

# Evaluation of Highway Collapse Hazard Based on Rough Set and Support Vector Machine

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## Research Article

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# 1 **Evaluation of highway collapse hazard based on rough set and support vector** 2 **machine**

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8  
9 **Abstract:** The prediction of possibility and risk classification of collapse is an important issue in the process of  
10 highway construction in mountain area. Based on the principle of rough set and support vector machine, a  
11 landslide hazard prediction model was established. First of all, according to field investigation, an evaluation index  
12 system and a sample set of evaluation index data were established, the rough set decision table was constructed by  
13 preprocessing the original data based on the function classification of standard evaluation index, and then, the  
14 influence indexes of the collapse activity were reduced by rough set theory, and the main 9 indexes affecting the  
15 collapse activity as the key discriminant factors of support vector machine model, namely slope shape of slope,  
16 aspect of slope, slope of slope, height of slope, exposed structural face, stratum lithology, relationship between  
17 weakness face and free face, vegetation cover rate and weathering degree of rock were extracted. Then, taking the  
18 data of 13 post earthquake collapses provided by the author etc in Yingxiu-Wolong highway of Hanchuan County  
19 as training samples, the optimal model parameters were analyzed and calculated. When the penalty parameter  $C$   
20 is 8 and the kernel parameter  $g$  is 0.5, the correct rate of cross-validation is 100%, and the model is optimal. At  
21 last, 4 other landslide data were tested, the discriminant results of the test sample data were compared with the  
22 results obtained by uncertainty measure and distance discriminant analysis. The results show that the discriminant  
23 results of the test sample data by RS-SVM were consistent with the results obtained by uncertainty measure and  
24 distance discriminant analysis, the accurate rate is 100%. The collapse hazard analysis model based on rough set  
25 and support vector machine can reduce the computation while ensuring the accuracy of evaluation, and better solve  
26 the small sample and nonlinear problems, can provide certain a good idea for collapse hazard evaluation in the  
27 future.

28 **Key words:** highway collapse; hazard evaluation; rough set; support vector machine

29

30 **1. Introduction**

31

32 Collapse is a geological phenomenon in which the rock and soil mass on a steep slope suddenly separates from the  
33 parent body under the action of gravity and other external forces to fall, roll and accumulates in a valley (or slope  
34 foot) (Yue 2008; Liu 2010; Li 2011; Qiu 2012). With the deepening of the reform and opening-up and the  
35 implementation of the western development strategy, highway, railway and other projects continue to extend to the  
36 mountain area, high and steep slopes are more and more, so slope collapse and rockfall disasters are also  
37 increasing. In particular, the high and steep slopes after an earthquake, which are often unfavourable for  
38 earthquake resistance, are prone to collapse and rockfall disasters. For example, the 5.12 earthquake (2008  
39 Wenchuan earthquake) triggered a large number of collapses, seriously damaging the transportation infrastructure  
40 such as highways etc., has greatly affected the disaster area people's production and life and earthquake relief  
41 work. Therefore, it will provide strong support for regional geological disaster assessment and sustainable  
42 development of geological environment to carry out the evaluation and prediction of highway collapse geological  
43 disaster by information, quantification and science.

44 Because of the numerous and uncertainty factors that affect the collapse activity, many methods for predicting  
45 and evaluating the collapse geological hazards have emerged. For example, Liu (2010) applied the analytic  
46 hierarchy process (AHP) and the fuzzy comprehensive evaluation method to evaluate the risk of collapse disaster,  
47 Zhang et al. (2009) used the factor weighted summation model of the improved analytic hierarchy process to  
48 evaluate the sensitivity of landslides induced by the earthquake of Beichuan County, Xue et al. (2011) proposed  
49 the risk evaluation model of collapse disaster based on extension theory and fuzzy theory, Gao et al. (2006)  
50 constructed a landslide collapse risk assessment model based on GIS and information quantity model, He et al,  
51 (2013, 2017) established a comprehensive evaluation model of collapse hazard based on uncertainty measure and  
52 an information entropy and distance discriminant analysis model for predicting the grade of collapse hazard, Liu  
53 (2016) used Newmark displacement calculation model and probability method etc. to evaluated the risk of  
54 landslide induced by volcanic eruption of Changbai mountain pool in the sky. Broeckx et al. (2018), Greco and  
55 Sorriso-Valvo (2013), Mandal and Mondal (2019), Yang et al. (2021) used logistic regression model to evaluate  
56 and predict the susceptibility of landslides in the study area. Feng (2019) used the weight of evidence model,  
57 information model and logistic regression model to evaluate the susceptibility of landslides in Shilou-Jixian section  
58 of the middle and lower reaches of the Yellow River based on ArcGIS platform etc..

