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1 Evaluating the safety climate of ethnic minority construction workers in Hong Kong

2
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15 16 17 Abstract

18 The Hong Kong construction industry is facing a severe labour shortage due to an ageing
19 workforce and a lack of new entrants, even at a time of construction boom. To improve
20 this situation, ethnic minorities (EMs) have been attracted to join the construction
21 industry. In many developed countries, however, some unofficial statistics show that EMs
22 suffer higher fatality rates than their local counterparts. It is clear that the safety of ethnic
23 minority (EM) construction workers requires more attention. The objectives of this study,
24 therefore, were to evaluate the safety climate among EM construction workers in Hong
25 Kong, to predict the impact of safety climate factors as they affect the likelihood of injury
26 occurrences and to determine the relationships between safety climate and the safety
27 performance of EM workers. A questionnaire survey was administered to Pakistani and
28 Nepalese construction workers in Hong Kong. The results revealed that the overall
29 average safety climate score for both EM groups was not very high and that the Pakistani
30 worker scores were higher than the Nepalese worker scores. EM frontline-workers scored
31 less than EM supervisors and managers. Among seven safety climate factors, “Workers
32 personal involvement in safety and health (F5)” was ranked highest and “Perception of
33 safety rules and regulations (F4)”, was ranked lowest. “Risk taking behaviour and

34 perception of work risk (F3)” and “Workers personal involvement in safety and health
35 (F5)” were identified as significant predictors of injury occurrence. Furthermore, the
36 safety climate was significantly associated with the degree of safety participation and
37 safety compliance. It was expected that the findings of the study would provide an insight
38 into the level of safety climate among EM workers, enabling organizations and
39 practitioners around the world to improve safe working among EM workers.

40
41 *Keywords:* Construction safety and health, ethnic minorities, safety climate, Hong Kong

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45 **1. Introduction**

46 The safety of ethnic minority (EM) workers is of global concern (Bust et al. 2008;
47 Toh and Quinlan 2009; Tutt et al. 2011; Institution of Occupational Safety and Health
48 2014). The construction industries in many developed societies all face the problems of
49 ageing workforces and a shortage of new entrants (Chan et al. 2012). Migrant workers,
50 often EM in society at large, are new blood to the construction industry. For example, in
51 the United States, it was estimated in 2005 that around 27 percent of construction
52 workers were Latino and more than 40 percent of those were low skilled, and involved in
53 high risk activities as labourers and roofers (Center for Construction Research and
54 Training 2008). In Hong Kong, the population of EMs has increased significantly, by 31
55 percent, over the past 10 years, from 343,950 in 2001 to 451,183 in 2011 (Census and
56 Statistics Department 2013). According to the 2011 Population Census Thematic Report
57 on Ethnic Minorities, around 7.4 percent of EM males (4,656) work in the construction
58 industry, representing 1.5 percent of the total construction sector workforce (Census and
59 Statistics Department 2013, p. 68). Nepalese (23.2 percent) and Pakistanis (18.9 percent)

60 constituted the highest percentages of male EM construction workers in the industry
61 (Census and Statistics Department 2013, p. 69).

62

63 As EM workers are of growing importance to the construction industry, their safety,
64 as a significant group must be safe guarded. Previous research shows that EMs are more
65 vulnerable to injuries for various reasons, including a lack of safety training, language
66 barriers, and the fact that lower safety standards often apply in their home countries. In
67 the United States, Hispanic workers in 2008 had a fatality rate of 18 percent (12.4 per
68 100,000) of the full-time equivalent workers (FTEs), higher than the rate for non-
69 Hispanic construction workers of 10.5 per 100,000 FTEs (Center for Construction
70 Research and Training 2008). “On average, the annual death rate for Hispanic workers
71 was about 48 percent higher than for white, non-Hispanic workers between 1992 and
72 2002, but only 6 percent higher from 2008 to 2010” (Center for Construction Research
73 and Training 2013, p. 41). In the UK, migrant workers comprise approximately 8 percent
74 of the total construction workforce, but they account for around 17 percent of total
75 fatalities (Center for Corporate Accountability 2009). Officially there is no separate
76 record for EM workers’ fatalities and injuries in Hong Kong. A recent Occupational
77 Safety and Health Statistics report of the Hong Kong Labour Department (2016) and a
78 comprehensive search through local newspaper archives from 2000 until June 2016
79 showed that EM workers accounted for 22 fatalities (6.9 percent) out of the total of 343
80 fatalities in the construction industry (shown in Table 1). In 2013 and 2014, six EM
81 workers fatalities occurred, accounting for around 14 percent of 42 fatalities in the
82 construction industry. This high fatality rate of EM construction workers is alarming. The

83 fatality rate of EM workers is greater than their representation in the industry indicating
84 EMs in Hong Kong are suffering a disproportioned fatality rate. The accident statistics
85 obtained from local newspaper archives may not be underestimated. The actual number is
86 deemed to be much larger.

87

88 A number of studies have adopted qualitative approaches to reveal the safety
89 problems experienced by EM workers (e.g. Bust et al. 2008). What is currently lacking is
90 an investigation into the attitude of EM workers towards safety, and their understanding
91 of risks and dangers, and their knowledge and support for safety regulations and site
92 safety procedures. Also relevant is the attitude of site management towards care for the
93 safety of EM workers.

94

95 The safety climate is a snapshot of the safety culture and the employees' perception
96 of safety in their working environment (Mearns et al. 2001; Flin et al. 2006; Hann et al.
97 2007; Health and Safety Laboratory 2013). The objectives of this study are to assess the
98 level of safety climate of EM workers, and predict the impacts of safety climate on the
99 occurrence of injuries and safety behaviors. The study contributes to our understanding of
100 the perception of EM workers towards safety and the degree to which safety climate
101 factors affect injury occurrences and safe working.

