

# 1 Esterification of Levulinic Acid with Butanol over ion exchange resins.

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5

## 6 **Abstract**

7 Alkyl levulinates are biobased chemicals with a great number of applications and great biofuel potential  
8 for blending to conventional diesel or gasoline. The present work focuses on the liquid-phase synthesis  
9 of butyl levulinate (BL) by esterification of levulinic acid (LA) with 1-butanol (BuOH) using a set of  
10 acidic ion-exchange resins. Experiments were performed at 80°C and 2.5 MPa in a batch reactor by using  
11 an initial molar ratio AL/BuOH of 1/3 and a catalyst loading of 0.8%. It has been found that BL could be  
12 successfully obtained over ion-exchange resins with a selectivity higher than 99.5%. LA conversions  
13 ranged from 64% (Amberlyst 46, macroreticular, surface sulfonated) to 94% (Dowex 50Wx2, gel-type  
14 resin, conventionally sulfonated) at 8 h reaction time. By comparing their catalytic behavior, it was seen  
15 that resins morphology plays a very important role in the synthesis of BL making easier the access of  
16 reactants to acid sites. Accessibility of LA and BuOH to acid centers was high over highly swollen and  
17 low polymer density resins. Thus, gel-type resins with low divinylbenzene (DVB) content have been  
18 found as the most suitable to produce BL, e.g. Dowex 50Wx2, Dowex 50Wx4 and Purolite® CT224.  
19 Among them, Dowex 50Wx2 (2% DVB) is the most efficient catalyst tested.

20

21 *Keywords: butyl levulinate, levulinic acid, catalysis, ion-exchange resins*

22

## 23 **1. Introduction**

24 In the search for alternative and renewable energy sources, attention has gravitated towards biofuels.

25 Despite the interest, biofuels in their current form present a number of issues. First Generation Biofuels

26 (FGB) are produced from classic food crops with well-known technologies. FGB are unsustainable in the  
27 long term because of the stress their generalized production would place on food commodities [1].  
28 Second Generation Biofuels (SGB) are derived from non-food crops, preferably from lignocellulosic  
29 feedstocks from agricultural wastes. Yet there are obstacles to commercial scale production of SGB,  
30 most prominently that the hydrolysis process for the release of sugars from their lignocellulose matrix  
31 economically and in high yields still contributes to more than 45% of biofuel cost production [2].

32 The transformation of lignocellulosic biomass can yield a number of valuable products that can be used  
33 by the chemical industry as platform chemicals. Available techniques to transform lignocellulose into  
34 sugars are gasification, pyrolysis and hydrolysis. Hydrolysis requires the lignocellulose to be broken into  
35 its constituent parts: cellulose (40-50%), hemicellulose (25-35%) and lignin (15-20%). The hydrolysis of  
36 cellulose and hemicellulose catalyzed by  $H_2SO_4$  gives place to  $C_5$  and  $C_6$  sugar monomers such as  
37 xylose, glucose, and fructose, and it is today the most important route for obtaining monosaccharides [1].  
38 Fast pyrolysis is also a promising technology. It allows the transformation of the cellulosic fraction of  
39 biomass into anhydrosugars (levoglucosan, cellobiosan) which can be hydrolyzed to glucose [3-5].  
40 Levulinic acid (LA) is amongst the platform chemicals obtained from the chemical transformation of  
41 lignocellulose-derived sugars, and was highlighted by the United States Department of Energy as a  
42 promising building block for chemistry in 2004 and 2010. It can be considered one of the most important  
43 platform chemicals derived from biomass because of its reactive nature and the fact that it can be  
44 produced at low cost by the Biofine process since 1996 [6,7]: currently 5-8 \$/kg, but prices can be  
45 expected to drop to 1\$/kg once relevant conversion technologies have been successfully commercialized  
46 [8]. As a versatile building block, LA and its derivatives have a wide number of applications [9-12].

47 Alkyl esters of levulinic acid are the most notable of LA derivatives with a good number of commercial  
48 uses [13-16], including their potential application as green solvents [10]. They have the potential to  
49 substitute compounds currently derived from petro-chemical routes for blending to conventional diesel or  
50 gasoline because of their low toxicity and physicochemical properties; exhibiting characteristics that  
51 make them appropriate for use as cold-flow improvers in biodiesel or oxygenate additives for gasoline  
52 and diesel fuel, given that oxygenates and fuel blends must comply with the increasingly stringent  
53 specifications of the European Union (Directive 2009/30/EC).

54 Levulinate esters can be obtained by direct esterification of levulinic acid with alcohols, typically acid  
55 catalyzed (Scheme 1). Sah [17], and later Schuette [18] and Cox [19] were the first to synthesize alkyl  
56 levulinate by direct reaction between the acid and the alcohol; publishing the formation of a number of  
57 alkyl levulinate in excess of the corresponding alcohol in the presence of HCl. These early studies  
58 employed mostly homogeneous catalysis, and reported yields were low (35-75%). Heterogeneously  
59 catalyzed esterification of LA has been attempted more recently, using most often solid Brønsted acids. It  
60 has been proposed that the mechanism for the esterification of LA on acidic surfaces involves the  
61 adsorption through the protonated carbonyl group (carboxyl group) enabling a nucleophilic attack of the  
62 alcohol assisted by an oxygen atom from the catalyst structure [20,21].

### 63 SCHEME 1

64 The most widely studied alkyl levulinate is ethyl levulinate (EL), both its synthesis pathways and  
65 possible applications have been explored thoroughly. Traditionally EL was synthesized by using  
66 homogeneous catalysts such as HCl, H<sub>3</sub>PO<sub>4</sub> and H<sub>2</sub>SO<sub>4</sub>. Very recently, this reaction has been re-  
67 examined extensively with more robust and industrially benign greener catalysts. For this purpose solid  
68 acid catalysts have been tested, including supported heteropoly acids [20-23], zeolites [21,24], hybrid  
69 catalysts [25-27], sulfated carbon nanotubes [28], Starbon® mesoporous materials functionalized with  
70 sulfonated groups [29], sulfated metal oxides [26] and silicas [30,31], and immobilized lipases [32]. It  
71 has also been synthesized using commercial acidic sulfonic polystyrene-codivinylbenzene (PS-DVB)  
72 resins as reference catalysts, usually Amberlyst 15 and Amberlyst 70 [1,21, 22,28,30]. At the same time,  
73 there have been studies aimed at improving the conversion of lignocellulose, glucose or fructose directly  
74 into ethyl levulinate in a one-step process catalyzed by either H<sub>2</sub>SO<sub>4</sub> or ZrO<sub>2</sub>-based sulfonated catalysts  
75 [33-36].

76 Comparatively, the potential of butyl levulinate (BL) has been left untapped. EL has been considered  
77 often in recent years, and was investigated as a novel, bio-based cold flow improver for use in biodiesel  
78 fuels [37-38]. As an additive for diesel, BL is even more promising than EL [39]. Both reduce vapor  
79 pressure in diesel blends [37], have a freezing point below -60°C, their boiling point and flash point are  
80 in the acceptable range for diesel fuel, improve lubricity, conductivity and reduce particulate emissions in

81 diesel blending. On the other hand, BL is only sparingly soluble in water (up to 1.3 wt.%) unlike EL (up  
82 to 15.6 wt.%). Although they both exhibit less energy per volume unit than conventional diesel fuel by  
83 31% (EL) and 25% (BL), respectively, this is already an improvement on bioethanol blends. In diesel  
84 blends containing 20% (v/v) levulinate, EL tends to form a separate liquid phase in most diesel fuels at  
85 temperatures significantly above the cloud point of diesel fuel, while BL remains completely soluble in  
86 diesel down to the diesel cloud point (around -25.8°C). Nonetheless, both esters exhibit a very low cetane  
87 number, which means blending with these components requires cetane-enhancing additives.

88 Literature on BL synthesis is sparse: first attempts at synthesis were undertaken with homogeneous  
89 catalysts [17-19], and a kinetic model for the esterification of LA with butanol (BuOH) was proposed by  
90 Bart et al. [37]. Some work has been made in BL production directly from cellulose with homogeneous  
91 catalysis [41]. Esterification of LA with butanol over several types of solid catalysts such as zeolites  
92 [42,43], Zr-containing MOFs [44], and heteropolyacid (HPA) supported on acid-treated clay  
93 montmorillonite (K10) [45] has been described in literature since then. There have also been sporadic but  
94 successful attempts at production and kinetic modelling of BL by esterification of LA via immobilized  
95 lipase catalysis [46]. Surprisingly the catalysis with acidic ion-exchange resins has never been studied  
96 before.

97 To the best of our knowledge the synthesis of butyl levulinate by esterification of levulinic acid with 1-  
98 butanol is not reported over acidic ion-exchange resin, a widely available and inexpensive catalyst, in the  
99 open literature. Therefore, the present paper is devoted to the study of the liquid phase synthesis of BL  
100 from LA and BuOH over sulphonated PS-DVB resins. A catalyst screening is carried out in order to  
101 select suitable catalysts for obtaining BL. Moreover, it is also desired to elucidate the effect of the resins  
102 morphology on their catalytic activity.

## 103 **2. Experimental**

### 104 *2.1. Chemicals*

105 Levulinic acid ( $\geq 98\%$ , Acros Organics) and 1-butanol ( $\geq 99,5\%$ , Acros Organics) were used as reactants.  
106 Distilled water, butyl levulinate ( $\geq 98\%$ ; Sigma Aldrich) and dibutyl ether ( $\geq 99\%$ , Acros Organics) were  
107 used for analysis purposes.

## 108 2.2. Catalysts

109 Tested catalysts were acidic PS-DVB ion exchange resins supplied by Rohm and Haas (Amberlyst 15  
110 [A15], Amberlyst 16 [A16], Amberlyst 35 [A35], Amberlyst 36 [A36], Amberlyst 39 [A39], Amberlyst  
111 46 [A46] and Amberlyst 70 [A70]), Purolite (Purolite® CT224 [CT224]) and Aldrich (Dowex 50Wx2  
112 [DOW2], Dowex 50Wx4 [DOW4] and Dowex 50Wx8 [DOW8], supplied as beads of 50-100 mesh).