59 However, with the deepening of the research, it is found that the indexes affecting the activity of highway

60 collapse are quite complex, which include the internal characteristics of the collapse body itself, such as elevation,  
61 slope direction, slope, stratum lithology, exposed structural face, soil type, etc., there are also external factors such  
62 as groundwater, precipitation, rock weathering, earthquake and various human activities that induce collapse  
63 disasters. Some of these indexes are redundant and have nothing to do with the evaluation results. When the  
64 above-mentioned mathematical theory method was used for evaluation, the attribute importance degree of several  
65 indexes was not analyzed in order to optimize the evaluation indexes, especially, as a non-linear, multi-level, fuzzy  
66 and complex system, and the conditions of highway collapse are different in different areas, it is difficult to obtain  
67 the complete collapse index data. As a pattern recognition method of minimize structural risk based on statistical  
68 theory, support vector machine (SVM) can deal with the objective and practical problems such as small sample or  
69 finite sample, non-linearity and so on. Therefore, in this paper, rough set theory and support vector machine  
70 technology are combined to construct a mathematical model for comprehensive assessment and prediction of  
71 highway collapse risk. Based on the data analysis of the collapse of Yingxiu-Wolong highway in Hanchuan  
72 County of Sichuan Province after the 5.12 earthquake in Wenchuan County, the spatial dimension of the input  
73 information, which is the initial index, is reduced and optimized, find out the key index system that affects the  
74 evaluation and forecast of highway collapse risk, and remove the irrelevant index. On this basis, more purposeful  
75 and targeted research on this section of the highway has been carried out again to obtain the survey data, and apply  
76 the support vector machine model to carry out a comprehensive evaluation of the risk of collapse in this section of  
77 the highway. The good results are obtained, it is significant to evaluate and forecast the risk of highway collapse.

78

## 79 **2. Rough set theory**

80

81 Rough set (RS) theory (Zhang et al. 2001; Cao et al. 2007; Cao and Ruan 2009; Lai and Wu 2011; Du 2012) as a  
82 scientific study of intelligent computation was put forward by the Polish mathematician Pawlak in 1982, which is a  
83 set of mathematical theory methods for expression, learning, induction, etc. of incomplete data, imprecise  
84 knowledge. The essence of this theory is attribute reduction. It is well known that when the data in the knowledge  
85 expression system (information system) is collected at random, there is general redundancy. Decision table is a  
86 knowledge expression system with conditional attribute and decision attribute. A knowledge representation system  
87 can be expressed as  $S = (U, A, V, f)$ , where,  $U$  is a finite set of objects,  $A = C \cup D$  is a finite set of  
88 attributes,  $C$  and  $D$  is a set of conditional attributes and a set of decision attributes, respectively,  $V$  is a

89 domain of attributes  $A$ ,  $f : U \times A \rightarrow V$  is an information function, refers to the property value of each object.  
 90 In the knowledge expression system, the importance degree of attributes is different. In condition of keeping the  
 91 classification ability of knowledge base unchanged, according to the relevance in the set of conditional attributes,  
 92 the goal of attribute reduction is to find some important condition attributes, which make the classification of  
 93 decision attributes consistent and uniform.

