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# Equivalent Circuit Model for Coupled Complementary Metasurfaces

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*Abstract*— Coupled complementary metasurfaces (CCMTS) exhibit a passband whose frequency is several times lower than that of the individual metasurface (MTS) passband frequency. In this paper we explain this phenomenon and propose a simple and accurate equivalent circuit for CCMTS comprised of slots and their Babinet complement, dipoles. An equivalent circuit is extracted from a coupled EFIE-MFIE equation using a synthetic basis function. The same procedure can be conveniently applied to any CCMTS. The model allows one to estimate the large downshift of resonant frequency and the bandwidth utilizing a simple formula. When used in a subresonant regime, the unit cell may have a dimension of a tenth of a free space wavelength with a moderate value of permittivity between the complementary layers.

#### Index Terms — Metasurfaces, FSS, Equivalent Circuit Model

#### I. INTRODUCTION

Metamaterials are artificially structured materials constituted by subwavelength locally periodic elements [1]. These elements, also called meta-atoms, can be used to produce exotic electromagnetic properties (like negative refractive index) which are not naturally available [2]. Hence metamaterials can be used to design novel electromagnetic devices which were considered impossible by using naturally occurring materials for example, superlenses with perfect focusing and cloaking devices [3]–[5]. However owing to their 3d nature, these materials exhibit strong absorption losses and are also difficult to manufacture, especially at microwave frequencies [6]. Such factors have limited the integration of metamaterials in practical applications. Metasurfaces [7] (thin version of metamaterials) suffer less of these drawbacks. Just like metamaterials their individual building blocks (unit cells) are sub-wavelength in dimensions but due to their planar nature they are significantly easier to manufacture and integrate into nanophotonic and microwave devices [8]. The compact nature of individual unit cells of metasurfaces offers sub-wavelength resolutions and allows them to be characterized through continuous (homogeneized) boundary conditions [9]. This allows metasurfaces to have sub-wavelength spatial variability by selectively modifying the constituent inside the individual unit cells, thus allowing for control and shaping of the wavefronts of the impinging electromagnetic space wave [10]-[11]. Indeed, the tangential derivative of phase discontinuity across the surface can be modified in such a way to shape the wavefront of the electromagnetic wave transmitted through a metasurface.

The complete arbitrary control of the direction and shape of the wavefronts can be achieved if the metasurface exhibits phase discontinuity ranging from  $-\pi$  to  $\pi$ . This has been achieved using v-shaped nanoantennas and also led to the derivation of the generalised laws of reflection and refraction

and the denomination of "phase gradient metasurfaces" [11]. The efficiency of the metasurfaces which offer a complete  $2\pi$ phase control can be improved by using multi-layered metasurfaces as shown in [12]. The phase discontinuity across the metasurface is due to the surface currents excited by the impinging wave on its individual elements. Huygen's equivalence (Schelkunoff's) principle states that these surface currents are equivalent to the tangential electric and magnetic fields, and that they are responsible for the electromagnetic field outside any surface. Therefore, leading to a generalization of phase gradient metasurfaces into the so called Huygen's metasurfaces [13]. These surfaces possess more degrees of freedom in controlling the transmitted field than the phase gradient metasurfaces [11], while having the ability to cancel the reflected field. Although frequency selective surfaces and transmit/reflect-arrays can be employed for achieving a specific electromagnetic response, their individual unit cells are typically not of sub-wavelength dimensions, therefore neither do they offer sub-wavelength spatial resolution nor can they be considered as homogeneous structures [9].

Huygen's metasurfaces can be reflection-less. Among the various possible realizations, these can be formed by using two or more separate sheets of individual planar elements. One sheet introduces an electric field discontinuity while the second sheet has been applied to create a discontinuous magnetic field thereby creating a Huygen's source [13]. The electric and magnetic polarizabilities of these sheets are tailored so that the resulting electric admittance and magnetic impedance are imaginary and equal in order to form elementary Huygen's sources. This result allows perfect transmission without any reflections [14]. The behavior of Huygen's metasurfaces has been explained by the help of an equivalent circuit model. This equivalent circuit model employs a lattice network approach for quantifying the response of a Huygen's metasurface [15]. The lattice network model was based on the approach presented in [16] to explain the anomalous behavior of a dogbone structure employed as a meta-layer for near unity transmission. Similarly equivalent circuit parameters for planar and multi-layered FSSs using a Floquet analysis have been presented in [17, 18]. A hybrid approach under the assumption that the current is independent of the frequency is employed to extend this circuit modelling to periodic layers with arbitrary shaped scatterers. The initial current distribution is imported from a full-wave analysis and subsequently used to extract the circuit parameters [19]. An equivalent circuit model of fish-net type negative index materials in its closed form has been shown [20]. This model correctly predicts the response for both short and long periods between the adjacent layers. A wideband circuit model for the FSSs surrounded by dielectric materials extracted from integral equations has been presented in [21] and [22].

The principle of Huygen's metasurfaces has been (inductive, or L-type) and the second one of dipole-type surface with a reflectionless metasurface resulted in overcoming the inherent illumination efficiency limit [25].

