

Enteric immunity, the gut microbiome, and sepsis: Rethinking the germ theory of disease

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Abstract

Sepsis is a poorly understood syndrome of systemic inflammation responsible for hundreds of thousands of deaths every year. The integrity of the gut epithelium and competence of adaptive immune responses are notoriously compromised during sepsis, and the prevalent assumption in the scientific and medical community is that intestinal commensals have a detrimental role in the systemic inflammation and susceptibility to nosocomial infections seen in critically ill, septic patients. However, breakthroughs in the last decade provide strong credence to the idea that our mucosal microbiome plays an essential role in adaptive immunity, where a human host and its prokaryotic colonists seem to exist in a carefully negotiated armistice with compromises and benefits that go both ways. In this review, we re-examine the notion that intestinal contents are the driving force of critical illness. An overview of the interaction between the microbiome and the immune system is provided, with a special focus on the impact of commensals in priming and the careful balance between normal intestinal flora and pathogenic organisms residing in the gut microbiome. Based on the data in hand, we hypothesize that sepsis induces imbalances in microbial populations residing in the gut, along with compromises in epithelial integrity. As a result, normal antigen sampling becomes impaired, and proliferative cues are intermixed with inhibitory signals. This situates the microbiome, the gut, and its complex immune network of cells and bacteria, at the center of aberrant immune responses during and after sepsis.

Keywords: Sepsis, T cell, immune suppression, gut microbiome, infection, apoptosis

Experimental Biology and Medicine 2017; 242: 127–139. DOI: 10.1177/1535370216669610

Introduction

Human beings—among other mammalian life forms on this planet—are colonized by microbes at all epithelial barriers as soon as they are born.¹ As the largest body surface directly exposed to the environment, the human intestine is populated with an enormous number of microorganisms from predominantly bacterial origin.² A mind-boggling 400 m² of the intestinal epithelial surface is colonized by ~100 trillion organisms, which outnumber the cells in the human body by 10–100 fold.^{3,4} While recent data have proposed a revision to the ratio of commensal bacteria to human cells to be closer to 1:1,⁵ the vast array of bacteria that colonize the typical human still translates to each person carrying about 100 times more bacterial genes than human genes.⁶ The diversity

and density of this microbial colony within the gut can be altered by antibiotics, disease co-morbidity, diet, and other environmental factors.^{7,8} Through advances in genomic sequencing technology during the last decade, we now know alterations in the membership of the gut microbiome are associated with immunopathology in several autoimmune, inflammatory, and allergic diseases, as well as altered susceptibility to infectious diseases.^{9–13} The symbiotic relationship between host and microbiome can be best appreciated within our own bodies in the context of health and disease.

Sepsis is a poorly understood syndrome of systemic inflammation responsible for thousands of deaths every year, with no specific therapies on the current market. Since the 1990s, sepsis researchers have reported many

patients surviving the acute stages of sepsis exhibit clinically relevant immunosuppression.¹⁴ As part of this immunosuppression, acutely septic patients show reduced lymphocyte function and a temporal decrease in circulating lymphocyte numbers.^{15,16} This is particularly evident for CD4 T cells, which orchestrate cell-mediated immunity in the body.¹⁷ Traditionally, researchers and physicians have assumed the intestinal commensals have a detrimental role in promoting systemic inflammation and infection in the critically ill septic patient, an idea termed the “toxic gut lymph.” Advances in the understanding of microbial commensalism, however, compel us to re-examine the notion that the intestinal contents are the driving force of critical illness.¹⁸ Paradoxically, extensive evidence from the last decade supports the theory that our mucosal microbiome—the collective symbiotic and pathobiotic commensals in the gut—plays an essential role in the establishment of tolerogenic as well as immunocompetent CD4 T-cell responses, homeostatic proliferation, and repertoire determination. Although these bacteria are oftentimes beneficial, imbalances between pathogenic and symbiotic commensal species (dysbiosis) can be seen in a wide variety of diseases.¹⁹

In this review, we provide an overview of the interaction between the microbiome and the immune system, with a special focus on the impact of commensals in priming, as well as the development of competent CD4 T-cell responses. Within the immune system, we will be focusing our discussion herein primarily on T cells, but we acknowledge that innate immunity is also strongly affected during a sepsis with the quantitative and qualitative alterations observed in NK cells and neutrophils. We direct the reader to other commentary centered on changes in these other immune system components during sepsis elsewhere.^{20,21} The polarization and diversity of Ag-specific CD4 T cell responses are linked to the integrity of epithelial barrier function and the careful balance between normal intestinal flora and pathogenic organisms residing in the gut microbiome. Both of these elements—the integrity of the epithelium and balance between helpful and harmful commensals—are notoriously compromised in sepsis. Patients who survive sepsis often suffer severely compromised immune function, and studies in animals and humans suggest the immune injuries that occur during sepsis may be critical to the pathogenesis and subsequent mortality.²² We believe sepsis induces dysbiosis and compromises epithelial integrity. As a result, normal Ag sampling is impaired, and proliferative cues are intermixed with inhibitory signals, suggesting the microbiome, the gut, and its complex immune network of cells and bacteria is situated at the center of aberrant immunity during and after sepsis.¹⁸

Rethinking the germ theory of disease

Bacteria populated the earth 2 billion years before the first signs of eukaryotic life, and they inhabit virtually every terrestrial and aquatic niche on the planet, even some of the most extreme. It should be of no surprise that humans (as an ecological niche) have also been colonized, and bacteria have even integrated themselves into our evolution as

mitochondria, the descendants of ancient bacterial organisms.²³ In spite of their long-standing claim to this world, we were unaware of their existence until very recently (~150 years), when bacteriology was placed at the center of our understanding of infection and illness. Pioneers like Lister, Koch, Pasteur, and Metchnikoff valiantly propelled the germ theory of disease forward at the turn of the 20th century.²⁴ Before this paradigm shift in medicine, patients would develop overwhelming sepsis as a direct result of gut leakage and complete ignorance regarding the transfer of pathogens by healthcare workers.²⁵ Aseptic technique was unheard of: surgeons seldom washed their hands and students commonly put their hands in the operative field for didactic purposes.²⁶ The discovery that enemies invisible to the naked eye were the cause of many ailments radically changed the course of medicine,^{27,28} and microbial pathogenesis became the recurrent theme of 20th-century medicine. Yet, despite the tremendous advances this theory has created, it is interesting that voices within the research community warned for many years that the focus on pathogenic microbes would distract from important research into the role of commensals in health and disease.²⁹ In fact, Metchnikoff was among the first to also propose that modification of the gut flora might improve human health.^{30,31} A century later, we have come to understand that rather than waging an endless war, the host and its prokaryotic colonists exist in a carefully negotiated armistice, with compromises and benefits that go both ways.

