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Every separable Banach space has a bounded strong norming biorthogonal sequence which is also a Steinitz basis

by

PAOLO TERENCEZI (Milano)

**Abstract.** Every separable, infinite-dimensional Banach space  $X$  has a biorthogonal sequence  $\{z_n, z_n^*\}$ , with  $\text{span}\{z_n^*\}$  norming on  $X$  and  $\{\|z_n\| + \|z_n^*\|\}$  bounded, so that, for every  $x$  in  $X$  and  $x^*$  in  $X^*$ , there exists a permutation  $\{\pi(n)\}$  of  $\{n\}$  so that

$$x \in \overline{\text{conv}} \left\{ \text{finite subseries of } \sum_{n=1}^{\infty} z_n^*(x) z_n \right\} \quad \text{and} \quad x_n^*(x) = \sum_{n=1}^{\infty} z_{\pi(n)}^*(x) x^*(z_{\pi(n)}).$$

**Introduction.** This note concerns the search for the best sequence capable of representing the elements of a separable Banach space  $X$ .

A sequence  $\{x_n\}$  in  $X$  is said to be *complete* or *fundamental* if  $\overline{\text{span}}\{x_n\} = X$ . If  $\{x_n^*\} \subset X^*$  (the dual space) then  $\{x_n, x_n^*\}$  is said to be *biorthogonal* if  $x_m^*(x_n) = \delta_{mn}$  (Kronecker symbol).

A biorthogonal sequence  $\{x_n, x_n^*\}$  is said to be

- *complete* if  $\{x_n\}$  is complete;
- *total* if  $[\text{span}\{x_n^*\}]^\perp (= \{x \in X : x_n^*(x) = 0 \text{ for each } n\}) = \{0\}$ ;
- *norming* if there exists a number  $H$  such that, for each  $x$  in  $X$ ,  $\|x\| \leq H \sup\{|x^*(x)|/\|x^*\| : x^* \in \text{span}\{x_n^*\}\}$ ;
- *strong* if for each decomposition  $\{n\} = \{n_k\} \cup \{n'_k\}$ ,  $\{n_k\} \cap \{n'_k\} = \emptyset$ , of the positive integers,  $\overline{\text{span}}\{x_n\}_{n \in \{n_k\}} = [\overline{\text{span}}\{x_n^*\}_{n \in \{n'_k\}}]^\perp$ .

If a complete biorthogonal sequence  $\{x_n, x_n^*\}$  is total (resp. norming, strong) then  $\{x_n\}$  is said to be an *M-basis* (resp. a *norming M-basis*, *strong M-basis*).

$\{x_n, x_n^*\}$  is said to be *bounded* (and  $\{x_n\}$  *uniformly minimal*) if  $\{x_n\}$  and  $\{x_n^*\}$  are both bounded.

Moreover, in this note we say that  $\{x_n, x_n^*\}$  is *convex strong* if, for each  $x$  in  $X$ ,  $x \in \overline{\text{conv}}\{\text{finite subseries of } \sum_{n=1}^{\infty} x_n^*(x) x_n\}$ .

We recall three characterizations of strong biorthogonal sequences:

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$\{x_n, x_n^*\}$  is a strong biorthogonal sequence

$\Leftrightarrow$  for each decomposition  $\{n\} = \{n_k\} \cup \{n'_k\}, \{n_k\} \cap \{n'_k\} = \emptyset$ , of the positive integers, setting  $X_0 = \overline{\text{span}}\{x_{n'_k}\}$ , there exists  $\{F_k\} \subset (X/X_0)^*$  such that  $\{x_{n_k} + X_0, F_k\}$  is an  $M$ -basis of  $X/X_0$  ([20], p. 243)

$\Leftrightarrow$  for each couple of infinite subsequences  $\{n_k\}$  and  $\{n'_k\}$  of  $\{n\}$ ,  $\overline{\text{span}}\{x_{n_k}\} \cap \overline{\text{span}}\{x_{n'_k}\} = \overline{\text{span}}\{x_k\}_{k \in \{n_k\} \cap \{n'_k\}}$  ([17])

$\Leftrightarrow$  for each  $x$  in  $X$ ,  $x \in \overline{\text{span}}\{x_n^*(x)x_n\}$  ([20], p. 762).

Hence “convex strong” implies “strong”.

Finally, if  $\{x_n, x_n^*\}$  is biorthogonal then  $\{x_n\}$  is said to be

- a *Steinitz basis* if, for each  $x$  in  $X$  and  $x^*$  in  $X^*$ , there exists a permutation  $\{\pi(n)\}$  so that

$$x^*(x) = \sum_{n=1}^{\infty} x_{\pi(n)}^*(x)x_{\pi(n)};$$

- a *basis* if, for each  $x$  in  $X$ ,

$$x = \sum_{n=1}^{\infty} x_n^*(x)x_n.$$

From [7] we recall the following characterization

*I\**. A bounded biorthogonal sequence is convex strong if and only if it is a Steinitz basis.

The search for a best complete sequence originates already in Banach’s book [1] (1932) with the famous problems of existence of a basis and of a complete bounded biorthogonal sequence; the problem of existence of a strong biorthogonal sequence originates in a paper of Ruckle ([18], 1970) (see also [19] and [3]).

The story of this research goes through a number of intermediate results on existence of an  $M$ -basis (Markushevich [13], 1943), existence of a complete norming biorthogonal sequence (Mackey [11], 1946) and other improvements (Davis–Johnson [2], 1973).

Finally, the basis problem was given a negative answer by Enflo [5] (1973); while Ovsepian and Pełczyński proved the existence of a complete bounded biorthogonal sequence ([15], 1975; refined by Pełczyński [16], 1976).

For a long period of time we can see refinements of the negative answer of Enflo (for example, in these last years, Szarek [21] (1987) and Mankiewicz and Nielsen [12] (1989)); while the positive answer of Ovsepian and Pełczyński did not gain further improvements.

The aim of this note is to present the following positive answer:

**THEOREM.** Every separable Banach space has a bounded norming convex strong biorthogonal sequence.

That is, every separable Banach space has a uniformly minimal norming convex strong  $M$ -basis which (by  $I^*$ ) is also a Steinitz basis.

**Remark 1.** We showed in [24] that the concepts of norming  $M$ -basis, uniformly minimal  $M$ -basis and strong  $M$ -basis are quite independent.