Metasurfaces can be also used to control surface waves propagation and radiation [26]. This approach has been adopted to design various metasurface lens antennas [27], [28]. The successful use of metasurfaces to design Luneberg and Maxwell fish-eye antennas has also been demonstrated [29]-[31]. Metasurfaces have been successfully shown to be able to control/alter the polarization of an incoming electromagnetic wave [32]. When a periodic modulation of the homogenized impedance is realized along the direction of propagation, the surface wave can be transformed into a leaky wave. Metasurfaces have been used to design leaky-wave antennas [33]. By using anisotropic metasurfaces, special boundary conditions have been imposed on surface waves which convert them to unbounded leaky waves [34]. These metasurface based leaky wave antennas make an excellent candidate for space applications. It has been shown that the polarization, phase and amplitude of the wave radiated from these antennas can also be controlled by imposing of boundary conditions [35]-[37].

The work presented here focusses on explaining the behavior of a different class of metasurfaces inspired from Babinet's Principle. We call them closely coupled complementary metasurfaces. It has been shown in the literature that when two Babinet's complements are placed in close proximity of each other, the resulting structure acts as a band pass structure [38]-[40]. The unit cell size of this structure (at the resonant frequency), as well as the distance between the complementary metalayers, are much smaller with respect to the free space wavelength which allows the structure to be categorized as a metasurface. These types of metasurfaces suffer from time consuming simulations, especially if the scattering matrix approach is adopted. However we propose an analytical, closed form approximation of slot-dipole metasurfaces discontinuity-type boundary conditions which offers a fast and efficient way to analyse and design such a tightly coupled structure. To this end we solve coupled EFIE-MFIE integral equations by the use of a single synthetic function. From this formulation, it appears quite clear why combining the two Babinet's complements leads to a downscaling of the resonant frequency. This paper also shows that even though the two Babinet's complements have a natural band pass and band stop response respectively, when they are coupled together to form a single metasurface, the resultant response will always be band pass. The presented structure allows the easy design of Huygen's MTS.

## II. EQUIVALENT MODELS FOR ISOLATED COMPLEMENTARY METASURFACES

Let us first consider the geometrically two complementary MTSs separately, the first one of slot-type

extended to optical frequencies as shown in [23] thus making (capacitive, or C-type). Both the MTSs are suspended in free them possible to be used for holography and novel beam space and their metallic parts are lossless. A rectangular generators. Carefully designed Huygen's metasurfaces are reference system (x, y, z) is defined with the z axis normal to the capable of increasing the directivity of any source [24]. This surface and the x and y axes aligned with the axes of the square allowed the design of a highly directive low profile antenna lattice of the periodic cell. In order to analyze the behavior of with an aperture illumination efficiency of near-unity. the surface when subjected to an incident wave, we exploit the Although the antenna design resembles to that of Fabry-Perot MTS transmission line analogs along the z axis, as shown Fig. leaky wave antennas, the replacement of a partial reflective 1. These vertical transmission lines are associated with the dominant term in the Floquet-mode (FM) expansion of the electromagnetic field, which is identified as the dominant FM. In the following we will denote  $k = \omega \sqrt{\varepsilon_0 \mu_0}$  the free space wavenumber,  $\omega$  is the angular frequency,  $\varepsilon_0$  the free space permittivity and  $\mu_0$  for the free space permeability and  $\zeta = \sqrt{\mu_0/\varepsilon_0}$ the free-space impedance. Although the discussion that follows is relevant to a general complementary couple, here we will refer to a simple slot (along *x*) and dipole (along y). The slot-type and the dipole type MTS can be represented as a parallel  $L_{\rm s}-C_{\rm s}$  circuit and a series  $L_{\rm d}-C_{\rm d}$ circuit, respectively, both in parallel to the transmission line. For the slot type, the inductance  $L_{\rm s}$  determines the dominant behavior at low frequencies, while the capacitance  $C_s$  is subdominant at low frequencies. A dual behavior occurs for dipoles, i.e.,  $C_d$  is dominant and  $L_d$  subdominant at low frequencies. The C-type exhibits a band stop response while the L-type has a band pass response around a resonant frequency. For L-type and C-type configurations the  $TM_z$  and TE<sub>z</sub> characteristic impedances of the transmission lines can be written as  $Z_{TM} = \zeta \cos \theta_i$ , and  $Z_{TE} = \zeta / \cos \theta_i$ , respectively, where  $\theta_i$  is the incidence angle with respect to the normal. For both configurations, a scan in the E-plane (yz) will be  $TM_z$  and a scan in the H-plane will be  $TE_{z}$ .

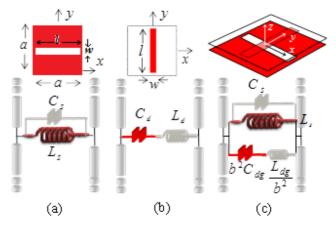


Figure 1: Equivalent circuit model of MTS. (a) slot in isolation, (b) dipole in isolation, (c) coupled complementary MTS. The distance between the layers is d. In all cases the elements are embedded in a periodic environment. Slot and dipole both have length 'l' and width 'w'.