Despite the evolutionary co-existence between the microbiome and host, the high load of bacterially derived antigen (Ag) found in the gut lumen is a challenge to mucosal lymphocytes, and one that is still very much actively investigated in settings of health and disease. Work with animal models indicates the gut is innately predisposed to inflammation, and the influence of balanced symbiotic and pathobiotic components of the microbiome in the immune system is immunosuppressive or tolerogenic.³² These findings are supportive of the central paradox in the “Hygiene Hypothesis”: *human societies that adopt a certain level of hygiene to decrease the prevalence of many infections observe a concurrent increase in non-infectious immune disorders*. The rise in allergy and autoimmunity in hygienic environments is as dramatic as the drop in infection rates. The “non-self” nature of bacteria (regardless of symbiont or pathobiont status) makes Ag presented and processed by gut antigen-presenting cells (APCs) likely to be recognized by some or many gut-tropic T cells. Therefore, in situations where genetic predisposition is a factor (e.g. patients with autoimmune diseases), microbial modulation would be key to dampening potentially self-reactive or cross-reactive T cells. Although genetic differences clearly affect the development of autoimmunity, hyper-hygienic living environments may cull or limit commensal diversity, as well as affect the microbiome’s ability to steer the maturation of the immune system toward a state of homeostatic tolerance.

Composition of the enteric microbiome

Four phyla dominate the adult human microbiome. Of those, 80% of all intestinal bacterial species fall under the

most heavily studied: *Firmicutes* and *Bacteroidetes*.³³ *Firmicutes* are Gram-positive bacterial taxa composed of many familiar-sounding genera (such as *Clostridia*, *Streptococcaceae*, *Staphylococcaceae*, *Enterococcaceae*, and *Lactobacillae*)³⁴; many of these occupants are facultative anaerobes. *Bacteroidetes*, on the other hand, are Gram-negative bacteria composed largely of *Bacteroides* species, which are obligate anaerobes. Membership in the human microbiome is evolutionarily determined and is similar across mammals. Comparison studies have shown the human intestinal microbiota is surprisingly similar to that of mice, though similarities stop at the genus (for *Firmicutes*)³⁵ and species level (for *Bacteroidetes*)³⁶. However, this membership is not completely static, as exemplified by the changes that occur immediately after humans are born.³⁷ The first inhabitants of the intestine are the facultative anaerobic bacteria, including *Escherichia coli* and the *Firmicutes* sp. These bacteria can take advantage of the abundant oxygen in the newborn, but this advantage is gone by the second week of age when the oxidation-reduction potential decreases.³⁸ At this point, obligate anaerobes from both phyla take over (e.g. *Clostridia*, *Bacteroides*, and *Bifidobacteria*). Weaning further favors obligate anaerobes leading to the stabilization of microflora composition.³⁹

In addition to early life events, environmental factors can also influence membership in the individual microbiome.⁴⁰ An example of this environmental modulation is diet composition. In hosts with a high-fat, high-carbohydrate Western diet, the microbiota is enriched with bacteria from the phylum *Firmicutes* and underrepresented with *Bacteroidetes*.⁴¹ This is presumably because *Firmicutes* are more efficient at extracting energy from the given diet.^{42,43} In a completely different diet, such as one rich in plant polysaccharides, the opposite is true. *Actinobacteria* and *Bacteroidetes* are enriched in the gut microbiome of children from Burkina Faso who follow a low-fat, high-fiber diet, whereas the gut bacterial composition is skewed toward more *Firmicutes* and *Proteobacteria* in Italian children who have a high-fat, low-fiber diet.⁸ Though correlative at best, it is important to note the rate of allergies and asthma is almost completely non-existent in the first cohort of children, whereas they continue to rise in the second.⁴⁴

The mucosal immune environment

The front line of mucosal immunity within the gut is made up of a single layer of columnar epithelial cells continuously renewed from multipotent stem cells located in the crypts of Lieberkühn. These give rise to all lineages of intestinal epithelia, including enterocytes, neuroendocrine cells, M cells, Paneth cells, and Goblet cells. The sum total of these cells is referred to as the gut epithelium. This epithelium is an active component of immunity, with physiologic and anatomical changes when lymphoid areas are present underneath. Some of the key differences between the absorptive and lymphoid-associated epithelium include lower levels of digestive enzymes, a less pronounced brush border, and a large number of M cells. In addition, lymphoid-associated epithelium is distinguishable given

that T and B cells, as well as dendritic cells (DCs), infiltrate the diffuse area immediately below the lymphoid-associated epithelium known as the subepithelial dome.⁴⁵ An illustrative schematic of some of the T-cell-associated processes occurring under the subepithelial dome can be seen in Figure 1. We have chosen to focus on the T-helper 17 (Th17) subset of differentiated CD4 T cells in this illustration, as Th17 cells play crucial roles in mucosal defense and abundantly localize in the lamina propria of the intestine in a commensal microbiota-dependent fashion.^{46–48}

The gut epithelial layer is short-lived and regenerates every three to five days. This is perhaps due (in part) to a large amount of functional stress – namely, the constant surveillance of commensal flora and simultaneous impedance of pathogen penetrance into the subluminal environment. Close interaction with luminal bacteria causes increased signaling through pattern recognition receptors (PRRs) in the epithelial barrier, specifically Toll-like receptors (TLRs) and NOD-like receptors (NLRs). The downstream effects of this recognition include polarized transport of Ag for presentation and the secretion of proliferative (e.g. IL-7 and IL-22) or inhibitory (e.g. IL-25 and IL-33) cytokines to directly influence activation or inhibition of specific innate immune cells.⁴⁹ Collectively, the terminally differentiated layer forms the first line of immune defense in the gut as a fairly impenetrable barrier system. The integrity of this barrier is key to the control of immune surveillance and Ag sampling.