**Remark 2.** Actually, the proof of §2 gives the following property: Every separable Banach space  $X$  has a uniformly minimal norming  $M$ -basis  $\{\tilde{z}_n\}$ , with  $\{\tilde{z}_n, \tilde{z}_n^*\}$  biorthogonal, such that there is an increasing sequence  $\{q_m\}$  of positive integers so that for every  $\bar{x}$  in  $X$  and for each  $\varepsilon > 0$  there exists an integer  $m_\varepsilon$  so that, for every  $m \geq m_\varepsilon$ ,

$$\left\| \bar{x} - \left\{ \sum_{n=1}^{\bar{q}_m} \tilde{z}_n^*(\bar{x})\tilde{z}_n + \bar{\varepsilon}_m \sum_{k=1}^{N(m)} \tilde{z}_{n(k,m)}^*(\bar{x})\tilde{z}_{n(k,m)} \right\} \right\| < \varepsilon$$

for some  $0 \leq \bar{\varepsilon}_m < 1$  and some

$$q_m \leq \bar{q}_m < n(1, m) < \dots < n(N(m), m) \leq q_{m+1}$$

with

$$\bar{\varepsilon}_m \left\| \sum_{n=1}^{\bar{q}_m} \tilde{z}_n^*(\bar{x})\tilde{z}_n \right\| < \varepsilon.$$

Hence we also have

$$\left\| \bar{x} - \left\{ (1 - \bar{\varepsilon}_m) \sum_{n=1}^{\bar{q}_m} \tilde{z}_n^*(\bar{x})\tilde{z}_n + \bar{\varepsilon}_m \sum_{k=1}^{N(m)} \tilde{z}_{n(k,m)}^*(\bar{x})\tilde{z}_{n(k,m)} \right\} \right\| < 2\varepsilon.$$

**Remark 3.** We recall that, if  $\{x_n, x_n^*\}$  is a complete bounded biorthogonal sequence, then  $\lim_{n \rightarrow \infty} x_n^*(x)x_n = 0$  for each  $x$  in  $X$ .

**Acknowledgments** are due to the referee for improving the presentation of this note.

**1. Main tools of the proof.** The main tool in §2 is the following property ([22]; recall also [9] and [10]).

*II\**. If  $\{x_n, x_n^*\}$  is a complete norming biorthogonal sequence in  $X$  then there exists an increasing sequence  $\{r_m\}$  of positive integers so that, for every  $\hat{x}$  in  $X$ ,

$$\hat{x} = \lim_{m \rightarrow \infty} \left[ \sum_{n=1}^{r_m} x_n^*(\hat{x})x_n + \sum_{n=r_m+1}^{r_{m+1}} \hat{a}_n x_n \right]$$

where  $\{\hat{a}_n\}$  depends on  $\hat{x}$  while  $\{r_m\}$  does not; moreover, if there exists an infinite subsequence  $\{m_k\}$  of  $\{m\}$  so that  $x_n^*(\hat{x}) = 0$  for  $r_{m_k} + 1 \leq n \leq r_{m_k+1}$

for every  $k$ , then setting  $r_{m_0} = 0$  we have

$$\widehat{x} = \sum_{k=0}^{\infty} \sum_{n=r_{m_k}+1}^{r_{m_{k+1}}} x_n^*(\widehat{x})x_n.$$

The first statement follows from Theorem I of [22]; for the second, following the proof of Corollary 2 of [22] and setting

$$Y = \text{span} \left\{ \{x_n\}_{n=1}^{r_{m_1}} \cup \left\{ \bigcup_{k=1}^{\infty} \{x_n\}_{n=r_{m_k}+1}^{r_{m_{k+1}}} \right\} \right\}$$

we have

$$x + Y = \sum_{k=1}^{\infty} \sum_{n=r_{m_k}+1}^{r_{m_{k+1}}} (x_n^*(x)x_n + Y)$$

for every  $x$  in  $X$ , and

$$x = \sum_{n=1}^{r_{m_1}} x_n^*(x)x_n + \sum_{k=1}^{\infty} \sum_{n=r_{m_k}+1}^{r_{m_{k+1}}} x_n^*(x)x_n$$

for every  $x$  in  $Y$ ; thus in our case  $\widehat{x} \in Y$  by the hypothesis and by the first of these two relations; then the assertion follows from the second relation since  $x_n^*(\widehat{x}) = 0$  for  $r_{m_k} + 1 \leq n \leq r_{m_{k+1}}$  for every  $k$ .

We point out that an M-basis has property II\* if and only if it is norming [6].

The next main tool in §2 is the following property, which appears in [15] (see also [20], p. 248) and which is a modification of a lemma of Olevskii [14]:

III\*. Let  $\{x_n, x_n^*\}_{n=1}^{2^Q}$  be a biorthogonal sequence in  $X$ . Then there exists another biorthogonal sequence  $\{y_n, y_n^*\}_{n=1}^{2^Q}$  with  $\text{span}\{y_n\}_{n=1}^{2^Q} = \text{span}\{x_n\}_{n=1}^{2^Q}$  and  $\text{span}\{y_n^*\}_{n=1}^{2^Q} = \text{span}\{x_n^*\}_{n=1}^{2^Q}$  and such that for every  $n$  with  $1 \leq n \leq 2^Q$ ,

$$\|y_n\| < \|x_1\|/2^{Q/2} + (1 + 2^{1/2}) \max\{\|x_k\| : 2 \leq k \leq 2^Q\},$$

$$\|y_n^*\| < \|x_1^*\|/2^{Q/2} + (1 + 2^{1/2}) \max\{\|x_k^*\| : 2 \leq k \leq 2^Q\}.$$

More precisely,

$$y_n = \sum_{j=1}^{2^Q} \beta_{Qnj} x_j \quad \text{and} \quad y_n^* = \sum_{j=1}^{2^Q} \beta_{Qnj} x_j^*$$

where  $\beta_{Qn1} = 1/2^{Q/2}$  for  $1 \leq n \leq 2^Q$ , and moreover, for every  $k$  with

$0 \leq k \leq Q - 1$  and every  $j$  with  $1 \leq j \leq 2^k$ , we have

$$\beta_{Q,i,2^k+j} = \begin{cases} 1/2^{(Q-k)/2} & \text{for } (2j-2)2^{Q-k-1} + 1 \leq i \leq (2j-1)2^{Q-k-1}, \\ -1/2^{(Q-k)/2} & \text{for } (2j-1)2^{Q-k-1} + 1 \leq i \leq 2j \cdot 2^{Q-k-1}, \\ 0 & \text{for } 1 \leq i \leq (2j-2)2^{Q-k-1} \\ & \text{and for } 2j \cdot 2^{Q-k-1} + 1 \leq i \leq 2^Q. \end{cases}$$

We also use in §2 the following property ([23], see in particular (f) of the introduction):

IV\*. If  $\{x_n, f_n\}$  is a norming (on  $\text{span}\{x_n\}$ ) bounded biorthogonal sequence in  $X$  then there exist  $\{y_n\}$  in  $X$  and  $\{x_n^*\} \cup \{y_n^*\}$  in  $X^*$  so that  $\{x_n, x_n^*\} \cup \{y_n, y_n^*\}$  is a complete norming bounded biorthogonal sequence in  $X$ .

Another main tool in §2 is the following property, which comes from the Dvoretzky theorem [4] that  $l^2$  is finitely represented in every infinite-dimensional Banach space.

