

**ENVIRONMENTAL BENEFITS OF IMPROVED WATER AND NITROGEN  
MANAGEMENT IN IRRIGATED SUGARCANE: A COMBINED CROP  
MODELLING AND LIFE CYCLE ASSESSMENT APPROACH<sup>†</sup>**

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**ABSTRACT**

The application of irrigation water and nitrogen (N) fertilizer in excess of crop demand reduces profitability and has multiple detrimental impacts on the environment. N dynamics in agroecosystems are extremely complex, and mechanistic crop models are most often required to quantify the impact of improved management practices on reducing fertilizer N losses. In this study, Life Cycle Assessment (LCA) methodology and mechanistic modelling was used to quantify the environmental benefits of improved management of water and fertilizer N by sugarcane farmers in a case study in Pongola, South Africa. A baseline scenario, representing farmer intuition-based irrigation scheduling management, and two additional scenarios in which water, and water and N were more rationally managed, were compared. Results show that improved water and N management can lead to a 20% reduction in non-renewable energy consumption per functional unit (FU), with sustained or even increased yields. Total GHG emissions can potentially be reduced by 25% through more efficient water and N management. Limiting the rates of fertilizer N applied, made possible by decreasing N leaching through improved irrigation scheduling, resulted in the highest reductions for both impact categories. While total water consumption was very similar between the scenarios, more efficient use of

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<sup>†</sup> Bénéfices environnementaux de la gestion raisonnée de l'eau et de l'azote en canne à sucre irriguée : Approche combinée de modélisation de la culture et d'analyse du cycle de vie à Pongola, Afrique du Sud

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rainfall was achieved through accurate scheduling, reducing blue water requirements. Through the simultaneous consideration of multiple environmental impacts, combining mechanistic crop modelling and LCA shows potential to identify improved management practices as well as to establish environmental stewardship incentives.

**KEY WORDS:** acidification, DSSAT-Canegro, eutrophication, global warming potential, sucrose, water consumption

## RESUME

L'application d'eau d'irrigation et d'engrais azotés (N) en excès par rapport à la demande des cultures réduit la profitabilité et a de multiples impacts négatifs sur l'environnement. La dynamique de l'azote dans les agrosystèmes est extrêmement complexe, et des modèles de culture mécanistes sont souvent nécessaires pour quantifier l'impact de pratiques de gestion améliorées sur la réduction des pertes en azote. Cette étude utilise la méthodologie de l'Analyse du Cycle de Vie (ACV) combinée à la modélisation mécaniste pour quantifier les bénéfices environnementaux d'une gestion améliorée de l'eau et des fertilisants azotés par des producteurs de canne à sucre, dans une étude de cas à Pongola, Afrique du Sud. Un scénario de base représente les pratiques courantes et intuitives des producteurs en termes d'irrigation, et deux scénarios supplémentaires représentent des pratiques de gestion plus rationnelles de l'eau, et de l'eau et des engrais, respectivement. Les résultats montrent qu'une meilleure gestion de l'eau et de l'azote peut générer une réduction de 20% de la consommation en énergie non-renouvelable, avec des rendements maintenus voire améliorés. Les émissions totales de GES peuvent potentiellement être réduites de 25%. La réduction des applications d'engrais, rendue possible par le moindre lessivage de l'azote sous irrigation raisonnée, résulte en de fortes réductions de ces deux catégories d'impacts. La consommation totale en eau est similaire entre scénario de base et scénarios de meilleure gestion de l'eau; cependant l'utilisation de l'eau de pluie est plus efficace avec les irrigations raisonnées, réduisant ainsi les besoins d'extraction de la ressource. Par la prise en compte simultanée d'impacts environnementaux multiples, la combinaison de l'ACV et de la modélisation mécaniste de culture montre un potentiel pour identifier les pratiques améliorées et pour développer un accompagnement en éco-conception de systèmes.

**MOTS CLÉS:** acidification; DSSAT-Canegro; l'eutrophisation; le potentiel de réchauffement planétaire; le saccharose; la consommation d'eau

## INTRODUCTION

Intensive crop production can result in a range of negative environmental impacts, including climate change as a result of greenhouse gas (GHG) emissions, water and air pollution, and consumption of non-renewable resources. There is a growing trend in the use of Life Cycle Assessment (LCA) to quantify the full range of potential environmental impacts of agricultural products. LCAs have also been effectively applied to compare the environmental impacts of alternate on-farm management practices (Brentrup *et al.*, 2001; Brentrup *et al.*, 2004b; Mouron *et al.*, 2006; Blengini and Busto, 2009), and are an effective instrument for monitoring for any 'pollution swapping' (Thorburn and Wilkinson, 2012) or 'problem shifting' (Finnveden *et al.*, 2009).

High growth rates and dry matter production of sugarcane warrants the application of relatively large quantities of irrigation water and nitrogen (N) fertilizer. Nonetheless, low water and N use efficiencies are often reported for this crop, and this can be attributed to poor farmer management practices in many cases. Water use efficiencies ranging widely from 7.4 to 16.9 tons cane per 100 mm of evapotranspiration (ET) and 0.5 to 1.9 tons sucrose per 100 mm of ET have been reported in the literature (Kingston, 1994; Inman-Bamber *et al.*, 2000). Nitrogen use efficiencies around 50% are commonly observed worldwide (Meyer and Wood, 1994; Wood *et al.*, 2010). Nitrogen fertilizer recommendations are usually not site-specific and crop uptake is affected by factors such as soil characteristics, cultural practices and the N fertilisers timing and application method. In Australia, Thorburn *et al.* (2011) observed that using the 'N replacement system', which aligns N applications with actual crop production, meant that average fertiliser applications can be reduced by 35% while still obtaining yields similar to those achieved with conventional fertilizer N management. N lost to the environment was estimated to be approximately 50% lower using the replacement system. Generally, pressure to reduce water consumption and environmental pollution is increasing in most sugarcane regions (Inman-Bamber *et al.*, 2000; Hurst *et al.*, 2004; Thorburn *et al.*, 2011).

In cropping systems there is often a very strong and complex interaction between water and N (Parashar *et al.*, 1978; Ingram and Hilton, 1986; Widenfield, 1995). For example, reduced transpiration as a result of water stress has been observed to decrease N uptake. The high solubility of  $\text{NO}_3^-$  in most soils makes it susceptible to leaching; significant quantities of N can therefore be lost during periods of deep drainage potentially leading to N-limited crop growth later in the season. Anaerobic soil conditions associated with saturated soils arising from poor irrigation water management, poor drainage, or a combination of these can lead to increased denitrification gaseous N losses. The application of irrigation water and fertilizer N,

especially in excess of crop demand, has an array of environmental impacts, including non-renewable energy consumption, greenhouse gas emissions and air and water pollution. The adoption of objective irrigation scheduling remains low in South Africa and internationally (Cluverwell *et al.*, 1999; Stevens *et al.*, 2005; Annandale *et al.*, 2011), and N fertilizer is often applied in excess of crop demand to maximise crop yields based on farmer perception of target yields which are often over-estimated (Thorburn *et al.*, 2011).