In addition to epithelial barriers, the enteric immune system is composed of highly organized anatomical structures associated with the lamina propria and epithelial cells of the gut, collectively known as gut-associated lymphoid tissue (GALT). GALT can be divided into effector (e.g. infiltrating groups of effector immune cells within the subepithelial dome) and inducer sites (secondary lymphoid tissues responsible for the induction phase of the immune response). Peyer's patches (PPs), in particular, are secondary lymphoid organs of the enteric immune system that facilitate Ag sampling from the lumen. PPs do not have afferent lymph flow, but instead depend on the large number of associated M cells in the gut epithelium.⁴⁵ M cells specialize in transport of macromolecules and Ag into the subepithelial dome, sampling and presenting such macromolecules locally within the PP to T cells.

Another important type of organized intestinal lymphoid tissue gets its name from the anatomical resemblance to isolated lymphoid follicles (ILFs). ILFs consist of large groups of IgA-secreting B cells, along with other smaller populations of immune cells, such as DCs and several subsets of innate lymphoid cells (ILCs) that produce cytokines to modulate immune responses. ILCs are an interesting group of innate cells that exhibit lymphoid morphology but do not express Ag-specific receptors. Despite this difference with T cells, their cytokine profiles are similar, and as such, they are classified as ILC1 (Tbet expression and a Th1 cytokine profile), ILC2 (GATA3 expression and Th2 cytokine profile), and ILC3 (ROR γ t expression and Th17 cytokine profile).⁵⁰ ILCs are believed to be early “orchestrators” of responses by secreting cytokines that prime the Ag-presenting environment towards activation or tolerance.⁵¹

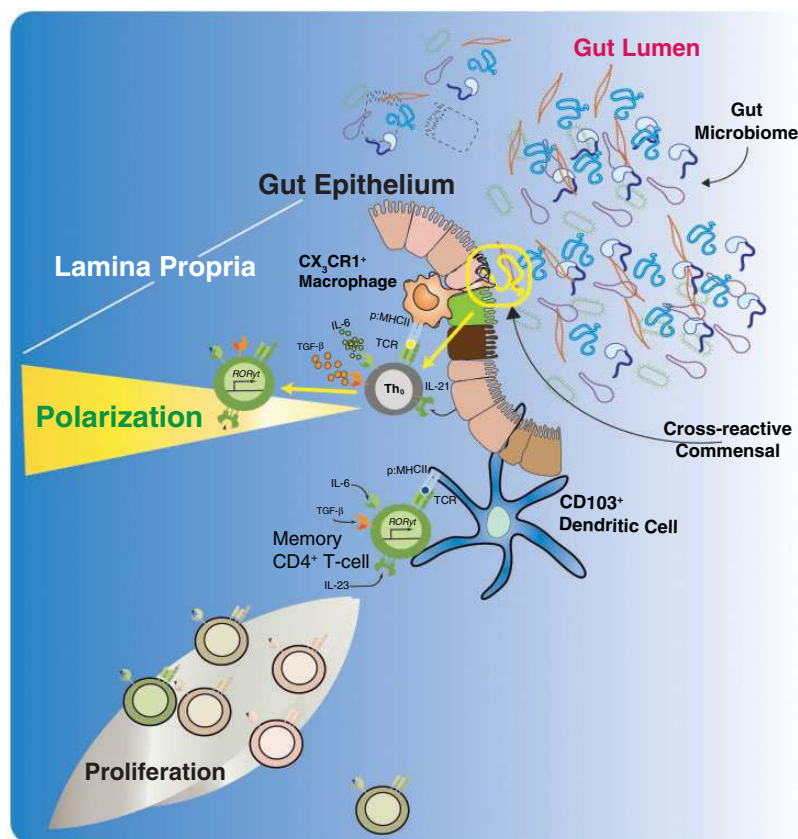


Figure 1 The interaction between the microbiome and the immune system. Illustration of jejunal enterocyte histology with particular attention to the lamina propria and its resident immune cells (dendritic cells, macrophages, innate lymphoid cells (ILC) and T cells). The enteric immune system provides CD4 T cells with both potential for survival and polarization into different effector subtypes. In terms of survival, naïve CD4 T cells have the capacity to home to the gut and interact with cross-reactive Ag derived from the biomass in the lumen via Ag presentation from a MHC II expressing cell (either traditional APC, specialized intestinal epithelia or due to induced expression of MHC II on enterocytes). In addition, survival factors such as TSLP may be expressed by the enterocytes. Memory CD4 T cells, which can be directly reactive or cross-reactive to the Ag in question, can receive survival cues from similar immune components in the gut environment. Both cell subsets can receive polarizing cues (e.g. IL-6 and IL-21) predisposing the CD4 T cells in question to adopt a Th17 phenotype, which is central in both gut immunity and inflammatory bowel diseases.

