311 account that the pH decreased after lime addition at room temperature (Table 2), it seems that the  
312 biological degradation of proteins prevailed over the chemical one. Thus, at room temperature the  
313 lowest lime dose favoured the biological degradation of organic matter and consequently its  
314 solubilisation. A different behaviour was observed at 55 and 72°C (Fig. 5), at which thermo-  
315 chemical effects prevailed over biological ones. Nevertheless, the highest soluble TKN fraction  
316 (32%) was reached with the most severe pretreatment condition (10% CaO and 72°C).



317  
318 **Figure 5.** Soluble TKN (TKNs) after each pretreatment expressed as percentage over the TKN (Eq. 3). Mean  
319 values (relative error < 2%).



320  
321 In conclusion, the use of alkali mainly enhanced protein solubilisation, while the combination of  
322 alkali and temperature was required to solubilise carbohydrates. This is in accordance with the  
323 literature. For instance, Mendez *et al.* (2013) found that proteins prevailed over carbohydrates  
324 solubilisation when *Chlorella* was subjected to alkaline conditions [11]. Similarly, Yang *et al.*  
325 (2011) concluded that protein solubilisation of lipid-extracted microalgal biomass was influenced  
326 by NaOH addition while carbohydrate solubilisation was not [33].

327

### 328 ***3.4 Effect of the pretreatment on the methane production***

329 To evaluate the effect of pretreatments on the methane production, both methane production rate  
330 and extent were evaluated in BMP tests.

#### 331 ***3.4.1. Biochemical methane potential increase with the pretreatment***

332 Fig. 6 shows the cumulative methane yield obtained after 105 days of assay, while Table 3 reports  
333 the final methane potential achieved for each pretreatment condition. It should be notice that the  
334 methane yield is referred to the initial VS of untreated microalgae. In Table 3, the methane yield  
335 increase is compared to the methane yield increase considering methane potential losses resulting  
336 from organic matter losses during the pretreatment step. To do so, COD losses (Eq. 4) were  
337 converted into methane losses.

338 The results show how untreated microalgae produced 260 mL CH<sub>4</sub>·gVS<sup>-1</sup>, which is in  
339 accordance with reported methane yields for *Chlorella* sp. (189-403 mL CH<sub>4</sub>·gVS<sup>-1</sup>) and  
340 *Scenedesmus* sp. (240-287 mL CH<sub>4</sub>·gVS<sup>-1</sup>) [3]. Some samples presented a similar methane yield  
341 after the pretreatment (i.e. 10% CaO at 25°C; 0% and 4% CaO at 55°C), while in others the methane  
342 yield increased by 10% (i.e. 4% CaO at 25 and 72°C; 10% CaO at 55°C). The most significant  
343 methane yield increase (25%) was achieved by the pretreatment with 10% CaO at 72°C (325 mL  
344 CH<sub>4</sub>·gVS<sup>-1</sup>). This methane yield increase is even higher (> 33% increase) if the biomass loss during  
345 the pretreatment step is taken into account. The highest methane production can be attributed to the  
346 highest solubilisation of both carbohydrates and proteins after the thermo-chemical pretreatment

347 (Fig. 4 and 5), and to the release of sugar from the cell wall, namely glucose, xylose and arabinose  
 348 (Fig. 4b). Accordingly, the methane production increase may have resulted from the cell wall  
 349 damage after the pretreatment with 10% CaO at 72°C. Similar results were obtained by pretreating  
 350 *Chlorella* sp. and *Scenedesmus* sp. with 5% NaOH at 50°C increasing the methane yield by 17 and  
 351 20%, respectively [10]. Comparing the lime pretreatment with others, similar methane yield  
 352 increase (29%) was achieved by applying a thermal pretreatment at 120 °C on *Chlorella* sp. and  
 353 *Scenedesmus* sp. culture [34] and a low-temperature pretreatment at 80°C on *Chlorella vulgaris*  
 354 (11–24%) [35]. Regarding mechanical pretreatments, lower values were obtained by applying  
 355 ultrasounds (6-15%) [34] but higher improvements were found with other mechanical pretreatments  
 356 (i.e. milling) on *Acutodesmus obliquus* (51%) [36].

