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1 **Evidence of widespread effects of ozone on crops and (semi-)natural vegetation**  
2 **in Europe (1990 - 2006) in relation to AOT40 - and flux-based risk maps**

3

4

5 **Running title**

6 Ozone effects on vegetation in Europe

7

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23 **Key words**

24 Ozone, crops, (semi-)natural vegetation, clover, ozone injury, biomass reduction,  
25 ambient air, AOT40, flux, risk assessment

## 1 **Abstract**

2 Records of effects of ambient ozone pollution on vegetation have been compiled for  
3 Europe for the years 1990 – 2006. Sources include scientific papers, conference  
4 proceedings, reports to research funders, records of confirmed ozone injury symptoms  
5 and an international biomonitoring experiment coordinated by the ICP Vegetation.  
6 The latter involved ozone-sensitive (NC-S) and ozone-resistant (NC-R) biotypes of  
7 white clover (*Trifolium repens* L.) grown according to a common protocol and  
8 monitored for ozone injury and biomass differences in 17 European countries, from  
9 1998 to 2006. Effects were separated into visible injury or growth/yield reduction.  
10 Of the 644 records of visible injury, 39% were for crops (27 species), 38.1 % were for  
11 (semi-)natural vegetation (95 species) and 22.9% were for shrubs (49 species). Due  
12 to inconsistencies in reporting effort from year to year it was not possible to determine  
13 geographical or temporal trends in the data. Nevertheless, this study has shown  
14 effects in ambient air in 18 European countries from Sweden in the north to Greece in  
15 the south. These effects data were superimposed on AOT40 (accumulated ozone  
16 concentrations over 40 ppb) and POD<sub>3gen</sub> (modelled accumulated stomatal flux over a  
17 threshold of 3 nmol m<sup>-2</sup> s<sup>-1</sup>) maps generated by the EMEP Eulerian model (50 km x 50  
18 km grid) that were parameterised for a generic crop based on wheat and NC-S/NC-R  
19 white clover. Many effects were found in areas where the AOT40 (crops) was below  
20 the critical level of 3 ppm h. In contrast, the majority of effects were detected in grid  
21 squares where POD<sub>3gen</sub> (crops) were in the mid-high range (> 12 mmol m<sup>-2</sup>). Overall,  
22 maps based on POD<sub>3gen</sub> provided better fit to the effects data than those based on  
23 AOT40, with the POD<sub>3gen</sub> model for clover fitting the clover effects data better than  
24 that for a generic crop.

25

## 1 **Introduction**

2

3 Concentrations of ozone in the troposphere have increased over recent decades  
4 (Vingarzan, 2004, Derwent *et al.*, 2007), with health-based guidelines regularly  
5 exceeded across much of Europe (Meleux *et al.*, 2007, EEA, 2009). In addition to  
6 health impacts (described in WHO, 2008), ozone is considered to be more damaging  
7 to vegetation than any other air pollutant (Ashmore, 2005). Experimental exposures  
8 at concentrations that can be experienced in Europe, especially in hot summers like  
9 2003, have shown that crops and (semi-)natural vegetation could be damaged by  
10 reduced growth and seed production (e.g. Mills *et al.*, 2007a, Hayes *et al.*, 2007a,  
11 Booker *et al.*, 2009), premature senescence (e.g. Tonneijck *et al.*, 2004), reduced  
12 ability to over-winter (e.g. Hayes *et al.*, 2006) and withstand stresses such as drought,  
13 (e.g. Wilkinson and Davies, 2009), and by producing visible injury symptoms such as  
14 chlorotic and bronze stippling of leaves (e.g. Manning *et al.*, 2002). Earlier European  
15 surveys and biomonitoring experiments conducted during the 1980s and 1990s  
16 indicated that ambient ozone concentrations were sufficiently high to induce visible  
17 injury on over 20 crops growing in countries extending from Sweden in the north to  
18 Italy and Spain in southern Europe (Benton *et al.*, 2000, Fumagalli *et al.*, 2001). With  
19 northern hemispheric ozone concentrations predicted to continue to rise, at least for  
20 the next few decades (Royal Society, 2008), we undertook a study to review the  
21 evidence for effects of current ambient ozone on crops and (semi-)natural vegetation  
22 in Europe over the period 1990 – 2006, and related this evidence to maps being used  
23 by the LRTAP Convention<sup>1</sup> to indicate the areas of greatest risk of effects over these  
24 years. For one biomonitoring system (ozone-sensitive and –resistant white clover,

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<sup>1</sup> Convention on Long-range Transboundary Air Pollution

1 described later) we also related biomass effects and visible injury to site- and year-  
2 specific modelled ozone parameters that describe both ozone concentration and ozone  
3 flux (uptake *via* the stomatal pores on the leaf surface). Thus, we provide here field-  
4 based biological validation of mapped European ozone risk assessment indices,  
5 information identified as urgently required by both Manning (2003) and Simpson *et*  
6 *al.* (2007).

7 The general increase in ozone concentrations in the Northern hemisphere in recent  
8 decades has been associated with a change in the profile of maximum seasonal and  
9 diurnal ozone concentrations. Owing to implementation of precursor emission  
10 controls, peak ozone concentrations in the USA and Western Europe have declined  
11 from 1980 to present, with the decline being less steep in recent years (Derwent *et al.*,  
12 2007, Vingarzan, 2004, Jenkin, 2008, Lefohn *et al.*, 2008, Solberg *et al.*, 2005). At  
13 the same time, background ozone concentrations have increased due to hemispheric  
14 transport of precursors from the developing areas of the world (NEG-TAP, 2001,  
15 Dentener *et al.*, 2006). Looking to the future, significant declines in background  
16 ozone in Europe will only be achievable if strict global controls on ozone precursor  
17 emissions are implemented (Royal Society, 2008, Dentener *et al.*, 2006). A  
18 confounding factor in predicting future ozone climates is that higher temperatures and  
19 reduced cloudiness and precipitation as a result of climate change may increase  
20 summer peak and average ozone concentrations (Meleux *et al.*, 2007). Current  
21 predictions are that by 2030, effects of ground level ozone pollution will have major  
22 implications for global food security with global yield losses due to ozone rising to 9  
23 – 18% for wheat and 4 – 8% for rice under scenarios that allow for implementation of  
24 current legislation to control pollutant emissions (Van Dingenen *et al.*, 2009). It has  
25 also recently emerged that ozone may reduce the ability of vegetation to absorb

1 carbon dioxide resulting in even greater carbon dioxide concentrations in the  
2 atmosphere in the future, further increasing radiative forcing (Felzer *et al.*, 2005, Sitch  
3 *et al.*, 2007). Predictions for the future are complicated by enhanced temperature  
4 increasing ozone production over and above that associated with global increases in  
5 precursor emissions, and the ameliorating effect of increasing carbon dioxide  
6 concentration on ozone effects (by reducing stomatal uptake), see reviews by Booker  
7 *et al.*, 2009, Feng and Kobayashi, 2009. Within the context of evaluating potential  
8 effects of ozone in the future, it is timely to synthesise data on the current evidence of  
9 effects of ozone in Europe on crops and (semi-)natural vegetation, and to draw  
10 attention to the urgent need to further reduce the emissions of ozone precursors on a  
11 global scale.

12

13 A recent synthesis of published dose-response function data from field-based chamber  
14 experiments revealed that the most ozone-sensitive crops include wheat, soybean,  
15 pulses and tomato, with potato, sugar beet, rape and maize being moderately sensitive  
16 (Mills *et al.*, 2007a). In a similar study for (semi-)natural vegetation, Mills *et al.*  
17 (2007b), compiled published dose-response functions for individual species and  
18 proposed that grasslands (especially uplands, dry grasslands and woodland fringes),  
19 heaths and wetlands are amongst the most ozone-sensitive habitats in Europe.

20 Although these compilations of exposure-response data provide an indication of the  
21 relative sensitivity of different species, they do not provide actual evidence that  
22 current ozone climates are damaging vegetation in the open field without any  
23 confounding influence of an exposure chamber (as described in Heagle, 1989 and  
24 Sanders *et al.*, 1991). Field-release studies with ozone are relatively few, and have  
25 provided mixed results. For example, Morgan *et al.* (2006) found that yield

1 reductions for soybean were even larger than those predicted from open-top chamber  
2 experiments whilst Bassin *et al.* (2009) found that alpine vegetation was relatively  
3 resistant to ozone despite the sensitivity of the component species.

4

5 Several previously un-collated sources of evidence of visible ozone injury in ambient  
6 air in Europe exist in the literature including surveys of crops and (semi-)natural  
7 vegetation together with *ad hoc* observations. Examples include a survey of ozone  
8 injury symptoms on vegetation in an alpine valley (Bussotti *et al.*, 2003b); surveys of  
9 symptoms on crops in Belgium, France, Spain and Switzerland (Benton *et al.*, 2000)  
10 and surveys conducted in countries in the Carpathian mountain range area of central  
11 Europe (Manning *et al.*, 2002). Researchers have also reported the presence of visible  
12 injury symptoms on crops grown in ambient air plots included as part of open-top  
13 chamber based ozone exposure experiments (e.g. De Temmerman *et al.*, 2002).  
14 These types of data are useful sources of evidence of ozone effects in the field  
15 providing (as in the examples quoted), the assessors of ozone injury are well trained,  
16 follow a clearly defined protocol and preferably, that the ozone symptoms have been  
17 confirmed using ozone exposure experiments (Bussotti *et al.*, 2006, Lorenz *et al.*,  
18 2008, Manning and Godzik, 2004).

19

20 Further evidence of ozone effects in ambient air derives from surveys conducted by  
21 placing a sentinel bioindicator species of known sensitivity to ozone at a series of sites  
22 in a local area and assessing the plants for injury symptoms at intervals thereafter.  
23 Ozone-sensitive tobacco (*Nicotiana tabacum* L. cv BELW3) has been used in several  
24 such surveys, particularly in Southern Europe (e.g. Spain, Ribas and Penuelas, 2003  
25 and Italy, Nali *et al.*, 2006). Over the last fifteen years, the participants of the ICP

1 Vegetation<sup>2</sup> have compiled evidence of ozone effects in ambient air by conducting a  
2 series of coordinated experiments across Europe with ozone-sensitive- (NC-S) and  
3 ozone-resistant (NC-R) biotypes of a commercial cultivar of white clover (*Trifolium*  
4 *repens* cv Regal) originally selected in Raleigh, North Carolina (Heagle *et al.*, 1994).  
5 The NC-S strain develops ozone injury symptoms following an ambient ozone  
6 episode involving ozone concentrations of 50 – 60 ppb and higher, and after  
7 prolonged exposure to ozone has reduced above-ground growth whilst the NC-R  
8 biotype only responds to ozone at substantially higher concentrations. In addition to  
9 ozone injury data, this biomonitoring system also provides evidence of ambient ozone  
10 effects of ambient concentrations on biomass – an effect of potentially greater  
11 importance than visible injury.  
12  
13 During the last fifteen years, the ozone-effects research community in Europe has  
14 been establishing methods for determining the critical levels for ozone, above which  
15 effects on sensitive species can be expected. The overall aim has been to develop  
16 methods for mapping the areas of Europe where vegetation is at highest risk of ozone  
17 damage. The resulting maps are used within the LRTAP Convention as part of the  
18 negotiations for reductions in emissions of the precursors of ozone from the 51  
19 signatories to the Convention (for details, see  
20 [http://www.unece.org/env/lrtap/lrtap\\_h1.htm](http://www.unece.org/env/lrtap/lrtap_h1.htm)). Contributing countries agree to  
21 reductions by signing Convention Protocols, the most recent being the Gothenburg  
22 Protocol (1999) to abate acidification, eutrophication and ground-level ozone which is  
23 currently under review. The negotiations concerning ozone for the Gothenburg  
24 Protocol were based on exceedance of a concentration-based long-term critical level

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<sup>2</sup> The International Cooperative Programme on Effects of Air Pollution on Natural Vegetation and Crops



1 of ozone for crops and (semi-)natural vegetation. This value, an AOT40<sup>3</sup> of 3 ppm h  
2 accumulated over three months was set at the Kuopio Workshop in 1996 (Kärenlampi  
3 and Skärby, 1996) and is still considered to be the lowest AOT40 at which significant  
4 yield or biomass loss due to ozone can be detected for agricultural crops and (semi-  
5 )natural vegetation dominated by annuals, according to current knowledge (LRTAP  
6 Convention, 2004). A critical level for visible injury has also been derived based on  
7 analysis of many ozone parameters in the days preceding ozone injury development  
8 on *Trifolium subterraneum* (Pihl-Karlsson *et al.*, 2004). The “short-term” critical level  
9 is a VPD-modified AOT30 (AOT30<sub>VPD</sub><sup>4</sup>) of 0.16 ppm.h accumulated over the eight  
10 days prior to injury development (LRTAP Convention, 2004).

11

12 Recent research for the LRTAP Convention has led to a new index being developed  
13 that has a stronger biological basis than AOT40. It models the flux of ozone from the  
14 exterior of the leaf through the stomatal pores to the sites of damage (“stomatal flux”  
15 or “flux”) using algorithms describing the species-specific effects of temperature,  
16 Photosynthetic Photon Flux Density (PPFD), soil water potential, vapour pressure  
17 deficit (VPD) and plant growth stage on stomatal functioning (Emberson *et al.*, 2000,  
18 Pleijel *et al.*, 2007). To date, flux-based critical levels have been derived for wheat,  
19 potato and provisionally for beech and birch, and flux-based risk assessment methods  
20 have been developed for a generic crop and generic tree species for use in large-scale  
21 integrated assessment modelling (LRTAP Convention, 2004). The latter are  
22 simplified full flux models that do not take into account genetic variability in  
23 sensitivity and use only temperature, PPFD and VPD as factors modifying stomatal

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<sup>3</sup> The sum of the differences between the hourly mean ozone concentration (in ppb) and 40 ppb for each hour when the concentration exceeds 40 ppb, accumulated during daylight hours.