94 Reduction is usually not unique, and all or minimum reduction in finding attributes has been proved to be an NP  
 95 (non-deterministic polynomial)-hard problem. At present, the rough set attribute reduction algorithms mainly  
 96 include Johnson greedy algorithm, exhaustive algorithm, attribute importance heuristic algorithm, genetic  
 97 algorithm, dynamic reduction, concept lattice and so on. The exhaustion algorithm is to simplify the resolution  
 98 function derived from the resolution matrix by the absorption law, and make it a minimum disjunctive normal form  
 99 to obtain the reduction of the data attribute set. Considering that the exhaustion algorithm is only suitable for small  
 100 data sets, and all reduction can be obtained despite the complexity of the algorithm, so the paper adopts the  
 101 exhaustion algorithm to simplify the evaluation index of highway collapse risk grade.

102

### 103 3. Support vector machine

104

105 In 1995, Corinna Cortes and Vapnik first proposed the support vector machine (SVM) (Cao and Liang 2002; Li  
 106 2007; An 2008; Huang 2009; Nie 2009; Wang 2009; Cao and Zhao 2011; Lai and Wu 2011; Liang et al. 2021), in  
 107 which supervised learning models for classification and regression analysis are related to related learning  
 108 algorithms, they can analyze data and identify patterns. Support vector machine allows for the optimal  
 109 classification of linear and non-linear separable data.

110 On the problem of optimal classification for linearly separable data, the training sample set  
 111  $T = \{(x_1, y_1), (x_2, y_2), \dots, (x_l, y_l)\}, x \in R^n, y \in \{-1, 1\}$  is given to find an optimal hyperplane  $(w \cdot x) + b = 0$   
 112 which satisfies the problem, that is, to find the maximum interval of minimum  $\|w\|^2 / 2$  for the interval of  
 113 classification  $2 / \|w\|$ , and for the subscript  $i$  of  $y_i = 1$  and  $y_i = -1$ , there is  $(w \cdot x) + b \geq 1$  and  
 114  $(w \cdot x) + b \leq -1$ . Then the optimal classification hyperplane problem is found, that is,

115

116

$$\min \frac{1}{2} \|w\|^2$$

$$s.t. \quad y_i [(w \cdot x) + b] \geq 1, i = 1, 2, \dots, l \quad (1)$$

117 Using the Lagrange function, the dual objective function of Eq. (1) is

$$118 \quad \max W[\alpha] = \sum_{i=1}^l \alpha_i - \frac{1}{2} \sum_{i=1}^l \sum_{j=1}^l \alpha_i \alpha_j y_i y_j (x_i \mathbf{g} x_j)$$

$$119 \quad \text{s.t.} \quad \sum_{i=1}^l \alpha_i y_i = 0, \alpha_i \geq 0, i = 1, 2, \dots, l \quad (2)$$

120 To find the optimal solution  $\alpha^*$  of the quadratic programming problem, and the classification threshold  $b^*$ ,  
 121 most samples have  $\alpha^* = 0$ , the corresponding samples for  $\alpha^* \neq 0$  are only called support vector, determine the  
 122 classification results. The optimal classification decision function is

$$123 \quad f(x) = \text{sgn} \left\{ (w \mathbf{g} x) + b \right\} = \text{sgn} \left( \sum_{i=1}^n \alpha_i^* y_i (x_i \mathbf{g} x) + b^* \right) \quad (3)$$

124 When the training set is linear inseparability problem, the relaxation variable  $\xi_i, i = 1, 2, \dots, l$  is introduced,  
 125 and the optimal classification hyperplane problem is

$$126 \quad \min \frac{1}{2} \|w\|^2 + C \sum_{i=1}^l \xi_i$$

$$127 \quad \text{s.t.} \quad y_i [(w \mathbf{g} x) + b] \geq 1 - \xi_i, \xi_i \geq 0, i = 1, 2, \dots, l \quad (4)$$

