

Evidence of $B^+ \rightarrow \tau^+ \nu$ decays with hadronic B tags

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We present a search for the decay $B^+ \rightarrow \tau^+\nu$ using 467.8×10^6 $B\bar{B}$ pairs collected at the $\Upsilon(4S)$ resonance with the BABAR detector at the SLAC PEP-II B -Factory. We select a sample of events with one completely reconstructed B^- in the hadronic decay mode ($B^- \rightarrow D^{(*)0}X^-$ and $B^- \rightarrow J/\psi X^-$). We examine the rest of the event to search for a $B^+ \rightarrow \tau^+\nu$ decay. We identify the τ^+ lepton in the following modes: $\tau^+ \rightarrow e^+\nu\bar{\nu}$, $\tau^+ \rightarrow \mu^+\nu\bar{\nu}$, $\tau^+ \rightarrow \pi^+\bar{\nu}$ and $\tau^+ \rightarrow \rho^+\bar{\nu}$. We find an excess of events with respect to the expected background, which excludes the null signal hypothesis at the level of 3.8σ (including systematic uncertainties) and corresponds to a branching fraction central value of $\mathcal{B}(B^+ \rightarrow \tau^+\nu) = (1.83_{-0.49}^{+0.53}(\text{stat.}) \pm 0.24(\text{syst.})) \times 10^{-4}$.

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The study of the purely leptonic decay $B^+ \rightarrow \tau^+\nu$ [1] is of particular interest to test the predictions of the Standard Model (SM) and to probe of new physics effects. It is sensitive to the product of the B meson decay constant f_B , and the absolute value of the Cabibbo-Kobayashi-Maskawa matrix element $|V_{ub}|$ [2]. In the SM the branching fraction is given by:

$$\mathcal{B}(B^+ \rightarrow \tau^+\nu) = \frac{G_F^2 m_B m_\tau^2}{8\pi} \left[1 - \frac{m_\tau^2}{m_B^2} \right]^2 f_B^2 |V_{ub}|^2 \tau_{B^+}, \quad (1)$$

where G_F is the Fermi constant, m_B and m_τ are, the B^+ meson and τ lepton masses, respectively, and τ_{B^+} is the B^+ lifetime. Using the Lattice QCD calculation of $f_B = (189 \pm 4)$ MeV [3], and the BABAR measurement of $|V_{ub}|$ from charmless semileptonic B exclusive decays [4], the predicted SM value of the branching fraction is $\mathcal{B}_{SM}(B^+ \rightarrow \tau^+\nu) = (0.62 \pm 0.12) \times 10^{-4}$. If we use the BABAR measurement of $|V_{ub}|$ from inclusive charmless semileptonic B decays [5], the SM prediction is $\mathcal{B}_{SM}(B^+ \rightarrow \tau^+\nu) = (1.18 \pm 0.16) \times 10^{-4}$.

The process is sensitive to possible extensions of the SM. For instance, in two-Higgs doublet models (2HDM) [6] and in minimal supersymmetric extensions [7] it can be mediated by a charged Higgs boson. A branching fraction measurement can, therefore, also be used to constrain the parameter space of new physics models.

The data used in this analysis were collected with the BABAR detector at the PEP-II storage ring. The sample corresponds to an integrated luminosity of 426 fb^{-1} at the $\Upsilon(4S)$ resonance. The sample contains $(467.8 \pm 5.1) \times 10^6$

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TABLE I: Published results for $B^+ \rightarrow \tau^+ \nu$ from *BABAR* and *Belle* collaborations.

Experiment	Tag	Branching Fraction ($\times 10^{-4}$)
<i>BABAR</i>	hadronic [8]	$1.8_{-0.8}^{+0.9} \pm 0.4 \pm 0.2$
<i>BABAR</i>	semileptonic [9]	$1.7 \pm 0.8 \pm 0.2$
<i>Belle</i>	hadronic [10]	$1.79_{-0.49-0.51}^{+0.56+0.46}$
<i>Belle</i>	semileptonic [11]	$1.54_{-0.37-0.31}^{+0.38+0.29}$

$B\bar{B}$ decays ($N_{B\bar{B}}$). The detector is described in detail elsewhere [12]. Charged particle trajectories are measured in the tracking system composed of a five-layer double-sided silicon vertex tracker and a 40-layer drift chamber, operating in a 1.5 T solenoidal magnetic field. A Cherenkov detector is used for charged π - K discrimination, a CsI calorimeter for photon and electron identification, and the flux return of the solenoid, which consists of layers of iron interspersed with resistive plate chambers or limited streamer tubes, for muon and neutral hadron identification.