<sup>4</sup> The sum of the differences between the hourly mean ozone concentration (in ppb) modified by VPD (using the function described in LRTAP Convention, 2004) and 30 ppb when the concentration exceeds 30 ppb during daylight hours.

1 conductance with the notation,  $\text{PODY}_{\text{gen}}^5$  and units  $\text{mmol m}^{-2}$ , where  $Y = 3$  for the  
2 generic crop flux model used in this study. The threshold  $Y$  is similar in concept to  
3 “40” in AOT40 and represents an amount of ozone flux that can be tolerated (or  
4 detoxified) by the plant before negative effects begin to occur. The  $\text{POD3}_{\text{gen}}$  (crop)  
5 model is based on the parameterisation for wheat described in Pleijel *et al.* (2007).  
6 Unfortunately, there is no generally-accepted  $\text{POD3}_{\text{gen}}$  for clover yet, and in any case  
7 the environmental and pollution-exposure conditions of potted plants within the ICP  
8 programme differ from those that would apply to homogeneous canopies of clover,  
9 but we use here available knowledge to derive a flux estimate for this network. This  
10 new index,  $\text{POD3}_{\text{gen}}(\text{Clover})$  is used alongside the more standard AOT40 and  
11  $\text{POD3}_{\text{gen}}(\text{Crop})$  functions in this paper.  
12  
13 Risk maps produced using AOT40 and generic flux (to crops or trees) provide very  
14 different spatial patterns of ozone impacts (Simpson *et al.*, 2007, Karlsson *et al.*,  
15 2009). For both metrics, ozone impacts are predicted for southern Europe where  
16 ozone concentrations are highest. However, risk maps based on generic flux to crops  
17 indicate effects in central and north-west Europe where lower ozone concentrations  
18 and AOT40 values below the critical level occur in climatic conditions (moderate  
19 temperatures, moist climates) that are conducive to relatively high ozone flux. In the  
20 current study, we superimpose maps of ozone effects detected in the field onto  
21 AOT40- and  $\text{POD3}_{\text{gen}}$  flux-based risk maps (for crops and NCS/NCR white clover) to  
22 determine whether the more biologically meaningful flux approach is a better  
23 predictor of vegetation damage than AOT40. Ideally ozone risk maps should be  
24 generated from measurements of AOT40 and flux, however, measured ozone

---

<sup>5</sup>Accumulated flux above a flux threshold of  $Y \text{ nmol m}^{-2} \text{ s}^{-1}$ , accumulated over a defined time period during daylight hours, using the generic flux model. Note, this index was formerly known as  $\text{AF}_{\text{st}Y}$ .

1 concentrations are only available from ca. 130 sites in Europe (reported in  
2 EMEP/CCC reports available at [www.emep.int](http://www.emep.int) such as Fjæraa and Hjellbrekke,  
3 2008), and spatial distribution of measurements is not uniform with many parts of  
4 eastern and southern Europe poorly covered. For ozone fluxes, hardly any measured  
5 data exist, with just a few research sites providing values (e.g. Tuovinen *et al.*, 2004,  
6 2007). Thus, assessment of risk of effects to vegetation is dependant on modelling  
7 procedures that can provide a geographically broad-scale prediction of both the  
8 AOT40 and the  $POD3_{gen}$  metrics. Within Europe, the model of the European  
9 Evaluation and Monitoring Programme (EMEP) plays a key role in developing air  
10 pollution control strategies for both the LRTAP Convention and the European Union  
11 (see Simpson *et al.*, 2003 for details). The model results for AOT40 have been  
12 compared with observations in, for example, Fowler *et al.* (1999) and Simpson *et al.*  
13 (2003), and in general fair agreement ( $R > 0.7$ ) was obtained. Far fewer data are  
14 available to evaluate the model's flux predictions, but studies by, for example,  
15 Tuovinen *et al.* (2004) and Klingberg *et al.* (2008), provide support for the basic  
16 formulation and deposition rates. The uncertainties associated with the EMEP model  
17 are considered further in the Discussion.

18

19 In conducting this study, we set out to answer the following questions: How  
20 widespread is the occurrence of ozone injury and ozone-induced biomass reduction in  
21 Europe and which species of crops, (semi-)natural vegetation and shrubs are affected?  
22 Is there any evidence of temporal or spatial trends in ozone effects as a result of  
23 changing ozone profiles? How well do AOT40-based and flux-based maps predict the  
24 areas where ozone injury and/or biomass reductions have been detected in ambient  
25 air? This paper provides an overview of analysis of ozone effects data for the period

1 1990 – 2006; further details including the regional distributions of effects can be  
2 found in a report by Hayes *et al.* (2007b).

3

4

## 5 **Materials and methods**

### 6 *Sources of ozone–effects data*

7 Data were sourced from scientific papers and conference proceedings published up to  
8 2007 (see Tables 1 - 3), national-scale reports to funders of research (listed in Tables  
9 1-3 as unpublished), the ICP Vegetation database for the clover experiment (described  
10 below) and specialists that have published papers on ozone effects that had noted  
11 confirmed ozone injury symptoms in the field (listed in Tables 1 - 3 as unpublished).  
12 Each record of an effect was entered into a database together with the species name,  
13 location of species (pot, experimental plot, commercial field, natural vegetation), date  
14 of observation, nature and extent of effect (injury, biomass or yield), local ozone and  
15 climate data if available, grid reference and data source. Only data with a known grid  
16 reference were included in the database.

17

### 18 *Records of observations of visible-injury symptoms in ambient air*

19 Records of visible injury symptoms attributed to ozone included data observed on  
20 grasses, forbs, shrubs and crop species growing in experimental pots or plots, natural  
21 vegetation communities and commercial crops from surveys conducted during spring  
22 and summer months over the period 1990 - 2006. The database includes records of  
23 visible injury from biomonitoring experiments conducted for the ICP Vegetation  
24 using nine species from 1994 - 1996 (see Benton *et al.*, 2000 for details), and white  
25 clover conducted from 1996 – 2006 (see below for details). There are also records

1 included from both unpublished data and *ad-hoc* observations supplied by ozone-  
2 specialists that participate in the ICP Vegetation. Overall, this part of the database  
3 contained 644 records, of which 70.6% were published in the scientific literature and  
4 29.3% were unpublished data.

5

6 With such a diverse dataset, there was a need to apply quality assurance procedures to  
7 ensure the data were comparable. Records of the highest quality were supplied by  
8 scientists that have collected seeds or cuttings from species showing injury in the  
9 field, grown the species and exposed it to ozone experimentally to confirm the  
10 symptoms. Since such records only accounted for ca. 6% of the data available,  
11 records were also included if collected by scientists who research and publish papers  
12 on ozone pollution effects and therefore are familiar with ‘typical’ ozone injury  
13 symptoms and could confirm that the symptoms seen matched those published  
14 following ozone exposure of the same or related species. No symptoms described as  
15 ‘possibly’ due to ozone were included in the analysis presented here. Assessments of  
16 the amount of injury per leaf are subject to variation between researchers with  
17 underestimates of damage commonly occurring (e.g. Bussotti *et al.*, 2006). To avoid  
18 such confounding factors when comparing across survey types, ozone leaf injury data  
19 were only included if the presence, rather than the extent, of symptoms was recorded.

20

21 *Evidence of ozone effects from ICP Vegetation biomonitoring studies with white*  
22 *clover*

23 The ICP Vegetation biomonitoring programme has involved exposure of an ozone  
24 sensitive (NC-S) biotype of white clover (*Trifolium repens* L. cv. Regal) to ambient  
25 air since 1996. Cuttings of clover were sent by the Programme Coordination Centre

1 at the Centre for Ecology and Hydrology, Bangor (UK) to participants across Europe,  
2 who established the plants according to a standard protocol (see Mills *et al.*, 2000 for  
3 details). Twenty-eight days after establishing the cuttings, 10 to 25 plants of the NC-  
4 S clover were placed in 15 l pots and exposed to ambient air for four to six months at  
5 each site. The date for the start of the exposure varied between sites and between  
6 years, according to local growing seasons and experimental needs. The majority of  
7 sites began exposure of plants in May or June, and the last assessments were carried  
8 out in September or October. Plants were cut back to a height of 7cm every 28 days  
9 to allow new leaves to develop. At the time of these harvests, the plants were  
10 assessed for ozone-specific leaf injury using a common protocol. Injury was apparent  
11 in varying magnitudes ranging from pale cream stipples on the leaf surface to large  
12 necrotic patches with leaves severely damaged. For comparison across sites, ozone  
13 injury was scored as the percentage of leaves visibly damaged by ozone using the  
14 following key: 1 = <1% of leaves affected, 2 = 1-5%, 3 = 5-25%, 4 = 25-50%, 5 = 50-  
15 90% and 6 = 90-100%. At some sites, ozone-resistant plants (NC-R) were also grown  
16 according to the same protocol; the ratio of the biomass of NC-S to NC-R  
17 (accumulated over harvests 2, 3 and 4 representing three months of growth) provided  
18 an indication of ambient ozone effects on growth at these sites. For those sites visited  
19 daily, the date of first appearance of ozone injury symptoms, defined as the date when  
20 20% of the plants have one or more injured leaves, was recorded. All data were  
21 checked for quality assurance prior to inclusion in the dataset as described in Mills *et*  
22 *al.* (2000).

23

24 Scored injury data were available from a total of 45 sites, representing 16 countries  
25 across Europe from 1998 to 2006 and biomass ratio data were available from 1996 to

1 2006 for a total of 41 sites from 15 countries (Table 4). However, each individual site  
2 did not necessarily perform the investigation every year meaning there were very few  
3 sites with a long time-run of data.

4

#### 5 *Ozone risk maps*

6 The EMEP Eulerian model maps ozone concentrations and fluxes on an (approx.) 50  
7 km x 50 km grid. Described in detail by Simpson *et al.* (2003), the EMEP model  
8 simulates the emissions, transport, transformation and removal of pollutants, and  
9 includes the calculation of ozone fluxes using the Deposition of Ozone and Stomatal  
10 Exchange module (DO<sub>3</sub>SE, described in Emberson *et al.*, 2000, Simpson *et al.*, 2003,  
11 2007 and references therein). For use in this study, the EMEP model generated  
12 AOT40 values for crops (termed here AOT40 (crop)) and generic crop flux (POD3<sub>gen</sub>  
13 (crop)) maps using the methods described in the Modelling and Mapping Manual of  
14 the LRTAP Convention (LRTAP Convention, 2004) for the years 1995 through to  
15 2004, inclusive. Parameterisation of the generic crop flux model is reproduced in  
16 Table 5. The accumulation period for AOT40 (crop) and POD3<sub>gen</sub> (crop) was three  
17 months, with the timing of the accumulation window reflecting the period of active  
18 growth of wheat and centred on anthesis (LRTAP Convention, 2004). This approach  
19 provided a moving time interval to reflect the early growing seasons in southern  
20 Europe and later growing seasons in northern Europe. The classification scales for  
21 the EMEP risk maps presented here have been chosen to provide a fair comparison  
22 between AOT40 and POD3<sub>gen</sub> maps. Each map has six categories for values  
23 exceeding zero, plus a zero category, with the maximum whole number value  
24 recorded in any of the years included being divided by six to provide the six evenly  
25 spaced categories.

1

2 For this study we have also developed a clover flux model that is specific to NC-  
3 S/NC-R clover ( $POD3_{gen}$  (clover)) as used at the ICP biomonitoring network. The  
4 basic formulation is similar to that of other vegetation but uncertainties are introduced  
5 in calculating the ozone concentration at the canopy height because the clover plants  
6 in the ICP Vegetation network were in pots surrounded by short vegetation (grass  
7 species) – a complex situation for modelling. Thus, here we have assumed that the  
8 dominant vegetation is grassland rather than clover itself, with the ozone  
9 concentration gradients around the plants being driven more by the surroundings than  
10 by the characteristics of clover. On the other hand, the stomatal conductance of the  
11 clover itself still drives the uptake of  $O_3$  into the plant. In an effort to account for this  
12 complex situation, we calculate the stomatal conductance of clover with clover-  
13 specific parameters, but make use of the  $O_3$  gradients calculated for grassland to  
14 calculate the  $O_3$  at canopy top. The parameterisations for this model are provided in  
15 Table 5, with the derivation and equations described in the Annex to this paper.  
16 Clover  $POD3_{gen}$  and clover AOT40 maps have been generated for values accumulated  
17 over 84 days starting 15 June (the mean start date for ICP Vegetation clover  
18 experiments) to represent the three 28d growth periods. Grid square values for these  
19 maps are the average of five years data for 2000 – 2004.

