# **SYSTEMATIC REVIEW**

# Epidemiology and burden of sepsis acquired in hospitals and intensive care units: a systematic review and meta-analysis

Robby Markwart<sup>1</sup>, Hiroki Saito<sup>2,3</sup>, Thomas Harder<sup>1</sup>, Sara Tomczyk<sup>1</sup>, Alessandro Cassini<sup>2</sup>, Carolin Fleischmann-Struzek<sup>4</sup>, Felix Reichert<sup>1,5,6</sup>, Tim Eckmanns<sup>1</sup> and Benedetta Allegranzi<sup>2\*</sup>

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#### **Abstract**

**Purpose:** Sepsis is recognized as a global public health problem, but the proportion due to hospital-acquired infections remains unclear. We aimed to summarize the epidemiological evidence related to the burden of hospital-acquired (HA) and ICU-acquired (ICU-A) sepsis.

**Methods:** We searched MEDLINE, Embase and the Global Index Medicus from 01/2000 to 03/2018. We included studies conducted hospital-wide or in intensive care units (ICUs), including neonatal units (NICUs), with data on the incidence/prevalence of HA and ICU-A sepsis and the proportion of community and hospital/ICU origin. We did random-effects meta-analyses to obtain pooled estimates; inter-study heterogeneity and risk of bias were assessed.

**Results:** Of the 13,239 studies identified, 51 met the inclusion criteria; 22 were from low- and middle-income countries. Twenty-eight studies were conducted in ICUs, 13 in NICUs, and ten hospital-wide. The proportion of HA sepsis among all hospital-treated sepsis cases was 23.6% (95% CI 17–31.8%, range 16–36.4%). In the ICU, 24.4% (95% CI 16.7–34.2%, range 10.3–42.5%) of cases of sepsis with organ dysfunction were acquired during ICU stay and 48.7% (95% CI 38.3–59.3%, range 18.7–69.4%) had a hospital origin. The pooled hospital incidence of HA sepsis with organ dysfunction per 1000 patients was 9.3 (95% CI 7.3–11.9, range 2–20.6)). In the ICU, the pooled incidence of HA sepsis with organ dysfunction per 1000 patients was 56.5 (95% CI 35–90.2, range 9.2–254.4) and it was particularly high in NICUs. Mortality of ICU patients with HA sepsis with organ dysfunction was 52.3% (95% CI 43.4–61.1%, range 30.1–64.6%). There was a significant inter-study heterogeneity. Risk of bias was low to moderate in ICU-based studies and moderate to high in hospital-wide and NICU studies.

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Initial results of this study were presented at the 32nd annual congress of the European Society of Intensive Care Medicine in Berlin, 2019 (Saito et al. Systematic review on the epidemiology of health care-associated sensis) [90]



<sup>\*</sup>Correspondence: allegranzib@who.int

<sup>&</sup>lt;sup>2</sup> Infection Prevention and Control Technical and Clinical Hub, Department of Integrated Health Services, World Health Organization, Avenue Appia, 1211 Geneva 27, Switzerland Full author information is available at the end of the article

**Conclusion:** HA sepsis is of major public health importance, and the burden is particularly high in ICUs. There is an urgent need to improve the implementation of global and local infection prevention and management strategies to reduce its high burden among hospitalized patients.

Keywords: Sepsis, Healthcare-acquired infections, Hospital-acquired sepsis, ICU-acquired sepsis, Incidence

# Introduction

Sepsis is defined as a life-threatening syndrome associated with physiological, pathological and biological abnormalities caused by a dysregulated host response to infections [1]. It is a global public health concern due to its high mortality and morbidity, and substantial economic burden [2]. Rudd and colleagues recently reported the shocking global estimates of 48.9 million cases of sepsis in 2017 and 11.0 million sepsis-related deaths [3]. According to a systematic review published in 2016 and based on studies from highincome countries, more than 30 million cases of hospital-treated sepsis are estimated to occur every year worldwide, with 5.3 million patients dying from sepsis [4].

Sepsis is also of great significance in the intensive care unit (ICU), where it affects approximately 30% of patients, with large variations between different geographical regions [5]. A study based in the USA with more than 170,000 sepsis cases reported that 55% of all sepsis cases required ICU admission [6]. Although it occurs across all age groups, the burden of sepsis is especially high among neonates [7].

Sepsis can occur as a complication of infections acquired in the community, which is reported to represent up to 70% of all sepsis cases according to Reinhart and colleagues [2]. It can also develop from healthcare-associated infections (HAIs) that are mostly preventable by appropriate infection prevention and control (IPC) measures [8]. According to a 2011 global report by the World Health Organization (WHO), HAIs prevalence varies between 5.7 and 19.1% hospital-wide [9]. More recent data show that in Europe [10] and the USA [11] hospital-wide prevalence of HAIs is 6.5% and 3.2%, respectively. A multicentre prospective study in ICUs in Brazil showed that 60% of sepsis cases were from HAIs, suggesting that HAIs relatively play a more significant role in epidemiological burden in low- and middle-income countries [12].

Importantly, recent data showed that up to 55% of all HAIs can be prevented by the implementation of multifaceted IPC interventions [13], which would ultimately result in a significant reduction in hospital-acquired sepsis (HA sepsis) cases. However, most sepsis studies lack the differentiation between community-acquired and HA sepsis [3, 4], and no systematic review on the global

# Take-home message

In ICUs worldwide, hospital-acquired sepsis is a frequent adverse outcome with high mortality (exceeding 40%) and increased length of stay. There is urgent need to improve the implementation of global and local infection prevention and control strategies to reduce the burden of healthcare-associated infections, as well as approaches for their early diagnosis and adequate treatment to prevent a progression to sepsis complications.

burden of HA sepsis has been conducted yet, including in the ICU setting.

Therefore, we conducted a systematic review and meta-analysis to assess the prevalence, incidence, patient length of stay and mortality of HA sepsis worldwide and to describe causative organisms, including antimicrobial resistance (AMR) patterns.

# Methods

This systematic review followed a protocol published in the Prospective Register for Systematic Reviews (PROS-PERO 2018 CRD42018089554) and was performed according to the guidelines in the Preferred Reporting Items for Systematic Reviews and Meta-analyses (PRISMA) statement [14].

