JAMA Pediatrics | Original Investigation

Evolution of Depression and Anxiety Symptoms in Parents of Very Preterm Infants During the Newborn Period

Carmen C. Pace, PhD; Alicia J. Spittle, PhD; Charlotte M.-L. Molesworth, MBiostat; Katherine J. Lee, PhD; Elisabeth A. Northam, PhD; Jeanie L. Y. Cheong, MD; Peter G. Davis, MD; Lex W. Doyle, MD; Karli Treyvaud, DPsych; Peter J. Anderson, PhD

IMPORTANCE Mothers experience heightened depression and anxiety following very preterm (VPT) birth, but how these symptoms evolve during the first months after birth is unknown. Research on the psychological adjustment of fathers following VPT birth is limited.

OBJECTIVES To describe the trajectory and predictors of distress in parents of VPT infants during the first 12 weeks after birth, and to compare rates of depression and anxiety in parents of VPT infants with those in parents of healthy full-term (FT) infants shortly after birth and at 6 months' postnatal age.

DESIGN, SETTING, AND PARTICIPANTS Longitudinal, prospective, follow-up cohort study of depression and anxiety symptoms in parents of VPT infants (<30 weeks' gestational age; admitted to the neonatal intensive care unit at the Royal Women's Hospital, Melbourne, Australia, between January 21, 2011, and December 23, 2013), documented every 2 weeks until age 12 weeks and at age 6 months, as well as in parents of healthy FT infants (\geq 37 weeks' gestational age; birth weight >2499 g; born at the Royal Women's Hospital between August 15, 2012, and March 26, 2014; not admitted to the neonatal nursery) shortly after birth and at age 6 months.

EXPOSURE Birth of a VPT infant.

MAIN OUTCOMES AND MEASURES Symptoms of depression (Center for Epidemiological Studies Depression Scale) and anxiety (Hospital Anxiety and Depression Scale).

RESULTS The study included 113 mothers (mean [SD] age at birth, 32.7 [5.3] years) and 101 fathers (mean [SD] age at birth, 34.7 [6.4] years) of 149 VPT infants (49% male; 84 singletons, 65 multiples; mean [SD] birth weight, 1021 [261] g) as well as 117 mothers (mean [SD] age at birth, 32.9 [4.8] years) and 110 fathers (mean [SD] age at birth, 35.9 [5.3] years) of 151 healthy FT infants (50% male; 149 singletons, 2 multiples; mean [SD] birth weight, 3503 [438] g). Mean scores and rates of depression and anxiety reduced over time for parents of VPT infants in the 12 weeks after birth: the mean (95% CI) change in depression score per week was -0.52 (-0.73 to -0.31; P < .001) for mothers and -0.39 (-0.56 to -0.21; P < .001) for fathers; the mean (95% CI) change in anxiety score per week was -0.16 (-0.26to -0.05; P = .003) for mothers and -0.22 (-0.31 to -0.15; P < .001) for fathers. However, rates never dropped below 20%. Few perinatal or social risk factors predicted longitudinal changes in depression or anxiety. Compared with parents of FT infants, parents of VPT infants had higher rates of depression shortly after birth (mothers: 6% vs 40%; odds ratio [OR] = 9.9; 95% CI, 4.3 to 23.3; P < .001; fathers: 5% vs 36%; OR = 11.0; 95% CI, 4.1 to 29.6; P < .001) and at 6 months (mothers: 5% vs 14%; OR = 2.9; 95% CI, 1.0 to 8.2; P = .04; fathers: 6% vs 19%; OR = 3.4; 95% CI, 1.3 to 9.0; P = .01) as well as anxiety shortly after birth (mothers: 13% vs 48%; OR = 6.5; 95% Cl, 3.3 to 12.6; P < .001; fathers: 10% vs 47%; OR = 7.8; 95% CI, 3.7 to 16.8; P < .001) and at 6 months (mothers: 14% vs 25%; OR = 2.1; 95% CI, 1.0 to 4.3; P = .05; fathers: 10% vs 20%; OR = 2.3; 95% CI, 1.0 to 5.3; P = .05).

CONCLUSIONS AND RELEVANCE Mothers and fathers of VPT infants had elevated rates of depression and anxiety symptoms that declined over time, although remaining above expected levels throughout the newborn period and at 6 months.

JAMA Pediatr. 2016;170(9):863-870. doi:10.1001/jamapediatrics.2016.0810 Published online July 18, 2016. Supplemental content at jamapediatrics.com

Author Affiliations: Author affiliations are listed at the end of this article.