113 A wide set of acidic PS-DVB resins with different morphological properties was used in this study.  
114 These include both macroreticular (macroporous) and gel-type (microporous) resins. They also covers a  
115 wide range of acid capacities, with monosulfonated or conventionally sulfonated resins (which have a  
116 concentration of about one sulfonic group per styrene ring, statistically in para-substitution [47,48]),  
117 oversulfonated (in which the concentration of sulfonic groups has been increased beyond the usual limit  
118 of one group per styrene ring [49], where the additional sulfonic groups are predominantly distributed  
119 close to the particle surface [50]) and surface sulfonated ones (sulfonated exclusively at the polymer  
120 particle surface). Amberlyst 70 should be highlighted, because it has chlorine atoms in its structure,  
121 which confer this catalyst a higher thermal stability (yet its acid capacity is only less than 3 mmol H<sup>+</sup>/g).  
122 Resin properties and their acronyms can be seen in Table 1.

### 123 **TABLE 1**

## 124 2.3. Apparatus and analysis

125 Experiments were carried out in a 100 mL stainless steel autoclave operated in batch mode with an  
126 electrical furnace controlling temperature. One of the outlets of the reactor was connected directly to a  
127 liquid sampling valve, which injected 0.2  $\mu$ L of pressurized liquid into a gas-liquid chromatograph  
128 (GLC). The liquid composition was analyzed hourly by using a split mode operation in a HP6890A GLC  
129 apparatus equipped with a TCD detector. A 50 m $\times$ 0.2 mm $\times$ 0.5  $\mu$ m methyl silicone capillary column was  
130 used to separate and determine reactants and products. The column was temperature programmed to start  
131 at 500C with a 10°C/min ramp until 250<sup>0</sup>C and held for 6 min. Helium ( $\geq$ 99.998%, Linde) was used as  
132 the carrier gas with a total flow rate of 30 mL/min. All the species were identified by a second GLC  
133 (Agilent 6890) equipped with a MS detector (Agilent GC/MS 5973) and chemical database software. A  
134 typical chromatogram of the reaction mixture is shown in Figure S1 (supplementary material).

135 2.4. Methodology

136 Acid resins were dried at 110<sup>0</sup>C for 2h at atmospheric pressure and subsequently at 110<sup>0</sup>C under vacuum  
137 overnight (10 mbar). Residual water content was <3%, as determined by the Fischer method with a Karl  
138 Fischer titrator (Orion AF8).

139 The reactor was loaded with 70 mL of LA/BuOH mixture (1/3 molar ratio), heated up to the desired  
140 temperature and stirred at 500 rpm. Pressure was set at 2.5 MPa with N<sub>2</sub> in order to maintain the liquid  
141 phase in the reactor and also have the pressure needed to shift liquid samples to the GLC apparatus.

142 When the mixture reached the operating temperature, 0.5 g of dry catalyst were injected into the reactor  
143 from an external cylinder by shifting with N<sub>2</sub>. Catalyst injection was taken as time zero.

144 Experiments of 8h duration were carried out at 80<sup>0</sup>C. Initial excess of alcohol was selected to shift  
145 the equilibrium to the production of the ester. However, the closer to the stoichiometric ratio is the  
146 initial mixture, the higher the amount of ester obtained at equilibrium. Experimentally we found that  
147 a mixture AL/BuOH with initial molar ratio lower than 1/3 split into two phases due to the formation  
148 of water over the reaction. Therefore, we use an initial molar ratio AL/BuOH of 1/3 in all the  
149 experiments. To work with such alcohol excess have the advantages of minimizing the possible  
150 formation of humins by polymerization of LA and, since the alcohol excess was smaller than 1/10,  
151 to minimize the formation of dibutyl ether by intermolecular dehydration of 1-butanol too [30].

152 The use of such small catalyst mass (0.5 g of dry catalyst; catalyst loading < 1%) allows us to work free  
153 of spurious effects on the reaction rate as can be seen in the dehydration reactions to ether of 1-butanol  
154 [53] or 1-hexanol [54] on Amberlyst 70, or 1-pentanol over CT224 and Dowex 50Wx4 [51] carried out  
155 in similar setups.

156 Evaluation of the possible effects of the stirring speed on external mass transfer was not within the  
157 bounds of this study. However, the assumption that external mass transfer does not limit reaction rates at  
158 500 rpm stirring speed was made, based on previous studies on other reaction systems under similar  
159 reaction conditions performed at the same temperature range, e.g. the esterification of lactic acid with  
160 methanol [55] or that of acrylic acid with 2-ethyl hexanol [56] over Amberlyst 15. In addition, the  
161 dehydration reactions to ether of 1-butanol [53] or 1-hexanol [54] on Amberlyst 70, or 1-pentanol over

162 CT224 and Dowex 50Wx4 [51] at temperatures as high as 150°C also shows that external mass transfer  
163 influence at such stirring speed is negligible.

164 Macroreticular resins were used with only a fraction of the commercial distribution of particle sizes ( $0.4 <$   
165  $d_{\text{bead}} < 0.6$  mm), and gel-type resins with the commercial distribution of particle sizes ( $0.15 < d_{\text{bead}} < 0.3$   
166 mm for Dowex catalysts, and  $d_{\text{bead}} \approx 0.32$  mm for CT224 [57]). A large amount of experimental work at  
167 about 80°C on esterification reactions is found in the open literature involving compounds of similar  
168 molecular size to LA, BuOH and BL. Among them, there may be mentioned the reaction of acrylic acid  
169 with 2-ethyl hexanol over Amberlyst 15 [56], and with 1-butanol over Amberlyst 35 [58] and Amberlyst  
170 15 [59], the esterification reaction of lactic acid with methanol over Amberlyst 15 [55], that of propionic  
171 acid with 1-butanol over Amberlyst 35 [60], that of acetic acid with 1-pentanol over Dowex 50Wx8 [61],  
172 or that of succinic acid with ethanol over Amberlyst 70 [62]. As these works show, in swollen state the  
173 influence of the diffusion on reaction rate is negligible when macromolecular and gel-type resin beads of  
174 similar size to those of the present study are used.

175 In each experiment, LA conversion ( $X_{\text{LA}}$ ) and selectivity to BL ( $S_{\text{BuOH}}^{\text{BL}}$ ) were estimated by Eqs, (1) and  
176 (2), respectively.

177 
$$X_{\text{LA}} = \frac{\text{mole of LA reacted}}{\text{mole of LA initially}} \times 100 \text{ [\%, mol/mol]} \quad (1)$$

178 
$$S_{\text{BuOH}}^{\text{BL}} = \frac{\text{mole of LA reacted to form BL}}{\text{mole of LA reacted}} \times 100 \text{ [\%, mol/mol]} \quad (2)$$

179 Reaction rates of BL formation at any time were estimated from the functions of variation of  $n_{\text{BL}}$   
180 (number of produced BL mole) versus time, where  $w$  is the mass of dry catalyst

181 
$$r_{\text{BL}} = \frac{1}{w} \left( \frac{dn_{\text{BL}}}{dt} \right)_t \left[ \frac{\text{mol BL}}{\text{kg} \cdot \text{h}} \right] \quad (3)$$

182 Finally, the turnover frequency of BL formation was estimated by dividing the reaction rate by the  
183 number of acid sites per gram of dry resin (acid capacity),  $[\text{H}^+]$ .

184 
$$\text{TOF}_{\text{BL}} = \frac{r_{\text{BL}}}{[\text{H}^+]} \left[ \frac{\text{mol BL}}{\text{eqH}^+ \cdot \text{h}} \right] \quad (4)$$

185 A single experiment (Dowex 50Wx2) was replicated twice with perfect experimental overlap. Therefore,  
186 it was concluded that the experiments are fully replicable and experimental error was less than 3-5%.

187

### 188 **3. Results and discussion**

#### 189 *3.1 Morphology of the swollen resins*

190 Ion exchange resins are nearly spherical beads of sulfonated PS-DVB copolymers. Copolymerization of  
191 styrene and DVB gives place to an ensemble of entangled styrene-DVB chains with no spaces among  
192 them in dry state (gel-type resins). However, if copolymerization proceeds in the presence of a solvent  
193 such as toluene (soluble in styrene-DVB mixtures but unable to react with both co-monomers), it is  
194 excluded from the polymer and the spaces filled by the solvent become pores (macroreticular resins).  
195 These resins consist of large agglomerates of gel-phase micro-spheres; each one showing smaller nodules  
196 that are more or less fused together [47]. In between the nodules there is a family of very small pores  
197 (micropores), and in between the micro-spheres a second family of intermediate pores of diameter 8-20  
198 nm (mesopores) is observed. A third family of large pores of diameter 30-80 nm (macropores) is located  
199 between the agglomerates. Macropores, unlike meso- and micropores, are permanent and can be detected  
200 by standard techniques of pore analysis, i.e. adsorption-desorption of N<sub>2</sub> at 77 K. On the contrary, meso-  
201 and micropores appear in polar media able to swell the polymer and can be detected in aqueous media by  
202 characterization techniques such as inverse steric exclusion chromatography (ISEC) [64]. Morphological  
203 data of tested resins in dry state can be found in Table S1 (Supplementary Material).

204 Acidic ion-exchange resins experience swelling when they are in a polar medium and, as a result,  
205 morphology changes occur leading to the emergence of non-permanent spaces in the gel-phase of both  
206 macroreticular and gel-type resins. The inherent stiffness or flexibility of the resin structure is largely  
207 determined by their divinylbenzene content (DVB %) or crosslinking degree. In the styrene-DVB  
208 copolymerization process, DVB units links the styrene chains thereby crosslinking the polymer. Resins  
209 with low DVB % are thus less cross-linked, and as a consequence have a more flexible morphology.  
210 Usually, resins with a low degree of cross-linking suffer the effects of swelling in a more pronounced  
211 manner. It can be seen at a glance that resins highly swell in our reaction system, firstly in contact with



212 reactants (butanol and levulinic acid), and later by interaction with reaction products, especially water. It  
213 is therefore appropriate to characterize the morphology of tested resins in swollen state.

