



**HAL**  
open science

# EVALUATION OF VALUE STREAM MAPPING IN MANUFACTURING SYSTEMS REDESIGNING

Ibon Serrano, Carlos Ochoa Laburu, Rodolfo de Castro

► **To cite this version:**

Ibon Serrano, Carlos Ochoa Laburu, Rodolfo de Castro. EVALUATION OF VALUE STREAM MAPPING IN MANUFACTURING SYSTEMS REDESIGNING. *International Journal of Production Research*, Taylor & Francis, 2008, 46 (16), pp.4409-4430. 10.1080/00207540601182302 . hal-00512973

**HAL Id: hal-00512973**

**<https://hal.archives-ouvertes.fr/hal-00512973>**

Submitted on 1 Sep 2010

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



**EVALUATION OF VALUE STREAM MAPPING IN  
MANUFACTURING SYSTEMS REDESIGNING**

Journal:	<i>International Journal of Production Research</i>
Manuscript ID:	TPRS-2006-IJPR-0690.R1
Manuscript Type:	Original Manuscript
Date Submitted by the Author:	15-Dec-2006
Complete List of Authors:	Serrano, Ibon; Mondragon University, Industrial Management Department Ochoa Laburu, Carlos; University of the Basque Country, Business Organization Department De Castro, Rodolfo; Universitat de Girona, Business Organization, Management and Product Design
Keywords:	MANUFACTURING SYSTEMS, LEAN MANUFACTURING
Keywords (user):	VALUE STREAM MAPPING, CASE STUDY



1  
2  
3  
4 **Title: EVALUATION OF VALUE STREAM MAPPING IN**  
5 **MANUFACTURING SYSTEMS REDESIGNING**  
6  
7

8 **Authors:**  
9

10 **Ibon Serrano.** Lecturer in Mondragon University. His main research field  
11 is focused in the manufacturing systems design and improvement.  
12

13 Industrial Management Department. Mondragon University  
14 Mondragon, Gipuzkoa (Spain).  
15

16 [iserrano@eps.mondragon.edu](mailto:iserrano@eps.mondragon.edu)  
17

18  
19 **Carlos Ochoa.** MSc., Professor in the University of the Basque Country.  
20 His research is mainly oriented to Production and Operations Management.  
21

22 Business Organization Department. Polytechnic University College. University  
23 of the Basque Country, San Sebastián, Gipuzkoa (Spain).  
24

25 [oeopclac@sp.ehu.es](mailto:oeopclac@sp.ehu.es)  
26  
27

28  
29 **Rodolfo de Castro.** PhD, Professor in the University of Girona. His  
30 research is focused on Lean Thinking in Production and Operations  
31 Management and in Supply Chain Management.  
32

33 Business Organization, Management and Product Design Department.  
34 University of Girona, Girona (Spain)  
35

36 [rudi.castro@udg.es](mailto:rudi.castro@udg.es)  
37  
38

39  
40  
41  
42  
43 Corresponding Autor,  
44

45 **Rodolfo de Castro.** [rudi.castro@udg.es](mailto:rudi.castro@udg.es)  
46

47 Universitat de Girona. Campus Montilivi. Edifici P-i  
48

49 17071 - Girona (Spain)  
50

51  
52  
53 Tel 972 418 872  
54

55 Fax 972 418 399  
56  
57  
58  
59  
60

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

## TITLE: EVALUATION OF VALUE STREAM MAPPING IN MANUFACTURING SYSTEM REDESIGN

**Keywords:** Value Stream Mapping, lean production, manufacturing system redesign, case study.

### Abstract

The Value Stream Mapping (VSM) technique, developed within the lean production paradigm, was presented as an innovative graphic technique to help practitioners redesign production systems. This paper presents the results of a project whose main purpose is to evaluate the real applicability of VSM to redesign disconnected flow lines based on manufacturing environments with a diversity of logistical problems. The research was developed using multiple case study methodology in six industrial companies. The experiences have served to highlight the following results: 1) the validity of VSM as a redesign tool is confirmed; 2) resources required for the application process are established; and 3) the differences between theoretical concepts proposed by VSM and their real world practical applications are indicated and analysed.

These results have led to conclusions relating to: 1) communication solutions for practitioners to obtain maximum efficiency when using VSM; and 2) definitions of theoretical development points for VSM to become a reference among redesign techniques.

## 1. INTRODUCTION

Manufacturing companies need to redefine and redesign their production systems in response to the competitiveness demanded by the challenges of present markets (European Commission 2004, Modarres et al. 2005). Therefore, it is necessary to have practical models that will support the manufacturing system redesign process. This need for practical techniques is recognised in the business sector as well as in academic literature on the subject.

A survey conducted in January 2004 by the Lean Enterprise Institute of 999 industrial companies belonging to the Lean Community (Marchwinski 2004) highlighted the need for these tools in the industrial sector, and provided a powerful argument in their favour.

As for the academic sector, calls for the development of adequate techniques have come from different areas. Hunt et al. (2004) highlight the need for new curricula in the production sector to include efficient means of designing advanced manufacturing systems. On the other hand, Seth et al. (2005) emphasise the urgent need for new techniques to achieve more productive environments.

The question is: what main requirements should these methods fulfil to be efficient in practice? When specifying those requirements, Wu (1996) focuses above all on the technical aspects that must be complied with, while Singh et al. (2006) highlight the importance of such improvements for enabling and facilitating group work and consistent decision making. The properties proposed by those authors could be summarised as:

- A common, easily understood language to allow decisions to be discussed by the people involved in the process.
- Efficiency in its use. The results of the process must be justified by the time and effort required by the team.
- A graphical and standardised interface language would help to make the application process easier.
- A tool focused on quantitative analysis. The decisions to be taken must be based on scientific and objective data analysis.
- A way to emphasise the initial problem situations as well as to provide clear guidelines and innovative concepts to improve the operational performance of the system.
- Reflection of a systemic vision. The study should not lose perspective of the system to be analysed and improved. The optimisation of one point of the process should be evaluated in light of its effect throughout the system.
- Seeing redefinition and redesign as a starting point for production system strategic improvement planning.

In this context, the lean production movement developed and introduced the value stream mapping (VSM) technique as a functional method aimed at rearranging production systems from a lean point of view (Rother et al. 1998, Womack et al. 2002, Pavnaskar et al. 2003).

Prior to analyzing and describing VSM, it is worth explaining that lean production is presented as a management philosophy based on the

1  
2  
3 minimisation of all the resources used in all the company's activities. It looks for  
4  
5 the identification and elimination of every activity that does not, from the  
6  
7 customer's point of view, add value to the design, production and supply chain  
8  
9 management-related processes of every company (Womack et al. 1990, 1996,  
10  
11 Rother et al. 1998, Marchwinski et al. 2003).  
12  
13

14  
15 According to Hayes et al. (2005) and Sakakibara et al. (1997), this production  
16  
17 philosophy does not guarantee or enable operations to create an enduring  
18  
19 strategic advantage over time. In spite of that, lean practices have been  
20  
21 implemented too successfully around the industry in recent years not to be  
22  
23 considered either valuable or deserving of special character and protagonism in  
24  
25 the history of organizational management (De Toni et al. 2002, Zhongjun et al.  
26  
27 2005).  
28  
29  
30

### 31 32 **1.1. Value Stream Mapping** 33

34  
35 Although various applications have recently been developed (Jones et al. 2003,  
36  
37 Tapping et al. 2002b), originally VSM was mainly focused on the analysis and  
38  
39 improvement of disconnected flow lines in manufacturing environments (Rother  
40  
41 et al. 1998). This framework is defined and described by Hayes et al.  
42  
43 (1979a,1979b) in a well-known product-process matrix.  
44  
45  
46  
47

48  
49 With regard to the VSM application process, it is based on five phases put into  
50  
51 practice by a special team created for such a purpose (Rother et al. 1998). The  
52  
53 phases are (1) selection of a product family, (2) current state mapping, (3)  
54  
55 future state mapping, (4) definition of a work plan and (5) achievement of the  
56  
57 work plan.  
58  
59  
60

1  
2  
3 Some lean guidelines are needed to assist users in the definition of the future  
4 state map. These guidelines are summarised below:  
5  
6

7  
8 (1) The production rhythm must be imposed by product demand. Takt time will  
9 be the concept that reflects such rhythm.  
10  
11

12  
13 (2) Continuous flow must be established where possible (unique product  
14 transfer batches).  
15  
16

17  
18 (3) Pull systems must be used among different work centres when continuous  
19 flow is not possible.  
20  
21

22  
23 (4) Only one process, called the pacemaker process, should direct the  
24 production of the different parts. This process will set the pace for the entire  
25 value stream.  
26  
27

28  
29 (5) The pacemaker process scheduling will deal with the maximisation of mix  
30 and volume production levelling using heijunka systems.  
31  
32

33  
34 (6) Overall process efficiency should be improved. Projects such as work  
35 method and cycle time improvements, changeover time reductions and  
36 maintenance management could be launched by the VSM team.  
37  
38

39  
40 For more detailed information about the development of each specific  
41 theoretical aspect of the guidelines see Rother et al., (2001), Harris et al.,  
42 (2003) and Smalley, (2004).  
43  
44

45  
46 Rother (1998) affirms that the main properties of VSM fulfil the utility  
47 requirements of a manufacturing redesign technique. For his part, Pavnahskar  
48 (2003), in his categorisation of lean techniques, also highlights the great  
49 potential of VSM to improve production systems. The arguments given are:  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60



- The analysis of the initial situation is based on the acquisition and treatment of numerical data and uses a graphical interface that makes it easier to see the relationship between material and information flows.
- The systemic vision provided for each product family reflects manufacturing system inefficiencies. This aspect is also highlighted by Jones (2003).
- A common language is provided for the team to unify lean concepts and techniques in a single body. This point is also highlighted by Baker (2003).
- There is the possibility of it being the starting point of strategic plan improvement (Voelkel et al. 2003, Gregory 2003, 2004).

