Syst. Biol. 54(4):575–594, 2005 Copyright ⓒ Society of Systematic Biologists ISSN: 1063-5157 print / 1076-836X online DOI: 10.1080/10635150590947131

# **Evolutionary Rates Analysis of Leguminosae Implicates a Rapid Diversification** of Lineages during the Tertiary

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Abstract.—Tertiary macrofossils of the flowering plant family Leguminosae (legumes) were used as time constraints to estimate ages of the earliest branching clades identified in separate plastid matK and rbcL gene phylogenies. Penalized likelihood rate smoothing was performed on sets of Bayesian likelihood trees generated with the AIC-selected GTR+ $\Gamma$ + $\Pi$  substitution model. Unequivocal legume fossils dating from the Recent continuously back to about 56 million years ago were used to fix the family stem clade at 60 million years (Ma), and at 1-Ma intervals back to 70 Ma. Specific fossils that showed distinctive combinations of apomorphic traits were used to constrain the minimum age of 12 specific internal nodes. These constraints were placed on stem rather than respective crown clades in order to bias for younger age estimates. Regardless, the mean age of the legume crown clade differs by only 1.0 to 2.5 Ma from the fixed age of the legume stem clade. Additionally, the oldest caesalpinioid, mimosoid, and papilionoid crown clades show approximately the same age range of 39 to 59 Ma. These findings all point to a rapid family-wide diversification, and predict few if any legume fossils prior to the Cenozoic. The range of the matK substitution rate, 2.1–24.6 × 10<sup>-10</sup> substitutions per site per year, is higher than that of rbcL, 1.6–8.6 × 10<sup>-10</sup>, and is accompanied by more uniform rate variation among codon positions. The matK and rbcL substitution rates are highly correlated across the legume family. For example, both loci have the slowest substitution rates among the mimosoids and the fastest rates among the millettioid legumes. This explains why groups such as the millettioids are amenable to species-level phylogenetic analysis with these loci, whereas other legume groups are not. [Age estimation; Bayesian phylogenetics; Fabaceae; Leguminosae; matK; penalized likelihood rate smoothing; rbcL; substitution rates.]

The flowering plant family Leguminosae (Fabaceae) contains over 18,000 species distributed throughout the world in many ecological settings, from deserts of high latitudes to seasonally dry or wet tropical forests of equatorial regions (Lewis et al., 2005). Legumes diversified during the Early Tertiary (Herendeen et al., 1992) to become a ubiquitous feature of modern terrestrial biotas, similar to the timing of diversification of other prevailing terrestrial groups, such as the dominant modern families of angiosperms, polypod ferns, mammals, teleost fishes, birds, and insects (e.g., Wilf and Labandeira, 1999; Engel, 2001; Manos and Standford, 2001; Schneider et al., 2004). Second only to the grass family (Poaceae) in agricultural and economic importance, the legumes includes many species harvested as crops, or used for oils, fiber, fuel, timber, medicinals, chemicals, and horticultural varieties. Legumes play an important role in the terrestrial nitrogen cycle regardless of whether they form root nodules with symbiotic rhizobia (Sprent, 2001).

The first definitive legumes appear during the Late Paleocene, about 56 million years ago (Mya) (Herendeen, 2001; Herendeen and Wing, 2001; Wing et al., 2004). All three traditionally recognized subfamilies of legumes, the caesalpinioids, mimosoids, and papilionoids (Polhill et al., 1981), as well as other taxonomically large clades within these subfamilies (e.g., genistoids), are recorded from the fossil record soon afterward, beginning around 50 to 55 Mya (e.g., Herendeen et al., 1992). A prediction derived from the legume fossil record is that there should be little difference between the estimated age of the origin of legumes and their subsequent diversification. Now that legumes and close relatives are well sampled for molecular data (e.g., Kajita et al., 2001; Herendeen et al., 2003a; Wojciechowski et al., 2004), the goal of this article

is to sample diverse legumes and immediate outgroups such that the age of the legume stem (origin) and crown (diversification) clades can be confidently estimated.

A hypothesis that posits a rapid diversification of legumes also predicts that the oldest caesalpinioid, mimosoid, and papilionoid crown clades should be equivalent in age and nearly as old as the legume crown. This finding would counter conventional wisdom that implicitly suggests that caesalpinioids harbor many ancestral traits and comprise the oldest lineages (e.g., Polhill et al., 1981). The "basal" characterization of caesalpinioids (e.g., Bruneau et al., 2001) is possibly one reason why Tucker and Douglas (1994) choose outgroups that shared radial floral symmetry with caesalpinioids (e.g., Connaraceae, Cunoniaceae, and Sapindaceae). The term "basal," however, is vague at best (e.g., Krell and Cranston, 2004). Although caesalpinioids form a paraphyletic grade from which stem the mimosoids and papilionoids (Kajita et al., 2001; Wojciechowski et al., 2004), attributing "basal" to basally branching clades would mean nothing regarding ages of extant diversifications.

With the exception of the few cursory reports in Wojciechowski (2003), no molecular age estimates have yet been detailed for crown groups within the legume family. This rate and age analysis is not only comprehensive, but incorporates multiple fossil constraints and ages estimated from multiple trees, approaches that increase the reliability of rate and age estimates (e.g., Yang and Yoder, 2003; Yoder and Yang, 2004). The rate and age analysis detailed in this study therefore can reveal not only the tempo of legume diversification, but also provide credible age estimates for crown clades with no fossil record.