We use a Monte Carlo (MC) simulation based on *GEANT4* [13] to estimate signal selection efficiencies and to study backgrounds. In MC simulated signal events, one B^+ meson decays as $B^+ \rightarrow \tau^+ \nu$ and the other decays in any final state. The $B\bar{B}$ and continuum MC samples are equivalent to approximately 3 times and 1.5 times the data sample, respectively. Beam-related background and detector noise are sampled from data and overlaid on the simulated events.

We reconstruct an exclusive decay of one of the B mesons in the event (which we refer to as the tag- B) and examine the rest of the event for the experimental signature of $B^+ \rightarrow \tau^+ \nu$. The tag- B reconstruction can be performed by looking at both hadronic B decays and semileptonic B decays. Published results from both *BABAR* and *Belle* are summarized in Table I.

We reconstruct the tag- B candidate in the set of hadronic decays $B^- \rightarrow M^0 X^-$, where M^0 denotes a $D^{(*)0}$ or a J/ψ , and X^- denotes a system of hadrons with total charge -1 composed of $n_1\pi^\pm$, n_2K^\pm , $n_3\pi^0$, $n_4K_s^0$ where $n_1 + n_2 \leq 5$, n_2 , n_3 and $n_4 \leq 2$. We reconstruct the D^0 as $D^0 \rightarrow K^-\pi^+$, $K^-\pi^+\pi^0$, $K^-\pi^+\pi^-\pi^+$, $K_s^0\pi^0$, $K_s^0\pi^+\pi^-$, $K_s^0\pi^+\pi^-\pi^0$, K^+K^- , or $\pi^+\pi^-$. We reconstruct the D^{*0} meson as $D^{*0} \rightarrow D^0\pi^0$, $D^0\gamma$, and the J/ψ meson via their decays $J/\psi \rightarrow e^+e^-$, $\mu^+\mu^-$. Two kinematic variables are used to discriminate between correctly reconstructed tag- B candidates and misreconstructed events: the beam energy-substituted mass $m_{ES} \equiv \sqrt{s/4 - p_B^2}$, and the energy difference $\Delta E \equiv E_B - \sqrt{s}/2$, where \sqrt{s} is the total energy in the $\Upsilon(4S)$ center-of-mass system (CM) and p_B and E_B respectively denote the momentum and the energy of the tag- B candidate in the CM. The resolution on ΔE is measured to be $\sigma_{\Delta E} = 10 - 35$ MeV, depending on the decay mode; we require $|\Delta E| < 3\sigma_{\Delta E}$. Events with a tag- B candidate arise from two possible classes with different m_{ES}

distributions. One class includes signal events with a correctly reconstructed tag- B , and background events from $\Upsilon(4S) \rightarrow B^+B^-$ with a correctly reconstructed tag- B . All these events are characterized by an m_{ES} distribution peaked at the nominal B mass (signal and peaking background). The other classes of events consist of continuum background, $e^+e^- \rightarrow q\bar{q}$ ($q = u, s, c$) and $e^+e^- \rightarrow \tau^+\tau^-$, and combinatorial background, $\Upsilon(4S) \rightarrow B^0\bar{B}^0$ or B^+B^- in which the tag- B is misreconstructed. These events are characterized by a smooth m_{ES} distribution.

If multiple tag- B candidates are reconstructed in the event, we select the one with the lowest value of $|\Delta E|$. After the reconstruction of the tag- B , we require the presence of only one well-reconstructed track (signal track), with charge opposite to that of the tag- B . The purity \mathcal{P} of each reconstructed tag- B decay mode is estimated as the ratio of the number of peaking events with $m_{ES} > 5.27$ GeV to the total number of events in the same range. The yield in data is determined by means of an extended unbinned maximum likelihood fit to the m_{ES} distribution, as shown in Fig. 1. We use a phenomenologically motivated threshold function (ARGUS function [14]) as probability density function (PDF) to describe the continuum and combinatorial background components in the fit, while for the correctly reconstructed tag- B component we use a Gaussian distribution plus an exponential tail for the PDF (Crystal Ball function) [15]. We use only events with the tag- B reconstructed in decay modes with $\mathcal{P} > 0.1$. Combinatorial and continuum background distributions in any discriminating variable are estimated from a sideband in m_{ES} (5.209 GeV $< m_{ES} < 5.260$ GeV) and are extrapolated into the signal region ($m_{ES} > 5.270$ GeV) using the results of a fit to an ARGUS function. The peaking B^+B^- background shape is determined from B^+B^- MC, after subtraction of the combinatorial component to avoid double counting.

