

Article

Environmental Sustainability: A Case of Policy Implementation Failure?

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Abstract: For a generation, governments around the world have been committed to sustainable development as a policy goal. This has been supported by an array of new policies ranging from international agreements, to national strategies, environmental laws at many levels of government, regional programs, and local plans. Despite these efforts, decades of scientific monitoring indicate that the world is no closer to environmental sustainability and in many respects the situation is getting worse. This paper argues that a significant contributing factor to this situation is policy implementation failure. A systematic review of the literature reveals that the failure to achieve the intended outcomes of environmental policies is due to economic, political and communication factors. Conflict between the objectives of environmental policies and those focused on economic development, a lack of incentives to implement environmental policies, and a failure to communicate objectives to key stakeholders are all key factors that contribute to the inability to attain environmental sustainability.

Keywords: environmental policy; sustainable development; environment; environmental governance; environmental outcomes

1. Introduction

Sustainability policies have developed and spread from the global to the local level of governance over several decades. The idea of linking environmental, social and economic issues emerged in the 1970 United Nations International Development Strategy and the 1972 UN Conference on the Human Environment in Stockholm. The 1980 World Conservation Strategy introduced the term ‘sustainable development’ [1] and the concept was elaborated by the World Commission on Environment and Development (WCED), which offered what has become the most commonly used definition: “Sustainable development is development that meets the needs of the present without compromising the ability of future generations to meet their own needs” [2]. This has led to the rise of related concepts

such as ‘Worth-Living Development’ that seeks to ensure that “each generation will hand over to the next one a better place to live in” [3]. At the 1992 Rio Earth Summit, 195 national governments agreed to pursue sustainable development via *Agenda 21* as well as conventions on biodiversity and climate change (among other arrangements). This international commitment was supported by a myriad of complementary domestic policies that ranged from the national to the local levels of government [4]. Subsequent summits (Rio + 5 (1997), Rio + 10 (2002), and Rio + 20 (2012)) indicated that progress was disappointing, so in 2015 there was a fresh commitment to seventeen *Sustainable Development Goals* [5] which expanded the Millennium Development Goals through improved social, economic and environmental links [6].

Policies can be assessed against three criteria: effectiveness, efficiency and appropriateness [7], and they are more likely to be successful if they gain social backing [6]. One way of measuring the effectiveness of sustainability policies is to track changes in the state of the environment since their implementation. While there have been some patchy improvements in selected indicators for a few locations [4], the overall decline in environmental quality has continued unabated and no country has achieved environmental sustainability [8–12]. The world’s ecological footprint (which measures resource use) exceeded the sustainable capacity of the planet in 1970 and has continued to climb, while the biodiversity index has fallen by more than half [12,13]. Emissions of greenhouse gasses are rising and the impacts of global warming are growing [14–16], while the costs of both impacts and mitigation policies face equity issues [17–19]. Air pollution continues to kill millions of people around the world and has worsened recently in parts of south-east and east Asia [20]. Environmental policy failures have been notable in certain industries (such as energy and transport) particularly in developing countries [21–24]. To some extent, this failure to achieve environmental sustainability has prompted the emergence of discussions on the need for a new economic paradigm focused on ‘degrowth’ [25] and social–ecological transformation [26].

This evidence indicates that the implementation of sustainability policies has contributed to the failure to arrest the overall decline in environmental quality. The question now is why? This paper addresses this question via a systematic quantitative literature review. In the next section, the method used is explained and is then followed by the results, discussion and conclusions. The range of causes, implementation traps, and knowledge/scope issues is identified and analysed. The most common barriers to policy implementation stem from economic and political causes, as well as inadequate communication with key stakeholders.