This is important because distinct subsets of ILCs can be inhibited or activated by bacterial products or via bacterial signaling on the gut epithelium. Detailed descriptions of each ILC subset and their predominant functions, as they relate specifically to the microbiome, have been reviewed elsewhere.⁵²

Apart from innate cells modulated by non-specific cues, there are cellular components of the adaptive immune system also residing in the gut. For example, CD103⁺ DCs and CX₃CR1⁺ phagocytes are APCs with a balancing role in the activation and tolerance of Ag-specific T-cell immunity in the enteric lymph.⁵³ CD103⁺ DCs and CX₃CR1⁺ phagocytes both sample luminal Ag independently using dendritic processes, and migrate to mesenteric lymph nodes (MLNs) to present Ag to B and T cells.⁵⁴ DCs are major modulators of T-cell responses, because they can serve as potent APCs and at the same time contribute to the local cytokine environment through detection of microbial substances by their PRRs. LP DCs may mediate T-cell induction by commensals in several ways. They may sample commensal-derived Ag, either by directly contacting the bacteria through extension of dendrites into the gut lumen or detecting bacterial products, such as serum-amyloid-A, that

gain access to the LP. They may then present these Ag to intestinal T cells to induce commensal-specific T cells. Alternatively, LP DCs may be conditioned by commensals indirectly, e.g. by cytokines produced by other cells in response to the bacteria. Figure 2 depicts a hypothetical setting, where segmented filamentous bacteria (SFB) attachment to the intestinal epithelium induces cytokine production by $\gamma\delta$ IECs, which go on to modulate DC function. T-cells activated in these tissues then circulate via the thoracic duct lymph flow and into the bloodstream, but remain gut-tropic in nature and thereafter return to the intestinal lamina propria.⁵⁵ This return to the gut, in turn, has been hypothesized to limit systemic inflammation.⁵⁶ Recent work by Geem et al.,⁵⁷ however, has shown that in lymphotoxin-deficient mice, in which secondary lymphoid organs are underdeveloped, populations of Ag-specific T cells responding to a commensal bacteria (SFB) are still present, but not in MHC II (CIITA) knock-out mice. Therefore, it is likely that the key place of interaction between the microbiome and gut-tropic CD4 T cells is the lamina propria and not the MLNs as previously thought.⁵⁸ In addition, CD103⁺ DCs seem to be integral in presenting Ag to CD4 T cells under this context, although CX₃CR1⁺ phagocytes have

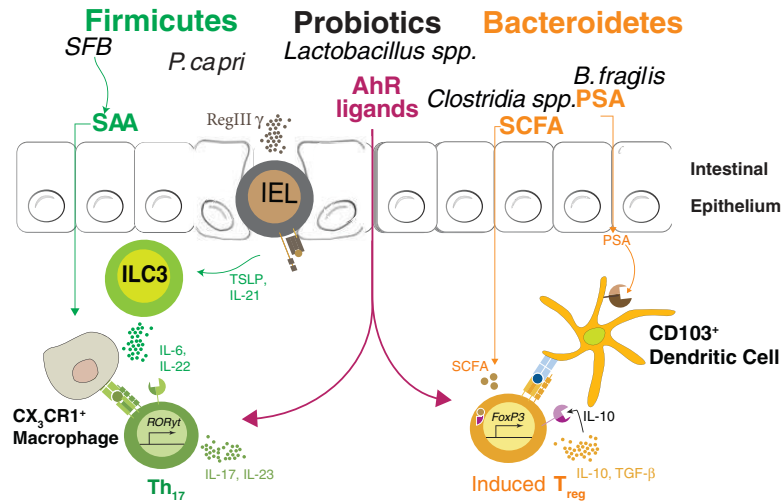


Figure 2 Modulation of commensal-specific CD4 T cells by bacterial metabolites and carefully balanced microbial gut membership. SFB attachment to epithelial surface induces RegIII γ production by either $\gamma\delta$ intraepithelial lymphocytes or enteric epithelial cells, ultimately influencing the cytokine secretion of type-3 innate lymphoid cells (ILC3s). SFB also promotes Th17 polarization in the intestinal lamina propria via host production of serum amyloid A (SAA) and Ag presentation (currently believed to be through CX₃CR1⁺ phagocytes). In contrast, *B. fragilis* PSA interacts with TLR2 on DCs to induce colonic T_{reg}. In addition, *Clostridia* sp., as well as a group of Bacteroidetes en masse—including *B. caccae*, *B. massiliensis*, *B. thetaiotaomicron*, *B. vulgatus*, and *P. distasonis*—induce colonic T_{reg}, presumably via bacterial production of SCFAs. Indole metabolism by certain bacterial species (Lactobacilli, in particular) can create Aryl hydrocarbon receptor ligands, thus possibly contributing to both Th17 polarization and enteric T_{reg} induction. SFB: segmented filamentous bacteria; Th17: T-helper 17; SCFA: short-chain fatty acids; SAA: serum amyloid A.

been involved in pathogenic interactions between commensal Ag and Ag-specific CD4 T cells.⁵⁹ Lastly, B-cell activation in the PPs and MLNs may help the host control the microbiota.⁶⁰ Activated gut B cells will differentiate and produce IgA in a T cell-independent manner, which helps in the control of the bacterial burden by neutralizing bacterial adhesion to luminal epithelium.⁶¹ The advantage of IgA produced in the PPs and MLNs is to act locally and limit inflammation systemically.⁶² A more thorough description of B cell roles in enteric immunity is available elsewhere.⁶³

Although the mechanisms governing host-commensal interactions are still enigmatic, bacterial immunomodulation is an indisputable phenomenon. Mice born in the absence of any microbes (germ-free (GF)) have been essential to demonstrate a clear function for commensalism in the development of the gut immune system. GF mice have smaller PPs, fewer IgA-producing B cells, as well as skewed numbers of innate lymphocyte subsets in the gut.⁵² Most of these immunologic changes are rapidly reversible by the introduction of normal microbiota, whereas others (particularly changes in specific subsets of ILCs) are reversible only during early infancy. For example, adult restoration of the gut flora in GF mice corrects most immunological defects observed, but the number of iNKT cells in the lamina propria is significantly higher than in specific pathogen-free (SPF) mice. When gut flora is restored in GF mice during the first 24 h of life, iNKT cell numbers are comparable to those of SPF mice.⁶² The same findings can be seen in mice carrying a microbiome with less *Sphingomonas* sp. (a genera that produces glycolipids recognized by CD1d in iNKT cells)⁶³ compared with mice carrying a higher burden of *Sphingomonas*.⁶² Along the same

lines, the number of lymphoid tissue inducer (LTi) cells are lower in GF mice than in wild type mice, and these differences cannot be abrogated in adult GF mice given a normalized microbiota.⁶⁴ These results suggest there is a teleological imperative for how the residence of innate immune cells, particularly ILCs, are curated—almost as if these cells are instructed by the microbial residents in early life, but are not subject to the plasticity of the microbiota.⁶⁵ Regardless of their ability to be modulated as adults, those early changes to the composition of ILCs can potentially set the stage for adaptive immune responses with a propensity for inflammation, and the subsequent development of autoimmunity and allergy.