102

103 **2. Safety of EM workers in Hong Kong**

104 Due to the growing ageing problem and labour shortage, the Hong Kong government
105 has taken initiatives to assist EMs to join the construction industry (Chan et al. 2012;
106 2014; Hong Kong Government 2014). With the rising number of EM workers, not only

107 the number of accidents, but also the accident rate of EM workers has increased. Many
108 accidents to EM workers are caused by the inappropriate handling of excavators and a
109 lack of appropriate safety precautions (Labour Department 2016). According to a report
110 published by the Catholic Diocese of HK, Diocesan Pastoral Centre for Workers
111 (Kowloon) and Hong Kong Workers' Health Centre (HKWHC) (2011), most of the EM
112 workers in Hong Kong are discriminated against and assigned the more laborious and
113 dangerous types of work. As a result, EM workers are usually more vulnerable to
114 construction accidents (Chan et al. 2012). As the proportion of EM workers continues to
115 increase, attention must be paid to improving the safety and health related practices
116 particularly as relevant to EM workers. A number of initiatives have been put in place by
117 the Occupational Safety and Health Council (OSHC) and the Labour Department in
118 promoting the health and safety of EM workers in the form of organized safety talks and
119 safety training in EM native languages, the translation of safety and health materials into
120 different EM languages and safety promotion activities, including the provision of safety
121 awards and safety seminars (Occupational Safety and Health Council 2011). The Labour
122 Department (2016) and the OSHC (2011) do publish Occupational Safety and Health
123 (OSH) posters and pamphlets in different EM languages including Pakistani, Nepalese,
124 Indian dialects, Tagalog, Bahasa Indonesia and Thai. However, to the authors'
125 knowledge, no study has been carried out to evaluate the level of safety climate applying
126 to EM workers in Hong Kong.

127
128

[Insert Table 1 here]

129 **3. Safety climate**

130 The term safety climate refers to psychological characteristics of employees (i.e. how
131 people feel), corresponding to the values, attitudes, and perceptions of employees with
132 regard to safety within an organization (Health and Safety Executive 2005). Earlier
133 researchers have used safety climate factors as a means of determining the safety
134 performance of construction workers (Mohamed 2002; Chan et al. 2005; Choudhry et al.
135 2009; Hon et al. 2013; 2014a). From a practitioner’s perspective the main purpose of a
136 safety climate survey is to reveal the strengths and weaknesses of safety management
137 practices and to suggest appropriate remedial measures. From an academic point of view
138 a survey can be used to establish relationships between safety climate factors, and
139 outcome measures such as accident and injury occurrence (Cooper 2000). Budworth
140 (1997) argued that measuring safety climate is similar to taking the “safety temperature”
141 of an organization. The safety climate can be measured periodically with the help of a
142 predetermined questionnaire survey. Safety climate factors help to identify and improve
143 overall organizational management practices in relation to serious accidents (Zohar
144 2010). Safety climate can be compared at different working levels i.e. managers,
145 supervisors and frontline workers and for different nationalities (Marin et al. 2015). In
146 most situations, the frontline workers are at the greatest risk of injury. It is important;
147 therefore, to find out how safety climate levels influence workers’ safety related actions.

148

149 Zohar (1980) identified eight factors affecting safety climate: (1) importance of safety
150 training programs; (2) management attitudes towards safety; (3) effect of safety on
151 promotion; (4) perceived levels of risk at the workplace; (5) perceived effects of the
152 workplace on safety; (6) perceived status of safety officers; (7) perceived effects of safe
153 conduct on social status; and (8) perceived status of the safety committee. Glendon and

154 Litherland (2001) identified six safety climate factors for a road construction organization
155 in comparing construction and maintenance workers. Nielsen and Mikkelsen (2007) and
156 Nielsen et al. (2007) used a Danish safety climate questionnaire which consisted of 27
157 items distributed across the seven factors: (1) immediate supervisor's general leadership;
158 (2) safety leadership; (3) safety representative's engagement in safety; (4) safety
159 instruction; (5) safety compliance; (6) attention to safety; and (7) workplace involvement.
160 Similarly, Kines et al. (2011) refined these and used the Nordic Safety Climate
161 Questionnaire (NOSACQ-50) consisting of 50 items across the seven dimensions: (1)
162 management safety priority, commitment and competence; (2) management safety
163 empowerment; (3) management safety justice; (4) workers' safety commitment; (5)
164 workers' safety priority and risk non-acceptance; (6) safety communication, learning, and
165 trust in co-workers' safety competence; and (7) workers' trust in the efficacy of safety
166 systems. Hon et al. (2013) identified the three safety climate factors: (1) management and
167 employee commitment to occupational health and safety; (2) application of safety rules
168 and work practices; and (3) responsibility for the health and safety of the repair,
169 maintenance, minor alteration and additions sector of Hong Kong. Table 2 shows that
170 *organization and management commitment, perception of safety rules and procedures,*
171 *resources for safety* and *risk taking behaviour* are the most common safety climate
172 factors identified by earlier researchers. To date, there have been many studies using
173 different safety climate questionnaires targeting local construction workers. This study,
174 however, focused on safety climate of EM construction workers.

175
176

[Insert Table 2 here]

177 **4. Safety performance**

178 Earlier studies employed various traditional techniques to evaluate safety
179 performance including accident rates for work sites and injuries data (Chhokar and
180 Wallin 1984) or behavior sampling (Reber and Wallin 1984; Reber et al. 1984; 1990;
181 1993; Cooper et al. 1994; Walker 1995; Vassie 1998; Shannon et al. 1999). Recently,
182 however, Siu et al. (2004); Huang et al. (2006); Hon et al. (2014a) have used alternative
183 tools, such as self-reported injury data collected through a questionnaire which could be
184 considered as a reliable and valid source of injury data (Begg et al. 1999; Gabbe et al.
185 2003). Safety performance can be defined as “actions or behaviours that individuals
186 exhibit in almost all jobs to promote the health and safety of workers, clients, the public,
187 and the environment” (Burke et al. 2002, p. 432). Apart from using lagging indicator self-
188 reported injury data, safety performance can be measured using leading indicators such as
189 *safety participation* and *safety compliance* (Neal and Griffin 2004; Hon et al. 2014b).
190 Lagging indicators are reactionary whereas leading indicators are proactive. Leading
191 indicators can better reflect the future performance of the company. Safety participation
192 is defined as “behaviours that do not directly contribute to individual personal safety but
193 do help to develop an environment that supports safety” (Neal and Griffin 2006, p. 947).
194 Safety compliance is described as “obeying safety regulations, following correct
195 procedures, and using appropriate equipment” (Neal and Griffin 2004, p. 16). It refers to
196 “the core activities that individuals need to carry out to maintain workplace safety. These
197 behaviours include adherence to standard work procedures and wearing personal
198 protective equipment” (Neal and Griffin 2006, p. 947).