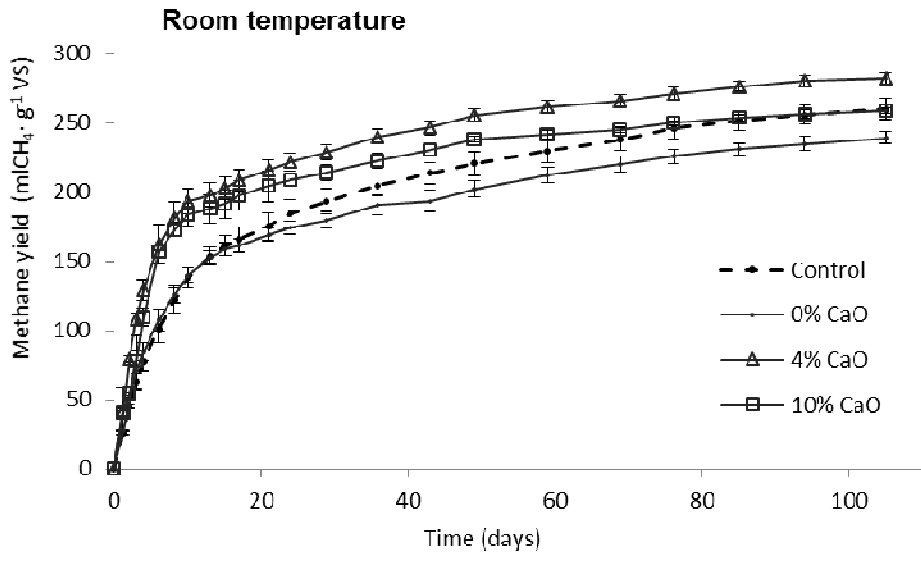
357 **Table 3.** Final methane yield and methane content obtained in BMP tests for each  
 358 pretreatment condition (mean ± standard deviation).

<b>Trial</b>	<b>Methane yield (mL CH<sub>4</sub>·g VS<sup>-1</sup> untreated microalgae)</b>	<b>Methane content (%)</b>	<b>Methane yield increase (%)</b>	<b>Methane loss (mL CH<sub>4</sub>·gVS<sup>-1</sup>)</b>	<b>Methane yield increase considering methane loss (%)</b>
Untreated microalgae	260 ± 8	67.2 ± 0.6	-	-	-
Room temperature	239 ± 5	67.5 ± 0.5	-8.0	10.3	-4.0
Room temperature + 4% CaO	282 ± 4	70.0 ± 1.0	8.4	29.7	19.8
Room temperature + 10% CaO	259 ± 2	75.5 ± 2.8	-0.5	39.9	14.9
55 °C	257 ± 4	69.8 ± 0.7	-1.0	28.1	9.8
55 °C + 4% CaO	255 ± 6	69.7 ± 0.3	-2.1	21.5	6.2
55 °C + 10% CaO	292 ± 11	77.3 ± 1.8	12.2	11.2	16.5
72 °C	230 ± 7	71.4 ± 0.5	-11.6	12.3	-6.8
72 °C + 4% CaO	287 ± 4	74.3 ± 0.5	10.3	10.6	14.3
72 °C + 10% CaO	325 ± 12	77.9 ± 0.6	25.0	22.1	33.5