The microbiome and T-cell immunity

CD4 T cells are among the most important peripheral lymphocyte subsets when it comes to the orchestration of successful immune responses. A particularly striking feature of CD4 T cells is their plasticity of function, which is unique for cells with such exquisite Ag specificity. Functional plasticity is key, because it makes each CD4 T cell both an effector of tolerance and an orchestrator of inflammation. This ambivalent change in function, or “polarization”, is reversible, and directed in large part by the local environment in which the CD4 T-cell encounters Ag presented in MHC II molecules. The environmental “set-up” in question includes specific cytokines, small molecular targets of steroid receptors (retinoic acid (RA) derivatives and aryl hydrocarbons, in particular), and yet-to-be-discovered or fully elucidated modulatory pathways.

Recent studies suggest commensal bacteria are actively involved in the development of adaptive immune responses by the programming of CD4 T-cell differentiation

above and beyond just the cognate recognition of Ag and regulation of bacterial communities.⁶⁶ The effect of gut microbiota on innate immunity to systemic infection with viruses and bacteria has been well documented,^{67–69} but it has been difficult to understand how the microbiota affects non-mucosal T-cell responses without cognate interaction between peripheral T cells and commensal Ag.⁷⁰ Since more than 80% of the body's lymphocytes reside in the gut,⁷¹ it is not far-fetched to posit that the microbiome indirectly controls the diversity and responsiveness of the peripheral T-cell repertoire. These factors may, in turn, be heavily controlled by bacterial membership in the gut. This is supported by the fact that in GF mice, T-cell responses are aberrant, and their total numbers are systemically lower than in SPF mice.

Several groups have attempted to isolate the effect of a single commensal microbial species on CD4 T cells, reporting interesting data that posit the balance between *Firmicutes* and *Bacteroidetes* as an important part of adept immunity in the gut. This is reminiscent of how differences in dietary intake skew the microbiome towards either of these two phyla, and there are epidemiological correlations between these changes and the incidence of autoimmunity and allergy. One leading theory among mucosal immunologists is that certain bacterial species (perhaps from certain phyla) induce pro-inflammatory (ROR γ t-expressing "Th17" CD4 T cells) or regulatory populations of T cells (FoxP3-expressing CD4 regulatory T cells). These changes are Ag-specific (e.g. Ag from the microbe is specifically recognized by a subset of T cells), but modulated by bacterial metabolites and the cytokine environment in which T cells are first presented the Ag. The first example of this phenomenon came from a specific genus of *Firmicutes* collectively termed SFB. SFB induce proinflammatory states in the gut by direct priming of CD4 T cells, with a resultant group of SFB-specific T cells polarized toward a Th17 phenotype leading to a greater propensity for the development of autoimmunity in mouse models.^{72,73} Research into enterocyte function shows that SFB attach to the luminal side of enterocytes, causing a shift in the cytokines produced by the epithelial or M cells present.³⁵ While it is not exactly clear how or what these changes are, the polarization of T cells by SFB is dependent on bacterial secretion of serum amyloid A (SAA) and the delivery of this protein to T cells via enterocyte transcytosis or APC uptake. In addition, another member of the *Firmicutes* family, *Prevotella copri*, has also been implicated in autoimmune arthritic responses.^{74,75}

In the other group of the dominant gut microbes (*Bacteroidetes*), a well-known member promotes immunological tolerance. Mazmanian et al.⁷⁶ demonstrated *Bacteroides fragilis* induces tolerance in T-cell subsets.⁷⁶ Further studies have demonstrated *B. fragilis*-produced polysaccharide A (PSA), like SFB-produced SAA, is key for this induction of tolerance. PSA directs DCs to prime tolerogenic T-cell responses via TLR2 signaling, with specific effects being attributed to innate and adaptive responses generated by plasmacytoid DCs.^{77,78} However, tolerance and inflammation do not neatly split depending on the phyla, and it is more likely that only particular

strains of certain species are regulators of immunity. In support of this idea, *Clostridia* sp. (which are *Firmicutes*) induce tolerance in mouse models of colitis.^{79–81} Likewise, the human pathogen enterotoxigenic *B. fragilis* (ETBF) will promote inflammatory diarrhea and the creation of pathogenic Th17 responses.^{82–84}

Commensal organism byproducts also have potent roles in the polarization of CD4 T-cell responses, adding further credence to the idea that the contextual "study" of intestinal ecology by naïve T cells is very important for the correct polarization of immunocompetent responses. For example, CD4 T cells have aryl hydrocarbon receptors (AhRs) that modulate gene expression, but respond to different ligands with different effects, such as tryptophan metabolites. Commensal lactobacilli with tryptophanase activity are a source of indoles that function as AhR ligands and promote regulatory T-cell development.⁸⁵ Similarly, RA has been implicated as an important balancing metabolite of regulatory T cell and Th17 polarization, and changes in RA and RA-like metabolites produced by commensals likely influence the environmental cues T cells receive while Ag is being presented.^{86–88}

A discussion of changes induced by bacterial metabolites in T cells would not be complete without mentioning short-chain fatty acids (SCFAs). SCFAs are 1–6 carbons in length produced by bacterial fermentation in the colon. Most abundant types of SCFAs are butyrate, propionate, and acetate.⁸⁹ Apart from being a substantial source of energy for colonic epithelial cells,⁹⁰ SCFAs have divergent functions in the regulation of the immune system, including recruitment of neutrophils via activation of GPR43,⁹¹ inhibition of histone deacetylases,⁹² and the resultant downregulation of T-cell proliferation, DC Ag-presentation, or secretion of pro-inflammatory cytokines. This is an exciting area of ongoing research with many unanswered questions remaining, but it is clear that changes in microbial composition will change the SCFAs produced in the gut, as bacterial species differ greatly in their ability to produce these fatty acids.