Corresponding Author: Carmen C. Pace, PhD, Murdoch Childrens Research Institute, Royal Children's Hospital, 50 Flemington Rd, Parkville, Victoria 3052, Australia (carmen.pace@mcri.edu.au). Inderstanding mental health in parents of very preterm (VPT) infants is critical as parental depression and anxiety can adversely affect children's development.^{1,2} While more mothers of preterm infants experience psychological distress in the early years than mothers of infants born at full term (FT),³ there is a dearth of detailed, longitudinal information on these symptoms in the newborn period. In the first hours and days after birth, fathers are faced with the dual responsibility of caring for their partners after delivery and being the primary point of contact with their child. Most remain involved in the day-to-day care of their infants throughout their hospital stay, often juggling responsibilities while returning to the workforce. However, research to date has largely overlooked fathers.

The newborn period is stressful for parents following VPT birth. Small studies of parents of very low-birth-weight infants during the newborn period have shown that 30% to 50% of mothers and 30% to 60% of fathers experienced clinically significant symptoms of depression.⁴⁻⁶ Longitudinal studies are limited, but most report sustained symptoms in the first year after VPT birth.³ Singer et al⁷ assessed symptoms of psychological distress in mothers of very low-birth-weight infants from shortly after birth until 36 months' corrected age. They found initially higher rates of psychological distress compared with mothers of FT infants that generally declined over time. However, to our knowledge, no previous study has examined how distress changes during the critical period in which the infant is hospitalized. Although there is less research on parental anxiety than depression shortly after VPT birth, around 25% of mothers and 15% of fathers experience elevated anxiety just after neonatal intensive care unit (NICU) discharge.8

It is unclear which parents of VPT infants are at higher risk for depression and anxiety. Findings regarding medical risk factors for parental mental health difficulties following VPT birth are mixed, and few studies have examined the effects of these risk factors over time. Bronchopulmonary dysplasia has been associated with higher depression scores in mothers of very low-birth-weight infants^{7,9} and a slower decline in symptom severity during the first 3 years.⁷ Similarly, it was reported that parental anxiety severity is associated with neonatal medical factors.⁸ In contrast, other studies reported that medical risk factors were not associated with increased psychological distress.^{10,11} We could find no studies examining the effects of medical risk factors on the evolution of distress during the very early period following VPT birth.

This study addressed gaps in the literature by repeatedly assessing depression and anxiety in mothers and fathers of VPT infants throughout the newborn period. We aimed to (1) describe the trajectory of depression and anxiety symptoms during the first 12 weeks after birth in parents of VPT infants, (2) examine the influence of social and perinatal characteristics on these trajectories, and (3) compare symptoms of depression and anxiety shortly after birth and at 6 months' postnatal age in parents of VPT and FT infants.

Key Points

Question How do depression and anxiety evolve in parents following very preterm birth?

Findings In this cohort study of 113 mothers and 101 fathers of 149 very preterm infants, rates of depression and anxiety began very high (>40%) and declined significantly during the first 12 weeks after birth, although still remaining above expected levels. Fathers and mothers showed similar rates of depression and anxiety.

Meaning Both mothers and fathers are at risk for elevated depression and anxiety following very preterm birth, and ongoing support is essential.

Methods

Sample

Families with VPT infants (<30 weeks' gestational age) admitted to the NICU between January 21, 2011, and December 23, 2013, at the Royal Women's Hospital, Melbourne, Australia, were eligible. The comparison group comprised families of healthy FT infants born at 37 weeks' gestational age or later with a birth weight greater than 2499 g at the Royal Women's Hospital between August 15, 2012, and March 26, 2014, and not admitted to the neonatal nursery. Parents who did not speak English and infants who had congenital abnormalities likely to influence development or were considered unlikely to survive according to the attending medical team were excluded. The data analysis was conducted between January 2015 and February 2016.

Families of 291 eligible VPT infants were approached to take part in the study, with those of 150 infants (52%) agreeing to participate (including 31 sets of twins and 1 set of triplets). One infant was subsequently excluded from the study owing to a congenital abnormality, leaving 149 infants from 116 families. Parental mental health data were collected from a total of 113 mothers and 101 fathers. Six infants died while in the hospital. Data from these parents until the time the child died were used, with the exception of 1 family whose infant died prior to any questionnaires being completed. Complete data were also used for 2 families who had a surviving twin.

Families of 294 eligible FT infants were approached, and those of 151 infants (51%) agreed to participate. The FT sample comprised 149 singletons and 1 set of twins, with 117 mothers and 110 fathers from the FT group providing mental health data.

Procedure

The research protocol¹² was approved by the Royal Women's Hospital Human Research Ethics Committee. After written informed consent was obtained, parents of VPT infants were asked to complete standardized self-report questionnaires measuring depression and anxiety symptoms every 2 weeks from recruitment (shortly after birth) until the infant reached term-equivalent age (40 weeks' postmenstrual age) and again 6 months after birth. Parents of FT infants completed the measures once within 3 weeks of birth and at 6 months. When a parent scored above clinical thresholds on any of the mental health questionnaires, a member of the research team contacted him or her to discuss the scores. The families of all VPT infants were offered support services (including social work and mental health support) routinely throughout their admission.