## **1.2. Manufacturing system redesign by other methodologies, methods and tools**

A literature review shows that other tools, methods and methodologies in the field do not fulfil the same framework conditions as the VSM, or the same objectives or the same level or degree of completion of manufacturing system design.

Prior to analysing each of these models, it is necessary to highlight two important theoretical points on which VSM is based: the structuring of the production system and the differentiation between tools, methods and methodologies.

Regarding the first aspect, a manufacturing system could be structured as the aggregation of three subsystems (Roboam 1993, Wu 1996): (1) a physical or

1  
2  
3 operational subsystem, referring to material flow; (2) an informational or  
4  
5 auditory subsystem, referring to information flow; and (3) a decisional or  
6  
7 managerial subsystem, referring to the process of decision-making. VSM is  
8  
9 mainly related to describing and improving the first two subsystems, the  
10  
11 physical and the informational.  
12  
13

14  
15 Regarding the distinction between methods, methodologies and tools, despite  
16  
17 there being a certain degree of generalised confusion concerning usage, a  
18  
19 method can be described as a means of proceeding, a regulated and  
20  
21 systematic way of obtaining an objective (Oyarbide 2003). Robson (2002) does  
22  
23 not differentiate between method and technique, and therefore this article uses  
24  
25 both terms interchangeably. On the other hand, Checkland (1981) and Pandya  
26  
27 (1995) concur in their definition of methodology as a set of principles of a  
28  
29 method, which, applied to the particular situation, guide the user to develop a  
30  
31 method uniquely suited to the problem. Lastly, a tool could be defined as a  
32  
33 mechanism to generate and clarify ideas or thoughts (Wu 1996, Pandya 1995).  
34  
35 Given the characteristics of VSM described previously, in addition to being  
36  
37 considered as a tool, VSM could also be classified as a method or technique, as  
38  
39 the application phases and guidelines establish a clear set of rules to be  
40  
41 followed to improve production systems.  
42  
43  
44  
45  
46  
47  
48

49 The list below presents groups of methodologies, methods and tools that are  
50  
51 potentially applicable to the redesign of manufacturing systems, based on a  
52  
53 review of the literature.  
54  
55

- 56 • Flow diagram charts
- 57
- 58 • Structured systems
- 59
- 60

- Architectural systems
- Modelling and simulation software

### **1.2.1.- Flow diagram charts**

The various modalities of flow diagram charts are a well-known set of tools to model any of the three subsystems mentioned. Among the diverse range of modalities one can find process activity mapping, which provides, among other tools, a series of mapping tools geared to supply chain management analysis (Hines et al. 1997, Hines et al. 1999, Jones et al. 1997). The business process reengineering (BPR) movement supported these kinds of tools for two reasons: first, they are based on the measurement and analysis of key point indicators (Hammer 1990, Davenport 1993); and second, various possible standardised languages make them practical and useful (Baudin 2002, Aguilar-Savén 2004). Although these tools can be used via specialised software on a quantitative or even dynamic level, their practical usage is focused on the qualitative and statistical analysis of processes (Oyarbide, 2003).

### **1.2.2.- Structured Systems**

There is a set of methodologies that could be grouped under structured systems and that use adapted flow diagram charts as one of their tools (Wu 1996), (Pandya 1995). The three best known are:

- IDEF0 (Icam DEFinition Zero) (Roboam 1983)
- SADT (Structured Analysis and Design Technique) (Marca et al. 1988)
- SSADM (Structure System Analysis and Design Method) (Ashworth 1988, Downs et al. 1988).

1  
2  
3 These three methodologies perform a functional structural analysis to describe  
4 in a hierarchical way the activities of a system. Knowledge of the relationships  
5 among the different elements of a system improves the three subsystems.  
6 Nevertheless, they are mainly qualitative methods with superficial mathematical  
7 analyses that overlook the quantitative data of the production system (Baines et  
8 al. 1998, Wu 1996).

9  
10 Among the most obvious differences between the three, it could be said that  
11 IDEF0 resembles a collection of tools more than a structured methodology (Wu  
12 1996, Aguilar-Savén 2004), SADT has a simpler language that is related to  
13 BPR (Baines et al. 1996) and SSADM is the most detailed method adapted to  
14 manufacturing systems (Downs et al. 1998).

15  
16 For his part, Baines (1998) shows that both IDEF0 and SADT are models that  
17 require relatively little time to construct, although the precision obtained in a real  
18 system will also be quite low.

### 19 20 **1.2.3.- Architectural systems**

21  
22 An enterprise architecture is a model or a framework used to represent a  
23 company. This framework can be used, through planning and analysis of the  
24 company, to assist in selecting hardware and software products for use at  
25 different phases of the enterprise, to design organisational “reporting  
26 structures”, and to study the flow of materials and information throughout the  
27 company. Without an enterprise architectural model, executives, managers, and  
28 technologists in a company are, by default, making decisions based on their  
29 personal models of the company. Typically, these are limited to small parts of  
30 the company, and only to one or two life phases. Furthermore, even these

1  
2  
3 limited models are not effectively shared with the rest of the organisation  
4  
5 (Bernus et al. 1996).  
6

7  
8 Three of the existing methodologies are considered as GERAMs (Generalized  
9 Enterprise Reference Models) developed by the IFAC/IFIP Task Force on  
10 Architectures for Enterprise Integration. As such, they extend more limited,  
11 specific enterprise models to a generalised model which can be applied to all  
12 industries and life phases. Without such an overall model of the company,  
13 interfaces among software tools, databases, work processes, etc, used in  
14 different parts and phases of the company are difficult or impossible to integrate  
15  
16 (Bernus et al. 1996). These three models are known as:  
17  
18

- 19 • GRAI (Graphes à Resultats et Activités Interreliés) (Dougmeints et al.  
20 1983)
- 21 • CIMOSA (Open System Architecture for CIM) (Kosanke et al. 1999)
- 22 • PERA (Purdue Enterprise Reference Architecture) (Williams 1998)

#### 23 **1.2.4.- Modelling and Simulation software**

24  
25 Material and information flow modelling and simulation software programmes  
26 are also interesting tools for redesigning manufacturing systems (Wu 1996).  
27 Different software packages in this area can be divided into two groups: discrete  
28 event simulation and dynamic systems software. Although the first of the two  
29 can be used to provide more precise models, dynamic systems software  
30 requires less effort (Baines et al. 1998). In spite of their dynamic character, level  
31 of accuracy and quantitative nature, as well their having a focus similar to the  
32 VSM framework, acquiring the required software, providing training and  
33 investing the amount of time necessary could be important reasons why the  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 software is not so useful in many companies (Baines et al. 1998, Oyarbide  
4  
5  
6 2003, Aguilar-Savén 2004).

7  
8  
9 Table 1 summarises the characteristics and field of application for each model  
10  
11 described in this section. The characterisation has been conducted based on  
12  
13 those properties considered best suited to each system. In this sense, it is worth  
14  
15 making clear that each property has been identified using a generic and a  
16  
17 global approach.  
18

19  
20  
21 [Insert Table 1]

22  
23  
24 The differences most worth mentioning between each model and VSM are  
25  
26 presented below.  
27

28  
29 First, the various flow diagram chart modes are too generic and, compared to  
30  
31 VSM, not very well adapted to manufacturing system modelling.  
32

33  
34 As for structured systems and architectural models, it can be said that aside  
35  
36 from their primarily qualitative character, they are mainly aimed at creating and  
37  
38 implementing an integrated Information system on a company level, with a  
39  
40 defined and/or rationalised structure or architecture based on BPR criteria  
41  
42 (Aguilar-Savén 2004, Stanescu et al. 2002). In fact, profiles of the most  
43  
44 important authors and teachers of such models reflect their information  
45  
46 technology and information systems training and experience. VSM, however, is  
47  
48 a technique that is much more focussed on flow adjustment analysis and  
49  
50 development on a production process level than on a global company level.  
51  
52 Although architectural systems can provide process analysis with a similar level  
53  
54 of detail, based on a top-down deployment, their focus is not as geared towards  
55  
56 production engineering.  
57  
58  
59  
60