South Africa is a water scarce country with irrigation using around 60% of total runoff water or just under 40% of exploitable runoff (Department of Water Affairs and Forestry (DWAF), 2004). This large proportional use of blue water has resulted in increasing pressure to transfer water to other sectors (Annandale *et al.*, 2011). Sugarcane production occurs exclusively in the eastern parts of the country because of favourable soils and climate. Of the total area under cultivation, 25% is irrigated and predominantly located in the Crocodile River, Komati-Lomati River and Pongola River catchments. Emerging water quality problems have been observed for the rivers in these catchments (Van der Laan *et al.*, 2012), and rising competition for water from domestic and industrial sectors, and pressure to increase international and environmental flow obligations means that irrigation has a key role to play in the sustainable use of water resources in these catchments.

LCA studies have been completed for sugarcane products in Australia (Reouf and Wegener, 2007), Mauritius (Ramjeawon, 2008), Cuba (Contreras *et al.*, 2009), South Africa (Mashoko *et al.*, 2010), and Brazil (Seabra *et al.*, 2011). Seabra *et al.* (2000), Ramjeawon (2008) and Contreras *et al.* (2009) considered the environmental benefits of cane-derived products. Renouf *et al.* (2008) compared Australian sugarcane with United States corn and United Kingdom sugar beet as sources of bio-products, and Mashoko *et al.* (2010) conducted an LCA for the entire South African sugar industry (rainfed plus irrigated regions). Obligations to report the environmental burden of sugar production and potential environmental benefits of sugarcane by-products can be informed using LCAs. LCAs can also be used to provide information to farmers about the causes of environmental impacts and investigate the management influence on environmental impacts, allowing farmers to focus on particularly relevant impacts (Mouron *et al.*, 2006). However, as LCA studies are often reliant on information from databases or published studies, comparing the influence of region-specific improved management practices is hampered.

Presented here are results from an LCA-mechanistic crop modelling framework to study and quantify the environmental benefits of improved irrigation and fertilizer N management in sugarcane produced under irrigation in Pongola, South Africa. Impact categories and classification/characterisation methodologies have been selected according to suitability for

sugarcane production systems, and shortcomings and potential improvement to the framework are discussed.

## MATERIALS AND METHODS

### *Goal and scope definition*

The goal of this assessment is to develop a suitable LCA framework for sugarcane production and to apply it to quantify the environmental benefits of improved irrigation water and N fertilizer management. The analysis is based on data acquired during a combined lysimeter and field trial conducted in Pongola, South Africa (27°24'S, 31°35'E, 308 masl; mean annual temperature = 21 °C, mean annual rainfall = 690 mm) from 1986-1989 (Thompson, 1991; Van der Laan *et al.*, 2011). The system under investigation (Figure 1) is a virtual farm representing the mainstream features and practices in the Pongola area (farm size, cropping system, equipment and practices). Following calibration and validation, the DSSAT-Canegro model (Jones *et al.*, 2003; Van der Laan *et al.*, 2011) was used to simulate water use, crop yield and extractable sucrose content, and soil carbon and N dynamics over 16 seasons between 1986 and 2001 (two cycles of one plant crop followed by seven ratoon crops). The 1.8 m deep sandy clay soil classified as a high yield potential Hutton soil form (Soil Classification Working Group, 1991) [Ferric Luvisol (FAO)/Alfisol (USDA)] and is representative of around 23% of soils in the irrigated regions of the South African sugar industry (South African Sugar Association Experiment Station (SASEX), 2000). Selected soil properties used for model initialization are presented in Table I. The farm was assumed to have a total area of 200 ha under pivot irrigation, with 90% of the area being harvested annually. Actual weather data over the 16 seasons were mobilized in modelling. The focus of the study is on sugarcane production and the impact of agronomic management practices, so capital goods (farm facilities and infrastructure, irrigation equipment, etc.), personnel, the manufacturing of machinery, transport to the mill and further processing of sugarcane at the mill level are considered beyond the scope of this study. The functional unit (FU) is a metric ton of extractable sucrose produced leaving the farm gate (in the form of fresh, recently burnt, sugarcane stems). Normalisation and weighting does not form part of this analysis, which provides only mid-point environmental impact indicators.