2. Methods

A systematic quantitative literature review was conducted using the methods outlined by Pickering and Byrne [27]. The Scopus database was searched for papers dating up to 2015 that used the terms ‘environmental sustainability’ and ‘policy failure’, which resulted in 8339 hits. To limit these results to those papers that would be most relevant, the search was further limited to the terms being found in the article title, abstract or key words in the social sciences and humanities subject area. This returned 125 hits. From this list, papers that met three inclusion criteria were selected. First, selected publications had to address whether environmental sustainability was being achieved. This included research that looked at the success of efforts for achieving environmental sustainability generally and studies that looked at a specific plan, policy or initiative. These policies comprised any area that the authors considered important for environmental sustainability, including water, agriculture and conservation, as well as the environmental impacts of other initiatives such as housing, tourism and transport. Second, selected publications had to identify some kind of failure. This included failing to achieve environmentally sustainable outcomes with current and past initiatives, as well as predicted failings of future initiatives. It also included papers that were developing tools to overcome failings or used predictive models to identify scenarios in which environmental sustainability would or would not be met. A policy was determined to have failed if it did not achieve its environmental

objectives (as summarised in the introduction to this paper). Finally, selected publications had to identify the cause or causes of the policy failure.

These criteria produced a list of 94 articles in total. The content of these articles was then analysed against the following categories: bibliographic details; data collection methods including whether a case study was included and where it was located; methods to assess sustainability, the environmental issue that was the focus of the paper and the proposed solution; details of the plan/policy/initiative; and, the reason given for the policy failure. The reasons for policy failure were categorised according to the implementation traps synthesised from [7,28]. This list was then expanded with new categories that emerged from the content analysis of the articles.

The frequency of publications on environmental policy failure has increased, with a notable increase in publications just prior to and following the Rio + 20 summit in 2012. Short-lived bursts of publications also appear to be linked to the Rio + 5 (1997) and Rio + 10 (2002) summits as well as the release of Intergovernmental Panel on Climate Change Assessment Reports (particularly those released in 2007 and 2013–2014). These findings demonstrate that our review is a timely addition to the academic literature as the failing of environmental policies is clearly a growing concern.

3. Results

3.1. General Trends

Almost all publications included in their research methods a review of the literature and relevant policies, often supplemented by interviews with key stakeholders (20% of papers reviewed) or surveys (11%). Some publications modelled official data, such as census statistics (10%), and a few utilised focus groups/workshops or comparable methods (4%). The majority of papers (69%) utilised a case study to investigate why environmental sustainability had not been achieved. The remainder (31%) considered the failure of environmental sustainability in more general or global terms. The case studies covered a diversity of locations including developed and developing countries as well as larger multi-country studies.

Almost half of the papers (48%) reviewed a specific government policy, plan or strategy. These are presented in Table 1. The remaining papers investigated the failing of environmental policies more generally. All papers related their findings to policy either through the identification of the point at which the application of an individual policy had failed to meet environmental objectives, why environmental sustainability has failed to be addressed by policies, or the barriers to future policies being successfully implemented.

Table 1. Policies analysed by the publications identified through the literature search.

Specific Policies	Number of Publications
International Policies/Agreements/Conventions	15
Agenda 21	
United Nation Conference on Environment and Development Statement of Forest Principles 1992	
Convention on Biological Diversity	
Kyoto Protocol	
Local Agenda 21	
Long-Range Transboundary Air Pollution Convention	
Rio Declaration	
United Nations Convention on the Law of the Sea (1982)	
World Soil Charter	
Convention on the Sustainable Use of Soils	
Millennium Development Goals	
UNCED Statement of Forest Principles 1992	

Table 1. Cont.