20

### 21 *Comparison of ozone-effects data with EMEP modelled AOT40 and $POD3_{gen}$*

22 Four sets of data were compared with EMEP modelled AOT40 and  $POD3_{gen}$  maps  
23 and/or grid square values. Firstly, the 50 x 50 km grid squares where visible injury  
24 had been detected in published surveys and *ad hoc* observations and the ICP  
25 Vegetation biomonitoring experiments described here during the period 1995 -2004



1 were mapped with the ten year mean values for crop AOT40 and crop POD3<sub>gen</sub>. For  
2 grid squares where an effect was detected in more than one year in the five-year  
3 periods, the presence of effect was only counted once. The second dataset was the  
4 mean injury score on white clover for the period June to August on NC-S white clover  
5 over the five-year period 2000 – 2004. This data were mapped against AOT40  
6 (clover), AOT40 (crop), POD3<sub>gen</sub> (clover) and POD3<sub>gen</sub> (crop) for illustration but  
7 could not be used to produce dose-response functions due to the non-linearity in the  
8 injury score used in the plant-level assessments. The third dataset was for a sub-set of  
9 the clover biomonitoring sites where the first day of ozone injury was recorded.  
10 Clover AOT40, AO30<sub>VPD</sub> and POD3<sub>gen</sub> were calculated for the days from the start of  
11 the experiment and the eight days prior to ozone injury for use in an assessment of the  
12 short-term critical level for visible injury. Lastly, the fourth dataset used was the  
13 white clover biomass ratio (NC-S/NC-R) calculated from the total biomass for the  
14 months May, June and July, normalised for the 1.05 ratio recorded at zero AOT40  
15 (Hayes *et al.*, 2007b) and converted to percentage biomass reduction. The five-year  
16 mean values per site were mapped against the five-year mean crop and clover AOT40  
17 and POD3<sub>gen</sub> (2000 – 2004). In addition, the site- and year-specific values for clover  
18 AOT40 (clover) and POD3<sub>gen</sub> (clover) using the site starting date were accumulated  
19 over 84 days and plotted against biomass ratio.

20

### 21 *Statistical analysis*

22 The datasets described were deemed too inconsistent for analysis of temporal and  
23 spatial trends as the number of sites surveyed for injury or included in the clover  
24 biomonitoring experiment varied from year to year and were not systematically  
25 selected for geographical representation. Response functions were fitted to the

1 biomass data by non-linear regression within Minitab V.15. Where applicable, data  
2 are presented visually for four regions of Europe Northern Europe (NE), Atlantic  
3 Central Europe (ACE), Continental Central Europe (CCE), Mediterranean (Med),  
4 with countries included in each region as listed in LRTAP Convention (2004). In  
5 Tables 1-3, the Mediterranean region is split into eastern and western Mediterranean.

6

## 7 **Results**

### 8 *Visible injury surveys, including injury occurrence on experimental ambient air plots*

9 Over the years 1990 – 2006, ozone injury was detected in 16 countries of Europe  
10 representing each of the five geographical regions studied (Tables 1 - 3 and Figures 1-  
11 3). Records of injury were particularly common from Italy, Spain, and Switzerland,  
12 but were also common for more northern countries such as Belgium and Sweden.  
13 Overall, ozone injury was most commonly reported in central and Mediterranean  
14 Europe with more than 200 published records available for each of these regions, with  
15 fewer records reported in ACE and NE. There were large year-to-year differences in  
16 the number of published and unpublished records reported across Europe (Figure 2).  
17 Since this inconsistency may well have reflected the sporadic nature of surveys rather  
18 than fluctuations in ozone climate, it was not possible to statistically analyse this  
19 dataset for any geographical or temporal trends. Instead, the focus of the analysis of  
20 the visible injury dataset has been the overall geographical spread of sites where  
21 injury occurred together with the range of species injured in each region, based on the  
22 combined records for the period 1990 - 2006.

23

24 Of the 644 records of visible injury over the period 1990 – 2006, 39% were for crops,  
25 38.1 % were for forbs and grasses, and 22.9% were for shrubs. Overall, 27 crop

1 species exhibited visible injury including agricultural crops such as maize, potato,  
2 wheat, durum wheat and soybean, and horticultural crops such as lettuce, chicory,  
3 radish, courgette and onion (Table 1). These effects were detected in 14 countries  
4 representing each of the five geographical regions. Ten or more crops were injured in  
5 Greece, Italy and Spain and it is also of note that ozone injury was detected on six  
6 crop species in Sweden (NE).

7

8 Ninety-five species of grasses and forbs exhibited typical ozone injury in ambient air  
9 at sites across Europe (Table 2). Overall, the vast majority of the species injured were  
10 forbs, with injury being more difficult to identify on grasses and only reported for 8  
11 species. Species from the same genus were injured at sites in several countries. For  
12 example, *Centaurea jacea* was injured in Poland, Switzerland and Italy, *Centaurea*  
13 *nigra* was injured in Italy, UK and Ukraine, *Centaurea paniculata* was injured in  
14 Switzerland and *Centaurea scabiosa* was injured in France and the Ukraine. In  
15 addition to the *Trifolium* spp. used in the ICP Vegetation biomonitoring programme,  
16 other examples of genus' that were well represented within the database were *Rubus*  
17 spp, with injury recorded on five species growing in France, Switzerland, Italy and  
18 Spain and *Epilobium* spp. with three species injured in France, Spain and Italy.

19

20 Ozone injury was reported for 49 species of shrubs growing in France, Italy, Poland,  
21 Spain and Switzerland (Table 3). Records for *Viburnum* spp. were the most  
22 widespread with injury occurring on four species at sites in France, Italy, Spain and  
23 Switzerland. *Rosa canina* was injured in three countries (Italy, Spain and  
24 Switzerland) whilst many other species were injured in two countries such as  
25 *Lonicera caprifolium*, *Robinia pseudoacacia* and *Sambucus racemosa*.

1  
2 The geographical distribution of locations in Europe where visible injury was detected  
3 and published in the scientific literature over the period 1995 – 2004 is shown in  
4 Figure 3 superimposed on the 10 year average for AOT40 (crop) and POD3<sub>gen</sub> (crop).  
5 There was a clear north-south increase for AOT40 (crop), with the highest modelled  
6 values being found in Italy, whilst POD3<sub>gen</sub> (crop) was > 18 mmol m<sup>-2</sup> across a large  
7 region of Europe covering central and southern areas and spreading northwards into  
8 southern UK and Scandinavia. Overall, 62% of injury locations were in grid squares  
9 with AOT40 (crop) values below the critical level of 3 ppm h to protect crops against  
10 effects on biomass and yield suggesting that some effects (even if not on biomass and  
11 yield) can occur well below this value (Figure 3). No obvious threshold value was  
12 apparent but most grid squares (29%) were in the category 2 - 3 ppm h for AOT40  
13 (crop). In contrast, only 9% of the injury locations were found within grid squares  
14 with an POD3<sub>gen</sub> (crop) of < 12 mmol m<sup>-2</sup>, with 7%, 27% and 47.3% of locations  
15 falling in grid square categories 12 - 18, 18 – 24 and 24 – 30 mmol m<sup>-2</sup> respectively.  
16 The proportion of grid squares with injury falling within the three highest categories  
17 was 22% for AOT40 (crop) and 56% for POD3<sub>gen</sub> (crop). From Figure 3b, a  
18 POD3<sub>gen</sub> (crop) of 12-18 mmol m<sup>-2</sup> can be tentatively interpreted as a threshold for  
19 likely occurrence of ozone injury. Unfortunately, published records of the absence of  
20 ozone symptoms are extremely rare making it impossible from this evidence to  
21 confirm this threshold.

22

23 *Visible injury occurrence in the ICP Vegetation white clover biomonitoring*  
24 *experiments.*

1 Ozone injury occurred at almost all sites in the years included in this study (1998 –  
2 2004), with only 5 of the 52 site/year combinations recording an absence of injury. A  
3 sub-set of sites (28 data points) recorded the first date of ozone injury on NC-S white  
4 clover. For the 8 days prior to injury appearance or for all days since day 0 of the  
5 experiment, the EMEP modelled AOT40 (clover) provided little evidence for a  
6 threshold AOT40 value (Figure 4a). For both time periods, the cumulative frequency  
7 of percentage of data points increased rapidly with increasing AOT40 (clover), with  
8 42.9% and 35.7% of data being for AOT40s below 0.1 ppm h (for 8 days and day 0 to  
9 first day of injury, respectively). A similar pattern existed for AOT30<sub>VPD</sub> (clover)  
10 (Figure 4b) with 4 sites recording ozone injury at grid square AOT30<sub>VPD</sub> of below the  
11 critical level of 0.16 ppm h (Italy-Isola Serafini 1999, Italy-Rome 2004, Belgium-  
12 Tervuren 2004, UK-Ascot 2004). In contrast, there was stronger evidence of a  
13 threshold for POD3<sub>gen</sub> (clover) of ca. 3-4 mmol m<sup>-2</sup> with 3.5% of data points falling  
14 below 2 mmol m<sup>-2</sup>, 7.2% falling between 2 and 3 mmol m<sup>-2</sup>, and 30.2% falling  
15 between 3 and 4 mmol m<sup>-2</sup> for POD3<sub>gen</sub> (clover) values accumulated for 8 days prior  
16 to injury (Figure 4c).

17

18 The five-year mean injury score values were superimposed on crop and clover  
19 AOT40 and POD3<sub>gen</sub> maps to illustrate the geographical distribution (Figure 5). The  
20 AOT40 maps for the two time periods showed similar patterns but AOT40 (clover)  
21 values were larger than AOT40 (crop) values. Site-specific AOT40 (clover) values,  
22 determined for 84 days from 15 June were higher than AOT40 (crop) values (clover  
23 AOT40 (clover) = 1.72 \* AOT40 (crop),  $r^2 = 0.75$ , figure not presented) reflecting the  
24 higher ozone concentrations in the later months of the clover experiment (mean start  
25 dates were 33, 35 and 54 days later for ACE, CCE and Med respectively). POD3<sub>gen</sub>

1 (clover) values were also higher than  $\text{POD3}_{\text{gen}}$  (crop) values with the region of  
2 medium - high fluxes ( $> 24 \text{ mmol m}^{-2}$ ) covering larger areas of Europe stretching as  
3 far north as southern UK and southern Scandinavia. Overall, the highest injury scores  
4 on white clover were detected in central and southern Europe, but not all of these sites  
5 coincided with the highest mean crop or clover AOT40 values (Figure 5). In contrast,  
6 the injury score data showed a closer correlation with  $\text{POD3}_{\text{gen}}$  with the highest injury  
7 scores being associated with medium to high fluxes. For example, all sites with a  
8 mean score  $>1$  had an  $\text{POD3}_{\text{gen}}$  (clover) of  $> 36 \text{ mmol m}^{-2}$ . The corresponding value  
9 for AOT40 was an AOT40 (clover) of 1 ppm h.

10 .

11

#### 12 *Effects on biomass in the ICP Vegetation white clover biomonitoring experiment*

13 Data from 10 countries contributed to this analysis, with the mean normalised %  
14 biomass reduction being greatest in Italy (33.3 %, n=10) and Greece (30%, n= 2),  
15 with no effects being consistently detected in the UK (Table 4). For other countries  
16 there was a wide range in % biomass reduction reflecting year to year variation in  
17 AOT40 (clover ) and  $\text{POD3}_{\text{gen}}$  (clover), with maximum reductions being as high as  
18 25.2 %, 20.8%, and 24.8 % for Austria, Germany and Spain respectively. The mean  
19 biomass reduction per site is shown in Figure 6 for crop and clover AOT40 and  
20  $\text{POD3}_{\text{gen}}$  averaged over the period 2000 – 04. For both AOT40 (crop) and AOT40  
21 (clover), mean biomass reductions of  $> 10\%$  were detected in areas where the  
22 modelled AOT40 was below the critical level of 3 ppm h (Figure 6). By comparison,  
23 such higher mean effects were found in the grid squares with medium – high fluxes.

24

1 Site- and year-specific grid values for AOT40 (clover) and AOT40 (crop) were  
2 calculated from the EMEP model outputs and plotted against percentage biomass  
3 reduction (Figure 7). Not surprisingly there was a lot of scatter in this data (discussed  
4 later). Separate plots of the data for sites with modelled AOT40 values that exceeded  
5 the critical level of 3 ppm h revealed linear relationships that were significant for  
6 AOT40(clover) ( $r^2 = 0.58$ ,  $p < 0.001$ ) where data from CCE and MED contributed, but  
7 not for AOT40 (crop) ( $r^2 = 0.28$ ,  $p = 0.179$ ) where only data from MED had an AOT40  
8 of  $> 3$  ppm h. However, the relationship for AOT40 (clover) seems to be being  
9 driven by the large number of points with an AOT40 of 3 - 4 ppm h, with relatively  
10 few points for the higher AOT40 values. A similar approach was used for  $POD3_{gen}$ .  
11 For both Figure 8a and Figure 8b there is some indication of a threshold value, above  
12 which ozone effects start to occur consistently. For  $POD3_{gen}$  (clover), this threshold is  
13 ca.  $40 \text{ mmol m}^{-2}$ ; a separate plot of the sub-set of data for  $POD3_{gen} > 40$  shows a  
14 strong linear relationship ( $r^2 = 0.58$ ,  $p < 0.001$ ), with data points from ACE and CCE  
15 well spread along the regression line. The relationship between  $POD3_{gen}$  (crops) and  
16 clover biomass reduction was less strong for data points where  $POD3_{gen}$  (crops)  $> 15$   
17  $\text{mmol m}^{-2}$  especially for the higher fluxes, but was significant ( $r^2 = 0.21$ ,  $p = 0.002$ ).  
18  
19 For the 57 data points within the clover biomass dataset, the grid square values were  
20 compared for AOT40 (clover) and  $POD3_{gen}$  (clover) (Figure 9). There was a strong  
21 polynomial relationship between the two parameters ( $p = 0.93$ ) which could be broken  
22 down into region-specific linear relationships. At low AOT40s,  $POD3_{gen}$  was higher  
23 for CCE than for ACE presumably indicating climatic conditions that were more  
24 conducive to ozone uptake. Between AOT40s of 0 and 3 ppm h,  $POD3_{gen}$  for ACE  
25 increased more slowly for CCE and MED than for ACE; this slower rate of

1 accumulation of  $\text{POD}_{3\text{gen}}$  per unit AOT40 in CCE and MED continued at the higher  
2 AOT40s not found in ACE.