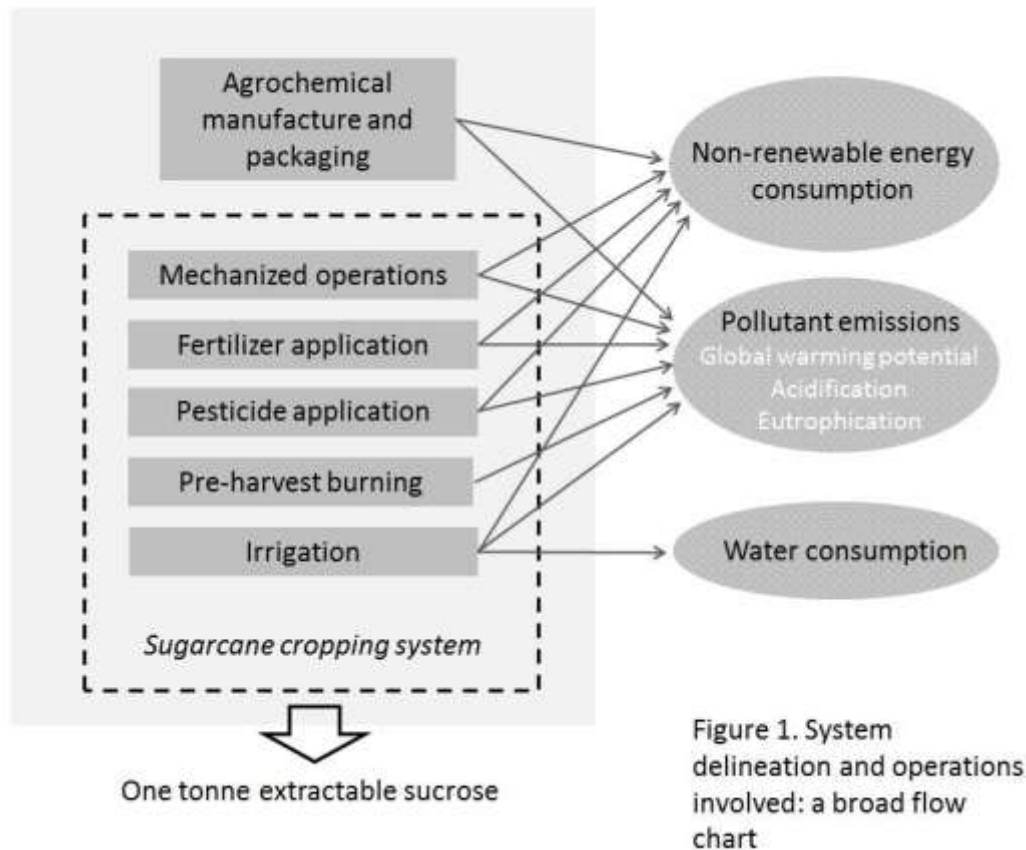


Table I. Selected soil properties for the simulated Hutton soil

Depth (cm)	pH (H <sub>2</sub> O)	Organic C (%)	Total N (%) <sup>a</sup>	Clay (%)	Silt (%)	Sand (%)	BD (kg m <sup>-3</sup> ) <sup>b</sup>
0-30	6.1	0.81	0.058	33	9	58	1500
30-60	5.2	0.64	0.045	43	7	50	1550
60-180	6.1	0.52	0.037	46	9	45	1500

<sup>a</sup> Estimated using organic C % measurement and a C:N ratio of 14:1

<sup>b</sup> BD = Bulk density

#### *Management scenarios assessed*

A baseline scenario was set up to represent management practices common for the region, where irrigation scheduling is often based on farmer intuition and experience rather than any scientific rationale. Irrigation applications of 12.5 mm during crop establishment and 25 mm as crop water demand increased were used. A standard scheduling programme, utilising the full application of 1000 mm per season allocated to farmers in the region, was used and no flexibility in response to rainfall events was considered.

For the second scenario (named *management scenario 1*), an objective irrigation scheduling scenario using a soil water depletion threshold was assessed. The management aim

of this scenario was to use less irrigation water than the allocated 1000 mm by scheduling more accurately according to crop demand while maintaining or even increasing current yields. Initially, irrigation applications rates of 12.5 mm were applied during crop establishment, thereafter 25 mm applications were automatically applied when soil water was depleted by 25 mm.

For the third scenario (named *management scenario 2*), irrigation scheduling was determined as for management scenario 1, but seasonal N fertilizer applications were reduced to take advantage of higher soil N levels due to reduced leaching losses (resulting from improved irrigation scheduling) and to make better use of N from newly mineralised organic matter – simulated by the model to be approximately 100 kg N ha<sup>-1</sup> season<sup>-1</sup>. This is in agreement with findings by Meyer *et al.* (1986), who estimated soil N mineralization rates between 79 and 135 kg N ha<sup>-1</sup> from the diagnostic top soil horizon of a Hutton soil form. The optimal fertilization rate of 100 kg urea-N ha<sup>-1</sup> was established by iteratively using the model to identify the rate at which yields are maintained while unwanted N losses to the environment are significantly reduced.

#### *Inventory analysis*

The 200 ha farm was assumed to be irrigated by 4 × 50 ha centre pivots. Each pivot was equipped with its own dedicated pump and motor. An estimated 19.9 kW was required by the pump to meet the flow and pressure needs, and an additional 4 kW to drive the wheels, for each 50 ha centre pivot. The total power requirements for the 200 ha irrigation system was therefore 95.6 kW, or 0.48 kW ha<sup>-1</sup>. Farm mechanisation activities including ploughing, harrowing, ridging, verge control and agrochemical application was done using a 50 kW tractor. A 30-ton infield road haulage rig and mechanical loader was assumed to transport the cane out of the field. Fertilizer and pesticides rates were based on South African Sugarcane Research Institute recommendations for the region as provided to farmers (Table II). Fertilizer was applied in a single application and broadcast shortly after planting or ratooning. Pre-harvest burning was assumed to burn 80% of trash and green leaves, with the non-burnt fraction being returned to the soil.

Table II. Seasonal agrochemical, diesel and electricity inputs for the simulated commercial farm

Inputs	Units	Rate	Comments
Fertilizer			
<i>Nitrogen</i>	kg ha <sup>-1</sup>	150 / 100 <sup>a</sup>	Applied as urea
<i>Phosphorus</i>	kg ha <sup>-1</sup>	20	Applied as super phosphate
<i>Potassium</i>	kg ha <sup>-1</sup>	175	Applied as potassium chloride
Agricultural lime	kg ha <sup>-1</sup>	0	Soil pH did not warrant lime application
Irrigation water	mm	1000/825 <sup>b</sup>	
Pesticides (active ingredient)			
<i>Nematacide (plant)</i>	kg ai <sup>c</sup> ha <sup>-1</sup>	3	Prochloraz
<i>Nematacide (ratoon)</i>	kg ai ha <sup>-1</sup>	7.2	Oxamyl
<i>Herbicide (plant)</i>	kg ai ha <sup>-1</sup>	3	Diuron + Metribuzin
<i>Herbicide (ratoon)</i>	kg ai ha <sup>-1</sup>	2.4	Diuron + Hexazinone
<i>Insecticide</i>	kg ai ha <sup>-1</sup>	0	None used
<i>Fungicide</i>	kg ai ha <sup>-1</sup>	0	None used
Energy/Fuel			
<i>Diesel (machinery)</i>	l ha <sup>-1</sup>	119	Own figures (Based on SASRI, 2011)
<i>Electricity (irrigation)</i>	kWh ha <sup>-1</sup>	2118/1774 <sup>b</sup>	Own figures

<sup>a</sup>100 kg N ha<sup>-1</sup> applied to management scenario 2, <sup>b</sup> value for management scenarios 1 and 2, <sup>c</sup>ai = active ingredient

### Impact assessment

The following environmental impact categories were assessed:

- *non-renewable energy consumption* considered total fossil fuel energy used to produce agrochemicals and to provide on-farm energy (electricity and diesel);
- *global warming potential (GWP)* was calculated according to the Intergovernmental Panel on Climate Change (IPCC) (2006). GWP based on a 100 year time horizon is 310 for N<sub>2</sub>O and 21 for CH<sub>4</sub>. Indirect emissions of N<sub>2</sub>O from atmospheric deposition of N in NO<sub>x</sub> and NH<sub>3</sub>, and N leaching, were also considered according to IPCC (2006);
- *acidification (air) and eutrophication (water) potentials* were calculated according to the Eco-indicator 95 approach (Figure 2) (Goedkoop, 1995);