Specific Policies	Number of Publications
Trans-National Policies/Agreements/Conventions	6
Sustainable Development Agreement	
Common Fisheries Policy (EU)	
European Union Emissions Trading System	
EU Energy Label	
Aalborg Charter	
Natura 2000	
National, Regional/State, Local Policies (organized by country)	29
<i>Australia</i>	3
Melbourne 2030: Planning for Sustainable Growth (Australia)	
Murray Darling Basin Authority “Guide to the Proposed Basin Plan” (Australia)	
Sydney’s 2005 Metropolitan Strategy (Australia)	
<i>Bangladesh</i>	1
National Environmental Policy 1992 (Bangladesh)	
<i>Botswana</i>	10
Tribal Land Act (1968) (Botswana)	
Tribal Grazing Land Policy (TGLP) (Botswana)	
National Policy on Agricultural Development (NPAD) (Botswana)	
The Agricultural Resources Conservation Act (1974) (Botswana)	
Diseases of Animals Act (1977) (Botswana)	
Wildlife Conservation and National Parks Act (1992) (Botswana)	
Community-Based Natural Resource Management (CBNRM) Policy of 2007 (Botswana)	
Arable Land Development Programme (ALDEP) (1981–2008n) (Botswana)	
Support for Livestock Owners in Communal Areas (SLOCA) (1979) (Botswana)	
Livestock Water Development Programme (LWDP) (1991) (Botswana)	
<i>Canada</i>	1
Canada Endangered Species Protection Act	
<i>China</i>	4
Green GDP (China)	
National Environmental Model City Programme (China)	
Engagement of International Business Community (China)	
Tradeable Emission Permits (China)	
<i>Colombia</i>	1
Decree 948 (Colombian law to remove gold processing from urban areas)	
<i>India</i>	2
Swajal Dhara (India)	
Aapale Pani (India)	
<i>Nepal</i>	5
The Environment Protection Act 1997 (Nepal)	
The Forest Act 1992 (Nepal)	
The Water Resource Act 1992 (Nepal)	
Vehicle and Transport Management Act 1992 (Nepal)	
Industrial Enterprises Act 1992 (Nepal)	
<i>Netherlands</i>	1
National Environmental Policy Plans (Netherlands)	
<i>Peru</i>	1
General Fisheries Act (Peru)	
<i>Philippines</i>	1
Crocodile Farming Institute (Philippine Initiative)	
<i>South Africa</i>	11
White Paper on Reconstruction and Development Programme, 1994 (South Africa)	
White Paper on Housing Policy and Strategy for South Africa, 1994	
Development Facilitation Act (DFA), 1995 (South Africa)	
Urban Development Strategy, 1996 (South Africa)	
Housing Act, 1997 (South Africa)	
Urban Development Framework, 1997 (South Africa)	
Municipal Systems Act, 2000 (South Africa)	
National Urban Renewal Programme, 2001 (South Africa)	
White Paper on Spatial Planning and Land Use Management, 2001 (South Africa)	
National Urban Development Framework, 2009 (South Africa)	
National Development Plan, 2011 (South Africa)	
<i>Spain</i>	1
Ley de Costas (Shore Act) 1988 (Spain)	
<i>Sweden</i>	1
HUR2050: Sustainable Development for the Region (Sweden)	

Table 1. Cont.

Specific Policies	Number of Publications
<i>Ukraine</i>	1
Forests of Ukraine Program	
<i>United Kingdom</i>	11
1990 White Paper: This Common Inheritance (UK)	
Sustainable Development, the UK Strategy	
Changing Patterns (UK Consumption Strategy)	
Government Sponsored Windpower Programme (UK and Denmark)	
Local Government Climate Resolution (UK)	
Lord Provost's Commission on Sustainable Development (UK)	
Moving Forward (UK)	
Producer Responsibility Obligations (Packaging Waste) Regulations 1997 (UK)	
Packaging (Essential Requirements) Regulations 1998 (UK)	
Packaging Waste Recovery Note (PRN) system (UK)	
Urban Taskforce Report (UK)	
<i>United States of America</i>	7
Clean Air Act (US)	
Clean Water Act (US)	
Magnuson Fishery Conservation and Management Act (US)	
US Pollution Prevention Act	
Technology Innovation Strategy (US)	
Project XL (EPA US)	
Common Sense Initiative (EPA US)	

3.2. The Causes of Policy Failure

The papers included in this review identified numerous causes of policy failure. These can be categorised as structural causes, implementation traps, or knowledge/scope issues. For the purposes of this paper, structural causes are defined as the overarching factors that prevent successful outcomes from being achieved. What defined 'successful outcomes' was determined by the authors of the papers being reviewed and related to the achievement of the objectives of the policy or evidence of improvements in the condition of the environment. The structural causes of failure included economic, social, environmental, political, technical, legal and discursive factors.