The homogenized equivalent impedances of the two shunt loads in the equivalent transmission lines have to satisfy the Babinet principle [41], namely

$$\left(\frac{\omega L_{\rm s}}{1-\omega^2/L_{\rm s}C_{\rm s}}\right)\left(\frac{1-\omega^2/L_{\rm d}C_{\rm d}}{\omega C_{\rm d}}\right) = \frac{\zeta^2}{4}$$

Since the right hand side of (1) does not depend on frequency, (1) can be satisfied if and only if

$$1/\sqrt{L_d C_d} = 1/\sqrt{L_s C_s} = \omega_0 \tag{2}$$

$$\sqrt{L_s/C_d} = \sqrt{L_d/C_s} = \zeta/2 \tag{3}$$

The above are two independent equations that allows one to find the four components  $L_d$ ,  $C_d$ ,  $L_s$ , and  $C_s$  on the basis of the knowledge of the resonant frequency  $\omega_0$  and one of the four parameters. This is true for any couple of complementary MTS. This is true for any couple of complementary surfaces, provided that the metal is infinitesimally thin and the layer is immersed in free-space. For the particular case of the dipole and slot, the resonance frequency is such that the length is approximately half of the free-space wavelength, like the one of a dipole (slot) in isolation. An accurate estimate of the parameters is obtained by using a single "synthetic" basis function (SBF) in a Method of Moments (MoM) solution of an integral equation. This SBF is the combination of three resonant basis as stated in equation (4).

$$f_{e}(x, y) = rect(x, l)rect(y, w) \sqrt{\frac{2}{lw}} \sum_{n=1}^{3} C_{n} \cos(\pi nx / l)$$
(4)

with  $C_1$ =5.88,  $C_2$ =0.25 and  $C_3$ =0.33. It is seen that this combination provides excellent results for any phasing. These coefficients are obtained by first solving the integral equations with MoM using three basis functions. The magnitude of each basis of this solution is then used as the co-efficient  $C_n$ . This approach allows synthesizing a single basis function which accurately represents the electric and magnetic currents in the two complementary elements. The SBF for the electric dipole currents is obtained by interchanging x and y, that is,  $f_h(x,y) = f_e(y,x)$ . The corresponding spectral functions are denoted by  $h(k_x,k_y) = e(k_y,k_x)$  (dimensionally a length). The standard individual EFIE or MFIE integral equations can now be established, and solved by the Galerkin Method of Moments with the SBF. Following the scheme in [38], this leads to the following values for the circuit in Fig.1

$$L_{d} = \frac{\mu_{0}}{2a^{2}} \sum_{pq\neq0,0} \left[ \frac{1}{\alpha_{pq}} \right] \left| h_{pq} \right|^{2}; \quad C_{d} = \frac{\varepsilon_{0} 2a^{2}}{\sum_{pq\neq0,0} \alpha_{pq} \left| h_{pq} \right|^{2}} \quad (5)$$

$$C_{s} = \frac{2\varepsilon_{0}}{a^{2}} \sum_{pq\neq0,0} \frac{1}{\alpha_{pq}} \left| e_{pq} \right|^{2}; \quad L_{s} = \frac{\mu_{0}a^{2}}{\sum_{pq\neq0,0} 2\alpha_{pq} \left| e_{pq} \right|^{2}} \quad (6)$$

where

$$\alpha_{pq} = \sqrt{\left(k_{x0} + \frac{p\pi}{a}\right)^2 + \left(k_{y0} + \frac{q\pi}{a}\right)^2 - k^2}$$
(7)

 $h_{pq}$  and  $e_{pq}$  are the basis (testing) spectral functions  $h(k_x, k_y) = e(k_y, k_x)$ , evaluated at the wavenumber

(1)  $k_{xp} = k_{x0} + \frac{p\pi}{a}$ ,  $k_{yq} = k_{y0} + \frac{q\pi}{a}$ . The latter may be found in closed form for the SBF in (4). The above expressions should be considered for incidence on the E plane (p,q) = (p,0) with TM polarization, and for incidence on the H-plane (p,q) = (0,q) with TE polarization. Correspondingly,  $k_{x0} = k \sin \theta_i$ ,  $k_{y0} = 0$ , for TE-pol/H-plane-incidence, and  $k_{x0} = 0$ ,  $k_{y0} = k \sin \theta_i$  for TM-pol/E-plane-incidence. It can be easily seen that (5) and (6) respects (2) and (3) as expected. Although in (5) and (6) there is dependence of the capacitance and the inductance on the frequency and the incidence, *this dependence is very weak and disappears at low frequencies*, thus allowing for the identification with the equivalent circuit in Fig. 1.