#### Search strategy

We systematically searched MEDLINE, EMBASE and the Global Index Medicus (African Index Medicus, Index Medicus for the Eastern Mediterranean Region, Index Medicus for the South-East Asia Region, Latin America and the Caribbean Literature on Health Sciences and Western Pacific Region Index Medicus) for studies published from 1 Jan 2000 to 7 March 2018 (date of last search). Language was restricted to Arabic, English, French, German, Italian, Japanese, Portuguese, Russian or Spanish. Details of the complete search strategy are presented in Supplementary material 1. Potentially relevant articles were retrieved for full-text review. Search results (titles, abstracts, full texts) were independently assessed by at least two investigators (RM, TH, HS, ST). Discordances were solved by a third reviewer or by discussion.

# Study selection criteria

Studies, including full-text publications and conference abstracts, were included if they met all of the following criteria. (1) Data reported on the incidence or prevalence of HA sepsis (the condition had to be named "sepsis", "severe sepsis" or "septic shock" or similar). (2) Sepsis in children and adults defined according to appropriate sepsis definitions (such as consensus definitions like sepsis-1 [15], -2 [16], -3 [1]) or identified with appropriate International Classification of Disease (ICD) codes (Supplementary material 2, Table 1) [17, 18]. Apart from the diagnosis of clinical sepsis in neonates, studies defining "clinical sepsis" according to the criteria for HAIs of the United States Centers for Disease Control and Prevention (CDC)/National Healthcare Safety Network (NHSN) [19] were excluded, as this definition only represents a subgroup of healthcare-associated primary bloodstream infections. Due to the difficulty regarding the definition of sepsis in neonates and the lack of validated consensus definitions, we included all studies on neonatal sepsis in neonatal ICUs (NICUs) if their sepsis case definition was based on clinical criteria of systemic infections (e.g. fever, hypothermia, bradycardia, apnoea, etc.). (3) The study could be of any design, apart from a randomised controlled trial, case series or case-control study, and had to provide original data. (4) Data collection had to be finished after 1 January 2000. (5) The study was conducted hospital-wide or in ICUs (including paediatric and NICUs) with largely unselected patient cohorts, i.e. not only high-risk populations (e.g. low birthweight neonates) or those with a specific underlying disease (e.g. cancer). (6) The study provided data at least related to the defined primary outcomes of this systematic review.

# Definitions used in this study

For the purpose of this study, "hospital-acquired" is defined as a case of infection/sepsis acquired in the hospital, including ICUs, while "ICU-acquired" denotes a subset of hospital-acquired infections/sepsis and comprises all cases of infection/sepsis acquired during ICU stay. Any reported timescale of "hospital-acquired" was accepted. In the included studies, "hospital-acquired" and "ICU-acquired" were usually defined as disease onset occurring 48–72 h after hospital and ICU admission, respectively.

In this study, "sepsis" is an umbrella term for cases of sepsis, sepsis with organ dysfunction and septic shock. Similarly, "sepsis with organ dysfunction" is an umbrella term for cases of sepsis with organ dysfunction and septic shock. Importantly, cases of "severe sepsis" defined here by sepsis-1 and sepsis-2 definitions were termed "sepsis with organ dysfunction". As the current sepsis-3

definition includes organ dysfunction as part of its sepsis case definition, "sepsis" cases in these studies were designated as "sepsis with organ dysfunction" cases.

# **Study outcomes**

Primary outcomes were population and/or hospital-wide/ICU incidence, incidence density and/or prevalence of HA sepsis; proportion of HA sepsis (1) among all sepsis patients (both of community and hospital origin) or (2) among all patients with HAIs. Secondary outcomes were (1) attributable and crude mortality; (2) length of stay; (3) microbiological data, including data on AMR of microorganisms isolated from sepsis patients.

# Data extraction and risk of bias assessment

From eligible studies, at least two independent reviewers (RM, TH, HS, ST) extracted data on the primary and secondary outcomes and the following study characteristics using standardized forms: study location (including WHO region and income level according to the World Bank [20]; study design; study period; patient inclusion and exclusion criteria; age group; sepsis case definition used; study sponsorship; conflict of interests; infection origin (i.e. hospital-acquired or ICU-acquired); and blood culture status (studies in NICUs). The risk of bias of individual studies was assessed using the tool developed by Hoy et al. [21]. After the initial PROSPERO registration, we modified the protocol and decided not to use the GRADE methodology to assess the quality of evidence because of methodological uncertainties in the application of GRADE to incidence and prevalence studies [22].

# Statistical analysis

HA sepsis types were categorized into sepsis, sepsis with organ dysfunction and septic shock. Studies were grouped into hospital-wide, ICU-based and NICU-based. Pooled estimates were calculated using a random-effects model with logit-transformed raw proportions, and between-study variance  $\tau^2$  was estimated using the Der-Simonian–Laird estimator. Statistical heterogeneity was quantified using  $I^2$  statistics. All statistical analyses were performed using R (version 3.6.1) and the "meta" package (version 4.9.5).

# Role of the funding source

WHO provided funding for the study and acted as a consultant in study design, data extraction, data interpretation and writing of the manuscript. The corresponding author had full access to all the data in the study and had final responsibility for the decision to submit for publication.

# **Results**

Of the 13,239 articles identified in our search, 1752 qualified for full-text review following title and abstract screening, of which 51 [6, 12, 23–71] were included in the systematic review (Fig. 1). A summary of all outcomes studied, including the respective number of studies reporting data for each outcome, is provided in Supplementary material 2, Fig. 1.