128 Where,  $C$  is the penalty parameter. Using the Lagrange function, the dual objective function of Eq. (1) is

$$129 \quad \max_{\alpha} \sum_{i=1}^l \alpha_i - \frac{1}{2} \sum_{i=1}^l \sum_{j=1}^l \alpha_i \alpha_j y_i y_j (x_i, x_j)$$

$$130 \quad \text{s.t.} \quad C \geq \alpha_i \geq 0, i = 1, 2, \dots, l$$

$$131 \quad y^T \alpha = 0 \quad (5)$$

132 A classification hyperplane  $(w^* \mathbf{g} x) + b^* = 0$  is constructed and the optimal classification decision function is  
 133 obtained

$$134 \quad f(x) = \text{sgn} \left\{ (w^* \mathbf{g} x) + b^* \right\} \quad (6)$$

135 For the nonlinear separable problem, the input vector can be mapped to a high dimensional feature space and an  
 136 optimal hyperplane can be constructed in the feature space. The optimal hyperplane and decision function are  
 137  $(w \mathbf{g} \Phi(x)) + b = 0$  and  $f(x) = \text{sgn} \left\{ (w \mathbf{g} \Phi(x)) + b \right\}$  respectively, and the optimal classification hyperplane  
 138 problem is

139

$$\min_{w,b,\xi_i} \frac{1}{2} \|w\|^2 + C \sum_{i=1}^l \xi_i$$

140

$$s.t. \quad y_i[(wg\Phi(x)) + b] \geq 1 - \xi_i, \xi_i \geq 0, i = 1, 2, L, l \quad (7)$$

141

The dual optimization problem is also obtained

142

$$\max W[\alpha] = \sum_{i=1}^l \alpha_i - \frac{1}{2} \sum_{i=1}^l \sum_{j=1}^l \alpha_i \alpha_j y_i y_j K(x_i, x_j)$$

143

$$s.t. \quad 0 \leq \alpha_i \leq C, i = 1, 2, L, l \quad (8)$$

144

Where,  $K(x_i, x_j) = \Phi(x_i)g\Phi(x_j)$  is kernel function, in order to realize the linear classification of nonlinear

145

change, the kernel function commonly used are polynomial kernel function (Poly) , Gauss radial basis function

146

(Rbf), multi-layer neural network kernel function (Sigmoid), Fourier kernel function (Fourier) and so on. The

147

optimal solution  $\alpha^* = (\alpha_1^*, \dots, \alpha_n^*)^T$  of the problem is calculated, and then  $\omega^* = \sum_{i=1}^n \alpha_i^* y_i x_i$ , the classification

148

decision function is

149

$$f(x) = \text{sgn} \left\{ \sum_{i=1}^n \alpha_i^* y_i K(x_i, x_j) + b^* \right\} \quad (9)$$

150

#### 151 4. Rough set-support vector machine model for risk evaluation of highway collapse

152

153

Firstly, the factors affecting the risk of highway collapse are comprehensively analyzed, the key evaluation

154

indexes are selected, the evaluation index system is constructed. The data sample set of evaluation indexes is

155

constructed by data collection and field investigation. According to the grading standards of the evaluation indexes,

156

the RS decision table is constructed by preprocessing the original data, and the RS attribute reduction is used to

157

eliminate the redundant and unimportant attributes, so as to extract the features of highway collapse risk

158

information. Then, training samples and test samples are selected from the data preprocessed by reduction, and the

159

suitable kernel functions are selected and parameters are optimized. Support vector classification is trained with