## III. EQUIVALENT CIRCUIT MODELLING OF COUPLED COMPLEMENTARY METASURFACES

Consider now the MTS constituted of the aforementioned couple, to make closely coupled complementary metasurfaces (CCMTS) placed in the xy-plane, with a small separation distance d. The periodicity of the structure is a along both x- and y-axes. The incoming electromagnetic wave is travelling in the direction of the positive z-axis.

#### A. Free space between the layers

For simplicity in the formulation and physical interpretation, first assume that the dielectric material present between the two complimentary Babinet's layers is free space. Later, we provide the formulation for any dielectric material placed in-between. We assume that the thickness of any metal is negligible. A standard EFIE-MFIE couple of integral equations can be established, and solved by the Galerkin Method of Moments, in which a single basis (test) function is used over the slot and the dipole.

By transforming the set of integral equations in the Fourier spectral domain, the following set of algebraic Floquetmode equations can be derived

$$Z_d I - bV = V_i \qquad \text{spectral EFIE} \\ bI + Y_s V = I_i \qquad \text{spectral MFIE} \qquad (8)$$

where *I* represent the current at the dipole and *V* the voltage at the slot, while  $V_i$  and  $I_i$  are the forcing field due to an incident plane wave of TE or TM type, defined as

$$V_{i} = \left(1 - e^{-j\beta_{00}d}\right) \left\{ E_{i}^{TE}; E_{i}^{TM} \right\}$$

$$I_{i} = 2e^{-j\beta_{00}d} \left\{ \frac{\gamma_{00}}{\zeta k} E_{i}^{TE}; \frac{k}{\zeta \gamma_{00}} E_{i}^{TM} \right\}$$
(9)

) where  $\beta_{00} = \sqrt{k^2 - k_{x0}^2 - k_{y0}^2}$  is the z-propagation constant of the dominant (0, 0) mode, and the first and second term in the brackets are associated with scan in the H and E plane, <sup>s</sup> respectively. The elements in (8) are listed below in equation r (10).

$$Z_{d} = j\omega L_{dg} + \frac{1}{j\omega C_{dg}}; Y_{g} = j\omega C_{g} + \frac{1}{j\omega L_{g}}$$

$$b = \sum_{pq\neq0,0} \frac{e^{-\alpha_{pq}d}}{a^{2}} h_{pq} e_{pq} = \sum_{pq\neq0,0} \frac{e^{-\alpha_{pq}d}}{a^{2}} h_{pq} e_{pq}$$

$$L_{dg} = \frac{\mu_{0}}{2a^{2}} \sum_{pq\neq0,0} \left[ 1 - e^{-\alpha_{pq}d} \right] \left[ \frac{1}{\alpha_{pq}} \right] h_{pq} \Big|^{2}$$

$$C_{dg} = \frac{\varepsilon_{0} 2a^{2}}{\sum_{pq\neq0,0} \left[ 1 - e^{-\alpha_{pq}d} \right] \alpha_{pq} \left| h_{pq} \right|^{2}}$$
(10)

where  $C_s$  and  $L_s$ , as well as  $h_{pq}$ ,  $e_{pq}$  and  $\alpha_{pq}$  have the same meaning as in equations (5) and (6) in Section II. The term  $Z_d$ in EFIE represents the impedance of the periodic dipole MTS in the presence of the ground plane (apertures layer for CCMTS) placed at a distance d. As such, the capacitance  $C_{dg}$ is much larger than the capacitance  $C_d$  of the layer in isolation. This intuitive fact is evident through comparison with (5), since  $C_{dg}$  contains in the summation the factors  $1 - e^{-\alpha_{pq}d} \approx \alpha_{pq}d$ which are very small for vanishing d. At the same time, the inductance  $L_{d_{q}}$  is much smaller than  $L_{d}$  for the same reason. The term b is a non-dimensional coupling coefficient that takes into account the radiation of the slots on the dipoles. We observe that the term  $Y_s$  in (10) is equal to the one in (6), namely the free space admittance of the slot layer in isolation. The term *b* represents the reciprocal effects i.e. the radiation of the periodic metallic array on the apertures layer. Since the distance between the two complementary layers is extremely small when compared with the resonant frequency wavelength of the metasurface, hence the thickness can be thought of as approaching zero leading to a vanishing voltage driving term in the EFIE (9),

$$Z_d I - bV = 0$$

$$bI + Y_s V = I_i$$
(11)

The solution of (11) yields

$$V/I = Z_d / b$$

$$\frac{V}{I_i} = \frac{Z_d}{Y_s Z_d + b^2} = \frac{1}{Y_s + (Z_d)^{-1} b^2}$$
(12)

where *I* is the current flowing in the MTS dipole layer and *V* is the voltage at the slot MTS, and  $I_i$  represents a current generator. Equation (12) shows that the equivalent impedance of two complementary layers is a parallel between the two admittances  $Y_s$  and  $b^2 Y_d$ . This leads to the equivalent circuit model as that in Fig. 1c. We notice that all the above formulations can be repeated for any type of shape of slots and dipole, provided there is an analytical form of the SBF.