3

#### 4 **Discussion**

5 This study has clearly indicated that many crops and (semi-)natural vegetation  
6 communities are responding to current ambient ozone in Europe. The most easily  
7 recognisable and most commonly reported effect has been the development of  
8 characteristic ozone injury on leaves. Such effects have been noted every year over  
9 the period 1990 – 2006, with over 170 species being reported as having developed  
10 ozone injury. Injury was reported in each of the five geographical regions of Europe,  
11 including in northern Europe where maps indicate relatively low AOT40. There is  
12 also evidence from the ICP Vegetation clover experiment that ambient ozone  
13 concentrations are sufficiently high at several locations in Europe to reduce the  
14 growth of an ozone-sensitive species. Injury and biomass effects were most  
15 prevalent in southern European countries, but were also found in central and northern  
16 Europe. The results presented here provide significant progress towards identifying  
17 field evidence of the improved performance of flux-based compared to concentration-  
18 based risk maps and provide some justification for the biologists' preference for flux-  
19 based approaches (as outlined by Simpson *et al.*, 2007). They also highlight the  
20 potential threat to vegetation from future increases in ozone pollution predicted for the  
21 near decades.

22

23 The ozone effects data presented here have been subjected to quality assurance  
24 procedures in order to reduce uncertainty. The highest potential source of uncertainty  
25 was in the field observations whereby scientists may have wrongly assigned visible



1 injury caused by other stresses to ozone pollution. This was minimised by inclusion  
2 of observations that were either verified by ozone exposure experiments or recorded  
3 by experienced ozone-specialist scientists, the latter being the most consistent of 25  
4 observers in a quality assurance trial described by Bussotti *et al.* (2006). Injury data  
5 from the ICP Vegetation clover experiments were more robust as a common protocol  
6 was followed using plant material originating from the same source. Photographs  
7 were provided to guide assessments and the use of a broad range of injury scores  
8 rather than % injury helped to prevent the problems of under-estimation of damage  
9 described by Bussotti *et al.* (2003a). The NC-S and NC-R biotypes were originally  
10 selected in the southern-Europe-like climate of North Carolina, USA (Heagle *et al.*,  
11 1994), but have been extensively employed in many parts of the USA as  
12 bioindicators. In the current study, rigorous quality assurance checks (described in  
13 Mills *et al.*, 2000) resulted in exclusion of about one-quarter of the data, including  
14 some from northern Europe where the NC-R biotype was sensitive to downy mildew  
15 in wetter summers.

16

17 Much of the evidence presented here is based on the occurrence of ozone injury on  
18 the leaves of sensitive species. Several authors have argued that visible injury is  
19 sometimes of little biological significance to the plant in that growth or seed  
20 production are not always reduced by ozone when injury symptoms are present (e.g.  
21 review by Bassin *et al.*, 2007). We tested this argument by comparing lists of species  
22 injured by ozone in ambient air with response functions we derived in earlier studies  
23 for yield and biomass effects that were based on ozone-exposure experiments (Hayes  
24 *et al.*, 2007a, Mills *et al.*, 2007a). Of the nine crop species with an AOT40 critical  
25 level of  $\leq 5$  ppm h (Mills *et al.*, 2007a), all except cotton and turnip exhibited ozone

1 injury in the surveys reported here. Similarly, 5 of the 8 species classified by Mills *et*  
2 *al.* (2007a) as moderately sensitive (maize, sugar beet, potato, tobacco and grapevine)  
3 were identified as showing ozone injury in the field whilst no visible effects were  
4 reported for the crops classified by Mills *et al.* (2007a) as resistant to ozone. Of the  
5 species of (semi-)natural vegetation exhibiting injury, 9 were reported by Hayes *et al.*  
6 (2007a) as having a relative sensitivity based on biomass effects of <0.9, and 6 had a  
7 relative sensitivity of 0.9 – 1. At the time of the Hayes *et al.* (2007a) study, there was  
8 no/insufficient biomass response data available with which to classify the other  
9 species that are reported here as developing ozone injury in the field. Although data  
10 from injury surveys cannot be directly compared with yield or biomass response data,  
11 there is thus clear evidence that those species known to respond negatively to ozone in  
12 experiments by either reduced growth or reduced seed production often develop  
13 ozone injury in the field in response to ambient ozone, whilst those known to be  
14 ozone insensitive have not been reported as showing such symptoms.

15

16 Before considering the link between measured effects and modelled ozone exposure it  
17 is important to consider the uncertainty associated with mapping AOT40 and POD3<sub>gen</sub>  
18 within the EMEP model. Both of these indices are sensitive to the characteristics of  
19 the frequency distribution of ozone concentrations (Tuovinen *et al.*, 2007, 2009) with  
20 both showing increased sensitivity with increasing threshold. However, as lower  
21 ozone concentrations contribute more to POD3<sub>gen</sub> than to AOT40 (ca. 7 ppb for the  
22 POD3<sub>gen</sub> (clover) model included here), this parameter is less sensitive to threshold  
23 effects than AOT40 (LRTAP Convention, 2004, Tuovinen *et al.*, 2007). Additional  
24 sources of uncertainty associated with the simulation of the emissions, transport and  
25 deposition of ozone and its precursors are described in Simpson *et al.* (2003a, 2003b,

1 2007). Because of the disparity between both the AOT40 (crop) and  $POD3_{gen}$  (crop)  
2 accumulation periods in relation to the timing of the ICP Vegetation experiments  
3 (which usually start in June, 30 – 55 days after the latitude-derived  $POD3_{gen}$  (crop)  
4 accumulation period starts, for further details, see Hayes *et al.*, (2007b)), and the  
5 physical nature of the ICP network (potted plants surrounded by short vegetation), we  
6 devised for this study a generic clover flux model for the pot-based clover  
7 biomonitoring system (Annex 1). The higher  $g_{max}$ , lower  $f_{min}$  and higher  $T_{max}$  for  
8  $POD3_{gen}$  (clover) compared to  $POD3_{gen}$  (crop), together with the higher ozone  
9 concentrations and warmer climate for the mid-June to mid-August period result in  
10 higher values for  $POD3_{gen}$  (clover) than  $POD3_{gen}$  (crop) for the same geographical  
11 region. A major uncertainty in the clover model is the calculation of canopy-height  
12 ozone concentration. This uncertainty is caused by a number of factors including the  
13 dense canopy and high stomatal conductance of the potted clover in relation to the  
14 surrounding grassland, and the experimental design with pots usually placed on grass  
15 and being well-spaced out, resulting in an heterogeneous surface roughness that is  
16 difficult to model. The method for calculating ozone concentration provided here for  
17 the clover model was thus a first attempt to account for such factors. It provides a  
18 consistent methodology across all sites, but it is impossible to assess any biases  
19 associated with the difficulty of modelling ozone concentration. In addition, the  
20 clover stomatal conductance parameterisation was generalized to provide one  
21 parameterisation for the 8 countries contributing data and for the two biotypes (NC-S  
22 and NC-R). This introduces some uncertainty into the analysis as within the  $g_s$   
23 datasets there was some evidence that plants showed variable acclimatisation to local  
24 conditions (e.g. in cooler climates the stomates show a tendency for closure at lower  
25 VPDs than in warmer climates, Mills *et al.*, 2003). Unfortunately, the limited range of

1 key environmental variables measured at individual sites did not allow for climate  
2 specific parameterisations to be developed; a generic “pan-European” flux modelling  
3 approach was selected enabling direct comparison with the crop maps shown.

4

5 At the farm scale, injury-causing ozone episodes can have a catastrophic effect, for  
6 example, one farmer in Greece lost a chicory crop worth Euro 15000 as a result of one  
7 ozone episode (Velissariou, *pers. comm.* and described in more detail in Hayes *et al.*,  
8 2007b). Thus, there is a need to develop a method for assessing the risk of such  
9 catastrophic effects on a pan-European scale. Although the number of incidences of  
10 ozone injury per area strongly reflects the reporting effort, this study has nevertheless  
11 shown that ambient ozone over the period 1990 – 2006 induced visible injury on  
12 ozone sensitive species in many parts of Europe, including in northern Europe where  
13 ozone concentrations are generally lower than in central and southern Europe. Injury  
14 was detected in areas where there is a long history of relatively high ozone  
15 concentrations and some resistance to ozone has been detected in the field (e.g.  
16 *Centaurea jacea*, Bassin *et al.*, 2004, *Plantago major*, Reiling and Davison, 1992). To  
17 fully understand the thresholds above which ozone injury occurs, more data is needed  
18 on the conditions that do not lead to ozone injury. In the absence of such data, we  
19 have analysed the data from the ICP Vegetation clover biomonitoring experiment by,  
20 for the first time, applying the EMEP model to short-time periods to determine  
21 whether exceedance of the modelled AOT30<sub>VPD</sub> critical level was associated with  
22 occurrence of visible injury. For 24 of the 28 data points this was found to be the  
23 case. However, a threshold was more evident for POD3<sub>gen</sub> (clover), with a rapid  
24 increase in incidences of ozone injury for values between 2 and 3 mmol m<sup>-2</sup> for the 8  
25 days prior to injury and from day 0 to injury. Using either index, the EMEP model

1 could be used to predict for current and future ozone conditions the frequency of  
2 injury-causing episodes. Such maps and data could be used to assess the risk of  
3 damage to leafy vegetable crops, the economic value of which depends on the  
4 appearance of the leaf.

5

6 We tested here the efficacy of the AOT40-based critical level for crops using the  
7 clover biomass data and the EMEP modelled data for AOT40. Whether AOT40 was  
8 calculated for the crop growth period or the clover growth period, ca. 40% of sites had  
9 a > 10% biomass reduction in NC-S at modelled AOT40 values below the critical  
10 level of 3 ppm h. For both accumulation periods, a relatively small number of points  
11 for higher effects at high AOT40 values led to a significant linear relationship  
12 between AOT40 and % biomass reduction above the current critical level.

13 Furthermore, there was widespread occurrence of visible injury in grid squares that  
14 were below the critical level for yield reduction. Although, as already discussed, the  
15 latter cannot necessarily be equated with an effect of biological significance to the  
16 plant, when taken with the biomass reduction data such widespread occurrence does  
17 tend to suggest that the AOT40-based critical level is insufficiently robust for  
18 predicting the damaging effects of ozone on vegetation in Europe. This approach to  
19 mapping risk could possibly be improved by (1) using a different cut-off value instead  
20 of 40 ppb as correlations between ozone injury and locally measured ozone  
21 concentrations were improved in both Spain and Sweden when 20 and 30 ppb were  
22 used as the cut-off values (Ribas and Penuelas, 2003, Pihl Karlsson *et al.*, 2004); and  
23 (2) using species-specific time intervals that better captured the time of damaging  
24 ozone episodes as tested here for the AOT40 (clover) model.

25

1 In contrast to the AOT40 maps, the risk maps based on the flux parameter,  $POD3_{gen}$ ,  
2 provided a better representation of the areas where ozone effects occurred for all four  
3 types of effect data. Flux-effect relationships were improved when a species-specific  
4 model ( $POD3_{gen}$  (clover)) was used. These important results are supported by locally  
5 parameterised flux models for sites in, for example, Spain (Filella *et al.*, 2005) and  
6 Italy (Fagnano and Merola, 2007). They are also supported by analyses of data from  
7 early ICP Vegetation experiments that identified the importance of locally measured  
8 conductance modifying factors such as VPD and rainfall as contributory factors for  
9 the response to ozone (Benton *et al.*, 2000; Ball *et al.*, 2000). Further support for the  
10 improved performance of  $POD3_{gen}$  maps compared to AOT40 maps comes from the  
11 many locations in Germany, The Netherlands and Sweden where ozone effects were  
12 noted in grid squares predicted to have relatively low AOT40 (crop) ( $< 3$  ppm h) but  
13 mid-range  $POD3_{gen}$  (crop) ( $18 - 30$  mmol m<sup>-2</sup>). This paper presents some evidence to  
14 support critical levels for  $POD3_{gen}$  (clover) of ca.  $40 - 50$  mmol m<sup>-2</sup> for biomass  
15 effects and ca.  $3$  mmol m<sup>-2</sup> for appearance of visible injury, but these would need to  
16 be tested further with site-specific measured data. Since data from ACE, CCE and  
17 MED are contributing to the response function shown in Figure (9d), it can be  
18 concluded that it is appropriate to combine data sets from the different regions of  
19 Europe for the derivation of a flux-based critical level for Europe-wide application.

20

## 21 **Conclusion**

22 Our study has provided evidence that ambient concentrations of the pollutant ozone  
23 have repeatedly induced damage to vegetation across 17 European countries during  
24 the period 1990 to 2006. Species exhibiting visible injury in the field match those  
25 identified in exposure experiments to be sufficiently sensitive to ozone to have

1 reduced biomass or yield at concentrations within the European range of ozone  
2 concentrations. Biomass reductions have been found in ambient air for the sentinel  
3 bioindicator species, *Trifolium repens*. Unfortunately, data records compiled for this  
4 study were too inconsistent for identification of long-term trends in effects in response  
5 to the changing ozone profile. Overall, flux-based risk maps were better predictors of  
6 the areas where ozone damage occurred than AOT40-based risk maps, with  
7 predictive ability improving for time-period accumulation and effect data matched  
8 maps. In many areas of Europe (e.g. Belgium, northern Germany, southern Sweden)  
9 there is evidence of effects where AOT40 values (crop and clover) are predicted to be  
10 low, whilst ozone flux (crop and clover) is moderate to high due to the climatic  
11 conditions being conducive to high stomatal uptake. Thus, this study provides  
12 important validation data to provide support to the use of the biologically more  
13 meaningful flux-based approach for risk assessment.