199

200 **5. Safety climate and safety performance**

201 The influence of the safety climate upon safety performance varies across different
202 work contexts and environments (Hofmann and Stetzer 1996; Hon et al. 2014b). Some
203 earlier studies have identified a significant relationship between the safety climate and
204 safety performance (Gillen et al. 2002; Siu et al. 2004; Pousette et al. 2008). Recent
205 meta-analysis studies by Clarke (2006) and Christian et al. (2009) on safety climate and
206 safety performance found that safety climate is a significant factor affecting safety
207 performance. Reber and Wallin (1983; 1984); Reber et al. (1984); Tyler (1986) found
208 that the higher the level of safe performance, the lower the accident rate. The studies of
209 Arcury et al. (2012; 2015) revealed that safety climate is significantly related to the safety
210 behaviors of migrant workers. However, some found no significant relationship between
211 safety climate and safety performance (Glendon and Litherland 2001; Cooper and
212 Phillips 2004). In view of the contrasting results, this study will examine whether safety
213 climate affects safety performance in the context of EM construction workers.

214

215 **6. OSHC safety climate index**

216 The Safety Climate Index (SCI) is adopted in this study (Occupational Safety and
217 Health Council 2008). It is a software package for the construction industry to calculate
218 their safety climate scores. Safety climate is measured by 38 questions and they are
219 grouped into seven predetermined safety climate factors (Table 3). Four out of seven
220 safety climate factors are in line with the most common safety climate factors identified
221 in Table 2. The SCI software produced seven factor scores and a total safety climate
222 score. These predetermined safety climate factors were developed and validated by
223 previous research conducted by OSHC when developing this SCI software package. They
224 are elaborated below:

225

226

[Insert Table 3 here]

227

228 ***6.1. Factor 1: Organization and management safety commitment and concern for***
229 ***occupational health and safety***

230 Perceptions of organization and management commitment to safety and health have
231 been identified as the most important factor affecting safety climate success (Flin et al.
232 2000; Flin 2003). Earlier researchers, Zohar (1980) identified eight safety climate
233 dimensions including top management commitment and priority given to safety under
234 two main themes including workers' perceptions of management attitudes about safety
235 and their perceptions regarding the relevance of safety in general production processes.
236 Langford et al. (2000) argued that when workers think that management is committed to
237 and care about their personal safety; they will cooperate to improve workplace safety
238 performance.

239

240 ***6.2. Factor 2: Allocation of resources for health and safety and its effectiveness***

241 An important indicator of an organization's commitment to safety and health is the
242 resources it allocates to OSH aspects. This factor relates to employees' perceptions of
243 organizational support for improvement of safety and health and its effectiveness in
244 implementation. Safety programme's goals cannot be accomplished without adequate
245 resources. Top management must allocate sufficient resources to day-to-day activities.
246 The resources required for effective safety management may include staff, time, money,
247 information, safe working methods, facilities, tools, and machines etc.

248

249 **6.3. Factor 3: Awareness of risk taking behaviour and perception of work risk**
250 **hazard**

251 This factor explores employee perceptions of what constitutes risky behaviour of
252 hazardous work situations. Workers' abilities to determine risky situations might be
253 influenced by factors such as the ease with which past situations can be recalled or
254 imagined, "on-the-job" experience of the individual, the manner in which hazards are
255 presented in communications, attributions of blame for accidents, and the amount of
256 control individuals feel they have in hazardous situations. Such views and perceptions are
257 related in some way to employees' readiness to avoid accidents and therefore affect the
258 probability of accidents (Occupational Safety and Health Council 2008).

259

260 **6.4. Factor 4: Perception of safety rules and procedures**

261 One of the main controls employed by organizations to ensure safety and health is the
262 set of rules and procedures. The set of safety rules and procedures is considered to be the
263 core component of a safety management system (Mohamed 2002). This factor explores
264 workers' levels of understanding and respect for the safety rules and procedures as well
265 as their compliance with these rules and procedures in the workplace. Many such rules
266 and procedures tend to be drafted by line-managers rather than those who do the job.
267 There is always a danger that they do not adequately reflect all aspects of job risk. It is
268 important, therefore that frontline workers should be involved in the development of the
269 set of safety rules and procedures, for them to feel a sense of ownership and respect. The
270 safety rules and work practices contribute significantly to safety performance so it is
271 important that they are up-to-date, technically correct, and clear (Choudhry et al. 2009).

272

273 **6.5. Factor 5: Workers' personal involvement in safety and health**

274 Workers' personal involvement has also been identified as an important safety
275 climate factor (Dedobbeleer and Beland 1991). The success of a safety management
276 system, in essence, hinges on employees' recognition and support. Sustained success in
277 ensuring safety and health at work demands that everyone recognizes its importance and
278 acts accordingly (Hon et al. 2014a). Mohamed (2002, p. 376) study reported that the
279 higher the level of workers' personal involvement in safety matters, the more positive the
280 safety climate. This factor explores how individuals perceive their own contributions to
281 good safety and health performance and how important they think it is.

282

283 **6.6. Factor 6: Safe working attitude and workmates' influence**

284 Cox and Cox (1991) found that a safe working attitude is one of the most important
285 safety climate elements. Rundmo (1996) argued that attitude towards safety is associated
286 with personal risk perception. The tendency to act dangerously, or not, stems from an
287 individual's inherent attitude towards safety and this can be affected by peer group
288 workmates. This factor, therefore, essentially explores attitudes towards safety by a group
289 of workmates. March and Shapira (1992) argued that individuals differ in their
290 perceptions of risk and willingness to take risks.

291 **6.7. Factor 7: Safety promotion and communication**

292 Effective promotion and communication is essential if an organization is to
293 successfully convey safety messages to its employees (Han et al. 2008). A smooth
294 communication channel and conscious efforts to promote safety and health are essential
295 for both conveying messages to employees and facilitating feedback. Baxendale and
296 Jones (2000) suggested that management should use a variety of formal and informal
297 safety communication tools to communicate its commitment to safety. Both management
298 commitment and employee feedback are essential for continuous safety improvements
299 (Simon 1991).