V\*. There exists in  $X$  a norming bounded biorthogonal sequence  $\{x_n, x_n^*\}$  with  $\{x_n\} = \bigcup_{m=1}^{\infty} \{x_{mn}\}_{n=1}^m$  such that, for every  $m$  and for every sequence  $\{a_n\}_{n=1}^m$  of numbers,

$$(1 - 2^{-m}) \left( \sum_{n=1}^m |a_n|^2 \right)^{1/2} \leq \left\| \sum_{n=1}^m a_n x_{mn} \right\| \leq (1 + 2^{-m}) \left( \sum_{n=1}^m |a_n|^2 \right)^{1/2}.$$

Indeed, let  $\{y_n\}$  be a basic sequence of  $X$ , with a basis constant  $K$ . By [4] there exists an increasing sequence  $\{r_m\}$  of positive integers so that, for every  $m$ ,  $\text{span}\{y_n\}_{n=r_{m-1}+1}^{r_m}$  contains a sequence  $\{x_{mn}\}_{n=1}^m$  with the property of the assertion. It is sufficient to prove that, for every fixed  $p > 1$  and for every  $k$  with  $1 \leq k \leq p$ ,

$$\left\| \sum_{m=1}^{p-1} \sum_{n=1}^m a_{mn} x_{mn} + \sum_{n=1}^k a_{pn} x_{pn} \right\| \leq 8K \left\| \sum_{m=1}^p \sum_{n=1}^m a_{mn} x_{mn} \right\|$$

for every sequence  $\{\{a_{mn}\}_{n=1}^m\}_{m=1}^p$  of numbers (indeed, it will then follow that  $\{x_n\} = \bigcup_{m=1}^{\infty} \{x_{mn}\}_{n=1}^m$  is basic, with basis constant  $\leq 8K$ , therefore norming and bounded too, where we use the intrinsic characterization (f) of [23] for norming sequences). Set

$$u = \sum_{m=1}^{p-1} \sum_{n=1}^m a_{mn} x_{pn}, \quad v = \sum_{n=1}^k a_{pn} x_{pn}, \quad w = \sum_{n=k+1}^p a_{pn} x_{pn}.$$

We know that  $\|u\| \leq K\|u + v + w\|$  since  $K$  is the basis constant of  $\{y_n\}$ ; moreover,  $\|v\| \leq 2\|u + w\|$  since  $\{x_{pn}\}_{n=1}^p$  has the property of the assertion. Then if  $\|u\| \geq \|u + v\|/4$  we have

$$\|u + v\| \leq 4\|u\| \leq 4K\|u + v + w\|;$$

while if  $\|u\| < \|u+v\|/4$ , that is,  $\|u\| < \|v\|/3$ , it follows that

$$\begin{aligned} \|u+v\| &< (4/3)\|v\| = 8(\|v\|/2 - \|v\|/3) < 8(\|v\|/2 - \|u\|) \\ &\leq 8(\|v+w\| - \|u\|) \leq 8\|u+v+w\|. \end{aligned}$$

This completes the proof.

**2. Proof of Theorem.** By IV\* and V\*, together with the techniques of [23], there exists in  $X$  a norming M-basis  $\{x_n\}$ , with  $\{x_n, x_n^*\}$  biorthogonal, such that  $\|x_n\| = 1$  and  $\|x_n^*\| < M$  for every  $n$  and  $\{x_n\} = \{x_{n'}\} \cup \{x_{n''}\}$  with  $\{x_{n'}\} = \bigcup_{m=1}^{\infty} \{x_{mn}\}_{n=1}^m$ , where, for every  $m$  and for every sequence  $\{a_n\}_{n=1}^m$  of numbers,

$$(1) \quad (1 - 2^{-m}) \left( \sum_{n=1}^m a_n^2 \right)^{1/2} \leq \left\| \sum_{n=1}^m a_n x_{mn} \right\| \leq (1 + 2^{-m}) \left( \sum_{n=1}^m a_n^2 \right)^{1/2}.$$

We shall construct two biorthogonal sequences  $\{y_n, y_n^*\}$  and  $\{z_n, z_n^*\}$  by means of a suitable block perturbation of  $\{x_n, x_n^*\}$ , that is, there will be an increasing sequence  $\{q_m\}$  of positive integers such that, for every  $m$ ,

$$(2) \quad \begin{aligned} \text{span}\{y_n\}_{n=q_m+1}^{q_{m+1}} &= \text{span}\{z_n\}_{n=q_m+1}^{q_{m+1}} = \text{span}\{x_n\}_{n=q_m+1}^{q_{m+1}}, \\ \text{span}\{y_n^*\}_{n=q_m+1}^{q_{m+1}} &= \text{span}\{z_n^*\}_{n=q_m+1}^{q_{m+1}} = \text{span}\{x_n^*\}_{n=q_m+1}^{q_{m+1}}. \end{aligned}$$

We shall define  $\{q_m\}$  by means of the sequence  $\{r_m\}$  of  $\Pi^*$ , that is, we shall find an increasing sequence  $\{t(m)\}$  of positive integers such that  $q_m = r_{t(m)}$  for every  $m$ .

We start with

$$\{y_n, y_n^*\}_{n=1}^{q_1} = \{z_n, z_n^*\}_{n=1}^{q_1} = \{x_n, x_n^*\}_{n=1}^{r_1}$$

and we proceed by induction. Suppose we have defined  $\{y_n, y_n^*\}_{n=1}^{q_m}$  and  $\{z_n, z_n^*\}_{n=1}^{q_m}$  for some  $m \geq 1$ . We now construct  $\{y_n, y_n^*\}_{n=q_m+1}^{q_{m+1}}$  and  $\{z_n, z_n^*\}_{n=q_m+1}^{q_{m+1}}$ .

First, we set

$$S_{m1} = 2^{m+2} M r_{t(m)+1}, \quad Q_{m1} = 2(S_{m1} + m)M, \quad N_{m1} = 4^{m+2} Q_{m1} + M S_{m1}.$$

Now we choose a sequence  $\{v_{m1n}\}_{n=1}^{L_{m1}}$  which is  $(1/S_{m1})$ -dense in the ball of radius  $2S_{m1}$  in  $\text{span}\{x_n\}_{n=r_{t(m)+1}+1}^{r_{t(m)+2}}$ . Next we set, by means of the sequences of (1),

$$s'(m, 1) = L_{m1} 2^{Q_{m1}} N_{m1},$$

$$s(m, 1) = \text{the first integer } \geq s'(m, 1)$$

$$\text{such that } \{x_{s(m,1),n}\}_{n=1}^{s(m,1)} \subset \{x_n\}_{n > r_{t(m)+2}}.$$

We arrange the first  $s'(m, 1)$  vectors of the sequence  $\{x_{s(m,1),n}\}_{n=1}^{s(m,1)}$  in the

following way:

$$\{x_{s(m,1),n}\}_{n=1}^{s'(m,1)} = \{ \{ \{ x_{m1nkj} \}_{j=1}^{N_{m1}} \}_{k=1}^{2^{Q_{m1}}} \}_{n=1}^{L_{m1}}.$$