14

15 The most disconcerting outcome of this study is that the current ambient ozone  
16 climate of Europe is already having extensive impacts on vegetation across Europe.  
17 Since ozone damage has also been detected in other parts of the world including the  
18 USA (e.g. Bennett *et al.*, 2006, Davis & Orendovici, 2006, Booker *et al.*, 2009) and  
19 south-east Asia (Emberson *et al.*, 2009), the problem appears to be global. Even with  
20 implementation of current legislation, ozone concentrations are predicted to continue  
21 to rise across most of the world over the coming decades (Royal Society, 2008) and  
22 thus it is likely that ozone impacts on vegetation will worsen. Indeed, the Royal  
23 Society report (2008) predicted that by 2030, tropospheric ozone pollution could pose  
24 as big a threat to global food security as climate change. Thus, there is an urgent  
25 global need for coordinated effort to reduce the emissions of the precursors of ozone

1 pollution to benefit security of food supplies, improve human health and help reduce  
2 global warming.

3

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1 **Annex. The estimation of ozone flux to the ICP clover biomonitors.**

2  
3 The procedures specified in the Modelling and Mapping Manual (LRTAP  
4 Convention, 2004) for calculating PODY (described as AFstY in LRTAP Convention,  
5 2004) or indeed AOTX, and implemented in the EMEP chemical transport model  
6 (Simpson *et al.*, 2003), have been designed on the assumption that the vegetation in  
7 question provides a homogeneous canopy ('big-leaf'), so that vertical O<sub>3</sub> gradients  
8 above the canopy can be derived with standard turbulence profiles (Tuovinen *et al.*,  
9 2009).

10

11 The ICP Vegetation biomonitoring programme consists of potted clover plants, sitting  
12 above a short vegetation (or bare-soil) surface, surrounded usually by grassland or  
13 other low vegetation. Such a situation is theoretically difficult to model, and does not  
14 conform to the assumptions of the Modelling and Mapping Manual methods. The O<sub>3</sub>  
15 concentration impinging on the upper leaves of these clover plants will be affected  
16 more by the characteristics of the surrounding vegetation than of the clover plants  
17 themselves. To generate a flux-estimate for potted clover ( $F_{st}(\text{clover})$ ) which accounts  
18 for this situation, we calculate

19

$$20 F_{st}(\text{clover}) = O_3(\text{grass}) \cdot g_{sto}(\text{clover})$$

21

22 where  $g_{sto}(\text{clover})$  is the effective stomatal conductance of clover found by applying  
23 the DO<sub>3</sub>SE model, using the NC-S/NC-R parameterisation derived as described below  
24 and presented in Table 5.  $O_3(\text{grass})$  is the O<sub>3</sub> concentration found at the top of a grass  
25 canopy, estimated using the grassland parameterisation from the standard EMEP  
26 model (Simpson *et al.*, 2003). The effective stomatal conductance represents that  
27 fraction of the O<sub>3</sub> flux entering via the stomata, as opposed to that lost through

1 deposition to the external leaf surface. The relation between these terms is discussed  
2 in Tuovinen et al., (2009).

3

4 The  $g_{sto}(\text{clover})$  model uses the following formulation based on the stomatal  
5 conductance algorithm described in the Modelling and Mapping Manual, see LRTAP  
6 Convention (2004) for further details.

7

$$8 \quad g_{sto}(\text{clover}) = g_{max} * f_{phen} * f_{light} * \max \{f_{min}, (f_{temp} * f_{VPD} * f_{SWP})\}$$

9

10 The  $g_{sto}(\text{clover})$  model is parameterized to represent a hybrid NC-S/NC-R clover plant  
11 based on a dataset described in Mills et al. (2003) that includes over 5000 stomatal  
12 conductance measurements made at nine sites in Europe from 1998 – 2000 (Austria-  
13 Seibersdorf, Belgium-Tervuren, Germany-Essen, Germany-Trier, Italy-Milan, Italy-  
14 Rome, Spain-Ebro Delta, Sweden-Gothenburg, UK-Bangor). Data was supplied by G  
15 Mills, P Büker, F Hayes, W Werner, B Gimeno, I Fumagalli, B Köllner, F Manes, G Pihl  
16 Karlsson, G. Soja and K Vandermeiren.

17

#### 18 **$g_{max}$ and $f_{min}$**

19  $g_{max}$  is derived from the 90<sup>th</sup> percentile and  $g_{min}$  from the 10<sup>th</sup> percentile values of the  
20 entire NC-S and NC-R stomatal conductance dataset.  $g_{max}$  was converted from a  
21 conductance for water vapour ( $H_2O$ ) to a conductance for  $O_3$  using a ratio of 0.662  
22 based on the diffusivities of  $H_2O$  to  $O_3$  in air after Massman (1998). This gave a value  
23 of  $532 \text{ mmol } O_3 \text{ m}^{-2} \text{ s}^{-1}$  for the mean of the two biotypes. The  $f_{min}$  is expressed as a  
24 fraction of  $g_{max}$ . All values are shown in Table A1.

25

#### 26 **Boundary line derivation for $f_{light}$ , $f_{temp}$ and $f_{VPD}$**

27 For the derivation of  $f_{light}$ ,  $f_{temp}$  and  $f_{VPD}$  relationships a boundary line approach was  
28 used. This method defined the 90<sup>th</sup> percentile values of stomatal conductance within  
29 different incremental classes of environmental data which were:  $100 \mu\text{mol m}^{-2} \text{ s}^{-1}$  for

1  $f_{\text{light}}$ ; 2°C for  $f_{\text{temp}}$  and 0.1 kPa for  $f_{\text{VPD}}$ . Figure A1 shows the resulting boundary lines,  
 2 fitted by eye, for each biotype of clover using the generic functions described in the  
 3 Mapping Manual (LRTAP Convention, 2004). To derive the NC-S/NC-R  
 4 parameterization, mean values (i.e. the mean of the co-efficient for  $f_{\text{light}}$  and means of  
 5 the threshold values for  $f_{\text{temp}}$  and  $f_{\text{VPD}}$ ) were calculated from the individual biotypes;  
 6 all values are presented in Table A1. Note: for  $f_{\text{VPD}}$ , data classes with fewer than 10  
 7 data points were used to guide the derivation for high VPDs; these are denoted by  
 8 grey shading in the figures.

9

10 The  $g_{\text{sto}}(\text{clover})$  model assumes that  $f_{\text{phen}}$  and  $f_{\text{SWP}}$  are both equal to 1. This maintains  
 11 consistency with the crop generic flux model (LRTAP Convention, 2004).

12

13 **Table A1** Parameterisation for the NC-S and NC-R clover clones and the hybrid NC-  
 14 S/NC-R clover clone used to parameterise the  $g_{\text{sto}}(\text{clover})$  model.

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	NC-S	NC-R	Combined NC-S/NC-R values used
$g_{\text{max}}$ (mmol O <sub>3</sub> m <sup>-2</sup> PLA s <sup>-1</sup> )	534	527	530
$g_{\text{min}}$	0.12	0.09	0.105
$f_{\text{light}}$	-0.02	-0.018	-0.019
$T_{\text{min}}$	-5	8	1
$T_{\text{opt}}$	27	30	28
$T_{\text{max}}$	59	52	55
$\text{VPD}_{\text{max}}$	3.7	4.3	4
$\text{VPD}_{\text{min}}$	7.54	7.05	7.30

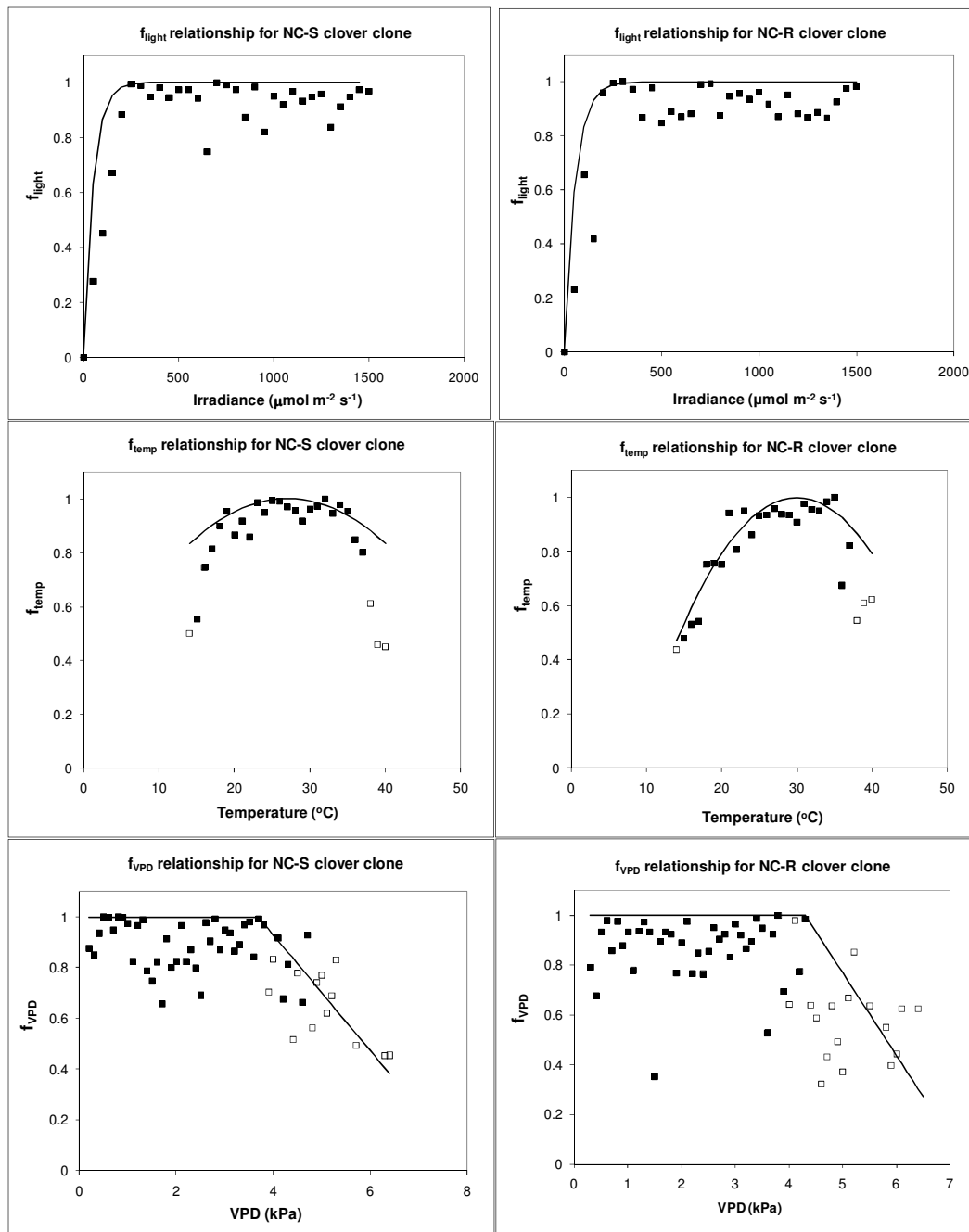
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**Figure A1.** Boundary line derivation of  $f_{\text{light}}$ ,  $f_{\text{temp}}$  and  $f_{\text{VPD}}$  for the NC-S and NC-R clover bio-types. Solid line represents the boundary line; filled squares represent data points with equal or greater than 10 data points within each environmental class; open squares represent data points with less than 10 data points within each environmental class.

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## Figure Legends

**Figure 1:** Locations of records of visible injury attributed to ozone on crops, (semi-)natural vegetation and shrub species for the period 1991 – 2006 (includes published data and previously unpublished observations).

**Figure 2:** Number of published and unpublished records of visible leaf injury symptoms attributed to ambient ozone for each year (1991 – 1996). Note: the fluctuation in number of records per year may be more strongly associated with recording effort than with severity of ozone effect.

**Figure 3:** Locations where ozone injury has been detected in the field and published in scientific papers for the period 1995 – 2004 superimposed on EMEP modelled (a) AOT40 (crop), ppm h (b) and POD3<sub>gen</sub> (crop), mmol m<sup>-2</sup> using methods described in LRTAP Convention (2004), and averaged over the same time period. Data sources: Benton *et al.* 2000; Benton *et al.* 1996; Bermejo *et al.* 2003; Bermejo, *et al.* 2002; Bungener *et al.* 1999; Bussotti *et al.* 2003; Carrasco-Rodriguez *et al.* 2001; Faoro and Iriti, 2003; Gimeno *et al.* 1996; Innes *et al.* 2001; Manning *et al.* 2002; Manning and Godzik, 2004; Novak *et al.* 2003; Persson *et al.* 2003; Pihl Karlsson, *et al.* 1995; Piikki *et al.* 2004; Pleijel *et al.* 1994; Pleijel *et al.* 1999; Pleijel *et al.* 1997; Ribas and Penuelas, 2000; Saitanis *et al.* 2004; Saitanis, 2003; Skelly *et al.* 1999; VanderHeyden *et al.* 2001; Velissariou, 1999; Velissariou and Kyriazi, 1996; Velissariou *et al.* 1996; Velissariou *et al.* 1992 and Velissariou, 1999. Note: some dots for injury location overlap.