300

301 **7. Research methods**

302 **7.1. Questionnaire design and data collection**

303 The questionnaire was divided into three sections. In Section A, respondents'
304 personal information including socio-demographic and employment characteristics was
305 collected. Section B solicits respondents' perceptions on the 38 SCI attributes. A five
306 point Likert scale (1=strongly disagree to 5=strongly agree) was used for Section B.
307 While there are many safety climate measurement tools (e.g. NOSACQ-50), they are not
308 specifically designed for the construction industry. This study adopted SCI of the OSHC
309 in Hong Kong. SCI was purposely designed for the adoption of the construction industry.
310 It had been validated by the OSHC. Questions on safety performance measures were
311 asked in Section C. Four questions were used to capture near misses and occupational
312 injuries of the respondents in the past 12 months. A sample of the questionnaire survey is
313 available as Supplemental Data. The questionnaire was translated into Nepali (for
314 Nepalese workers) and Urdu (for Pakistani workers) by a professional translation

315 organization, because these two ethnic groups represent the highest proportion of EM
316 construction workers. The quality of translation was validated by two EM researchers.

317

318 The study involved human subjects so the researchers obtained ethical clearance from
319 the Human Subjects Ethics Sub-committee (HSESC) of authors' employing institution.

320 Before collecting questionnaire data, a pilot study was carried out with ten experts and
321 researchers. The survey targeted new works only, including building, rail and civil

322 engineering projects. In total 22 construction sites were targeted and 15 of them agreed to
323 participate in the survey. The remaining seven construction sites refused to participate

324 because they did not employ EM workers. The selected construction sites were operated
325 mainly by ten main contractors and 16 subcontractors. The targeted survey respondents

326 were EM managers, frontline supervisors and frontline workers. During data collection
327 process, four trained student helpers were hired who were fluent in Nepalese and Urdu to

328 brief about the purpose and items of questionnaire. In total 450 questionnaires were
329 distributed and 349 completed questionnaires were received. 29 questionnaires were

330 incomplete which were discarded. At the end 320 questionnaires were deemed valid for
331 further analysis, 261 from the Nepalese (80 percent) and 68 from the Pakistanis (20

332 percent).

333

334 ***7.2. Respondents' profile***

335

336 In this study, more than 90 percent of respondents were male (N = 306) and frontline
337 workers (N = 289). Half of them were general labourer (N = 160) and remaining half

338 were skilled workers (N = 160), representing different common construction trades

339 include bamboo scaffolder, metal scaffolder, bar bender and fixer etc. (see Table 4).
340 Around 70 percent of the respondents were married (N = 244). About 27% (N = 86) of
341 the respondents were aged between 21-30 years and 42% (N = 134) 31-40 years old and
342 25% (N = 81) aged between 41-50 years old. In response to the question about education
343 level, around 20 percent (N = 82) reached primary or below primary level and 50 percent
344 (N = 157) of the respondents reached secondary level. Around 65 percent (N = 207) and
345 35 percent (N = 113) of the respondents were working with main contractors and
346 subcontractors respectively. Around 35 percent (N = 112) and 40 percent (N = 128) of
347 the respondents respectively had less than one and between one to five years of working
348 experience with the same company. Respondents were also asked whether they possessed
349 a safety training certificate, for example, and whether they had attended the Mandatory
350 Basic Safety Training Course (commonly known as Green Card in Hong Kong) or Silver
351 Card (some trade specific card) or whether they had received any other type of trade
352 specific safety training. In an attempt to update construction workers' records, all those
353 holding a valid Green Card need to register under the Construction Workers Registration
354 Ordinance 2014. All respondents possessed a Green Card which is mandatory for all
355 construction workers. Half of the respondents (N = 160) have obtained Silver Card. Most
356 of the respondents' family sizes were between one and four persons. Around 77 percent
357 (N = 245) and 71 percent (N = 227), respectively, said that they do not smoke or drink at
358 work. It was also observed that 36 percent (N = 92) of Nepalese workers consumed
359 alcohol but only one of the Pakistani workers.

360

361
362

[Insert Table 4 here]

7.3. Data analysis

Data was analyzed using *SPSS 20.0* statistical software. First, Cronbach's alpha reliability test was carried out on the survey data to test the reliability of the 5-point Likert scale (Nunnally and Bernstein 1994). The overall coefficient value for the seven safety climate factors was 0.794 (Nepalese workers 0.793 and Pakistani workers 0.739) which is above 0.70, indicating adequate internal consistency (Nunnally and Bernstein 1994; Geroge and Mallery 2003).

To achieve objective one, descriptive statistics including the mean values and standard deviation (SD) were employed to discover whether the differences in mean value scores for each safety climate variable between the EM groups were statistically significant (i.e. Nepalese and Pakistanis). Worth noting is the fact that the SCI questionnaire was a mix of positive and negative statements. The values of negative statements were transposed to corresponding positive values. For example, score "1" for the question B26 "work health and safety is not my concern" (Likert scale of 1 to 5) was changed to "5" because a higher ranking of this attribute corresponds to a higher safety climate level.

To achieve the second objective, which relates to the safety climate factors affecting the likelihood of EM workers injury occurrences, binary logistic regression was adopted to establish the relationships between the safety climate and injuries to EM workers (Hon et al. 2014a). Binary logistic regression is a form of regression used when the dependent variable is dichotomous and the independent variables are either continuous or

387 categorical. A logistic regression was performed to ascertain the effect of the safety
388 climate factors on the likelihood of respondent injury occurrences (Hon et al. 2014a). It
389 was observed that the self-reported injury data were highly positively skewed. More than
390 70 percent (N = 231) of the respondents reported no injury or had suffered injury
391 requiring no absence from work. Since the data (in Section C) were dichotomously
392 distributed, they were re-coded into the dichotomous variables “0” for no injury and “1”
393 for any type of injury (Tabachnick and Fidell 2007). Logistic regression was selected
394 because it predicts the probability that an observation falls into one of the two
395 dichotomous dependent variable injury occurrences of “yes” or “no” with the safety
396 climate factors being the independent variables (Field 2005).