1  
2  
3 With respect to modelling and simulation software, these tools are closest to the  
4  
5 VSM field of application. Also, in order to highlight their level of affinity, during  
6  
7 the past few years dynamic simulation software has been developed based on  
8  
9 VSM language (for example, e-VSM and lean-modeller), as have real  
10  
11 applications in which VSM maps have been complemented with computer  
12  
13 simulations (Yang Hua et al. 2005, Gregory 2003). However, VSM usage is  
14  
15 more focussed on generic analysis and improvement rather than the level of  
16  
17 precision that can potentially be provided by simulators.  
18  
19  
20  
21

22  
23 It can be concluded, therefore, that despite the possibility of using the different  
24  
25 models cited to redesign production systems to a greater or lesser degree, their  
26  
27 focus is distinct from the aims and methods of VSM.  
28  
29

## 30 **2. RESEARCH AIMS**

31  
32  
33 On a theoretical and academic level, VSM has been presented as an original  
34  
35 and practical method to design and create efficient and flexible production  
36  
37 environments. However, with respect to the real world practical application of  
38  
39 the technique, different practices have been developed and reported since its  
40  
41 creation, and every report has pointed out the strengths of the tool in contexts  
42  
43 dealing with very specific and unique cases (Huang et al. 2005, Singh et al.  
44  
45 2006, Seth et al. 2005, Gregory 2004, Voelkel et al. 2003, Jacobs 2003,  
46  
47 Sullivan et al 2002, Arbulu et al. 2003, Mackle 2003, James 2006).  
48  
49  
50  
51 Nevertheless, there are no crossed or scientific analyses that explore in depth  
52  
53 the true applicability and potentiality of the VSM in different discrete part  
54  
55 manufacturing systems. In this sense, Pavnaskar et al. (2003) called for  
56  
57 practical VSM applications to be developed to help establish the technique in  
58  
59 the scientific sector.  
60

1  
2  
3 Therefore, the main purpose of the present research consists in exploring and  
4 determining the VSM technique's real applicability for disconnected flow line  
5 environments.  
6  
7  
8  
9

10 Applicability through application has been used to validate the characteristics  
11 highlighted by the theory (Schippers 2000) and to research attempts to provide  
12 solutions to the following problems through an analysis of real world  
13 applications.  
14  
15  
16  
17  
18  
19

20 First, regarding model efficiency, does the VSM application process help  
21 production systems comply with project objectives?  
22  
23

24 Second, which resources are required to VSM application process? the  
25 following aspects shall be measured: times and terms required by team  
26 members in charge of implementation.  
27  
28  
29  
30

31 Finally, do real world applications reflect the theoretical potential of VSM? The  
32 aim is to evaluate both the real world usage of lean concepts provided by VSM  
33 and the possibility of integrating concepts and tools not necessarily developed  
34 within the lean movement.  
35  
36  
37  
38  
39  
40

41 From the research, we draw conclusions and make recommendations for  
42 practitioners to facilitate VSM's practical use and we identify the main  
43 theoretical points that must be refined and reinforced to convert it into a  
44 technique of reference for manufacturing system redesign.  
45  
46  
47  
48  
49  
50

### 51 **3. RESEARCH METHODOLOGY**

52  
53 In order to find the solutions, a research methodology based on the multiple  
54 case study strategy was adopted (Eisenhardt 1989, Yin 1993, 1994).  
55  
56  
57  
58  
59  
60



1  
2  
3 We consider this methodology because it is the best way to have high validity  
4 with practitioners – the ultimate user of research – and also fits well with the  
5 refinement theory objective. Voss (2002) emphasises the importance of  
6 conducting and publishing case research because, not only is it good at  
7 investigating how and why questions, it is particularly suitable for developing  
8 new theories and ideas and can also be used for theory testing and refinement  
9 (McCutcheon et al. 1993, Meredith 1998, Snow et al. 1994). Many of the  
10 breakthrough concepts and theories in operations management, from lean  
11 production to manufacturing strategy, have been developed through field case  
12 research. Finally, case research enriches not only theory, but also the  
13 researchers themselves (Voss et al. 2002).

14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
Another argument supporting the adoption of case study methodologies is put  
forth by authors such as Kitchenham et al. (1995) and Schippers (2000), who  
support them in research whose main aim is the evaluation of the applicability of  
methods and tools geared to the improvement of company performance, an  
area under which this research could be classified. Likewise, it is worth pointing  
out that a large amount of VSM analysis research using specific examples has  
employed case studies (Sullivan et al. 2002, Seth et al. 2005, Singh et al.  
2006).

As is common in case research methodology, the entire process would be  
monitored and controlled by researchers who combined different ways to collect  
the data of the process. This multi-method approach helps to obtain the  
triangulation necessary to guarantee the credibility of the research (Denzin  
1998, Robson 2002, Voss et al. 2002, Yin 1994). In this sense, observations

1  
2  
3 and interviews are considered ideal methods for different phases of the  
4  
5 research.  
6  
7

8  
9 Observation is understood to mean the recording of an event exactly as it  
10  
11 occurs; it is a direct data collection method that provides very specific  
12  
13 understanding and perspectives which are not attainable using other methods  
14  
15 (Snow et al. 1994). Interviews, on the other hand, enable the main actors in the  
16  
17 process to participate in information generation, as research requires attitude,  
18  
19 perception, motivation, knowledge and/or behavioural data, for which responses  
20  
21 from personnel are therefore ideal (Snow et al. 1994, Voss et al. 2002).  
22  
23

24  
25 Among the different types, this research has opted for semi-structured  
26  
27 interviews, using flexible questionnaires to better record different interviewee  
28  
29 viewpoints and to open the field of study to new perspectives. However, this  
30  
31 does not mean that the format and method used in the questionnaire are not  
32  
33 properly structured or organised to ensure consistency and avoid deviations  
34  
35 (Yin 1994, Collins et al. 1997, Bourne et al. 2002).  
36  
37

38  
39 Regarding the method adopted for data analysis, Eisenhardt (1989) and Voss et  
40  
41 al. (2002) advise that this should be conducted in two stages: first, an internal  
42  
43 case analysis (seeking triangulation between the different data collection  
44  
45 methods adopted) and, second, a crossed analysis of all cases, as it is  
46  
47 necessary to know each case before generalising and comparing cases.  
48  
49

50  
51 The research programme established involves three main stages: (1) selection  
52  
53 of ideal cases; (2) VSM application; and (3) process evaluation. Table 2  
54  
55 illustrates the sub-stages and how adopted data acquisition methods fit in.  
56  
57

58  
59 [Insert Table 2]  
60

1  
2  
3 As for temporal planning, the research took place over 12 months, between  
4 February 2004 and February 2005. The initial key company selection stage  
5  
6  
7 lasted for the first three months; the application of the four initial VSM stages  
8  
9  
10 ran through May, June and July; and finally, the suggested project impact was  
11  
12  
13 evaluated, and VSM was implemented during the months of January and  
14  
15 February 2005. What follows is a more detailed description of the process  
16  
17 followed for each stage.

#### 20 **4. RESEARCH DEVELOPMENT**

21  
22  
23 The research team's first step was associated with finding the number of  
24  
25 companies interested in applying VSM (see Table 2). These companies had to  
26  
27 meet three main requirements.

28  
29  
30 First of all, the company should be suffering from a production and operations  
31  
32 management-related problem in order to make a manufacturing system  
33  
34 redesign process attractive. Second, the company should correspond with a  
35  
36 disconnected flowline-based manufacturing system (repetitive, mixed, job  
37  
38 shop), (Hayes et al. 1979, White et al. 2001). Finally, the chosen companies  
39  
40 should have enough diversity among their manufacturing activities to allow  
41  
42 generalisation of the main conclusions to the selected systems' framework  
43  
44  
45 (Miles et al. 1984).

46  
47  
48 The validation of these features among the twelve companies which were  
49  
50 interested and fulfilled the initial requirements, along with determining the  
51  
52 appropriate number of cases to allow in the research project (Eisendardt 1989),  
53  
54  
55 concluded with the selection of the six definitive cases by March 2004. This  
56  
57 validation was undertaken through personal interviews with each company's  
58  
59  
60

1  
2  
3 project applicant and by visits to the facilities to learn more about the main  
4  
5 logistical problems.  
6  
7

8  
9 Once the companies were chosen, a special team was created in each of them  
10  
11 with specified individuals to manage the VSM process (Rother 1998, Tapping et  
12  
13 al. 2002a):  
14

- 15  
16 - The Value Stream Manager would be responsible for the product family  
17  
18 where the VSM process is carried out. This person should report the  
19  
20 evolution of the process to the general management of the company.  
21  
22
- 23  
24 - The Facilitator would be the person who knew the production process  
25  
26 best. The person in this role would be responsible for providing the  
27  
28 required data and information.  
29  
30
- 31  
32 - The Coordinator would collect the required data, manage the  
33  
34 documentary files and act as a secretary in meetings.  
35  
36
- 37  
38 - Finally, the Lean Specialist would be the role of the principal researcher.  
39  
40 The main function would be to guide the team in technical lean  
41  
42 manufacturing aspects and to provide tool training. Nonetheless, this  
43  
44 person should not interfere in the team's decisions, as suggested by the  
45  
46 literature on case studies (Yin 1994).  
47  
48

49  
50 Team selection was carried out through a special evaluation to assure that  
51  
52 every member had the required capabilities to start the VSM process. After  
53  
54 each team was created, additional special educational training about lean  
55  
56 manufacturing concepts and VSM was provided for its members in various  
57  
58 special workshops.  
59  
60

1  
2  
3 Table 3 shows the diversity of the different companies involved in the final  
4 selection as well as the project applicant's and the selected teams' positions. As  
5 the reader probably already realizes, the activities, project descriptions, number  
6 of workers, product lines, main manufacturing processes, product-process and  
7 lay-out configurations, ways to respond to the market and initial lean level are  
8 quite different among them. The researchers determined this initial lean level  
9 based on the conclusions derived from the first semi-structured interview and a  
10 visit to each facility. In short, each company had to recognise and describe their  
11 previous experience in projects of this kind: changeover time reduction  
12 experiences; pull system implementation; JIT supplying; product-focused layout  
13 reconfiguration; production leverage; work methods and efficiency  
14 improvements.