**Figure 4:** Cumulative frequency of number of records for (a) AOT40(clover), (b) AOT30<sub>VPD</sub> (clover) and (c) POD3<sub>gen</sub> (clover) accumulated from either day 0 of the experiment (■) or for the 8 days prior (□), to the first occurrence of visible ozone injury on NC-S white clover.

**Figure 5:** Five-year mean ozone injury score (June to August) on ozone-sensitive white clover (NC-S) at ICP Vegetation sites for the period 2000 – 2004 superimposed on the EMEP five-year mean for (a) AOT40 (crop), ppm h, and (b) AOT40 (clover), ppm h (c) POD3<sub>gen</sub> (crop), mmol m<sup>-2</sup> and (d) POD3<sub>gen</sub> (clover), mmol m<sup>-2</sup> for the same years. The injury score data by country was (n, mean, SE mean): Austria (4, 1.3, 0.37); Belgium (3, 1.4, 0.35); Germany (15, 1.7, 0.79); Italy (7, 3.5, 0.4); The Netherlands (1, 0.7, -); Slovenia (5, 2.3, 0.3); Spain (3, 4.07, 0.04); Sweden (5, 1.7, 0.3); Switzerland (4, 4.0, 0.3); UK (5, 0.7, 0.3).

**Figure 6:** Mean normalised percentage biomass reduction in ozone-sensitive white clover (NC-S relative to NC-R) at ICP Vegetation sites in 2000 – 2004 superimposed on the EMEP five-year mean for (a) AOT40 (crop), ppm h, and (b) AOT40 (clover), ppm h, (c) POD3<sub>gen</sub> (crop), mmol m<sup>-2</sup> and (d) POD3<sub>gen</sub> (clover), mmol m<sup>-2</sup>. The biomass data is described in Table 4.

**Figure 7** Response functions for clover biomass reduction and site- and year-specific grid square values for AOT40 (crop), (a) and (c), and AOT40 (clover), (b) and (d). Figures (a) and (b) show the complete data set and Figures (c) and (d) show only the values for effects at AOT40 > 3 ppm h.

1 **Figure 8** Response functions for clover biomass reduction and site- and year-specific  
2 grid square values for  $\text{POD3}_{\text{gen}}$  (crop), (a) and (c), and  $\text{POD3}_{\text{gen}}$  (clover), (b) and (d).  
3 Figures (a) and (b) show the complete data set and Figures (c) and (d) show only the  
4 values for effects at (c)  $\text{POD3}_{\text{gen}}$  (crop) > 15  $\text{mmol m}^{-2}$  and (d)  $\text{POD3}_{\text{gen}}$  (clover) > 40  
5  $\text{mmol m}^{-2}$ .

6

7 **Figure 9:** Relationship between clover site and year specific values for AOT40  
8 (clover) and  $\text{POD3}_{\text{gen}}$  (clover) accumulated over 3 months from experimental start  
9 date. (a) whole dataset, (b) data set separated into regions, with the key: NE  
10 (Northern Europe); ACE (Atlantic Central Europe); CCE (Continental Central  
11 Europe) and Med (Mediterranean Europe). Note: linear function not fitted for NE as  
12 there were only 3 data points.

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1 **Table 1:** Agricultural and horticultural crops exhibiting visible leaf ozone injury in the field  
 2 (ad hoc observations, surveys). Note: Records of injury on clover species (*Trifolium* spp.)  
 3 where they occur as components of managed pasture are included within this table.  
 4

Region	Country	Species	Reference
Northern Europe	Sweden	<i>Raphanus sativus</i>	Pleijel <i>et al.</i> 1999
		<i>Solanum tuberosum</i>	Persson <i>et al.</i> 2003, Piikki <i>et al.</i> 2004
		<i>Trifolium repens</i>	Karlsson <i>et al.</i> (1995)
		<i>Trifolium subterraneum</i>	Pleijel <i>et al.</i> 1994, Pihl Karlsson <i>et al.</i> 1995, Benton <i>et al.</i> 2000
		<i>Trifolium pratense</i>	Pleijel <i>et al.</i> 1994, Karlsson <i>et al.</i> 1995
		<i>Triticum aestivum</i>	Pleijel <i>et al.</i> 1996
Atlantic Central Europe	Belgium	<i>Phaseolus lunatus</i> , <i>Phaseolus vulgaris</i> , <i>Solanum tuberosum</i> , <i>Trifolium subterraneum</i> , <i>Triticum aestivum</i> , <i>Zea mays</i>	Benton <i>et al.</i> 2000
	Netherlands	<i>Phaseolus vulgaris</i> , <i>Trifolium subterraneum</i>	Benton <i>et al.</i> 2000
	UK	<i>Trifolium repens</i>	Benton <i>et al.</i> 2000
Continental Central Europe	Austria	<i>Phaseolus vulgaris</i> , <i>Trifolium subterraneum</i>	Benton <i>et al.</i> 2000
	France	<i>Glycine max</i> , <i>Phaseolus vulgaris</i> , <i>Trifolium repens</i>	Benton <i>et al.</i> 2000
	Germany	<i>Phaseolus vulgaris</i>	Bender (unpublished))
		<i>Trifolium repens</i>	Benton <i>et al.</i> 2000
	Hungary	<i>Phaseolus vulgaris</i>	Benton <i>et al.</i> 2000
	Poland	<i>Phaseolus vulgaris</i> , <i>Trifolium repens</i>	Benton <i>et al.</i> 2000
	Russian Federation	<i>Phaseolus vulgaris</i> , <i>Trifolium subterraneum</i>	Benton <i>et al.</i> 2000
	Switzerland	<i>Vitis vinifera</i>	Innes <i>et al.</i> 2001
		<i>Solanum tuberosum</i> , <i>Trifolium subterraneum</i>	Benton <i>et al.</i> 2000
		<i>Vitis spp.</i>	Skelley <i>et al.</i> 1998
Eastern Mediterranean	Greece	<i>Chicorium endive</i> , <i>Cucurbita pepo</i> , <i>Trifolium alexandrinum</i>	Velissariou <i>et al.</i> 1996
		<i>Allium cepa</i> , <i>Petroselinum crispum</i> , <i>Phaseolus vulgaris</i> , <i>Beta vulgaris</i> , <i>Nicotiana tabacum</i> , <i>Beta vulgaris</i> , <i>Vitis vinifera</i> , <i>Citrullus lanatus</i> , <i>Zea mays</i>	Velissariou <i>et al.</i> 1996, Velissariou 1999

		<i>Solanum tuberosum</i>	Velissariou <i>et al.</i> 1996
		<i>Vitis vinifera</i>	Saitanis <i>et al.</i> 2004, 2003, Saitanis (un-published)
	Slovenia	<i>Phaseolus vulgaris</i> , <i>Trifolium repens</i>	Benton <i>et al.</i> 2000
Western Mediterranean	Italy	<i>Glycine max</i> , <i>Lycopersicon esculentum</i> , <i>Phaseolus vulgaris</i>	Gerosa (unpublished))
		<i>Triticum durum</i> , <i>Cucurbita pepo</i>	Schenone (unpublished))
		<i>Phaseolus vulgaris</i>	Schenone <i>et al.</i> 1995, Postiglione and Fagnano 1995, Manes and Vitale (unpublished)
		<i>Trifolium repens</i> , <i>Phaseolus vulgaris</i>	Benton <i>et al.</i> 2000
		<i>Allium cepa</i> , <i>Glycine max</i> , <i>Triticum aestivum</i> , <i>Triticum durum</i>	(Quaroni <i>et al.</i> 2003), Faoro and Iriti (unpublished)
		<i>Beta vulgaris</i> , <i>Vitis vinifera</i>	Bussotti <i>et al.</i> 2003a
		<i>Prunus persica</i>	Paolacci <i>et al.</i> 1995
		<i>Trifolium subterraneum</i> , <i>Phaseolus vulgaris</i>	Postiglione and Fagnano 1995
	Spain	<i>Citrullus lanatus</i>	Benton <i>et al.</i> 2000
		<i>Phaseolus vulgaris</i>	Gimeno and Bermejo (unpublished)), Gimeno <i>et al.</i> 1996, Ribas and Penuelas 2000
		<i>Lycopersicon esculentum</i>	Bermejo <i>et al.</i> 2002, Gimeno <i>et al.</i> 1995
		<i>Avena sativa</i>	Carrasco-Rodriguez and del Valle-Tascon, 2001
		<i>Citrus clementina</i>	Iglesias <i>et al.</i> 2006
		<i>Arachis hypogaea</i> , <i>Glycine max</i> , <i>Nicotiana tabacum</i> , <i>Vitis vinifera</i>	Gimeno <i>et al.</i> 1995
	<i>Solanum tuberosum</i>	Calvo and Sanz (unpublished))	
	<i>Citrullus lanatus</i>	Gimeno <i>et al.</i> 1992	



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**Table 2:** Species of (semi-)natural vegetation (grasses and forbs) exhibiting visible leaf ozone injury in the field (ad hoc observations, surveys). Note: Records of injury on clover species (*Trifolium* spp.) where they occur as components of meadows and unmanaged grasslands are included within this table.

Region	Country	Species	Reference
Northern Europe	Sweden	<i>Trifolium subterraneum</i>	Benton <i>et al.</i> 2000
Atlantic Central Europe	Belgium	<i>Malva sylvestris</i>	Benton <i>et al.</i> 1996
		<i>Trifolium subterraneum</i>	Benton <i>et al.</i> 2000
	Netherlands	<i>Trifolium subterraneum</i>	Benton <i>et al.</i> 2000
	United Kingdom	<i>Centaurea nigra</i> , <i>Eupatorium cannabinum</i>	Hayes (unpublished)
		<i>Trifolium repens</i> , <i>Trifolium subterraneum</i>	Benton, <i>et al.</i> 2000
Continental Central Europe	Austria	<i>Trifolium subterraneum</i>	Benton, <i>et al.</i> 2000
	France	<i>Rubus idaeus</i>	Schelfaut <i>et al.</i> (unpublished)
		<i>Trifolium repens</i>	Benton, <i>et al.</i> 2000
		<i>Epilobium angustifolium</i> , <i>Calamagrostis villosa</i> , <i>Cephalaria brevipalea</i> , <i>Dryas octopetala</i> , <i>Fragaria viridis</i> , <i>Geum montanum</i> , <i>Rubus articus</i> , <i>Rubis saxatilis</i> , <i>Centaurea scabiosa</i>	Bussotti <i>et al.</i> 2003a
		<i>Rubus idaeus</i>	Bussotti <i>et al.</i> 2003), Gillot (unpublished)
	Germany	<i>Achillea millefolium</i>	Bender (unpublished)
		<i>Mentha aquatica</i>	Biostress 2002
	Poland	<i>Trifolium repens</i>	Benton, <i>et al.</i> 2000
		<i>Alchemilla</i> spp., <i>Angelica sylvestris</i> , <i>Astrantia major</i> , <i>Centaurea jacea</i> , <i>Centaurea mollis</i> , <i>Chaerophyllum aromaticum</i> , <i>Geranium palustre</i> , <i>Impatiens parviflora</i> , <i>Lapsana communis</i> , <i>Thymus alpestris</i>	Manning <i>et al.</i> 2002, Manning and Godzik 2004
	Russian Federation	<i>Trifolium subterraneum</i>	Benton, <i>et al.</i> 2000
	Switzerland	<i>Centaurea jacea</i> , <i>Knautia arvensis</i> , <i>Leucanthemum vulgare</i> , <i>Plantago lanceolata</i> , <i>Rumex obtusifolius</i> , <i>Salvia pratensis</i>	Bungener <i>et al.</i> 1999

		<i>Epilobium hirsutum</i> , <i>Oenothera biennis</i> , <i>Rubus fruticosus</i> , <i>Tragopogon pratensis</i> , <i>Artemisia vulgaris</i> , <i>Plantago major</i> , <i>Impatiens parviflora</i> , <i>Calystegia sepium</i> , <i>Epilobium angustifolium</i> , <i>Geranium sylvaticum</i> , <i>Parthenocissus quinquefolia</i> , <i>Rumex obtusifolius</i>	Innes <i>et al.</i> 2001
		<i>Malva sylvestris</i> , <i>Trifolium subterraneum</i>	Benton <i>et al.</i> 1996
		<i>Alchemilla spp.</i> , <i>Artemisia vulgaris</i> , <i>Calamentha grandifolia</i> , <i>Calystegia spp.</i> , <i>Centaurea paniculata</i> , <i>Chenopodium spp.</i> , <i>Convovulus arvensis</i> , <i>Epilobium angustifolium</i> , <i>Epilobium hirsutum</i> , <i>Impatiens parviflora</i> , <i>Lamium galeobdolon</i> , <i>Lapsana communis</i> , <i>Malva spp.</i> , <i>Oenothera spp.</i> , <i>Parthenocissus quinquefolia</i> , <i>Plantago major</i> , <i>Polygonum spp.</i> , <i>Reynoutria japonica</i> , <i>Rubus fruticosus</i> , <i>Rudbeckia lacinata</i> , <i>Rumex obtusifolius</i> , <i>Solidago canadensis</i> , <i>Stachys officinalis</i> , <i>Succisa pratensis</i>	Skelley <i>et al.</i> 1998
		<i>Rumex obtusifolius</i>	VanderHeyden <i>et al.</i> 2001
	Ukraine	<i>Betonica officinalis</i> , <i>Centaurea nigra</i> , <i>Centaurea scabiosa</i> , <i>Gentiana asclepiada</i> , <i>Vincetoxium officianalis</i>	Manning <i>et al.</i> 2002, Manning and Godzik 2004
Eastern Mediterranean	Greece	<i>Sonchus spp</i>	Velissariou 1999
	Slovenia	<i>Trifolium repens</i>	Benton <i>et al.</i> 2000
Western Mediterranean	Italy	<i>Astrantia major</i> , <i>Cyclamen spp.</i> , <i>Euphorbia dulcis</i> , <i>Gentiana asclepiadea</i> , <i>Globularia nudicaulis</i> , <i>Pastinaca sativa</i> , <i>Polygonatum spp.</i> , <i>Stachys spp.</i> , <i>Centaurea spp.</i> , <i>Helleborus niger</i> , <i>Rubia peregrina</i>	Bussotti <i>et al.</i> 2006
		<i>Astrantia major</i> , <i>Centaurea nigra</i> , <i>Helleborus niger</i>	ICP Forests 2003