Now, we set

$$y_{q_m+1} = x_{q_m+1}/S_{m1} - \sum_{n=1}^{L_{m1}} \sum_{j=1}^{N_{m1}} x_{m1n1j} \quad \text{and} \quad y_{q_m+1}^* = S_{m1} x_{q_m+1}^*;$$

moreover, for every  $n$  and  $j$  with  $1 \leq n \leq L_{m1}$  and  $1 \leq j \leq N_{m1}$ , we set

$$y_{m1n1j} = x_{m1n1j} + v_{m1n} \quad \text{and} \quad y_{m1n1j}^* = x_{m1n1j}^* + S_{m1} x_{q_m+1}^*,$$

while, for  $2 \leq k \leq 2^{Q_{m1}}$ , we set  $y_{m1nkj} = x_{m1nkj}$  and  $y_{m1nkj}^* = x_{m1nkj}^*$ . Then there exists

$$\{y_n^*\}_{n=r_{t(m)+1}+1}^{r_{t(m)+2}} \subset \text{span}\{x_{q_m+1}^* \cup \{x_n^*\}_{n=r_{t(m)+1}+1}^{r_{t(m)+2}} \cup \{x_{s(m,1),n}^*\}_{n=1}^{s'(m,1)}\}$$

such that, on setting  $y_n = x_n$  for  $r_{t(m)+1} + 1 \leq n \leq r_{t(m)+2}$ , the sequence

$$\{y_{q_m+1}, y_{q_m+1}^*\} \cup \{y_n, y_n^*\}_{n=r_{t(m)+1}+1}^{r_{t(m)+2}} \cup \{y_{s(m,1),n}, y_{s(m,1),n}^*\}_{n=1}^{s'(m,1)}$$

is biorthogonal; namely, if

$$v_{m1n} = \sum_{l=r_{t(m)+1}+1}^{r_{t(m)+2}} b_{m1nl} x_l \quad \text{for } 1 \leq n \leq L_{m1}$$

then

$$y_l^* = x_l^* - \sum_{n=1}^{L_{m1}} \sum_{j=1}^{N_{m1}} b_{m1nl} y_{m1n1j}^* \quad \text{for } r_{t(m)+1} + 1 \leq l \leq r_{t(m)+2}.$$

At this point, by III\* of §1 and by (1), there exists a sufficiently large positive integer  $t(m, 1)$  such that, on setting

$$\{x_n\}_{n=r_{t(m)+2}+1}^{r_{t(m)+1}} = \{x_{s(m,1),n}\}_{n=1}^{s'(m,1)} \cup \{x_{m1n}\}_{n=1}^{T_{m1}}$$

and  $y_{m1n} = x_{m1n}$  and  $y_{m1n}^* = x_{m1n}^*$  for  $1 \leq n \leq T_{m1}$ , there exists a block perturbation

$$\{z_{q_m+1}, z_{q_m+1}^*\} \cup \{z_n, z_n^*\}_{n=r_{t(m)+1}+1}^{r_{t(m)+2}} \cup \{z_{m1n}, z_{m1n}^*\}_{n=1}^{T_{m1}}$$

of

$$\{y_{q_m+1}, y_{q_m+1}^*\} \cup \{y_n, y_n^*\}_{n=r_{t(m)+1}+1}^{r_{t(m)+2}} \cup \{y_{m1n}, y_{m1n}^*\}_{n=1}^{T_{m1}}$$

such that  $\max\{\|z_{q_m+1}\|, \|z_{q_m+1}^*\|/M; \|z_n\|, \|z_n^*\|/M \text{ for } r_{t(m)+1} + 1 \leq n \leq r_{t(m)+2}; \|z_{m1n}\|, \|z_{m1n}^*\|/M \text{ for } 1 \leq n \leq T_{m1}\} < 3$ .

On the other hand, since by the above  $2^{Q_{m1}/2} > 2^m M S_{m1}$ , by III\* and (1), for every  $n$  and  $j$  with  $1 \leq n \leq L_{m1}$  and  $1 \leq j \leq N_{m1}$ , there exists a block perturbation

$$\{z_{m1nkj}, z_{m1nkj}^*\}_{k=1}^{2^{Q_{m1}}} \quad \text{of} \quad \{y_{m1nkj}, y_{m1nkj}^*\}_{k=1}^{2^{Q_{m1}}}$$

such that

$$\{\|z_{m1nkj}\|, \|z_{m1nkj}^*\|/M : 1 \leq k \leq 2^{Q_{m1}}\} < 3.$$

We now pass to the definition in the general case: that is, we fix an integer  $i$  with  $1 < i \leq r_{t(m)+1} - r_{t(m)}$  and we suppose to have defined

$$\{y_{q_m+l}, y_{q_m+l}^*\}_{l=1}^{i-1} \cup \{y_n, y_n^*\}_{n=r_{t(m)+1}+1}^{r_{t(m,i-1)}}$$

and

$$\{z_{q_m+l}, z_{q_m+l}^*\}_{l=1}^{i-1} \cup \{z_n, z_n^*\}_{n=r_{t(m)+1}+1}^{r_{t(m,i-1)}}$$

then we are going to define

$$\{y_{q_m+i}, y_{q_m+i}^*\} \cup \{y_n, y_n^*\}_{n=r_{t(m,i-1)+1}}^{r_{t(m,i)}}$$

and

$$\{z_{q_m+i}, z_{q_m+i}^*\} \cup \{z_n, z_n^*\}_{n=r_{t(m,i-1)+1}}^{r_{t(m,i)}}$$

First, we set

$$(3) \quad \begin{aligned} S_{mi} &= 2^{m+2} M r_{t(m,i-1)}, \\ Q_{mi} &= 2(S_{mi} + m)M, \quad N_{mi} = 4^{m+2} Q_{mi} + M S_{mi}. \end{aligned}$$

Again we choose a sequence  $v = \{v_{min}\}_{n=1}^{L_{mi}}$  such that

$$(4) \quad v \text{ is } (1/S_{mi})\text{-dense in the ball of radius } 2S_{mi} \text{ in } \text{span}\{x_n\}_{n=r_{t(m,i-1)+1}}^{r_{t(m,i)}}$$

(then, on setting  $t(m,0) = t(m) + 1$ , the definition of  $v_{m1n}$  agrees with this general definition).