Implementation traps were sorted into a set of categories that were developed from Althaus, Bridgman and Davis [7] and Kraft and Kamieniecki [28] and consisted of:

- Incomplete specification of aims or objectives;
- Inappropriate agency for implementation;
- Conflicting objectives within or between policies;
- Incentive failures;
- Conflicting directives from agencies or senior official;
- Limited competence of agency or those tasked with implementation;
- Inadequate administrative resources to support policy implementation; and,
- A failure to communicate with the affected community.

Knowledge or scoping issues included those defined by Patton and Sawicki [29] as theory failure where there was insufficient or poor understanding of the policy issue. As a consequence, the policy instruments used were too narrow or not appropriately targeted. In this category, the lack of evaluation was also included. This relates to knowledge as evaluations build knowledge and learnings to improve policy implementation. The number of papers identified from the review for each failure category is outlined in Table 2.

Table 2. Reasons for policy failure identified in the literature.

Reasons for Policy Failure		Number of Papers
Interrelated Structural Causes	Economic	48
	Social	24
	Environmental	5
	Political	42
	Technical	9
	Legal	32
	Discursive	19
Implementation Traps	Incomplete specification	13
	Inappropriate agency	24
	Conflicting objectives	30
	Incentive failures	31
	Conflicting directives	9
	Limited competence	21
	Inadequate administrative resources	22
Communication failure	47	
Knowledge/scope issues	Incomplete understanding of problem	15
	A reading of issues that is too narrow	22
	Lack of Evaluation	14

4. Discussion

4.1. Interrelated Structural Causes

Economic factors, in four broad categories, were cited by 48 publications (51%) as an underlying cause of policy failure. The first economic cause was a disconnection between economic markets and environmental sustainability, resulting in market failure [30–39]. Dutta [38], for example, argued that the failure to accurately account for environmental and sustainability outcomes in current markets leads “to inefficient allocation of resources, over- or under-production, and only partial fulfilment of environmental goals” [38]. Caviglia-Harris [34] argued that market failure is the cause of over-exploitation of natural resources, and there needs to be better implementation of demand-side policies that promote sustainable products. Pastakia [33] suggested that poorly designed regulations are causing market failure by discouraging investment in, and development of, innovative environmentally sustainable solutions.

The second most common economic cause of policy implementation failure was the favouring of economic outcomes over environmental sustainability [32,40–49]. Rogers and Wilkinson [41] argued that the failure to pass the *Canada Endangered Species Protection Act* was due to the framing of all discussions in the economic context with commercial interests valued over species protection. Paker et al. [49] found similar results when examining the success of environmentally focused civil society organisations. The authors argued that the state was unwilling to engage with organisations that were at odds with economic priorities and that economic growth was favoured over the implementation of environmental legislation.

The third cause was low levels of economic development and the impacts of development on the environment, particularly in Asia and Africa [37,48,50–58]. As Boadi [53] found, waste disposal, water supply and pollution in the urban areas of Africa were still a significant and growing concern due to poor economic performance limiting the human and material resources available to deal with problems.

Finally, there was a lack of market instruments, and economic markets themselves inhibited the ability to address environmental issues [31,36,40,57,59–76]. Fieldman [76] compared liberal market economies in the UK and USA with coordinated market economies in Germany and Japan in terms of their influence over pollution. The author found that financial liberalisation prevented ecological modernisation (i.e., the decoupling of economic growth from environmental degradation) because

the market alone did not encourage sufficient environmental innovation. In Ukraine, Soloviy and Cubbage [36] examined the effect of transitioning from a state to market economy on forestry. The author concluded that current forest legislation was incompatible with the market economy and did not prevent illegal harvesting and overexploitation of forests.

Social causes of policy failure were identified in 24 (26%) of the papers analysed. The attitudes/beliefs of the public were found to influence the successful implementation or adoption of sustainable practices [31,51,61,62,69,77–81]. Social resistance to change was also identified as a significant barrier to policy success [31,80,82–86]. In a study of fisheries in the European Union, for example, Carter [80] found that fishermen did not trust scientific estimates for stock depletion and this contributed to overfishing. The characteristics and history of the target community can also influence success [33,35,36,74,85,87–90].