## MATERIALS AND METHODS

#### Taxon Sampling

Only two studies have extensively sampled molecular sequence data from across legumes and close relatives (Kajita et al., 2001; Wojciechowski et al., 2004). The sequences sampled from these two studies for this analysis represent 38 of the 40 legume tribes recognized by Polhill (1994), all of the well-supported legume crown clades recently delineated by molecular phylogenetic studies (reviewed in Wojciechowski, 2003), and the designated outgroups representing the two other families of Fabales (sensu Angiosperm Phylogeny Group, 2003), Polygalaceae and Surianaceae, as well as the rosaceous genus *Quillaja*.

## DNA Sequence Data

Sequence data from Wojciechowski et al. (2004) and Kajita et al. (2001) represent two plastid loci, matK and *rbcL*, commonly used in phylogenetic studies of plants. The matK data set contained 335 sequences with an aligned length of 1674 sites, 1430 of which were used in the rates analysis after gapped regions were omitted (the flanking non-coding portion of the trnK intron was not included). The rbcL data set included 241 sequences with an aligned length of 1399 sites, all of which were used in the rates analysis. The chloroplast trnL-F region (e.g., Pennington et al., 2001; Bruneau et al., 2001; Hu et al., 2002) was too invariable and estimates at one node broadly overlapped with those of adjacent nodes (Lavin, unpublished data). The nuclear ribosomal ITS/5.8S region (Baldwin et al., 1995) is unalignable for family-wide comparisons. DNA isolations, primer specifications, PCR amplification conditions, DNA sequencing, methods of data management, and voucher specimen information follow Wojciechowski et al. (2004) and Kajita et al. (2001). Data matrices are deposited with TreeBase (http://www.treebase.org/) study accession number S1968 for matK (Wojciechowski et al., 2004) and S578 for *rbcL* (Kajita et al., 2001).

### **Evolutionary Rates Analysis**

Branch lengths were estimated using a Bayesian approach (Huelsenbeck and Ronquist, 2001; Huelsenbeck et al., 2001). The GTR+SS, GTR+ $\Gamma$ +I, and other nucleotide substitution models for both the *matK* and *rbcL* data sets were evaluated with Akaike information criterion (AIC) and Schwarz criterion (SC, or Bayesian information criterion), as implemented manually (e.g., Burnham and Anderson, 2002; Johnson and Omland, 2004) and in ModelTest (Posada and Crandall, 1998). Selected models were subjected to multiple runs of Metropolis-coupled Markov Chain Monte Carlo chains, each initiated with random starting trees and default substitution parameters.

Each Bayesian run comprised four chains of default temperatures and  $5\text{--}20 \times 10^6$  generations. Tree parameters were sampled every  $5 \times 10^4$  generations after likelihood stationarity was attained. One hundred Bayesian

trees at stationarity were then systematically sampled (e.g., once every 50,000 trees) for the rates analysis in order to estimate the mean, variance, minimum, and maximum rate and age for each of 82 nodes representing the oldest crown clades within the legume family. Relative substitution rates and ages were converted to absolute rates and ages by enforcing age constraints derived from the fossil record.

A likelihood ratio test (Felsenstein, 1988; Huelsenbeck and Crandall, 1997; Posada and Crandall, 1998; Johnson and Omland, 2004) using either the GTR+ $\Gamma$ +I or GTR+SS nucleotide substitution models rejected a molecular clock for both the *matK* (LR = 2372, df = 333, P = 0.00000) and rbcL (LR = 1411, df = 239, P = 0.00000) data sets. The penalized likelihood method (Sanderson, 2002) in the program r8s (Sanderson, 2003) was thus used to estimate nucleotide substitution rates and ages of selected stem and crown clades. Via a crossvalidation procedure, the penalized likelihood method finds an optimum rate-smoothing parameter for the transition of substitution rate between ancestor and descendants. The optimum level of smoothing should generally lie between the single-parameter (e.g., molecular clock; Langley and Fitch, 1974) and the parameter-rich model (e.g., typically nonparametric rate-smoothing; Sanderson, 1997).

## Fossil Constraints

Age constraints derived from the legume fossil record were imposed on each of the *matK* and *rbcL* Bayesian consensus trees. The age of the root node was fixed and minimum ages were assigned to 12 internal nodes. Many fossils are potentially available, but our selection was limited to those with a unique set of apomorphic characters that could be assigned to a specific stem clade of legumes. Although either stem or crown clades may be constrained (e.g., Magallón and Sanderson, 2001), assigning fossil constraints to stem clades allowed more branch length (nucleotide substitutions) to be attributed to the minimum time frame defined by the fossil. This resulted in a faster rate of substitution and a bias toward younger age estimates. Because the objective of our study is to test the hypothesis that the oldest crown clades within legumes are indeed old relative to the legume stem clade, placing constraints on stem clades will not bias the results in favor of the hypothesis being tested. Of the 13 age constraints (A to M in Appendix 1) imposed on the mat K phylogeny, only 9 (A, B, D, F, G, H, I, J, and K; see Appendix 1) could be placed on the rbcL phylogeny because of less exhaustive sampling. Absolute ages of relevant geological periods follow Berggren et al. (1996).

### RESULTS

## Rate-Smoothing Parameters

The optimal rate-smoothing parameter selected during cross-validation of the penalized likelihood analysis was  $10^{1.5}$  for *matK* and  $10^2$  for *rbcL*. In both instances, these smoothing parameters resulted in a better

goodness of fit (estimated terminal branch lengths versus the actual terminal branch lengths) than that determined for the globally rate constant (Langley-Fitch) analysis. Nonparametric rate-smoothing consistently yielded the worst fit.