214 Analysis of ISEC data provides a useful characterization of swollen resins. The usual approach is based  
215 on modelling of the porous structure as a set of discrete fractions, each composed of pores having simple  
216 geometry and uniform sizes. In macroreticular resins a part of the new spaces generated on swelling can  
217 be characterized by the cylindrical pore model by estimating the surface area,  $S_{\text{pore}}$ , pore volume,  $V_{\text{pore}}$ ,  
218 and mean pore diameter,  $d_{\text{pore}}$ . These spaces are in the range of mesopores and are placed between gel-  
219 phase aggregates. Table 2 shows  $S_{\text{pore}}$ ,  $V_{\text{pore}}$  and  $d_{\text{pore}}$  of macroporous resins tested. In gel-type resins no  
220 spaces were detected in the mesopore range.

## 221 TABLE 2

222 However, the cylindrical pore model is not applicable to describe spaces between polymer chains formed  
223 as a result of gel-phase polymer swelling. The model developed by Ogston can more accurately describe  
224 the three-dimensional network of swollen polymer in which gel-phase spaces (micropores) are described  
225 by spaces between randomly oriented rigid rods [64]. The characteristic parameter of this model is the  
226 specific volume of the swollen polymer (volume of the free space plus that occupied by the skeleton),  
227  $V_{\text{sp}}$ . The Ogston model also distinguishes between zones of swollen gel phase of different density or  
228 polymer chain concentration (total rod length per volume unit of swollen polymer,  $\text{nm}^{-2}$ ) [65-67].

229 However, swelling does not occur in a uniform manner in a resin bead. Gel-phase porosity is described  
230 as zones of different chain density [66]. Chain density zones have been related to spaces of equivalent  
231 pore diameter ( $d_{\text{pore,eq}}$ ) by the Ogston Model [51]:  $0.1 \text{ nm}/\text{nm}^3$  ( $d_{\text{pore,eq}} = 9.3 \text{ nm}$ ),  $0.2 \text{ nm}/\text{nm}^3$  ( $d_{\text{pore,eq}} = 4.8$   
232  $\text{nm}$ ),  $0.4 \text{ nm}/\text{nm}^3$  ( $d_{\text{pore,eq}} = 2.6 \text{ nm}$ ),  $0.8 \text{ nm}/\text{nm}^3$  ( $d_{\text{pore,eq}} = 1.5 \text{ nm}$ ) and  $1.5 \text{ nm}/\text{nm}^3$  ( $d_{\text{pore,eq}} = 1 \text{ nm}$ ).

233 Distribution of the different polymer density zones of tested resins swollen in water is shown in Fig. 1.

234 As seen, when the DVB content is high the overall polymer density is accordingly high, with small  $V_{\text{sp}}$

235 values. Gel-type resins CT224, Dowex 50Wx2, Dowex 50Wx4 and Dowex 50x8 and macroreticular  
236 resins with low cross-linking degree Amberlyst 70 and Amberlyst 39 show low polymer densities ( $0.2$ –

237  $0.8 \text{ nm}^{-2}$ ) typical of an expanded polymer whereas macroreticular resins with medium and high cross-

238 linking degree Amberlyst 36, Amberlyst 16, Amberlyst 35, Amberlyst 15 and Amberlyst 46 present high

239 chain concentration ( $0.8\text{-}1.5\text{ nm}^{-2}$ ) characteristic of a very dense polymer mass. Noticeably, Dowex  
240 50Wx8 shows zones with high polymer density ( $1.5\text{ nm}^{-2}$ ), probably due to its crosslinking degree (8%)  
241 which confers high stiffness to del-type resins. It is usually expected that lower polymeric density zones  
242 present better accessibility than more densely packaged ones. The pore size distribution of the swollen  
243 gel phase in water is probably quite representative of the morphology of the catalyst in the reaction  
244 medium because the resin swelling in alcohols and water is comparable [57,65].

## 245 **FIGURE 1**

### 246 *3.2. Preliminary experiments*

247 Firstly, blank experiments without catalyst were performed at  $80^{\circ}\text{C}$ . Despite the absence of a solid  
248 catalyst the reaction occurred to some extent, probably self-catalyzed by LA. After the initial heat-up  
249 there was significant conversion of levulinic acid ( $X_{\text{LA}} \approx 15\%$  at  $t = 0$ ), but then the reaction progressed  
250 slowly and  $X_{\text{LA}} < 25\%$  were reached at 8h of reaction. Aside from BL and water, only small amounts of  
251 dibutyl ether (DBE) were detected from the reaction of excess BuOH.

### 252 *3.3. Catalyst screening*

253 The results of the catalyst screening runs are summarized in Table 3. The conducted experiments show  
254 that acidic PS-DVB ion-exchange catalysts highly accelerates the reaction rate of esterification of LA,  
255 with respect to the homogeneous reaction. LA conversions are between 63.9% (Amberlyst 46) and  
256 93.6% (Dowex 50Wx2) at 8h reaction. DBE is the only byproduct observed, but it is produced only in  
257 small quantities ( $< 2\text{ wt. } \%$ ). It is to be noted that under present operating conditions selectivity to butyl  
258 levulinate,  $S_{\text{BuOH}}^{\text{BL}}$ , is higher than 99% over all the resins tested.

## 259 **TABLE 3; FIGURE 2**

260 Figure 2 shows, for each catalyst, the evolution of LA conversion with contact time ( $w \cdot t/n_{\text{LA}}^0$ ), so that the  
261 slope of the curves is a direct measure of the reaction rate in a batch reactor. As seen, in general, gel-type  
262 resins provided higher reaction rates than macroreticular ones; the surface sulfonated resin Amberlyst 46  
263 being the least active of all tested resins. It appears that catalysts with greater capacity for swelling favor  
264 LA esterification conversions. Acidic ion-exchange resins swell to a higher degree when submerged in

265 polar liquid medium. Because of the high polarity of LA and butanol, and the formation of water over the  
266 reaction, resins are highly swollen in the reaction medium. Furthermore, gel-type resins have a higher  
267 swelling capacity than macroreticular ones because of lower percentage of cross-linking agent (DVB)  
268 which confers them less rigid morphology, as shown in Table S2 (Supplementary Material). Thus resins  
269 with a lesser cross-linking degree present higher esterification rates and accordingly LA conversions.

270 The effect of the number of active sites is unclear. On one hand, oversulfonated CT224 is less active than  
271 monosulfonated Dowex 50Wx4 (both gel-type and 4% DVB). This might answer to the fact that resin  
272 oversulfonation confers a certain additional stiffness to the polymer morphology, which reduces  
273 swelling. On the other hand, macroreticular Amberlyst 15 and Amberlyst 35 have 20% DVB (high cross-  
274 linking degree) and no differences were observed in their catalytic behaviour. In addition, oversulfonated  
275 Amberlyst 36 was marginally better than monosulfonated Amberlyst 16 (12% DVB). In this case, where  
276 high amounts of cross-linking agent furnish a very rigid morphology, a higher number of available active  
277 sites (surface macropores) can slightly counteract this effect. The lowest activity was that of A46, which  
278 is surface-sulfonated and therefore has a low number of active sites. LA conversion is only lower by 9%  
279 than that obtained over Amberlyst 15, Amberlyst 16 and Amberlyst 35. This suggests that for resins with  
280 higher cross-linking degree ( $\text{DVB}\% \geq 12$ ), swelling might be so poor that the reaction takes place mainly  
281 on active sites close to the surface. This is consistent with the observation that a good swelling capacity  
282 in BuOH is desirable for a catalyst of the LA esterification reaction. In the case of Dowex 50Wx8 and  
283 Amberlyst 39 (8% DVB) it is observed that the gel-type resin is less active. This fact can be justified in  
284 terms of the amount of swollen gel phase: Amberlyst 39 has higher  $V_{sp}$  values and lower polymer density  
285 in the different volume zones in swollen estate than Dowex 50Wx2 as seen in Figure 2.

286 On a side note, thermostable Amberlyst 70 showed unexpected high activity. As Figure 2 shows, reaction  
287 rates are close to that of Dowex 50Wx8; yet lower than those of Amberlyst 39. Amberlyst 70 has a lower  
288 number of active sites than Dowex 50Wx8 and Amberlyst 39 (all 8% DVB; see Table 1). On the other  
289 hand, despite Amberlyst 70 has lower  $V_{sp}$  than the other two resins, its overall polymer density is also  
290 lower. Presumably, the best behavior of Amberlyst 70 could be due to the combination of a less stiff  
291 inner morphology, and a higher acid strength of acid sites due to the electron donating effect of chloride  
292 atoms present in the thermostable resin, which might have a compensatory effect.

293 As expected for non-autocatalytic reactions, reaction rates diminish as LA conversion increases. Figure 3  
294 shows that Dowex 50Wx2 and Dowex 50Wx4 present the highest initial reaction rates, closely followed  
295 by CT224, Amberlyst 39 and Dowex 50Wx8. Therefore, gel type-resins have generally higher reaction  
296 rates as previously discussed. In the same way, Amberlyst 46 shows the lowest reaction rates of all  
297 catalysts tested. However, as seen in Fig. 4, Amberlyst 46 presents the highest turnover frequency (TOF)  
298 estimated as the reaction rate per active site (Eq. 4). This can be safely attributed to the great accessibility  
299 of its surface active sites despite their low number, revealing that active site accessibility is an issue. We  
300 can assume that the reaction rates achieved in surface sites are similar for all resins tested, and that  
301 reaction rates in internal active sites are lower. In this way, TOF values are rather an average of activity  
302 of all resin active sites, under the hypothesis that all of them are accessible. Resins with a flexible  
303 morphology, favoring swelling would have more accessible sites and higher TOF. Indeed, Amberlyst 46  
304 is the resin presenting a highest TOF because all its active centers are surface-located and therefore easily  
305 accessible, although low in number. Dowex 50Wx2, Amberlyst 70 and Dowex 50Wx4 follow most  
306 closely Amberlyst 46 in terms of the highest TOF. It is worth noting that Amberlyst 70, similarly to  
307 Amberlyst 46, has a low number of active sites. Nonetheless, this is compensated by a very flexible  
308 internal morphology, relatively high  $V_{sp}$  and low chain polymer density in the swollen state (Fig. 1).  
309 Despite being a gel-type resin and having a high number of active sites, TOF for Dowex 50Wx8 is low,  
310 mostly because unlike Amberlyst 70, polymer chain density in the swollen state is high, rendering many  
311 internal sites inaccessible. Macroreticular resins with high amounts of DVB have the lowest TOF, which  
312 is attributable to the stiffness of their morphology.