397

398 To achieve objective three, Pearson correlation analysis was used to determine the
399 strength of the relationships between safety climate factors and safety participation/safety
400 compliance. Since data collected for the final Section C were based on ratio scales,
401 Pearson correlation is suitable for measuring the linear correlation between variables (Ho
402 2006). The strength of the relationships between variables ranges from +1 (a positive
403 relationship between variables) to -1 (an inverse relationship between variables). A value
404 of 0 indicates no association between the two variables.

405

406 **8. Research findings**

407 ***8.1. Descriptive statistics***

408 Table 5 shows the mean safety climate scores for EM construction workers. The
409 mean value of SCI was ranged between (1=very low to 5=very good). It is assumed that

410 the mean score of 3 is the demarcation point, i.e. ≤ 3 is considered “low” and >3 as
411 “good”. The mean safety climate scores for Nepalese and Pakistani workers were 3.49
412 and 3.72 respectively (Table 5). Pakistani workers have a slightly higher average score of
413 3.72 than the mean of 3.54 for all EM workers and the 3.49 of Nepalese workers mean
414 score. The SD shows that there is not a notable difference between both ethnic groups.
415 The safety climate score for Factors 1, 3, 4 and 6 for all respondents are lower than the
416 average safety climate score. The Pakistani workers’ mean value scores were higher for
417 all factors except for Factor 6 “*Safe working attitude and workmate’s influence*” and their
418 perception about Factor 2 “*Resources for safety and its effectiveness*” is considerably
419 higher than other factors.

420

421 Similarly, the overall mean safety climate scores and SD for frontline workers,
422 supervisors and managers were 3.53 (.40), 3.66 (.24) and 3.69 (.46) respectively (Table
423 5). Although, the overall mean safety climate score differences between frontline
424 workers, supervisors and managers group are minimal, the supervisors and managers
425 have slightly better mean value scores than frontline workers. The safety climate score
426 for Factors 1, 3 and 4 were also higher for the higher level workers. However, the
427 managers, supervisors and frontline workers all gave a significantly less positive response
428 to Factor 4 “*Workers’ perception of the applicability of safety rules and procedures*”.
429 Most supervisors and managers had a more positive response to resources provisions and
430 their effectiveness (Factor 2), whereas the workers groups ranked lower this factor.

431

432

433

434

[Insert Table 5 here]

435 It was observed that 90 percent of the respondents gave safety climate a “good”
436 score. It is also interesting to note that the workers with low mean safety scores <3 also
437 reported more injury occurrences (60 percent of workers) as compared with 21 percent of
438 those with a mean score >3. Table 6 shows the mean safety climate analysis results
439 according to demographic factors. The younger respondents below 30 years old had a
440 mean safety climate score of 3.55, almost similar to the 3.53 of the older respondents.
441 Married workers, with more family members to support, scored higher than single
442 persons. The SCI score of subcontractors (3.60) is slightly higher than main contractors
443 (3.50).

444

445 **[Insert Table 6 here]**

446 ***8.2. Logistic regression***

447 Results of the binary logistic regression analysis between safety climate factors and
448 injury occurrence of EM workers are shown in Table 7. Factor 3 “*Risk taking behaviour*
449 *and perception of work risk*” and Factor 5 “*Workers’ personal involvement in safety and*
450 *health*” are significant predictors of injury occurrence. The coefficient value shows that
451 each unit decrease in “*Risk taking behaviour and perception of work risk*” score is
452 associated with a decrease in the probability of injury occurrence by a factor of 0.544 and
453 that each unit increase in “*Workers’ personal involvement in safety and health*” is
454 associated with a decrease in the probability of injury occurrence by a factor of 0.217.
455 The model seems significantly reliable ($\chi^2 = 73.831$, $df = 7$, $p=.000$). The Hosmer and
456 Lemeshow test ($\chi^2 = 11.393$, $df = 8$, $p=.180$) is found to be insignificant, it implies that
457 the null hypothesis cannot be rejected. The model adequately fits the data. The model

458 explained 21 percent to 31 percent (Cox and Snell R^2 and Nagelkerke R^2) of the variance
459 in injury occurrences and correctly classified 81 percent of injury occurrences.

460

461 **[Insert Table 7 here]**

462

463

464 ***8.3. Relationship between the safety climate and safety performance (safety
465 participation and safety compliance) using Pearson correlation***

466 Table 8 shows significant positive correlations between the safety climate and both
467 safety participation and safety compliance. The relationships between individual safety
468 climate factors and worker personal efforts to improve the safety of the workplace (e.g.
469 reminding co-workers about safety procedures at work) was positive for all factors except
470 Factors 1, 3 and 4. The relationships between the safety climate and voluntary
471 participation in safety tasks or activities that help to improve workplace safety (e.g.
472 attending safety meeting, receiving safety training) was positively correlated with all
473 safety climate factors except Factor 3. Similarly, respondents' self-safety compliance has
474 a positive but weak correlation with all factors except Factor 2 and co-workers safety
475 compliance has a positive but weak relationship to all safety climate factors.

476

477 **[Insert Table 8 here]**

478

479 **9. Discussion**

480 The objectives of this study were: (1) to evaluate and compare the safety climate for
481 different EM construction workers in Hong Kong, (2) to predict the impact of safety
482 climate factors on the likelihood of injury occurrence, and (3) to determine the
483 relationships between safety climate and safety performance of EM workers. The study

484 results reveal that the overall mean safety climate score of all EM construction workers
485 (3.54) was higher than demarcation point of 3. The differences in mean safety climate
486 score between workers, supervisors and managers were minimal, although the scores
487 were slightly higher for those at the higher managerial levels as was also found by Fung
488 et al. (2005) in a study of ten construction projects in Hong Kong. This study showed that
489 the overall mean safety climate perception of Pakistani workers (3.72) was higher than
490 that of Nepalese workers (3.49). EM workers' safety climate perceptions may be
491 intuitively affected by the safety standards of their home countries. According to
492 Mohamed et al. (2009), there is little enforcement of national safety regulations in
493 Pakistan. Accident reporting and recording systems in Pakistan are also dysfunctional
494 (Ali 2006). Working in the Pakistani construction industry is likely to be more vulnerable
495 and hazardous than in the construction industry of Hong Kong. In comparison with
496 Nepalese workers, Pakistani workers' mean value scores for Factor 6 "*Safe working*
497 *attitude and workmate's influence*" was lower. One possible reason for this low scores
498 (Factor 6) could be that Pakistani workers were mainly involved in manual labour
499 whereas the Nepalese workers were mainly specialized metal scaffolders. The latter spent
500 most of their time working at height and were, therefore, more susceptible to injuries.
501 The difference in the types of work may have influenced their perceptions of safety
502 climate. It can also be seen that the Pakistani workers' perception about Factor 2
503 "*Resources for safety and its effectiveness*" is higher than other factors. The Pakistani
504 workers perceived that resources for health and safety were better in Hong Kong than in
505 their home country's construction industry.