*Nucleotide Substitution Estimates for the* matK *Locus* 

Both AIC and SC revealed the best fit of the GTR+ $\Gamma$ +I model compared to all other nested and non-nested models (e.g., AIC of GTR+SS = 105608 and of GTR+ $\Gamma$ +I = 103094 for a  $\Delta$ AIC of 2514; or SC = 105677 for GTR+SS and 103158 for GTR+ $\Gamma$ +I for a  $\Delta$ SC of 2519). Parameters estimated during the Bayesian analysis using the GTR+ $\Gamma$ +I and GTR+SS models (Table 1) reveal, among other things, a fairly uniform among-site rate variation. For example, the substitution rate at the 3rd codon position is only about twice that of the 2nd position.

The mean penalized likelihood (PL) substitution rate across 100 Bayesian trees for the 82 nodes identified in the matK phylogeny (Figs. 1 to 3, Table 2) ranges from 2.1 to  $24.6 \times 10^{-10}$  substitutions per site per year. When the 12 minimum age constraints (nodes B to M, Appendix 1) were not imposed during the PL rates analysis, a modest trend toward a faster substitution rates was observed (Fig. 4a). Three points lie distinctly above the trend line

TABLE 1. Nucleotide substitution parameters for the GTR+ $\Gamma$ +I and GTR+SS models for each of the *matK* and *rbcL* loci. Parameters were estimated by Bayesian inference and sampling at likelihood stationarity (i.e., 100 trees). These models involve six substitution parameters (r), four base frequency parameters (pi), the alpha shape parameter, the proportion of invariant sites parameter [p(I)], and three relative substitution rates among codon positions, 1st (m{1}), 2nd (m{2}), and 3rd (m{3}). The GTR+ $\Gamma$ +I model for both loci had the best fit to the data, as revealed by either AIC or SC.

$\overline{GTR+\Gamma+I}$	Mean	Variance	GTR+SS	Mean	Variance				
matK									
$r(G \leftrightarrow T)$	1.000000	0.000000	$r(G \leftrightarrow T)$	1.000000	0.000000				
$r(C \leftrightarrow T)$	1.680346	0.013502	$r(C \leftrightarrow T)$	1.819096	0.005294				
$r(C \leftrightarrow G)$	1.050557	0.006973	$r(C \leftrightarrow G)$	1.356160	0.004275				
$r(A \leftrightarrow T)$	0.278838	0.000365	$r(A \leftrightarrow T)$	0.238177	0.000143				
$r(A \leftrightarrow G)$	1.984317	0.012906	$r(A \leftrightarrow G)$	1.959152	0.005964				
$r(A \leftrightarrow C)$	1.442657	0.012109	$r(A \leftrightarrow C)$	1.549988	0.005208				
pi(A)	0.314941	0.000069	pi(A)	0.334913	0.000044				
pi(C)	0.154121	0.000035	pi(C)	0.127895	0.000012				
pi(G)	0.148623	0.000026	pi(G)	0.134290	0.000012				
pi(T)	0.382314	0.000067	pi(T)	0.402901	0.000043				
alpha	1.075923	0.003538	m{1}	0.860012	0.000213				
p(I)	0.041595	0.000085	m{2}	0.694502	0.000173				
_			m{3}	1.445192	0.000210				
		rbc	L						
$r(G \leftrightarrow T)$	1.000000	0.000000	$r(G \leftrightarrow T)$	1.000000	0.000000				
$r(C \leftrightarrow T)$	3.294871	0.079700	$r(C \leftrightarrow T)$	4.504949	0.095785				
$r(C \leftrightarrow G)$	1.071123	0.011089	$r(C \leftrightarrow G)$	1.870930	0.022391				
$r(A \leftrightarrow T)$	0.634750	0.002869	$r(A \leftrightarrow T)$	0.619546	0.002865				
$r(A \leftrightarrow G)$	3.000933	0.056642	$r(A \leftrightarrow G)$	3.604245	0.052675				
$r(A \leftrightarrow C)$	1.147267	0.015798	$r(A \leftrightarrow C)$	1.920074	0.020662				
pi(A)	0.292439	0.000086	pi(A)	0.290212	0.000052				
pi(C)	0.185135	0.000048	pi(C)	0.139716	0.000018				
pi(G)	0.198897	0.000048	pi(G)	0.193070	0.000034				
pi(T)	0.323529	0.000090	pi(T)	0.377002	0.000063				
alpha	0.423598	0.000222	m{1}	0.415467	0.000256				
p(I)	0.426626	0.000230	m{2}	0.251758	0.000184				
			m{3}	2.334030	0.000387				

(Fig. 4a) and include two constrained nodes, K (MRCA of *Machaerium falciforme* and *Aeschynomene purpusii*; Table 2, Fig. 2) and M (MRCA of *Robinia pseudoacacia* and *Coursetia axillaris*; Table 2, Fig. 3), and one adjacent to K (node 45; Table 2, Fig. 2).

An average of  $8.1 \times 10^{-10}$  substitutions per site per year was estimated with the rate constant Langley-Fitch (LF) model (Fig. 4b), which is well within the range of estimates derived from the penalized likelihood method (Fig. 4a). This rate was increased to  $14.4 \times 10^{-10}$  substitutions per site per year when the 12 minimum fossil constraints were removed (data not shown). The nonparametric rate-smoothing (NPRS) approach resulted in highly variable rate estimates that showed no correlation with rates estimated using the penalized likelihood approach (Fig. 4b).

