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1	Evaluation of top-down crack propagation in asphalt pavement under dual tires
2	loading
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Abstract: Top-down cracking (TDC) has been recognized worldwide and is regarded as a 23 24 major type of asphalt pavement distress. In this study, fracture mechanisms behind the TDC 25 propagation and fatigue life of pavements were investigated under dual tires load using finite element (FE) analysis. By considering the most influencing factors on TDC propagation, 26 27 stress intensity factors (SIF), including KI and KII, were calculated at critical transverse locations. According to Modes I and II SIF, a greater SIF indicates a faster rate of TDC 28 29 propagation. The SIF results indicated that considering temperature gradient in asphalt 30 concrete (AC) layer is necessary in determination of critical SIF, and KI and KII are not 31 distributed uniformly within the AC depth. In addition, TDC growth rate significantly depends on AC thickness and base layer type. Finally, the number of load repetitions for TDC 32 propagation rate at different transverse locations is predicted based on Paris law equation. 33 34 Keywords: crack propagation; top-down cracking; finite element method; stress intensity

35 factor; asphalt pavement

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37 Introduction

Conventionally, it is assumed that fatigue cracking, as a well-known mode of asphalt pavement distress, is initiated from the bottom of the asphalt concrete (AC) layer and propagated towards the surface. However, recent findings revealed that Top-down fatigue cracking is a major type of asphalt pavement distress, which is initiated from the surface and propagated downward (Svasdisant et al. 2002; Sun et al. 2005; Zhao et al. 2018). Field investigation has shown that most top-down cracks (TDCs) are longitudinal and located in the vicinity of the wheel paths (Matsuno and Nishizawa 1992; Niderquell et al, 2000). TDC

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45	occurs in pavement due to various factors, including the tire-pavement contact stresses,
46	modulus gradient due to the temperature and aging in AC layer, moduli of the base layers,
47	and pavement structures (Ling et al. 2017a; wang et al. 2013; Svasdisant et al. 2002).
48	The pavement performance is directly related to the mechanisms of TDC propagation
49	which is not completely clear yet. Considering all these influencing factors is necessary, not
50	only for identification of crack propagation mechanism, but also for estimating the suitable
51	rehabilitation and service life of the pavements. However, Ling et al. (2017a) demonstrated
52	that field aging of AC layers is non-uniform along the pavement depth which results in higher
53	modulus near the pavement surface and cannot be simulated or analyzed in laboratory, there
54	are still controversial issues which are needed to consider in aging investigations in the field,
55	such as polymer modified asphalt mixture, different climate regions and long-term aging
56	effects (Li et al. 2006; Zhao and Wang, 2018).
57	The common parameters for evaluation of the fracture resistance in asphalt pavements
58	include the stress intensity factors (SIF) (Jacobs et al. 1996; Modarres and Shabani, 2015),
59	energy release rate or fracture energy (Bayomi et al. 2006); and J-integral (Ling et al. 2017b).
60	However, the energy term J-integral is more appropriate for evaluation of crack propagation
61	in viscoelastic materials and most of recent studies considered the elastic modulus in AC
62	layer for J-integral calculations (Ling et al. 2017b; Lou et al. 2018). They have mentioned that
63	it is impractical to run many calculations and change the Prony series parameters for the
64	relaxation modulus in the finite element method (FEM). In addition, the fracture behaviour of

65 AC layer depends on the geometry of a flaw, the stress state at the flaw and the loading

66 mechanism around the flaw. Therefore, it is important to investigate the severity and stability

of a crack by calculating SIF (K) which is a measure of stress state at the crack tip. The three
SIF modes, KI, KII and KIII, are known in the fracture mechanics that are assigned to crack
opening, sliding and tearing modes, respectively (Ameri et al. 2011).

70 In order to investigate the crack growth in asphalt materials under tension, three-point 71 bending beam and four-point loading techniques have been generally employed (Molenaar et al. 2003; Kim et al. 2009; Huang et al. 2013). In most of previous studies, the crack growth 72 73 rate has been examined under tensile stress whereas the cracks at the pavement surface 74 experience tensile and shear deformations; this leads to TDC propagation due to the shear 75 mode and/or the combination of tensile-shear mode. However, Aliha et al. (2016) carried out experimental tests for evaluating the mixed Mode I and III of fracture toughness in asphalt 76 mixture, they revealed that there are several difficulties to compute the fracture parameters 77 78 (combination of Modes I and III) of the real pavements due to the complicated geometry and 79 loading type. It is worthy of note that numerical analysis, such as finite element (FE) and 80 finite difference (FD), is a powerful technique for the study of crack propagation (Shen et al. 81 2016). For instance, Roesler and Khazanovich (1997) estimated the SIFs of a partial-depth 82 crack in a Portland cement concrete pavement by using FE technique. In addition, the effect 83 of vehicle's speeds on SIF have been analyzed at the crack tip based on the numerical 84 analysis (Zhao et al. 2011; Yang et al. 2011).