15  
16  
17  
18  
19  
20  
21  
22 [Insert Table 3]  
23  
24

25 Likewise, as can be seen in Table 3, the member profile of each team reflects,  
26 above all, individuals experienced with production and process engineering.  
27 Thus, the position of value stream manager may seem more like management  
28 and administration, and the coordinator's profile resembles a profile for a  
29 process technician, while the facilitator is a figure in a more ambiguous position:  
30 in each individual case he/she may respond, to a greater or lesser degree, from  
31 either a management or process perspective.

32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65  
66  
67  
68  
69  
70  
71  
72  
73  
74  
75  
76  
77  
78  
79  
80  
81  
82  
83  
84  
85  
86  
87  
88  
89  
90  
91  
92  
93  
94  
95  
96  
97  
98  
99  
100  
101  
102  
103  
104  
105  
106  
107  
108  
109  
110  
111  
112  
113  
114  
115  
116  
117  
118  
119  
120  
121  
122  
123  
124  
125  
126  
127  
128  
129  
130  
131  
132  
133  
134  
135  
136  
137  
138  
139  
140  
141  
142  
143  
144  
145  
146  
147  
148  
149  
150  
151  
152  
153  
154  
155  
156  
157  
158  
159  
160  
161  
162  
163  
164  
165  
166  
167  
168  
169  
170  
171  
172  
173  
174  
175  
176  
177  
178  
179  
180  
181  
182  
183  
184  
185  
186  
187  
188  
189  
190  
191  
192  
193  
194  
195  
196  
197  
198  
199  
200  
201  
202  
203  
204  
205  
206  
207  
208  
209  
210  
211  
212  
213  
214  
215  
216  
217  
218  
219  
220  
221  
222  
223  
224  
225  
226  
227  
228  
229  
230  
231  
232  
233  
234  
235  
236  
237  
238  
239  
240  
241  
242  
243  
244  
245  
246  
247  
248  
249  
250  
251  
252  
253  
254  
255  
256  
257  
258  
259  
260  
261  
262  
263  
264  
265  
266  
267  
268  
269  
270  
271  
272  
273  
274  
275  
276  
277  
278  
279  
280  
281  
282  
283  
284  
285  
286  
287  
288  
289  
290  
291  
292  
293  
294  
295  
296  
297  
298  
299  
300  
301  
302  
303  
304  
305  
306  
307  
308  
309  
310  
311  
312  
313  
314  
315  
316  
317  
318  
319  
320  
321  
322  
323  
324  
325  
326  
327  
328  
329  
330  
331  
332  
333  
334  
335  
336  
337  
338  
339  
340  
341  
342  
343  
344  
345  
346  
347  
348  
349  
350  
351  
352  
353  
354  
355  
356  
357  
358  
359  
360  
361  
362  
363  
364  
365  
366  
367  
368  
369  
370  
371  
372  
373  
374  
375  
376  
377  
378  
379  
380  
381  
382  
383  
384  
385  
386  
387  
388  
389  
390  
391  
392  
393  
394  
395  
396  
397  
398  
399  
400  
401  
402  
403  
404  
405  
406  
407  
408  
409  
410  
411  
412  
413  
414  
415  
416  
417  
418  
419  
420  
421  
422  
423  
424  
425  
426  
427  
428  
429  
430  
431  
432  
433  
434  
435  
436  
437  
438  
439  
440  
441  
442  
443  
444  
445  
446  
447  
448  
449  
450  
451  
452  
453  
454  
455  
456  
457  
458  
459  
460  
461  
462  
463  
464  
465  
466  
467  
468  
469  
470  
471  
472  
473  
474  
475  
476  
477  
478  
479  
480  
481  
482  
483  
484  
485  
486  
487  
488  
489  
490  
491  
492  
493  
494  
495  
496  
497  
498  
499  
500  
501  
502  
503  
504  
505  
506  
507  
508  
509  
510  
511  
512  
513  
514  
515  
516  
517  
518  
519  
520  
521  
522  
523  
524  
525  
526  
527  
528  
529  
530  
531  
532  
533  
534  
535  
536  
537  
538  
539  
540  
541  
542  
543  
544  
545  
546  
547  
548  
549  
550  
551  
552  
553  
554  
555  
556  
557  
558  
559  
560  
561  
562  
563  
564  
565  
566  
567  
568  
569  
570  
571  
572  
573  
574  
575  
576  
577  
578  
579  
580  
581  
582  
583  
584  
585  
586  
587  
588  
589  
590  
591  
592  
593  
594  
595  
596  
597  
598  
599  
600  
601  
602  
603  
604  
605  
606  
607  
608  
609  
610  
611  
612  
613  
614  
615  
616  
617  
618  
619  
620  
621  
622  
623  
624  
625  
626  
627  
628  
629  
630  
631  
632  
633  
634  
635  
636  
637  
638  
639  
640  
641  
642  
643  
644  
645  
646  
647  
648  
649  
650  
651  
652  
653  
654  
655  
656  
657  
658  
659  
660  
661  
662  
663  
664  
665  
666  
667  
668  
669  
670  
671  
672  
673  
674  
675  
676  
677  
678  
679  
680  
681  
682  
683  
684  
685  
686  
687  
688  
689  
690  
691  
692  
693  
694  
695  
696  
697  
698  
699  
700  
701  
702  
703  
704  
705  
706  
707  
708  
709  
710  
711  
712  
713  
714  
715  
716  
717  
718  
719  
720  
721  
722  
723  
724  
725  
726  
727  
728  
729  
730  
731  
732  
733  
734  
735  
736  
737  
738  
739  
740  
741  
742  
743  
744  
745  
746  
747  
748  
749  
750  
751  
752  
753  
754  
755  
756  
757  
758  
759  
760  
761  
762  
763  
764  
765  
766  
767  
768  
769  
770  
771  
772  
773  
774  
775  
776  
777  
778  
779  
780  
781  
782  
783  
784  
785  
786  
787  
788  
789  
790  
791  
792  
793  
794  
795  
796  
797  
798  
799  
800  
801  
802  
803  
804  
805  
806  
807  
808  
809  
810  
811  
812  
813  
814  
815  
816  
817  
818  
819  
820  
821  
822  
823  
824  
825  
826  
827  
828  
829  
830  
831  
832  
833  
834  
835  
836  
837  
838  
839  
840  
841  
842  
843  
844  
845  
846  
847  
848  
849  
850  
851  
852  
853  
854  
855  
856  
857  
858  
859  
860  
861  
862  
863  
864  
865  
866  
867  
868  
869  
870  
871  
872  
873  
874  
875  
876  
877  
878  
879  
880  
881  
882  
883  
884  
885  
886  
887  
888  
889  
890  
891  
892  
893  
894  
895  
896  
897  
898  
899  
900  
901  
902  
903  
904  
905  
906  
907  
908  
909  
910  
911  
912  
913  
914  
915  
916  
917  
918  
919  
920  
921  
922  
923  
924  
925  
926  
927  
928  
929  
930  
931  
932  
933  
934  
935  
936  
937  
938  
939  
940  
941  
942  
943  
944  
945  
946  
947  
948  
949  
950  
951  
952  
953  
954  
955  
956  
957  
958  
959  
960  
961  
962  
963  
964  
965  
966  
967  
968  
969  
970  
971  
972  
973  
974  
975  
976  
977  
978  
979  
980  
981  
982  
983  
984  
985  
986  
987  
988  
989  
990  
991  
992  
993  
994  
995  
996  
997  
998  
999  
1000

Once the companies and the team had been selected and trained, the  
aforementioned five major steps were carried out. Each team member was  
assigned a number of hours to develop the first four stages. These hours were  
defined on the basis of the suggestion, contained in the modest amount of  
literature written on this topic, that it takes a few days to complete the first four

1  
2  
3 steps of the process (Keyte 2002, Womack 2001). Each of the members was  
4  
5 assigned 24 hours for the value stream manager, the facilitator and the lean  
6  
7 specialist, and 68 hours for the coordinator.  
8  
9