160

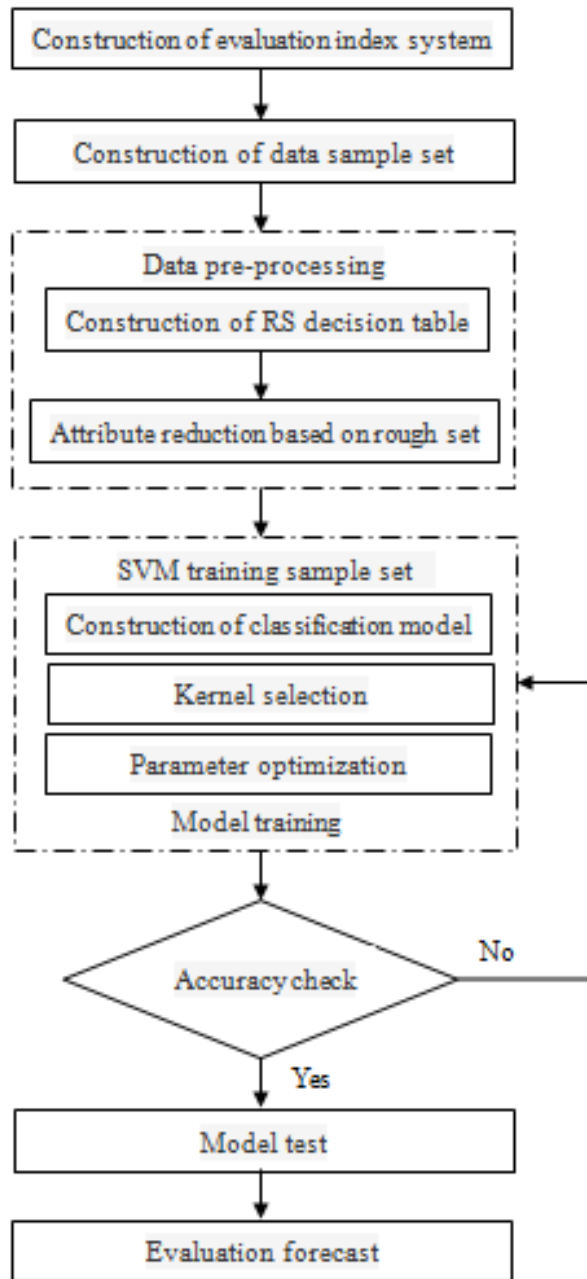
training samples, RS-SVM highway collapse risk assessment model is established to evaluate the risk level of the

161

test evaluation samples. The specific flow of highway collapse risk assessment based on RS-SVM is shown in Fig.

162

1.



163  
164 **Fig. 1** Flow diagram of highway landslide hazard evaluation based on RS-SVM  
165

166 **4.1. Index system of highway collapse risk assessment**  
167

168 The S303 Yingxiu-Wolong highway in Hanchuan County, Sichuan Province was paved before the May 12, 2008  
169 earthquake. The highway's total length is 45.5 km and it is an important trunk route connecting Yingxiu and  
170 Wolong, As the closest highway to the epicenter of the Wenchuan earthquake, the highway is basically routed  
171 along the Longmenshan tectonic belt, starts from the Beichuan-Yingxiu fault (the central fault) and passes through  
172 the Maoxian-Hanchuan fault (the Houshan fault) in Longmenshan. It has complicated geological conditions, is the



173 earthquake geological disaster most development, the damage is most serious a highway (Fig. 2). In order to  
 174 comprehensively and objectively analyze and evaluate the geological disaster of highway collapse, the acquisition  
 175 of information knowledge of highway collapse disaster is the key to complete the collapse risk assessment. The  
 176 aim is to select the most effective knowledge from the original field geological survey data, eliminate redundant  
 177 information, reduce the dimension of feature space, and improve the generalization ability of the evaluation system.  
 178 Therefore, when constructing the index system, in order to achieve the establishment completeness and  
 179 comprehensiveness of the comprehensive evaluation index system, we must first ensure that the index system has a  
 180 broad generalization. Therefore, according to the field investigation data of highway collapse, on the basis of  
 181 comprehensive analysis and study on the evaluation indexes of highway collapse risk at home and abroad, the  
 182 paper combines with the key factors affecting the risk of highway collapse to determine 4 grades and 15 index  
 183 items finally (Table 1). The evaluation index system of highway collapse risk is constructed using 15 indexes such  
 184 as  $x_1, x_2, x_3, \dots, x_{15}$ .