Next, we set, by means of the sequences of (1),

$$(5) \quad \begin{aligned} s'(m,i) &= L_{mi} 2^{Q_{mi}} N_{mi}, \\ s(m,i) &= \text{the first integer } \geq s'(m,i) \text{ such that} \\ &\quad \{x_{s(m,i),n}\}_{n=1}^{s(m,i)} \subset \{x_n\}_{n>r_{t(m,i-1)+1}}, \end{aligned}$$

We arrange the first  $s'(m,i)$  vectors of  $\{x_{s(m,i),n}\}_{n=1}^{s(m,i)}$  in the following way:

$$\{x_{s(m,i),n}\}_{n=1}^{s'(m,i)} = \{ \{ \{ x_{minkj} \}_{j=1}^{N_{mi}} \}_{k=1}^{2^{Q_{mi}}} \}_{n=1}^{L_{mi}}.$$

Now, we set

$$y_{q_m+i} = x_{q_m+i}/S_{mi} - \sum_{n=1}^{L_{mi}} \sum_{j=1}^{N_{mi}} x_{minlj} \quad \text{and} \quad y_{q_m+i}^* = S_{mi} x_{q_m+i}^*$$

moreover, for every  $n$  and  $j$  with  $1 \leq n \leq L_{mi}$  and  $1 \leq j \leq N_{mi}$ , we set

$$(6) \quad y_{minlj} = x_{minlj} + v_{min} \quad \text{and} \quad y_{minlj}^* = x_{minlj}^* + S_{mi} x_{q_m+i}^*$$

while, for  $2 \leq k \leq 2^{Q_{mi}}$ , we set  $y_{minkj} = x_{minkj}$  and  $y_{minkj}^* = x_{minkj}^*$ . Again as for  $i = 1$  there exists

$$\{y_n^*\}_{n=r_{t(m,i-1)+1}}^{r_{t(m,i-1)+1}} \subset \text{span}\{x_{q_m+i}^* \cup \{x_n^*\}_{n=r_{t(m,i-1)+1}}^{r_{t(m,i-1)+1}} \cup \{x_{s(m,i),n}^*\}_{n=1}^{s'(m,i)}\}$$

such that, on setting  $y_n = x_n$  for  $r_{t(m,i-1)} + 1 \leq n \leq r_{t(m,i-1)+1}$ , the sequence

$$\{y_{q_m+i}, y_{q_m+i}^*\} \cup \{y_n, y_n^*\}_{n=r_{t(m,i-1)+1}}^{r_{t(m,i-1)+1}} \cup \{y_{s(m,i),n}, y_{s(m,i),n}^*\}_{n=1}^{s'(m,i)}$$

is biorthogonal.

Now, by III\* and by (1) and (6), there exists a sufficiently large positive integer  $t(m,i)$  such that, on setting

$$\{x_n\}_{n=r_{t(m,i-1)+1}}^{r_{t(m,i)}} = \{x_{s(m,i),n}\}_{n=1}^{s'(m,i)} \cup \{x_{min}\}_{n=1}^{T_{mi}}$$

and  $y_{min} = x_{min}$  and  $y_{min}^* = x_{min}^*$  for  $1 \leq n \leq T_{mi}$ , there exists a block perturbation

$$\{z_{q_m+i}, z_{q_m+i}^*\} \cup \{z_n, z_n^*\}_{n=r_{t(m,i-1)+1}}^{r_{t(m,i-1)+1}} \cup \{z_{min}, z_{min}^*\}_{n=1}^{T_{mi}}$$

of

$$\{y_{q_m+i}, y_{q_m+i}^*\} \cup \{y_n, y_n^*\}_{n=r_{t(m,i-1)+1}}^{r_{t(m,i-1)+1}} \cup \{y_{min}, y_{min}^*\}_{n=1}^{T_{mi}}$$

such that

$$(7) \quad \max\{\|z_{q_m+i}\|, \|z_{q_m+i}^*\|/M; \|z_n\|, \|z_n^*\|/M \text{ for } r_{t(m,i-1)} + 1 \leq n \leq r_{t(m,i-1)+1}; \|z_{min}\|, \|z_{min}^*\|/M, 1 \leq n \leq T_{mi}\} < 3.$$

Again by III\* and (1), (3), (5) and (6) for every  $n$  and  $j$  with  $1 \leq n \leq L_{mi}$  and  $1 \leq j \leq N_{mi}$ , there exists a block perturbation

$$\{z_{minkj}, z_{minkj}^*\}_{k=1}^{2^{Q_{mi}}} \text{ of } \{y_{minkj}, y_{minkj}^*\}_{k=1}^{2^{Q_{mi}}}$$

such that, for every  $k$  with  $1 \leq k \leq 2^{Q_{mi}}$ ,

$$(8) \quad \|z_{minkj}\| < 3, \quad \|z_{minkj}^*\|/M < 3.$$

We proceed in this way till  $y_{q_m+i}$  and  $z_{q_m+i}$  for  $i = r_{t(m)+1} - r_{t(m)}$ ; then we set  $q_{m+1} = r_{t(m,i)}$  for  $i = r_{t(m)+1} - r_{t(m)}$ ; it follows that (2) is satisfied, and moreover,  $\{z_n\}$  is uniformly minimal.

Now we consider the following permutation of  $\{z_n\}_{n=q_m+1}^{q_{m+1}}$ : By (6), (7) and (8) we have

$$\{z_n\}_{n=q_m+1}^{q_{m+1}} = \{z_{q_m+i}\}_{i=1}^{r_{t(m)+1}-r_{t(m)}} \cup \{ \{ z_n \}_{n=r_{t(m,i-1)+1}}^{r_{t(m,i)}} \}_{i=1}^{r_{t(m)+1}-r_{t(m)}}$$

where, for every  $i$  with  $1 \leq i \leq r_{t(m)+1} - r_{t(m)}$ ,

$$\begin{aligned} \{z_n\}_{n=r_{t(m,i-1)+1}}^{r_{t(m,i)}} &= \{z_n\}_{n=r_{t(m,i-1)+1}}^{r_{t(m,i-1)+1}} \cup \{z_{min}\}_{n=1}^{T_{mi}} \\ &\cup \{ \{ \{ z_{minkj} \}_{j=1}^{N_{mi}} \}_{k=1}^{2^{Q_{mi}}} \}_{n=1}^{L_{mi}}. \end{aligned}$$

Now we take a biorthogonal sequence  $\{\tilde{z}_n, \tilde{z}_n^*\}_{n=q_m+1}^{q_m+1}$  which is a permutation of  $\{z_n, z_n^*\}_{n=q_m+1}^{q_m+1}$  where

$$(9) \quad \begin{aligned} \{\tilde{z}_n, \tilde{z}_n^*\}_{n=q_m+1}^{q_m+r_{t(m),1}-r_{t(m),1}+1} &= \{z_{q_m+1}, z_{q_m+1}^*\} \cup \{z_n, z_n^*\}_{n=r_{t(m),1}+1}^{r_{t(m),1}}, \\ \{\tilde{z}_n, \tilde{z}_n^*\}_{n=q_m+r_{t(m,i)}-r_{t(m,0)}+i} &= \{z_{q_m+i}, z_{q_m+i}^*\} \cup \{z_n, z_n^*\}_{n=r_{t(m,i-1)}+1}^{r_{t(m,i)}} \quad \text{for } 1 < i \leq r_{t(m),1} - r_{t(m)}. \end{aligned}$$