Measures

Symptoms of depression were assessed with the Center for Epidemiological Studies Depression Scale (CES-D).¹³ The CES-D is a widely used and validated screening tool for depression and has been used with parents of VPT infants.^{14,15} A score of 16 or higher on the CES-D represents clinically significant depressive symptoms.

The anxiety scale from the Hospital Anxiety and Depression Scale¹⁶ assessed symptoms of anxiety. A score of 8 or higher was used to indicate possible anxiety.¹⁷ The Hospital Anxiety and Depression Scale has been validated and performs well in screening for anxiety disorders,¹⁸ including in parents of preterm infants.¹⁹

Primary caregivers completed the Social Risk Index, a composite score based on 6 items: family structure, education of primary caregiver, occupation of primary income earner, employment status of primary income earner, language spoken at home, and maternal age at birth.²⁰ Families were categorized around the median score of 1 as higher (>1) or lower (O or 1) social risk.^{20,21} Additional information gathered from parents included number of other children as well as access to NICU support services and mental health services in the previous year.

Research nurses collected maternal and perinatal data from the infants' medical histories (**Table 1**), dates of transfer to other hospitals, and dates of discharge home.

Statistical Analysis

The level and prevalence of depression and anxiety in mothers and fathers of VPT infants at 2, 4, 6, 8, 10, and 12 weeks after birth were described using the mean and standard deviation for continuous outcomes and using the proportion of parents scoring above clinical cutoff scores. The score at each time point was taken from the questionnaire completed within ±7 days of the fortnightly time points. Twelve weeks was chosen as the upper limit for this analysis as beyond this time there were fewer parent responses and the data became less representative; only parents of infants born at less than 28 weeks' gestational age were given questionnaires beyond 12 weeks.

Changes over time during the newborn period in depression and anxiety in parents of VPT infants only were described using mixed-effects linear regression models fitted to the repeated measures of the continuous score on each questionnaire (CES-D and Hospital Anxiety and Depression Scale) at the family level. Chronological age was used as the timescale in the regression model, and models were fitted using a random intercept and random slope (effect of time) to allow for the repeated observations within

Table 1. Infant and Parent Characteristics

Characteristic	Very Preterm (n = 149)	Full Term (n = 151)	
Infants			
Gestational age, mean (SD), wk	27.7 (1.5)	39.8 (1.2)	
Birth weight, mean (SD), g	1021 (261)	3503 (438)	
Assisted conception, No. (%)	39 (26)	16 (11)	
Singleton pregnancy, No. (%)	84 (56)	149 (99)	
Male, No. (%)	74 (49)	76 (50)	
Major resuscitation required at birth, No. (%)	63 (42)	0	
Duration of assisted ventilation, median (IQR), h	455 (109-1088)	0 (0-0)	
Bronchopulmonary dysplasia, No. (%) ^a	46 (31) 0		
Intraventricular hemorrhage grade III or IV, No. (%)	5 (3)	0	
Suspected or proven necrotizing enterocolitis, No. (%)	16 (11)	0	
Family			
Age at birth, mean (SD), y			
Maternal	32.7 (5.3)	32.9 (4.8)	
Paternal	34.7 (6.4)	35.9 (5.3)	
Accessed mental health services in past 12 mo, No./total available No. (%)			
Mother	19/107 (18)	7/74 (10)	
Father	7/94 (7)	5/90 (6)	
Accessed NICU support, No./total available No. (%)			
Mother	54/108 (50)	NA	
Father	24/89 (27)	NA	
Higher social risk, No./total available No. (%)	55/128 (43)	32/126 (25)	
Other children at home, No./total available No. (%)	83/149 (56)	50/128 (39)	

Abbreviations: IQR, interquartile range; NA, not applicable; NICU, neonatal intensive care unit.

^a Oxygen or ventilator dependency at 36 weeks.

an individual. All analyses were performed separately for mothers and fathers.

A time-dependent covariate (prior to 7 days, within 7 days, and after 7 days of discharge or transfer) was added to the mixed models to assess whether depression and anxiety symptoms varied around the time of discharge home or transfer between hospitals. Effect modification by perinatal and social predictors (social risk, access to mental health services in the 12 months prior, access to NICU support services, older siblings, assisted conception, plurality, gestational age, resuscitation at birth, and length of ventilation [proxy for overall illness severity]) was assessed by adding both the perinatal predictor and an interaction between the predictor and chronological age to the mixed-effects models. For infant-level factors, the perinatal characteristic of the sicker infant was used as the predictor in the case of multiples.

