

Estimating Risk of Recurrence in Differentiated Thyroid Cancer After Total Thyroidectomy and Radioactive Iodine Remnant Ablation: Using Response to Therapy Variables to Modify the Initial Risk Estimates Predicted by the New American Thyroid Association Staging System

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Background: A risk-adapted approach to management of thyroid cancer requires risk estimates that change over time based on response to therapy and the course of the disease. The objective of this study was to validate the American Thyroid Association (ATA) risk of recurrence staging system and determine if an assessment of response to therapy during the first 2 years of follow-up can modify these initial risk estimates.

Methods: This retrospective review identified 588 adult follicular cell-derived thyroid cancer patients followed for a median of 7 years (range 1–15 years) after total thyroidectomy and radioactive iodine remnant ablation. Patients were stratified according to ATA risk categories (low, intermediate, or high) as part of initial staging. Clinical data obtained during the first 2 years of follow-up (suppressed thyroglobulin [Tg], stimulated Tg, and imaging studies) were used to re-stage each patient based on response to initial therapy (excellent, acceptable, or incomplete). Clinical outcomes predicted by initial ATA risk categories were compared with revised risk estimates obtained after response to therapy variables were used to modify the initial ATA risk estimates.

Results: Persistent structural disease or recurrence was identified in 3% of the low-risk, 21% of the intermediate-risk, and 68% of the high-risk patients ($p < 0.001$). Re-stratification during the first 2 years of follow-up reduced the likelihood of finding persistent structural disease or recurrence to 2% in low-risk, 2% in intermediate-risk, and 14% in high-risk patients, demonstrating an excellent response to therapy (stimulated Tg < 1 ng/mL without structural evidence of disease). Conversely, an incomplete response to initial therapy (suppressed Tg > 1 ng/mL, stimulated Tg > 10 ng/mL, rising Tg values, or structural disease identification within the first 2 years of follow-up) increased the likelihood of persistent structural disease or recurrence to 13% in low-risk, 41% in intermediate-risk, and 79% in high-risk patients.

Conclusions: Our data confirm that the newly proposed ATA recurrence staging system effectively predicts the risk of recurrence and persistent disease. Further, these initial ATA risk estimates can be significantly refined based on the assessment of response to initial therapy, thereby providing a dynamic risk assessment that can be used to more effectively tailor ongoing follow-up recommendations.

Introduction

THE LAST SEVERAL YEARS have seen a renewed interest in thyroid cancer management paradigms that base treatment and follow-up recommendations on individualized risk assessments (1–4). This individualized management approach is embraced by the thyroid cancer management guidelines published by the American Thyroid Association

(ATA) in which postoperative staging is recommended not only for assessing risk for recurrence and mortality, but also for tailoring decisions regarding both the need for postoperative adjuvant therapy (including need for radioactive iodine [RAI] ablation and degree of thyrotropin [TSH] suppression) as well as the frequency and modality of follow-up studies (5).

Because of its utility in predicting disease-specific mortality, and its widespread use in tumor registries, the ATA

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TABLE 1. INITIAL AMERICAN THYROID ASSOCIATION RISK OF RECURRENCE CLASSIFICATION

| <i>Low risk</i> | <i>Intermediate risk</i> | <i>High risk</i> |
|---|--|---|
| All the following are present No local or distant metastases All macroscopic tumor has been resected No invasion of locoregional tissues Tumor does not have aggressive histology (e.g., tall cell, insular, columnar cell carcinoma, Hurthle cell carcinoma, follicular thyroid cancer). No vascular invasion No ¹³¹ I uptake outside the thyroid bed on the post-treatment scan, if done | Any of the following is present Microscopic invasion into the perithyroidal soft tissues Cervical lymph node metastases or ¹³¹ I uptake outside the thyroid bed on the post-treatment scan done after thyroid remnant ablation Tumor with aggressive histology or vascular invasion (e.g., tall cell, insular, columnar cell carcinoma, Hurthle cell carcinoma, follicular thyroid cancer) | Any of the following is present Macroscopic tumor invasion Incomplete tumor resection with gross residual disease Distant metastases |

guidelines recommend the American Joint Cancer Committee/Union Internationale Contre le Cancer (AJCC/UICC) staging system for use in all patients with differentiated thyroid cancer (5). As a complement to the AJCC/UICC staging system, the ATA guidelines also endorsed the use of other clinicopathologic staging systems to improve prognostication and better tailor follow-up studies for individual patients. Recognizing that the commonly used clinicopathologic staging systems were designed to predict death and not recurrence, the updated ATA guidelines also proposed a novel, but unproven, staging system to predict risk of recurrent/persistent disease in differentiated thyroid cancer (Table 1).