Nucleotide Substitution Estimates for the rbcL Locus

Both AIC and SC revealed the best fit of the GTR+ $\Gamma$ +I model compared to all other nested and non-nested models (e.g., AIC of GTR+SS = 49008 and of GTR+ $\Gamma$ +I = 44614 for a  $\Delta$ AIC of 4395; or SC = 49077 for GTR+SS and 44677 for GTR+ $\Gamma$ +I for a  $\Delta$ SC of 4400). Parameters estimated during the Bayesian analysis using the GTR+ $\Gamma$ +I and GTR+SS models (Table 1) reveal that substitution variation at the 3rd codon position was almost 10-fold that of the 2nd position.

The mean PL substitution rate across 100 Bayesian trees for the 29 nodes identified in the rbcL phylogeny ranges from 1.6 to  $8.6 \times 10^{-10}$  substitutions per site per year (Table 3). If minimum fossil constraints are not imposed, a modest trend toward faster substitution rates is detected. Only two nodes show a significant deviation from the general trend (graph not shown) and include node K (MRCA of *Machaerium lunatum* and *Dalbergia hupeana*; Table 3, Fig. 2), and node G (MRCA of *Acacia farnesiana* and *Mimosa speggazzinii*; Table 3, Fig. 1). The rate constant LF model showed the same trend in estimating faster substitution rates when the minimum fossil constraints were not imposed (means of 4.8 versus 7.0  $\times$   $10^{-10}$  substitutions per site per year).

## Comparisons of Substitution Rates Estimated for the matK and rbcL Loci

Although penalized likelihood estimates derived from the *matK* locus have a substitution rate that is on average about twice that of *rbcL*, some lineages show a similar rate between these two loci (Fig. 4c). The mimosoid stem clade (node F; Fig. 1) and the Cladrastis crown clade (node 17; Fig. 1) distinctly show the slowest mean rates for both loci (Fig. 4c). For example, the Cladrastis crown is estimated at  $2.6 \times 10^{-10}$  substitution per site per year for *matK* and  $1.6 \times 10^{-10}$  for *rbcL* (node 17, Figs. 1, 4b; Tables 2, 3). The estimated substitution rate for the inverted-repeat-loss clade (IRLC; node 75, Figs. 3, 4c) is  $7.8 \times 10^{-10}$  substitutions per site per year for the *matK* locus and  $7.2 \times 10^{-10}$  for *rbcL*. In general, the *matK* locus has a much faster rate of evolution, with the most extreme

FIGURE 1. Bayesian consensus *matK* phylogeny (i.e., with averaged branch lengths) showing outgroups, caesalpinioid, mimosoid, and some of the papilionoid crown clades (e.g., the Swartzia node and the Cladrastis crown). Estimated substitution parameters for this Bayesian analysis are given in Table 1. Detailed age and rate estimates for all nodes labeled with letters or numbers are presented in Table 2. Age estimates are reported for the older crown clades. Ma = million years. The nodes labeled with diamonds are those with fixed (node A) or minimum age constraints (nodes B to M).

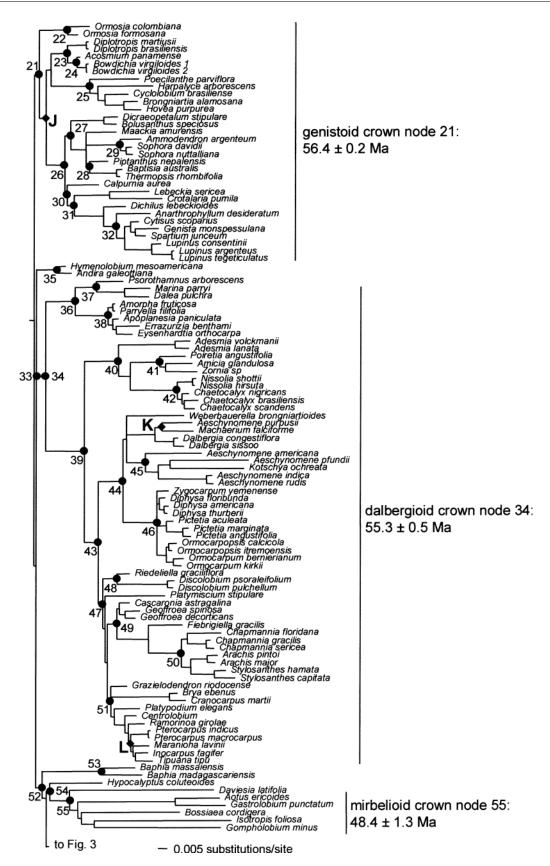


FIGURE 2. Continuation of the Bayesian consensus *matK* phylogeny from Figure 1 showing the genistoid, dalbergioid, and mirbelioid crown clades. Estimated substitution parameters for this Bayesian analysis are given in Table 1. Detailed age and rate estimates for all nodes labeled with letters or numbers are presented in Table 2. Age estimates are reported for the older crown clades. Ma = million years. The nodes labeled with diamonds are those with fixed (node A) or minimum fossil constraints (nodes B to M).