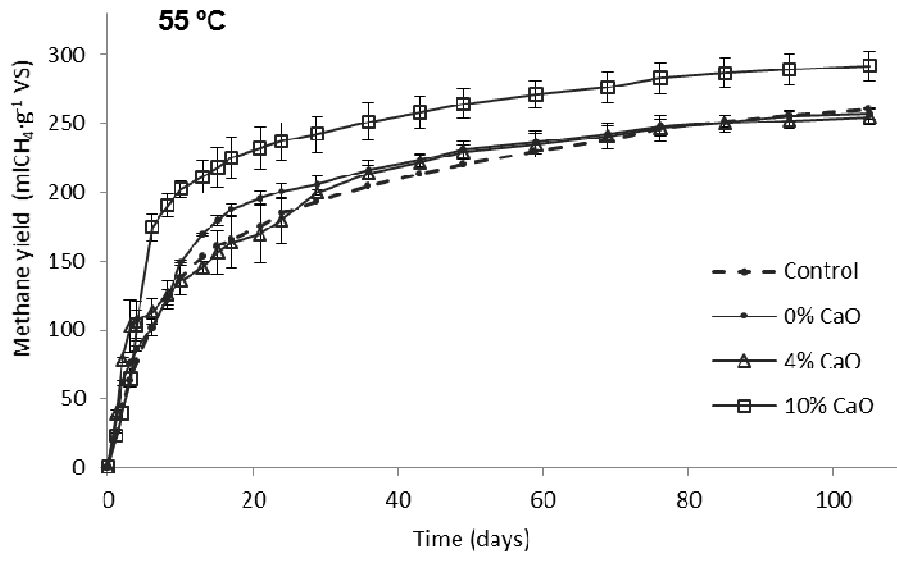
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360 Comparing the effect of lime for each tested temperature, two different trends were  
361 observed. For thermally pretreated samples, the higher the dose of lime, the higher the methane  
362 yield (increasing from 257 to 292 ml CH<sub>4</sub> g<sup>-1</sup>VS at 55°C and from 230 to 325 ml CH<sub>4</sub> g<sup>-1</sup>VS at  
363 72°C). Conversely, the pretreatment at room temperature presented the highest methane yield with  
364 4% CaO (282 ml CH<sub>4</sub> gVS<sup>-1</sup>). These results are consistent with the higher protein solubilisation  
365 obtained with 4% CaO compared to 10% CaO, and also with the higher biomass loss of the  
366 pretreatment with 10% CaO. According to the results, the thermo-alkaline pretreatment had more  
367 effect in terms of biomass solubilisation than methane production. Indeed, it has been shown that  
368 organic matter solubilisation can increase significantly more than the methane yield of several  
369 microalgae species [12,34]. Nevertheless, with the most severe condition (10% CaO at 72°C) not  
370 only biomass solubilisation but also the final methane yield was improved.

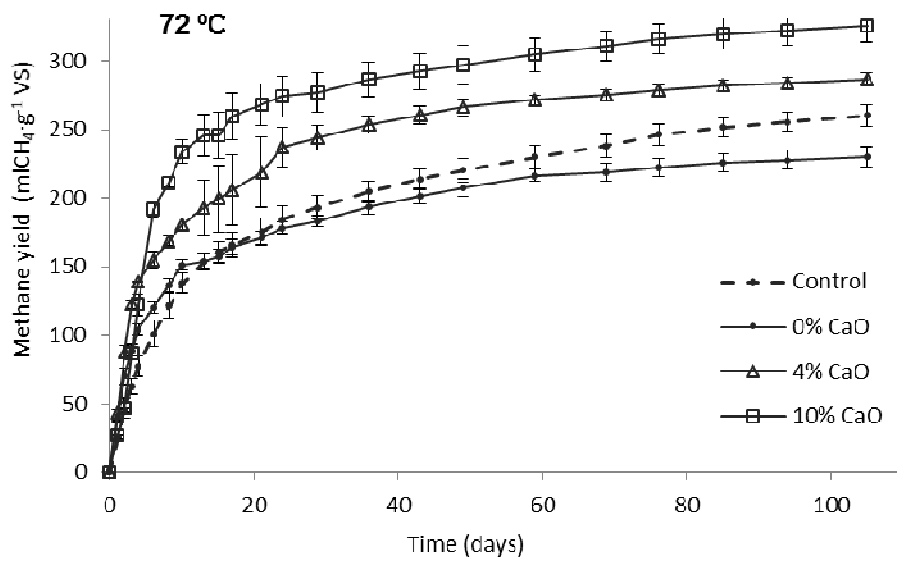
371



372 a)



373 b)



374 c)

375 **Figure 6.** Cumulative methane yield of chemically pretreated microalgae at room temperature (a) and  
 376 thermo-chemically pretreated microalgae at 55°C (b) and 72°C (c) with 0, 4 and 10% CaO.