While these initial staging systems provide an important starting point for risk assessment, they are static representations of the patient at the time of initial therapy and are not designed to be modified over time based on the clinical course of the disease. Further, none of the commonly used staging systems include adequate variables to address the impact of treatment on subsequent outcomes (6,7). Since initial surgery and RAI remnant ablation (RRA) are likely to have a major impact on risk of recurrence and risk of death in thyroid cancer patients, the failure to incorporate variables that assess response to these initial therapies into our staging systems will result in overly pessimistic predictions in high-risk patients having an excellent response to therapy and overly

optimistic estimates in low-risk patients that fail initial therapy. Therefore, it is not surprising that the risk estimates provided by any of the commonly used clinicopathologic staging systems account for only a small proportion of the observed variance in disease-specific survival (8–10).

In an effort to integrate the effect of therapy into our risk assessments, we have built on previously published studies from our center (3,11,12) to develop a risk stratification scheme in which clinical data obtained during the first 2 years of follow-up are used to categorize response to therapy as either excellent, acceptable, or incomplete (4) (Table 2). This is based on previous studies demonstrating that risk of persistent disease or recurrence is altered by the completeness of initial surgery, changes in serum thyroglobulin (Tg) or anti-Tg antibody levels (TgAb) over time (13–18), the degree of RAI avidity of pulmonary metastases (19), the presence of an undetectable stimulated Tg especially when coupled with a negative neck ultrasonography (US) in low-risk patients (20), and 2-deoxy-2-(¹⁸F)fluoro-D-glucose positron emission tomography (18-FDG-PET) scanning in high-risk patients (21). Further, many recommendations in the ATA guidelines are defined in terms of initial risk stratification as well as the results from follow-up testing (e.g., recommendation # 45b advises follow-up primarily with a suppressed Tg and physical examination in low-risk patients with undetectable

TABLE 2. RESPONSE TO INITIAL THERAPY DEFINITIONS (6–24 MONTHS AFTER RADIOACTIVE IODINE ABLATION)

| <i>Excellent response</i> | <i>Acceptable response</i> | <i>Incomplete response</i> |
|---|---|--|
| All the following Suppressed and stimulated Tg < 1 ng/mL Neck US without evidence of disease Cross-sectional and/or nuclear medicine imaging negative (if performed) | Any of the following Suppressed Tg < 1 ng/mL and stimulated Tg ≥ 1 and <10 ng/mL Neck US with nonspecific changes or stable subcentimeter lymph nodes Cross-sectional and/or nuclear medicine imaging with nonspecific changes, although not completely normal | Any of the following Suppressed Tg ≥ 1 ng/mL or stimulated Tg ≥ 10 ng/mL Rising Tg values Persistent or newly identified disease on cross-sectional and/or nuclear medicine imaging |

Tg, thyroglobulin; US, ultrasonography.

stimulated Tg and negative neck US after total thyroidectomy and RRA) (5).

In this article, we validate the newly proposed ATA risk stratification system for prediction of early recurrence of disease and proceed to demonstrate how these initial risk estimates can be refined by incorporating response to therapy variables in a retrospective review of 588 consecutive thyroid cancer patients followed for a median of 7 years at a single, tertiary-care referral center.