	<i>Astrantia major</i> , <i>Centaurea nigra</i> , <i>Geranium nodosum</i> , <i>Mycelis muralis</i> , <i>Veronica</i> <i>urticifolia</i> , <i>Lamium</i> spp. <i>Rubus ulmifolius</i>	Bussotti <i>et al.</i> 2003b
	<i>Centaurea jacea</i>	Bungener <i>et al.</i> 1999
	<i>Eupatorium cannabinum</i> , <i>Origanum vulgare</i>	Bussotti <i>et al.</i> 2003a.)
Spain	<i>Briza maxima</i> , <i>Bromas</i> <i>hordaceus</i> , <i>Cynosurus</i> <i>echinatus</i> , <i>Trifolium</i> <i>striatum</i>	Sanz and Bermejo (unpublished)
	<i>Aegilops geniculata</i> , <i>Aegilops triuncialis</i> , <i>Avena barbata</i> , <i>Avena</i> <i>sterilis</i> , <i>Biserrula</i> <i>pelecinus</i> , <i>Briza maxima</i> , <i>Lolium rigidum</i> , <i>Trifolium</i> <i>cherleri</i> , <i>Trifolium</i> <i>glomeratum</i> , <i>Trifolium</i> <i>subterraneum</i>	Bermejo <i>et al.</i> 2003
	<i>Agrimonia eupatoria</i> , <i>Abutilon theophrasti</i> , <i>Anthyllis cytisoides</i> , <i>Calystegia sepium</i> , <i>Capanula</i> spp., <i>Chenopodium album</i> , <i>Colutea arborescens</i> , <i>Cytisus patens</i> , <i>Epilobium</i> <i>angustifolium</i> , <i>Epilobium</i> <i>collium</i> , <i>Inula viscosa</i> , <i>Ipomea sagittata</i> , <i>Lagersteroemia indica</i> , <i>Oenothera rosea</i> , <i>Plantago lanceolata</i> , <i>Rubina peregrina</i> , <i>Rubus</i> <i>ulmifolius</i> , <i>Rumex</i> <i>pulcher</i> , <i>Verbascum</i> <i>sinuatum</i> , <i>Vinca difformis</i>	Skelley <i>et al.</i> 1998

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4**Table 3:** Species of shrubs exhibiting visible leaf ozone injury in the field (*ad hoc* observations, surveys).

Region	Country	Species	Reference
Continental Central Europe	Czech Republic	<i>Corylus avellana</i>	Manning <i>et al.</i> 2002, Manning and Godzik 2004
	France	<i>Cornus sanguinea</i> , <i>Clematis vitalba</i>	Remy (unpublished)
		<i>Ampelopsis tricuspidata</i> , <i>Cornus sanguinea</i> , <i>Symphoricarpos alba</i>	Garrec (unpublished)
		<i>Cornus sanguinea</i>	Rainouard (unpublished)
		<i>Viburnum lantana</i> , <i>Berberis vulgaris</i> , <i>Cornus sanguinea</i> , <i>Prunus brigantina</i>	Remy (unpublished)
	Poland	<i>Cornus sanguinea</i>	Manning <i>et al.</i> 2002, Manning and Godzik 2004
	Switzerland	<i>Clematis alpina</i> , <i>Ribes rubrum</i> , <i>Robinia pseudoacacia</i> , <i>Rosa canina</i> , <i>Rubus fruticosus</i>	Innes <i>et al.</i> 2001
		<i>Alnus viridis</i> , <i>Berberis spp.</i> , <i>Clematis spp.</i> , <i>Corylopsis pauciflora</i> , <i>Euonymus europeaus</i> , <i>Forsythia spp.</i> , <i>Ligustrum ovalifolium</i> , <i>Lilac spp.</i> , <i>Lonicera caprifolium</i> , <i>Prunus spinosa</i> , <i>Ribes alpinum</i> , <i>Rosa canina</i> , <i>Salix pentrandra</i> , <i>Salix purpurea</i> , <i>Salix viminalis</i> , <i>Sambucus racemosa</i> , <i>Spirea spp.</i> , <i>Viburnum lantana</i> , <i>Viburnum opulus</i> , <i>Viburnum plicatum</i>	Skelley <i>et al.</i> 1999
		<i>Cornus alba</i> , <i>Ribes alpinum</i> , <i>Viburnum opulus</i>	Novak <i>et al.</i> 2003
		<i>Frangula agnus</i> , <i>Rhamnus catharticus</i> , <i>Salix viminalis</i> , <i>Sambucus racemosa</i> , <i>Viburnum lantana</i>	VanderHeyden <i>et al.</i> 2001
Western Mediterranean	Italy	<i>Clematis vitalba</i> , <i>Vaccinium myrtillus</i> , <i>Viburnum lantana</i> , <i>Robinia pseudoacacia</i>	Bussotti <i>et al.</i> 2005
		<i>Clematis vitalba</i> , <i>Lonicera caprifolium</i> , <i>Rosa canina</i> , <i>Rubus idaeus</i> , <i>Sambucus racemosa</i>	Bussotti 2003a

	<i>Robinia pseudoacacia</i>	Innes <i>et al.</i> 2001
	<i>Euonymus europeaus</i> , <i>Syringa vulgaris</i>	Bussotti <i>et al.</i> 2003a,
Spain	<i>Arbutus unedo</i> , <i>Cistus salvifolius</i> , <i>Lagerstroemia indica</i> , <i>Lonicera etrusca</i> , <i>Lonicera implexa</i> , <i>Myrtus communis</i> , <i>Pathenocissus quinquefolia</i> , <i>Pistacia lentiscus</i> , <i>Pistacia terebinthus</i> , <i>Prunus spinosa</i> , <i>Ricinus communis</i> , <i>Rosa canina</i> , <i>Sambucus nigrum</i> , <i>Viburnum tinus</i>	Skelley <i>et al.</i> 1998

1 **Table 4** Description of the ICP Vegetation biomonitoring experiment database (1996 – 2004)  
 2 on ozone effects on the biomass of NC-white clover.  
 3

Country	Sites	No of data points	AOT40 (clover), ppm h		POD3gen (clover), mmol m <sup>-2</sup>		Biomass Reduction (NC-S dry weight/NC-R dry weight), %		
			Mean	SE Mean	Mean	SE Mean	Mean	SE Mean	Range
Austria	Seibersdorf	6	3.38	0.21	54.9	0.5	12.7	3.2	1.8 – 25.2
Belgium	Tervuren	5	1.67	0.34	39.7	2.6	4.9	3.0	-4.1 – 12.9
Germany	Braunschweig, Cologne, Deuselbach, Essen, Giessen, Trier	17	2.84	0.21	49.5	0.9	9.1	1.6	-0.9 – 20.8
Greece	Kalamata, Thessalonika	2	2.13	1.8	46.5	10.1	30.0	13.0	17 - 43
Ireland	Carlow	1	0.43	-	32.4	-	-1.6	-	-
Italy	Isola Serafini, La Casella, Milan, Naples, Pisa, Rome	10	6.53	0.48	66.0	1.3	33.3	3.32	19.8 – 49.7
The Netherlands	Waageningen	1	1.23	-	39	-	7.7	-	-
Slovenia	Iskbra, Ljubjiana, Rakican	5	2.93	0.44	51.6	1.9	3.5	4.0	-7.8 – 10.5
Spain	Ebro delta, Navarra, Valencia	4	1.73	0.68	43.6	5.1	11.1	5.7	-2.7 – 24.8
UK	Bangor	3	0.11	0.03	21.9	0.7	2.8	0.7	1.5 – 3.5

**Table 5 :** Parameterisation for the generic crop and the NC-S/NC-R clover flux models. For the clover flux model (see Annex for further details), the start of the growing season was defined as the 15<sup>th</sup> June (the mean start date for the experiments across all sites), each clover experiment lasted for 84 days with the end of the experiment defining the end of the growing season. Full details of model formulations are provided in the Mapping Manual (LRTAP, 2004).

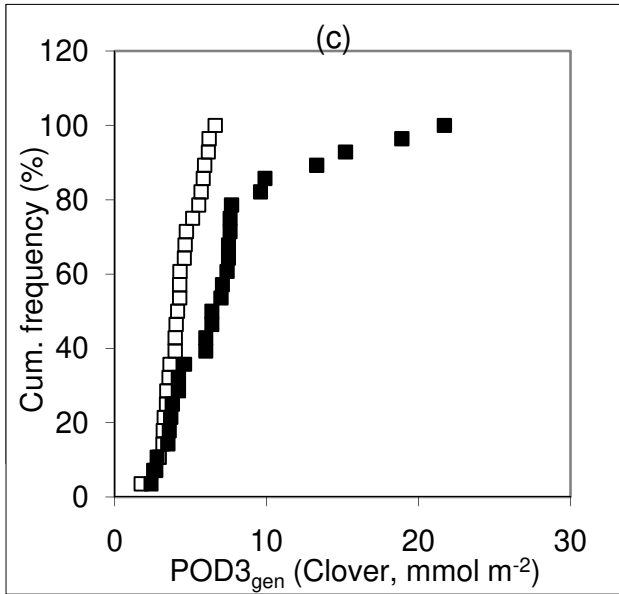
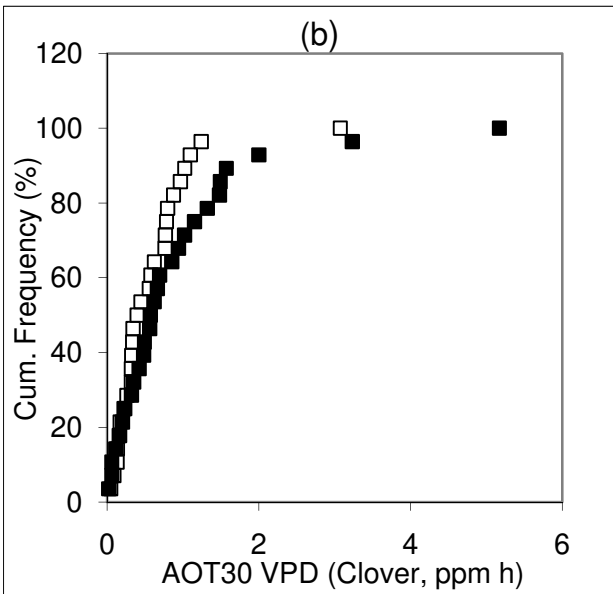
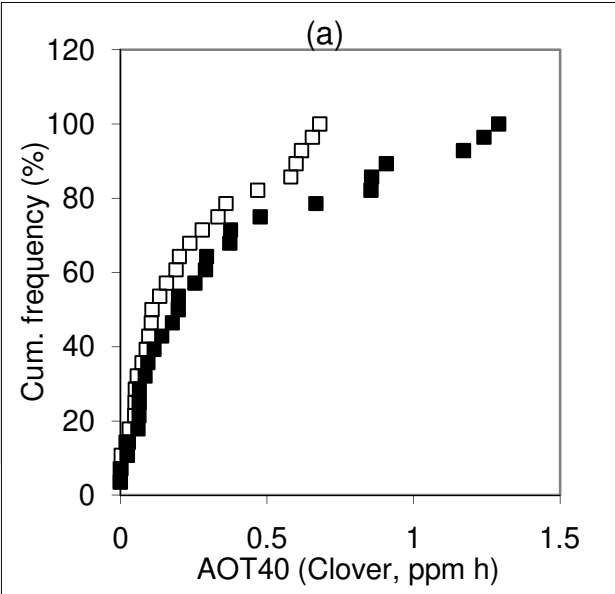
Functions and constants	Generic crop	NC-S/NC-R Clover
$g_{\max}$	450 mmol O <sub>3</sub> m <sup>-2</sup> PLA s <sup>-1</sup>	530 mmol O <sub>3</sub> m <sup>-2</sup> PLA s <sup>-1</sup>
$f_{\min}$	0.01	0.11
$f_{\text{phen}}$	1	1
$f_{\text{light}}$	Light <sub>a</sub> = 0.0105	Light <sub>a</sub> = 0.019
$f_{\text{temp}}$	T <sub>min</sub> = 12 °C T <sub>opt</sub> = 26 °C T <sub>max</sub> = 40 °C	T <sub>min</sub> = 1.5 °C T <sub>opt</sub> = 28.5 °C T <sub>max</sub> <sup>1</sup> = 50 °C
$f_{\text{vpd}}$	VPD <sub>max</sub> = 1.2 kPa VPD <sub>min</sub> = 3.2 kPa	VPD <sub>max</sub> = 4 kPa VPD <sub>min</sub> = 7.3 kPa
$\Sigma\text{VPD routine}^2$	$\Sigma\text{VPD}_{\text{crit}} = 8 \text{ kPa}$	$\Sigma\text{VPD}_{\text{crit}}^2 = 1000 \text{ kPa}$
$f_{\text{SWP}}$	1	1
$f_{\text{O}_3}$	1	1
Y	3 nmol m <sup>-2</sup> PLA s <sup>-1</sup>	3 nmol m <sup>-2</sup> PLA s <sup>-1</sup> <sup>3</sup>
SAI <sup>4</sup>	5 m <sup>2</sup> PLA m <sup>-2</sup>	- <sup>4</sup>
Green LAI <sup>4</sup>	3.5 m <sup>2</sup> PLA m <sup>-2</sup>	5 m <sup>2</sup> PLA m <sup>-2</sup> <sup>4</sup>
h	1 m	0.3 m <sup>4</sup>
L	0.02 m	0.03 m

<sup>1</sup> estimate from curves

<sup>2</sup> This routine prevents stomatal re-opening within the model in the late afternoon under declining VPD conditions (see LRTAP Convention, 2004, for further details). We have assumed that this function is not operating in NC-S/NC-R clover as included in the clover model already in DO<sub>3</sub>SE.