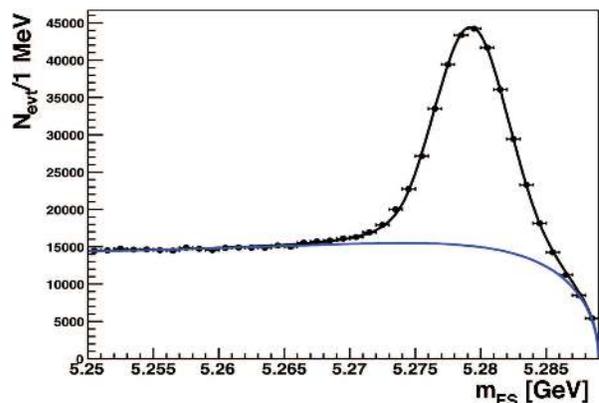


FIG. 1: Fit to the m_{ES} distribution in data. Dots are data, the upper curve is the global fit result and the lower curve represents the fitted combinatorial and continuum background.

The signal-side τ lepton is reconstructed in four decay modes: $\tau^+ \rightarrow e^+\nu\bar{\nu}$, $\tau^+ \rightarrow \mu^+\nu\bar{\nu}$, $\tau^+ \rightarrow \pi^+\nu$, and $\tau^+ \rightarrow \rho^+\nu$, totaling approximately 70% of all τ decays. We separate the event sample into four categories using particle identification criteria applied to the signal track (e^+ , μ^+ , and π^+). The $\tau^+ \rightarrow \rho^+\nu$ sample is obtained by associating the signal track π^+ with a π^0 reconstructed from a pair of neutral clusters with an invariant mass between 115 MeV/ c^2 and 155 MeV/ c^2 .

In order to remove the $e^+e^- \rightarrow \tau^+\tau^-$ background, we impose τ mode dependent requirements on the ratio between the 2nd and the 0th Fox-Wolfram moments R2 [16] calculated using all the tracks and neutral clusters of the event. This preserves 90% of the $B^+ \rightarrow \tau^+\nu$ signal.

To reject continuum background, we use the absolute value of $\cos\theta_{TB}$, the cosine of the angle in the CM frame between the thrust axis [17] of the tag- B and the thrust axis of the remaining charged and neutral candidates in the event. For correctly reconstructed tag- B candidates the $|\cos\theta_{TB}|$ distribution is expected to be uniform, while for jet-like $e^+e^- \rightarrow q\bar{q}$ continuum events it peaks strongly at 1. In order to reject background from events with a correctly reconstructed tag- B , we study the distribution of several discriminating variables exploiting the different kinematics between the signal and background of the remaining reconstructed candidates. We use the missing momentum polar angle in the laboratory frame $\vec{p}_{miss} = \vec{p}_{CM} - \vec{p}_{tagB} - \vec{p}_{trk} - \sum_{neut} \vec{p}_i$, where \vec{p}_{CM} is the total momentum of the beams, \vec{p}_{tagB} is the reconstructed momentum of the tag- B , and \vec{p}_{trk} is the reconstructed track momentum, and the sum is extended on all the neutral candidates reconstructed in the calorimeter not assigned to the tag- B . For the $\tau^+ \rightarrow \pi^+\nu$ mode, we combine p_{trk}^* (where the star denotes the CM frame) and the cosine of the angle between \vec{p}_{miss} and the beam axis ($\cos\theta_{miss}$) in a likelihood ratio

$$L_P = \frac{L_S(p_{trk}^*, \cos\theta_{miss})}{(L_S(p_{trk}^*, \cos\theta_{miss}) + L_B(p_{trk}^*, \cos\theta_{miss}))}, \quad (2)$$

where the signal (S) and background (B) likelihoods have been obtained from the product of the PDFs of the two discriminating variables: $L_S(p_{trk}^*, \cos\theta_{miss}) = P_S(p_{trk}^*)P_S(\cos\theta_{miss})$ and $L_B(p_{trk}^*, \cos\theta_{miss}) = P_B(p_{trk}^*)P_B(\cos\theta_{miss})$. Similarly, for the $\tau^+ \rightarrow \rho^+\nu$ mode we combine four discriminating variables in the likelihood ratio L_P : $\cos\theta_{miss}$, the invariant mass of the π^0 candidate, the ρ^+ candidate momentum, and the invariant mass of the $\pi^+\pi^0$ pair used to make the ρ^+ candidate. The PDFs used in the likelihood ratio for the signal and background are determined from signal and B^+B^- MC samples, respectively.