377 *3.4.2. Kinetics improvement with the pretreatment*

378 All the pretreatments improved the kinetics of the process as shown by the first order kinetic  
 379 constant ( $k$ ) (Table 4). While untreated microalgae showed the lowest  $k$  ( $0.08 \text{ day}^{-1}$ ),  $k$  values  
 380 increased to  $0.09\text{-}0.14 \text{ day}^{-1}$  when biomass was pretreated. In general, the higher the lime dose, the  
 381 higher the  $k$ . This kinetics enhancement was attributed to organic matter solubilisation after the  
 382 pretreatment. Altogether, no correlation between the percentage of COD solubilised and the kinetic  
 383 rate constant was found ( $R^2=0.136$ ). However, since alkaline and thermo-alkaline pretreatments  
 384 presented different behaviours in terms of macromolecules solubilisation and methane production,  
 385 the correlation was analysed separately. By doing so, higher correlation coefficients were found  
 386 ( $R^2=0.985$  and  $R^2=0.779$  for the alkaline and thermo-alkaline pretreatments, respectively).

387

388 **Table 4.** Kinetic parameters obtained from Eq.5. Estimated error variance ( $S^2$ ) of each fitting calculated from

389

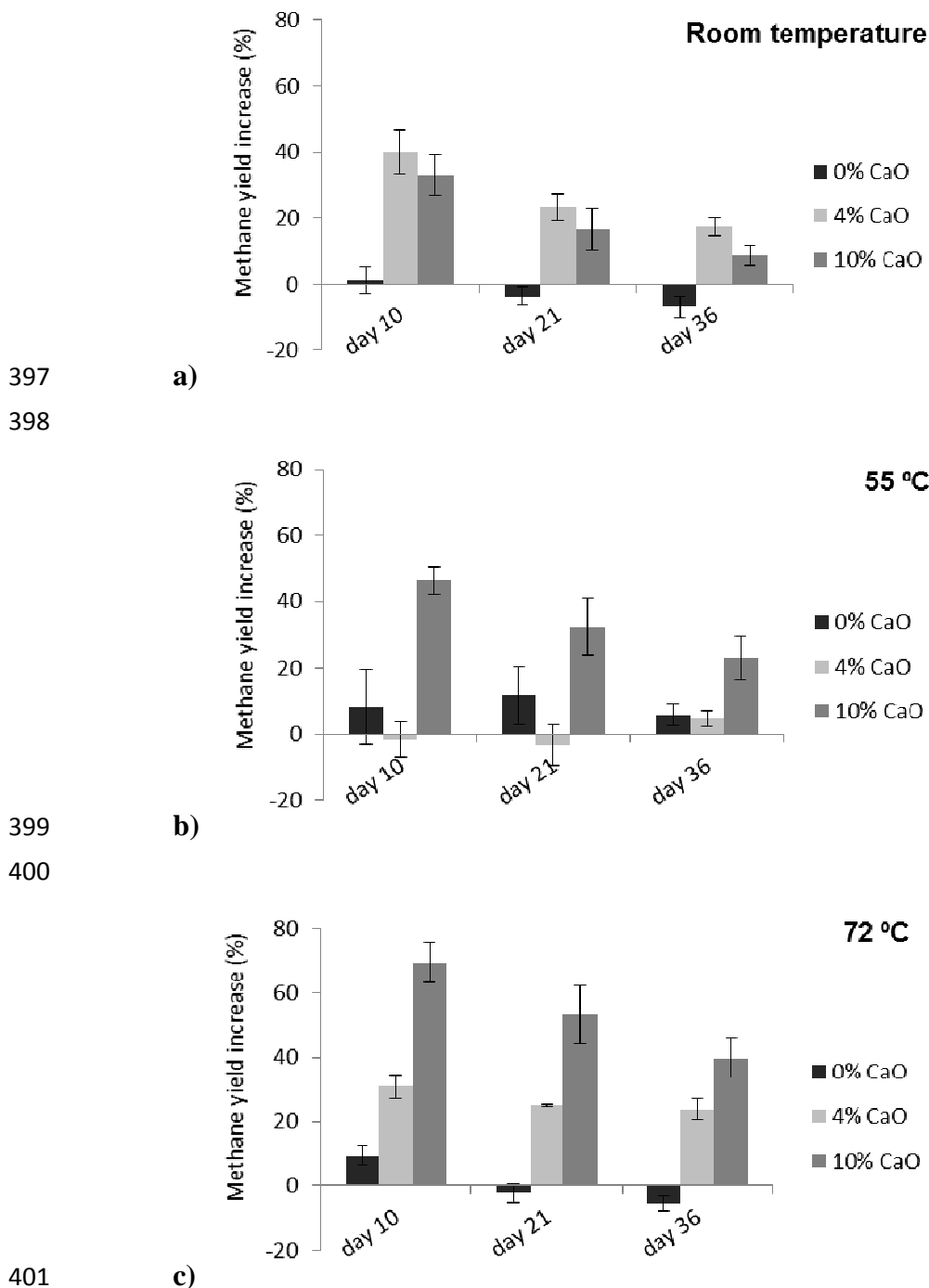
Eq. 6.