Many studies have been also devoted to the identification of the mechanisms behind TDC propagation in pavements. Myers and Roque (2002) evaluated the influence of temperature gradient on TDC propagation at the crack tip via finite element (FE) method. Using coupled element free Galerkin (EFG) and FE method, Luo et al. (2010) concluded that

89	TDC propagation rate is significantly affected by the stiffness of AC and base layer. Fakhri et
90	al. (2009) studied the single tire load effects on Modes I and II of fracture parameters. In
91	another study, Ameri et al. (2011) analyzed the propagation of transverse crack at the
92	pavement surface by considering a moving load with respect to the crack.
93	Using Extended Finite Element Modeling concept (XFEM), the dependency of crack
94	pattern on the location of the pre-existing cracks was elucidated under single tire loading
94 95	pattern on the location of the pre-existing cracks was elucidated under single tire loading (Rashadul Islam et al. 2016). However, in this work, only the effect of crack distance from the

A survey on previous literature indicates that only the effect of single tire load on TDC propagation has been considered in many published works; it should be pointed out that the single tire results in significantly less surface-initiated cracking compared to that of dual tires (Al-Qadi et al. 2004). In addition, the frequency of TDC is less in the case of single tires because TDC only initiates at or near the tire edges. However, the mechanisms of TDC initiation and propagation may not be identical, significant analyses are needed into better understanding the dual tires loading effects on the TDC propagation.

Furthermore, considering transverse crack at the pavement surface in study of TDC propagation rate (Holewinski et al, 2003; Ameri et al. 2011) may not be accurate assumption, because TDC manifests as a longitudinal crack induced by traffic loads near the tire edge (Wang 2009; Zhao et al. 2018) while transverse cracks are induced by thermal loads. **Objective**

109 The primary objective of this study was, thus, to investigate the mechanisms of110 longitudinal TDC propagation based on dual tires load. In this regard, the effect of different

factors including vehicle speed (loading frequency), temperature, crack position, crack depth, AC thickness and base layer type were evaluated on Modes I and II stress intensity factor (KI, KII) using numerical analyses. Furthermore, the critical conditions corresponding to TDC growth were identified. According to the obtained results, the fracture parameters (KI, KII) were correlated to pavement fatigue life in terms of the number of load repetition to the propagation of TDC.

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118 Methodology
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119 In this paper, the typical pavement structures listed in Table 1 are considered as the FE models. To investigate the influence of dual tires loading on TDC propagation through the 120 depth of AC layer, the crack plane is assumed normal to the traffic direction. This assumption 121 122 results in Modes III SIF (tearing mode, KIII) to be insignificant or may not occur (Elseifi et al. 2018). Therefore, plane strain approach with 8-node elements (CPE8R) is used in the 123 ABAQUS software for the pavements consisting of different crack depths, to analyze the 124 125 effect of Modes I and II loading. In order to estimate the SIFs, fine meshes and singular 126 (quarter point) elements were used in the stress field around crack tips. The bottom of FE model was considered fixed at all directions and the roller support was assigned to vertical 127 boundaries of the model as the boundary conditions. Fully bonded interface conditions were 128 129 assumed between the layers. The ABAQUS pavement model with the corresponding FE mesh and boundary conditions is shown in Figure 1 under dual tires loading; also, a close view of 130 131 longitudinal TDC at the pavement surface is depicted in the figure.