The most powerful discriminating variable is E_{extra} , defined as the sum of the energies of the neutral clusters not associated with the tag- B or with the signal π^0 from the $\tau^+ \rightarrow \rho^+\nu$ mode, and passing a minimum energy requirement (60 MeV). Signal events tend to peak at low E_{extra} . Background events, which contain addi-

tional sources of neutral clusters, tend to be distributed at higher values. The signal region in data is kept blind until the end of the analysis chain when we extract the signal yield, meaning that we do not use events in data with $E_{extra} < 400$ MeV during the selection optimization procedure and for the evaluation of background shapes.

We optimize the selection requirements, including those on the purity \mathcal{P} of the tag- B and the minimum energy of the neutral clusters, minimizing the expected uncertainty in the branching fraction fit. In order to estimate the uncertainty, which includes the statistical and the dominant systematic sources, we run 1000 MC simulated pseudo experiments extracted from the background and signal expected E_{extra} distributions for a set of possible selection requirements, assuming a signal branching fraction of 1.8×10^{-4} [8].

Table II summarizes the signal selection requirements and Fig. 2 shows the E_{extra} distribution with all the selection requirements applied. The background events populating the low E_{extra} region are mostly semileptonic B decays for the leptonic modes. For the $\tau^+ \rightarrow \pi^+\nu$ mode the background is composed mostly of charmless hadronic B decays and semileptonic B decays with a muon in the final state. For the $\tau^+ \rightarrow \rho^+\nu$ mode the backgrounds are charmed hadronic B decays, semileptonic B decays with a muon in the final state and a small fraction with a τ .

TABLE II: Optimized signal selection criteria for each τ mode.

Variable	e^+	μ^+	π^+	ρ^+
\mathcal{P}	> 10%			
Cluster energy (MeV)	> 60			
R2	< 0.57	< 0.56	< 0.56	< 0.51
$ \cos\theta_{TB} $	< 0.95	< 0.90	< 0.65	< 0.8
L_P	> 0.30		> 0.45	

We use an extended unbinned maximum likelihood fit to the measured E_{extra} distribution to extract the $B^+ \rightarrow \tau^+\nu$ branching fraction. The likelihood function for the N_k candidates reconstructed in one of the four τ decay modes k is

$$\mathcal{L}_k = \frac{e^{-(n_{s,k}+n_{b,k})}}{N_k!} \prod_{i=1}^{N_k} \left\{ n_{s,k} \mathcal{P}_k^s(E_{i,k}) + n_{b,k} \mathcal{P}_k^b(E_{i,k}) \right\}, \quad (3)$$

where $n_{s,k}$ is the signal yield, $n_{b,k}$ is the background yield, $E_{i,k}$ is the E_{extra} value of the i^{th} event, \mathcal{P}_k^s is the PDF of signal events, and \mathcal{P}_k^b is the PDF of background events. The background yields in each decay mode are permitted to float independently of each other in the fit, while the signal yields are constrained to a single branching ratio via the relation:

$$n_{s,k} = N_{B\bar{B}} \times \epsilon_k \times \mathcal{B} \quad (4)$$

where ϵ_k is the reconstruction efficiency of a particular τ decay mode, and \mathcal{B} is the $B^+ \rightarrow \tau^+\nu$ branching fraction. The parameters $N_{B\bar{B}}$ and ϵ_k are fixed in the fit