Materials and Methods

Subjects

After obtaining institutional review board (IRB) approval, we retrospectively reviewed the electronic medical records of 710 consecutive patients with differentiated thyroid cancer evaluated at Memorial Sloan Kettering Cancer Center (MSKCC) who had undergone total thyroidectomy and RRA either at MSKCC or elsewhere between January 1994 and December 2004. Of the 710 potentially eligible patients, 588 patients had adequate clinicopathological information to allow for accurate initial risk stratification and determination of clinical status throughout their follow-up. Of the 710 potential patients, 121 were excluded from the study for the following reasons: inadequate follow-up information ($n = 64$), interfering anti-Tg antibodies ($n = 25$), inadequate information for initial staging ($n = 17$), age < 18 years at diagnosis ($n = 13$), or anaplastic thyroid cancer histology ($n = 3$). A minimum of 3 years of follow-up was required for entry into the study unless one of the clinical endpoints (recurrence or death) was reached before that time point. Patients < 18 years old at diagnosis, or with a histological diagnosis of medullary thyroid cancer or anaplastic thyroid cancer were excluded from the study. Low- to intermediate-risk patients not requiring total thyroidectomy or RRA were not included in this study.

All patients included in the study were receiving TSH suppressive therapy and had at least one neck US performed at our center after initial therapy during the first 2 years of follow-up (most had two or more serial US evaluations) and two or more serum Tg and TgAb determinations obtained on levothyroxine suppression during the first 2 years of follow-up. All patients had a Tg and TgAb levels while on TSH suppressive therapy at the time of final follow-up. Patients with interfering TgAb were excluded (Dynotest-TgS immunoradiometric assay; Brahms, Inc., Berlin, Germany). Complete surgical resection was defined as an extracapsular total thyroidectomy with therapeutic, compartment-oriented neck dissection for suspicious or biopsy-proven metastatic cervical lymphadenopathy. A stimulated Tg value within the first 2 years of follow-up was not a requirement for inclusion in the study but was available in 80% of the patients (see Results section).

Risk stratification

Each patient ($n = 588$) was risk-stratified using the 7th edition of the AJCC/UICC staging system (stage I, II, III, or IV) and the newly proposed ATA risk of recurrence stratification system (low-, intermediate-, or high-risk of recurrence; Table 1) (5).

Only those patients who had a stimulated Tg determination during the first 2 years of follow-up ($n = 471$) could be

used in the analysis of response to therapy (Table 2). All clinical data obtained during the first 2 years of follow-up were used to assess the response to initial therapy (total thyroidectomy and RAI ablation) as either excellent, acceptable, or incomplete using the re-stratification scheme proposed by our group (4).

Laboratory studies

Between 1994 and 1997, a variety of Tg assays were used with functional sensitivities of approximately 1 ng/mL (used as part of the 2 year response to therapy assessment in 128 patients, 21 of whom had a stimulated Tg value < 1 ng/mL). Starting in 1998, all Tg values were measured using the Dynotest-TgS immunoradiometric assay (Brahms, Inc.; functional sensitivity 0.6 ng/mL normalized to CRM 457).

Follow-up

Patients were usually followed every 6 months during the first year and at 6–12 month intervals thereafter at the discretion of the attending physician based on the risk of the individual patient and the clinical course of the disease.

Clinical endpoints

Patients were considered to have no clinical evidence of disease (NED) at final follow-up if they had a suppressed serum Tg < 1 ng/mL, no detectable TgAb, and no structural evidence of disease. Patients with suppressed Tg values ≥ 1 ng/mL, stimulated Tg values ≥ 2 ng/mL, or any evidence of disease on cross-sectional imaging (US, computed tomography scan, or magnetic resonance imaging), functional imaging (RAI scan or 18-FDG-PET scan), or biopsy-proven disease (cytology or histology) were considered as having persistent disease. A recurrence was defined as new biochemical (suppressed Tg ≥ 1 ng/mL, and/or stimulated Tg ≥ 2 ng/mL), structural, or functional evidence of disease that was detected following any period of NED. Disease-specific mortality was also an endpoint (patients dying of unrelated conditions had the final endpoint determined based on data available before their demise).

Patients with persistent disease were further classified as having either biochemical evidence of disease (elevated basal or stimulated Tg values alone without structural correlate) or structural evidence of disease on imaging. Patients were considered to have structural evidence of disease if any of the following conditions were met: (i) positive cytology/histology, or (ii) highly suspicious lymph nodes or thyroid bed nodules on the neck US (hypervascularity, cystic areas, heterogeneous content, rounded shape, or enlargement on the follow-up), or (iii) findings on RAI scans, 18-FDG-PET scans, or other cross-sectional imaging highly suspicious for metastatic disease.