The equivalent circuit model, gives insight into the behavior of a CCMTS. Keeping in mind that the dominant component at low frequency are  $C_{dg}$  and  $L_s$ , The equivalent impedance of the complementary metasurface is a parallel between the inductance of the slot in isolation and the capacitance of the dipole *over a ground plane* multiplied by the factor  $b^2$ .

We observe that an infinitesimal small distance should remain in between the two layers. For instance, if d is exactly equal to zero, then it is similar to the slot being interrupted in the middle by a small piece of metal; looking at (10),  $L_{dg}$  and  $1/C_{dz}$  become zero; therefore  $Z_d$  is zero and the first line of (11) yields V = 0, in accordance to the fact that the center of the slot is short circuited. Also, the second term in (12) yields  $I = I_{\pm}/b$ . This limit approximates the current flowing in the small piece of metal in the middle of the slot. However, it is worth noting that the resonance disappears, along with the fact that the structure is equal to two very small slots on a ground plane, resonating at a frequency which is twice the one of a single isolated slot. The downscaling of the resonant frequency therefore maintains validity when d is extremely small, but finite, meaning that there is an absence of electric contact between dipole and ground-plane.

## B. Shift of resonant frequency

Eq. (12) or the circuit interpretation in Fig. 1c, shows that CCMTS possess a pass-band behavior at a lower frequency compared to the slot layer in isolation. When the dipole layer is placed in close proximity, it causes a resultant increase in the capacitance with respect to the one of the slot in isolation, thus leading to a decrease in the resonant frequency. The shift of the resonant frequency can be estimated by comparing the dominant components of the equivalent networks in Fig. 1 (a) and 1 (c). This leads to the approximate, but simple formula

$$\frac{f_s}{f_{CCMTS}} \approx \frac{\sqrt{b^2 C_{dg} + C_s}}{\sqrt{C_s}} = \sqrt{b^2 \frac{C_{dg}}{C_s} + 1} > 1$$
(13)

where  $C_s$  is the capacitance of the slot-MTS in isolation in (6) and  $C_{dg}$  is the capacitance of the dipole-MTS at a distance d from a ground plane in (10), in their quasi static limits;  $f_s$  and  $f_{CCMTS}$  are the respective resonant frequencies of the slot layer in isolation and the CCMTS. Equation (13) effectively represents the miniaturization of the CCMTS compare to the slot layer in isolation. We call this ratio the compression factor of the CCMTS. This formula can approximate any type of coupled MTS, providing we have an estimate of the capacitance of the slot layer in isolation, the dipole layer above a ground plane and of the coupling factor b. We note that (13) is valid when a dielectric is placed in between, (provided we use the values of capacitance given in (16) (Section III-D)). The compression factor, as a function of the separation distance between the two layers, for free space, and the practical value of the relative permittivity is shown in Figure 2 (a) and (b) respectively.

## C. Quality factor and bandwidth

The shift of frequency implies an increase of the quality factor Q and a reduction of bandwidth. This can be estimated by an approximation similar to the one adopted in (13). For the slot in isolation, Q is,  $Q_s^{TE} \approx \frac{\zeta}{\cos \theta_i} \sqrt{C_s / L_s}$  for incidence in the H-plane and  $Q_s^{TM} \approx \zeta \cos \theta_i \sqrt{C_s / L_s}$  for incidence in the E plane. The Q factors for the case of CCMTS

are indeed 
$$Q_{CCMTS}^{TE} \approx \frac{\zeta}{\cos \theta_i} \sqrt{\frac{C_s + b^2 C_{dg}}{L_s}}$$
 and

 $Q_{CCMTS}^{TM} \approx \zeta \cos \theta_i \sqrt{\frac{C_s + b^2 C_{dg}}{L_s}}$ , namely they increase because of

the increase of the capacitance. We notice that, in the quasi static limit, the quantities  $b, C_{dg}, C_s$ , are not dependent on k and on the angle  $\theta_i$ ; Since  $Q^{-1}$  is approximately equal to the 3dB bandwidth, the bandwidth at low frequency depends on  $\cos \theta_i$  and  $1/\cos \theta_i$  for the scans in the E-plane and H-plane, respectively. Furthermore,

$$\frac{Q_{cCMTS}^{TE,TM}}{Q_{s}^{TE,TM}} = \frac{f_{cCMTS} \Delta f_{s}}{f_{s} \Delta f_{cCMTS}} \approx \sqrt{b^{2} \frac{C_{dg}}{C_{s}} + 1}$$
(14)

and, by using (13),

$$\frac{\Delta f_s}{\Delta f_{cours}} \approx b^2 \frac{C_{dg}}{C_s} + 1 \tag{15}$$

Namely, due to the coupling with the dipole layer, the bandwidth of the slot layer reduced by approximately the factor equal to the square of the frequency shifting.