506 It was observed that average overall SCI score of subcontractors was slightly higher
507 than main contractors. This finding is very interesting to explore further because it is
508 perceived that large scale main contractors may have better and more robust safety
509 management policies, practices and resources for EM workers than small scale
510 subcontractors. For example, Chan et al. (2016b) found that main foreign contractors
511 were better managing the safety and health of EM workers than the small local
512 contractors.

513

514 The study showed that EM workers safety perceptions of safety rules and procedures
515 score the lowest among all seven factors. Mohamed (2002) found that the better the
516 perception of safety rules and procedures, the more positive the safety climate. The
517 construction industry of Hong Kong does have clear safety rules and guidelines, but they
518 are bi-lingual (in Chinese and English) and the majority of EM workers are unable to
519 understand Chinese as well as English (Chan et al. 2016a). Although, some EM workers
520 may be able to understand basic Cantonese language, most of them cannot comprehend
521 written Cantonese and their English standard is not high either (Chan et al. 2012; 2014).
522 There is an urgent need to translate the safety promotion material, method statements,
523 safety rules and procedures into EM native languages (Chan et al. 2016b).

524

525 Safety is everyone's responsibility and to maintain a safe and healthy workplace is
526 only possible with joint efforts from all workers regardless of their ethnicity. Logistic
527 regression results showed that Factors 3 "*Workers' risk taking behaviour and perception*
528 *of work risk*" is a significant predictor of injury occurrence for EMs. The possible reason

529 for this may be that EM workers are assigned more labourious and hazardous work
530 without proper training and they are under great pressure to work fast (Dainty et al. 2007;
531 Roelofs et al. 2011; Chan et al. 2016a). While doing the labourious and hazardous work
532 they are facing higher risk of accidents. Factor 5 “*Workers’ personal involvement in*
533 *safety and health*” is another significant predictor of injury occurrences. This may result
534 form the communication barriers of EMs. They have difficulties in reporting hazards to
535 their supervisors, understanding the safety and health materials and training, and
536 communicating with local workers. Also, some personal characteristics of EM workers
537 expose to them to higher accidents such as they are more reserved, afraid of speaking out
538 and cultural barriers (Roelofs et al. 2011; Menzel and Gutierrez 2010; Chan et al. 2016a).
539 To address these problems Chan et al. (2016b) suggested 14 safety management
540 strategies including (1) provide safety training in EM native languages, (2) government
541 and industry associations should take an active role in promoting the health and safety
542 awareness of EM workers, (3) employ EM safety supervisors, officers or managers, and
543 provide career growth and development opportunities for EM workers.

544

545 Safety participation is a voluntary act and perhaps frontline workers cannot be forced
546 to participate in such voluntary activities. However, the commitment of managers and
547 frontline supervisors to safety will likely inspire frontline workers to put in extra efforts
548 to improve safety at the workplace (Hon et al. 2014a). Hon et al. further argued that
549 safety compliance is an obligation for workers but safety participation requires extra
550 efforts that are voluntary. This study found safety climate of EM workers was positively
551 related to safety participation and safety compliance echoed with the findings of earlier

552 research. Clarke (2006) and Hon et al. (2014b) found a significant positive relationship
553 between safety climate and safety participation. More self-motivation is needed for safety
554 participation than for safety compliance (Hon et al. 2014b). Christian et al. (2009) argued
555 that safety climate would be strongly related to safety participation than safety
556 compliance, because of voluntary act of participation.

557

558 **10. Limitations**

559 This study has two limitations. The first concerns with the self-reporting technique
560 used for measuring safety performance. Self-reported injury data may be “insufficiently
561 sensitive, of dubious accuracy, retrospective, and ignores risk exposure” (Glendon and
562 Litherland 2001, p.161). The second limitation lies in Section C of the questionnaire.
563 Questions in Section C were asked to discover near misses and self-reported occupational
564 injuries in the last 12 months. A 12-month reference frame is frequently used in accident
565 surveys to obtain an adequate number of cases for analysis (Nielsen et al. 2008; Hon et al.
566 2010; 2014a; 2014b). However, a shorter recall period is required for more accurate
567 estimates of self-reported accidents (Landon and Hendricks 1995). To minimize the recall
568 bias, Landon and Hendricks (1995) and Harel et al. (1994) suggested that a recall period
569 between two weeks and a maximum of three months is desirable depending on the
570 severity of the accidents.

571

572 **11. Conclusions and recommendations**

573 A safety climate questionnaire survey was conducted with 320 EM construction
574 workers in Hong Kong. The study evaluated the level of safety climate reached by EM
575 workers from Nepal (N=255) and Pakistan (N=65). Seven factors effectively defining a

576 healthy safety climate were identified including (1) Safety climate commitment and
577 concern for OSH by organization and management, (2) Resources for promoting safety
578 and its effectiveness, (3) Risk taking behavior and perception of work risk, (4) Workers'
579 perception of safety rules and procedures, (5) Workers' personal involvement in safety
580 and health; (6) Safe working attitude and workmate influence, and (7) Safety promotion
581 and communication. The overall average safety climate score achieved by both EM
582 groups was not very high. The frontline workers group had the lowest safety climate
583 score compared to those of the supervisors and managers. The research found that Factor
584 5 "*Workers' personal involvement in safety and health*" ranks high. EM workers should
585 actively participate in safety training and for their own safety as well as safety of other
586 coworkers. On the other hand, Factor 4 "*Workers' perception about safety rules and*
587 *regulations*" ranks below the other factors. The low score for this factor was expected
588 because all safety rules and procedures are bilingual and may not be comprehended by
589 EM workers. Safety enhancement measures and strategies suggested by the authors in
590 another paper should be implemented by the OSHC experts and industry stakeholders. As
591 for the relationships between safety climate and injury occurrences, the study found that
592 the two factors (F3 and F5) were the main ones affecting the likelihood of injury
593 occurrences. The study found that safety climate of EM workers was positively correlated
594 to safety participation and safety compliance.