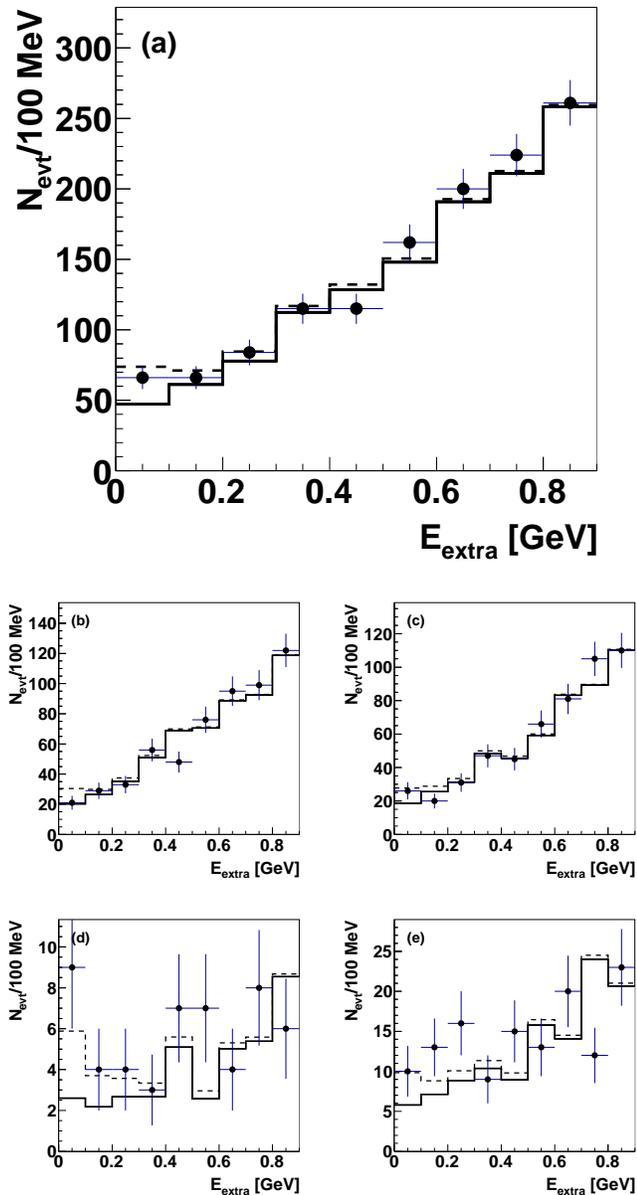


FIG. 2: E_{extra} distribution in data (points with error bars) with all selection requirements applied and fit results overlaid. The hatched histogram is the background and the dashed component is the best-fit signal excess distribution. Plot (a) shows all τ decay modes fitted simultaneously. Lower plots show the projection of the simultaneous fit result on the four analyzed τ decay modes: (b) $\tau^+ \rightarrow e^+ \nu \bar{\nu}$, (c) $\tau^+ \rightarrow \mu^+ \nu \bar{\nu}$, (d) $\tau^+ \rightarrow \pi^+ \nu$, (e) $\tau^+ \rightarrow \rho^+ \nu$.

while \mathcal{B} is allowed to vary. The reconstruction efficiencies ϵ_k , which include the τ branching fractions, are obtained from MC-simulated signal events (see Table III). Since the tag- B reconstruction efficiency is included in ϵ_k and is estimated from the signal MC, we apply a correction factor of $R_{\text{data}/\text{MC}} = 0.926 \pm 0.010$ to take into account

data/MC differences. This is derived from the ratio of the peaking component of the m_{ES} distribution for the hadronic tag- B in data and in MC simulated events.

The signal PDF is obtained from a high statistics signal sample of MC simulated data. We use a sample of fully reconstructed events to correct the signal PDF for data/MC disagreement. In addition to the reconstructed tag- B , a second B is reconstructed in the hadronic or the semileptonic decay mode using tracks and neutral clusters not assigned to the tag- B . In order to estimate the correction to the signal PDF, we compare the distribution of E_{extra} in this double tagged event sample from experimental data and MC simulations. The MC distributions are normalized to the experimental data and the comparison is shown in Fig. 3. We extract the correction function by taking the ratio of the two distributions and fitting it with a second order polynomial.

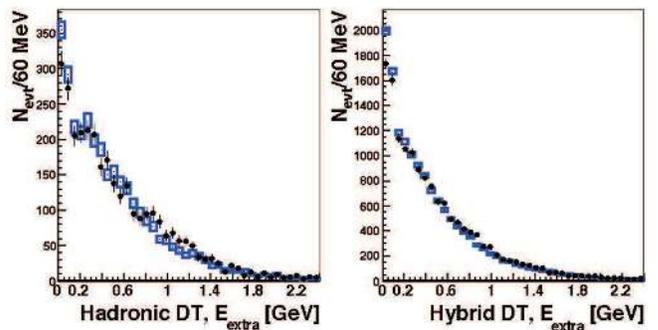


FIG. 3: E_{extra} distribution for double tagged events. The “signal” B is reconstructed in hadronic decays (left plot) or semileptonic decays (right plot). Points are data and boxes are MC simulation.