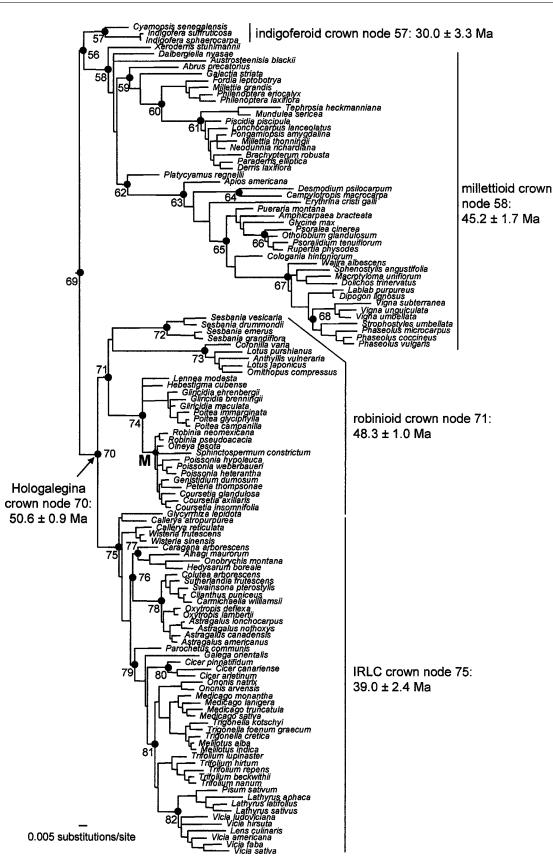


FIGURE 3. Continuation of the Bayesian consensus *matK* phylogeny from Figure 2 showing the indigoferoid, millettioid, and Hologalegina crown clades. Estimated substitution parameters for this Bayesian analysis are given in Table 1. Detailed age and rate estimates for all nodes labeled with letters or numbers are presented in Table 2. Age estimates are reported for the older crown clades. Ma = million years. The nodes labeled with diamonds are those with fixed (node A) or minimum fossil constraints (nodes B to M).

TABLE 2. Penalized likelihood estimates of ages for fossil-constrained and unconstrained nodes across  $100 \ mat K$  Bayesian trees sampled at likelihood stationarity (for node assignment, see the Bayesian consensus, Figs. 1 to 3). The first node (A) is assigned a fixed age, whereas the next  $12 \ nodes$  (B to M) are assigned minimum age constraints (indicated in parentheses) as derived from the fossil record. The letter and numeric codes for each node correspond to those shown in Figures 1 to 3.  $Ma = million \ years; SD = standard \ deviation; \ s/s = substitutions \ per site.$ 