#### D. Dielectric between the layers

When a dielectric is present between the two periodic layers, the results are modified as follows:

$$\begin{split} C_{dg} &= \sum_{pq \neq 0,0} (\frac{a^{2} \varepsilon_{0} \left[ \varepsilon_{r} \alpha_{pq} \left( e^{2\alpha' pq d} + 1 \right) + \alpha'_{pq} \left( e^{2\alpha' pq d} - 1 \right) \right]}{\alpha_{pq} \left( e^{2\alpha' pq d} - 1 \right) \alpha'_{pq} h_{pq} h^{*}_{pq}} \\ L_{dg} &= \sum_{pq \neq 0,0} \left( \frac{\mu_{0} \left( e^{2\alpha' pq d} - 1 \right) h_{pq} h^{*}_{pq}}{a^{2} \left[ \alpha'_{pq} \left( e^{2\alpha' pq d} + 1 \right) + \alpha_{pq} \left( e^{2\alpha' pq d} - 1 \right) \right]} \right] \\ C_{s} &= \sum_{pq \neq 0,0} \left( \frac{\varepsilon_{0} \left[ \left( \alpha'_{pq} + \alpha_{pq} \varepsilon_{r} \right)^{2} e^{2\alpha' pq d} + \left( \alpha_{pq} \varepsilon_{r} - \alpha'_{pq} \right)^{2} \right] e_{pq} e^{*}_{pq} \alpha_{pq}}{a^{2} \left[ \varepsilon_{r} \alpha_{pq} \left( e^{2\alpha' pq d} + 1 \right) + \alpha'_{pq} \left( e^{2\alpha' pq d} - 1 \right) \right] \alpha'_{pq} \alpha_{pq}} \\ L_{s} &= \sum_{pq \neq 0,0} \left( \frac{a^{2} \mu_{0} \left[ \alpha'_{pq} \left( e^{2\alpha' pq d} + 1 \right) + \alpha'_{pq} \left( e^{2\alpha' pq d} - 1 \right) \right]}{\left[ \left( \alpha_{pq} + \alpha'_{pq} \right)^{2} e^{2\alpha' pq d} + \left( \alpha_{pq} - \alpha'_{pq} \right)^{2} \right] e_{pq} e^{*}_{pq}} \\ b &= \sum_{pq \neq 0,0} \left( \frac{2\alpha'_{pq} e^{\alpha' pq d} h_{pq} e_{pq}}{a^{2} \left[ \alpha'_{pq} \left( e^{2\alpha' pq d} + 1 \right) + \alpha_{pq} \left( e^{2\alpha' pq d} - 1 \right) \right]} \\ &+ \frac{2\alpha_{pq} \varepsilon_{r} e^{\alpha' pq d} h_{pq} e_{pq}}{a^{2} \left[ \alpha_{pq} \varepsilon_{r} \left( e^{2\alpha' pq d} + 1 \right) + \alpha'_{pq} \left( e^{2\alpha' pq d} - 1 \right) \right]} \end{split}$$

The approximation in (13) and (15) remains valid for the expressions in (16). The presence of the dielectric permittivity increases the factor *b* and therefore increases the frequency downshifting. It should be noted that even though the integral equations in (8) and (11) are valid for any periodicity, however the circuit elements' expressions presented in equations (10) and (16) apply only to the structures with equal periodicities in the x and y-dimensions.

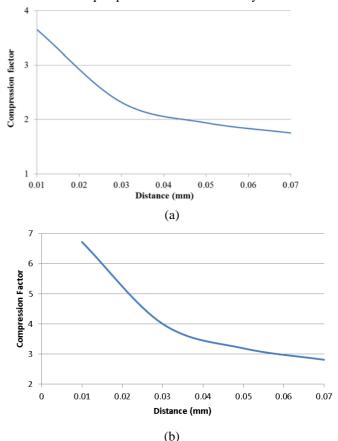
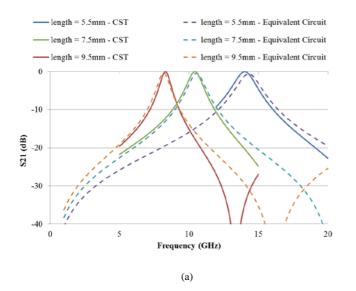


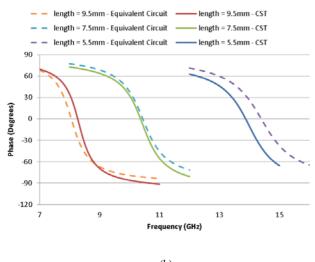
Figure 2: (a) The compression factor as a function of distance in equation (13) for a CCMTS with free space as the in-between dielectric (for normal incidence). (b) The compression factor as a function of distance in equation (13) for a CCMTS with the in-between dielectric of relative permittivity 3 (This relative permittivity value is equal to that of the material used for experimental verification in Section V). Other parameters are w=0.3mm, a = 10mm, l = 9.5mm. The resonant frequency of a dipole in isolation (with identical parameters) is 15.6 GHz.