Statistical methods

Continuous data are presented as means and standard deviations with median values. Categorical comparisons were performed with the Fischer's exact test. Analysis was performed using SPSS software (version 16.0.1: SPSS, Inc., Chicago, IL). The proportion of variance explained (PVE) is

estimated using Nagelkerke's method and the RSQUARE option in PROC LOGISTIC of SAS/SAT (22,23).

Results

The demographics, clinical features, risk stratification, and final outcomes for each of the 588 patients included in this study are presented in Table 3. As expected, the majority of patients had papillary thyroid cancer (85%), were female (68%), and were classified as either having a low (23%) or intermediate (50%) risk for recurrence based on the new ATA

risk stratification system. The relatively high proportion of patients classified as having high-risk of recurrence (27%) probably reflects the selection bias of patients referred to our cancer center. During follow-up, only 1% of the patients developed recurrent disease after a period of NED, whereas 28% of the patients had structural evidence of persistent disease and 19% had biochemical evidence of persistent disease (without a structural correlate) as the best response to initial therapy (total thyroidectomy and RAI ablation). However, at the time of last follow-up (a median of 7 years after initial therapy, and after additional therapy was given to some patients), 67% of the patients were NED, 28% had persistent biochemical, structural, or recurrent disease, and 5% had died of thyroid cancer.

We first evaluated the ability of the AJCC staging system to predict the clinically relevant endpoints of NED, persistent disease, and recurrent disease in the entire group of patients ($n = 588$, Table 4). While AJCC stage IV patients were less likely to be NED (20%) and more likely to have persistent structural disease (68%) than stage I–III patients ($p < 0.01$), the likelihood of developing recurrent disease detected after a period of NED was similar in all AJCC stages (1%–2%). Further, the prevalence of persistent structural disease was higher in stage II patients (34%) than stage III patients (14%). Evaluation of the stage II patients with persistent disease reveals that 92% (34/37) of them were <45 years old at diagnosis with metastatic disease at presentation (M1). Additionally, the other three stage II patients with persistent disease had relatively high-risk tumors (55 year old with 2 cm papillary thyroid cancer, 50 year old with 3 cm Hürthle cell carcinoma, and 60 year old with 4 cm poorly differentiated thyroid cancer). Finally, 26/28 patients who died of thyroid cancer were AJCC stage IV (1 stage II, 1 stage III).

When classified based on the ATA risk stratification system ($n = 588$ patients), 3% of the low-risk patients, 21% of the intermediate-risk patients, and 68% of the high-risk patients ($p < 0.001$) had persistent structural or recurrent disease detected during follow-up (Table 5). In addition, 11% of low-risk, 22% of intermediate-risk, and 18% of high-risk patients had biochemical evidence of persistence without structurally identifiable disease. In total, 14% of the low-risk, 43% of the intermediate-risk, and 86% of the high-risk patients ($p < 0.001$) had biochemical or structural evidence of persistent or recurrent disease following total thyroidectomy and RRA. All patients dying of thyroid cancer had been classified as having a high risk of recurrence.

Re-stratification based on response to initial therapy (total thyroidectomy and RRA) in the 471 patients with stimulated Tg values available at the 2-year follow-up time point demonstrated that only 4% (6/159) of the excellent responders had recurrent disease that was identified 4–11 years after initial therapy (5 with Tg elevations without structurally identifiable disease and 1 with loco-regional lymph node recurrence detected by neck US with suppressed Tg < 0.6 ng/mL and no detectable TgAb) (Table 6). None of the patients with an acceptable response to therapy demonstrated either persistent structural disease or recurrence during follow-up. However, 13% of the acceptable responder patients had biochemical evidence of persistent disease during follow-up without evidence of structurally identifiable disease. Finally, only 4% (8/217) of the incomplete responders were rendered NED without additional therapy. In each of these eight patients, a