Measurements of depression and anxiety taken shortly after birth and at age 6 months were compared between parents of VPT and FT infants using linear and logistic regression for continuous and binary outcomes, respectively, adjusted for social risk, plurality, and siblings.

jamapediatrics.com

Figure 1. Depression Scores in Parents of Very Preterm (VPT) Infants Every 2 Weeks Since Birth



Mean depression scores on the Center for Epidemiological Studies Depression Scale (A) and percentages of parents scoring above the cutoff for clinical depression (B) in mothers and fathers of VPT infants over time since birth. Sample sizes indicate the numbers of respondents at each time point; error bars, 95% confidence intervals.

Statistical analyses were performed using Stata version 13.1 statistical software (StataCorp LP).

Results

Sample Characteristics

The study included 113 mothers (mean [SD] age at birth, 32.7 [5.3] years) and 101 fathers (mean [SD] age at birth, 34.7 [6.4] years) of 149 VPT infants (49% male; 84 singletons, 65 multiples; mean [SD] birth weight, 1021 [261] g) as well as 117 mothers (mean [SD] age at birth, 32.9 [4.8] years) and 110 fathers (mean [SD] age at birth, 35.9 [5.3] years) of 151 healthy FT infants (50% male; 149 singletons, 2 multiples; mean [SD] birth weight, 3503 [438] g). The proportion of male infants and the mean parental ages were similar between the VPT and FT groups, but the VPT group compared with the FT group had fewer singletons (56% vs 99%, respectively) and more families classified as higher social risk (55 of 128 [43%] vs 32 of 126 [25%], respectively). Bronchopulmonary dysplasia, grade III or IV intraventricular hemorrhage, and suspected or proven necrotizing enterocolitis occurred in a minority of the VPT group (31%, 3%, and 11%, respectively) (Table 1).

Trajectories of Depression and Anxiety Symptoms in Parents of VPT Infants

Symptoms of Depression

In both mothers and fathers, depression symptoms decreased during the first 12 weeks from birth (**Figure 1**A). The mean change in CES-D depression score per week was -0.52 (95% CI, -0.73 to -0.31; P < .001) for mothers and -0.39 (95% CI, -0.56 to -0.21; P < .001) for fathers.

The proportion of parents experiencing depression above the clinical cutoff score was initially high (>40%) for both mothers and fathers. The rate of elevated depression symptoms decreased during the first 12 weeks from birth, with the exception of a slight increase at 12 weeks (Figure 1B). Throughout this period, the rate of mothers and fathers with elevated depression symptoms never dropped below 20%.

Symptoms of Anxiety

In both mothers and fathers, anxiety levels decreased during the first 12 weeks from birth, although a slight increase in maternal anxiety was observed at 12 weeks (**Figure 2A**). The mean change in anxiety score per week was -0.16 (95% CI, -0.26 to -0.05; P = .003) for mothers and -0.22 (95% CI, -0.31 to -0.15; P < .001) for fathers.

The proportions of both mothers and fathers with elevated anxiety symptoms was also initially high, approaching 50% for both. This rate again decreased over time with a slight increase in mothers at 12 weeks (Figure 2B). Throughout the newborn period, the rate of mothers with elevated anxiety symptoms never dropped below 22%, while for fathers the lowest rate was 25%.

Depression and Anxiety Levels Around the Time of Discharge and Transfer

There was some evidence that mothers were less anxious from 1 week after being transferred (anxiety score mean difference, -1.16; 95% CI, -2.22 to -0.99; P = .03), and possibly around the time of discharge (anxiety score mean difference, -0.68; 95% CI, -1.80 to 0.44; P = .23) and after discharge (anxiety score mean difference, -0.64; 95% CI, -1.96 to 0.68; P = .34), compared with prior to transfer or discharge. The evidence for a decline in anxiety symptoms over time was lessened after adjustment for varied anxiety around the time of and after transfer and discharge (mean change in anxiety score per week, at transfer: -0.08; 95% CI, -0.20 to 0.05; P = .24;

Figure 2. Anxiety Scores in Parents of Very Preterm (VPT) Infants Every 2 Weeks Since Birth



Mean anxiety scores on the Hospital Anxiety and Depression Scale (A) and percentages of parents scoring above the cutoff for clinical anxiety (B) in mothers and fathers of VPT infants over time since birth. Sample sizes indicate the numbers of respondents at each time point; error bars, 95% confidence intervals.

at discharge: -0.11; 95% CI, -0.24 to 0.02; P = .09) (eTable 1 in the Supplement). There was little evidence that anxiety in fathers varied around the time of discharge or transfer, with similar estimates of the change over time after adjustment for these variables.