Node	matK node defined as the MRCA of:	Mean age (Ma)	SD (age)	Minimum (Ma)	Maximum (Ma)	Mean rate (s/s/Ma)	SD (rate)
A (60 Ma)	Polygala californica–Cercis occidentalis	Fixed					
	Cercis occidentalis–Bauhinia tomentosa	34.0	0.0	34.0	34.0	0.00084	0.00005
C (26 Ma)	Hymenaea courbaril–Tessmannia lescrauwaetii	26.0	0.0	26.0	26.0	0.00057	0.00007
D (34 Ma)	Arcoa gonavensis-Gleditsia triacanthos	54.0	3.4	39.8	57.4	0.00054	0.00009
	Caesalpinia andamanica–Haematoxylum brasiletto	45.0	0.0	45.0	45.0	0.00035	0.00004
	Inga punctata–Cercidium floridum	55.0	0.0	55.0	55.0	0.00048	0.00005
	Acacia hindsii–Inga punctata	30.5	3.1	25.0	37.7	0.00037	0.00006
	Amburana cearensis-Cercidium floridum	58.6	0.2	58.1	59.0	0.00080	0.00004
	Styphnolobium japonicum–Pickeringia montana	40.1	0.4	40.0	43.4	0.00024	0.00003
	Diplotropis brasiliensis–Spartium junceum Machaerium falciforme–Aeschynomene purpusii	56.0 40.0	0.0	56.0 40.0	56.0 40.0	0.00066 0.00060	0.00003 0.00005
	Tipuana tipu–Pterocarpus indicus	20.3	3.6	12.7	29.1	0.00049	0.00003
M (34 Ma)	Robinia pseudoacacia–Coursetia axillaris	34.0	0.0	34.0	34.0	0.00049	0.00006
	Hymenaea courbaril–Vicia sativa	59.0	0.2	58.5	59.5	0.00106	0.00005
	Cercis occidentalis–Cercis gigantea	5.1	2.2	1.3	13.8	0.00061	0.00007
	Hymenaea courbaril-Prioria copaifera	29.2	1.2	26.9	32.6	0.00093	0.00007
4	Petalostylis labicheoides-Poeppigia procera	30.0	3.0	23.4	37.3	0.00093	0.00006
	Erythrostemon gilliesii–Senna covesii	54.5	0.9	52.0	56.4	0.00051	0.00004
	Gymnocladus chinensis–Gleditsia triacanthos	26.9	4.3	17.6	37.0	0.00042	0.00005
	Erythrostemon gilliesii–Haematoxylum brasiletto	47.6	1.5	38.3	51.2	0.00046	0.00004
8	Chamaecrista fasciculata–Senna covesii	49.1	2.4	42.9	55.4	0.00048	0.00004
	Peltophorum dubium–Parkinsonia aculeata	40.3	5.0	24.6	52.0	0.00031	0.00004
	Inga punctata–Pentaclethra macroloba	42.4	2.6	34.5	47.0	0.00052	0.00005
	Prosopis pallida–Prosopidastrum mexicana	33.2	3.2	22.6	40.4	0.00037	0.00006
	Inga punctata—Calliandra californica	23.9	3.1	17.7 58.1	32.6 59.0	0.00051 0.00080	0.00008
14	Ateleia herbertsmithii–Albizia julibrissin Swartzia simplex–Myrospermum sousanum	58.6 55.8	0.2 1.8	47.1	57.7	0.00064	0.00004 0.00008
	Swartzia simplex—tviyrospermum sousunum Swartzia simplex—Cyathostegia mathewsii	48.9	2.8	40.9	54.9	0.00055	0.00008
	Dipteryx alata–Myrospermum sousanum	50.8	3.8	38.4	57.1	0.00033	0.00004
	Styphnolobium japonicum–Cladrastis platycarpa	47.4	2.6	40.1	53.4	0.00033	0.00003
18	Calia secundiflora–Calia arizonica	19.7	3.8	10.0	29.1	0.00039	0.00004
19	Holocalyx balansae–Uribea tamarindoides	41.3	5.4	28.8	52.1	0.00029	0.00004
20	Sweetia fruticosa–Vatairea macrocarpa	18.4	2.5	13.0	25.2	0.00064	0.00006
21	Ormosia colombiana–Spartium junceum	56.4	0.2	56.1	56.9	0.00062	0.00003
	Ormosia colombiana–Ormosia formosana	20.7	4.3	12.2	32.9	0.00051	0.00005
23	Diplotropis martiusii–Bowdichia virgiloides 1	29.5	5.8	17.3	45.6	0.00046	0.00005
24	Acosmium panamense–Bowdichia virgiloides 1	12.8	4.8	4.8	25.7	0.00038	0.00008
25	Poecilanthe parviflora-Hovea purpurea	31.7	2.7	25.7	39.0	0.00076	0.00005
26	Bolusanthus speciosus—Spartium junceum	45.5	2.2	38.8	50.4	0.00068	0.00004
27 28	Bolusanthus speciosus–Sophora davidii	40.8	2.4	34.1	46.5	0.00066	0.00005
26 29	Piptanthus nepalensis—Thermopsis rhombifolia	26.5 9.9	3.4 1.6	18.4 6.5	34.5 14.1	0.00061 0.00079	0.00008 0.00007
	Ammodendron argenteum–Sophora davidii Calpurnia aurea–Spartium junceum	44.0	2.3	37.2	49.2	0.00079	0.00007
	Crotalaria pumila–Spartium junceum	41.2	2.5	35.4	46.7	0.00081	0.00004
	Anarthrophyllum desideratum–Spartium junceum	19.2	2.5	13.6	25.8	0.00090	0.00008
	Andira galeottiana–Tipuana tipu	56.5	0.3	55.5	57.3	0.00067	0.00006
34	Eysenhardtia orthocarpa–Tipuana tipu	55.3	0.5	54.1	56.3	0.00089	0.00005
35	Hymenolobium mesoamericanum-Andria galeottiana	17.9	3.8	9.3	29.8	0.00045	0.00005
	Psorothamnus arborescens-Eysenhardtia orthocarpa	36.9	3.0	29.9	44.6	0.00084	0.00007
	Psorothamnus arborescens–Dalea pulchra	24.7	2.7	19.0	31.1	0.00086	0.00007
	Amorpha fruticosa–Eysenhardtia orthocarpa	11.1	2.3	6.8	17.4	0.00077	0.00009
39	Adesmia lanata–Tipuana tipu	50.7	0.8	48.5	52.8	0.00101	0.00004
	Adesmia lanata–Chaetocalyx scandens	35.3	2.3	30.1	41.3	0.00106	0.00005
	Poiretia angustifolia–Zornia sp	16.1	1.9	10.8	21.4	0.00108	0.00008
	Nissolia hirsuta–Chaetocalyx scandens	8.5	1.4	5.5 47.1	11.9 51.4	0.00110	0.00008
	Dalbergia sissoo-Tipuana tipu	49.1 45.6	0.8	47.1	51.4 47.3	0.00090	0.00004 0.00006
44 45	Dalbergia sissoo-Ormocarpum kirkii	45.6 36.7	0.8 2.1	43.9 31.0	47.3 40.9	0.00086 0.00097	0.00006
	Aeschynomene americana–Kotschya ochreata Pictetia marginata–Ormocarpum kirkii	36.7 14.5	2.1	8.1	23.0	0.00097	0.00008
	Discolobium pulchellum–Tipuana tipu	47.2	1.4	41.9	49.6	0.00073	0.00008
	Discolobium pulchellum—Riedeliella graciliflora	33.6	3.6	25.0	41.1	0.00063	0.00006
	Cascaronia astragalina–Stylosanthes hamata	39.8	2.3	33.7	45.0	0.00062	0.00008
	Chapmannia–Stylosanthes	16.8	1.8	13.5	21.3	0.00102	0.00008
	Brya ebenus–Tipuana tipu	41.9	2.3	33.4	46.5	0.00056	0.00006

(Continued on next page)

TABLE 2. Penalized likelihood estimates of ages for fossil-constrained and unconstrained nodes across 100 *matK* Bayesian trees sampled at likelihood stationarity (for node assignment, see the Bayesian consensus, Figs. 1 to 3). The first node (A) is assigned a fixed age whereas the next 12 nodes (B to M) are assigned minimum age constraints (indicated in parentheses) as derived from the fossil record. The letter and numeric codes for each node correspond to those shown in Figures. 1 to 3. Ma = million years; SD = standard deviation; s/s = substitutions per site. (Continued)