TABLE 3. DESCRIPTION OF THE COHORT

| | | n |
|--|--------------|-----|
| Age (years) | | |
| Mean \pm SD | 46 \pm 15 | 588 |
| Median | 46 | |
| Range | 18–83 | |
| Gender | | |
| Female | 68% | 400 |
| Histology | | |
| Papillary | 85% | 500 |
| Poorly differentiated | 6% | 35 |
| Follicular | 4% | 24 |
| Hurthle cell | 5% | 29 |
| 131I activity for ablation (mCi) | | |
| Mean \pm SD | 134 \pm 72 | 588 |
| Median | 114 | |
| AJCC stage | | |
| I | 48% | 281 |
| II | 12% | 71 |
| III | 15% | 89 |
| IVa | 12% | 71 |
| IVb | 1% | 5 |
| IVc | 12% | 71 |
| ATA initial risk classification | | |
| Low | 23% | 135 |
| Intermediate | 50% | 294 |
| High | 27% | 159 |
| Response to therapy classification | | |
| Excellent | 34% | 159 |
| Acceptable | 20% | 95 |
| Incomplete | 46% | 217 |
| Stimulated Tg done (first 2 years) | | |
| Yes | 80% | 470 |
| No | 20% | 118 |
| Follow-up Duration (years) | | |
| Mean \pm SD | 8 \pm 3 | 588 |
| Median | 7 | |
| Range | 1–15 | |
| Clinical status after initial therapy | | |
| No evidence of disease | 52% | 305 |
| Biochemical evidence of persistent disease | 19% | 108 |
| Structural evidence of persistent disease | 28% | 167 |
| Recurrent disease | 1% | 8 |
| Status at final follow-up | | |
| No evidence of disease | 67% | 394 |
| Persistent/recurrent disease | 28% | 165 |
| Death of disease | 5% | 29 |

$n = 588$.

ATA, American Thyroid Association; SD, standard deviation; AJCC, American Joint Cancer Committee.

TABLE 4. CLINICAL OUTCOMES FOLLOWING INITIAL THERAPY FOR EACH AMERICAN JOINT CANCER COMMITTEE STAGE

| Clinical outcome following initial therapy | n = 588 | | | |
|--|---------------------|---------------------|----------------------|----------------------|
| | AJCC I (n = 281) | AJCC II (n = 71) | AJCC III (n = 89) | AJCC IV (n = 147) |
| No evidence of disease (n = 305) | 66% (186) | 48% (34) | 63% (56) | 20% (29) |
| Persistent disease, biochemical evidence only (n = 108) | 23% (64) | 18% (12) | 21% (18) | 10% (14) |
| Persistent disease, structurally identifiable (n = 167) | 10% (28) | 34% (25) | 14% (13) | 68% (101) |
| Recurrent disease (n = 8) | 1% (3) | 0% (0) | 2% (2) | 2% (3) |

gradual decline in serum Tg values over many years without additional therapy rendered them NED at final follow-up. Persistent structural disease and persistent biochemical disease was present significantly more often in the incomplete responders than the excellent or acceptable responders ($p < 0.001$).

The impact of the response to initial therapy re-stratification is most apparent in patients initially classified as intermediate or high risk of recurrence (Table 7). In these patients, an excellent response to therapy results in a significant decrease in the likelihood of having persistent structural disease or recurrent thyroid cancer (from 18% to 2% in intermediate-risk and 66% to 14% in high-risk patients). Likewise, an incomplete response to therapy is associated with an increased likelihood of having persistent structural disease or recurrence in each of the initial risk categories (3% to 13% in low-risk, 18% to 41% in intermediate-risk, and 66% to 79% in high-risk patients).

In our response to therapy assessments, both the suppressed and stimulated Tg values play a central role in risk re-stratification. To determine if the additional clinical data (negative cross sectional and/or functional imaging) used in the excellent response to therapy definition was improving the ability to identify patients at lowest risk of recurrence, the likelihood of being NED at the final follow-up was calculated

for each of the following possible definitions of response to therapy assessed at the 2 years of follow-up point: suppressed Tg < 1 ng/mL alone, stimulated Tg < 1 ng/mL alone, and the composite excellent response to therapy endpoint combining clinical data (cross sectional and/or functional imaging) with either a suppressed or stimulated Tg < 1 ng/mL (Table 8). In each of the ATA risk categories, the composite excellent response to therapy endpoint using as part of its definition a stimulated Tg < 1 ng/mL provided the highest likelihood of being NED at the final follow-up. However, using a suppressed Tg < 1 ng/mL (with no stimulated Tg assessment) as part of the definition of excellent response at the 2-year follow-up time point also provided clinically meaningful information about the likelihood of being NED at the last follow-up, in both the ATA low-risk (94%) and intermediate-risk (90%) patients.