Only five articles (5%) identified environmental factors as a contributing cause of policy failure. In a study of mining and sustainability in Colombia, for example, Siegel [74], found that geography led to radical regionalisation causing conflict that prevented effective regulation. Unstable or vulnerable environmental conditions were also identified as barriers to success [30,69,78,91]. Mulale et al. [91], for example, found that environmental conditions in certain areas of Botswana make agricultural land particularly vulnerable to desertification/degradation.

Discussions of the underlying political causes of policy failure were found in 42 papers (45%). Case studies in Finland, Italy, the West Balkans and Australia indicated that failure may result where policies are not well developed, are not implemented fully, are not politically popular, or go against a prevailing political agenda [41,47,48,67,71,74,79,92–94]. Dominant interests, such as extractive industries, may influence what is politically feasible, resulting in a failure to deliver sustainability [41,42,44,46,49,58,60,73,81,93,95–97]. An unstable political climate or corruption can further limit success [37,49,53,56,59,67,74,77,96,98]. An example was identified by Marcoux and Urpelainen [96], who found that corruption in some Organisation for Economic Cooperation and Development countries led to the overuse of agricultural pesticides. In some cases, authors described environmental issues as becoming highly politicised and thus contentious, leading to policy failure [44,46,54,78]. Others argued that changes to existing governance arrangements can be inadequate [36,42,54,77,85,86,99]. Finally, a failure to reach an agreement between countries/jurisdictions or reach a decision was identified as a significant barrier to success [48,68,73,86,88,93,100–106].

Only nine papers (10%) identified technical limitations as a contributing factor to policy failure. All cited the lack of development or the availability of appropriate technology as a barrier to sustainability [30,34,38,54,62,69,75,90,107]. Chen et al. [75], for example, found that there was a need for improved technology in the USA to increase the use of recycled materials in the manufacturing sector. Similarly, Bailey [62] found that less efficient technology led to the lack of recycling of some materials.

Legal factors were identified in 32 papers (34%). Some authors pointed to the absence of appropriate laws [32,48,53,59,61,71,74,88,92,99,108–111]. In other circumstances, legislation was present but either inadequate or not enforced [36,43,45,46,51,53,62,67,74,109,112]. A lack of land/property rights was also a significant barrier in the USA, Asia and Africa [30,34,50,69,98]. Finally, some laws were actually impediments to achieving environmental targets [33,42,57,67,93]. Buzar [67], for example, found that statutory requirements in the West Balkans were restricting investment in the renewable energy sector.

A further 19 papers (20%) identified a range of discursive causes of sustainability policy failure. These included the clash between economic rationalism and environmentalism, as well as the way environmental issues were constructed (as a market failure, for example) [52,58,65,79,80,86,113–115]. The framing of discussion and differences in language used between groups were also found to be impediments [48,65,81,83,107,116]. Jabbour et al. [48] identified differences in language use by scientists and policy makers as problematic in progressing towards and achieving international environmental goals. Terms such as ‘sustainable development’ have also been found to be misnomers and may actually encourage unsustainable behaviour [46,51,92,117,118].

Failure was rarely due to one isolated factor and was usually linked to a combination of interacting economic, legal and political factors (the three most cited factors). An analysis of the adoption of more sustainable technologies for agriculture in Africa and Asia by Shiferaw, Okello and Reddy [69], for example, found that “policy and institutional failures exacerbate market failures, locking smallholder resource users into a low-level equilibrium that perpetuates poverty and land degradation”. Baker et al. [61] looked at the success of national and local initiatives for reducing environmental degradation in Bulgaria and found that an unstable economy, politicisation of the environmental movement, political corruption and an inadequate legal system were preventing successful outcomes from being achieved. Jabbour et al. [48] critically evaluated the progress of international environmental goals from the UNEP (2012) *Global Environmental Outlook 5* report [12]. They found that these goals had not been achieved due to the prioritisation of economic growth, the lack of political will, inappropriate governance, a lack of laws, and the different use of language by scientists and policy makers.