10  
11 Anticipating that the toughest step would be collecting the production data, the  
12  
13 coordinator would have more hours to develop tasks. In addition, three months  
14  
15 of lead-time was established to work on the first four stages of the whole  
16  
17 process. The assigned time would be integrated into the three month period as  
18  
19 each team considered it appropriate to do so. Last of all, once the working plan  
20  
21 was defined, its evolution would be evaluated by the research team in six  
22  
23 months.  
24  
25  
26

## 27 28 **5. SUMMARY OF RESULTS**

29  
30  
31 This section summarises the results obtained from the research. Following the  
32  
33 analysis, both internal for each case and crossed for all cases, results were  
34  
35 extracted, structured and presented according to the main issues identified at  
36  
37 the start of the research (see Section 2 Research Aims).  
38  
39

### 40 41 **5.1. Technique efficiency**

42  
43  
44 First, an attempt shall be made to respond to the question of attaining  
45  
46 objectives defined in the future state map. Table 4 synthesizes the indicators  
47  
48 attained 6 months after the implementation of the plan.  
49  
50

51  
52 [Insert Table 4]

53  
54  
55 As can be seen, 4 of the 6 companies complied with the proposed objective,  
56  
57 company B nearly achieved 100% of it and company F did not meet its  
58  
59 obligations at all. Therefore, it can be concluded that the VSM process has  
60  
served as a guide and has met the established objectives quite satisfactorily, a

1  
2  
3 view also supported by the final satisfaction evaluation interviews held with  
4 teams. Different companies, when asked to classify 4 points of VSM on a scale  
5 of 1 to 5, produced a range of scores between 3 and 5, demonstrating that VSM  
6 can be considered a satisfactory technique for redesigning production systems.  
7  
8 Among the strengths of VSM highlighted by teams, the following two stand out:  
9 its validity as a consensual basis for future improvements and the corpus that  
10 acquire lean techniques.  
11  
12

13  
14  
15 Another point worth highlighting from both Table 4 and process evaluation is the  
16 lack of correlation between success and failure of the experience and the  
17 characteristics of production systems and the issue under analysis.  
18  
19

20  
21  
22 Regarding the relationship between companies' initial lean levels and the  
23 results achieved, companies that were initially better prepared were also those  
24 that, via VSM analysis, were able to detect interesting points for improvement  
25 among lean concepts and tools not sufficiently well known, such as the  
26 introduction of heijunka replenishment systems. In fact, in their appraisals,  
27 those companies have identified that as a strength.  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41

## 42 **5.2. Required resources**

43  
44  
45 As explained previously, the few references about the duration of the first four  
46 stages of the process (from the selection of the product family to the definition  
47 of the working plan) indicate that the process could be completed in a few days.  
48  
49  
50  
51  
52 Nonetheless, the study has shown that the time dedicated by each member of  
53 the teams to various meetings and development tasks has been significantly  
54 higher, as has the lead time of the whole process.  
55  
56  
57  
58

59  
60 [Insert Table 5]

1  
2  
3 Table 5 presents a brief report on the metrics associated with the effort required  
4 by the team. Although the range is too wide to conclude a valid mean value in  
5  
6 each indicator, it is possible to draw conclusions about some qualitative  
7  
8 aspects: (1) the coordinator was the bottleneck resource of the team and the  
9  
10 time dedicated was related mainly to data collection and treatment processes;  
11  
12 (2) the number of meetings was too high to share the information collected and  
13  
14 to develop the future planned actions; and (3) the lead-time was shorter than  
15  
16 the three months initially anticipated, but longer than one month.  
17  
18  
19  
20  
21

22  
23 The last evaluation interview also demonstrated that the hardest step in four of  
24  
25 the six companies was data collection and treatment in relation with current  
26  
27 state mapping. The other two companies showed the greatest effort in the  
28  
29 future state mapping stage, related to the time required to discuss and approve  
30  
31 the future situation.  
32  
33

34  
35 There were several aspects that facilitated the application process of the four  
36  
37 VSM phases. First, companies which had IT technologies such as ERP-s, with  
38  
39 centralised production data, had an easier time collecting data for the initial map  
40  
41 as they were able to make reliable comparisons with data from the plant. On the  
42  
43 other hand, the use of electronic spreadsheets also facilitated the task of  
44  
45 processing the large amounts of production data collected. These processing  
46  
47 tasks mainly involved adding individual reference route data for each product  
48  
49 family.  
50  
51  
52

53  
54 In addition, it was felt that there were two aspects that could have proven  
55  
56 problematic, but in fact, were not an issue in terms of workload.  
57  
58  
59  
60



1  
2  
3 The first is choice of product family. Despite theoretical expectations that an  
4 identification method or algorithm would have to be employed (Duggan 2002,  
5 Hyer et al. 2002), practice proved that the choice was clearly made by the team,  
6 either because the product family was already sufficiently defined or due to  
7 logical criteria related to typologies or the functionality of each product in the  
8 catalogue.  
9

10  
11  
12  
13  
14  
15  
16  
17  
18 The second issue concerns prioritisations of projects in the future map. In  
19 practical cases there were not any long debates about selection. Three out of  
20 six companies prioritised improvements involving greater application simplicity  
21 and less associated cost. Two companies selected projects involving a change  
22 of layout because of a need to tackle this kind of wastage during the first stage.  
23  
24  
25  
26  
27  
28  
29  
30 The sixth company chose a project based on urgency.  
31

### 32 **5.3. Gap between theory and practice**

33  
34  
35 This point attempts to synthesize the results obtained for the gap that exists  
36 between theory and practice regarding the real world usage, both of concepts  
37 and tools arising from VSM and other production approaches. Table 6 contains  
38 results for studies related to the use of lean concepts based on the application  
39 of VSM criteria.  
40  
41  
42  
43  
44  
45  
46  
47

48 [Insert Table 6]  
49

50  
51 As can be observed, not all concepts or activity guides provided by VSM theory  
52 are required and their employment is not expected in each practical case; in  
53 fact, on average, only 50% of the concepts provided are used. Therefore, 5 of  
54 the 6 cases also consider the use of aspects more closely related to the theory  
55  
56  
57  
58  
59  
60

1  
2  
3 of constraints (TOC) (Goldratt et al. 1986, Shams-ur 1998, Watson et al. 2006),  
4  
5 specifically the DBR (Drum, Buffer, Rope) scheduling system.  
6  
7

8  
9 Responses from a final, definitive interview with each team were intended to  
10 provide deeper insight into the reasons why they opted for the final state map.  
11  
12 In summary, despite not having used all of the lean concepts available,  
13  
14 companies generally considered that the future state map represented a  
15  
16 medium-high qualitative jump from their initial position.  
17  
18

19  
20  
21 When asked for the main reason for a lack of greater ambition in the future state  
22  
23 map, responses were varied, but it was understood that the majority of  
24  
25 companies considered the implementation of lean production flows to be too  
26  
27 demanding in relation to effort and internal company resources. In addition, it  
28  
29 was felt that many lean concepts were not adopted due to the lack of a real  
30  
31 perceived benefit to pay back the aforementioned implementation effort.  
32  
33

34  
35  
36 So, the majority of companies chose to integrate concepts that provided  
37  
38 solutions which were easier to apply, could be done in less time and required  
39  
40 less effort, such as TOC, which corroborates the results obtained from  
41  
42 observations.  
43  
44

45  
46 To complete the results, there are two aspects worth highlighting that are not  
47  
48 sufficiently reflected in Table 6. First, there seems to be a degree of confusion  
49  
50 regarding pacemaker positioning. In fact, all companies that have adopted this  
51  
52 point have made it coincide with the bottleneck. In reality, it is possible for the  
53  
54 pacemaker and bottleneck to coincide - there are even references in the  
55  
56 literature about cases where this occurs (Tinham 2003, Tomlinson 2002) - but  
57  
58 they do not have to (Rother 2004).  
59  
60

1  
2  
3 Second, the establishment of continuous flows and FIFO lanes, involving the  
4 assignment of resources to specific references, has meant difficult decisions  
5  
6 have had to be taken, in which, in addition to load capacity, diverse factors have  
7  
8 had to be taken into account.  
9  
10

## 11 12 13 **6. CONCLUSIONS** 14

15  
16  
17  
18 The results obtained from the research indicate that VSM is a useful, efficient  
19 and applicable tool for tackling the redesign of production systems based on  
20 disconnected flow lines. This is apparent from redesign results and in the  
21 satisfaction expressed by implementation teams.  
22  
23  
24

25  
26  
27  
28 The best way of summing up the conclusions is to organise them into two  
29 groups. First, we will present the main aspects that practitioners must know,  
30 and the literature does not comment on well enough, before starting to put into  
31 practice VSM. Second, some VSM theory refinement advice is provided to  
32 complete, enrich and convert the technique into one of the most important  
33 references for manufacturing system redesign.  
34  
35  
36  
37  
38  
39  
40  
41