The ability of the ATA staging system and the response to therapy re-stratification system to predict recurrent/persistent disease was assessed by determining the PVE for each system. The ATA risk stratification system was able to account for 34% (PVE = 0.34) of the observed variance in predicting recurrent/persistent disease. However, the response to therapy re-stratification system was able to account for 84% (PVE = 0.84) of the variance observed.

Discussion

Our data demonstrate for the first time that the newly proposed ATA risk of recurrence stratification system effectively defines short-term risk of having recurrent or persistent structural disease in differentiated thyroid cancer patients treated with total thyroidectomy and RRA over a 7-year follow-up period. These initial risk estimates can be used to guide management recommendations during the first 1-2 years of follow-up. However, the intensity and modality of long-term follow-up studies should be tailored to revised risk estimates that are based on response to therapy assessments, rather than the initial static risk estimates that do not account for the effects of treatment on clinically relevant outcomes.

Consistent with the previous recent publications (24,25), our data also demonstrate that the AJCC staging is not the best tool for predicting the risk of recurrent/persistent disease. This is most readily apparent in the high rate of persistent/recurrent disease seen in stage II patients. This is almost

TABLE 5. CLINICAL OUTCOMES FOLLOWING INITIAL THERAPY FOR AMERICAN THYROID ASSOCIATION RISK CATEGORIES

| Clinical outcome following initial therapy | n = 588 | | |
|--|------------------|---------------------------|-------------------|
| | Low (n = 136) | Intermediate (n = 291) | High (n = 161) |
| No evidence of disease (n = 305) | 86% (117) | 57% (166) | 14% (22) |
| Persistent disease, biochemical evidence (n = 108) | 11% (15) | 22% (64) | 18% (29) |
| Persistent disease, structurally identifiable (n = 167) | 2% (3) | 19% (56) | 67% (108) |
| Recurrent disease (n = 8) | 1% (1) | 2% (5) | 1% (2) |

TABLE 6. RE-STRATIFICATION BASED ON RESPONSE TO INITIAL THERAPY

| Clinical outcome after re-staging | n = 471 | | |
|--|---------------------------------|---------------------------------|----------------------------------|
| | Excellent response (n = 159) | Acceptable response (n = 95) | Incomplete response (n = 217) |
| No evidence of disease (n = 245) | 96% (153) | 87% (84) | 4% (8) |
| Persistent disease, biochemical evidence (n = 96) | 0% (0) | 13% (11) | 39% (85) |
| Persistent disease, structurally identifiable (n = 124) | 0% (0) | 0% (0) | 57% (124) |
| Recurrent disease (n = 6) | 4% (6) | 0% (0) | 0% (0) |

TABLE 7. IMPACT OF RESPONSE TO INITIAL THERAPY ASSESSMENT ON INITIAL ESTIMATES OF RISK

| | ATA initial risk of recurrence classification (n = 471) | | |
|--|--|-----------------|-----------------|
| | Low | Intermediate | High |
| Initial estimate of risk of persistent structural or recurrent disease | 3% (3/104) | 18% (43/241) | 66% (83/126) |
| Modified estimate of risk of persistent structural or recurrent disease based on response to initial therapy | | | |
| Excellent response (n = 159) | 2% (1/59) | 2% (2/86) | 14% (2/14) |
| Acceptable response (n = 95) | 0% (0/30) | 0% (0/56) | 0% (0/9) |
| Incomplete response (n = 217) | 13% (2/15) | 41% (41/99) | 79% (81/103) |