The technological advancements, and new insights into mucosal immunity, hint at a beneficial co-evolution of the immune system and the resident bacterial organisms in our body.²³ Although plenty of "unknown unknowns" limit our understanding of enteric immunity, it is unquestionable that the microbiota plays an important role in inducing systemic tolerance in the immune system of the host. Moreover, successful enteric immunity is dependent not just on the bacterial tenants, but on the physical barriers compartmentalizing host and microbe, as well as the residing immune cells along the said barriers. The maintenance of homeostasis with commensal residents, in turn, improves host protection against invading pathogens.³⁵ In addition, the cytokine milieu created by the direct and indirect interactions between the microbes and the enterocyte barrier seems to play a big role in the polarization of T cells, and whether T cell-mediated immune responses to intestinal pathogens veer towards a tolerogenic or an inflammatory Ag-specific response. Indeed, the explosion of microbiome studies within the last decade has cemented the idea that a trifecta of epithelial barrier integrity,

microbial flora, and adequate innate immune responses is uniquely important to the development of healthy effector T-cell responses.⁵²

The microbiome and sepsis

Advances in our understanding of gut-initiated or gut-propagated critical illness have led to novel investigations into how microbial membership and gut function may be targeted for therapeutic gain in the ICU.⁹³ This is highly significant, given sepsis (currently defined as a “systemic inflammatory response in the presence of a disseminated infection”)¹⁴ is the cause of ~300,000 deaths every year in the United States, and its treatment (which is essentially supportive) constitutes about 40% of all total hospital costs.^{94,95} Several changes occur to gut physiology in septic patients, either due to extrinsic factors (antibiotics and parenteral nutrition) or intrinsic factors (systemic inflammation and gut leakage). These changes, in turn, influence the composition of the enteric flora.⁹⁶ For example, there is evidence of rapid alterations in microbial membership composition during the acute phase of critical illness. When stools of patients with severe sepsis are examined, there is a massive loss of microbe diversity, particularly anaerobic diversity.³² Likewise, parenteral nutrition, which is common in critically ill septic patients, causes thinning of the protective mucus layer and decreasing barrier integrity and the availability of SCFAs in the gut.^{97,98} Most of these patients are also given opioids, which reduce gut motility and (as a result) promote bacterial overgrowth. Another key metabolite, phosphate (Pi), is crucial for bacterial quorum sensing, and Pi availability to the commensals is abrogated during critical illness.³² Reduction in Pi within the gut microenvironment can change the phenotype and virulence of microbes residing in the gut. For example, the PA-I lectin/adhesin, a potent intestinal epithelial barrier disrupting protein, is expressed by *Pseudomonas aeruginosa* when Pi is limiting.⁹⁹ *P. aeruginosa* is then better able to bind to and breach the intestinal epithelium. Reduced amounts of Pi can shift the phenotype of intestinal *Candida albicans* to a lethal one by inducing hyphae formation.^{100,101} Moreover, opioid responsiveness by bacteria (and accordingly, virulence) is enhanced in situations of low Pi.^{102,103} When Pi is abundant, conversely, incoming cues (such as those from opioids) do not transduce virulence.

One of the newest (and now widely accepted) theories in sepsis is that T-cell responses are suppressed, or paralyzed, in sepsis survivors. One of the more obvious clues as to why T-cell immunity is particularly impacted during sepsis lies in the organ damage that occurs. The effect of sepsis on T-cell immunity is particularly heinous, and several documented sequelae of sepsis have led us to investigate T-cell function in sepsis-induced immunosuppression. Though simplistic, one can think of sepsis immunosuppression of T cells in terms of a dysregulation in Ag recognition (and successful immunological memory) because of the *context*, as well as *environment* in which Ag is encountered.

Context

The gut and spleen are the only solid organs where apoptosis is reliably found during sepsis, and epithelial cells are the only type of cell consistently found to undergo apoptosis in critically ill patients.¹⁰⁴ Human autopsy studies and mouse models of sepsis have demonstrated the phenomenon of lymphocyte apoptosis^{15,16,104–110} is only rivaled by epithelial cell death in the gut lumen of septic hosts.^{111–115} An even more surprising connection is the notion that CD4 T cells might be directly affecting the fate of epithelial integrity in sepsis.

Environment

Dysbiosis, mucosal damage, and overgrowth of pathogenic microbes in the host may overwhelm or confuse the immune system (especially when occurring simultaneously), creating inadequate cytokine environments leading to exacerbated immune responses, like those seen in autoimmunity. It may also create mixed environments; aberrant responses with proliferative and suppressive cues that ultimately cause apoptotic death of T cells.¹¹⁶ Similarly, loss of epithelial barrier integrity in sepsis might lead to mixed cytokine environments that overwhelm correct T-cell function and promote apoptosis aided by other pro-apoptotic factors, including extracellular histone release and oxidative stress.^{117,118} Supportive of this theory is the fact that a mixture of regulatory and inflammatory T cells are consistently found systemically in septic patients, which might also be the reason why lung injury is common in septic hosts without initial pulmonary septic foci driving the inflammatory response.^{73,119–122} Finally, studies evaluating the impact of sepsis on DCs have noted the numerical reduction of these cells in both clinical and experimental settings.^{123–126} There is also less IL-12 and more IL-10 produced by post-septic DCs after stimulation with TLR agonists compared with DCs from sham-treated mice.^{125–127} The reported deficiencies in DC number and function add an additional layer contributing to a T cell-extrinsic defect, but additional investigation probing the effect of sepsis on DCs is necessary.