### 313 **FIGURE 3; FIGURE 4**

#### 314 *3.4. Influence of the resins properties on their catalytic activity*

315 This study has attempted to ascertain which catalyst properties have a greater effect on catalyst efficiency  
316 for the specific reaction of the esterification of LA with BuOH. Figs. 5A to 5F plot  $X_{LA}$  as a function of  
317 relevant chemical and morphological properties of PS-DVB such as acid capacity, DVB%,  $V_{sp}$  and pore  
318 surface and volume in the mesopore range in swollen state at different reaction times.

### 319 **FIGURE 5**

320 It was found that although the acid protons are those which allow the catalytic process, there is no clear  
321 relationship between  $X_{LA}$  and the number of active sites (acid capacity) as shown in Fig. 5D. As seen LA  
322 conversion increases with acid capacity, but in the range 4.8-5.4 meq  $H^+/g$  many resins with similar acid  
323 capacity show very different  $X_{LA}$  values, what implies that factors as acid strenght or morphological  
324 properties might have an important role in the catalytic activity of resins.

325 Ion exchange resins exhibit high concentrations of acid sites whose nature tends to be highly uniform and  
326 with a relatively low acid strength. Acid strength of sulfonic groups is quite similar for ion exchange  
327 resins, but some small differences are found depending on their morphology. The ammonia adsorption  
328 enthalpy ( $-\Delta H_{ads}$ ) have been used to quantify the difference in acid strength rather that the acid strength  
329 itself. By using the flow ammonia adsorption calorimetry technique ( $-\Delta H_{ads}$ ) values ranging from  $117 \pm 2$   
330 kJ/mol (Amberlyst 35, Amberlyst 36 and Amberlyst 70) to  $106 \pm 3$  kJ/mol (Dowex 50Wx2) as shown in  
331 Table 4. Acid capacity measured by this technique coincide well with values determined by titration with  
332 standard base for macorecticular resins. For gel-type resins there are some problems attributable to the fact  
333 that not all acidic sites are accessible in dry state [48,68]. However, it can be accepted that acid sites are  
334 of Brönsted type. It is also seen that oversulfonated resins have a slightly higher acid strength than  
335 monosulfonated ones. However, despite that Amberlyst 35 has higher acid capacity and a bit higher acid  
336 strength than Amberlyst 15, reaction rates of BL formation and LA conversions obtained on both resins  
337 are very similar. On the contrary, Amberlyst 35 and Amberlyst 36 have very similar acid capacity and  
338 acid strength, but reaction rate and LA conversion on Amberlyst 36 are some higher. Since those resins  
339 have significantly different morphologies in swollen state, it is assumed that the effect of acid strength is  
340 masked by the resin morphology. This would be in agreement with the fact that the monosulfonated resin  
341 Dowex 50Wx2, the most active resin, has the lowest acid strength but the higher swelling capacity.

342

#### TABLE 4

343 Morphology is thus the more relevant factor; underlining that accessibility to active sites is crucial to  
344 high catalyst activity. In swollen state, only macorecticular resins develop spaces in the macro- and  
345 mesopore ranges, which are characterized by pore volume and surface area, and mean pore diameter.  
346 Nonetheless, these parameters can clue us in regards to issues of accessibility. It is seen in Fig. 5C that

347 resins with larger macropores have slightly larger conversions at 8 h reaction. This fact cannot be fully  
348 explained by accessibility issues because macropores have an average diameter ten times larger than  
349 estimated molecules length ( $d_{LA}=6.78\text{\AA}$ ,  $d_{BL}=14.3\text{\AA}$  by using ChemBioOffice 2012). A higher total pore  
350 volume and surface area of macropores are generally linked to lower  $X_{LA}$  values (Figs. 5A and 5B), since  
351 higher pore surfaces and volumes correspond with highly cross-linked and stiff resins.

352 More relevant to catalyst efficiency than catalyst macropore size is accessibility of reactants molecules to  
353 acid centers, which is facilitated by the polymer swelling. Polar molecules show an affinity for sulfonic  
354 groups and their network of hydrogen bonds. When immersed in a polar media polymeric catalysts swell  
355 because of the interaction of the medium with the catalyst structure (sulfonic groups and polymer  
356 chains). In the swollen state a number of new spaces equivalent to mesopores (9.3-4.8 nm) and  
357 micropores (2.6-1 nm) appear [51], resulting in an additional number of accessible sites to be added to  
358 the surface ones. The amount of DVB (cross-linking agent) used in resin synthesis determines the  
359 formation of macropores (permanent pores), but both in macroreticular resins and in gel-type ones new  
360 pores appear by the swelling of the polymer in suitable media. A more rigid structure with higher cross-  
361 linking degree hinders polymer swelling by locking polymer chains together and limiting their ability to  
362 uncoil, rendering them less flexible. As seen in Fig. 5E,  $X_{LA}$  decreases almost linearly on increasing  
363 DVB%. Likewise, at the same value of DVB% several data points with slightly different conversions  
364 appear which are due to differences in acid capacity.

365 As a whole, higher reaction rates roughly correspond to high  $V_{sp}$  values (Fig. 5F). This trend is well-  
366 defined and consistent with previous observations.  $V_{sp}$  gives an accurate idea of the magnitude of  
367 polymer swelling in polar medium. Nonetheless, the matter is more complex. Polymeric resins do not  
368 swell uniformly when immersed in any liquid medium. When modeling the swollen gel phase, it is  
369 depicted as a set of discrete fractions in which gel-phase porosity is described as zones of different chain  
370 density. For each tested catalyst, Figure 6 plot  $X_{LA}$  at 2, 4 and 8 h (right axis), and the volume of each  
371 zone of different polymer density as well as  $V_{sp}$  (left axis). It is seen that the density of each volume  
372 fraction has as much influence on catalyst activity as the total value of  $V_{sp}$ . In almost all catalysts which  
373 provided high  $X_{LA}$  values, large medium to low chain density fractions were reported (0.1-0.4 nm/nm<sup>3</sup>).

374 Thus, the more densely packed polymeric structures in the swollen state are found to be disadvantageous  
375 to have higher reaction rates, and consequently higher LA conversions.

376

### FIGURE 6

377 Fig. 6 can also shed light as to why the conventionally sulfonated Amberlyst 39 (macroporous) and  
378 Dowex 50Wx8 (gel-type) have noticeably different catalytic activity despite having the same %DVB and  
379 acid capacity, what seems to contradict the general trend for gel-type resins to give higher  $X_{LA}$  values. It  
380 is seen that Dowex 50Wx8 has not only lower  $V_{sp}$ , but contains mostly densely packed polymer zones. It  
381 can also back up our explanation about why CT224 is disadvantageous with regards to Dowex 50Wx4:  
382 CT224 does have denser structure as well as a lower  $V_{sp}$ . It is also seen that Amberlyst 70 morphology in  
383 the swollen state fall entirely in the aforementioned range of low chain density fractions in which higher  
384 conversions are reported. Thus the fact that LA conversion on Amberlyst 70 is reported to be relatively  
385 high regardless of its lower acid capacity can be chalked up not only to the slightly higher acid strength  
386 of its active sites but to the resulting lighter density of its swollen morphology.

387 From Figs. 5 and 6 it is clear that the ability of swelling is a key for catalyzing LA esterification to BL.  
388 Resins, on swelling not only changes their morphology but also changes the density of acid sites. Fig. 7  
389 shows the effect of the parameter  $[H^+]/V_{sp}$  on the activity of tested catalysts. The parameter  $[H^+]/V_{sp}$  is a  
390 rough measure of the density of acid sites in the swollen polymer. As can be seen, LA conversion at 8h  
391 decreases on increasing acid site density, so that the smaller the acid density of resins, the higher their  
392 catalytic activity. The best catalysts (Dowex 50Wx2, Dowex 50Wx4 and Purolite CT224) are also those  
393 with lower  $[H^+]/V_{sp}$  values. It is also seen that resins with  $[H^+]/V_{sp} < 5$  show the lowest activity, and this  
394 is almost independent of the acid density. Amberlyst 46 and Amberlyst 70 are clearly out of the general  
395 trend, which can be explained by their particular morphology (Amberlyst 46 is surface sulfonated) and  
396 composition (Amberlyst 70 has chlorine atoms in its polymeric structure), and the lower acid capacity  
397 compared to the other resins. We can conclude that best catalysts for this reaction are those with good  
398 swelling capacity and low acid site density in swollen state.

399

### FIGURE 7

400

### 401 3.5. Industrial insight of the use of ion exchange resins

402 The use of ion exchange resins to catalyze the esterification of LA with BuOH is a good option from the  
403 viewpoint of green synthesis, particularly the low cross-linked gel-type resins Dowex 50Wx2, Dowex  
404 50Wx4 and Purolite CT224. Regarding the use of H<sub>2</sub>SO<sub>4</sub> [40], in addition to avoiding the risk to blacken  
405 the reaction product by the action of that acid, ion exchange resins have the advantages of heterogeneous  
406 catalysis: easy separation of catalysts from liquid medium, and minimizing reactor corrosion by acid. In  
407 comparison with zeolites [42-44] and montmorillonite-supported heteropolyacids (HPA) [45], gel-type  
408 resins with 2-4% DVB (especially Dowex 50Wx2) are not only very selective, are also more active  
409 (Table 5). These resins give good reaction rates at 80°C whereas the best results of Maheria et al. [42],  
410 Nandiwale and Bokade [43], Cirujano et al. [44] and Dharne and Bokade [45] has been obtained at  
411 120°C. As seen in Table 5, it is to be noted that Dowex 50Wx2 provides a  $X_{LA} \approx 95\%$  at much shorter  
412 contact times than zeolites or montmorillonite supported HPA [42,43,45], what implies the volume of an  
413 industrial reactor would be much lower, and also it works a lower temperature. For a possible industrial  
414 application, low temperature operation implies energy savings of great importance both environmentally  
415 and economically. Moreover, ion-exchange resins are effective at initial LA/BuOH molar ratios of 1/3  
416 leading to high BL production, a green chemistry important point because the environmental relevance of  
417 working with little excess of reactant. As for immobilized lipase catalysts, despite being attractive since  
418 they are active and selective below 60°C, their use has the drawbacks that a solvent is necessary (contrary  
419 to the precepts of green chemistry) and the loss of activity in each successive reuse as reported by Yadav  
420 and Borkar [46]. On the contrary, resins are usually stable below the maximum operating temperature.