TABLE 8. USING THYROGLOBULIN AND RESPONSE TO INITIAL THERAPY ASSESSMENTS AT THE 2-YEAR FOLLOW-UP TIME POINT TO PREDICT LIKELIHOOD OF BEING NO CLINICAL EVIDENCE OF DISEASE AT FINAL FOLLOW-UP

| Initial risk stratification | Response to therapy variables during first 2 years of follow-up | NED at final follow-up |
|-----------------------------|--|------------------------|
| Low risk (n = 104) | Suppressed Tg < 1 ng/mL alone | 84% |
| | Stimulated Tg < 1 ng/mL alone | 89% |
| | Excellent response (imaging negative ^a and suppressed Tg < 1 ng/mL) | 94% |
| | Excellent response (imaging negative ^a and stimulated Tg < 1 ng/mL) | 97% |
| Intermediate risk (n = 241) | Suppressed Tg < 1 ng/mL alone | 74% |
| | Stimulated Tg < 1 ng/mL alone | 80% |
| | Excellent response (imaging negative ^a and suppressed Tg < 1 ng/mL) | 90% |
| | Excellent response (imaging negative ^a and stimulated Tg < 1 ng/mL) | 94% |
| High risk (n = 126) | Suppressed Tg < 1 ng/mL alone | 39% |
| | Stimulated Tg < 1 ng/mL alone | 55% |
| | Excellent response (imaging negative ^a and suppressed Tg < 1 ng/mL) | 80% |
| | Excellent response (imaging negative ^a and stimulated Tg < 1 ng/mL) | 82% |

n = 471 with both suppressed and stimulated Tg values available for analysis.

^aNegative imaging: normal neck US in all patients. In addition, any other functional or cross-sectional imaging obtained at the discretion of the treating physician was interpreted as having no evidence of persistent/recurrent thyroid cancer.

NED, no clinical evidence of disease.

entirely due to young patients with distant metastases at diagnosis (M1) who are very unlikely to die of thyroid cancer, but quite likely to have persistent disease during the first several years of follow-up (26). Therefore, while the AJCC staging system provides valuable information regarding overall survival, it does not provide adequate information with regard to risk of recurrent/persistent disease.

The ATA risk stratification system is a static representation of risk based on clinicopathologic features available at the time of initial evaluation. By overlaying a response to therapy assessment on the initial risk stratification estimates, a more accurate and individualized risk assessment can be formulated for each patient during long-term follow-up. From a clinical management perspective, the most useful, dynamic risk estimates are obtained when response to therapy assessments are used to refine initial risk estimates.

The change in risk estimates as a function of response to therapy is most notable in the ATA intermediate-risk group where an estimated risk of recurrent or persistent structural disease of 18% decreases to as little as 2% in patients having an excellent response to therapy. Similarly, the few high-risk patients with an excellent response to therapy see the risk of recurrent or persistent structural disease decrease from 66% to 14%. On the contrary, the small number ATA low-risk patients who have an incomplete response to therapy see the risk of having persistent structural disease at the time of final follow-up rise from the initial 3% estimate to 13% based on the lack of response to total thyroidectomy and adjuvant RAI therapy. The 1%–2% risk of recurrence seen in the ATA low- and intermediate-risk patients with an excellent response to therapy is very consistent with the findings of Pacini *et al.* in low-risk patients with undetectable stimulated Tg values and negative neck US obtained during the first year of follow-up (20).

Since we defined recurrence as newly detected biochemical or structural disease following any period of no evidence of disease, only patients achieving an excellent response to therapy (suppressed serum Tg < 1 ng/mL, a stimulated Tg < 2 ng/mL (if done), and no structural evidence of disease) could be subsequently classified as having disease recurrence. This definition differs from the language commonly used in clinical practice where newly identified structural disease (even in patients with persistent biochemical evidence of disease) is often referred to as a recurrence. However, we feel that structural disease identified in the follow-up of patients not previously rendered free of disease is better described as persistent rather than recurrent disease.

Our data show that a composite clinical endpoint that includes a stimulated Tg value < 1 ng/mL in addition to negative imaging is associated with the highest likelihood of being NED at final follow-up. However, our data also demonstrate that a rather high likelihood of being NED can also be achieved in low-risk (94%) and intermediate-risk (90%) patients based on negative neck US and a suppressed Tg < 1 ng/mL at the 2-year follow-up point. Therefore, the decision regarding whether a stimulated Tg value is required in an individual patient must be based the perceived need for achieving the highest possible likelihood of being NED, the functional sensitivity and reliability of the available Tg assay, the quality of the neck US, the expense of the stimulated Tg testing, and the inconvenience of the additional office visits required for the stimulated testing.