595

596 The safety climate of an organization should be measured on a regular basis which
597 can help safety professionals to identify and improve overall organizational policies,
598 procedures and work practices. The accident statistics of EM workers should be

599 maintained and published by the Labour Department. Research findings would provide
600 an insight into the level of safety climate among EM workers and assist OSH
601 professionals and other industry stakeholders to enhance OSH of EM workers. To the
602 authors' knowledge, this research is the first of its kind in Asia and was conducted in a
603 city where the proportion of EM workers is continuously increasing. The study aimed to
604 analyze the safety climate and safety performance of EM construction workers. Although
605 the study was carried out in Hong Kong, the research findings have wider relevance and
606 are probably useful to the region e.g., Malaysia, Singapore, Korea and could also be
607 applicable in other developed countries such as the US, the UK and Australia as well as
608 the Middle Eastern countries including United Arab Emirates, Qatar, Kuwait and Saudi
609 Arabia which rely heavily on migrant construction workers. Since the number of EM
610 construction workers is continuously increasing, further research on safety of EM
611 construction workers is needed; for example, develop a rigorous structural equation
612 model to evaluate the relationship between the safety climate and safety performance of
613 the EM workers, taking account of the personal demographic variables as control
614 variables. Future work is also needed to explore why there is a difference between
615 Nepalese and Pakistani workers, in their attitudes to safe working, and why there is a
616 difference between local and EM workers.
617

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632

633

634 **Supplemental Data**

635 The Questionnaire Sample is available online in the ASCE Library
636 (<http://www.ascelibrary.org>).

637

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Table 1

Table 1. Number of industrial fatalities for local and EM workers in the construction industry of Hong Kong from 2000 till June 2016
(Labour Department 2016)

Accident/Year	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	June 2016	Total
No. of accidents	11925	9206	6239	4367	3833	3548	3400	3042	3033	2755	2884	3112	3160	3232	3467	3723	766	-
No. of local fatalities	28	22	24	24	17	25	15	19	20	17	8	21	24	19	17	18	3	321
No. of EM fatalities	1	6	0	1	0	0	1	0	0	2	1	2	0	3	3	1	1	22
Total fatalities	29	28	24	25	17	25	16	19	20	19	9	23	24	22	20	19	4	343
% of EM fatalities	3.5%	21.4%	-	4%	-	-	6.3%	-	-	10.5%	11.1%	8.7%	-	13.6%	15%	5.3%	25%	6.41%
Employment	79599	80302	73223	64112	63520	59266	52865	50185	49422	50501	55341	62635	71295	80061	86343	101982	106193	-
Overall accident rate/1000 workers	149.8	114.6	85.2	68.1	60.3	59.9	64.3	60.6	61.4	54.6	52.1	49.7	44.3	40.8	41.9	36.51	7.21	-
Overall Fatalities rate/1000 workers	0.36	0.35	0.33	0.39	0.27	0.42	0.30	0.38	0.41	0.38	0.16	0.37	0.34	0.27	.24	.020	.04	-

Table 2. Safety climate factors identified by earlier researchers

Number	Safety climate factors	Zohar (1980)	Brown and Holmes (1986)	Dedobbeleer and Beland (1991)	Glendon and Litherland (2001)	Keil (2002)	Mohamed (2002)	Fang et al. (2006)	Choudhry et al. (2009)	Zhou et al. (2011)	Hon et al. (2013)	Total
1	Organization and management commitment	✓	✓	✓		✓	✓	✓	✓	✓	✓	9
2	Resources for safety	✓	✓		✓			✓				4
3	Risk taking behavior		✓			✓	✓	✓				4
4	Perception of safety rules and procedures				✓		✓	✓	✓	✓	✓	6
5	Worker's personal involvement			✓			✓		✓			3
6	Safe working attitude and workmates' influence					✓		✓		✓		3
7	Safety promotion and communication	✓			✓		✓					3
8	Work pressure				✓		✓					2
9	Appraisal of risks and hazards						✓	✓				2
10	Competence						✓	✓				2
11	Safety training	✓						✓		✓		3
12	Supervisor's role/influence	✓				✓		✓		✓		4

Table 3. Seven safety climate factors (Adapted from the Hong Kong Occupational Safety and Health Council 2008)

Factors	Safety climate factors	Corresponding survey questions
Factor 1	Safety climate commitment and concern for OSH by organization and management	B1, B8, B14, B22, B27, B34
Factor 2	Resources for safety and its effectiveness	B2, B9, B16, B24, B28, B38
Factor 3	Risk taking behavior and perception of work risk	B3, B10, B17, B29, B36
Factor 4	Workers' perception of safety rules and procedures	B4, B11, B18, B23, B32
Factor 5	Workers' personal involvement in safety and health	B5, B12, B19, B26, B30
Factor 6	Safe working attitude and workmates' influence	B6, B13, B20, B25, B31, B35, B37
Factor 7	Safety promotion and communication	B7, B15, B21, B33

Table 4. Demographic information of survey respondents

No.	Variables	Meaning	Options	Overall (N = 320)	Percent (%)
1.	POSITION	Working level	Frontline worker	289	90
			Supervisor	24	8
			Manager	7	2
2.	TRADE	Work trade	General labourer,	160	50
			Skilled workers	160	50
3.	AGE	Age of worker	< 20 years	7	2
			21-30	86	27
			31-40	134	42
			41-50	85	27
			51-60	7	.3
			61 or above	4	1
4.	GENDER	Gender of worker	Male	306	96
			Female	14	4
5.	MARRIED	Marital status	Single	76	24
			Married	244	76
6.	SUPPORT	Number of family members to support	None	22	7
			1-2	89	28
			3-4	142	44
			5-6	50	16
			7 or more	17	5
7.	EDU	Education level	Below primary	11	3
			Primary	71	22
			Secondary	157	49
			Certificate/Diploma	67	21
			Degree or higher	14	4
8.	EMPLOYER	Direct employer	Client	-	-
			Main contractor	207	65
			Subcontractor	113	35
			Others	-	-
9.	SERVICE	Length of service with the current company	< 1 year	112	35
			1-5 years	128	40
			6-10 years	48	15
			11-15 years	30	9
			>15 years	2	.6
10.	EXPERIENCE	Working experience in the construction industry	< 5 years	95	30
			6-10 years	125	39
			11-15 years	43	13
			16-20 years	41	13
11.	TRAINING	Safety training received	No green card	-	-
			Green card	320	100
			Trade specific safety training	160	50
			Silver card	160	50
12.	SMOKE	Smoking habit	Don't smoke	245	77
			Smoke, but not at work	63	20
			Smoke, even at work	12	4
13.	DRINK	Drinking habit	Don't drink	227	71
			Drink, but not at work	93	29
			Drink, even at work	-	-