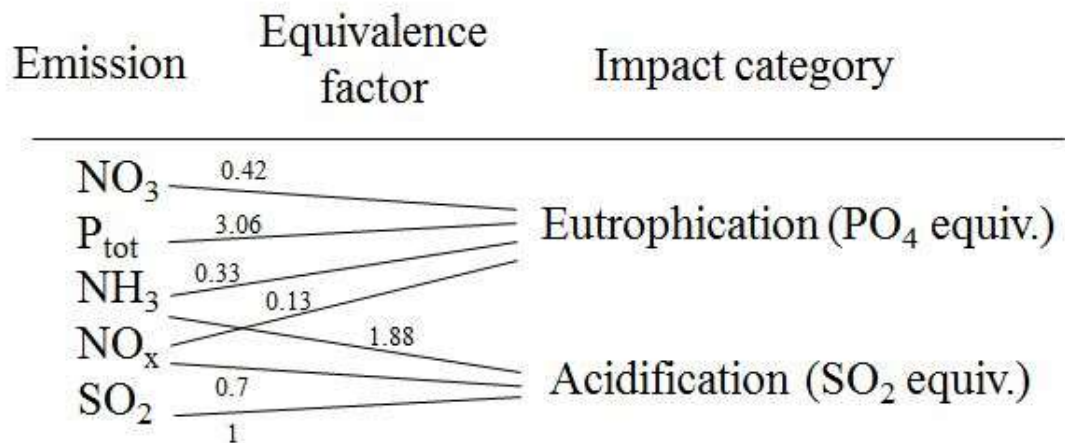


Figure 2. Classification/characterisation of emissions using the Eco-indicator 95 method [adapted from [Brentrup et al. \(2001\)](#)]

- *water consumption* based on Hoekstra *et al.* (2011). Blue water (surface water and groundwater that can be diverted to a range of human activities) and green water (water in the soil originating from rainfall) consumption was considered. Blue water consumption is equal to the amount irrigated (or modelled Irrigation Water Requirements IWR) and green water consumption equals cumulative evapotranspiration minus blue water consumption (or modelled total Crop Water Requirements CWR – IWR).

## RESULTS AND DISCUSSION

### *DSSAT-Canegro simulation results*

Improved irrigation scheduling led to slightly increased yields and an average reduced irrigation requirement of 175 mm ha<sup>-1</sup> per growing season (Table III). Average simulated extractable sucrose mass was 17.8 t ha<sup>-1</sup> for the baseline scenario and 18.5 t ha<sup>-1</sup> for the scenarios in which irrigation and irrigation and N were more carefully managed. These differences were found to be significantly different at the 5% level using a paired t-test. As there was no difference in yield between management scenarios 1 and 2, the estimated increase is attributed to improved irrigation water management.

Table III. DSSAT-Canegro simulation outputs presented as growing season averages (with standard deviations) over the 16 year simulation period

Simulation outputs	Unit	Baseline scenario	Management scenarios 1 & 2*
Green cane mass	t ha <sup>-1</sup>	116.9 ± 5.2	121.8 ± 5.1
Extractable sucrose yield	t ha <sup>-1</sup>	17.8 ± 0.96	18.5 ± 0.95
Trash mass (dry mass)	t ha <sup>-1</sup>	22.1 ± 1.8	23.1 ± 1.0
Evapotranspiration	mm	1173 ± 30	1211 ± 41
Irrigation	mm	1000 ± 0	825 ± 122
Rainfall	mm	758 ± 227	758 ± 227
Deep drainage	mm	432 ± 125	236 ± 94
Runoff	mm	155 ± 98	122 ± 94

\* Simulated crop yield and water balance outputs were the same for management scenarios 1 and 2

Compared to the baseline scenario, improved irrigation scheduling led to a simulated 196 mm (45%) reduction in average seasonal deep drainage and a 33 mm (21%) reduction in runoff. From Figure 3 it can, however, be observed that despite improved irrigation scheduling, high N leaching losses were still observed for management scenario 1 for certain seasons. In the final seasons of the simulation, cumulative N leaching was even higher for management scenario 1 than for the baseline scenario for two of the seasons. This is a result of the 'carry-over' effect, where inorganic N levels build-up in the soil as a result of previous seasons' fertilizer applications in excess of crop demand, eventually leading to relatively high N leaching concentrations and loads. Improved irrigation scheduling as well as reducing N fertilizer application rates to 100 kg ha<sup>-1</sup> led to drastic reductions in N leaching (Table III). The large seasonal variability observed for N leaching highlights the benefit of a mechanistic model to improve understanding on seasonal weather and past N fertilization management influences on N leaching, as opposed to using more simple emission factor approaches.

As the urea was simulated to be applied with the irrigation water (a common practice for farmers in the region), no NH<sub>3</sub> volatilisation was predicted by the DSSAT model. Although negligible NH<sub>3</sub> volatilisation has been observed as a result of improved management practices (eg. Rochette *et al.*, 2013), the validity of this model output is open to scrutiny.

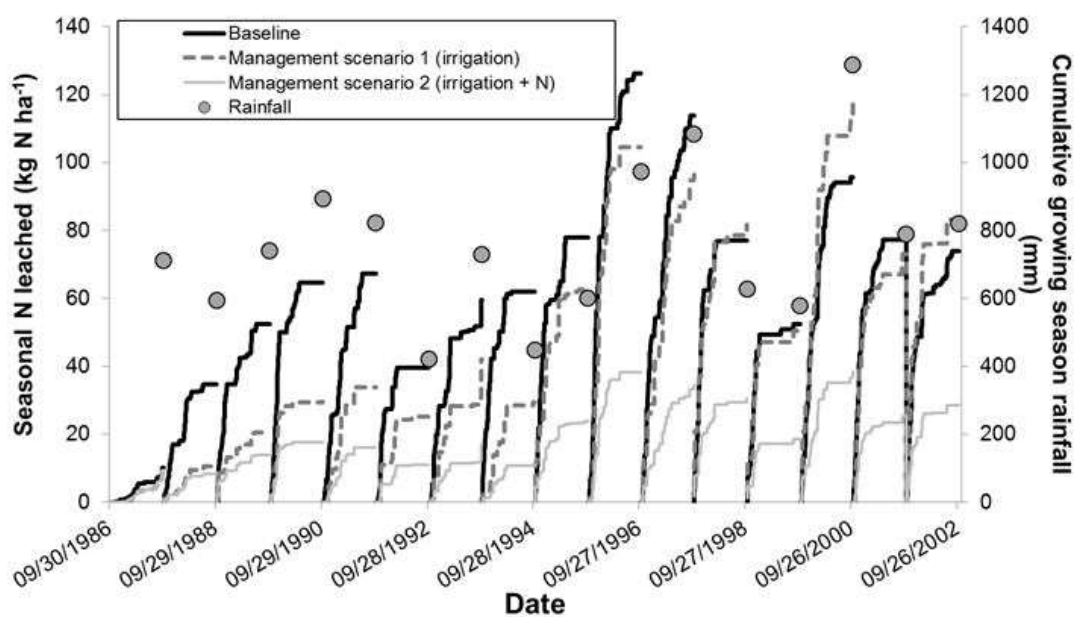


Figure 3. Simulated cumulative nitrogen (N) leached and measured cumulative rainfall for each growing season for the three management scenarios. For management scenario 1, relatively high seasonal N leaching during the second half of the simulation was observed despite accurate irrigation scheduling as a result of a build-up of inorganic N in the soil profile due to applying fertilizer N in excess of crop demand ('carry-over effect')