Let us check that the assertion is satisfied. Let  $\bar{x} \in X$  with  $\|\bar{x}\| = 1$ ; there are two possibilities:

(A) There exists an integer  $m_0$  such that, for each integer  $m \geq m_0$ , there exists another integer  $i(m)$  so that

$$(10) \quad \begin{aligned} 1 \leq i(m) \leq r_{t(m),1} - r_{t(m)}, \\ |x_{q_m+i(m)}^*(\bar{x})| > M/S_{m,i(m)}, \\ |x_{q_m+j}^*(\bar{x})| \leq M/S_{m,j} \quad \text{for } i(m) + 1 \leq j \leq r_{t(m),1} - r_{t(m)}. \end{aligned}$$

We fix  $\varepsilon > 0$ . Since  $\{x_n\}$  is uniformly minimal, by (1) and by Remark 3 of the introduction there exists an integer  $m'(\varepsilon)$  such that

$$(11) \quad \begin{aligned} 1/2^{m'(\varepsilon)} < \varepsilon/2, \\ |x_n^*(\bar{x})| < \varepsilon/2^3 \quad \text{for each } n > r_{t(m)} \text{ and } m \geq m'(\varepsilon), \\ \left\| \sum_{j=i(m)}^{r_{t(m),1}-r_{t(m)}} x_{q_m+j}^*(\bar{x})x_{q_m+j} \right\| < \varepsilon/2^2 \end{aligned}$$

(where the third inequality follows from the second and from the third inequality of (10)). By  $\Pi^*$  of §1 there exists another integer  $m(\varepsilon) \geq m'(\varepsilon)$  so that, for each  $m \geq m(\varepsilon)$ , there exists  $v_m$  so that

$$(12) \quad \begin{aligned} \left\| \bar{x} - \left\{ \sum_{n=1}^{r_{t(m,i(m))-1}} x_n^*(\bar{x})x_n + v_m \right\} \right\| < \varepsilon/2^2, \\ v_m \in \text{span}\{x_n\}_{n=r_{t(m,i(m))-1}+1}^{r_{t(m,i(m))-1}}, \\ \|v_m\| < 2Mr_{t(m,i(m)-1)}. \end{aligned}$$

Indeed, by (1) and the first inequality we have

$$\begin{aligned} \|v_m\| &< \left\| \bar{x} - \sum_{n=1}^{r_{t(m,i(m))-1}} x_n^*(\bar{x})x_n \right\| + \varepsilon/2^2 \\ &< 1 + Mr_{t(m,i(m)-1)} + \varepsilon/2^2. \end{aligned}$$

On the other hand, by hypothesis and by (6)–(8) we have

$$\begin{aligned} \sum_{n=1}^{q_m+i(m)-1} x_n^*(\bar{x})x_n + \sum_{n=r_{t(m),1}+1}^{r_{t(m,i(m))-1}} x_n^*(\bar{x})x_n \\ = \sum_{n=1}^{q_m+i(m)-1} y_n^*(\bar{x})y_n + \sum_{n=r_{t(m),1}+1}^{r_{t(m,i(m))-1}} y_n^*(\bar{x})y_n \\ = \sum_{n=1}^{q_m+i(m)-1} z_n^*(\bar{x})z_n + \sum_{n=r_{t(m),1}+1}^{r_{t(m,i(m))-1}} z_n^*(\bar{x})z_n \end{aligned}$$

(where the indices  $n$  with  $r_{t(m),1} + 1 \leq n \leq r_{t(m,i(m)-1}$  do not appear if  $i(m) = 1$ ). Therefore, since

$$\sum_{j=i(m)}^{r_{t(m),1}-r_{t(m)}} x_{q_m+j}^*(\bar{x})x_{q_m+j} = \sum_{n=q_m+i(m)}^{r_{t(m),1}} x_n^*(\bar{x})x_n,$$

by (11) and (12) we obtain

$$(13) \quad \begin{aligned} \left\| \bar{x} - \left\{ \sum_{n=1}^{q_m+i(m)-1} x_n^*(\bar{x})x_n + \sum_{n=r_{t(m),1}+1}^{r_{t(m,i(m))-1}} x_n^*(\bar{x})x_n + v_m \right\} \right\| \\ = \left\| \bar{x} - \left\{ \sum_{n=1}^{q_m+i(m)-1} z_n^*(\bar{x})z_n + \sum_{n=r_{t(m),1}+1}^{r_{t(m,i(m))-1}} z_n^*(\bar{x})z_n + v_m \right\} \right\| < \varepsilon/2. \end{aligned}$$

By (10) we have

$$(14) \quad S_{m,i(m)} |x_{q_m+i(m)}^*(\bar{x})| > M.$$

Hence by (4), (11) and (12), for every  $j$  with  $1 \leq j \leq N_{m,i(m)}$ , there exists an integer  $n(j, m)$ , with  $1 \leq n(j, m) \leq L_{m,i(m)}$ , such that

$$\left\| \frac{x_{q_m+i(m)}^*(\bar{x})}{|x_{q_m+i(m)}^*(\bar{x})|} v_{m,i(m),n(1,m)} - v_m \right\| < \frac{1}{S_{m,i(m)}};$$

and for  $2 \leq j \leq N_{m,i(m)}$ ,

$$(15) \quad \begin{aligned} \left\| \frac{x_{q_m+i(m)}^*(\bar{x})}{|x_{q_m+i(m)}^*(\bar{x})|} v_{m,i(m),n(j,m)} \right. \\ \left. + \frac{x_{m,i(m),n(j-1,m),1,j-1}^*(\bar{x})}{S_{m,i(m)} |x_{q_m+i(m)}^*(\bar{x})|} v_{m,i(m),n(j-1,m)} - v_m \right\| < \frac{1}{S_{m,i(m)}} \end{aligned}$$

(since  $v_{m,i(m),n(j-1,m)}$  and  $v_m$  belong to  $\text{span}\{x_n\}_{n=r_{t(m),i(m)-1}+1}^{r_{t(m),i(m)-1}+1}$ , and moreover, by (11) and (14),  $|x_{m,i(m),n(j-1,m),1,j-1}^*(\bar{x})/(S_{m,i(m)}x_{q_m+i(m)}^*(\bar{x}))| < \varepsilon/(2^3M)$ ).