Table 5

Table 5. Mean and SD safety climate factor scores of EM workers

Safety climate factors	All		Ethnic groups				Working level				Employer					
			Nepalese		Pakistani		Frontline workers		Supervisors		Managers		Contractor		Sub-contractor	
	Mean (N = 320)	[^] SD	Mean (N = 255)	SD	Mean (N = 65)	SD	Mean (N = 289)	SD	Mean (N = 24)	SD	Mean (N = 7)	SD	Mean (N = 207)	SD	Mean (N = 113)	SD
Overall average safety climate score	3.54	.34	3.49	.39	3.72	.36	3.53	.40	3.66	.24	3.69	.46	3.50	.41	3.60	.36
*Factor 1: Safety climate commitment and concern for OSH by organization and management	3.40	.55	3.34	.53	3.63	.57	3.37	.56	3.49	.45	3.73	.45	3.39	.51	3.41	.62
Factor 2: Resources for safety and its effectiveness	3.90	.60	3.82	.58	4.19	.57	3.86	.61	4.12	.35	4.06	.78	3.84	.62	3.99	.55
*Factor 3: Risk taking behavior and perception of work risk	3.27	.62	3.21	.58	3.51	.68	3.25	.62	3.40	.50	3.62	.59	3.24	.58	3.32	.67
*Factor 4: Perception of safety rules and procedures	3.15	.57	3.08	.53	3.42	.64	3.14	.58	3.21	.48	3.34	.40	3.16	.59	3.13	.54
Factor 5: Workers' personal involvement in safety and health	3.40	.63	3.93	.64	4.13	.55	3.95	.64	4.12	.37	4.06	.59	3.90	.66	4.08	.54
*Factor 6: Safe working attitude and workmates' influence	3.45	.51	3.47	.51	3.41	.51	3.45	.52	3.49	.44	3.35	.56	3.41	.49	3.54	.55
Factor 7: Safety promotion and communication	3.62	.69	3.54	.67	3.92	.65	3.60	.69	3.87	.53	3.64	.71	3.56	.68	3.73	.69

[^]SD=Standard deviation

Table 6. Mean and SD safety climate factor scores comparison at demographic level

Safety climate factors	Employees who were 30 years or below (N=93)	^SD	Employees who were over 30 years old (N=223)	SD	Single employees (N=76)	SD	Married employees (N=244)	SD	Primary education or below (N=82)	SD	Higher than primary education (N=93)
Overall mean safety climate score	3.55	.43	3.53	.38	3.42	.43	3.57	.38	3.43	.44	3.57
F1	3.38	.60	3.41	.53	3.29	.58	3.43	.54	3.35	.62	3.41
F2	3.90	.63	3.90	.58	3.75	.65	3.93	.57	3.80	.65	3.92
F3	3.28	.61	3.27	.62	3.19	.54	3.29	.64	3.14	.66	3.31
F4	3.12	.62	3.16	.54	3.09	.63	3.17	.55	3.08	.59	3.17
F5	4.00	.53	3.95	.63	3.76	.67	4.03	.60	3.76	.71	4.04
F6	3.47	.67	3.46	.50	3.33	.53	3.49	.52	3.34	.61	3.49
F7	3.71	.43	3.59	.69	3.52	.67	3.65	.69	3.55	.76	3.64

^SD=Standard deviation, Nepalese = 255 and Pakistani = 65

Table 7. Logistic regression model for all ethnic minority workers

Safety climate factors	B	S.E.	Wald	df	Sig.	Exp(B)	95% C.I. for EXP(B)	
							Lower	Upper
Factor1	.288	.356	.653	1	.419	1.334	.663	2.681
Factor2	-.772	.403	3.661	1	.056	.462	.210	1.019
Factor3	-.608	.301	4.077	1	.043	.544*	.302	.982
Factor4	-.116	.323	.129	1	.719	.890	.473	1.676
Factor5	-1.529	.338	20.449	1	.000	.217*	.112	.420
Factor6	.074	.385	.037	1	.847	1.077	.506	2.290
Factor7	.149	.310	.230	1	.632	1.160	.632	2.130
Constant	5.797	1.665	12.123	1	0	329.282		
Omnibus test of model coefficients							$\chi^2 = 73.831, df = 7, p = .000$	
Cox and Snell R^2						.213		
Nagelkerke R^2						.314		
Hosmer and Lemeshow Test							$\chi^2 = 11.393, df = 8, p = .180$	
Classification (overall %)						81.6		

Note: * $p < 0.05$ level

Table 8. Pearson correlation between safety climate factors and safety participation and safety compliance

Safety climate factors	<i>Safety participation</i>		<i>Safety compliance</i>	
	Safety participation extra effort	Safety participation tasks	Self-safety compliance	Coworkers safety compliance
Overall safety climate	.278**	.300**	.245**	.371**
Factor 1	.105	.155**	.161**	.282*
Factor 2	.296**	.322**	.113	.241*
Factor 3	.044	.052	.197**	.183*
Factor 4	.093	.129*	.147*	.142*
Factor 5	.260**	.290**	.244**	.334**
Factor 6	.220**	.163**	.165**	.291**
Factor 7	.257**	.266**	.128*	.269**
	.000	.000	.027	.000

Note: ** $p < 0.01$ level and * $p < 0.05$ level, Total sample size = 320, Nepalese = 255, Pakistani = 65