132 Since the mechanical properties of AC vary significantly with temperature and loading

133	frequency, the dynamic modulus master curve is usually used to characterize the behaviour of
134	AC. According to AASHTO TP 62-07 (AASHTO 2007), the complex moduli were measured
135	at six temperatures (-10, 0, 15, 30, 40 and 55°C) and six frequencies (20, 10, 5, 1, 0.5 and 0.1
136	Hz). In this study, the equivalent elastic moduli were determined by fitting the dynamic
137	modulus data to the sigmoidal function on the basis of the time-temperature superposition
138	principle. It should be noted that the recent studies have demonstrated that it is impractical to
139	run many calculations and change Prony series parameters for the relaxation modulus in the
140	FEM (Ling et al. 2017b; Lou et al. 2018). More than that, Roque et al. (2017) stated that the
141	equivalent elastic modulus determined from master curve has the high level of accuracy as
142	viscoelastic responses. Therefore, the equivalent elastic moduli determined at different
143	temperatures were directly considered in ABAQUS as the AC layer moduli. Figure 2 shows
144	the variation of equivalent elastic modulus of a typical frequency at 5Hz with temperatures.
145	However, the determination of frequency in pavement structure is a subject of controversy in
146	recent years (ARA 2004; Al-Qadi et al. 2008). The rate of loading (loading frequency)
147	depends on the duration of the stress pulse. The pulse duration was determined using the
148	equation (1) suggested in mechanistic-empirical pavement design guide (MEPDG):

$$149 t = \frac{L_{eff}}{17.6v_s} (1)$$

Where t is the pulse duration (s); L_{eff} is the effective length (m); v_s is the vehicle speed (m/s).
The loading frequency was then estimated as the reciprocal of t.

To determine L_{eff} , the Odemark's method of equivalent thickness was adopted in the MEPDG to transform the pavement layers above the subgrade into one layer. The stress distribution lines in the transformed pavement were at 45 degrees, and thus the effective 155 length at any depth could be calculated. Details about the determination of L_{eff} are 156 documented elsewhere (ARA 2004). Furthermore, the AC layers were divided into different 157 sublayers and the loading frequency of each sublayer was determined according to the 158 procedure mentioned previously. The equivalent elastic moduli at various temperatures and 159 vehicle speeds were considerd for each sublayer from the dynamic modulus master curve and 160 time-temperature shift factor.

161 It should be noted that temperature and its corresponding modulus of the asphalt layer is 162 not uniform within the pavement depth (Archilla, 2015; Raju et al, 2008). As a result, for the 163 AC layer with a stiffness gradient, the equivalent elastic modulus is calculated through the 164 following equation from (Huang, 2004; Roque et al. 2017):

165
$$E_{eq} = \left[\frac{\sum_{i=1}^{n} h_i \cdot (E_i)^{1/3}}{\sum_{i=1}^{n} h_i}\right]^3$$
 (2)

166 where h_i and E_i are the thickness and modulus of the different sub-layers within the AC layer. These equivalent elastic moduli of the sublayers were used in linear elastic theory (LET) 167 to calculate the stress intensity factors (KI, KII). These factors are descriptive of crack tip 168 stress states and conditions, and they were calculated separately using a contour-integral 169 based method in ABAQUS. In order to obtain the critical parameters of TDC propagation in 170 pavement, different transverse locations, ranging from the middle point of the dual tires to a 171 distance of 250 cm, were regarded. The applied loads were dual tires with a magnitude of 0.7172 MPa and a radius of 10 cm. The center-to-center distance of the dual tires was 30 cm. 173 174 The crack propagation rate in pavement structures was calculated using Paris law model 175 in terms of equivalent SIF (ΔK_{eq}) (Paris and Erdogan 1963):

176
$$\frac{da}{dN} = A[\Delta K_{eq}(a)]^n$$
(3)

where *a* is the crack length, *N* is the number of cyclic loads, ΔK_{eq} is the equivalent SIF at crack tip varied with load cycle, and *A* and *n* are material constants or calibration factors.

The correct way to determine the fracture parameters of a material (A and n) is to study 179 180 crack growth of hot mix asphalt (HMA) beam samples under repeated loading conditions, 181 which is a tedious and expensive operation (Francken 1993). Therefore, in this study, A and nvalues were selected as 3.44×10^{-6} and 2.71, respectively, based on a comprehensive study 182 183 carried out by Jacob (1995). In addition, it is worthy of note that these values were used by many researchers for simulating the TDC in asphalt pavement (Luo et al. 2010; Modarres and 184 Shabani, 2015). The use of Paris law equation is generally accepted among researchers for 185 description of the rate of crack growth in flexible pavements (Elseifi et al. 2018). By 186 integrating Equation (2), the number of load repetitions (N_f) which causes the increase in 187 crack depth (from an initial depth of a_i to a final depth of a_f), was computed by: 188

189
$$N_f = \int_{a_i A[\Delta K_{eq}(a)]^n}^{a_f} da$$
(4)

Based on the calculated Modes I and II SIF, the equivalent SIF (ΔK_{eq}) was obtained by using (Meggiolaro et al. 2005):

$$\Delta K_{eq} = \sqrt{K_I^2 + K_{II}^2} \tag{5}$$

193 Regarding that the pavement structures (listed in Table 1), a large number of FE analyses 194 were conducted to investigate the effect of vehicle speed (loading frequency), temperature, 195 crack position, crack depth, AC thickness and base layer type on TDC propagation. The 196 values of KI, KII and fatigue life of the TDC propagation (N_f) are presented in the next 197 section.