We determine the PDF of the combinatorial background from the m_{ES} sideband. The normalization of this component in the signal region is obtained by fitting the m_{ES} distribution after the selection has been applied. The shape of the peaking background is taken from B^+B^- MC. The two background components are added together into a single background PDF. We estimate the branching fraction by minimizing $-\ln \mathcal{L}$, where $\mathcal{L} = \prod_{k=1}^4 \mathcal{L}_k$, and \mathcal{L}_k is given in Eq. 3. The projections of the fit results are shown in Fig. 2.

We observe an excess of events with respect to the expected background level and measure a branching fraction of $\mathcal{B}(B^+ \rightarrow \tau^+ \nu) = (1.83_{-0.49}^{+0.53}) \times 10^{-4}$, where the uncertainty is statistical. Table III summarizes the results from the fit. We evaluate the significance of the observed signal, including only statistical uncertainty, as $S = \sqrt{2 \ln(\mathcal{L}_{s+b}/\mathcal{L}_b)}$, where \mathcal{L}_{s+b} and \mathcal{L}_b denote the obtained maximum likelihood values in the signal and background, and the background only hypotheses, respectively. We find $S = 4.2\sigma$.

Additive systematic uncertainties are due to the uncertainties in the signal and background E_{extra} PDF

TABLE III: Reconstruction efficiency ϵ , measured branching fractions, and statistical uncertainty obtained from the fit with all the modes separately and constrained to the same branching fraction. The τ decay mode branching fractions are included in the efficiencies.

Decay Mode	$\epsilon_k(\times 10^{-4})$	Signal yield	$\mathcal{B}(\times 10^{-4})$
$\tau^+ \rightarrow e^+ \nu \bar{\nu}$	2.47 ± 0.14	4.1 ± 9.1	$0.35^{+0.84}_{-0.73}$
$\tau^+ \rightarrow \mu^+ \nu \bar{\nu}$	2.45 ± 0.14	12.9 ± 9.7	$1.12^{+0.90}_{-0.78}$
$\tau^+ \rightarrow \pi^+ \nu$	0.98 ± 0.14	17.1 ± 6.2	$3.69^{+1.42}_{-1.22}$
$\tau^+ \rightarrow \rho^+ \nu$	1.35 ± 0.11	24.0 ± 10.0	$3.78^{+1.65}_{-1.45}$
combined		62.1 ± 17.3	$1.83^{+0.53}_{-0.49}$

TABLE IV: Contributions to systematic uncertainty on the branching fraction.

Source of systematics	\mathcal{B} uncertainty (%)
Additive	
Background PDF	10
Signal PDF	2.6
Multiplicative	
Tag- B efficiency	5.0
B counting	1.1
Electron identification	2.6
Muon identification	4.7
Kaon identification	0.4
Tracking	0.5
MC statistics	0.6
Total	13

shapes used in the fit. To estimate the systematic uncertainty in the background PDF shape we repeat the fit of the branching fraction with 1000 variations of the background PDFs, varying each bin content within its statistical uncertainty. We use the range of fitted branching fractions covering 68% of the distribution as systematic uncertainty yielding an overall contribution of 10%. We correct the systematic effects of disagreements between data and MC E_{extra} distributions for signal events using a sample of completely reconstructed events in data and MC, as already described. To estimate the related systematic uncertainties, we vary the parameters of the second-order polynomial defining the correction within their uncertainty and repeat the fit to the $B^+ \rightarrow \tau^+ \nu$ branching fraction. We observe a 2.6% variation that we take as the systematic uncertainty on the signal shape. Including the effects of additive systematic uncertainties, the significance of the result is evaluated as 3.8σ .

Multiplicative systematic uncertainties on the efficiency stem from the uncertainty in the tag- B efficiency correction (5.0%), electron identification (2.6%), muon identification (4.7%), charged kaon veto (0.4%), and the finite signal MC statistics (0.8%). Table IV summarizes the systematic uncertainties. The total systematic uncertainty is obtained by combining all sources in quadrature.