### 42 43 **6.1. Advice for practitioners** 44

45  
46 Despite the wide range of times dedicated by the various teams, the obtained  
47 mean values in the project (see results in Table 5) could be used as an initial  
48 reference to plan the duration of the project.  
49  
50

51  
52  
53 In summary, the greatest load falls on the figure of the coordinator who has a  
54 maximum commitment of 142 hours in the worst-case scenario. One should  
55 expect between 4 and 12 meetings and count on a lead-time of around 4 to 10  
56 weeks maximum duration.  
57  
58  
59  
60

1  
2  
3 The practitioners should also dedicate more time to the current state map  
4 development phase, which can be speeded up using IT during the data  
5 contrasting process in the ERP and whose processing can be shortened using  
6 electronic spreadsheets.  
7  
8  
9  
10  
11

12  
13 On the other hand, it is interesting to note that the first phase – product family  
14 selection, the fourth improvement project deployment – did not involve a lot of  
15 time dedicated to decision-making, being conscious of the fact that in certain  
16 cases these points may be conflictive.  
17  
18  
19  
20  
21

22  
23 From experience we concluded that, apart from the correct management of the  
24 application process, achieving the initially defined time deadline required work  
25 on two key issues: (1) the work performance grade of the team; and (2)  
26 attention to the team's training process on lean and production system design  
27 concepts. Both of them are obviously related.  
28  
29  
30  
31  
32  
33

## 34 35 **6.2. Theory refinement needs** 36 37

38 The most important conclusion that can be drawn is that there is a large gap  
39 between the theory as proposed in the VSM literature and the level of usage in  
40 real world applications. Research has demonstrated that an important key to  
41 understanding this phenomenon is the perceived implementation complexity  
42 and difficulties in appreciating benefits.  
43  
44  
45  
46  
47  
48  
49

50 In this sense, numerous companies have adopted intermediate solutions that  
51 are less ambitious. Therefore, an important area for improvement with regard to  
52 enrichment of the theory and of the guidelines provided, would be the inclusion  
53 of possible intermediate channels, such as the integration of TOC-DBR  
54 guidelines, which has been widely called for in different cases.  
55  
56  
57  
58  
59  
60

1  
2  
3 Another point that is related to the previous point but that requires theoretical  
4 reinforcement is the need to promote certain concepts that are underemployed.  
5  
6  
7 For example, heijunka systems are related to internalisation of the production  
8 rhythm based on internal supply, and while not particularly well known, study of  
9 them and training for them have proven to be of interest, particularly for  
10 companies with a greater involvement in lean production.  
11  
12  
13  
14  
15  
16

17  
18 On the other hand, there is a particularly confusing aspect of theory application  
19 that must be clarified, i.e. the relationship between the bottleneck and the  
20 pacemaker process. In most cases the bottleneck responds to a fixed resource,  
21 but it would be interesting to develop a tool that could facilitate ideal pacemaker  
22 placement and incorporate bottleneck status and the typology of the production  
23 system.  
24  
25  
26  
27  
28  
29  
30  
31

32  
33 Finally, another important future development to enhance VSM would be a tool  
34 which, based on a load-capacity analysis and demand forecasts, is able to  
35 evaluate the suitability of assigning references to specific posts in order to  
36 obtain continuous flows and connections via FIFO lines.  
37  
38  
39  
40  
41

42  
43 Therefore, the contribution of this article is an analysis of the three aspects  
44 related to the results: technique efficiency, resources required for application  
45 and the gap between theory and practice. Results have guided the definition  
46 both of key points, so that practitioners can perform the application process with  
47 greater efficiency, and of theoretical aspects to reinforce the conversion of VSM  
48 into a reference in production system redesign.  
49  
50  
51  
52  
53  
54  
55

## 56 57 **ACKNOWLEDGMENTS** 58 59 60

1  
2  
3 The research team would like to thank the following participating companies for  
4 their collaboration: Astigarraga Kit Line, Hobest, Maier, GSB Forja, UEB and  
5  
6  
7  
8 Geysler-Gastech.  
9

## 10 REFERENCES

11  
12  
13  
14 Aguilar-Savén, R.S. (2004) "Business process modelling: Review and  
15  
16 framework". International Journal of Production Economics, Vol. 90, No 2, pp,  
17  
18 129-149.  
19

20  
21  
22 Arbulu, R.J., Tommelein, I.D., Walsh, K.D., Hershauer, J.C., (2003), Building  
23  
24 Research and Information. Vol. 31, No. 2, pp. 161-171.  
25

26  
27 Ashworth, C.M. (1988) "Structured Systems Analysis and Design Method  
28  
29 (SSADM)". Information and Software Technology, Vol. 30, No. 3.  
30

31  
32 Baines T.S., Harrison D.K. , Kay J.M. and Hamblin, D.J. (1998) "A consideration  
33  
34 of modelling techniques that can be used to evaluate manufacturing strategies",  
35  
36 The International Journal of Advanced Manufacturing Technology", Vol. 14. pp.  
37  
38 369-375.  
39

40  
41  
42 Baker, P., (2003) "We're all in this together", Works Management, No. 30, pp.  
43  
44 30-33.  
45

46  
47 Baudin, M. (2002), "Lean Assembly. The Nuts and Bolts of making assembly  
48  
49 operations flow", Productivity Press, New York, USA.  
50

51  
52  
53 Bernus, P., Nemes, L. and Williams, T.J., (1996), "Architectures for Enterprise  
54  
55 Integration", Chapman and Hall, London U.K.  
56

57  
58 Bourne, M., Neely, A., Platts, K., Mills, J. (2002) "The success and failure of  
59  
60 performance measurement initiatives: Perceptions of participating managers",

1  
2  
3 International Journal of Operations and Production Management. Vol. 22, No.  
4  
5 11, pp. 1288-1310.  
6

7  
8 Checkland, P. (1981), "Systems thinking, systems practice" Wiley, New Jersey,  
9  
10 USA.  
11

12  
13 Collins, R.S. and Cordon, C., (1997), "Survey methodologies issues in  
14  
15 manufacturing strategy and practice research", International Journal of  
16  
17 Operations and Production Management. Vol. 22, pp. 230-240.  
18

19  
20 Cooney, R. (2002), "Is Lean a universal production system? Batch production in  
21  
22 the automotive industry", International Journal of Operations and Production  
23  
24 Management, Vol. 22, No. 10, pp. 1130-1147.  
25

26  
27 Davenport, T.H., (1993). "Process Innovation: Reengineering work through  
28  
29 Information Technology". Harvard Business School Press, Boston,  
30  
31 Massachusetts, USA.  
32

33  
34 De Toni, A. and Tonchia, S. (2002), "New production models: a strategic view",  
35  
36 International Journal of Production Research, Vol. 40, No. 18, pp. 4721-4741.  
37

38  
39 Denzin, N.K. (1998). "The research act: a theoretical introduction to sociological  
40  
41 methods", Prentice Hall, New Jersey, USA.  
42

43  
44 Dougmeints, G., Breuil, D. and Pun, L. (1983), "La gestion de la production  
45  
46 assistée par ordinateur", Hermes, Bordeaux, France.  
47

48  
49 Downs, E., Clare, P. and Cole, I. (1988). "Structured Systems Analysis and  
50  
51 Design Method: Application and Context". Prentice Hall, London, UK.  
52

53  
54 Duggan, K.J. (2002). "Creating mixed model value streams. Practical Lean  
55  
56 techniques for building to demand". Productivity Press, New York, USA.  
57  
58  
59  
60

1  
2  
3 Eisenhardt, K.M. (1989), "Building theories from case study research", Academy  
4 of management review, Vol. 14, pp. 532-550.  
5  
6

7  
8 European Commission, (2004), "Manufuture, a vision for 2020. Assuring the  
9 future of manufacturing in Europe", Luxemburg.  
10  
11

12  
13 Goldratt, E.M. and Fox, R.E. (1986), "The race", North River Press,  
14 Massachusetts, USA.  
15  
16

17  
18 Gregory, A. (2003), "Look before you leap", Manufacturing computer solutions,  
19 February, pp. 30-31.  
20  
21

22  
23 Gregory, A. (2004), "Running like clockwork", Works Management, Vol. 57, No.  
24 2, pp. 14-16.  
25  
26

27  
28 Hammer, M. (1990), "Reengineering work: Don't automate, obliterate", Harvard  
29 Business Review, Vol. 68, No. 4, pp. 18-25.  
30  
31

32  
33 Hayes, R.H. and Wheelwright, S.C. (1979a), "Link manufacturing process and  
34 product life cycle", Harvard Business Review, Vol. 57, pp. 133-140.  
35  
36

37  
38 Hayes, R.H. and Wheelwright, S.C. (1979b), "The dynamics of product-process  
39 life cycles", Harvard Business Review, Vol. 57, pp. 127-136.  
40  
41

42  
43 Hayes, R.H., Pisano, G., Upton, D. and Wheelwright, S. (2005), "Pursuing the  
44 competitive edge", Wiley, New Jersey, USA.  
45  
46

47  
48 Harris, R., Harris, C. and Wilson, E. (2003). "Making materials flow". The Lean  
49 Enterprise Institute. Massachusetts, USA.  
50  
51