185

186

187 **Fig. 2** Slope rock mass landslide in 5.12 Wenchuan earthquake destroys a bridge, blocks the road, buries smashes  
 188 tunnel entrance

189

**Table 1** Evaluation factors and grading standard of highway landslide hazard

Evaluation index	Evaluation grades
------------------	-------------------

		I (C <sub>1</sub> )	II (C <sub>2</sub> )	III (C <sub>3</sub> )	IV (C <sub>4</sub> )
Topography	(x <sub>1</sub> ) Slope shape of slope	Fold line slope (4)	Concave slope (3)	Straight slope (2)	Convex slope (1)
	(x <sub>2</sub> ) Aspect of slope	Sunny slope (4-3)		Shady slope (2-1)	
	(x <sub>3</sub> ) Slope of slope/°	>60	40-60	20-40	<20
	(x <sub>4</sub> ) Height of slope/m	>150	100-150	50-100	<50
Geologic structure	(x <sub>5</sub> ) Meso structure	Development (4)	More development (3)	Less development (2)	No Development (1)
	(x <sub>6</sub> ) Exposed structural face	Development (4)	More development (3)	Less development (2)	No Development (1)
Stratum	(x <sub>7</sub> ) Stratum lithology	Loose rock (4)	Cemented chasten (3)	Well cemented half hard (2)	Hard rock (1)
	(x <sub>8</sub> ) Weakness interlayer	Clear (4)	More clear (3)	Less clear (2)	No clear (1)
Climatic and hydrological geological condition	(x <sub>9</sub> ) Relationship between weakness face and free face	Consequent slope (4)	Oblique crossing (3)	Cross slope (2)	Reverse slope (1)
	(x <sub>10</sub> ) Mean annual rainfall/mm/Year	>1500	1000-1500	500-1000	<500
	(x <sub>11</sub> ) Rainfall erosion/m	>0.5	0.3-0.5	0.1-0.3	<0.1
	(x <sub>12</sub> ) Vegetation cover rate/%	<5	5-15	15-30	>30
	(x <sub>13</sub> ) Weathering degree of rock/%	>30	10-30	5-10	<5
Other factors	(x <sub>14</sub> ) Earthquake intensity/°	>8	5-8	3-5	<3
	(x <sub>15</sub> ) Human activity intensity	Big (4)	Bigger (3)	Lesser (2)	Small (1)

190 In the whole evaluation index system, semi-quantitative method and measured value are used to evaluate the  
191 qualitative and quantitative indexes respectively. The classification standards and descriptions are shown in Table  
192 1. The result of comprehensive evaluation is divided into 4 grades, which are expressed by I, II, III, IV respectively.  
193 Considering that there are many evaluation indexes in the comprehensive evaluation index system, and the  
194 contribution of each evaluation index is different in the whole evaluation, in order to reduce the decentralization of  
195 weight and the calculation workload, the redundant influencing factors should be removed firstly. In the paper,  
196 rough set theory is used to reduce the whole index system, extract the key influencing factors, and then evaluate  
197 the index system based on uncertainty measure.

198

#### 199 4.2. Attribute reduction of risk evaluation index of highway collapse

200

201 The paper selects 17 collapse points from S303 Yingxiu-Wolong highway as the research object, and obtains the  
202 related original index data of collapse points through collecting and field investigation. Based on rough set theory,  
203 first of all, the original data should be discretized, in which each index is divided into 4 grades according to the

204 evaluation index system, we can get the rationality two-dimensional information evaluation decision table of the  
 205 comprehensive evaluation system (Table 2) .

206 Then, based on the Rosetta data analysis software developed by the scientists and technicians of Warsaw  
 207 University in Poland and Norwegian University of Science and Technology, the attribute reduction of the decision  
 208 table is carried out by the exhaustive algorithm, after removing six very small redundant indexes such as  $x_5$ ,  $x_8$ ,  
 209  $x_{10}$ ,  $x_{11}$ ,  $x_{14}$  and  $x_{15}$ , nine key indexes such as  $x_1$ ,  $x_2$ ,  $x_3$ ,  $x_4$ ,  $x_6$ ,  $x_7$ ,  $x_9$ ,  $x_{12}$  and  $x_{13}$  are obtained.

210

### 211 4.3. Sample set of evaluation model based on rough set and support vector machine

212

213 Using the data of 17 collapse points on both sides of S303 Yingxiu-Wolong highway, which is reduced by rough  
 214 set theory, a sample set based on the support vector machine model is constructed, the first 13 samples are selected  
 215 as training samples from 17 samples, and the best RS-SVM model is constructed. The other 4 samples (collapse  
 216 number is W06, W17, W28 and W33) are used as test samples (Table 3) .