As the PVE analysis demonstrates, the ATA risk system only accounts for 34% of the variance in predicting recurrent/persistent disease. This value is consistent with the PVE values reported for predicting death in many of the commonly used staging systems [e.g., American Joint Cancer Committee (AJCC), metastasis, age, completeness of resection, invasion, size (MACIS), Memorial Sloan Kettering Cancer Center (MSKCC), and National Thyroid Cancer Treatment Cooperative study], which typically range from 5% to 30% depending on the cohort analyzed and the method used for calculation (8–10). It is not surprising that the addition of response to therapy assessment markedly improves the predictive ability of a staging system since much of the variability in outcome can be appreciated in the first 2 years of follow-up. In our data, re-stratification based on response to therapy assessment increased the PVE to 84%, allowing for much more confident prediction of outcomes for individual patients.

Potentially important variables that could account for some of the variation not explained by current staging systems could include (i) the impact of additional therapies given after the 2 year re-stratification, (ii) complications of therapy that alter either overall survival or our ability to detect recurrent disease, and (iii) the influence of initial genotype of tumor aggressiveness, response to therapy, or ability to detect recurrent disease (e.g., downregulation of sodium iodine symporter and Tg production seen with tumors harboring the BRAF mutation) (27).

As with all retrospective studies, our data have several important limitations. While a median follow-up period of 7 years is a reasonable starting point for assessment of clinical outcomes (especially the risk of persistent disease), longer studies will be required to assess the risk of late recurrence. It is likely that a small number of patients classified as NED at 7 years may develop clinically significant recurrent disease in the years to come. Indeed, the few patients who recurred after showing an excellent response to initial therapy did not manifest clinically evident disease until between 4 and 11 years after initial therapy. Since follow-up recommendations were based on individual physician preference and not on a prospective protocol, the intensity and frequency of follow-up studies varied from patient to patient with more intensive testing being done in the highest risk patients. This would likely lead to an increased sensitivity for detection of persistent/recurrent disease in high-risk patients than the less rigorous testing paradigm often used in low-risk patients. Further, the exclusion of pediatric patients and patients with interfering antibodies are also a limitation of this study.

Even though all the patients in this study were followed here at MSKCC, many of them had their initial surgeries and RRA done before being referred to our center. Therefore, many important aspects of their initial therapy cannot be assessed in detail. These include many critical factors that have a major impact on the effectiveness of the initial therapy, including completeness of initial surgery, intraoperative decision making with regard to extent of resection with respect to both extrathyroidal disease and lymph node metastases, adherence to a low-iodine diet before ablation, adequacy of TSH stimulation for ablation, administered activity of RAI, interpretation of diagnostic and follow-up whole-body RAI scans, and the need for external beam irradiation therapy. Thus, while this study does address the impact of initial therapy

(surgery and RRA) in a large cohort of patients followed at a single institution, additional studies will be required to determine if meticulous surgical resection, with or without RRA, can achieve similar (or better) clinical outcomes than those reported in this series of patients who received initial therapy in centers with a varying degree of expertise in thyroid cancer management.

A final limitation of this study is that the definitions of the response to therapy (excellent, acceptable, and incomplete) used in this article can only be applied to patients rendered athyreotic with total thyroidectomy and RRA. This limitation is not meant to imply that low- or intermediate-risk patients always require remnant ablation. Quite to the contrary, we routinely follow low- to intermediate-risk patients treated with either lobectomy or total thyroidectomy without RRA using physical examination findings, follow-up neck US, and serial Tg values to assess response to therapy. Further studies are warranted to address the usefulness of the response to therapy assessment in patients not treated with RAI.

In summary, our data confirm the ATA risk classification as a good initial predictor of recurrent/persistent disease in differentiated thyroid carcinoma. However, our data also indicate that a risk-adapted approach to follow-up cannot be based solely on static, initial estimates of risk that remain unchanged over the life of the patient. It is necessary to incorporate response to therapy variables into our risk stratification systems to provide accurate, dynamic risk estimates for individual patients. These changing risk estimates can then be used to intelligently modify follow-up management plans over time. This management approach will allow us to better tailor the risk/benefits of treatment, testing, and follow-up to the risks of recurrent/persistent disease and death for each individual patient.

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Disclosure Statement

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