52  
53 Hyer, N. and Wemmerlöv, U. (2002), "Reorganizing the factory". Productivity  
54 Press, Portland, USA.  
55  
56  
57  
58  
59  
60



1  
2  
3 Hines, P., Holweg, M. and Rich, N. (2004), "Learning to evolve. A review of  
4 contemporary Lean thinking", International Journal of Production Management,  
5  
6 Vol. 24, No 17, pp. 994-1011.  
7  
8

9  
10  
11 Hines, P. and Rich, N. (1997), "The seven value stream mapping tools",  
12 International Journal of Operations and Production Management, Vol. 17, pp.  
13  
14 146-64.  
15

16  
17  
18 Hines, P. and Esain, A. (1999), "Value stream mapping: a distribution industry  
19 application", Benchmarking: an International Journal, Vol. 6, No. 1, pp.60-77.  
20  
21

22  
23  
24 Hopp, W.J. and Spearman, M.L. (2000), "Factory physics: foundations of  
25 manufacturing management", Mc Graw Hill, New York, USA.  
26  
27

28  
29 Huang, C.C. and Liu, S.H. (2005), "A novel approach to Lean control for  
30 Taiwan-funded enterprises in mainland China", International Journal of  
31  
32 Production Research, Vol. 43. No 12, pp. 2553-2575.  
33  
34

35  
36  
37 Hunt, I., O'Sullivan, D., Rolstadas, A., Horan, M. and Precup, L. (2004), "Survey  
38 of manufacturing curricula from around the world", Production Planning and  
39  
40 Control, Vol. 15, No. 1 , pp. 71-79.  
41  
42

43  
44 Jones D.T., Hines P. and Rich, N. (1997), "Lean logistics". International Journal  
45 of Physical Distribution and Logistics Management, Vol. 27, No. 3/4, pp. 153-173.  
46  
47

48  
49 Jones, D. and Womack, J. (2003), "Seeing the whole". The Lean Enterprise  
50  
51 Institute, Massachusetts, USA.  
52  
53

54  
55 Jacobs, J., (2003), "Towbar maker is pulled by demand", Works Management,  
56  
57 No. 10, pp. 10-13.  
58  
59  
60

1  
2  
3 James, T., (2006), "Powering the transformation", Manufacturing Engineer, Vol.  
4 85, No 1, pp. 26-31.  
5  
6

7  
8 Jones, M. (2003), "Softly, softly", Works Management, No. 14, pp.14-15  
9

10  
11 Keyte, B. (2002), "Value Stream Mapping and Management". APICS Greater  
12 Jacksonville Seminar (web document), [www.Lean.org](http://www.Lean.org).  
13  
14

15  
16 Kosanke, K., Vernadat, F. and Zelm, M. (1999), "CIMOSA: enterprise  
17 engineering and integration", Computer in Industry, Vol 40, pp 83-97.  
18  
19

20  
21 Mackle, K. (2003), "Take a walk downstream". Metalworking production,  
22 January, pp. 14-15.  
23  
24

25  
26 Marca D.A. and Mc Gowan, C.L. (1988), "SADT: Structured Analysis and  
27 Design Technique", Prentice Hall, London, UK.  
28  
29

30  
31 Marchwinski, C. and Shook, J. (2003), "Lean lexicon: a graphical glossary for  
32 Lean thinkers", Lean Enterprise Institute, Massachusetts, EEUU.  
33  
34

35  
36 Marchwinski, C. (2004), "State of Lean report 2004" (web document),  
37  
38 [www.Lean.org](http://www.Lean.org).  
39  
40

41  
42 Mc Cutcheon, D. and Meredith, J. (1993), "Conducting case study research in  
43 operations management", Journal of Operations Management, Vol. 11, No.3,  
44  
45 pp. 239-56.  
46  
47

48  
49 Meredith, J. (1998), "Building operations management theory through case and  
50 field research", Journal of Operations Management. Vol. 16, pp. 441-454.  
51  
52

53  
54 Miles, M.B. and Huberman, A.M. (1984), "Qualitative data analysis: a  
55 sourcebook of new methods", Sage Publications. London, UK.  
56  
57  
58  
59  
60

1  
2  
3 Modarress, B., Ansari, A. and Lockwood, D.L.. (2005), "Kaizen costing for Lean  
4 manufacturing: a case study". International Journal of Production Research,  
5  
6 Vol. 43, No. 9, pp. 1751-1760.  
7  
8  
9

10  
11 Oyarbide, A. (2003), "Manufacturing systems simulation using the principles of  
12 system dynamics". Dissertation, Cranfield University, UK.  
13  
14

15  
16 Pandya, K. (1995), "Review of modelling techniques and tools for decision  
17 making in manufacturing management". IEEE Proceedings. Science,  
18 Measurement and Technology. Vol. 142, No 5, pp. 371-377.  
19  
20  
21  
22

23  
24 Pavnaskar, S.J., Gershenson, J.K. and Jambekar, A.B., (2003), "Classification  
25 scheme for Lean manufacturing tools", International Journal of Production  
26 Research, Vol. 41, No. 13, pp. 3075-3090.  
27  
28  
29  
30

31 Roboam, M. (1993), "La méthode GRAI. Principes, outils, démarche et  
32 pratique", Teknea, Toulouse, France.  
33  
34

35  
36 Robson, C. (2002), "Real word research: a resource for social scientists and  
37 practitioner-researchers", Blackwell, Massachusetts, USA.  
38  
39  
40

41 Rother, M. and Shook, J. (1998), "Learning to see: value stream mapping to  
42 add value and eliminate muda", The Lean Enterprise Institute, Massachusetts,  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

59  
60 Rother, M., Harris, R., (2001). Creating continuous flow". The Lean Enterprise  
Institute, Massachusetts, USA.

Rother, M. (2004), "Value Stream Mapping in a make-to-order environment"  
(web document), [www.Lean.org](http://www.Lean.org).

1  
2  
3 Sakakibara, S., Flynn, B.B., Schroeder, R.G. and Morris, W.T. (1997). "The  
4 impact of Just In Time Manufacturing and its infrastructure on Manufacturing  
5 Performance". Management Science. Vol. 43, No. 9, pp. 1246-1257.  
6  
7

8  
9  
10 Schippers, W.A.J. (2000) "Structure and applicability of quality tools".  
11 Dissertation. Eindhoven University of Technology, Netherlands.  
12  
13

14  
15  
16 Seth, D., Gupta V., (2005) "Application of Value Stream Mapping for Lean  
17 operations and cycle time reduction: an Indian case study". Production planning  
18 and control", Vol. 16, No. 1, pp. 44-59.  
19  
20  
21

22  
23  
24 Shams-ur, R. (1998). "Theory of constraints. A review of the philosophy and its  
25 applications". International Journal of Operations and Production Management.  
26 Vol. 18, pp. 4336-355.  
27  
28  
29

30  
31 Singh, R.K., Kumar S., Choudhury, A.K. and Tiwari, M.K., (2006) "Lean tool  
32 selection in a die casting unit: a fuzzy-based decision support heuristic".  
33 International Journal of Production Research, Vol. 44, No. 7, pp. 1399-1429.  
34  
35  
36

37  
38  
39 Smalley, A., (2004), "Creating level pull". The Lean Enterprise Institute,  
40 Massachusetts, USA.  
41  
42

43  
44 Snow, C.C., and Thomas, J.B. (1994). "Field research methods in strategic  
45 management: contributions to theory building and testing". Journal of  
46 Management Studies. Vol. 31, No. 4. pp. 457-480.  
47  
48  
49

50  
51 Stanescu, A.M., Dumitrache I., Curaj, A., Caramichai, S.I. and Chircor, M.  
52 (2002) "Supervisory control and data acquisition for virtual enterprise".  
53 International Journal of Production Research, Vol. 4, No. 5, pp. 3545-3559.  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 Sullivan, G.W., McDonald, T.N. and Van Aken, E.M. (2002). "Equipment  
4 replacement decisions and Lean manufacturing". Robotics and Computer  
5 Integrated Manufacturing, Vol. 18, pp. 255-265.  
6  
7

8  
9  
10 Tapping, D., Luyster, T. and Shuker, T. (2002a). "Value Stream Management.  
11 Eight steps to planning, mapping and sustaining Lean improvements",  
12 Productivity Press, New York, USA.  
13  
14

15  
16  
17 Tapping, D. and Shuker, T. (2002b). "Value Stream Management for the Lean  
18 Office. Eight steps to planning, mapping and sustaining Lean improvements in  
19 administrative areas", Productivity Press, New York, USA.  
20  
21

22  
23  
24 Tinham, B. (2003). "How to ensure your IT adds value". Manufacturing  
25 Computer Solutions, February, pp. 26-29.  
26  
27

28  
29  
30 Tomlinson B. (2002). "Applications of Lean Techniques in a discrete  
31 manufacturing environment". Control. November, pp. 23-26.  
32  
33