198

199 Results and discussion

Modes I and II stress intensity factors (KI and KII) of surface-initiated TDC were estimated at 9 transverse locations, ranging from the middle of dual tires to a distance of 250 cm. Due to the symmetric boundary condition at the center of dual tires, only the responses at one side were considered. The 0 transverse distance represents the middle point of the dual tires and 25 transverse distance represents the tire edge at farther distance from the center of dual tires. It should be pointed out that KII contributes to crack growth apart from its sign but only positive value of KI is indicative of crack propagation.

207 The influence of four AC temperatures (5, 15, 25 and 35°C) on Modes I and II SIFs (KI and KII) are shown in Figures 3 and 4, respectively. As it can be seen, at different locations of 208 TDC, the magnitude of KII and absolute value of KI decrease at elevated temperatures. 209 210 Furthermore, the magnitudes of KI and KII are significantly affected by TDC location. The maximum value of KII occurs at the tire edge (25 cm transverse distance), while the 211 212 maximum absolute value of KI is observed at the middle of dual tire (0 cm transverse distance). When the transverse distance of TDC relative to the loading center is within the 213 range of 0 to 50 cm, KI is always negative and KII is the predominant type of SIF; this 214 indicates that that TDC propagation is related to the sliding mode. By increasing the 215 216 transverse distance of TDC to 75 cm, KI becomes positive and at the same time KII decreases exponentially. Thus, TDC growth is correlated to the combination of Modes I and II of 217 218 fracture toughness at the corresponding location. At farther transverse distances of TDC

219	(within the distance range of 100 to 250cm), Mode II SIF is almost negligible and the opening
220	mode (KI) becomes gradually dominant. The results show that dual tires loading causes
221	significant shear SIF at the tire edges and for accurate justifying the mechanisms of TDC
222	propagation, the opening mode (KI) and the shear mode (KII) should be considered
223	simultaneously. Although under a single tire loading the Mode II SIF has been neglected in
224	some cases due to its trivial value (Fakhri et al., (2009)), the results of present work show the
225	dual tires effects on Mode II SIF and its contribution to TDC growth; i.e. single tire load is
226	incapable of explaining the real conditions of the cracks at pavement surface under dual tires
227	loading.

In Figures 5 and 6, the variations of KI and KII are plotted for a thick AC thickness (30 228 cm) based on the TDC depths at 25°C. As it can be seen, when TDC is at the middle of dual 229 230 tires, the magnitude of KII is 0 at various crack depths and KI is also negative due to the downward deformation. Accordingly, the crack tip at the corresponding location is under 231 compression and it cannot propagate under traffic loading. However, in other transverse 232 233 locations where the magnitude of KI is negative, the shear deformation (KII) causes the crack 234 propagation. It can be also observed that the crack at 125 cm transverse distance is subjected 235 to a combination of Mode I and II deformation; however, its contribution to TDC growth is less than pure Modes I and II in other locations. In order to clearly illustrate how KI and KII 236 vary with depth, the analysis results are presented in Figures 7 and 8. By increasing TDC 237 depth from 10 to 40 mm, Mode II and absolute value of Mode I SIFs increase especially at 238 239 close distances to the tire edge. This reveals that the rate of crack growth increases by an 240 increase in crack depth.

Influence of three different AC thicknesses (10, 20 and 30 cm) on variations of KI and 241 KII is presented in Figures 9 and 10 for a TDC with a depth of 40 mm. As it can be observed, 242 243 by increasing the AC thickness, KI and KII values decrease. However, these changes are more noticeable for mode II SIF at the tire edge (25 cm transverse distance) and mode I SIF at 244 the distance range of 50 to 125 cm away from the loading center. The SIF results show that 245 the thin AC layer is more susceptible to TDC propagation and using of thicker AC layer 246 247 decreases the likelihood of TDC growth; on the other hand, Baladi et al. (2003) demonstrated 248 that the TDC propagation is independent of the AC thickness. 249 In order to study the effect of loading frequency on TDC propagation, the analysis was conducted on the pavement with a thin AC layer and a crack depth of 10 mm at various 250

speeds of 10, 40, 80 and 120 km/h. Modes I and II SIF profiles are plotted in Figures 11 and 12, respectively. As it can be observed, by increasing the vehicle speed from 10 to 120 km/h the absolute value of KI increases continuously, whereas the vehicle speed shows insignificant effect on the magnitude of KII. Since the influence of vehicle speed is more pronounced in Mode I SIF, the maximum value of KI is larger than that of KII. According to the results presented in Figure 11 and Figure 3, increasing the loading frequency has the similar effect on the magnitude of KI as decreasing the temperature.