4.2. Implementation Traps

Incomplete specifications were identified in 13 papers (14%) as implementation traps. In some cases, policy goals were too vague or broad to be converted into actions [31,60,84,90,93,98,104,113]. Voisey and O’Riordan [60], for example, studied the governance arrangements for sustainable development across the UK and found that the specifications of targets contained in the White Paper and strategy were weak, resulting in very limited action. In other circumstances, terms within policies, such as pollution [65] or sedentary species [108], were not clearly defined, preventing successful implementation of the policy [32,65,92,108]. Another problem was the lack of guidance within policies on how objectives could be achieved. An example of this was land use and urban greening policies in South Africa that failed largely due to a lack of specific standards or actions [119].

Designating responsibility to inappropriate agencies for policy implementation was identified as a barrier to success in 24 studies (26%). This may be the case for a single agency [31,35,37,45,46,48,60,90,93,102,104] or policies that require a multi-level or multi-sector approach to implementation [36,48,51,59,74,77,98,99,109,110,112]. Finally, problems also occur when the chain of responsibility for implementing a policy is unclear [41,48,61,74].

Conflicting objectives were cited as an implementation trap in 30 papers (32%). In some cases, economic development objectives were prioritised over environmental concerns or policies [32,37,41,43–49,52,56,57,60,65,67,73,76,88,90,106,119]. Bromley [65] found that setting pollution targets in the USA resulted in a policy ‘lock-in’ that was not able to respond to a dynamic market. A lack of coherence between policies and objectives was a problem [48,87,100,104,113,120]. Heinzle and Wüstenhagen [120] demonstrated in Germany that revising eco-labelling to accommodate industry demands undermined its effectiveness in informing green consumers.

Incentive failure was identified as an implementation trap in 31 papers (33%). The majority of these studies cited insufficient incentives to adopt environmentally sustainable practices as a trap and argued for greater economic incentives [30,32,38,42,45,55,63,66,67,69,74,75,83,84,95,102,117]. Some found that there were insufficient incentives to implement a policy or comply with regulations as a result of either a lack of official accountability or a lack of public demand for action [34,36,48,54,60,82,87,108,109]. Toke [82], for example, found that local communities received little direct benefit from windfarms in the UK and Denmark, resulting in a lack of incentive for their support. Finally, a small number of papers identified circumstances where financial incentives simply failed to achieve the desired outcomes [40,88,91,104,115]. For example, in Botswana, high levels of subsidies for farmers make grazing artificially profitable resulting in overstocking, overgrazing, and consequently environmental degradation [91].

Nine papers (10%) identified conflicting directives (or orders for action) as implementation traps. A lack of coherence between policy directives and objectives was found to be problematic in the implementation of policies [34,49–51,68,90,99,104,108]. Policies identified as having conflicting

directives include Greece's Natura 2000 [99], the Local Government Climate Resolution in the UK [51], the United Nations Convention on the Law of the Sea [108], and the Magnuson Fishery Conservation and Management Act [108]. In their studies of policies in China and Scotland, Gilley [104] and Mittler [51] found that economic development directives were prioritised over environmental concerns/policies.

Limited or low levels of competence were identified in 21 papers (22%) as implementation traps. The majority of these papers described examples where those charged with policy implementation lacked sufficient skills, training or knowledge needed for success [33,37,45,48,53,60,61,69,72,74,77,91,93,96,98,110,119]. Some studies discussed problems with the transfer of policies or technology to developing countries as a result of limited capacity [55,90,102,119]. Mol [102], for example, found that some developing countries lack the capacity to regulate biofuels. This is a problem because increasing biofuel production may impact on food security and biodiversity as it competes for fertile land.

Inadequate administrative resources were cited in 22 papers (23%). In most cases, authors identified an overarching lack of dedicated funding, time, or other resources to achieve environmentally sustainable objectives [34,36,47,51,53–55,61,69,72,90,93,98,113,119,121]. In other cases, the tasks required of institutions were found to be beyond the resources allocated to them [48,49,60,87,110,122]. Hindmarsh [122], for example, found that water managers in Australia's Murray-Darling river system had insufficient time and resources to conduct adequate consultations, despite statutory requirements to do so.