34  
35  
36 Voelkel, J.G. and Chapman, C. (2003). "Value Stream Mapping: This tool puts  
37 you and your customer on the same page", Quality Progress, May, pp. 65-69.  
38  
39

40  
41  
42 Voss, C., Tsikriktsis, N. and Frohlich, M. (2002). "Case research in operations  
43 management", International Journal of Operations and Production  
44 Management, Vol. 22, pp. 195-219.  
45  
46

47  
48  
49 Watson, K. J., Blackstone, J.H. and Gardiner, S.C. (2006). "The evolution of a  
50 management philosophy: The theory of constraints". Journal of Operations  
51 Management. In Press.  
52  
53

54  
55  
56 White, R.E. and Prybutok, V. (2001), "The relationship between JIT practices  
57 and type of production system". The International Journal of Management  
58 Science, Vol. 29, pp. 113-124.  
59  
60

1  
2  
3 Williams T.J. (2005), "The Purdue Enterprise Reference Architecture and  
4 Methodology (PERA)", In Molina, A., Sanchez, J.M. and Kusiak, A., ed.,  
5 "Handbook of Life Cycle Engineering: Concepts, Tools and Techniques",  
6 Chapman and Hall, London, UK.  
7  
8  
9  
10  
11

12  
13 Womack, J.P., Jones, D.T. and Roos, D. (1990). "The machine that changed  
14 the world: The Story of Lean Production". Rawson Associates, New York, USA.  
15

16  
17 Womack, J.P. and Jones, D.T. (1996). "Lean Thinking. Banish waste and create  
18 wealth in your corporation", Touchstone Books, London, UK.  
19  
20

21  
22 Womack, J.P. (2001). "Jim Womack's email messages: 10 Lean steps for  
23 surviving the recession" (web document), [www.Lean.org](http://www.Lean.org).  
24  
25

26  
27 Womack, J.P., Womack, J. and Jones, D.T. (2002). "Seeing the whole. Mapping  
28 the extended value stream", Lean Enterprise Institute, Massachusetts, USA.  
29  
30

31  
32 Wu, B. (1996). "Manufacturing systems design and analysis. Context and  
33 techniques", Chapman and Hall, London, UK.  
34  
35

36  
37 Yang-Hua L. and Van Landeghem, H. (2005). "Simulation based Value Stream  
38 Mapping: the formal modelling procedure", 12th European Concurrent  
39 Engineering Conference, pp.79-85. Toulouse, France.  
40  
41  
42  
43  
44  
45

46  
47 Yin, R.K. (1993). "Applications of case study research", Sage Publications,  
48 California, USA.  
49

50  
51 Yin, R.K., (1994), "Case study research, design and methods", 2<sup>nd</sup> ed., Sage  
52 Publications, California, USA.  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

Zhongjun, T., Rongqiu, C. and Xuejong J., (2005). "An innovation process model for identifying manufacturing paradigms", International Journal of Production Research, Vol. 43, No 13, pp. 2725-2742.

For Peer Review Only

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

## TABLES AND FIGURES

	Methodology - Method - Tool	Quantitative/ Qualitative	Original focus	Purpose	Framework	Dynamic/Static
VSM	Method	Quantitative	Lean Production.	Efficiency and improvement	Manufacturing system	Static
Flow diagram charts	Tool	Qualitative	BPR	Process description and improvement	Manufacturing System Company	Static
Structured systems	Methodology	Qualitative	Information Systems-BPR	Business Structure	Company	Static
Architectural systems	Methodology	Qualitative	Information Systems-BPR	Business Architecture	Company	Static
Modelling and simulation software	Tool	Quantitative	Operations Research	Manufacturing System performance and improvement	Manufacturing system	Dynamic

Table 1. Characterization of models.

Research programme
<p><b>Stage 1. Case selection.</b>            1.- Gathering of expressions of interests by companies in resolving issues related to Production and Operations management.            2.- Selection of key companies. Criteria:                -Serial manufacturing of discrete parts.                -Productive issues in the dock-to-dock framework.  <i>Telephone interview for case validation.</i>            3.- Suggested VSM application for each company.            4.- Creation of application teams.            5.- VSM training.  <i>Team definition interview.</i></p>
<p><b>Stage 2. Application of VSM phases.</b>            1.- Choice of product family.            2.- Mapping of initial situation.            3.- Mapping of future situation (application of guidelines).            4.- Definition of a work plan.            5.- Implementation of the work plan (during 6 months).  <i>Observation of the VSM process.</i></p>
<p><b>Stage 3. Process evaluation.</b>            Based on the information obtained during <i>VSM process observation</i> and a <i>Final Evaluation Interview</i>.</p>

Table 2. Research programme.



Company	A	B	C	D	E	F
<b>Activity</b>	Kit furniture	Water heaters	Forging	Thermoplastic parts	Detonators systems	Mechanized and stamped parts
<b>Applicants positions</b>	Industry Manager	Production Manager	Logistic Manager	Manufacturing Systems Manager	Production Manager	Process Engineering Manager
<b>VSM team</b> -Value Stream Manager: -Facilitator: -Coordinator:	Industry manager Production manager Process technician	Production manager Process Technician Process technician	Industry manager Mfg manager Process technician	Mfg Syst Mgr Mfg Syst Mgr Process technician	Production manager Process Technician Process technician	Prcss Eng Mgr. Process Tech. Process Tech.
<b>Project description</b>	Production system rationalization	Layout optimization	Order fulfilment process improvement in matrix section	Lean production system redesign	Manufacturing system redesign in electric detonators job shop	Manufacturing system's diagnostic and improvement in distribution blocks mechanization
<b>Product family</b>	Wood shelves	5 litre heaters	Matrix UP5 family	Telephony. TSM1 and TSM7 families	Electric detonators	Water and oil distribution blocks
<b>Number of product line workers (approx)</b>	70	40	40	80	100	100
<b>Main manufacturing processes</b>	Mechanization, painting, varnishing, retractility.	Heating processing, body valve assembly.	Mechanization, electro erosion	Injection, painting, chromium-plating, assembly	Extrusion, charge, assembly.	Injection, mechanization, assembly
<b>Product-Process classification IVAT [1]</b>	V	AT	A	V	AT	T
<b>Parts number in the family</b>	500	92	10	20	1600	150
<b>Layout type</b>	Functional	Product focused	Functional	Functional	Functional	Product focused
<b>Production Strategy</b> (Hopp et al., 2002)	MTS	MTS	MTO	MTS	MTO	MTO

Lean level [2]	1	4	2	3	3	3
----------------	---	---	---	---	---	---

Table 3. Cases under consideration in the research Project

[1]. The product-process configuration classification is based on the IVAT structure described by Hines (Hines et al., 1997).

[2]. The Lean level indicator is measured by a semi-structured interview done to the VSM team after the training.

For Peer Review Only

COMPANY	Main goal	Initial state	Foreseen state	Foreseen 6 months later	Real state 6 months later
A	Lead Time reduction	23 days	18 days	20 days	20 days
B	Area reduction	495 m <sup>2</sup>	340 m <sup>2</sup>	340 m <sup>2</sup>	340 m <sup>2</sup>
	Workforce reduction	22.5 workers	17 workers	17 workers	18 workers
C	Lead time variability reduction	1–3 weeks	1 week	1 week	1 week
D	Lead Time reduction	26 days	20 days	22 days	22 days
E	Response time variability reduction.	5–10 days	5 days	5 days	5 days
F	Response time variability reduction.	4–6 days	3 days	3 days	4-6 days

Table 4. Foreseen and achieved results

Evolution indicators	Mean	Range
Number of team meetings	6.8	4-12
Number of hours in meetings	16	8-24
Value stream manager's dedication (hours)	11.3	6-14
Facilitator's dedication (hours)	13.3	9-22
Coordinator's dedication (hours)	65.5	21-142
Lean specialist's dedication (hours)	16.8	9-26
Lead time (weeks)	7.16	4-10

Table 5. Required effort summarizing results

	A	B	C	D	E	F	Nº of applicants	% of applicants
<b>Takt time</b>	No	Yes	Yes	Yes	Yes	Yes	5/6	83.3%
<b>Continuous flow</b>	Yes	Yes	No	Yes	No	No	3/6	50%
<b>Supermarket pull systems</b>	No	No	No	Yes	No	No	1/6	16.6%
<b>FIFO systems</b>	Yes	No	No	Yes	Yes	Yes	4/6	66.6%
<b>Pacemaker process election</b>	Yes	No	Yes	Yes	Yes	Yes	5/6	83.3%
<b>Mix levelling</b>	Yes	Yes	Yes	Yes	Yes	Yes	6/6	100%
<b>Volume levelling</b>	No	No	No	No	No	No	0/6	0%
<b>Heijunka systems</b>	No	No	No	No	No	No	0/6	0%
<b>Other concepts (no Lean)</b>	DBR.	No	DBR.	DBR.	DBR.	DBR.	5/6	83.3%
<b>Applied Lean concepts</b>	4/8	3/8	3/8	6/8	4/8	4/8		
<b>Applied Lean concepts %</b>	50%	37.5%	37.5%	75%	50%	50%		

Table 6. Lean concepts employment.