217

**Table 3** Evaluation value of evaluation indexes

Collapse number	Evaluation index									Risk grade
	$x_1$	$x_2$	$x_3$	$x_4$	$x_6$	$x_7$	$x_9$	$x_{12}$	$x_{13}$	
BT05	1	3	70	73	3	3	4	6	7	II
BT09	3	1	50	613	2	3	2	18	8	III
BT13	3	3	69	105	4	2	3	20	6	II
BT24	2	3	30	234	2	3	3	25	6	III
BT33	1	1	48	119	2	2	1	25	6	III
BT40	1	3	85	21	1	2	2	35	8	III
BT49	2	1	70	189	4	3	3	8	9	II
BT54	3	3	42	25	0	4	2	20	12	III
BT58	2	3	28	314	3	2	4	25	15	III
BT66	4	1	47	410	1	2	3	20	8	III
BT70	1	3	68	66	2	3	2	25	40	III
BT80	2	1	45	200	2	3	3	20	12	III
YBT03	3	3	42	83	3	4	3	8	15	II
W06	4	3	43	30	0	4	4	25	15	III
W17	4	1	50	162	1	3	3	12	25	II
W28	3	1	40	214	2	3	3	6	28	II
W33	2	3	72	28	1	3	4	6	25	II

218 In this paper, we use Sklearn package in Python environment to design the support vector machine algorithm

Table 2 Discrete evaluation data tables

Evaluation index		Collapse data																
		BT05	BT09	BT13	BT24	BT33	BT40	BT49	BT54	BT58	BT66	BT70	BT80	YBT03	W06	W17	W28	W33
Topography	( $x_1$ ) Slope shape of slope	1	3	3	2	1	1	2	3	2	4	1	2	3	4	4	3	2
	( $x_2$ ) Aspect of slope	3	1	3	3	1	3	1	3	3	1	3	1	3	3	1	1	3
	( $x_3$ ) Slope of slope/ $^{\circ}$	4	3	4	2	3	4	4	3	2	3	4	3	3	3	3	2	4
Geologic structure	( $x_4$ ) Height of slope/m	2	4	3	4	3	1	4	1	4	4	2	4	2	1	3	4	1
	( $x_5$ ) Meso structure	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
Stratum	( $x_6$ ) Exposed structural face	2	2	3	2	2	1	3	1	2	1	2	2	2	1	1	2	1
	( $x_7$ ) Stratum lithology	3	3	2	3	2	2	3	4	2	2	3	3	4	4	3	3	3
	( $x_8$ ) Weakness interlayer	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
Climatic and hydrological geological condition	( $x_9$ ) Relationship between weakness face and free face	4	2	3	3	1	2	3	2	4	3	2	3	3	4	3	3	4
	( $x_{10}$ ) Mean annual rainfall/mm/Year	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3
	( $x_{11}$ ) Rainfall erosion/m	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2
Other factors	( $x_{12}$ ) Vegetation cover rate/%	3	2	2	2	2	1	3	2	2	2	2	2	3	2	3	3	3
	( $x_{13}$ ) Weathering degree of rock/%	2	2	2	2	2	2	2	3	3	2	4	3	3	3	3	3	3
	( $x_{14}$ ) Earthquake intensity/ $^{\circ}$	4	4	4	4	4	4	4	4	4	4	4	4	4	4	4	4	4
	( $x_{15}$ ) Human activity intensity	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2
Decision attribute value		2	3	2	3	3	3	2	3	3	3	3	3	2	3	2	2	2

220 model. The kernel function uses Gauss radial basis function  $K(x_i, x_j) = \exp\left(-\frac{|x_i - x_j|^2}{2\sigma^2}\right)$  commonly used,  
 221 for the penalty parameter  $C$  and kernel function parameter  $g$  in the SVM model, the grid search method is  
 222 used to get the best parameters of combinatorial optimization. In the paper, the simulation is done in Python  
 223 environment, and the penalty parameter  $C=8$  and the kernel parameter  $g=0$  are determined by testing the  
 224 training samples, the correct rate of cross-validation is 100%, and the model is optimal.