#### IV. NUMERICAL TESTS

Consider first the complementary layers of a dipole and a slot with periodicity of 10mm and various lengths, separated by free-space with a separation distance d = 0.07mm. Both the dipoles and the slots are w = 0.3mm wide. The number of Floquet modes used for both layers in order to obtain a convergent solution for the transmission response (and cover the spectra of all the basis functions) was found to be 27. This structure was then also simulated in CST by using periodic boundary conditions. The slot in isolation for these dimensions exhibits a resonance approximately equal to 15 GHz. The comparison between the transmission response of the equivalent circuit model and the full wave simulations using CST is shown in Figure 3a. A good agreement between the phase response of the equivalent circuit model and CST is CCMTS with relative permittivity ( $\varepsilon_r = 3$ ) and thickness (d = 0.05mm) is 4.70 observed, as demonstrated by Figure 3b.

GHz.





(b)

Figure 3: (a) Transmission response of a dipole-slot metasurface (for normal incidence). (b) Phase response of a dipole-slot metasurface. CST (continuous line) and analytical equivalent circuit model (dashed line). The various colors denote different lengths of dipoles and slots. Other parameters are fixed (d =0.07mm, w = 0.3mm, a = 10mm)

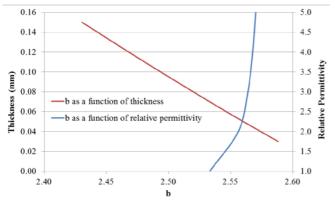


Figure 4: Parameter b as a function of relative permittivity (blue line) for a fixed value of d (0.07mm) and b as a function of the thickness d (mm) for a fixed value of relative permittivity ( $\varepsilon_r=3$ ) and normal incidence. The other parameters are w = 0.3 mm, a = 10 mm, l = 9.5 mm. The resonant frequency of a

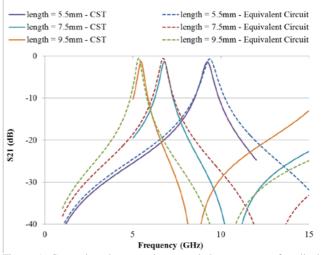


Figure 5: Comparison between the transmission response of a dipole-slot metasurface between the analytical equivalent circuit model for normal incidence and CST simulations with the in-between dielectric having  $\varepsilon_r=3$ . Other parameters are identical to the ones used in Figure 3.

For the length of 9.5mm and a dielectric thickness of 0.07mm, the equivalent circuit model exhibits a resonance at 8.2 GHz while the CST simulations show a resonance at 8.3 GHz. The transmission loss simulated in CST is 0.01dB while that observed in the equivalent circuit model is 0.39dB. Similarly for the length of 5.5mm and 7.5mm, the resonance frequency predicted by the equivalent circuit is 10.5 GHz and 14.3 GHz while the full wave CST simulation exhibit resonances at 10.4 GHz and 14.0 GHz. Thus, an excellent agreement between the equivalent circuit model and the full wave simulations has been observed. Figure 4 show the variation of the parameter b with respect to the relative permittivity and the separation distance d (mm). It is seen that the value of b increases almost linearly with decreasing d, and increases for increasing the relative permittivity. Figure 5 shows a successful comparison between CST full-wave results and the circuit model for relative permittivity  $\varepsilon_r = 3$  of the inbetween dielectric. The circuit model uses the parameters in (16). The length of individual elements was changed from 5.5mm to 7.5mm and 9.5mm. It can be observed that the resonant frequency of each CCMTS has decreased in comparison to its counterpart in Figure 4. This is because both the capacitance of the dipole adjacent to the ground and the coupling coefficient b, increase with increasing  $\varepsilon_r$  (Fig 3). The shift of frequency and the reduction of bandwidth are in agreement with (13) and (15) respectively.

The effect on the pass-band response to a variation of the thickness of the in-between dielectric is presented in Fig. 6. As the thickness d decreases, the resonant frequency of the CCMTS decreases as well. This can be simply deduced by (13) and Fig. 3. Decreasing d implies increasing the coupling factor b and increasing the capacitance of dipoles which are in close proximity to the ground plane.

The extreme lowering of the resonant frequency also implies a stability of the resonance with respect to the angle of incidence. Figure 7 (a) presents examples of a scan in the Hplane. It is seen, as expected that the resonant frequency is quite stable since  $C_{dg}$  and b do not depend on the angle of incidence, and the bandwidth increase as  $1/\cos\theta_i$  for increasing angle (see Section III-c). Conversely, scanning in the E-plane (shown in Figure 7 (b)) provides decrease of bandwidth like  $\cos\theta_i$ .

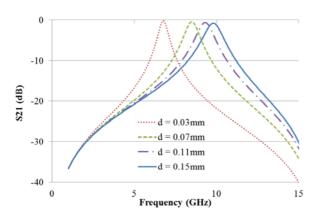


Figure 6: Variation of the resonant frequency with the thickness of the inbetween dielectric (for normal incidence). The other parameters are w = 0.3mm, a = 10mm, l = 9.5mm.