Four broad forms of communicative failure described in 47 papers (50%) topped the list of implementation traps. The first was the inadequacy or absence of consultation with the affected community [30,41,45,49,56,60,78,79,81–84,94,95,98,99,103,110,115,120,122]. The second was a failure to adequately involve the community in the policy process [32,36,57,59,65,73,77,98,104,123]. The third was community opposition to environmentally sustainable policies/actions [82,92,93]. Finally, a lack of community awareness/knowledge of sustainability issues was also identified as a result of communication failure [33–35,44,51,53,58–60,62,63,74,75,77,87,90,111,113,115].

4.3. Knowledge/Scope Issues

An incomplete contextual understanding of problems was discussed as an additional cause of policy failure in 15 papers (16%) under three broad themes. First was a lack of research into the problem or solution in cases such as energy production, irrigation and food security, carbon dependence, and biosecurity [55,59,67,114]. Second, there were misconceptions about the cause of the environmental issues [48,56,74,91,98,115]. Finally, there were incomplete or low levels of understanding with regards to the anticipated impacts of policies [40,45,79,88,107].

The breadth and depth of issues encompassed by sustainability was described as a challenge in 22 papers (23%). Policies need to be more comprehensive in terms of the area, jurisdiction, or sector covered [31,47,55,59,79,84,88,94,101,104,108,111]. Schuppert [88], for example, concluded that the existing cap and trade systems covered only some sectors, and that climate change—a transboundary problem—requires a global solution, despite such schemes being limited to a few countries. Policy makers also failed to consider or account for the external impacts of sustainability policies [37,48,54]. Low levels of policy integration were identified as a contributing factor to policy failure [60,91,98,112,119]. A study of urban greening in housing in South Africa by Shackleton, et al. [119], for example, found that environmental issues were often poorly integrated into urban planning processes and policies. Finally, a number of papers considered that existing policy responses did not have the capacity to meet the challenges posed by sustainability issues [41,48,60,68,88,91].

A lack of evaluation or evaluative mechanisms (measures, targets, or frameworks) was identified as a further barrier to policy success in 14 papers (15%). In some cases, such as those involving carbon emissions, there was a total lack of monitoring of outcomes against targets [31,36,39,60,61,70,84,100,104,111]. In others, evaluation may have been limited owing to a

lack of targets or standardised measures [48,54,55,60,64]. Sheppard et al. [55], for example, identified a lack of international biosecurity standards, and Kobus [64] found that there are no standardised measures for sustainable development because of low levels of operationalisation of the concept.

4.4. Comparison of Policy Failure in Different Contexts

Of the 94 articles reviewed, 22 (23%) conducted case studies of developing countries (either individual countries or groups of countries), 40 (43%) conducted case studies of developed countries (individual or groups), and 29 (31%) had a more general or international focus. Table 3 provides a summary comparison of these clusters and several points stand out (three articles dealt with a mixture of developed and developing countries, and so were not included in Table 3). First, in terms of interrelated structural causes, the two top factors influencing policy failure across the board are economic and political, while legal factors are more predominant in developing countries and discursive issues are more likely to emerge in developed countries. Second, communication failures and conflicting objectives are common, but developing countries are more likely to face problems with all the other implementation traps. Third, developed countries generally have fewer problems with knowledge/scoping issues. Overall, these points are indicative of the differences in the capacity of public sectors, with agencies in developing countries generally being less adequately resourced [35,63,67,74,97].

Table 3. A Comparison of Factors Influencing Policy Failure.