Microbiome reactivity and T-cell survival after sepsis

The polymicrobial-driven peritonitis that occurs in the cecal ligation and puncture (CLP) model lends itself well to investigate the direct effect of the gut microbiome on the T-cell response during a septic event. Surprisingly, there has been a paucity of data published with regard to this aspect of sepsis research. Insight into the relationship between the immune system and the microbiome as explored in recent years, aided by improved methods of defining and manipulating the constituents of the gut microbiome, the increased use of GF mice and the identification of endogenous Ag-specific T cells reactive to Ag expressed by gut microbes. As part of the body of literature describing the effect of the gut microbiome on the function of the immune system, recent work from our laboratory examined the number and function of endogenous CD4 T cells specific

for an immunodominant epitope within SFB naturally found in commercially available mice¹²⁸ after CLP-induced sepsis using mice that either contained or lacked SFB.¹²⁹ Evaluation of the total CD4 T-cell compartment in CLP-treated SFB+ and SFB- showed the typical acute reduction in CD4 T-cell numbers followed by a gradual recovery; however, evaluation of endogenous SFB-specific CD4 T cells in these same mice revealed strikingly different outcomes. The number of SFB-specific CD4 T cells decreased in SFB- mice two days post-CLP, and recovered by day 30, similar to the pattern for total CD4 T cells. In contrast, there was a significant increase in number of SFB-specific CD4 T cells in SFB+ mice two days after CLP that remained elevated on day 30. The SFB released from the gut after CLP primed an Ag-specific CD4 T-cell response, which in a weird twist of fortune, actually helped the host survive a lethal secondary infection with an experimental pathogen engineered to express a common SFB Ag, whereas secondary lethal infection with the same pathogen lacking the expression of the SFB Ag lead to host death. Thus, the availability of mice containing a specific gut-resident microbe, along with a defined CD4 T-cell population with T-cell receptor (TCR) specificity to Ag expressed by that gut-resident microbe permitted a first investigation into the direct effect of gut microbiome leakage during sepsis on CD4 T cells.

The data showing the influence of a gut-resident microbe on the quantity and function capacity of commensal bacterial Ag-specific CD4 T-cell population introduced the concept that not all T-cell responses are suppressed in sepsis survivors. A key component to this revelation was the interrogation of an endogenous Ag-specific CD4 T-cell population, instead of examining the sepsis-induced effects on T cells at the “total” polyclonal population level. It is likely, however, that other mechanisms can drive CD4 T-cell responses to gut microbiota released during sepsis. The TCR repertoire expressed by the entire T-cell compartment is considered to be of sufficient diversity to protect the host from virtually all foreign pathogens. Recent studies have estimated the theoretical diversity of the T-cell repertoire to consist of 10^{15} – 10^{20} unique $\alpha\beta$ TCR,^{130–132} but the actual number of mature T cells that have successfully navigated the thymic selection process and are in the periphery is considerably less.^{133–135} Consequently, the peripheral TCR repertoire is significantly less diverse (by an order of several magnitudes) than the number of MHC-expressed peptides.¹³⁶ To compensate for these numerical differences, TCR cross-reactivity (i.e. recognition of distinct peptide sequences from a variety of proteins by a single TCR) has been considered to be an essential feature of the mature T-cell repertoire.¹³⁷ Formal proof for T-cell cross-reactivity