There was little evidence that the level of depression in mothers or fathers varied around the time of or after discharge or transfer compared with more than 1 week prior to discharge, except possibly within 1 week of transfer for fathers (depression score mean difference, -1.90; 95% CI, -4.16 to 0.36; P = .10). Allowing for different levels of depression at these times had little effect on the estimate of the change over time.

Perinatal and Social Predictors of Depression and Anxiety in Parents of VPT Infants

Overall, there was little evidence that perinatal or social predictors moderated the changes of depression and anxiety scores over time since birth (eTable 2 in the Supplement). However, there was evidence that depression in mothers with previous children decreased more slowly over time than in mothers with no previous children (interaction, $\beta = 0.46$; 95% CI, 0.04 to 0.87; P = .03). Also, both depression and anxiety decreased more quickly in fathers who accessed NICU support services during their stay (interaction, depression: $\beta = -0.58$; 95% CI, -1.04 to -0.12; P = .01; anxiety: $\beta = -0.30$; 95% CI, -0.51 to -0.10; P = .004).

Depression and Anxiety Symptoms in Parents of VPT vs FT Infants

Compared with parents of FT infants, parents of VPT infants had higher rates of depression shortly after birth (mothers: 6% vs 40%; odds ratio [OR] = 9.9; 95% CI, 4.3 to 23.3; P < .001; fathers: 5% vs 36%; OR = 11.0; 95% CI, 4.1 to 29.6; P < .001) and at 6 months (mothers: 5% vs 14%; OR = 2.9; 95% CI, 1.0 to 8.2;

P = .04; fathers: 6% vs 19%; OR = 3.4; 95% CI, 1.3 to 9.0; P = .01) as well as anxiety shortly after birth (mothers: 13% vs 48%; OR = 6.5; 95% CI, 3.3 to 12.6; P < .001; fathers: 10% vs 47%; OR = 7.8; 95% CI, 3.7 to 16.8; P < .001) and at 6 months (mothers: 14% vs 25%; OR = 2.1; 95% CI, 1.0 to 4.3; P = .05; fathers: 10% vs 20%; OR = 2.3; 95% CI, 1.0 to 5.3; P = .05) (**Table 2**). When social risk and siblings were accounted for, group differences diminished, with the exception of depression in fathers.

Discussion

In our study, both mothers and fathers of VPT infants experienced elevated levels of depression and anxiety symptoms shortly after birth and their symptom levels were higher than in parents of FT infants, consistent with prior research.^{3,22} Rates of clinically significant depression and anxiety were initially high (40%-51%) in parents of VPT infants, and although rates declined during the first 12 weeks, they never dropped below 20% and always remained higher than expectations based on local general population norms (eg, for depression, 16% of women postnatally,²³ and 5% and 3% in a 12-month period for all women and men, respectively).²⁴ Six months after birth, mothers and fathers of VPT infants were still at higher risk for depression and anxiety than parents of FT infants, although this relationship diminished after adjustment for social and family factors.

There are several important and novel findings from our study. The first is that symptoms of depression and anxiety decreased during the newborn period for both mothers and fathers of VPT infants. Previous longitudinal studies in this population, which have focused on mothers and the postdischarge period, generally reported sustained symptoms of depression in mothers of VPT infants.³ Two studies report-

jamapediatrics.com

Table 2. Comparison of Scores and Prevalence of Clinical Symptoms of Depression and Anxiety in Parents of VPT and FT Infants Shortly After Birth and at Age 6 Months