TDC propagation rate in thick AC layer (30 cm) was also evaluated where it was placed on two base layers types; granular base (GB) and cement-treated base (CTB). It was assumed that these layers (i.e., GB and CTB) are isotropic, homogenous and linear elastic materials with different elastic moduli and Poisson ratios. The values of SIF presented in Figures 13 and 14 demonstrate that the pavements with GB are more sensitive to crack growth. In

263	addition, TDC propagation is only due to KI at far transverse distances from the dual tire
264	center (larger than 75 cm) in the pavements with GB, whereas within the transverse distance
265	range of 0 to 75 cm, KII is dominant.

In order to investigate the influence of TDC location on the pavement fatigue life, Paris 266 law model (Eq. (4)) was employed for the prediction of fatigue crack growth rate. The 267 analysis was performed on a thick (30 cm) AC layer at a temperature of 15°C. Since the TDC 268 269 does not propagate at the middle of dual tire due to the negative value of KI and 0 value of KII, the crack growth rate at the corresponding location is not computed. For other locations, 270 271 TDC propagation rate is computed from the equivalent SIF (K_{eq}). Using the quadratic trend 272 functions (Table 2), Keg is estimated from the crack depth (x). These functions are then utilized in Equation (3) and the integrals are computed by Simpson method. The number of 273 274 load repetitions to propagate the crack depth from 10 to 50 mm at different TDC locations are 275 shown in Figure 15 (a) and (b). By increasing the crack depth, the number of load repetitions for propagation of TDC decline at different TDC positions. As it can be seen the TDC at the 276 277 position of P1 (transverse distance of 25 cm) has the most critical condition due to the 278 minimum number of load repetitions. This finding indicates that the TDC propagation rate at the tire edge is significantly higher than that in other locations. Moreover, there is a 279 tremendous rise in maximum fatigue life from 2.5×10^5 to 67.7×10^5 loading cycles when the 280 281 transverse distance of TDC increases from 25 to 75 cm (P1 to P3), respectively. In contrast, the maximum fatigue life decreases from 64.4×10^7 to 1.5×10^7 loading cycles by increasing 282 283 the distance from 100 to 200 cm (P4 to P7), respectively. Therefore, the position of P4 is not 284 in the critical condition in terms of crack growth rate.

286 **Conclusions**

287	The cracks at the pavement surface experience tensile and shear deformations,
288	considering one of SIF modes, and assumption of a single tire loading cannot explain the real
289	situation of pavement accurately. Therefore, in this study, numerous finite element analyses
290	were conducted to investigate the dual tires loading effects on longitudinal TDC growth and
291	fatigue life of pavement on the basis of fracture parameters. Based on the analysis results, the
292	following bullets summarize findings from this study:
293	-The critical values of shear deformation (KII) at the edge of tire and opening deformation
294	(KI) away from the edges of the tire are the driving mechanisms for the propagation of TDC
295	under the dual tires loading. Therefore, the position of TDC relative to the centre of dual tires
296	is a significant factor on variations of magnitude and sign of SIFs.
297	- By considering temperature gradient, TDC propagation rate at low temperature is faster than
298	that at medium and high temperature due to the larger values of shear and tensile modes.
299	-By increasing the crack depth, the crack growth rate increases and noticeable changes occur
300	when the crack is located at the tire edge.
301	-Based on KI and KII results, the thin AC layer is more vulnerable to TDC propagation and
302	using of thick AC layer decreases the likelihood of TDC growth.
303	-The absolute values of Mode I SIF become larger when the vehicle speed increases, while
304	the speed variations affect the Mode II SIF insignificantly.
305	-According to the tensile and shear modes of SIF, pavements with GB are more prone to TDC

306 growth than the pavement with CTB.

307	-By increasing the crack depth, the number of load repetitions for the crack propagation
308	decline at different TDC distances. In addition, TDC at the tire edge has the most critical
309	condition due to the minimum number of load repetitions.

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