#### *Environmental impacts and energy use for different management scenarios*

Table IV. Environmental impact indicators per functional unit (FU) according to management scenario

Impact category	Unit/FU	Baseline scenario	Management scenario 1	Management scenario 2
Energy input	MJ	1685	1553	1349
Global Warming Potential (100)	kg CO <sub>2</sub> -e	468	421	353
Acidification potential	g SO <sub>2</sub> -e	7.8	7.6	7.6
Eutrophication potential	g PO <sub>4</sub> -e	16.5	9.8	9.1
Blue water consumption	m <sup>3</sup>	562	446	446
Green water consumption	m <sup>3</sup>	97	209	209

#### *Non-renewable energy consumption*

Non-renewable energy consumption ranged from 1349-1685 MJ tons sucrose<sup>-1</sup> for the three scenarios simulated (Table IV). Scheduling irrigation objectively resulted in a 132 MJ tons

sucrose<sup>-1</sup> (8%) decrease in total energy input, and reducing N fertilizer rates as well further reduced energy input by an additional 204 MJ tons sucrose<sup>-1</sup> (20% reduction from baseline scenario). Results are comparable with recently reported values from other sugarcane-producing regions around the world. Seabra *et al.* (2011) reported energy input requirements of 1109 MJ tons sucrose<sup>-1</sup> for the pre-dominantly rainfed Brazilian Centre-South Region. In comparison to our values of 205-257 MJ tons green cane<sup>-1</sup>, Renouf and Wegener (2007) reported energy inputs of 112-235 MJ tons green cane<sup>-1</sup> for rainfed sugarcane production in Queensland, Australia. Interestingly, Ricau (1980) reported much higher energy inputs for sugarcane in Louisiana in the 1970s: about 8600 MJ tons sucrose<sup>-1</sup> and 645 MJ tons green cane<sup>-1</sup>, mostly owing to much lower yields and sucrose content. He also counted the energy embedded in farm machinery (manufacturing) which constituted about 13% of all energy inputs. Such difference, however, highlights the progress made in energy use-efficiency over recent decades in cane farming and equipment application (fuel-efficient engines, lighter equipment).

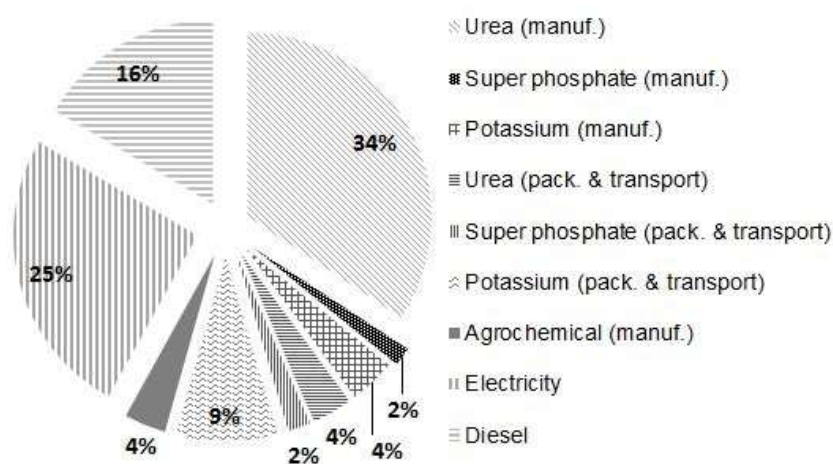


Figure 4. Relative contributions of important sugarcane production processes to non-renewable energy consumption for the baseline scenario

The manufacture of urea was responsible for the largest fraction of total energy input requirement (34%), followed by electricity consumption for irrigation (25%) and diesel use (16%) (Figure 4). Using an ammonium-based fertilizer instead of urea at the 150 kg N ha<sup>-1</sup> rate would lead to a 150 MJ tons sucrose<sup>-1</sup> (9%) reduction in energy input (based on an energy coefficient of 50.6 MJ kg N<sup>-1</sup>, Bhat *et al.* 1994), but farmers favour urea as it is significantly cheaper. Interestingly, packaging, storage and transport for the K fertilizer contributed the next highest fraction to energy input requirements for the baseline scenario at 9%, representing more

Table V. Pollutant emissions and non-renewable energy consumption for the simulated commercial farm

	Units/ha	Baseline scenario	Management scenario 1	Management scenario 2	Emission Factor/Reference
<b>EMISSION DESCRIPTION</b>					
<b>Field emissions to air</b>					
<i>N<sub>2</sub>O (denitrification)</i>	kg	1.63	0.90	0.49	DSSAT-Canegro (Van der Laan <i>et al.</i> 2011); N <sub>2</sub> /N <sub>2</sub> O estimated using Del Grosso <i>et al.</i> (2000)
<i>N<sub>2</sub> (denitrification)</i>	kg	4.26	2.33	1.27	DSSAT-Canegro (Van der Laan <i>et al.</i> 2011); N <sub>2</sub> /N <sub>2</sub> O estimated using Del Grosso <i>et al.</i> (2000)
<i>NH<sub>3</sub> (volatilisation)</i>	kg	0	0	0	DSSAT-Canegro (Van der Laan <i>et al.</i> 2011)
<i>NO<sub>x</sub> (nitrification + denitrification)</i>	kg	0.75	0.75	0.5	0.005 kg/kg N applied (Ramjeawon, 2004)
<i>Land use CO<sub>2</sub> emissions</i>	kg	0	0	0	'Cropland remaining cropland' (IPCC, 2006)
<i>N<sub>2</sub>O (indirect as a result of N leaching)</i>	kg	0.80	0.64	0.31	0.0075 kg N <sub>2</sub> O-N /kg N leached (IPCC, 2006)
<b>Field emissions to water</b>					
<i>NO<sub>3</sub>-N leaching</i>	kg	67.9	54.7	26.4	DSSAT-Canegro (Van der Laan <i>et al.</i> 2011)
<i>P leaching</i>	g	77.8	42.5	42.5	0.18 g P/mm drainage (Thompson, 1991)
<i>P runoff</i>	kg	2.6	2.6	2.6	0.128 kg/kg P applied (Renouf <i>et al.</i> 2008)
<i>Pesticide leaching/runoff</i>	kg	0.14	0.14	0.14	0.015 kg/kg ai <sup>a</sup> (Renouf <i>et al.</i> 2008)
<b>Pre-harvest burning emissions to air</b>					
<i>CH<sub>4</sub></i>	kg	47.7	50.1	50.1	0.0027 kg/kg DM <sup>b</sup> (Bernoux <i>et al.</i> 2011)
<i>N<sub>2</sub>O</i>	kg	1.2	1.3	1.3	0.00007 kg/kg DM (Bernoux <i>et al.</i> 2011)
<i>NH<sub>3</sub></i>	kg	42.4	44.5	44.5	0.0024 kg/kg DM (EEA, 2009)
<i>NO<sub>x</sub></i>	kg	42.4	44.5	44.5	0.0024 kg/kg DM (EEA, 2009)
<i>SO<sub>x</sub></i>	kg	5.3	5.6	5.6	0.0003 kg/kg DM (EEA, 2009)