#### 421 **TABLE 5**

422 On the selection of a suitable catalyst for the LA esterification, it is necessary that retain its activity for a  
423 long time. Ion exchangers deactivate due to hydrolysis of sulfonic groups and/or blocking of the active  
424 sites because of the formation of substances by polymerization or polycondensation of reactants, reaction  
425 products and/or compounds originated by reaction between reactants or reaction products. Thermal  
426 degradation of the resins occurs due to desulfonation by hydrolysis, and it takes place significantly in  
427 aqueous media above 180°C on macroreticular polymers, resulting in a substantial degradation of their



428 morphology [69,70]. However, hydrothermal treatments reveal that half-life of gel-type resins, as Dowex  
429 50Wx8, is higher than 800h above 150<sup>0</sup>C [71], and Dowex 50Wx2 and Amberlyst 70 retain acid capacity  
430 fully after 24 h at 150<sup>0</sup>C in a stream of bidistilled water [72]. Likewise, it has been found that there is no  
431 loss of acid groups of oversulfonated Amberlyst 35 after hydrothermal treatment of 6h [48].

432 On the other hand, it has been reported that gel-type resins maintain their activity and selectivity in  
433 aqueous media for more of 80h on stream at 120-150<sup>0</sup>C. In particular, in the dehydration reaction  
434 between ethanol and 1-octanol to ethyl octyl ether (EOE) in a fix reactor, reaction rates on Dowex  
435 50Wx2 only dropped by 10% after 70 h at 150<sup>0</sup>C [72]. Interestingly, the catalyst recovered its activity  
436 after drying in a N<sub>2</sub> flux and, in addition, its acid capacity was unchanged. Moreover, in the same setup  
437 Amberlyst 70 was found to be reusable in the synthesis of EOE without activity loss after three 50 h on  
438 stream cycles [72]. It should be noted also that some macroporous resins, despite having some  
439 desulfonation at 150<sup>0</sup>C may be reused for some cycles. In particular, Amberlyst 15, whose maximum  
440 operating temperature is 120<sup>0</sup>C, might be reused several times at 150<sup>0</sup>C without activity loss as it was  
441 shown in dehydration reaction of 1-butanol to di-n-butyl ether [53]. In this case, morphology changes  
442 provides higher surface area, but some desulfonation is detected. The maintaining of catalytic activity for  
443 a few runs would be the result of those opposite changes in the resin.

444 Regarding to esterification reactions, many examples of resins reuse can be found in the open literature at  
445 65-120<sup>0</sup>C. For example: the acetylation of glycerol at 105<sup>0</sup>C on Amberlyst 36 and Dowex 50Wx2 [73],  
446 Amberlyst 35 [74]; esterification of cooking oil with methanol on Amberlyst 15 at 65<sup>0</sup>C [75] and 90<sup>0</sup>C  
447 [76]; the reaction of phthalic acid with methanol at 120<sup>0</sup>C on Amberlyst 36 [77]; or the esterification of  
448 acrylic acid with isobutanol at 85<sup>0</sup>C on Amberlyst 131 (a gel-type monosulfonated resin similar to  
449 Dowex 50Wx4) [78]. In all cases 4 reuse cycles were reported with the exception of esterification of  
450 cooking oil with methanol where Amberlyst 15 was reused 8 times. A common feature of these examples  
451 is that the alcohol was initially in excess. It is noteworthy that when polarity of the reaction medium is  
452 reduced because the presence of a entrainer the reusability is worst, as it is seen in the acetylation of  
453 glycerol in the presence of toluene where selectivity to triacetyl ester diminished after each reuse [79].  
454 Since we have conducted our experiments at 80<sup>0</sup>C, well below the maximum operating temperature of  
455 tested resins, and with alcohol excess, it is assumed that acidic resins would be stable enough for

456 potential use in industry, especially resins Dowex 50Wx2, Dowex 50Wx4 and CT224, the most active  
457 catalysts found in the present study.

#### 458 **4. Conclusions**

459 The catalyst screening revealed that BL can be successfully produced in liquid phase from LA and  
460 BuOH over acidic PS-DVB catalysts at 80°C at an initial molar ratio LA/BuOH of 1/3 and catalyst  
461 loading < 1%. The reaction takes place with selectivity to BL higher than 99.5%, and the only side  
462 reaction detected is the parallel reaction of etherification of BuOH to DBE, although DBE is produced in  
463 very small quantities. After 8h, the use of gel-type resins (e.g. Dowex 50Wx2, Dowex 50Wx4 and  
464 CT224) led to high conversions of LA into BL, so that BL yields of 90.5-93.5% are obtained. It is seen  
465 that gel-type PS-DVB resins with a higher amount of swollen polymer phase and low acid site density in  
466 the swollen polymer ( $[H^+]/V_{sp}$ ) show higher activity. Best results are obtained with the gel-type resin  
467 Dowex 50Wx2 (monosulfonated, 2% DVB).

468 The synthesis of BL is highly related to morphological resins properties that promote accessibility to acid  
469 centers. Consequently, in order to synthesize moderately bulky esters such as BL, a greatly expanded  
470 polymer network in swollen state is suitable. The reaction rates increase significantly when the volume  
471 of swollen polymer phase is high and the swollen polymer shows low-medium density. Reaction rates  
472 are higher as the polymer cross-linking degree (DVB%) diminishes, since swelling capacity is enhanced  
473 in polymers with low cross-linking degree. On the other hand, resin acid capacity and the total number of  
474 active sites in a resin hold a lower amount of influence on catalyst performance. However, the acid site  
475 density in the swollen polymer ( $[H^+]/V_{sp}$ ) plays an important role in the catalytic behavior of resins.

476 By comparing with the scarce literature survey data, it is seen that the use of gel-type resins as catalysts  
477 for the esterification of LA with BuOH is a very good option from the viewpoint of green chemistry with  
478 the purpose of further industrial application. Gel-type resins have are able to work at lower temperature  
479 that inorganic solid catalysts, such as zeolites or montmorillonite supported HPA, and provide LA  
480 conversion of about 94% at lower contact time ( $w \cdot t/n_{LA}^0$ ). Finally, operating with butanol in excess  
481 (LA/BuOH of 1/3) and mild temperature (80°C) Dowex 50Wx2 is expected to be stable enough for  
482 lengthy industrial operation.

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490

491 **Nomenclature**

492	BL	butyl levulinate
493	BuOH	1-butanol
494	DBE	dibutyl ether
495	$d_{\text{bead}}$	mean bead diameter (mm)
496	$d_{\text{pore}}$	mean macropore diameter (nm)
497	DVB	divinylbenzene
498	EL	ethyl levulinate
499	EOE	ethyl octyl ether
500	FGB	first generation biofuel
501	HPA	heteropolyacid
502	ISEC	inverse steric exclusion chromatography
503	LA	levulinic acid
504	$n_{\text{BL}}$	mole of butyl levulinate (mol)
505	PS-DVB	polystyrene-divinylbenzene
506	$R_{\text{BuOH/LA}}$	molar ratio BuOH/LA

507	$r_{BL}$	reaction rate of butyl levulinate synthesis (mol/(h·kg of dry catalyst))
508	SGB	second generation biofuel
509	$S_{BuOH}^{BL}$	selectivity to butyl levulinate
510	$S_{pore}$	surface area (m <sup>2</sup> /g)
511	t	time (h)
512	$T_{max}$	maximum working temperature (°C)
513	TOF	turnover frequency (mol/(h·eq H <sup>+</sup> ))
514	$V_{pore}$	pore volume (cm <sup>3</sup> /g)
515	$V_{sp}$	specific volume of swollen polymer (cm <sup>3</sup> /g)
516	w	catalyst mass (g)
517	$X_{LA}$	levulinic acid conversion
518	$\rho_s$	skeletal density (g/(cm <sup>3</sup> of dry catalyst))

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618 **Scheme captions**

619 **Scheme 1.** Acid catalyzed reaction of esterification of levulinic acid

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621 **Figure Captions.**

622 **Fig. 1.** Distribution of zones of different density of swollen PS-DVB catalysts determined from ISEC  
623 data in aqueous solution (■ 1.5 nm/nm<sup>3</sup>, ■ 0.8 nm/nm<sup>3</sup>, ■ 0.4 nm/nm<sup>3</sup>, ■ 0.2 nm/nm<sup>3</sup>, ■ 0.1nm/nm<sup>3</sup>)

624 **Fig. 2.** Evolution of X<sub>LA</sub> over contact time (w·t/n<sup>0</sup><sub>LA</sub>) for tested catalysts (◆ A15, ◇ A16, ▲ A35, ▲  
625 A36, □ A46, ■ A70, ◇ A39, Δ CT224, ○ DOW8, ● DOW 4, ● DOW 2)

626 **Fig. 3.** Reaction rate of BL synthesis as a function of LA conversion for tested catalysts (◆ A15, ◇ A16,  
627 ▲ A35, ▲ A36, □ A46, ■ A70, ◇ A39, Δ CT224, ○ DOW8, ● DOW 4, ● DOW 2).

628 **Fig. 4.** TOF of BL synthesis as a function of LA conversion for tested catalysts (◆ A15, ◇ A16, ▲ A35,  
629 ▲ A36, □ A46, ■ A70, ◇ A39, Δ CT224, ○ DOW8, ● DOW 4, ● DOW 2).

630 **Fig. 5.** Influence of chemical and morphological properties in swollen state on resin activity. LA  
631 conversion at 2 (◆), 4 (◇) and 8h (◇) against macropore volume (A), surface area (B), mean diameter  
632 (C), acid capacity (D), crosslinking degree (E) and specific volume of swollen polymer (F)

633 **Fig. 6.** Relationship between LA conversion and specific volume and density of swollen resins. Right  
634 axis: V<sub>sp</sub> (cm<sup>3</sup>/g) and distribution of zones of different density of swollen PS-DVB resins in water  
635 determined from ISEC data in aqueous solution (■ 1.5 nm/nm<sup>3</sup>, ■ 0.8 nm/nm<sup>3</sup>, ■ 0.4 nm/nm<sup>3</sup>, ■ 0.2  
636 nm/nm<sup>3</sup>, ■ 0.1nm/nm<sup>3</sup>). Left axis: LA conversion achieved at 2 (◆), 4 (◇) and 8h (◇) reaction time,

637 **Fig. 7.** LA conversion at 8h reaction time vs. [H<sup>+</sup>]/V<sub>sp</sub>

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640 **Table 1.** Properties of tested catalysts.