	Value		Unadjusted		Adjusted ^a	
Outcome	VPT	FT	Mean Difference or OR (95% CI)	P Value	Mean Difference or OR (95% CI)	P Value
Mothers						
Shortly after birth ^b	(n = 113)	(n = 112)				
Depression score ^c	15.4 (9.9)	6.5 (5.1)	8.9 (6.8 to 11.0)	<.001	7.8 (4.9 to 10.6)	<.001
Anxiety score ^c	7.5 (4.1)	4.7 (2.8)	2.8 (1.9 to 3.8)	<.001	2.0 (0.8 to 3.3)	.002
Above clinical depression cutoff ^d	46 (40)	7 (6)	9.9 (4.3 to 23.3)	<.001	7.6 (2.8 to 20.9)	<.001
Above clinical anxiety cutoff ^d	55 (48)	14 (13)	6.5 (3.3 to 12.6)	<.001	3.6 (1.5 to 8.5)	.003
6 mo after birth	(n = 81)	(n = 117)				
Depression score ^c	7.3 (9.4)	5.6 (5.1)	1.7 (-0.4 to 3.7)	.11	1.6 (-0.9 to 4.0)	.21
Anxiety score ^c	4.3 (4.5)	4.7 (3.1)	-0.3 (-1.4 to 0.7)	.52	-0.7 (-2.0 to 0.6)	.27
Above clinical depression cutoff ^d	11 (14)	6 (5)	2.9 (1.0 to 8.2)	.04	2.2 (0.7 to 7.2)	.19
Above clinical anxiety cutoff ^d	20 (25)	16 (14)	2.1 (1.0 to 4.3)	.05	1.8 (0.8 to 4.3)	.18
Fathers						
Shortly after birth ^b	(n = 101)	(n = 102)				
Depression score ^c	12.8 (9.0)	5.8 (5.3)	7.0 (5.0 to 9.0)	<.001	5.8 (3.0 to 8.6)	<.001
Anxiety score ^c	6.9 (4.3)	4.1 (3.0)	2.8 (1.7 to 3.8)	<.001	2.3 (0.9 to 3.7)	.002
Above clinical depression cutoff ^d	37 (36)	5 (5)	11.0 (4.1 to 29.6)	<.001	5.8 (1.8 to 18.7)	.003
Above clinical anxiety cutoff ^d	47 (47)	10 (10)	7.8 (3.7 to 16.8)	<.001	5.0 (2.0 to 12.8)	.001
6 mo after birth	(n = 74)	(n = 110)				
Depression score ^c	8.4 (8.3)	6.0 (5.4)	2.4 (0.4 to 4.4)	.02	2.7 (0.2 to 5.2)	.04
Anxiety score ^c	4.3 (3.8)	3.5 (3.0)	0.8 (-0.2 to 1.8)	.11	0.3 (-1.0 to 1.5)	.69
Above clinical depression cutoff ^d	14 (19)	7 (6)	3.4 (1.3 to 9.0)	.01	4.9 (1.5 to 16.1)	.008
Above clinical anxiety cutoff ^d	15 (20)	11 (10)	2.3 (1.0 to 5.3)	.05	2.5 (0.9 to 7.0)	.09
Abbreviations: FT, full term; OR, odds	ratio; VPT, very pre	term.	^c Values are expressed	ed as mean (SD),	and comparisons are expres	sed as mear

Model adjusted for social risk, plurality, and siblings.

^b Shortly after birth indicates a mean (SD) of 2.4 (1.2) weeks for VPT infants and 2.7 (1.9) weeks for FT infants.

^d Values are expressed as number (percentage), and comparisons are expressed as OR (95% CI).

ing a decline used both hospitalization and postdischarge time points.^{7,15} Most perinatal and social characteristics had minimal effect on the relationships between time and depression or anxiety, although our study was not sufficiently powered to detect such moderating effects, another important contribution to the literature that may help to explain the mixed findings on predictors of distress in previous studies. In the general population, obstetric and perinatal complications are associated with parental depressive symptoms even after controlling for sociodemographic variables and preexisting psychopathology.²⁵ Our finding that parental distress improved over time for parents of infants regardless of duration of ventilation (considered a proxy for illness severity) may be reflective of this cohort of exclusively VPT infants having an inherently high level of risk that minimized the effect of individual medical complications on parental mental health over time. There was little evidence for differences in anxiety or depression around the time of and after discharge or transfer with the exception of slightly lower anxiety in mothers, which partially accounted for the decreasing trajectory of maternal anxiety. Although anecdotally many clinicians describe these times as periods of high distress for parents, the effect of time of transfer and discharge on parental mental health has not previously been studied quantitatively, and our findings require replication.

The most novel finding in this study was that fathers had rates of clinically significant symptoms of depression and anxiety comparable with those of mothers. We did not aim to directly compare fathers with mothers, but the large overlap of confidence intervals for the mean scores and rates at each time point suggested that levels were similar for both parents. Although this is the first time, to our knowledge, that fathers' mental health following birth of VPT infants has been studied in this way, our results are at odds with what one may expect given that in the general population rates of depression and anxiety are typically lower in males than females²⁴ and lower in fathers than mothers after birth.²⁶ Furthermore, previous research has shown that fathers experienced lower levels of distress than mothers following preterm birth,⁶ although this earlier study was limited by small sample sizes and heterogeneous samples. The similarities seen between

fathers and mothers in our study may reflect the increased illness severity in the current cohort, use of validated measures of depression and anxiety, and inclusion of fathers throughout the study.

Our study is the first, to our knowledge, to conduct a detailed examination of changes in distress over time in parents of VPT infants at frequent intervals during the newborn period. This is a sensitive time during which interventions may be targeted. Other strengths include the cohort of parents exclusively of VPT infants and the thorough investigation of mental health in fathers. Limitations include the use of validated screening rather than diagnostic tools to measure symptoms of depression and anxiety. However, this reduced the burden for parents given the multiple assessments and provided information on symptom severity, which is important given that even subclinical symptoms of depression and anxiety are often distressing enough to warrant intervention. The high level of support services available at the hospital may have contributed to the improvement in symptoms over time, and indeed this was evident for fathers in our results. Our protocol of following up with

parents who reported clinically significant symptoms may also have had an impact.