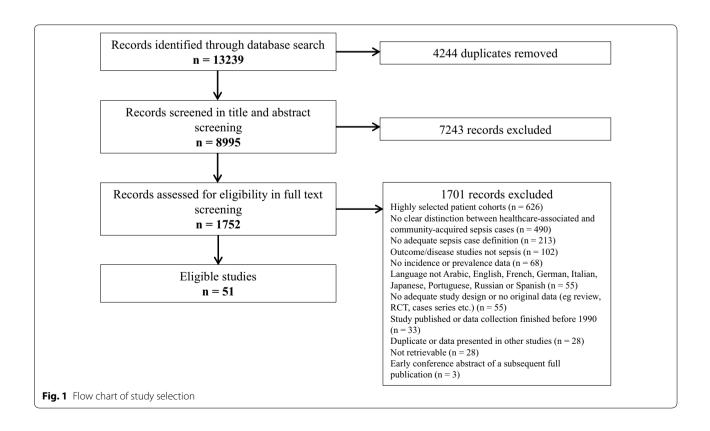
# Study characteristics

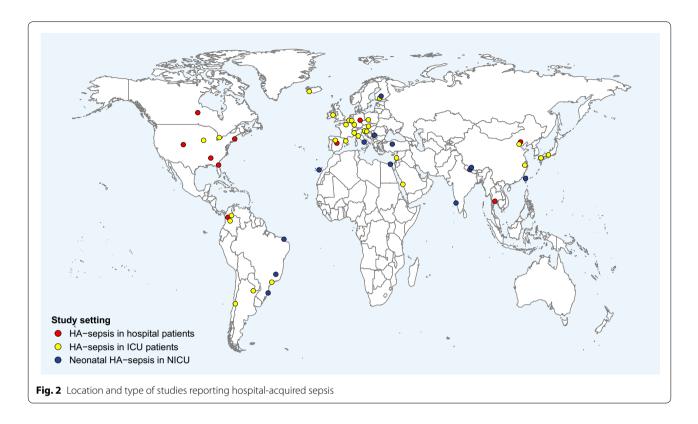
Among the included studies, 28 were conducted in ICUs (including adult or paediatric ICU patients) [12, 32-58], 13 in NICUs [59-71] and 10 were conducted hospital-wide (including patients from all hospital wards) [6, 23-31] (Supplementary material 2, Table 1). Most hospital-wide and ICU-based studies were conducted in high-income countries (n = 26/38) and nations from the European and American WHO regions (n = 29/38) (Fig. 2; Supplementary material 2, Table 1). The studies were carried out between 1997 and 2014. Only two studies were from the WHO Eastern Mediterranean region [33, 38], and only one from the South-East Asia region [23]; no eligible study was identified from the WHO Africa region. Thirty-two of 38 hospital-wide and ICU-based studies were multicentre trials, including one large international study [72] with data from 730 ICUs from 84 countries worldwide. Thirty-three of 38 hospital-wide and ICU-based studies relied on consensus sepsis definitions ( $22 \times \text{sepsis-1}$  [15],  $3 \times \text{sepsis-2}$  [16],  $2 \times \text{sepsis-3}$  [1],  $4 \times \text{sepsis-1/-2}$  consensus definitions, and two used the 2005 definition of International Pediatric Sepsis Definition Consensus Conference [73]); of the remaining five, one study used a modified definition [50] and four hospital-wide studies used ICD-9-based case definitions(Supplementary material 2, Table 1). All ICU-based studies used clinical sepsis definitions.

Studies on HA neonatal sepsis in NICUs were distributed across all WHO regions, apart from the WHO Africa region (Fig. 2; Supplementary material Table 1), and were conducted between 1999 and 2013. By contrast to the adult and paediatric ICU studies, most (n=10/13) neonatal sepsis reports were from low-income (n=2) and middle-income (n=8) countries and represented single-centre trials (n=12/13). Neonatal sepsis case definitions varied. Eight studies used clinical criteria as defined by the CDC/NHSN [19], while the others used modified criteria.

# Risk of bias assessment

In hospital-wide studies, the overall risk of bias was moderate to high (Supplementary material 2, Table 2) except for one study [6] that was judged as low risk of bias. National representativeness was unclear or low in most studies. In four of 10 hospital-wide studies, sepsis cases





were identified using ICD codes. Although ICD codes are often used to identify sepsis cases, the accuracy for HA sepsis case identification remains unknown, and thus, risk of bias of the ICD-based case definitions was judged as high. The overall risk of bias of the ICU-based studies was low to moderate. Although the majority of ICU studies were multicentre trials, national representativeness was unclear or low in most reports. Since all ICU-based studies on paediatric and/or adult sepsis used case definitions based on clinical consensus definitions, risk of bias for the applied case definition was ranked as low. Except for one study [67], the overall risk of bias was high in all neonatal studies due to low national representativeness and the unknown accuracy of the applied neonatal sepsis case definitions. Results for all outcomes studied are summarized in Table 1 and Supplementary material 2 (Tables 3-6), including pooled estimates and  $I^2$  statistics. Results related to the studies [28–30, 32, 33, 38, 49, 52, 53, 58, 68] reporting data on the length of stay (LOS) of patients with HA sepsis as well as those including data on the microbiological profile, including antimicrobial resistance, of hospital-acquired sepsis or sepsis with organ dysfunction [23, 38, 48, 53, 55, 59, 69] are reported in the Supplementary material 3, Tables 1 and 2, respectively.

# Hospital-wide and ICU incidence of HA sepsis per 1000 patients

We identified eight hospital-wide studies [6, 23–27, 29, 31] (including ICUs) that provided data on the incidence of HA sepsis or HA sepsis with organ dysfunction (Fig. 3a; Table 1). As reported by four studies [23, 24, 26, 31], the pooled incidence of HA sepsis was 15.4 (95% CI 9.2–25.7) cases per 1000 patients with individual study estimates ranging from 7.4 to 29.5 cases per 1000 patients. Based on five studies [6, 24, 25, 29, 74], the pooled hospital-wide incidence of HA sepsis with organ dysfunction was 9.3 (95% CI 7.3–11.9, range 2–20.6) cases per 1000 patients. One Spanish multicentre study [24] provided data on the incidence of HA septic shock and reported 1.0 cases per 1000 patients.

In the ICU setting, nineteen studies [32–35, 37, 38, 40, 41, 43, 44, 47–49, 51–54, 57, 58, 72, 75] reported data on the incidence of ICU-acquired and/or HA sepsis (Fig. 3b and Table 1). The pooled incidence of ICU-acquired sepsis was 44.8 (95% CI 25.5–77.4) cases per 1000 ICU patients (seven studies [40, 43, 47, 49, 51, 53, 75]) with individual study estimates ranging from 8 to 90.4 cases per 1000 ICU patients. The pooled incidence of ICU-acquired sepsis with organ dysfunction determined from 12 studies [32–35, 37, 41, 47, 48, 52, 57, 58, 72] was 35.8 (95% CI 19.1–66.3, range 5.0–373.2) cases per 1000 ICU patients. Of note, based on data from 730 ICUs from

Table 1 Summary of studies reporting the incidence and prevalence of hospital-acquired sepsis