Catalyst	Acronym	Structure <sup>a</sup>	DVB % <sup>b</sup>	Sulfonation <sup>c</sup>	Acid capacity (meq H <sup>+</sup> /g) <sup>d</sup>	T <sub>max</sub> (°C) <sup>e</sup>
Amberlyst 15	A15	macro	20	CS	4.81	120
Amberlyst 16	A16	macro	12	CS	4.80	130
Amberlyst 35	A35	macro	20	OS	5.32	150
Amberlyst 36	A36	macro	12	OS	5.40	150
Amberlyst 39	A39	macro	8	CS	4.82	130
Amberlyst 46	A46	macro	25	SS	0.87	120
Amberlyst 70	A70	macro	8	CS	2.55	190
Purolite CT224	CT224	gel	4	OS	5.34	150
Dowex 50Wx2	DOW2	gel	2	CS	4.83	150
Dowex 50Wx4	DOW4	gel	4	CS	4.95	150
Dowex 50Wx8	DOW8	gel	8	CS	4.83	150

(a) Macroreticular structure (macro) and gel-type structure (gel).

(b) From Bringué et al. [51].

(c) Conventionally sulfonated (CS), oversulfonated (OS) and surface sulfonated (SS).

(d) Titration against standard base following the procedure described by Fisher and Kunin [52].

(e) Maximum operation temperature. Information supplied by manufacturer

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644 **Table 2.** Morphology of tested catalysts swollen in water.

Catalyst	$\rho_s^a$ (g/cm <sup>3</sup> )	True pores <sup>b</sup>			Gel-phase <sup>b</sup>					
		$d_{pore}^c$ (nm)	$\Sigma S_{pore}$ (m <sup>2</sup> /g)	$\Sigma V_{pore}$ (cm <sup>3</sup> /g)	$\Sigma V_{sp}$ (cm <sup>3</sup> /g)	0.1nm/nm <sup>3</sup>	0.2nm/nm <sup>3</sup>	0.4nm/nm <sup>3</sup>	0.8nm/nm <sup>3</sup>	1.5nm/nm <sup>3</sup>
A15	1.416	12.4	192	0.616	0.622	0.000	0.000	0.000	0.000	0.622
A16	1.401	15.5	46	0.188	1.136	0.208	0.000	0.000	0.016	0.913
A35	1.542	12.6	199	0.720	0.504	0.000	0.000	0.005	0.065	0.434
A36	1.567	14.8	68	0.259	1.261	0.000	0.085	0.015	0.000	1.161
A39	1.417	15.0	56	0.155	1.643	0.218	0.000	0.588	0.243	0.595
A46	1.137	10.3	186	0.470	0.190	0.010	0.000	0.000	0.016	0.164
A70	1.520	13.2	66	0.220	1.149	0.071	0.007	1.072	0.000	0.000
CT224	1.424	-	-	-	1.859	0.000	0.000	0.511	0.765	0.584
DOW2	1.426	-	-	-	2.677	0.000	0.729	1.949	0.000	0.000
DOW4	1.426	-	-	-	1.920	0.000	0.000	1.356	0.953	0.000
DOW8	1.430	-	-	-	1.404	0.000	0.034	0.000	0.046	1.325

(a) Skeletal density measured by Helium displacement (dry state).

(b) Determined from ISEC data.

(c) Mean pore diameter.

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**Table 3.** Conversion values at 2, 4 and 8h reaction time and the final selectivity values.

Catalyst	$X_{LA}$ (%)			$S_{BuOH}^{BL}$ (8h, %)
	t = 2h	t = 4h	t = 8h	
Amberlyst 46	---	45.7	63.9	99.6
Amberlyst 15	39.3	52.7	69.8	99.7
Amberlyst 35	40.5	55.0	70.9	99.8
Amberlyst 16	41.2	55.7	74.9	99.6
Amberlyst 36	46.7	59.7	78.1	99.5
Amberlyst 39	54.9	72.2	86.6	99.9
Amberlyst 70	46.8	62.8	81.0	99.9
Dowex 50Xx8	48.2	63.3	81.3	99.7
CT-224	61.0	77.4	90.6	99.8
Dowex 50Xx4	66.8	82.5	92.4	99.9
Dowex 50Xx2	71.8	86.3	93.6	99.9

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**Table 4.**  $NH_3$  adsorption enthalpy and acid capacity from  $NH_3$  adsorption calorimetry.

Catalyst	$-\Delta H_{NH_3}$ (kJ/mol)	$[H^+]$ (mmol/g) <sup>a</sup>	$[H^+]$ (mmol/g) <sup>b</sup>	Ref
Amberlyst 15	111 ± 2	4.70 ± 0.1	4.81	48
	110 ± 3	4.73 ± 0.1		This work
Amberlyst 35	117 ± 2	5.20 ± 0.1	5.32	48
Amberlyst 16	108 ± 3	4.72	4.80	This work
Amberlyst 36	117 ± 2	5.30 ± 0.1	5.40	48
Amberlyst 39	111 ± 3	4.66	4.82	This work
Amberlyst 70	117 ± 3	1.65 ± 0.05	2.55	48
Dowex 50Wx4	113 ± 3	4.65	4.95	This work
Dowex 50Wx2	106 ± 3	3.80	4.83	This work
Purolite CT224	112 ± 3	4.51	5.34	This work
Amberlyst 46	108 ± 3	0.91	0.87	This work

The experimental technique can be found in Siril et al. [48]

<sup>a</sup> From microcalorimetry of  $NH_3$  adsorption. It considers those centers with  $-\Delta H_{NH_3} \geq 80$  kJ/mol

<sup>b</sup> From titration with NaOH

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657 **Table 5.** Summary of experimental conditions used in synthesis of BL by heterogeneous catalysis.

Ref.	Catalyst	Solvent	Catalyst loading	t (h)	T (°C)	R <sub>LA/BuOH</sub>	X <sub>LA</sub> (%)
	PS-DVB resins	None (BuOH)	0.8%	8	80	1/3	64-94% <sup>(a)</sup>
42	Zeolites	None (BuOH)	7-14 wt. %	4-12	120	1/6-1/8	31-82 <sup>(b)</sup>
43	Modified H-ZSM-5	None (BuOH)	20 wt. %	6	90-130	1/6	95-98 <sup>(c)</sup>
44	Zr-containing MOFs	None (BuOH)		6	120	1/6	99 <sup>(d)</sup>
45	HPA on K10	None (BuOH)	7-30 wt. %	1-6	120	1/4-1/10	60-97 <sup>(e)</sup>
46	Immobilized lipase	t-butyl methyl ether	10-50 mg	2	30-60	1/1-1/4	35-86 <sup>(f)</sup>

(a) Best catalyst: Dowex 50Wx2. X<sub>LA</sub> = 94%; w·t/n<sup>0</sup><sub>LA</sub> ≈ 22 g catalyst·h/mol LA

(b) Best catalyst: H-BEA-25 (R<sub>LA/BuOH</sub> = 1/7, catalyst loading 10 wt.% to LA). X<sub>LA</sub> = 82.2%; w·t/n<sup>0</sup><sub>LA</sub> ≈ 46 g catalyst·h/mol LA

(c) Best catalyst: micro/meso H-ZSM-5 (R<sub>LA/BuOH</sub> = 1/6, catalyst loading 20 wt.% to LA, T=130°C). X<sub>LA</sub> = 98%; w·t/n<sup>0</sup><sub>LA</sub> ≈ 140 g catalyst·h/mol LA

(d) Best catalyst: Zr-containing MOF (1.8% Zr) (R<sub>LA/BuOH</sub> = 1/6, catalyst loading 1.8 mol.% Zr). X<sub>LA</sub> = 99%

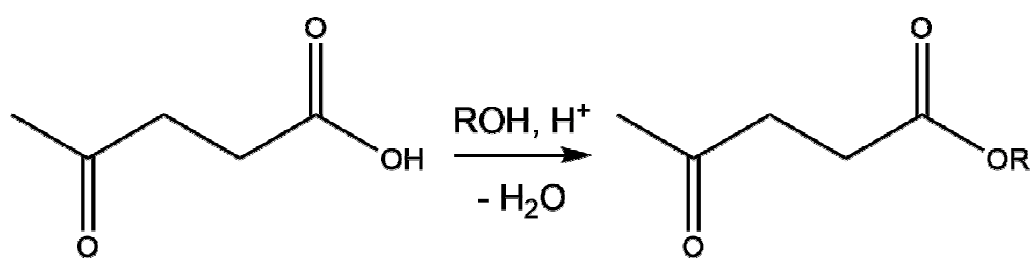
(e) Best catalyst: K10-supported dodecamolibdophosphoric acid (R<sub>LA/BuOH</sub> = 1/6, catalyst loading 10 wt% to LA). X<sub>LA</sub> = 97%, selectivity to BL = 100%; w·t/n<sup>0</sup><sub>LA</sub> ≈ 70 g catalyst·h/mol LA

(f) Best catalyst: immobilized Novozim 435 (R<sub>LA/BuOH</sub> = 1/3, catalyst loading 35 mg [0.3 wt.%], T = 60°C). X<sub>LA</sub> = 86%