Factors Cited		Percentage of Cases in Developing Countries	Percentage of Cases in Developed Countries	Percentage of International Studies
Interrelated Structural Causes	Economic	59	58	52
	Social	32	25	14
	Environmental	14	5	0
	Political	45	50	38
	Technical	14	8	14
	Legal	45	28	35
	Discursive	0	10	29
Implementation Traps	Incomplete specification	27	10	10
	Inappropriate agency	36	18	28
	Conflicting objectives	36	33	31
	Incentive failures	41	25	35
	Conflicting directives	23	10	3
	Limited competence	41	10	17
	Inadequate administrative resources	36	15	21
Communications failures	55	65	24	
Knowledge/Scope Issues	Incomplete understanding of problem	27	0	29
	Too narrow reading of issues	32	18	29
	Lack of evaluation	23	13	10

In terms of scale, international initiatives were more prone to discursive issues, largely due to the complexity of international negotiations, but less vulnerable to specific environmental factors due to the general nature of their scope [100,101,105,106]. While it is difficult to compare international arrangements to domestic policies, some interesting observations can be made. International initiatives, for example, faced a similar pattern of implementation traps to domestic policies in developed countries, although conflicting directives were less significant because international organisations do not have the same powers as a national or local agency [102,108,121]. In terms of knowledge and scoping issues, international initiatives faced similar knowledge/scoping issues as policies in developing countries when it comes to an incomplete understanding of problems.

In terms of the relationships between structural causes, the prevalence of economic and political factors appears in 71 of the 94 papers (76%), including both the case-study and more general articles. Of these 71 papers, economic and political factors appear together 24 times (34%), while economic factors appear independently 29 times (41%) and political factors 18 times (25%). Hence, political and economic factors are the predominant causes of policy failures and are clearly linked regardless of

scale or level of development. Most often, this occurs when economic issues are given political priority over environmental and sustainability issues [32,43–49,57].

5. Conclusions

More than two decades have passed since the comprehensive international commitment to pursue sustainable development. Despite the global reach of policies originating from the 1992 Rio Earth Summit, the state of the global environment has continued to deteriorate. Hence, it is clear that these policies have not been sufficient for achieving the goals of sustainability. This paper reviewed 94 different studies on the failure to improve environmental sustainability from many different regions of the world, including both developed and developing countries. Some studies focused on specific cases or policies, others took a more general approach. What is clear from this review is that the inability to improve environmental sustainability is due to a complex number of causes and a significant element is policy implementation failure from the international to the national, regional and local levels of government. The reasons for this failure are a complex set of interrelated structural causes, implementation traps and knowledge/scoping issues.

While the specific reasons for individual policy failures are many and varied, three key factors were recurring. First, there are ongoing economic incentives (supported by policy) for private and public activities to continue to exploit natural resources without deeper consideration of the associated damage to the environment. Second, governments either do not have the capacity and/or the political will to implement effective sustainability policies. Third, the seriousness of sustainability issues and the urgent need for change have not been effectively communicated to key stakeholders. These three factors are mutually reinforcing and suggest that there has been a failure to convince decision-makers in all sectors that sustainable development offers a realistic pathway to a prosperous economy, a better society, and a healthy environment. There are key differences between developed and developing countries with regards to the relative influence of various types of causal factors that lead to policy implementation failures. Developing countries, for example, will face additional challenges with regards to the capacity of their public sector to catalyse change. This means that implementation strategies must be tailored to suit individual situations if they are to be effective.

Having said all this, the past policy implementation failures detailed in the studies that have been reviewed do not indicate that achieving environmental sustainability is impossible [124]. They simply catalogue what has gone wrong with attempts to bring about the necessary change so far. If policy-makers learn from these mistakes, they may still be able to set society on a sustainable development path. The past cannot be changed, but the future is still open for negotiation.

6. Further Research

This paper offered a macro-level analysis of the literature on the failure of sustainability policies but a great deal of further research needs to be done. First, it must be acknowledged that more data is needed in order to generate an improved analysis of both the state of the environment and the effectiveness of policies. Second, analyses of individual situations could identify context-specific reasons for failure and explore the complexities in developing and implementing sustainability policies. Third, a micro-level analysis of single issues across different situations would further enrich the analysis. Fourth, tools such as life-cycle analysis, pollution inventories, and more sophisticated biodiversity assessments would be extremely useful. Together these different strands of research should assist in connecting impacts that can be measured to the broader principles of sustainability.

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