Node	matK node defined as the MRCA of:	Mean age (Ma)	SD (age)	Minimum (Ma)	Maximum (Ma)	Mean rate (s/s/Ma)	SD (rate)
52	Baphia massaiensis–Vicia sativa	55.3	0.4	54.3	56.4	0.00087	0.00006
53	Baphia massaiensis–Baphia madagascariensis	21.5	2.7	14.8	28.5	0.00090	0.00006
54	Hypocalyptus coluteoides—Aotus ericoides	54.1	1.2	51.0	56.1	0.00095	0.00008
55	Daviesia latifolia–Aotus ericoides	48.4	1.3	44.4	51.3	0.00126	0.00005
56	Cyamopsis senegalensis–Phaseolus vulgaris	52.8	1.0	50.3	55.2	0.00084	0.00005
57	Cyamopsis senegalensis–Indigofera suffruticosa	30.0	3.3	22.4	39.2	0.00071	0.00006
58	Xeroderris stuhlmannii–Phaseolus vulgaris	45.2	1.7	40.2	48.4	0.00088	0.00006
59	Abrus precatorius–Derris laxiflora	36.9	2.3	31.3	42.1	0.00111	0.00010
60	Philenoptera laxiflora–Millettia thonningii	26.1	2.0	22.0	31.0	0.00131	0.00010
61	Mundulea sericea–Millettia thonningii	15.0	1.6	10.9	19.4	0.00143	0.00010
62	Platycyamus regnellii–Phaseolus vulgaris	39.7	2.0	33.8	44.2	0.00115	0.00010
63	Apios americana–Phaseolus vulgaris	27.8	1.6	24.2	32.1	0.00141	0.00010
64	Desmodium psilocarpum–Campylotropis macrocarpa	14.2	1.6	10.5	17.7	0.00175	0.00011
65	Pueraria montana–Phaseolus vulgaris	19.2	1.4	15.5	22.4	0.00184	0.00011
66	Psoralea cinerea–Rupertia physodes	6.3	0.9	4.1	8.8	0.00185	0.00013
67	Wajira albescens–Phaseolus vulgaris	10.7	0.9	8.7	13.2	0.00216	0.00013
68	Phaseolus coccineus–Vigna subterranea	8.0	0.8	6.4	10.4	0.00246	0.00014
69	Gliricidia maculata–Xeroderris stuhlmannii	54.3	0.6	52.7	55.6	0.00091	0.00005
70	Sesbania vesicaria–Vicia sativa	50.6	0.9	47.7	52.7	0.00092	0.00005
71	Gliricidia maculata–Sesbania vesicaria	48.3	1.0	45.7	50.4	0.00098	0.00006
72	Sesbania vesicaria–Sesbania grandiflora	18.9	2.1	14.2	24.2	0.00110	0.00009
73	Coronilla varia–Lotus japonicus	14.4	1.3	11.7	18.6	0.00126	0.00008
74	Gliricidia maculata–Hebestigma cubense	38.1	1.5	31.3	40.8	0.00078	0.00007
75	Callerya atropurpurea–Vicia sativa	39.0	2.4	33.2	44.9	0.00078	0.00005
76	Caragana arborescens–Astragalus americanus	33.0	2.7	25.0	39.2	0.00080	0.00007
77	Caragana arborescens–Hedysarum boreale	29.3	3.0	21.1	35.4	0.00077	0.00008
78	Colutea arborescens–Astragalus americanus	14.8	2.0	10.3	20.9	0.00083	0.00008
79	Parochetus communis–Vicia sativa	32.1	2.4	26.4	37.6	0.00084	0.00007
80	Cicer pinnatifidum–Cicer arietinum	14.8	2.6	6.5	20.2	0.00100	0.00011
81	Ononis natrix–Vicia sativa	24.7	2.3	17.1	30.2	0.00108	0.00010
82	Pisum sativum–Vicia sativa	17.5	1.9	12.9	22.8	0.00129	0.00011

rates observed in lineages traditionally classified in the papilionoid tribe Phaseoleae (nodes 63 to 68 in Table 2; Fig. 3) with mean rates of about  $20 \times 10^{-10}$  substitutions per site per year or greater. The substitution rate estimated for node 65 in particular (the MRCA of *Pueraria montana* and *Phaseolus vulgaris* in Fig. 2; Tables 2, 3) is distinctly the highest for both *matK* and *rbcL* (Fig. 4c).

## Estimated Ages of Old Legume Crown Clades

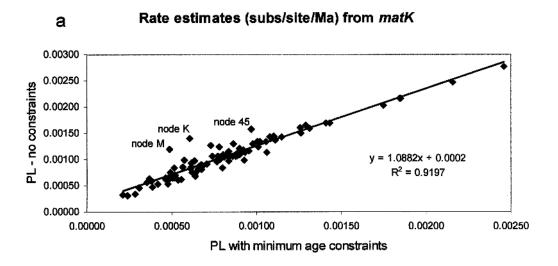
The ages estimated for the 28 nodes that are comparable between the *matK* and *rbcL* phylogenies show a positive linear relationship (Tables 2, 3; Fig. 5a). In both phylogenies, the estimated age of the legume crown is 59 million years (Ma) (node 1 in Tables 2, 3). The estimated ages derived from the penalized likelihood rates smoothing for older legume crown clades are mostly less than 30 Ma (Figs. 1 to 3, 6, 7). These age estimates show a strong positive relationship with the rate constant LF and the rate variable NPRS estimates, although NPRS tends to yield the oldest ages of the three methods (Fig. 5b). Imposing the 12 minimum age constraints on nodes B to M (Figs. 1 to 3) generally resulted in age estimates that are older than estimates without the fossil constraints (Fig. 5c).