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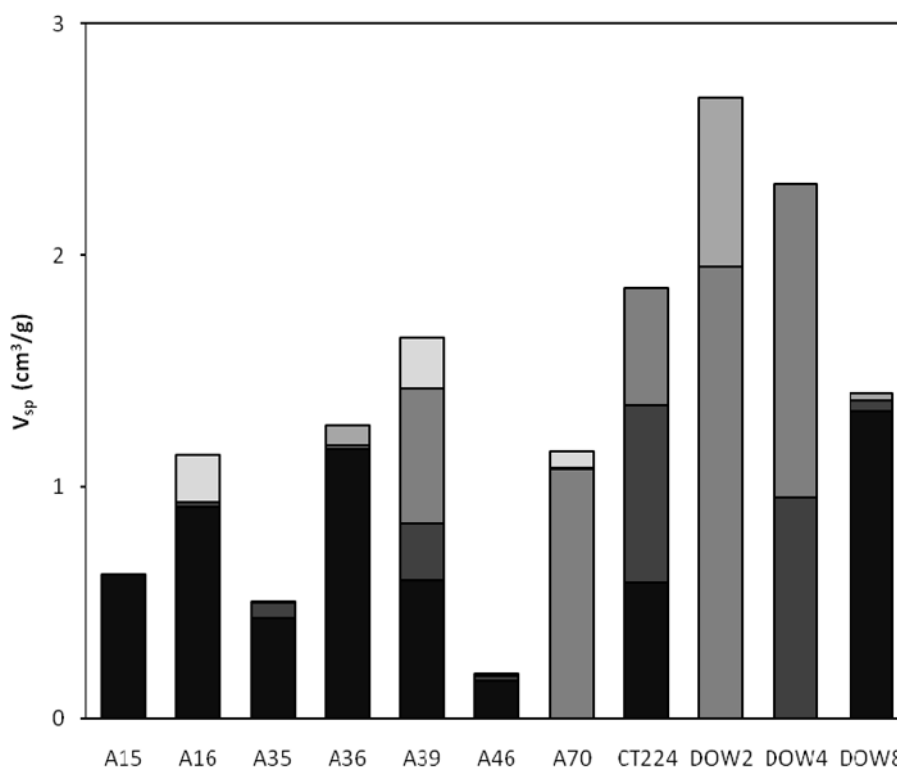
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663 **Scheme 1.** Acid catalyzed reaction of esterification of levulinic acid

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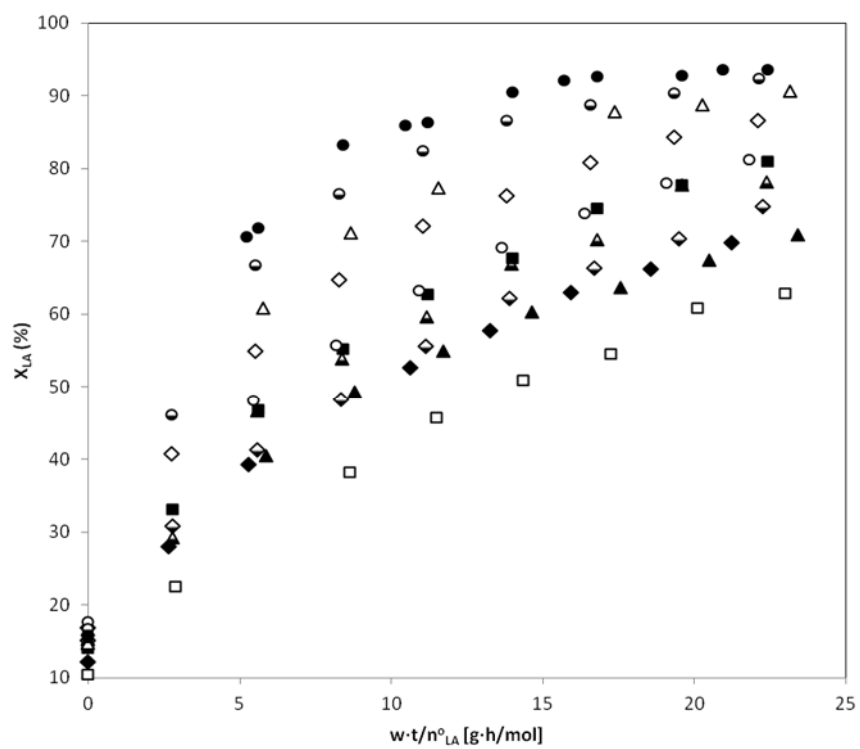
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669 **Fig. 1.** Distribution of zones of different density of swollen PS-DVB catalysts determined from ISEC  
670 data in aqueous solution (■ 1.5 nm<sup>3</sup>/nm<sup>3</sup>, ■ 0.8 nm<sup>3</sup>/nm<sup>3</sup>, ■ 0.4 nm<sup>3</sup>/nm<sup>3</sup>, ■ 0.2 nm<sup>3</sup>/nm<sup>3</sup>, ■ 0.1 nm<sup>3</sup>/nm<sup>3</sup>)

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675 **Fig. 2.** Evolution of  $X_{LA}$  over contact time ( $w \cdot t / n^0_{LA}$ ) for tested catalysts (◆A15, ◇A16, ▲A35, ▲

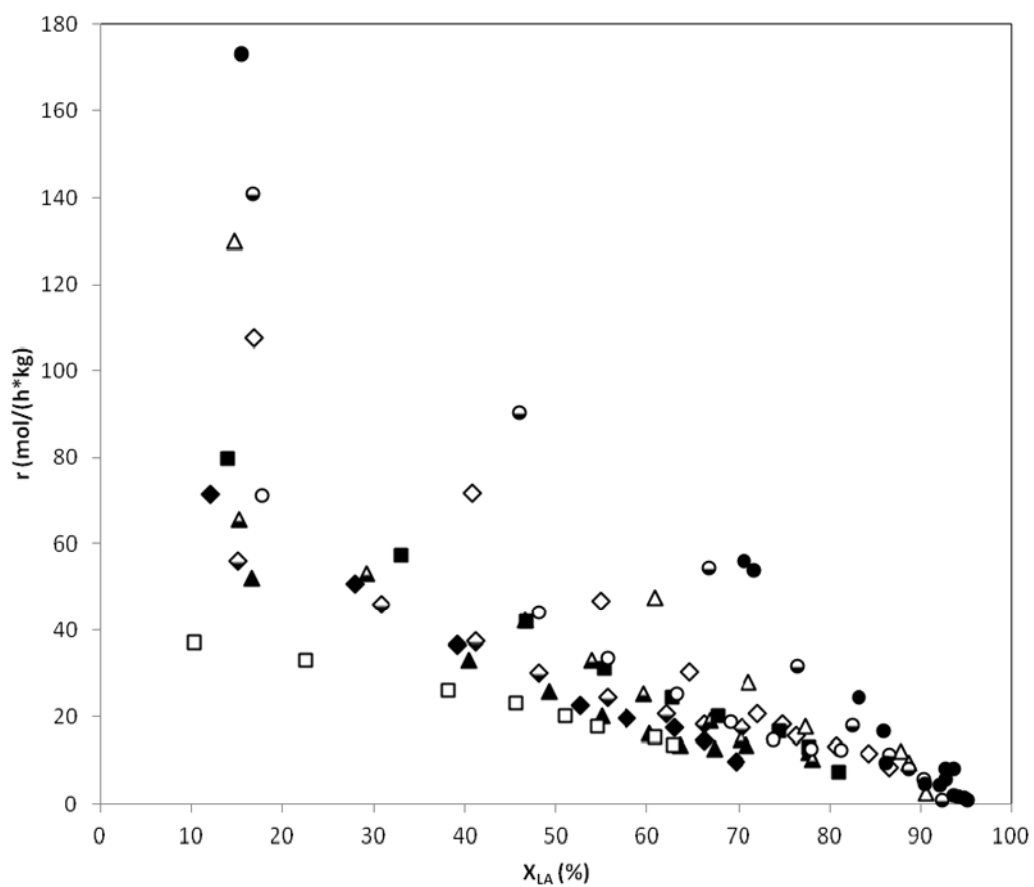
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A36, □A46, ■A70, ◇A39, △CT224, ○DOW8, ◐DOW 4, ●DOW 2)

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681 **Fig. 3.** Reaction rate of BL synthesis as a function of LA conversion for tested catalysts (◆A15, ◇A16,

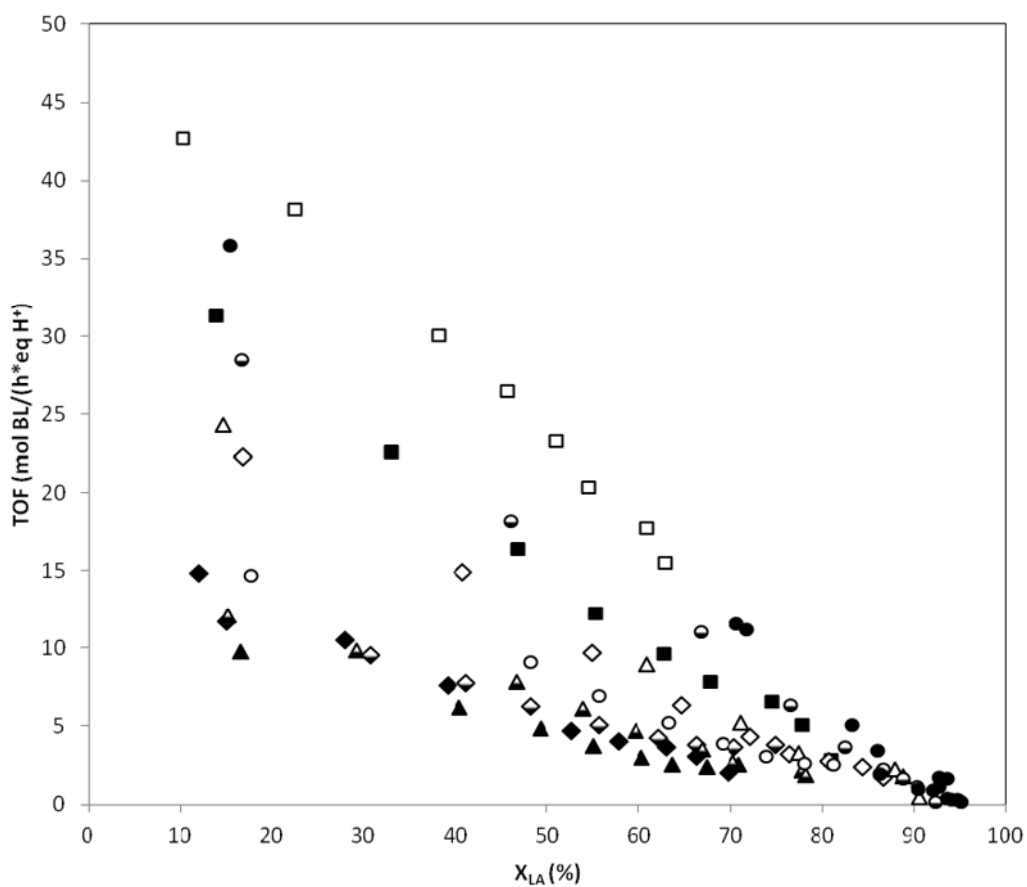
682 ▲A35, ▲A36, □A46, ■A70, ◇A39, △CT224, ○DOW8, ●DOW 4, ●DOW 2).