Input emissions to air					
<i>Urea</i>	kg CO <sub>2</sub> -e	3086	3086	2057	4.8 kg CO <sub>2</sub> /kg product (Lal, 2004)
<i>Super phosphate</i>	kg CO <sub>2</sub> -e	50	50	50	0.7 kg CO <sub>2</sub> /kg product (Lal, 2004)
<i>Potassium</i>	kg CO <sub>2</sub> -e	200	200	200	0.6 kg CO <sub>2</sub> /kg product (Lal, 2004)
<i>Lime</i>	kg CO <sub>2</sub> -e	0	0	0	0.12 for limestone / 0.13 for dolomite - kg CO <sub>2</sub> /kg product (IPCC, 2006)
<i>Nematocide</i>	kg CO <sub>2</sub> -e	125	125	125	18.7 kg CO <sub>2</sub> /kg ai (Lal, 2004)
<i>Herbicide</i>	kg CO <sub>2</sub> -e	57	57	57	23.1 kg CO <sub>2</sub> /kg ai (Lal, 2004)
<i>Insecticide</i>	kg CO <sub>2</sub> -e	0	0	0	18.7 kg CO <sub>2</sub> /kg ai (Lal, 2004)
<i>Fungicide</i>	kg CO <sub>2</sub> -e	0	0	0	14.3 kg CO <sub>2</sub> /kg ai (Lal, 2004)
<i>Diesel</i>	kg CO <sub>2</sub> -e	313	313	313	2.63 kg CO <sub>2</sub> /l (Bernoux <i>et al.</i> , 2011)
<i>Electricity (CO<sub>2</sub>)</i>	kg CO <sub>2</sub>	2076	1730	1730	0.98 kg CO <sub>2</sub> /kWh (ESKOM, 2010)
<i>Electricity (SO<sub>x</sub>)</i>	kg SO <sub>x</sub>	17	14	14	8.1 g SO <sub>x</sub> /kWh (ESKOM, 2010)
<i>Electricity (NO<sub>x</sub>)</i>	kg NO <sub>x</sub>	9	7	7	4.17 g NO <sub>x</sub> /kWh (ESKOM, 2010)
NON-RENEWABLE ENERGY INPUT					Energy coefficient/Reference
<i>Urea (manufacture)</i>	MJ	10262	10262	6841	68.41 MJ/kg urea-N (Bhat <i>et al.</i> 1994)
<i>Super phosphate (manufacture)</i>	MJ	489	489	489	6.82 MJ/kg P <sub>2</sub> O <sub>5</sub> (Bhat <i>et al.</i> 1994)
<i>Potassium (manufacture)</i>	MJ	1214	1214	1214	2.88 MJ/kg K <sub>2</sub> O (Bhat <i>et al.</i> 1994)
<i>Urea (pack. and transport)</i>	MJ	1058	1058	705	7.05 MJ/kg N (Mudahar and Hignett, 1987)
<i>Super phosphate (pack. and transport)</i>	MJ	597	597	597	8.33 MJ/kg P <sub>2</sub> O <sub>5</sub> (Mudahar and Hignett, 1987)
<i>Potassium (pack. and transport)</i>	MJ	2677	2677	2677	6.35 MJ/kg K <sub>2</sub> O (Mudahar and Hignett, 1987)
<i>Pesticide (manufacture)</i>	MJ	1098	1098	1098	120 MJ/kg of ai (Mashoko <i>et al.</i> 2010)
<i>Pesticide (pack. and transport)</i>	MJ	27	27	27	3 MJ/kg of ai (Helsel, 1992)
<i>Electricity</i>	MJ	7625	6354	6354	3.6 MJ/kWh (Statistics South Africa, 2005)
<i>Diesel</i>	MJ	4950	4950	4950	41.6 MJ/kWh (Statistics South Africa, 2005)

<sup>a</sup>ai = active ingredient, <sup>b</sup>DM = dry matter

than double the energy input for the manufacture of K fertilizer.

*Global warming potential*

Pollutant emissions and the methodology used to estimate them are presented in Table V. Total greenhouse gas emissions were reduced from 468 to 421 kg CO<sub>2</sub>-e (carbon dioxide equivalents) tons sucrose<sup>-1</sup> by improving irrigation scheduling and to 353 kg CO<sub>2</sub>-e tons sucrose<sup>-1</sup> (25% decrease) by further reducing urea fertilizer application rates by 50 kg N ha<sup>-1</sup>. These results compare very closely to estimates of 490 kg CO<sub>2</sub>-e tons sugar<sup>-1</sup> for a study conducted in Thailand (Yuttitham *et al.*, 2011). Emissions related to fertilizer production, storage and transport was responsible for 41% of total CO<sub>2</sub>-e emissions per ton of sucrose for the baseline scenario, with urea production, storage and transport alone being responsible for 37% of total CO<sub>2</sub>-e emissions per ton of sucrose (Figure 5). Also for the baseline scenario, electricity generation for irrigation purposes was estimated to contribute 25% of total CO<sub>2</sub>-e emissions per ton of sucrose, followed closely by emissions from crop burning, which contributed 19% of total CO<sub>2</sub>-e emissions per ton of sucrose.