Conclusions

It is important that fathers not be overlooked after birth of VPT infants. Fathers showed high rates of depression and anxiety, comparable with those experienced by mothers. There is less awareness of the challenges faced by fathers and consequently often limited support provided to them. Although beyond the scope of this article, future research should examine how mental health in one parent influences the well-being of his or her partner. This study also identified critical times for assessment and potential intervention for parents. For example, it is clear that many mothers and fathers need support shortly after the birth of their VPT infant. While an important message for parents is that distress does improve over time, it is also noteworthy that symptoms of depression and anxiety remain elevated beyond the very early weeks after birth of VPT infants, and parents should continue to be monitored.

ARTICLE INFORMATION

Accepted for Publication: March 22, 2016.

Published Online: July 18, 2016. doi:10.1001/jamapediatrics.2016.0810.

Author Affiliations: Newborn Research Centre, Royal Women's Hospital, Melbourne, Australia (Pace, Spittle, Cheong, Davis, Doyle, Treyvaud); Victorian Infant Brain Studies, Murdoch Childrens Research Institute, Melbourne, Australia (Pace, Spittle, Cheong, Doyle, Treyvaud, Anderson); Melbourne School of Psychological Sciences, University of Melbourne, Melbourne, Australia (Pace, Northam); Department of Physiotherapy, University of Melbourne, Melbourne, Australia (Spittle); Clinical Epidemiology and Biostatistics Unit Murdoch Childrens Research Institute Melbourne, Australia (Molesworth, Lee); Department of Paediatrics, University of Melbourne, Melbourne, Australia (Lee, Treyvaud, Anderson); Psychology Service, Royal Children's Hospital, Melbourne, Australia (Northam): Department of Neonatal Services, Royal Women's Hospital, Melbourne, Australia (Cheong, Davis): Department of Obstetrics and Gynaecology, University of Melbourne, Melbourne, Australia (Cheong, Davis, Doyle).

Author Contributions: Dr Pace had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

Study concept and design: Pace, Spittle, Cheong, Treyvaud, Anderson.

Acquisition, analysis, or interpretation of data: Pace, Spittle, Molesworth, Lee, Northam, Davis, Doyle, Treyvaud, Anderson.

Drafting of the manuscript: Pace, Spittle,

Molesworth, Treyvaud, Anderson. Critical revision of the manuscript for important intellectual content: All authors.

Statistical analysis: Pace, Molesworth, Lee,

Treyvaud.

Obtained funding: Spittle, Cheong, Doyle, Anderson.

Administrative, technical, or material support: Treyvaud, Anderson. Study supervision: Spittle, Northam, Davis,

Treyvaud, Anderson.

Conflict of Interest Disclosures: None reported.

Funding/Support: This work was supported by Centre for Clinical Research Excellence grant 546519 (Drs Cheong, Doyle, and Anderson), Centres of Research Excellence grant 1060733 (Drs Spittle, Cheong, Doyle, and Anderson), project grant 1024516 (Drs Spittle, Doyle, and Anderson), project grant 1028822 (Drs Spittle, Cheong, Doyle, and Anderson), Senior Research Fellowship 1081288 (Dr Anderson), and Early Career Fellowship 1053767 (Dr Spittle) from the National Health and Medical Research Council and by the Operational Infrastructure Support Program from the State Government of Victoria. Dr Pace was supported by an Australian Postgraduate Award from the University of Melbourne.

Role of the Funder/Sponsor: The funders had no role in the design and conduct of the study; collection, management, analysis, and interpretation of the data; preparation, review, or approval of the manuscript; and decision to submit the manuscript for publication.

Additional Contributions: We gratefully thank the Victorian Infant Brain Studies group at Murdoch Childrens Research Institute and the Newborn Research team at the Royal Women's Hospital for their support, as well as the families who participated in this study.

REFERENCES

1. Gray RF, Indurkhya A, McCormick MC. Prevalence, stability, and predictors of clinically significant behavior problems in low birth weight children at 3, 5, and 8 years of age. *Pediatrics*. 2004;114(3):736-743.

2. Goodman SH, Rouse MH, Connell AM, Broth MR, Hall CM, Heyward D. Maternal depression and child psychopathology: a meta-analytic review. *Clin Child Fam Psychol Rev.* 2011;14(1):1-27. Vigod SN, Villegas L, Dennis C-L, Ross LE.
Prevalence and risk factors for postpartum depression among women with preterm and low-birth-weight infants: a systematic review. *BJOG*. 2010;117(5):540-550.