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687 **Fig. 4.** TOF of BL synthesis as a function of LA conversion for tested catalysts (◆A15, ◇A16, ▲A35,

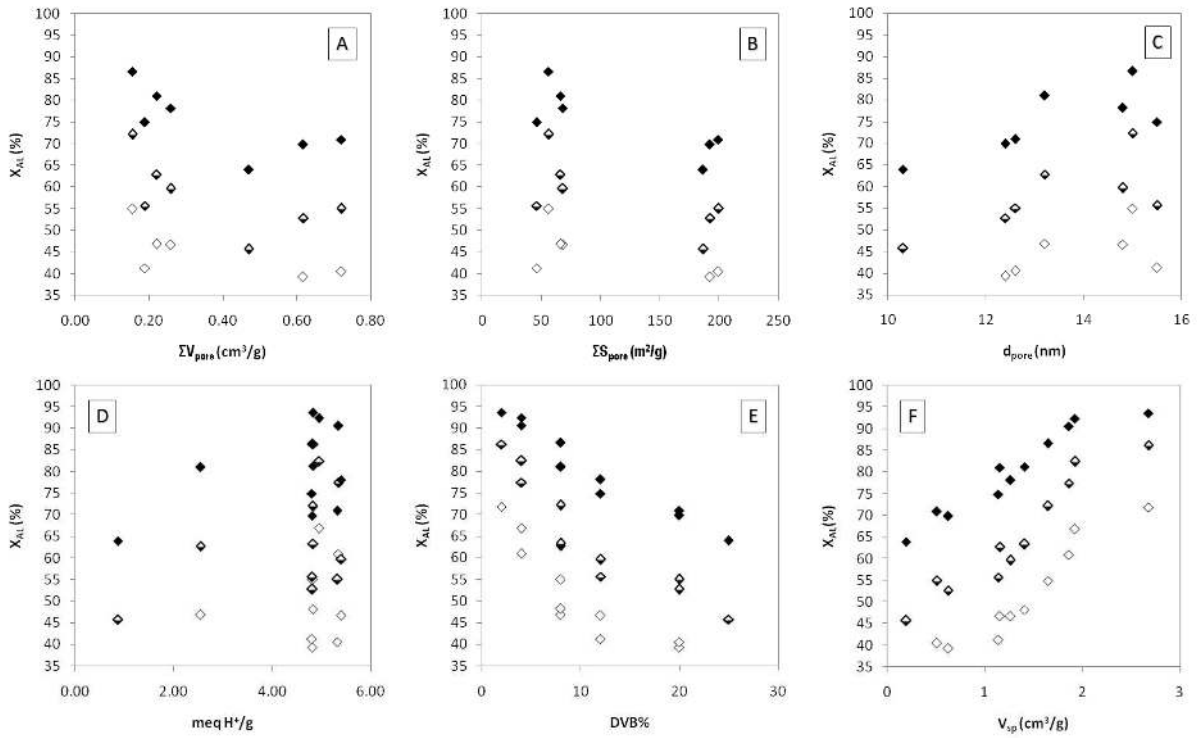
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▲A36, □A46, ■A70, ◇A39, △CT224, ○DOW8, ●DOW 4, ●DOW 2).

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693 **Fig. 5.** Influence of chemical and morphological properties in swollen state on resin activity. LA

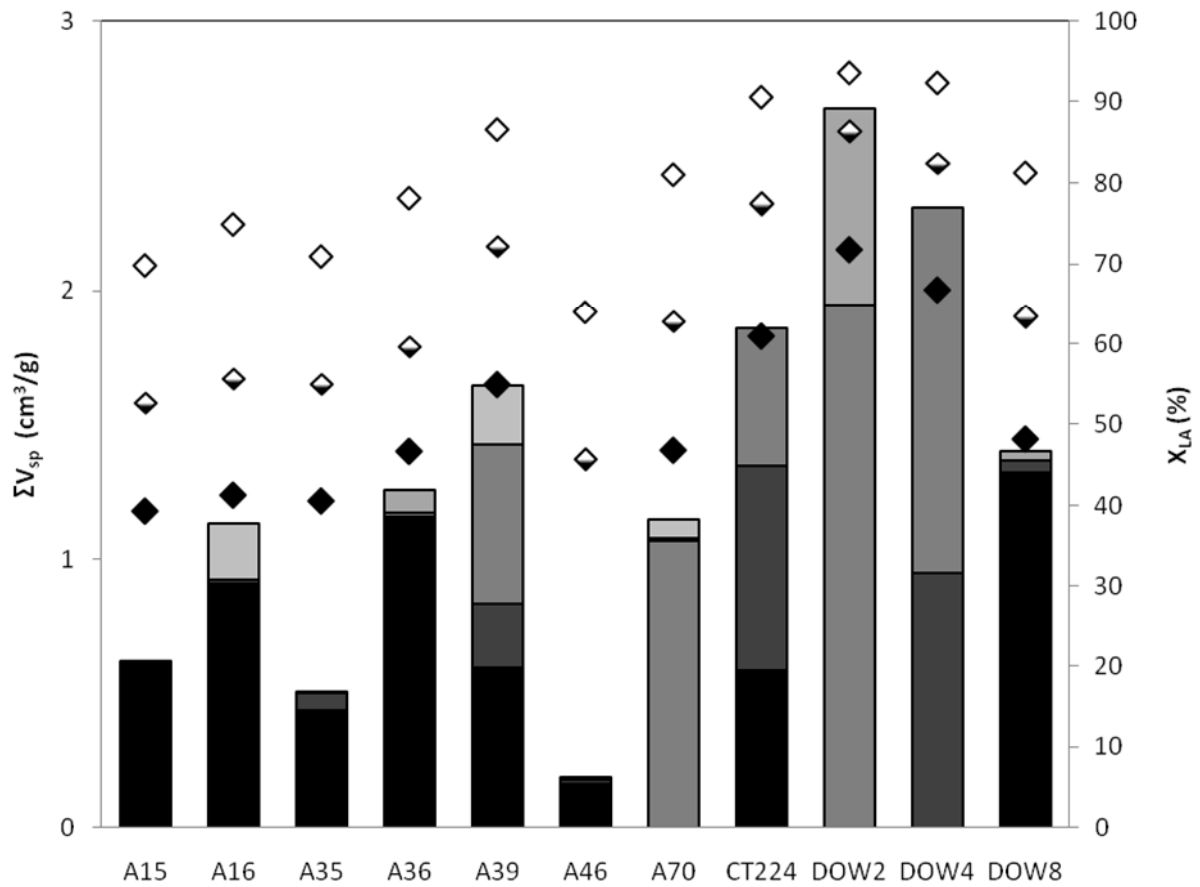
694 conversion at 2 (◆), 4 (◐) and 8h (◇) against macropore volume (A), surface area (B), mean diameter

695 (C), acid capacity (D), crosslinking degree (E) and specific volume of swollen polymer (F)

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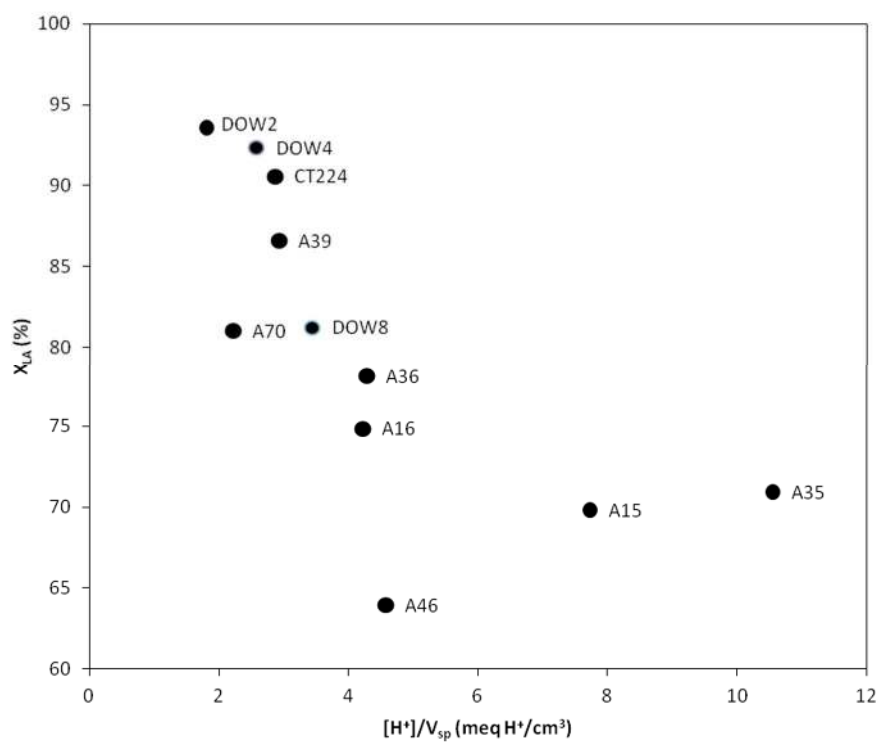
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700 **Fig. 6.** Relationship between LA conversion and specific volume and density of swollen resins. Right  
 701 axis:  $V_{sp}$  (cm<sup>3</sup>/g) and distribution of zones of different density of swollen PS-DVB resins in water  
 702 determined from ISEC data in aqueous solution (■ 1.5 nm<sup>3</sup>/nm<sup>3</sup>, ■ 0.8 nm<sup>3</sup>/nm<sup>3</sup>, ■ 0.4 nm<sup>3</sup>/nm<sup>3</sup>, ■ 0.2  
 703 nm<sup>3</sup>/nm<sup>3</sup>, ■ 0.1nm<sup>3</sup>/nm<sup>3</sup>). Left axis: LA conversion achieved at 2 (◆), 4 (◇) and 8h (◇) reaction time,

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**Fig. 7.** LA conversion at 8h reaction time vs. [H<sup>+</sup>]/V<sub>sp</sub>