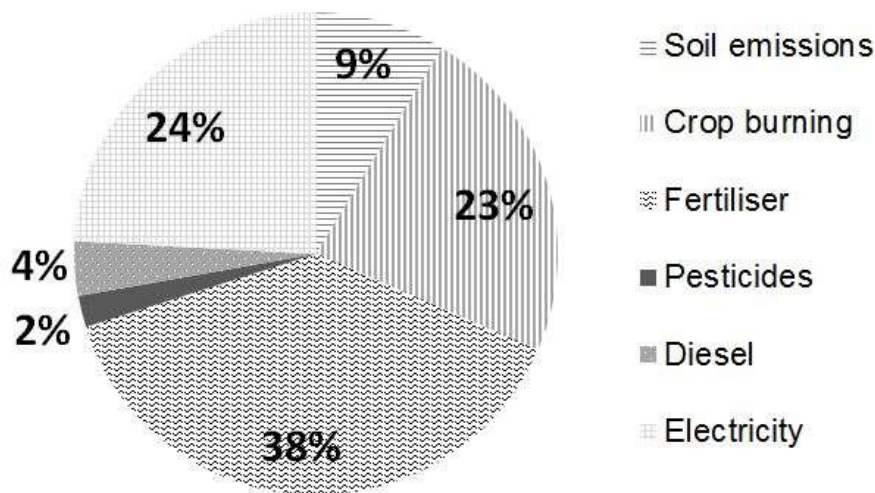


Figure 5. Relative contributions of important sugarcane production processes to total global warming potential for the baseline scenario

Based on coefficients of variation, emission estimate uncertainty ranges of 40% for fuel use, 7% for manufacture of synthetic N fertilizers and 70% for soil N<sub>2</sub>O emissions have been reported (Williams *et al.*, 2006; Tuomisto *et al.*, 2012). Using the DSSAT-Canegro model and

Del Grosso *et al.* (2002) approach, average seasonal N<sub>2</sub>O emissions were estimated to range from 1.63 kg ha<sup>-1</sup> for the baseline scenario to 0.49 kg ha<sup>-1</sup> for the scenario in which water and N were more carefully applied according to crop demand. These estimates are lower than those measured under sugarcane by Denmead *et al.* (2010), who observed N<sub>2</sub>O emissions of 7.4 kg ha<sup>-1</sup> on a sandy loam soil with 1.7% organic C fertilized with 150 kg urea ha<sup>-1</sup>. Following a simulation study, Thorburn *et al.* (2010) estimated that N<sub>2</sub>O emissions from sugarcane production for a range of environments in Australia are commonly equivalent to 3-5% of applied fertilizer N. Using the IPCC emission factor of 0.01 kg N<sub>2</sub>O-N kg fertilizer N<sup>-1</sup> (IPCC, 2006) results in a N<sub>2</sub>O emission estimate of 2.4 kg N<sub>2</sub>O ha<sup>-1</sup> for scenarios receiving 150 kg fertilizer N ha<sup>-1</sup> and 1.6 kg N<sub>2</sub>O ha<sup>-1</sup> for the scenario receiving 100 kg fertilizer N ha<sup>-1</sup>. We speculate that the low emission estimates in this study are a result of the system not being trash-blanketed, which potentially leads to heightened denitrification rates as a result of increased soil labile C availability to microbes and prolonged soil water saturation conditions due to reduced evaporation.

#### *Eutrophication potential*

The eutrophication potential was estimated at 16.5 g PO<sub>4</sub>-e (phosphate equivalents) tons sucrose<sup>-1</sup> for the baseline scenario, 9.8 g PO<sub>4</sub>-e tons sucrose<sup>-1</sup> for management scenario 1, and 9.1 g PO<sub>4</sub>-e tons sucrose<sup>-1</sup> for management scenario 2. Based on seasonal averages, DSSAT-Canegro estimated that 45%, 37% and 26% of applied fertilizer N leached for the baseline, management 1 and management 2 scenarios, respectively. Using the IPCC (2006) default emission factor for N leaching of 30% of applied fertilizer N, leaching would have been underestimated for the baseline scenario and to a lesser extent for management scenario 1, and slightly over-estimated for management scenario 2. Nitrogen loss via runoff was not considered as DSSAT-Canegro does not simulate this and there is a lack of information to estimate these losses. The P leaching factor of 0.18 g P mm drainage<sup>-1</sup> was derived from lysimeter data (Thompson, 1991) and is specific to this soil and cropping system. According to Hoekstra *et al.* (2011), impact on water quality also needs to be considered from a geographic perspective, including the effects on seasonal water flow and quality in the specific catchment. The deterioration of water quality observed for the Pongola River is a concern (Van der Laan *et al.*, 2012), and improving irrigation scheduling and N management can potentially reduce irrigation's contribution to pollutant loads.

#### *Acidification potential*

Acidification potential, resulting from the release of SO<sub>x</sub>, NO<sub>x</sub> and NH<sub>3</sub> gases during



electricity generation, diesel combustion and pre-harvest burning was estimated to be 7.8 g SO<sub>2</sub>-e tons sucrose<sup>-1</sup> for the baseline scenario and 7.6 g SO<sub>2</sub>-e tons sucrose<sup>-1</sup> for the improved scheduling scenarios. For the baseline scenario, NH<sub>3</sub> and NO<sub>x</sub> emissions from green leaf and trash burning contributed to 80% of this impact, and SO<sub>x</sub> emissions from electricity generation for irrigation contributed 12%. Pre-harvesting burning is expected to be phased out in South Africa and the acidification potential will be subsequently reduced.

#### *Water consumption*

While total water consumption was very similar between the baseline and objectively irrigated scenarios (659 m<sup>3</sup> and 655 m<sup>3</sup> tons sucrose<sup>-1</sup>, respectively), blue and green water consumption differed notably between scenarios. Green water consumption for the improved scheduling scenarios (209 m<sup>3</sup> tons sucrose<sup>-1</sup> or 32% of total consumption) was more than double that of the baseline scenario (97 m<sup>3</sup> tons sucrose<sup>-1</sup> or 15% of total consumption). Increased use of green water (rainfall and soil water) is favourable as it reduces energy requirements and greenhouse gas emissions associated with irrigation, extracts less water from surface and subsurface resources, allowing for larger environmental flow, and potentially reduces pollution loads returning to the river via agricultural return flows. The water saved may then be used to irrigate larger areas of land or be diverted to other users. Of the total blue water consumption, water used during electricity generation amounted to only 0.02% of the total.

## IMPROVEMENT NEEDS AND OPPORTUNITIES FOR LIFE CYCLE ASSESSMENT OF IRRIGATED CROPPING SYSTEMS

Through partial application of LCA methodology coupled with crop modelling, the environmental impact of sugarcane produced under irrigation for site-specific conditions and varied management practices has been quantified. Water and N management were shown to significantly influence a range of environmental impacts. In addition to escalating irrigation water, fertilizer and electricity costs motivating farmers to optimize irrigation and N fertilizer scheduling (Annandale *et al.*, 2011), these results on environmental impacts can serve as further motivation for farmers. Advances in technology to better quantify soil water content levels, crop N status and soil N mineralization potential provide farmers with the means to do so.