<b>Trial</b>	$\lambda$ (day)	<b>Bo</b> (ml CH <sub>4</sub> gVS <sup>-1</sup> )	<b>k</b> (day <sup>-1</sup> )	<b>S<sup>2</sup></b>
Untreated microalgae	0.00	238	0.08	173
Room temperature	0.00	214	0.10	209
Room temperature + 4% CaO	0.00	255	0.14	325
Room temperature + 10% CaO	0.00	237	0.14	201
55 °C	0.00	240	0.09	132
55 °C + 4% CaO	0.00	236	0.09	456
55 °C + 10% CaO	1.17	271	0.12	261
72 °C	0.00	209	0.12	274
72 °C + 4% CaO	0.00	265	0.12	398
72 °C + 10% CaO	1.17	305	0.13	223

390

391 The kinetics improvement could be responsible for the higher methane production rate  
 392 during the first days of the BMP test (Fig. 6). To ease comprehension, the methane yield increase

393 for each pretreatment condition with respect to untreated microalgae at days 10, 21 and 36 was  
 394 compared (Fig. 7). As can be observed in Fig. 7, alkaline and thermo-alkaline pretreatments  
 395 presented different behaviors. Once again, higher values were obtained with 4% CaO for the  
 396 alkaline pretreatment at room temperature and 10% CaO for all thermo-alkaline pretreatments.



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403 **Figure 7.** Methane yield increase of pretreated samples at room temperature (a), 55 °C (b) and 72 °C (c) with  
 404 respect to untreated microalgae (control) after 10, 21 and 36 days of BMP assay.

405 **4. Conclusions**

406 This study evaluated the effect of a thermo-alkaline pretreatment with lime on microalgal biomass  
407 anaerobic digestion. The pretreatment increased proteins and carbohydrates solubilisation up to  
408 32.4% and 31.4%, respectively. Consequently, anaerobic digestion kinetics were also improved (the  
409 first order kinetic rate constant increased from 0.08 to 0.14 day<sup>-1</sup>). The pretreatment with the highest  
410 lime dose (10% CaO) and temperature (72°C) showed both the highest macromolecules  
411 solubilisation (31-32%) and the highest biochemical methane potential increase (25%). Bearing in  
412 mind that lime is not toxic and that it is less expensive than other chemicals (e.g. NaOH), the use of  
413 lime could also contribute to reducing pretreatment costs and potential environmental impacts.  
414 Nevertheless, the application of the best pretreatment condition should be further investigated in  
415 continuous reactors to estimate the energy balance and economic cost of the process.

416

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427

#### 428 **Declaration of contributions**

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431 for the integrity of the work as a whole, from inception to finished article.

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