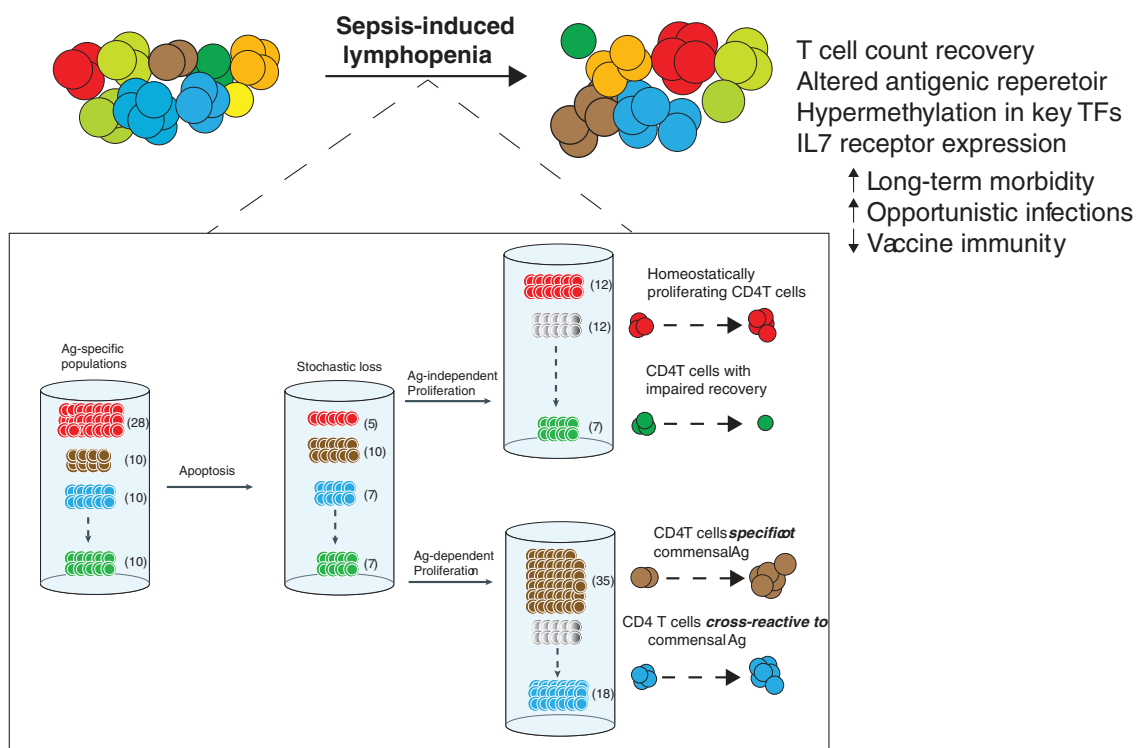


Figure 3 The recovery of CD4 T cells after sepsis involves antigen-dependent and independent pathways. The “coloring” of T cells depicted in the top of the illustration represents different antigen-specific populations, amongst a representation of the peripheral CD4 T-cell mass. Sepsis causes a stochastic loss of CD4 T cells by apoptosis, and the end result for a significant group of patients is a state of lymphopenia that is most pronounced for certain cell populations (one such lymphocyte being CD4 T cells). After sepsis, however, a bulk number of peripheral CD4 T cells returns to baseline. Despite the numerical recovery of peripheral CD4 T cells, the diversity and size of Ag-specific CD4 T cell populations change after sepsis. The bottom square “zooms in” in some of the changes occurring to specific CD4 T-cell populations during sepsis that make it possible for antigenic diversity to change. These include both Ag-dependent and independent pathways of recovery. Ag-independent changes in T-cell recovery is mediated mostly by homeostatic proliferation, whereas Ag-dependent changes in T-cell repertoire distribution are influenced by (mostly) cross-reactivity to Ag sampled from the host microbiome.

has come in recent years, coinciding with advancements in the ability to identify small numbers of endogenous Ag-specific T cells in humans and mice. Using peptide:MHC tetramers, there is now convincing data showing that influenza virus-specific and HIV-specific memory CD4 T cells recognize epitopes from unrelated microbes present in the gut (among other locations), and H5N1-specific memory CD4 T cells have been detected in healthy individuals not exposed to H5N1 infection.^{138–141} These data suggest there is a significant frequency of memory CD4 T cells in the periphery generated as a result of TCR cross-reactivity to commensal Ag and not because of specificity for a commensal pathogen.¹⁴² Moreover, certain responses to viral infection change by the presence of specific commensal microbes, and antibiotic-treated mice (where the microbiome community is disrupted) have significantly fewer virus-specific effector CD4 and CD8 T cells when compared with untreated controls during influenza infection.^{67,70}

Our analysis of different endogenous Ag-specific CD4 T-cell populations in CLP-treated mice showed the incomplete numerical recovery of some, but not all, of the populations.¹⁴³ Among the Ag-specific CD4 T cells examined previously, we were intrigued to see that the influenza A virus (IAV) NP₃₁₁-specific CD4 T-cell population was the only one (of those we examined) to significantly increase in number in CLP- versus sham-treated mice.¹⁴³ In addition, these NP₃₁₁-specific CD4 T cells demonstrated increased frequency of CD44^{hi} cells in CLP-treated mice compared with sham-treated mice—something that was not observed for other pathogen-specific CD4 T-cell populations. Upon subsequent investigation, our unpublished data suggest that the NP₃₁₁-specific CD4 T cells are indeed responding to some gut-resident microbe released during CLP-induced sepsis. Collectively, these data suggest CD4 T cells are indeed reacting to some commensal bacteria Ag released into the peritoneum during CLP-induced polymicrobial sepsis. Therefore, it is possible that the recovery of some Ag-specific T-cell populations with diminished repertoire diversity is modulated by cross-reactivity with or direct recognition of microbial Ag found in the gut (Figure 3). This is in contrast to the Ag-independent homeostatic proliferation that can occur for other pathogen-specific T-cell populations. The abnormal recovery of TCR repertoire diversity within Ag-specific populations could potentially lead to aberrant responses such as decreased affinity for immune-dominant antigen peptides. This effect of microbes in the recovery of CD4 T cells after sepsis might account for the susceptibility to opportunistic infections, and diminished lymphocyte function seen in sepsis.

Concluding remarks

References to the gastrointestinal tract as the “undrained abscess” of multiple organ failure have been predominant in the sepsis literature for decades,¹⁴⁴ but our understanding of sepsis has since evolved considerably.¹⁴⁵ However, many aspects of sepsis remain undefined, and the interplay between microbiome composition and the immune system during sepsis remains relatively unexplored. The role of T cells in sepsis, particularly, is much more complex and

multifactorial in nature than we previously thought. Critical illness and the side-effects stemming from the treatments currently comprising the standard of care may in fact contribute to poor immunological recovery following sepsis. An even more surprising connection is the notion that CD4 T cells might be directly affecting the fate of epithelial integrity in sepsis. Rolf Zinkernagel¹⁴⁶ wrote a review targeted to a generation of immunologists who had focused their efforts on dissecting immune responses to haptens and model antigens. In this thought-provoking review, Zinkernagel¹⁴⁶ argued that deeper immunological insight could be gained from the vantage point of viruses, echoing Janeway’s¹⁴⁷ prior assertion that advancements in the field would necessitate “the rediscovery of microbiology by immunologists.” Insights into the interaction between microbiome and mucosal immunity will lead to advances in poorly understood syndromes, particularly those with compromised T-cell function. This represents a hopeful outlook for advances in the treatment of sepsis, a deadly condition that has been called “the graveyard of pharmaceuticals.”¹⁴⁸ If understanding pathogenic microbes was the *leitmotif* of 20th-century medicine, enteric immunity appears poised to take on that role in the 21st century.

Authors’ contributions: J.C.-P. and T.S.G. wrote the manuscript and created figures. T.S.G. and V.P.B. edited the manuscript for structure, scope and content.

ACKNOWLEDGEMENTS

This work was supported by a U.S. Department of Veterans Affairs Merit Review Award (T.S.G.) and National Institutes of Health Grants T32AI007313 (J.C.-P.), AI119160, AI114543, and GM113961 (V.P.B.), and GM115462 (T.S.G.).

DECLARATION OF CONFLICTING INTERESTS

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

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