Study setting	Sepsis type	Number of studies	Pooled estimate (95% CI)	Inter-study hetero- geneity (I <sup>2</sup> statistics)	Range of individual study esti- mates
Incidence of hospi	tal-acquired sepsis per 1000 hospitalized pat	ients			
Hospital patients	Hospital-acquired sepsis	4	15.4 (9.2–25.7)	$l^2 = 99\%$	7.4–29.5
	Hospital-acquired sepsis with organ dysfunction	5	9.3 (7.3–11.9)	$l^2 = 100\%$	2–20.6
	Hospital-acquired septic shock	1	-	-	1
ICU patients	ICU-acquired sepsis	7	44.8 (25.5–77.4)	$l^2 = 99\%$	8-90.4
	Hospital-acquired sepsis	1	-	-	59.7
	ICU-acquired sepsis with organ dysfunction	12	35.8 (19.1–66.3)	$l^2 = 100\%$	5-373.2
	Hospital-acquired sepsis with organ dysfunction	11	56.5 (35–90.2)	$l^2 = 99\%$	9.2–254.4
	ICU-acquired septic shock	2	20.3 (0.9-317.1)	$l^2 = 100\%$	4.2-91.8
	Hospital-acquired septic shock	1	-	-	23.2
Neonates in NICUs	Hospital-acquired neonatal sepsis	9	112.9 (64.2–191.1)	$l^2 = 99\%$	18.4-368.2
	Blood culture-proven hospital-acquired neonatal sepsis	5	45.7 (26–79.2)	$l^2 = 96\%$	20.5–75.6
Prevalence of hosp	oital-acquired sepsis per 1000 hospitalized pa	ıtients			
Hospital patients	-	0	-	-	-
ICU patients	ICU-acquired sepsis with organ dysfunction	1	-	-	131.5
	Hospital-acquired sepsis with organ dysfunction	1	-	-	181.6
Neonates in NICUs	Hospital-acquired neonatal sepsis	1	-	-	82
	Blood culture-proven hospital-acquired neonatal sepsis	1	-	-	13.2
Incidence of hospi	tal-acquired sepsis per 100,000 population po	er year			
Hospital patients	Hospital-acquired sepsis	2	115.9 (33.2–404)	$l^2 = 100\%$	61.2–219.3
	Hospital-acquired sepsis with organ dysfunction	1	-	-	16.8
	Hospital-acquired septic shock	1	-	-	7.9
ICU patients	ICU-acquired sepsis	2	8.7 (4–18.9)	$l^2 = 95\%$	5.8-12.7
	Hospital-acquired sepsis	1	-	-	1.3
	ICU-acquired sepsis with organ dysfunction	1	-	-	46.6
	Hospital-acquired sepsis with organ dysfunction	4	40.8 (14.3–116.9)	$I^2 = 100\%$	13.8–175
Neonates in NICUs	-	0	-	-	-

The "sepsis" group comprises studies among patients with sepsis, sepsis with organ dysfunction and septic shock. The "sepsis with organ dysfunction" group comprises studies among patients with sepsis with organ dysfunction, and septic shock. 95% CI = 95% confidence interval

84 countries, Vincent and colleagues [72] showed a worldwide incidence of ICU-acquired sepsis with organ dysfunction of 62.3 cases per 1000 ICU patients. For ICU-acquired septic shock, the pooled estimate from two studies [47, 58] was 20.3 (95% CI 0.9–317.1) cases per 1000 ICU patients. Eleven studies [32–35, 38, 41, 44, 48, 52, 54, 57] provided data on ICU-treated HA sepsis with organ dysfunction (acquired in all hospital wards, including ICU) and found a pooled incidence of 56.5 (95% CI 35–90.2, range 9.2–254.4) cases per 1000 ICU patients. No statistically significant differences were found in

pooled summaries of ICU studies with low and moderate risk of bias (Supplementary material 2, Table 7).

# $\ensuremath{\mathsf{HA}}$ sepsis among all sepsis cases hospital-wide and in ICUs

Nine studies [6, 23, 24, 26, 28–31, 74] reported the proportion of HA sepsis among all sepsis patients (Fig. 4a; Supplementary material 2, Table 3) at the hospital level. The pooled proportion of HA sepsis was 23.6% (95% CI 17.0–31.8%) and ranged from 16.0 to 36.4% in individual studies. Among all patients with sepsis with organ dysfunction, the proportion of HA sepsis with

organ dysfunction was 16.4% (95% CI 14.3–18.7%, range 11.3–32.9%) hospital-wide. Two studies [24, 30] showed that 25.4 and 35.0% cases of septic shock were hospital-acquired (pooled estimate: 31.7 [95% CI 23.4–41.4%]).

In ICUs, the pooled proportion of ICU-acquired sepsis among all sepsis patients was 31.4% (95% CI 24.9-38.8%) with individual study estimates ranging from 18.6 to 49.1% (Fig. 4b and Supplementary material 2, Table 3). The pooled proportion for ICU-acquired sepsis with organ dysfunction was 24.4% (95% CI 16.7-34.2%, range 10.3-42.5%). A pooled analysis of fourteen studies [32-35, 38, 41, 44-46, 48, 52, 54, 57] showed that 48.7% (95% 38.3–59.3%, range 18.7–69.4%) of all cases of sepsis with organ dysfunction treated in ICUs were hospitalacquired. For septic shock, two incidence studies [38, 50] showed that 35.7 and 37.4% of all septic shock cases treated in ICUs had a hospital origin (pooled estimate: 35.8% [95% CI 33.2-38.5%]). Pooled estimates were not different between studies with moderate and low risk of bias (Supplementary material 2, Table 7).

# HA neonatal sepsis in NICUs

Nine studies [59–61, 64–66, 69–71] provided data on the incidence of HA neonatal sepsis in NICUs, expressed as cases per 1000 NICU-treated neonates. The pooled incidence of HA neonatal sepsis was 112.9 cases (95% CI 64.2–191.1%) per 1000 NICU-treated neonates (Fig. 5a; Table 1) with individual study estimates ranging from 18.4 to 368.2 cases per 1000 NICU-treated neonates. In NICUs, 56.6% (95% CI 43.5–68.8%, range 9.5–80.0%) of HAIs were found to be HA neonatal sepsis (Fig. 5b; Supplementary material 2, Table 4). The pooled estimate for blood culture-proven cases, a subgroup of HA neonatal sepsis cases, was 45.7 (95% CI 26.0–79.2, range 20.5–75.6) cases per 1000 NICU-treated neonates (five studies [59, 65, 66, 69, 70]) and accounted for 25.0% (95% CI 15.9–37.0%, range 16.5–50.7%)) of all HAIs.