225 In order to verify the correctness and reliability of highway collapse risk discrimination based on the RS-SVM  
 226 model, the RS-SVM model was used to distinguish training samples BT05 to YBT03. The results are shown in  
 227 Table 4. All the 13 samples are correctly identified with a misjudgement rate of 0. The other 4 samples are tested  
 228 according to the RS-SVM model studied well. The results are shown in Table 5. The results obtained by the  
 229 uncertainty measures and distance discriminant methods are listed in Table 5.

230 **Table 4** Training samples of RS-SVM model

Collapse number	Evaluation index										Risk grade		
	$x_1$	$x_2$	$x_3$	$x_4$	$x_6$	$x_7$	$x_9$	$x_{12}$	$x_{13}$	Actual grade	The method of this paper	Uncertainty measure	Distance discriminant method
BT05	1	3	70	73	3	3	4	6	7	II	II	II	II
BT09	3	1	50	613	2	3	2	18	8	III	III	III	III
BT13	3	3	69	105	4	2	3	20	6	II	II	II	II
BT24	2	3	30	234	2	3	3	25	6	III	III	III	III
BT33	1	1	48	119	2	2	1	25	6	III	III	III	III
BT40	1	3	85	21	1	2	2	35	8	III	III	III	III
BT49	2	1	70	189	4	3	3	8	9	III	III	III	III
BT54	3	3	42	25	0	4	2	20	12	III	III	III	III
BT58	2	3	28	314	3	2	4	25	15	III	III	III	III
BT66	4	1	47	410	1	2	3	20	8	III	III	III	III
BT70	1	3	68	66	2	3	2	25	40	III	III	III	III
BT80	2	1	45	200	2	3	3	20	12	III	III	III	III
YBT03	3	3	42	83	3	4	3	8	15	II	II	II	II

231 **Table 5** Test samples of RS-SVM model

Collapse number	Evaluation index										Risk grade		
	$x_1$	$x_2$	$x_3$	$x_4$	$x_6$	$x_7$	$x_9$	$x_{12}$	$x_{13}$	Actual grade	The method of this paper	Uncertainty measure	Distance discriminant method

W06	4	3	43	30	0	4	4	25	15	III	III	III	III
W17	4	1	50	162	1	3	3	12	25	II	II	II	II
W28	3	1	40	214	2	3	3	6	28	II	II	II	II
W33	2	3	72	28	1	3	4	6	25	II	II	II	II

232

233 **5. Conclusions**

234

235 (1) In the evaluation and prediction of highway collapse risk, firstly, rough set theory is used to reduce the indexes,  
 236 and the relatively unimportant indexes are deleted, so as to achieve the goal of index optimization. Then, the 9 key  
 237 indexes which affect the collapse activity, such as slope shape of slope, aspect of slope, slope of slope, height of  
 238 slope, exposed structural face, stratum lithology, relationship between weakness face and free face, vegetation  
 239 cover rate and weathering degree of rock, are extracted to be used as the discriminant factors of the support vector  
 240 machine classification model.

241 (2) The actual survey data of 17 collapse points optimized from Yingxiu to Wolong section of the S303 highway  
 242 are selected as the training and testing samples of the support vector machine, the best parameters of combinatorial  
 243 optimization are obtained through the training of the training samples, the RS-SVM model is used to evaluate and  
 244 predict the test samples.

245 (3) The research results show that the highway collapse discriminant analysis model based on RS-SVM achieves  
 246 the goal of index optimization, not only can guarantee the accuracy of evaluation, but also reduce the  
 247 computational load of the model, the learning performance is good, and the prediction accuracy is high, it is an  
 248 effective method to forecast and evaluate the risk of highway collapse.

249

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251

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256 **Data availability statements**

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258 All data generated or analyzed during this study are included in this published article.

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260 **Conflict of interests**

261

262 The authors declare that they have no conflicts of interest.

263

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