In summary, we have measured the branching fraction of the decay $B^+ \rightarrow \tau^+ \nu$ using a tagging algorithm based on the reconstruction of hadronic B decays using a data sample of 467.8×10^6 $B\bar{B}$ pairs collected with the *BABAR* detector at the PEP-II B -Factory. We measure the branching fraction to be $\mathcal{B}(B^+ \rightarrow \tau^+ \nu) = (1.83^{+0.53}_{-0.49}(\text{stat.}) \pm 0.24(\text{syst.})) \times 10^{-4}$, excluding the null hypothesis by 3.8σ . (including systematic uncertainty). This result supersedes our previous result using the same technique [8]. Combining this result with the other *BABAR* measurement of $\mathcal{B}(B^+ \rightarrow \tau^+ \nu)$ derived from a statistically independent sample [9], we obtain $\mathcal{B}(B^+ \rightarrow \tau^+ \nu) = (1.79 \pm 0.48) \times 10^{-4}$, where both statistical and systematic uncertainties are combined in quadrature.

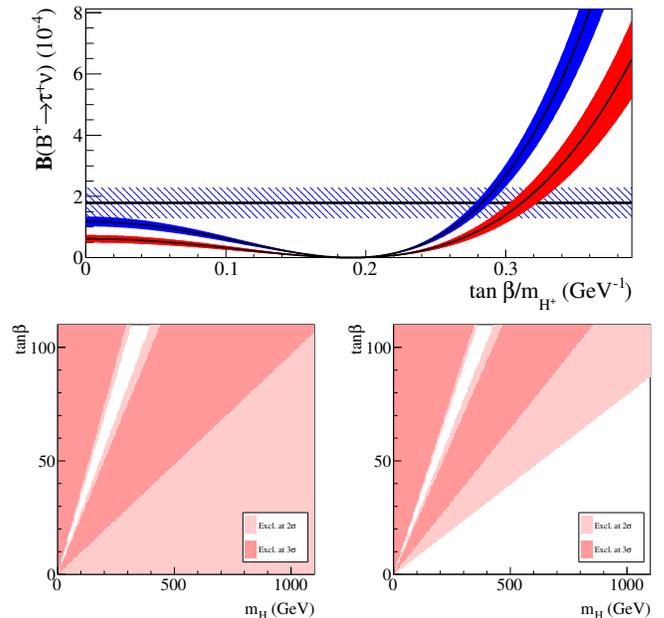


FIG. 4: Top plot: Comparison between the measured $\mathcal{B}(B^+ \rightarrow \tau^+ \nu)$ branching fraction (horizontal band) with the prediction of the 2HDM as a function of $\tan \beta / m_{H^+}$, using exclusive (red/light gray) or inclusive (blue/dark gray) $|V_{ub}|$ measurement. Bottom plots: 90% and 99% C.L. exclusion regions in the $(m_{H^+}, \tan \beta)$ plane using the exclusive (left) and inclusive (right) measurements of $|V_{ub}|$.

Our measurement of the branching fraction $\mathcal{B}(B^+ \rightarrow \tau^+ \nu)$ exceeds the prediction of the SM determined using the values of $|V_{ub}|$ extracted from exclusive semileptonic events and from inclusive semileptonic events by 2.4σ and 1.6σ , respectively. We also determine, separately for the exclusive and inclusive $|V_{ub}|$ *BABAR* measurements, 90% C.L. exclusion regions in the parameter space of the 2HDM - type II $(m_{H^+}, \tan \beta)$, where m_{H^+} is the charged Higgs mass and $\tan \beta$ is the ratio of the vacuum expectation values of the two Higgs doublets. We find that, taking $|V_{ub}|$ from the exclusive measurement, most of the parameters space is excluded at 90% C.L. Using the higher value of $|V_{ub}|$ from the inclusive measurement,

the constraints are less stringent but already set a lower limits at the TeV scale for high $\tan\beta$. The same implications on 2HDM are supported by a recent *BABAR* study of the $\mathcal{B}(B \rightarrow D^{(*)}\tau\nu)$ decays [18]. Fig. 4 shows a comparison between the measured $\mathcal{B}(B^+ \rightarrow \tau^+\nu)$ branching fraction with the prediction of the 2HDM as a function of $\tan\beta/m_{H^+}$ and the exclusion plots in the $(m_{H^+}, \tan\beta)$ plane for the exclusive and inclusive measurements of $|V_{ub}|$.

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