# Population-based incidence of HA sepsis

Only eight studies [12, 24, 31, 34, 44, 51, 54, 55] provided population-based incidence estimates of HA sepsis and HA sepsis with organ dysfunction, expressed as cases per 100,000 population per year. For hospital-treated HA sepsis, two studies from Spain [24] and China [31] reported incidences of 61.2 and 219.3 cases per 100,000 adult population per year (Table 1), respectively. The

annual incidence of ICU-acquired sepsis was 5.8 and 12.7 cases per 100,000 population in two studies from Spain [24] and Italy [51], respectively. For ICU-treated HA sepsis with organ dysfunction, the pooled incidence was 40.8 (95% CI 14.3–116.9, range 13.8–175.0) cases per 100,000 population based on four studies [12, 34, 44, 54].

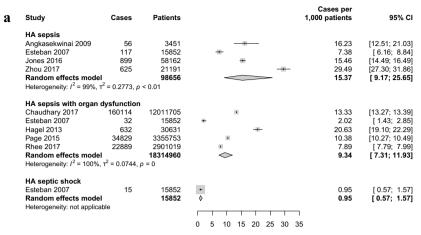
#### Mortality

No studies with data on attributable mortality of HA sepsis were identified. However, 19 studies [6, 12, 23, 26, 29, 30, 32–35, 37–39, 50–53, 57, 58] reported data on mortality among patients with HA sepsis. Hospital-wide (including ICUs) pooled mortality of HA sepsis and sepsis with organ dysfunction was 35.0% (95% CI 25.0–46.6%, range 24.5–54.6%) and 24.4% (95% CI 19.3–30.4%, range 19.2–30.0%), respectively (Supplementary material 2, Fig. 2A; Table 5). Only one study [30] reported the mortality of HA septic shock (52.5%).

Among ICU patients, mortality of ICU-acquired sepsis was 49.8% and 39.3% as shown by Sakr and colleagues [51] and Suka and colleagues [53], respectively (pooled estimate: 44.7% [95% CI 34.7-55.1%]) (Supplementary material 2, Fig. 2B; Table 5). Eight studies [32, 34, 35, 37, 39, 52, 57, 58] on ICU-acquired sepsis with organ dysfunction reported a pooled mortality of 40.5% (95% CI 30.6-51.2%) with individual study estimates ranging from 13.2 to 58.8%. Among ICU-treated patients with HA sepsis with organ dysfunction, including cases acquired in hospital wards and the ICU, the pooled mortality was 52.3% (95% CI 43.4–61.1%, range 30.1–64.6%) (seven studies [12, 33-35, 38, 52, 57]). All ICU-based studies reporting mortality data included only adult patients, except the study from Shime and colleagues [52]. Compared to the adult studies, Shime and colleagues showed lower mortality rates for ICU-acquired and HA sepsis with organ dysfunction in paediatric ICU patients (21.3% and 30.1%, respectively). The study by Quenot and colleagues [50] reported a mortality rate of 53.6% among ICU patients with HA septic shock. No study provided data on the mortality of neonates in NICUs with HA neonatal sepsis, but two studies [59, 68] reported a mortality of 10.0% and 38.0% for blood culture-proven neonatal sepsis, respectively (pooled estimate: 21.9% [95% CI 5.0–59.7%]) (Supplementary material 2, Table 5). Pooled

(See figure on next page.)

**Fig. 3** Pooled incidence of hospital-acquired sepsis per 1000 patients in different settings. **a** Pooled incidence of hospital-acquired sepsis, sepsis with organ dysfunction and septic shock among patients admitted to any ward in the hospital. **b** Pooled incidence of ICU-acquired and hospital-acquired sepsis, sepsis with organ dysfunction and septic shock among patients admitted to the ICU. The "sepsis" group comprises studies on patients with sepsis, sepsis with organ dysfunction and septic shock. The "sepsis with organ dysfunction" group comprises studies on patients with sepsis with organ dysfunction and septic shock. *HA* hospital-acquired, *ICU-A* ICU-acquired, 95% CI 95% confidence interval



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Cases	per	1.	.000	pati	ient	s

			ICU			Casas nor	
) Stu	ıdy	Cases	patients		1	Cases per ,000 ICU patients	95% CI
ICL	J-A sepsis						
	šparovic 2006	152	5293			28.72	[ 24.54; 33.58]
Ma	lacarne 2008	669	9493			70.47	[65.50; 75.80]
Ort	íz 2014	360	6768			53.19	[48.09; 58.80]
Rib	ak 2008	48	531			90.40	[ 68.79; 117.93]
Sal	kr 2013	219	3902			56.13	[49.33; 63.80]
Sul	ka 2006	168	20909			8.03	[ 6.91; 9.34]
The	e Irish CCTG 2008	80	1029			77.75	[ 62.88; 95.76]
	ndom effects model		47925	$\Diamond$		44.77	[ 25.52; 77.40]
Het	erogeneity: I <sup>2</sup> = 99%, т	z = 0.6090, p	< 0.01				
	sepsis íz 2014	404	6768	+		59.69	[ 54 20, 65 50]
	ndom effects model		6768	0		59.69 59.69	[ 54.29; 65.59] [ <b>54.29</b> ; <b>65.59</b> ]
	erogeneity: not applica		0700	•		33.03	[ 34.23, 03.33]
	J-A sepsis with org						
	rie 2005	128	1698	+		75.38	[ 63.75; 88.94]
	naroon 2015	20	640	-		31.25	[ 20.25; 47.94]
	ovic 2008	36	701			51.36	[ 37.27; 70.38]
	nco 2008	51	2619	•		19.47	[ 14.83; 25.53]
	eng 2007	135	3665			36.83	[ 31.20; 43.44]
	lacarne 2008	47	9493			4.95	[ 3.72; 6.58]
	rtin 2009	475	6298	•		75.42	[ 69.15; 82.21]
	Net CCTG 2016	386	11883			32.48	[ 29.44; 35.83]
	me 2012	47	9071			5.18	[ 3.90; 6.89]
	cent 2013	832 23	10069			82.63	[77.41; 88.17]
	norec 2005		1533	•		15.00	[ 9.99; 22.48]
	ou 2014 ndom effects model	484	1297 <b>58967</b>	$\sim$		373.17 <b>35.79</b>	[347.24; 399.84] [ <b>19.05</b> ; <b>66.25</b> ]
	erogeneity: $I^2 = 100\%$ ,					33.79	[ 19.05, 66.25]
на	sepsis with organ of	dysfunction					
	rie 2005	432	1698			254.42	[234.26; 275.68]
Bah	naroon 2015	58	640			90.62	[ 70.71; 115.46]
	ovic 2008	58	701	-		82.74	[ 64.50; 105.55]
	nco 2008	144	2619	+		54.98	[ 46.88; 64.39]
	bar 2015	48	1464	+		32.79	[ 24.79; 43.24]
	Isson 2007	184	4500	*		40.89	[ 35.48; 47.08]
	rtin 2009	780	6298	+		123.85	[115.94; 132.22]
	Net CCTG 2016	860	11883	•		72.37	[ 67.85; 77.17]
	me 2012	83	9071	*		9.15	[ 7.38; 11.33]
	steinsdottir 2011	33	1524	*		21.65	[ 15.43; 30.30]
	norec 2005	84	1533	+		54.79	[ 44.46; 67.37]
	ndom effects model erogeneity: $I^2$ = 99%, $\tau$		<b>41931</b> < 0.01	<b>&lt;&gt;</b>		56.54	[ 34.96; 90.18]
ICL	J-A septic shock						
	lacarne 2008	40	9493			4.21	[ 3.09; 5.74]
	ou 2014	119	1297	-		91.75	[ 77.20; 108.72]
	ndom effects model erogeneity: $I^2 = 100\%$ ,		<b>10790</b> o < 0.01			20.31	[ 0.92; 317.11]
НА	septic shock						
	bar 2015	34	1464	+		23.22	[ 16.64; 32.33]
	ndom effects model		1464	<b>♦</b>		23.22	[ 16.64; 32.33]
	erogeneity: not applica						
				0 100	200 300 400		
				Cases per	1,000 ICU patients		