Now set

$$\begin{aligned} \bar{q}_m &= q_m + i(m) - 1 + r_{t(m),i(m)-1} - r_{t(m)+1}, \\ \bar{\varepsilon}_m &= \frac{1}{N_{m,i(m)}S_{m,i(m)}|x_{q_m+i(m)}^*(\bar{x})|}, \\ (16) \quad \{\tilde{z}_{n(k,m)}\}_{k=1}^{N(m)} &= \left\{ \left\{ z_{m,i(m),n(j,m),k,j} \right\}_{k=1}^{2^{Q_{m,i(m)}}} \right\}_{j=1}^{N_{m,i(m)}}, \\ A &= \left\| \bar{x} - \left\{ (1 - \bar{\varepsilon}_m) \sum_{n=1}^{\bar{q}_m} \tilde{z}_n^*(\bar{x}) \tilde{z}_n + \bar{\varepsilon}_m \sum_{k=1}^{N(m)} \tilde{z}_{n(k,m)}^*(\bar{x}) \tilde{z}_{n(k,m)} \right\} \right\|. \end{aligned}$$

Since by (9),

$$q_m \leq \bar{q}_m < n(1,m) < \dots < n(k,m) < \dots < n(N(m),m) \leq q_m + 1,$$

it is sufficient to prove that

$$(17) \quad A < \varepsilon.$$

By (8), (9), (13) and (16) we have

$$\begin{aligned} A &= \left\| \bar{x} - \left\{ \left( 1 - \frac{1}{N_{m,i(m)}S_{m,i(m)}|x_{q_m+i(m)}^*(\bar{x})|} \right) \right. \right. \\ &\quad \times \left( \sum_{n=1}^{q_m+i(m)-1} z_n^*(\bar{x}) z_n + \sum_{n=r_{t(m)+1}+1}^{r_{t(m),i(m)-1}} z_n^*(\bar{x}) z_n \right) \\ &\quad \left. + \frac{1}{N_{m,i(m)}S_{m,i(m)}|x_{q_m+i(m)}^*(\bar{x})|} \right. \\ &\quad \left. \times \sum_{j=1}^{N_{m,i(m)}} \sum_{k=1}^{2^{Q_{m,i(m)}}} z_{m,i(m),n(j,m),k,j}^*(\bar{x}) z_{m,i(m),n(j,m),k,j} \right\} \Big\| \\ &< \varepsilon/2 + A_1 + A_{1,0} \end{aligned}$$

with

$$\begin{aligned} A_1 &= \frac{1}{N_{m,i(m)}S_{m,i(m)}|x_{q_m+i(m)}^*(\bar{x})|} \\ &\quad \times \left\| \sum_{n=1}^{q_m+i(m)-1} x_n^*(\bar{x}) x_n + \sum_{n=r_{t(m)+1}+1}^{r_{t(m),i(m)-1}} x_n^*(\bar{x}) x_n \right\|, \end{aligned}$$

$$\begin{aligned} A_{1,0} &= \left\| \frac{1}{N_{m,i(m)}S_{m,i(m)}|x_{q_m+i(m)}^*(\bar{x})|} \right. \\ &\quad \left. \times \sum_{j=1}^{N_{m,i(m)}} \sum_{k=1}^{2^{Q_{m,i(m)}}} y_{m,i(m),n(j,m),k,j}^*(\bar{x}) y_{m,i(m),n(j,m),k,j} - v_m \right\|. \end{aligned}$$

By (1) and (3)–(14) we have

$$\begin{aligned} A_1 &< \frac{r_{t(m),i(m)-1}M}{N_{m,i(m)}S_{m,i(m)}|x_{q_m+i(m)}^*(\bar{x})|} < \frac{r_{t(m),i(m)-1}}{N_{m,i(m)}} \\ &< 1/4^{m+2Q_{m,i(m)}} < 1/2^{m+3}. \end{aligned}$$

By (6) and (8) we have  $A_{1,0} \leq A_2 + A_{2,0}$  with

$$\begin{aligned} A_2 &= \left\| \frac{1}{N_{m,i(m)}S_{m,i(m)}|x_{q_m+i(m)}^*(\bar{x})|} \right. \\ &\quad \left. \times \sum_{j=1}^{N_{m,i(m)}} \sum_{k=2}^{2^{Q_{m,i(m)}}} x_{m,i(m),n(j,m),k,j}^*(\bar{x}) x_{m,i(m),n(j,m),k,j} \right\|, \\ A_{2,0} &= \frac{1}{N_{m,i(m)}} \left\| \sum_{j=1}^{N_{m,i(m)}} \left( \frac{1}{(S_{m,i(m)}|x_{q_m+i(m)}^*(\bar{x})|} \right) \right. \\ &\quad \left. \times y_{m,i(m),n(j,m),1,j}^*(\bar{x}) y_{m,i(m),n(j,m),1,j} - v_m \right\|. \end{aligned}$$

By (1), (3), (5), (11) and (14) we obtain

$$\begin{aligned} A_2 &< \frac{2}{N_{m,i(m)}S_{m,i(m)}|x_{q_m+i(m)}^*(\bar{x})|} (N_{m,i(m)}2^{Q_{m,i(m)}})^{1/2} \\ &< \frac{2^{Q_{m,i(m)}/2+1}}{(N_{m,i(m)})^{1/2}M} < 2/4^{m+M}S_{m,i(m)} < 1/2^{m+3}. \end{aligned}$$

By (6) and (8) we see that

$$\begin{aligned} A_{2,0} &= \frac{1}{N_{m,i(m)}} \left\| \sum_{j=1}^{N_{m,i(m)}} \left\{ \frac{1}{S_{m,i(m)}|x_{q_m+i(m)}^*(\bar{x})|} \right. \right. \\ &\quad \times (x_{m,i(m),n(j,m),1,j}^*(\bar{x}) + S_{m,i(m)}x_{q_m+i(m)}^*(\bar{x})) \\ &\quad \left. \left. \times (x_{m,i(m),n(j,m),1,j} + v_{m,i(m),n(j,m)}) - v_m \right\} \right\| \leq A_3 + A_{3,0} \end{aligned}$$

with

$$A_3 = \frac{1}{N_{m,i(m)}} \times \left\| \sum_{j=1}^{N_{m,i(m)}} \left( \frac{x_{m,i(m),n(j,m),1,j}^*(\bar{x})}{S_{m,i(m)} |x_{q_m+i(m)}^*(\bar{x})|} + \frac{x_{q_m+i(m)}^*(\bar{x})}{|x_{q_m+i(m)}^*(\bar{x})|} \right) x_{m,i(m),n(j,m),1,j} \right\|,$$

$$A_{3,0} = \frac{1}{N_{m,i(m)}} \left\| \sum_{j=1}^{N_{m,i(m)}} \left\{ \left( \frac{x_{m,i(m),n(j,m),1,j}^*(\bar{x})}{S_{m,i(m)} |x_{q_m+i(m)}^*(\bar{x})|} v_{m,i(m),n(j,m)} + \frac{x_{q_m+i(m)}^*(\bar{x})}{|x_{q_m+i(m)}^*(\bar{x})|} v_{m,i(m),n(j,m)} - v_m \right) \right\} \right\|.$$

By (1), (3), (5), (11) and (14) we have

$$A_3 < \frac{2}{N_{m,i(m)}} \{N_{m,i(m)}(\varepsilon/(M \cdot 2^3) + 1)\}^{1/2} < 4/(N_{m,i(m)})^{1/2} < 4/2^{5m+5S_{m,i(m)}} < 1/2^{m+3}.$$