4. Meyer EC, Coll CT, Lester BM, Boukydis CF, McDonough SM, Oh W. Family-based intervention improves maternal psychological well-being and feeding interaction of preterm infants. *Pediatrics*. 1994;93(2):241-246.

5. Davis L, Edwards H, Mohay H, Wollin J. The impact of very premature birth on the psychological health of mothers. *Early Hum Dev*. 2003;73(1-2):61-70.

6. Mackley AB, Locke RG, Spear ML, Joseph R. Forgotten parent: NICU paternal emotional response. *Adv Neonatal Care*. 2010;10(4):200-203.

7. Singer LT, Salvator A, Guo S, Collin M, Lilien L, Baley J. Maternal psychological distress and parenting stress after the birth of a very low-birth-weight infant. *JAMA*. 1999;281(9):799-805.

8. Auslander GK, Netzer D, Arad I. Parental anxiety following discharge from hospital of their very low birth weight infants. *Fam Relat.* 2003;52(1):12-21.

 Singer LT, Davillier M, Preuss L, et al. Feeding interactions in infants with very low birth weight and bronchopulmonary dysplasia. J Dev Behav Pediatr. 1996;17(2):69-76.

10. Feldman R, Eidelman AI, Sirota L, Weller A. Comparison of skin-to-skin (kangaroo) and traditional care: parenting outcomes and preterm infant development. *Pediatrics*. 2002;110(1, pt 1): 16-26.

11. Lambrenos K, Weindling AM, Calam R, Cox AD. The effect of a child's disability on mother's mental health. *Arch Dis Child*. 1996;74(2):115-120.

12. Spittle AJ, Thompson DK, Brown NC, et al. Neurobehaviour between birth and 40 weeks' gestation in infants born <30 weeks' gestation and parental psychological wellbeing: predictors of brain development and child outcomes. *BMC Pediatr*. 2014;14(1):111.

jamapediatrics.com

13. Radloff LS. The CES-D scale: a self-report depression scale for research in the general population. *Appl Psychol Meas*. 1977;1(3):385-401. doi:10.1177/014662167700100306.

14. Pridham K, Brown R, Clark R, et al. Effect of guided participation on feeding competencies of mothers and their premature infants. *Res Nurs Health*. 2005;28(3):252-267.

15. Saigal S, Stoskopf BL, Burrows E, Streiner DL, Rosenbaum PL. Stability of maternal preferences for pediatric health states in the perinatal period and 1 year later. *Arch Pediatr Adolesc Med*. 2003;157 (3):261-269.

16. Zigmond AS, Snaith RP. The hospital anxiety and depression scale. *Acta Psychiatr Scand*. 1983;67 (6):361-370.

 Herrmann C. International experiences with the Hospital Anxiety and Depression Scale: a review of validation data and clinical results. *J Psychosom Res.* 1997;42(1):17-41. **18**. Bjelland I, Dahl AA, Haug TT, Neckelmann D. The validity of the Hospital Anxiety and Depression Scale: an updated literature review. *J Psychosom Res.* 2002;52(2):69-77.

19. Carter JD, Mulder RT, Bartram AF, Darlow BA. Infants in a neonatal intensive care unit: parental response. *Arch Dis Child Fetal Neonatal Ed*. 2005; 90(2):F109-F113.

20. Treyvaud K, Doyle LW, Lee KJ, et al. Social-emotional difficulties in very preterm and term 2 year olds predict specific social-emotional problems at the age of 5 years. *J Pediatr Psychol*. 2012;37(7):779-785.

21. Roberts G, Howard K, Spittle AJ, Brown NC, Anderson PJ, Doyle LW. Rates of early intervention services in very preterm children with developmental disabilities at age 2 years. *J Paediatr Child Health*. 2008;44(5):276-280.

22. Kersting A, Dorsch M, Wesselmann U, et al. Maternal posttraumatic stress response after the

birth of a very low-birth-weight infant. *J Psychosom Res*. 2004;57(5):473-476.

23. Buist AE, Austin M-PV, Hayes BA, et al. Postnatal mental health of women giving birth in Australia 2002-2004: findings from the beyondblue National Postnatal Depression Program. *Aust N Z J Psychiatry*. 2008;42(1):66-73.

24. Australian Bureau of Statistics. *National Survey* of *Mental Health and Wellbeing: Summary of Results, 2007.* Canberra, Australia: Australian Bureau of Statistics; 2008.

25. Blom EA, Jansen PW, Verhulst FC, et al. Perinatal complications increase the risk of postpartum depression: the Generation R Study. *BJOG*. 2010;117(11):1390-1398.

26. Paulson JF, Bazemore SD. Prenatal and postpartum depression in fathers and its association with maternal depression: a meta-analysis. *JAMA*. 2010;303(19):1961-1969.