mortality was not different between studies with moderate and low risk of bias (Supplementary material 2, Table 7).

#### Discussion

To our knowledge, this systematic review is the first to investigate the burden of HA sepsis at both hospital and ICU level. The main finding of our study is that HA sepsis poses a major burden among hospitalized patients, particularly in ICUs.

In ICUs, nearly one in four (24.4%) cases of sepsis with organ dysfunction was acquired during ICU stay, and more compelling, nearly half of all cases (48.7%) had originated in the hospital. The significance of HA sepsis with organ dysfunction in the ICU is also emphasized by our findings that 36 and 56 out of 1000 ICU patients developed sepsis with organ dysfunction in the ICU and in the hospital, respectively. This high rate has a major clinical implication as it has been shown that patients who develop sepsis during ICU stay have a significantly higher mortality and longer length of stay than ICU patients without sepsis [76]. Importantly, we found that the mortality of ICU patients with ICU- or hospital-acquired sepsis exceeded 40%, which is considerably higher than the overall mortality rates reported in critically ill ICU patients [77]. The incidence of HA sepsis was particularly high among neonates treated in NICUs. More than 110 out of 1000 admitted neonates suffered from HA sepsis, with HA neonatal sepsis representing more than 50% of all HAIs in this setting. Moreover, we found that the average length of ICU or hospital stay of patients with HA sepsis is much longer than that of patients with community-acquired sepsis, thus highlighting the considerable clinical and economic significance of HA sepsis in ICUs.

These findings indicate the urgent need to increase efforts to promote IPC programmes and interventions to reduce HAIs and their evolution to septic complications. WHO has repeatedly acknowledged the significant role of IPC programmes to combat sepsis, with clear calls to action [78]. Sepsis is avoidable in both the community and healthcare settings by preventing infection and halting its evolution to more severe conditions by rapidly establishing appropriate support and antimicrobial therapy [79, 80]. In particular, WHO and others have provided strong evidence and recommendations on

the effectiveness of IPC to reduce the incidence of severe HAIs worldwide [13, 81–84], including sepsis [85]. However, much has still to be done, when considering that only 28% of countries worldwide report to have functional IPC programmes implemented at the national level and in all healthcare facilities, according to WHO recommendations [86].

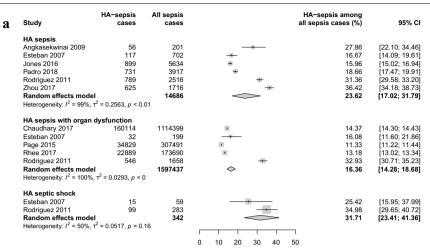
Similar to a previous systematic review on the global incidence of hospital-treated sepsis [4], we identified a limited number of population-based studies. Thus, the global incidence of HA sepsis remains unclear and needs to be addressed in future studies. However, based on four large multicentre studies, we found a pooled populationlevel estimate of ICU-treated HA sepsis with organ dysfunction of 40.8 cases per 100,000 population per year. If the pooled estimate of the three European studies [34, 44, 54] (24.5 cases per 100,000 population) is extrapolated to countries of the European Union (EU) and European Economic Area (EEA) (518 million inhabitants), a tentative estimate would suggest approximately 127,000 cases of ICU-treated HA sepsis with organ dysfunction every year in this area. In line with this, Cassini and colleagues estimated that about 2,600,000 new cases of HAIs occur in the EU/EEA every year and that HAIs are considered the top infectious disease issue in this area [87].

Regarding the microbiological aetiology and related AMR patterns of HA sepsis, we could find limited evidence provided by seven studies only and substantial differences in findings were observed between individual ICU- and NICU-based studies. AMR is recognized as being one of the greatest public health challenges [88–90]. Accordingly, we found that a substantial proportion of organisms causing HA sepsis exhibited clinically relevant AMR. Given the clinical impact of resistant organisms on the treatment of sepsis [91], more studies specifying microbiological profiles in HA sepsis are needed.