On the other hand,  $A_{3,0} \leq A_4 + A_5$  with

$$A_4 = \frac{1}{N_{m,i(m)}} \left\| \left\{ \frac{x_{q_m+i(m)}^*(\bar{x})}{|x_{q_m+i(m)}^*(\bar{x})|} v_{m,i(m),n(1,m)} - v_m \right\} + \sum_{j=2}^{N_{m,i(m)}} \left\{ \frac{x_{m,i(m),n(j-1,m),1,j-1}^*(\bar{x})}{S_{m,i(m)} |x_{q_m+i(m)}^*(\bar{x})|} v_{m,i(m),n(j-1,m)} + \frac{x_{q_m+i(m)}^*(\bar{x})}{|x_{q_m+i(m)}^*(\bar{x})|} v_{m,i(m),n(j,m)} - v_m \right\} \right\|,$$

$$A_5 = \frac{1}{N_{m,i(m)}} \left\| \frac{x_{m,i(m),n(N_{m,i(m)},m),1,N_{m,i(m)}}^*(\bar{x})}{S_{m,i(m)} |x_{q_m+i(m)}^*(\bar{x})|} v_{m,i(m),n(N_{m,i(m)},m)} \right\|.$$

By (3) and (15) we have  $A_4 < 1/S_{m,i(m)} < 1/2^{m+2}$ , while by (3), (4), (11) and (14),

$$A_5 < \frac{2\varepsilon S_{m,i(m)}}{M \cdot 2^3 N_{m,i(m)}} < \frac{2\varepsilon}{2^{34m+2Q_{m,i(m)}}} < \frac{1}{2^{m+3}}.$$

Consequently,

$$A < \varepsilon/2 + A_1 + A_2 + A_3 + A_4 + A_5 < \varepsilon/2 + 1/2^m < \varepsilon.$$

That is, (17) is proved.

(B) If (A) does not occur then there exists a subsequence  $\{m(k)\}$  of  $\{m\}$  such that, for every  $k$ ,

$$|x_{q_{m(k)}+i}^*(\bar{x})| \leq M/S_{m(k),i} \quad \text{for } 1 \leq i \leq r_{t(m(k))+1} - r_{t(m(k))}.$$

Hence, by (1) and (3), for every  $k$  we have

$$\left\| \sum_{n=r_{t(m(k))+1}}^{r_{t(m(k))+1}} x_n^*(\bar{x}) x_n \right\| < \sum_{n=r_{t(m(k))+1}}^{r_{t(m(k))+1}} |x_n^*(\bar{x})| < 1/2^{m(k)}.$$

If we set

$$\bar{x} = x' + x'' \quad \text{with} \quad x'' = \sum_{k=1}^{\infty} \sum_{n=r_{t(m(k))+1}}^{r_{t(m(k))+1}} x_n^*(\bar{x}) x_n,$$

it follows that  $x_n^*(x') = 0$  for  $r_{t(m(k))+1} \leq n \leq r_{t(m(k))+1}$  for every  $k$ ; hence by the second part of II\* of §1 we have

$$x' = \sum_{n=1}^{r_{t(m(1))}} x_n^*(x') x_n + \sum_{k=1}^{\infty} \sum_{n=r_{t(m(k))+1}}^{r_{t(m(k+1))}} x_n^*(x') x_n = \sum_{n=1}^{r_{t(m(1))}} x_n^*(\bar{x}) x_n + \sum_{k=1}^{\infty} \sum_{n=r_{t(m(k))+1}}^{r_{t(m(k+1))}} x_n^*(\bar{x}) x_n;$$

therefore, setting  $q_{m(k)} = r_{t(m(k))}$  for every  $k$  and  $q_{m(0)} = 0$ , we have

$$\begin{aligned} \bar{x} &= \sum_{k=0}^{\infty} \sum_{n=q_{m(k)}+1}^{q_{m(k+1)}} x_n^*(\bar{x}) x_n = \sum_{k=0}^{\infty} \sum_{n=q_{m(k)}+1}^{q_{m(k+1)}} y_n^*(\bar{x}) y_n \\ &= \sum_{k=0}^{\infty} \sum_{n=q_{m(k)}+1}^{q_{m(k+1)}} \tilde{y}_n^*(\bar{x}) \tilde{y}_n = \sum_{k=0}^{\infty} \sum_{n=q_{m(k)}+1}^{q_{m(k+1)}} z_n^*(\bar{x}) z_n. \end{aligned}$$

This completes the proof of the Theorem.

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## Operators in finite distributive subspace lattices II

by

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**Abstract.** In a previous paper we gave an example of a finite distributive subspace lattice  $\mathcal{L}$  on a Hilbert space and a rank two operator of  $\text{Alg } \mathcal{L}$  that cannot be written as a finite sum of rank one operators from  $\text{Alg } \mathcal{L}$ . The lattice  $\mathcal{L}$  was a specific realization of the free distributive lattice on three generators. In the present paper, which is a sequel to the aforementioned one, we study  $\text{Alg } \mathcal{L}$  for the general free distributive lattice with three generators (on a normed space). Necessary and sufficient conditions are given for 1) a finite rank operator of  $\text{Alg } \mathcal{L}$  to be written as a finite sum of rank ones from  $\text{Alg } \mathcal{L}$ , and 2) a realization of  $\mathcal{L}$  to contain a finite rank operator of  $\text{Alg } \mathcal{L}$  with the preceding property. These results are then used to show the curiosity that the product of two finite rank operators of  $\text{Alg } \mathcal{L}$  always has the above property.

**1. Introduction.** This paper is a continuation of [7], of which we shall assume familiarity and whose notation we follow.

Briefly, if  $\mathcal{L}$  is a subspace lattice on a normed space  $\mathcal{X}$ , a general question is whether every finite rank operator of  $\text{Alg } \mathcal{L}$  has the FRP, i.e. whether it can be written as a finite sum of rank one operators from  $\text{Alg } \mathcal{L}$ . The question is more natural in the case of completely distributive  $\mathcal{L}$ , as  $\text{Alg } \mathcal{L}$  then has a large supply of rank one operators [4]. Indeed, in the special case of a nest  $\mathcal{L}$  the answer is affirmative [1, 6] and so is the case when  $\mathcal{L}$  is a complete atomic Boolean subspace lattice [5, 3]. (In some of these results  $\mathcal{X}$  was assumed a Hilbert space.) For general completely distributive lattices the answer was again shown to be affirmative if the underlying space was finite-dimensional [5] but the question was finally settled negatively by Hopenwasser and Moore [2] in infinite dimensions. In the same paper they give an affirmative answer if  $\mathcal{L}$  is a finite width (see [2] for the definition) commutative subspace lattice. Their example of a completely distributive subspace lattice  $\mathcal{L}$  for which  $\text{Alg } \mathcal{L}$  fails the FRP has an infinite number of elements. This then left open the case of finite distributive subspace lattices  $\mathcal{L}$ , which was settled negatively in [7]. There, a specific realization of the free distributive lattice  $\mathcal{L}_3$  was