While the use of mechanistic modelling enhanced the ability to evaluate improved management practices, adequate data for model calibration purposes to gain confidence in outputs is not often available. In these cases crop models should be used with caution, and

sensitivity analyses will be important to better understand the influence of parameterisation and initialisation values on results. Furthermore, even well-calibrated mechanistic models have their limitations. For example, the impact of varying water and N regimes during sugarcane growth on the sucrose content of harvested cane is not yet mechanistically simulated in the DSSAT-Canegro model.

In this study, data was used for a soil with relatively high water holding capacity and N mineralisation potential. In contrast, a sandier and/or shallower soil will enable less efficient use of rainfall and require more frequent irrigation applications and higher volumes to achieve similar yields. Nitrogen dynamics would also be modified, for example, there would be a smaller contribution of mineralised N from organic matter and leaching risks would be higher. Associated impacts can therefore be expected to be different for a sandier/shallower soil, and further work is required to fully understand the transferability of results to sugarcane agroecosystems with different characteristics.

In addition to irrigation water and N management, results show that discontinuing pre-harvest sugarcane burning practices can significantly reduce the acidification potential impact and to a lesser extent GWP. The impacts of green cane harvesting will need to be assessed to determine whether any pollution swapping is taking place. For example, soil N<sub>2</sub>O emissions may increase significantly as a result of the presence of a trash blanket. The importance of electricity use in GWP comes from the 'electricity mix' in South Africa, which is mostly coal-fuel burning based. If cane trash could be used at the mill for the co-generation of electricity, this could be reduced significantly.

Only limited work has been done on the fate and export of pesticides from irrigated sugarcane fields at a global scale (Davis *et al.*, 2011). Due to lack of information for South Africa on the fate of pesticides in the plant, soil, air and water compartments, or their concentration in the different parts of the plant (e.g. what is burnt, what stays in the rooting system, what is exported), it was decided to ignore human- and eco-toxicity impacts. Further work is therefore required in countries such as South Africa, Australia and India for the inclusion of these impact categories in the LCA framework.

Salt loads from irrigated lands to rivers can be substantial (Branson *et al.*, 1975). Interestingly, salinity impacts (e.g. from irrigation return flow containing high salt loads) are not routinely considered in LCAs. This impact arises from a specific type of pollution wherein evaporation results in an increase in concentration, as opposed to the addition of a new chemical to the water (Hoekstra *et al.*, 2011). Distinguishing between salt export resulting from irrigation activities and natural weathering is challenging. Leske and Buckley (2004) argue that salinity impacts, including impacts other than toxic effects alone, have clear cause-effect relationships

between the sources and the impacts, warranting a separate salinity impact category. In water footprint assessments, Hoekstra *et al.* (2011) proposed the 'grey water' concept, which refers to 'the volume of freshwater that is required to assimilate the load of pollutants based on natural background concentrations and existing ambient water quality standards'. Clearly, innovative methods to quantify the impact of salinity from cropping systems within LCA frameworks are required.

A number of approaches for estimating water footprints have been proposed (Pfister *et al.*, 2009; Milà i Canals *et al.*, 2009; Deurer *et al.*, 2011; Hoekstra *et al.*, 2011), each with its own strengths and weaknesses. Depending on the context of the study, region-specific approaches that take location into account and quantify the impact of water consumption on resource depletion at catchment to basin scales may be needed. For example, Pfister *et al.* (2009) suggested relating any water consumption calculated during LCA inventory stage to a regional Water Stress Index (WSI), so that an impact indicator – showing how impactful site-specific water consumption really is – may be derived. The official release of the ISO 14046 standards on water footprinting, which are scheduled for publication in 2014, may serve to standardize the approaches followed in future studies.

*Abiotic resource depletion* is often considered in LCA's. Brentrup *et al.* (2002) question the aggregation of various resources into a single impact category, proposing instead the use of separate impact sub-categories (fossil fuels, phosphate rock and potash salt for agricultural production systems) with resources only aggregated according to primary function. Our concern with this impact category is that a production system might be 'penalised' for applying fertilizer or manure P in a particular season, while not accounting for historical P applications that have built up in the soil or the mining of soil P reserves. LCA done in any given year may, therefore, show small impacts, while actual impacts were huge in the past due to over application. A possible way to overcome this will be to rather consider P and K 'consumption', i.e. total P and K lost from the system via harvested fractions.

Land use, as an impact category, refers to the environmental impacts of occupying and utilizing land for sugar cane, with potential resultant loss of natural habitat and species diversity (Brentrup *et al.*, 2004a). Koellner *et al.* (2013) propose a multi-level classification of land use with four levels of detail, from general to very specific categories indicating intensity of land use. For regionalization, five levels are proposed allowing specification of climatic region, specific biomes and ecoregions. This classification system can assist refinement of methods to determine the impacts of land use on, for example, biodiversity and ecosystem services, and is recommend for studies comparing sugar production in different regions around the world. For example, rainfed sugarcane production will consume no blue water, but may come at a greater

cost to biodiversity.

The exclusion of a number of processes in LCA means the relative difference in environmental impact between the compared systems may be over-emphasized. In other words, the differences between systems may actually be smaller if one considers another functional unit, for example, a kg of sugar delivered at retail level. In this study, however, all excluded processes are common to the scenarios under scrutiny, so inclusion of such processes would not have significantly changed the outcome / ranking provided by the comparative analysis.

## CONCLUSION

Pressure on agricultural supply chains for better environmental stewardship is increasing. Combining LCA approaches and mechanistic crop modelling can be effective in evaluating the impact of improved management practices on a range of production-related environmental impacts. Consideration of multiple impacts simultaneously also monitors for any problem shifting or 'pollution swapping'. Such a framework therefore serves to clarify the linkages and associated knock-on impacts of irrigation water and N fertilizer management, and helps to identify leverage points which have far reaching consequences/benefits.

The overall environmental impact of sugarcane production can be reduced significantly not only at crop production stages but also through the co-generation of electricity using sugarcane fibre and bio-ethanol generation. Future work expanding the framework developed here to include milling processes and the benefits and impacts of mill by-products is essential. The methodology is continually open to further scientific progress and new information (Udo de Haes *et al.*, 1999). Linking with economic aspects should also be considered.

Crop modelling combined with LCA shows excellent potential in improving communication between scientists, farmers and other stake holders, and to assist in identifying mitigation management practices and policies to reduce the environmental footprint of food production.

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