Our study has some limitations. Due to the rigorousness of our methodology, we were only able to include a relatively low number of studies clearly reporting data on HA sepsis. Indeed, we excluded 490 papers, including some large good-quality studies, during the full-text review as the distinction between healthcare-associated and community-acquired sepsis was unclear. This is also linked to the fact that many epidemiological

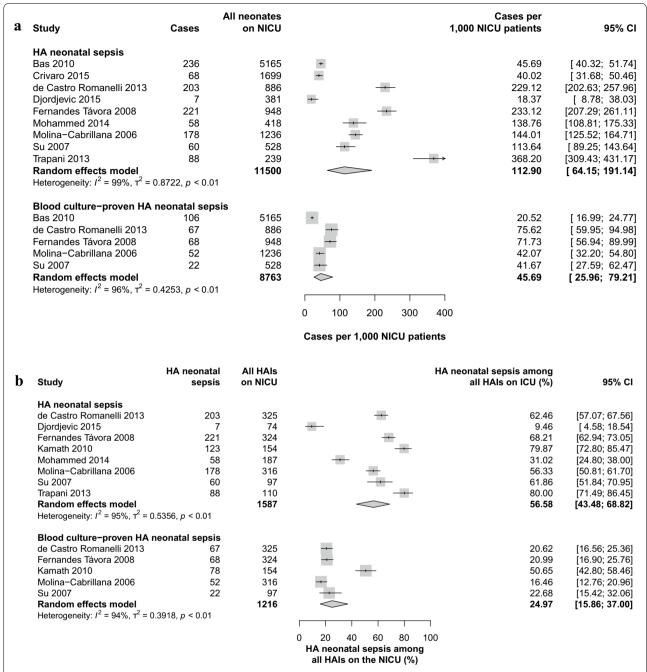
(See figure on next page.)

Fig. 4 Pooled proportions of hospital-acquired sepsis cases among all sepsis cases. **a** Pooled proportions of hospital-acquired sepsis, sepsis with organ dysfunction or septic shock among hospital patients (including ICU wards) with sepsis, sepsis with organ dysfunction or septic shock. **b** Pooled proportions of ICU-acquired and hospital-acquired sepsis, sepsis with organ dysfunction and septic shock among ICU patients with sepsis, sepsis with organ dysfunction or septic shock. The "sepsis" group comprises studies among patients with sepsis, sepsis with organ dysfunction and septic shock. The "sepsis with organ dysfunction" group comprises studies among patients with sepsis with organ dysfunction and septic shock. HA hospital-acquired, ICU-A = ICU-acquired; 95% CI = 95% confidence interval



HA-sepsis among all sepsis cases (%)

b	Study	HA-sepsis cases on ICU	All sepsis cases on ICU		HA-sepsis among all sepsis cases on ICU (%)	95% CI
	ICU-A sepsis					
	Carvajal-Estupinan 2016	18	97	-	18.56	[12.02; 27.54]
	Gašparovic 2006	152	587	-	25.89	[22.51; 29.59]
	Malacarne 2008	669	2494		26.82	[25.12; 28.60]
	Ortíz 2014	360	826	-	43.58	[40.24; 46.99]
	Ribak 2008	48	156		30.77	[24.03; 38.44]
	Sakr 2013	219	446	-	49.10	[44.48; 53.74]
	Suka 2006	168	450		37.33	[32.98; 41.90]
	The Irish CCTG 2008	80	366	-	21.86	[17.92; 26.38]
	Random effects model		5422		31.38	[24.85; 38.75]
	Heterogeneity: $I^2$ = 96%, $\tau^2$ =	0.2022, p < 0.01				
	HA sepsis					
	Ortíz 2014	404	826		48.91	[45.51; 52.32]
	Pérez 2014	31	136	-	22.79	[16.51; 30.59]
	Random effects model		962		35.07	[14.57; 63.10]
	Heterogeneity: $I^2 = 97\%$ , $\tau^2 =$	0.6686, p < 0.01				
	ICU-A sepsis with organ	ducturation				
	Adrie 2005	128	841	+	15.22	[12.95; 17.81]
	Baharoon 2015	20	96		20.83	[13.85; 30.10]
	Beovic 2008	36	91		39.56	[30.07; 49.91]
	Blanco 2008	51	311		16.40	[12.69; 20.94]
	Cheng 2007	135	318		42.45	[37.13; 47.96]
	Kübler 2015	515	4999		10.30	[ 9.49; 11.18]
	Malacarne 2008	47	224		20.98	[16.14; 26.81]
	Martin 2009	475	1238	*	38.37	[35.70; 41.11]
	SepNet CCTG 2016	386	1503	<del></del>	25.68	[23.54; 27.95]
	Shime 2012	47	127		37.01	[29.07; 45.72]
	Zahorec 2005	23	121		19.01	[12.97; 26.99]
	Random effects model		9869		24.41	[16.72; 34.17]
	Heterogeneity: $I^2$ = 99%, $\tau^2$ =	0.6211, p < 0.01				
	HA sepsis with organ dys	function				
	Adrie 2005	432	841	+	51.37	[47.99; 54.73]
	Baharoon 2015	58	96		60.42	[50.35; 69.68]
	Beovic 2008	58	91		63.74	[53.41; 72.93]
	Blanco 2008	144	311		46.30	[40.83; 51.87]
	Dabar 2015	48	120		40.00	[31.63; 48.99]
	Karlsson 2007	184	472		38.98	[34.68; 43.46]
	Kübler 2015	2174	4999	*	43.49	[42.12; 44.87]
	Levy 2012 (USA)	3506	18766		18.68	[18.13; 19.25]
	Levy 2012 (Europe)	2809	6609		42.50	[41.32; 43.70]
	Martin 2009	780	1238	*	63.00	[60.28; 65.65]
	SepNet CCTG 2016	860	1503	*	57.22	[54.70; 59.70]
	Shime 2012	83	127		65.35	[56.69; 73.11]
	Vesteinsdottir 2011	33	115		28.70	[21.18; 37.61]
	Zahorec 2005	84	121		69.42	[60.66; 76.97]
	Random effects model Heterogeneity: $I^2 = 100\%$ , $\tau^2$	= 0.6484. n = 0	35409		48.73	[38.26; 59.32]
	ICU-A septic shock		4	_		
	Malacarne 2008	40	474		8.44	[ 6.25; 11.30]
	Quenot 2012	64	1147	*	5.58	[4.39; 7.07]
	Random effects model Heterogeneity: $I^2 = 78\%$ , $\tau^2 =$	0.0769 n = 0.02	1621	<b>~</b>	6.80	[ 4.51; 10.12]
	neterogeneity: 1 = 76%, 1 =	0.0766, p = 0.03				
	HA septic shock			_		
	Dabar 2015	34	91		37.36	[28.06; 47.70]
	Quenot 2012	409	1147	<u> </u>	35.66	[32.94; 38.48]
	Random effects model Heterogeneity: $I^2 = 0\%$ , $\tau^2 = 0$	0.74	1238	♥	35.78	[33.16; 38.50]
	necerogeneity: I = 0%, T = 0	σ, p = 0.74			٦	
				0 20 40 60	80	
			HA-se	epsis among all sepsis cases or	ICUS (%)	