<sup>3</sup> assumed to be same as for generic crop

<sup>4</sup> Green LAI (Leaf Area Index), SAI (surface area index = green leaf area index + senescent leaf area index) and h are used for the calculation of O<sub>3</sub> concentrations. Here, grassland parameters from Simpson & Emberson (2006) have been used for clover (except grassland height, h, set to 30 cm for this study), for further details see Annex.





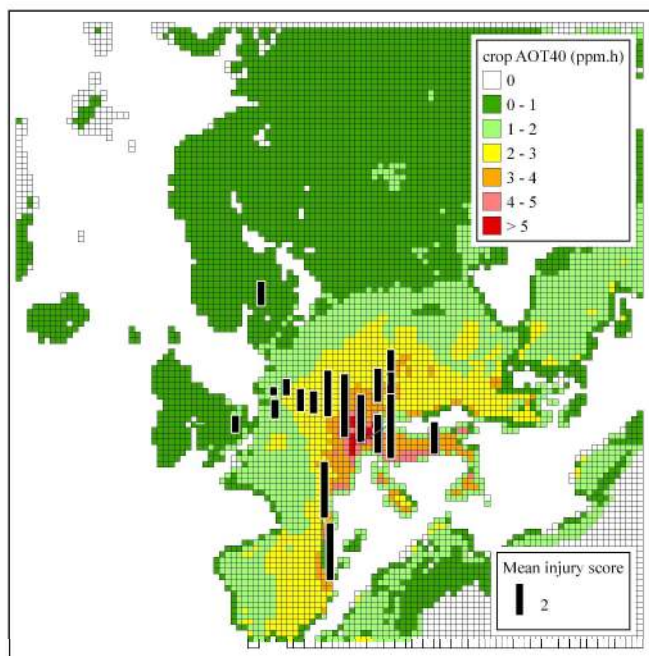


Figure 5a (see ms for legend)  
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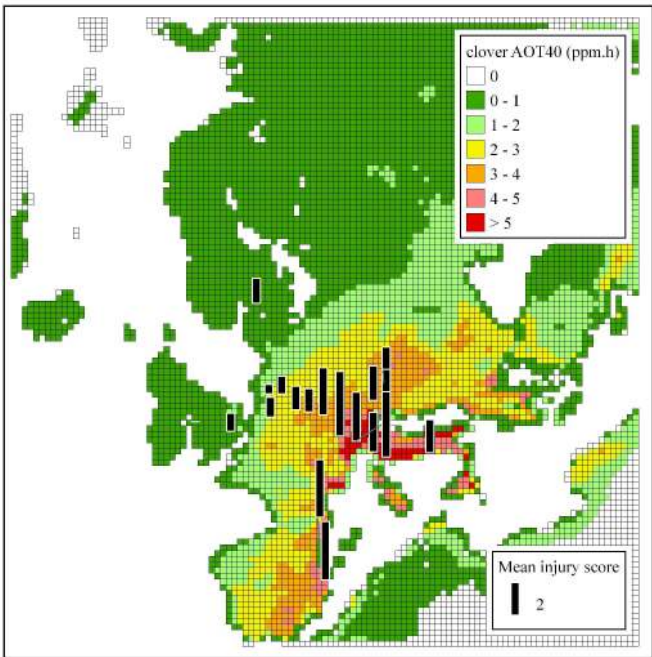


Figure 5b (see ms for legend)  
297x209mm (400 x 400 DPI)

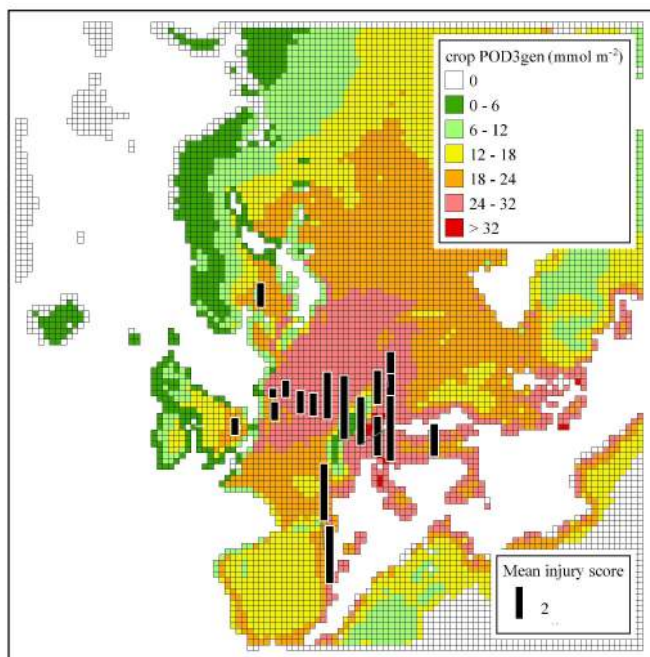


Figure 5c (see ms for legend)  
296x210mm (400 x 400 DPI)

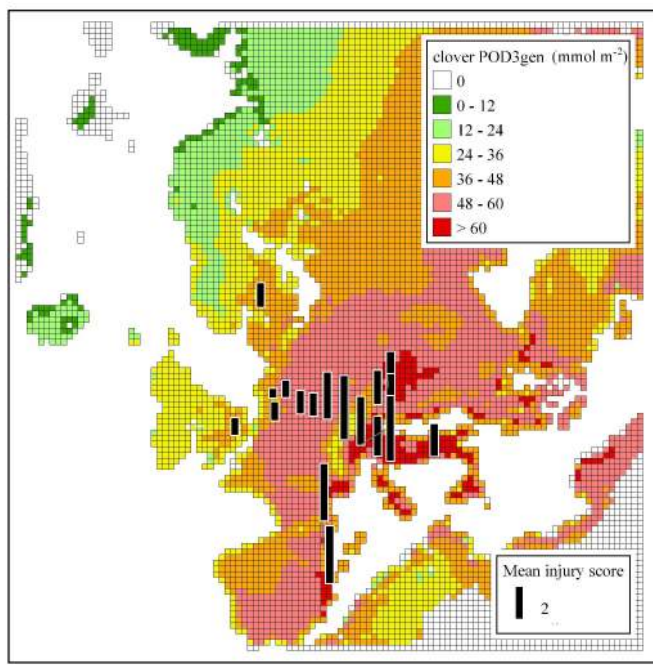


Figure 5d (see ms for legend)  
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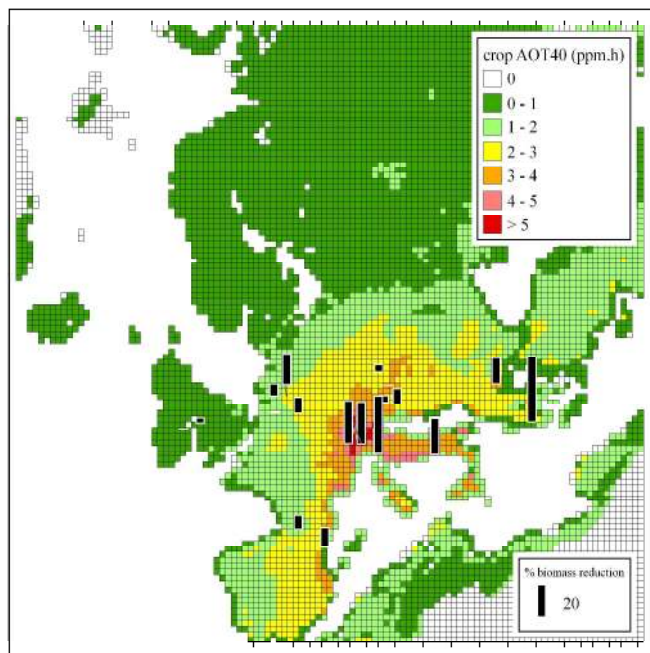


Figure 6a (see ms for legend)  
297x209mm (400 x 400 DPI)

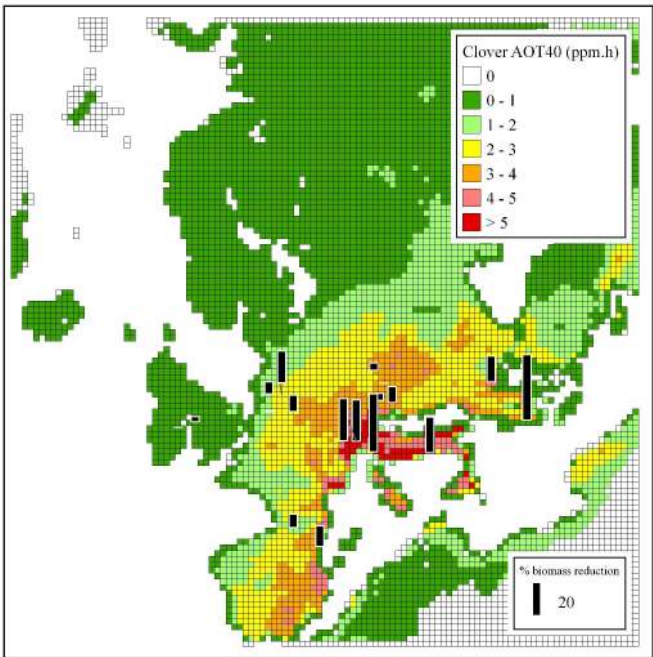


Figure 6b (see ms for legend)  
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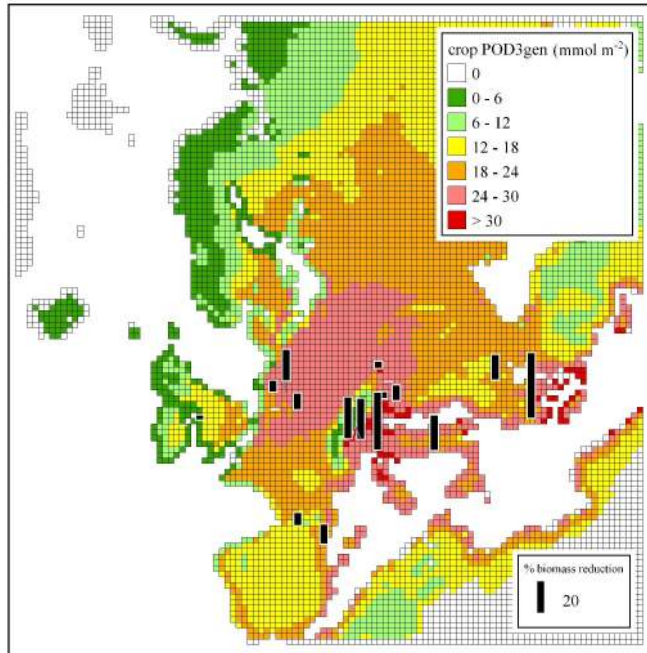


Figure 6c (see ms for legend)  
296x210mm (400 x 400 DPI)

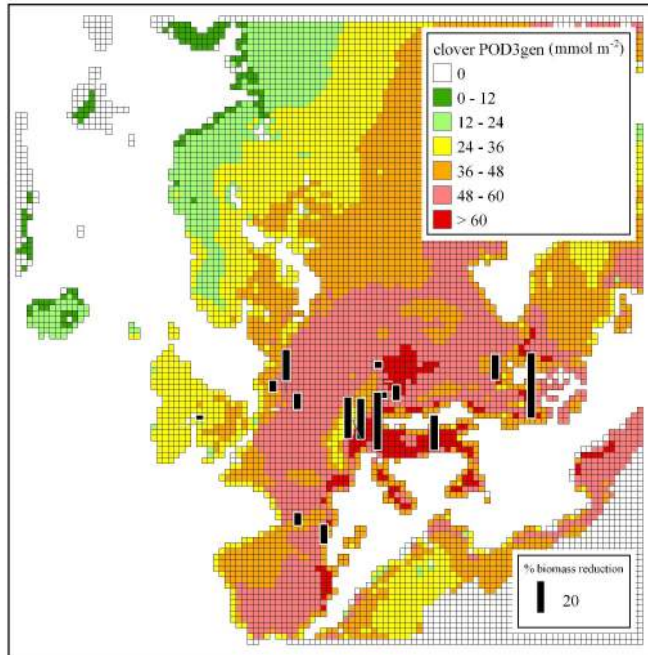


Figure 6d (see ms for legend)  
296x210mm (400 x 400 DPI)