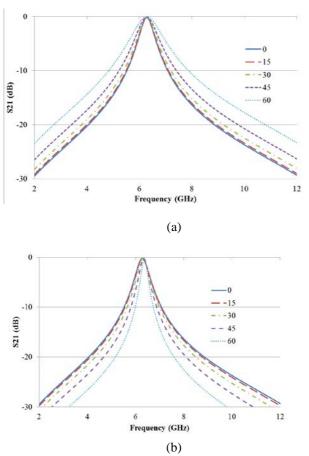


Figure 7: Effect of the angle of incidence on the passband response of a CCMTS for scanning in (a) H-plane (TE pol) (b) E-plane (TM pol). The other parameters are w = 0.3mm, a = 10mm, l = 9.5mm, d = 0.03mm and the inbetween dielectric is free space.

#### V. EXPERIMENTAL VERIFICATION

The equivalent circuit model has also been checked by comparison with experimental results. The fabricated metasurface is shown in Figure 8. The length of the dipoles and and measurements (blue line).

apertures was 9.5mm with the unit cell periodicity of 10mm. Each dipole and slot was 0.3mm wide. The material used as the in-between dielectric is polyester made by  $\text{GTS}^{\text{R}}$ . It has a relative permittivity of 3 and a loss tangent of 0.01. The material was 0.04mm thick. The transmission response of this metasurface was measured by placing it between two aligned horn antennas in the Loughborough University anechoic chamber. The two horns were placed at a distance of 3.5m and a separator of radar absorbing material was placed between them to ensure that the waves did not propagate around the metasurface. The metasurface was affixed to a  $26\text{cm} \times 26\text{cm}$  aperture in this separator. The total number of unit cells in this metasurface was 676 ( $26 \times 26$ ).

The measured transmission response of this metasurface was compared to the simulation results of CST and the equivalent circuit model, see Figure 9. The convergence in this case was achieved with three sinusoidal basis functions and 27 Floquet modes. The comparison between all these results is shown in shown in Figure 9. A satisfactory agreement is observed. The equivalent circuit model for the structure shown in Figure 8 predicts a resonant frequency of 4.45 GHz and an insertion loss of 1.6dB; the commercial CST software model exhibited a resonance of 4.48 GHz with an insertion loss of 2.1dB while the measured transmission response had a resonance of 4.53 GHz with an insertion loss of 2.3dB. For convenient quantification when using the GTS material, a rule of thumb is to have  $d/\lambda$  approximately equal to 1/20, when a compression factor of 2 is needed in the resonant frequency.

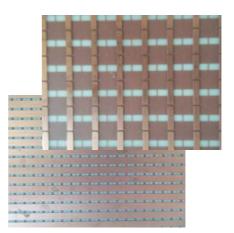


Figure 8: Fabricated Babinet complementary metasurface

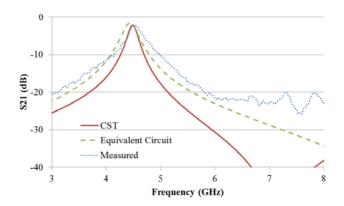


Figure 9: Comparison between the transmission response of a dipole-slot metasurface between the analytical equivalent circuit model, CST simulations and measurements (blue line).

## VI. CONCLUSION

In this paper we have provided an equivalent <sup>[7]</sup> analytical model for CCMTS that can explain the basic physics behind the mechanism which is responsible for the frequency shifting, bandwidth, and angular stability. This suggests the potential use of CCMTS for curved surface and phase gradient [8] radomes. We have shown that for vanishing distance between the two coupled layers, the two integral equations for this complementary structure can be reduced to a single magnetic [9] field integral equation. On this basis, an analytical equivalent circuit has been presented, which is simply constituted by the parallel of the inductive admittance of the slots and the [10] capacitive admittance of the dipoles in the presence of a ground plane; the latter divided by the square of a slot/dipole coupling coefficient. Since the response of a parallel LC circuit is always [11] bandpass, such a complementary metasurface will always have a pass-band response. The analytical equivalent circuit also helps explain the compact nature of the elements. The value of <sup>[12]</sup> the capacitance of the slot layer in isolation is augmented by the capacitance of the dipole layer in close proximity to the slot-ground plane. Since this capacitance element is parallel to the apertures layer, the overall capacitance of the structure increases, leading to a lowering of the resonant frequency and an increase of the Q-factor. Comparison between the equivalent circuit model with CST and measured results has [14] demonstrated the accuracy of the simple model. We should finally observe that the effect of coupling between two complementary layers also leads to a lowering of the dispersion equation of the surface wave mode supported by the structure, and that the present formulation can be also adapted to the estimate of this dispersion equation; to this end the formulation in [42] can be used. A size reduction by a factor of ten can be achieved (with the structure presented in this paper) when a material with a relative permittivity of 9.1 and a thickness of [17] 0.06mm is used as the in-between dielectric. The manufacture of this kind of material easily falls within the capabilities of current manufacturers. It is finally observed that the present formulation can be used for any couple of CCMTS provided the appropriate basis functions are properly synthesized; as a matter of fact, the significant downshifting of the resonant frequency implies the validity of the LC circuit blocks (in a region around the downshifted resonance, where the elemental [19] cell is small in terms of the wavelength [43]).

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