**Fig. 5** Pooled estimates of hospital-acquired neonatal sepsis on NICUs. **a** Pooled incidence of hospital-acquired neonatal sepsis among neonates admitted to NICUs. **b** Pooled proportions of hospital-acquired neonatal sepsis among NICU patients with sepsis. *HA* hospital-acquired

studies on sepsis rely on the use of ICD codes for sepsis case detection, rather than the prospective collection of data according to clinical consensus definitions, thus leading to a greater difficulty in distinguishing between healthcare-associated and community-acquired sepsis. Despite our broad search strategy with a special focus on low- and middle-income countries, most included

hospital-wide and ICU-based studies were from highincome countries from the European and American WHO regions. Although our search/inclusion strategy comprised a wide range of languages, we cannot exclude that, due to language restrictions, some relevant studies particularly from low- and middle-income countries might have been missed. Therefore, similar to previous reviews or global estimates on sepsis, our findings might not represent the epidemiology of HA sepsis in low- and middle-income countries and in other WHO regions. However, it is likely that the incidence of HA sepsis among hospital-treated patients is even higher than our estimates suggest as HAIs are more prevalent in these countries [92]. Furthermore, we were unable to estimate the incidence of HA-sepsis-related deaths as no studies with data on attributable mortality were identified. Another limitation is that only two included studies used the current sepsis-3 consensus definition. The majority of hospital- and ICU-wide studies (including those that are recent) were based on the sepsis-1 definition. In view of this, our estimates for "sepsis with organ dysfunction", including "severe sepsis" according to sepsis-1 and sepsis-2 definitions and "sepsis" according to the sepsis-3 definition, better reflect the current epidemiology of sepsis as the sepsis-3 definition includes organ dysfunction as defining criteria.

It is encouraging that the risk of bias of the individual ICU-based studies included was low to moderate, with the main source of risk being the unclear national representativeness of most reports. In contrast, the overall risk of bias was moderate to high in the great majority of hospital-wide and NICU-based studies, mostly due to low national representativeness and unclear reliability of the applied sepsis case definitions. We consistently found a very large heterogeneity between individual study estimates which should lead to caution in the interpretation of the meta-analyses results. However, based on our approach to only pool studies from similar settings, we decided that reporting these summaries provides a sufficiently robust analysis and a valuable contribution to this very relevant epidemiological topic. The variations between individual studies may be explained by methodological differences among studies, including applied sepsis case definitions, such as differences between clinical consensus definitions and administrative data [93, 94]. To our knowledge, there is no validated approach using administrative data to specifically identify sepsis cases of healthcareassociated origin. Indeed, it is a current research priority to reach a final international consensus on the most suitable ICD codes to trace sepsis cases and the most frequent conditions that lead to sepsis-related death. Moreover, as there is no validated sepsis definition for neonatal sepsis [95], case definitions varied and mainly relied on clinical symptoms and often did not include laboratory testing. In addition, the diagnostic criteria of neonatal sepsis used in the included studies might have also captured infections without any organ dysfunction. Furthermore, inter-study variations may also be caused by differences in patient characteristics (such as age [96] and comorbidities [97]), time of the study or could reflect true differences in the prevalence of underlying HAIs between countries and regions as well as between individual hospitals, as observed in several studies [10, 92, 98]. Ultimately, heterogeneity may be also explained by country differences in healthcare access and quality, since it has been shown that locations with less developed healthcare systems exhibit a higher sepsis incidence and mortality [3]. Based on these limitations and identified knowledge gaps, we conclude that more methodologically robust studies, especially from lowand middle-income countries, are needed to accurately understand the global burden of healthcare-associated sepsis (see Supplementary material 2, Table 8).

In summary, our study provides the first comprehensive summary of published evidence on the burden of HA sepsis including ICU-acquired sepsis. Our findings emphasize the public health importance of HA sepsis among hospitalized patients, with particular focus on ICUs, and the urgent need to improve the implementation of global and local IPC strategies to reduce the burden of HAIs, as well as approaches for their early diagnosis and adequate treatment to prevent a progression to sepsis complications. Further research is required to close major knowledge and methodological gaps identified by our study.

#### **Electronic supplementary material**

The online version of this article (https://doi.org/10.1007/s00134-020-06106-2) contains supplementary material, which is available to authorized users.

#### **Author details**

Department of Infectious Disease Epidemiology, Robert Koch Institute, Berlin, Germany. Infection Prevention and Control Technical and Clinical Hub, Department of Integrated Health Services, World Health Organization, Avenue Appia, 1211 Geneva 27, Switzerland. Department of Emergency and Critical Care Medicine, Yokohama City Seibu Hospital, St. Marianna University School of Medicine, Yokohama, Japan. Center for Sepsis Control and Care, Jena University Hospital, Jena, Germany. Sobert Koch Institute, Berlin, Germany. European Programme for Intervention Epidemiology Training, European Centre for Disease Prevention and Control, Stockholm, Sweden.

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#### Authors' contribution

RM, HS, TH, ST, TE, AC and BA designed the study. RM, HS, TH and ST performed literature screening, study selection and data extraction. RM and TH assessed the risk of bias. RM conducted the statistical analyses. RM and BA led the writing of the manuscript. All authors revised the manuscript for important intellectual content.

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The World Health Organization provided funding for this study and acted as a consultant in study design, data extraction and interpretation as well as in writing of the manuscript. The Federal Ministry of Health of Germany, the Federal Republic of Germany, also provided funding for this study.

#### Compliance with ethical standards

# **Conflicts of interest**

CFS received grants from the German Federal Ministry of Education and Research (BMBF) via the Center for Sepsis Control and Care (CSCC; FKZ: 01EO1002 and 01EO1502) and from the Innovation Funds of the German government (FKZ 01VSF17010). The other authors declare no competing interests.

#### Availability of data and materials

All data and materials generated during the current study are available from the corresponding author on reasonable request.

# Consent for publication

All authors approved the final version submitted for publication.

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