The ages of the oldest caesalpinioid crown clades range from approximately 54 to 30 Ma (nodes B to E,

Table 2; and nodes 2 to 9, Fig. 1). The oldest of these, nodes 5 and D (the Umtiza crown node), have estimated mean ages of about 54 Ma (Fig. 1). In contrast, the extant mimosoids trace back to a most recent common ancestor at just over 40 Ma (node 10, Fig. 1). The older papilionoid crown clades show ages comparable to the oldest extant caesalpinioid diversifications. For example, the age of the genistoid diversification is estimated at about 56 Ma (node 21, Fig. 2), that of the dalbergioids at about 55 Ma (node 34, Fig. 2), and Hologalegina at about 50 Ma (node 70, Fig. 3). Indeed, nearly all of the remaining oldest papilionoid crown clades (e.g., nodes 15, 17 in Fig. 1; node 55 in Fig. 2; node 58 in Fig. 3) are around 45 Ma or older. The ages of extant papilionoid diversifications relative to those of caesalpinioids do not change when minimum age constraints are not imposed. In this case, all of the estimated ages are younger by about 1 to 5 Ma (data not shown), the difference being greater with caesalpinioid clades because this is where most of the minimum fossil constraints are imposed (see positions of constraints B to F in Fig. 1).

## Effects of Varying the Age of the Root Node

The analyses presented above are derived from fixing the age of the legume stem clade at 60 Ma. Moving this age at 1-Ma intervals back to 70 Ma has little qualitative



#### b Rate estimates (subs/site/Ma) from matK with constraints 0.02500 NPRS (squares): y = 0.0244x + 0.00330.02000 $R^2 = 6E-06$ LF and NPRS 0 0.01500 0.01000 LF (diamonds): intercept = 0.00081 0.00500 0.00000 0.00150 0.00200 0.00250 0.00000 0.00050 0.00100 PL

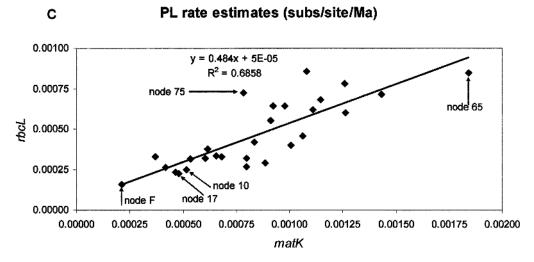


FIGURE 4. Descriptive statistical comparisons of mean rate estimates (see Tables 2 and 3) derived from the *matK* and *rbcL* data sets. (a) Rate estimates derived from *matK* using penalized likelihood (PL) for the 94 nodes (B to M, 1 to 82) listed in Table 1 compared to PL estimates for the same nodes derived from not invoking the 12 fossil-calibrated minimum age constraints; subs/site/Ma = substitution per site per million years. (b) Rate estimates derived from *matK* using PL with the 12 minimum age constraints imposed and compared to the rate constant Langley-Fitch (LF) and rate variable nonparametric rate smoothing (NPRS) methods. The optimum substitution rate estimated with LF using minimal age constraints is indicated by the line with an intercept of 0.00081 substitutions/site/Ma (diamonds). Without minimum age constraints, the rate constant estimate is 0.00144 substitutions/site/Ma. (c) Comparison of rate estimates derived from each of the *matK* and *rbcL* Bayesian consensus phylogenies for the 28 comparable nodes listed in Table 3 (not including root node A) using PL rate smoothing (see Figs. 1 and 3 for the identification of the labeled nodes).

rbcL Node defined as the MRCA of:	Corresponding matK node	Mean age (Ma)	SD (age)	Minimum (Ma)	Maximum (Ma)	Mean rate (s/s/Ma)	SD (rate)
			(age)	(IVIa)	(ivia)	(5/ 5/ Wia)	(late)
Polygala cruciata–Cercis canadensis	A (60 Ma)	60.0					
Cercis canadensis–Bauhinia purpurea	B (34 Ma)	39.4	4.0	34.0	48.5	0.00042	0.00004
Acrocarpus sp.–Gleditsia triacanthos	D (34 Ma)	58.1	2.8	45.4	59.4	0.00031	0.00004
Erythrophleum ivorense–Albizia saman	F (55 Ma)	55.0	0.0	55.0	55.0	0.00022	0.00003
Acacia farnesiana–Mimosa speggazzinii	G (20 Ma)	29.3	3.4	25.0	36.6	0.00033	0.00004
Peltogyne confertiflora–Glycine tabacina	H (55 Ma)	58.5	0.3	57.4	59.1	0.00032	0.00003
Acosmium dasycarpum–Spartium junceum	J (56 Ma)	56.0	0.0	56.0	56.0	0.00033	0.00003
Dalbergia hupeana–Machaerium lunatum	K (40 Ma)	40.0	0.0	40.0	40.0	0.00032	0.00004
Cercis canadensis–Zenia insignis	1	59.4	0.2	58.6	59.9	0.00046	0.00003
Cercis canadensis–Cercis siliquastrum	2	14.3	3.9	7.4	27.7	0.00037	0.00005
Caesalpinia pulcherrima–Albizia saman	5	57.9	0.4	57.0	58.7	0.00023	0.00002
Gymnocladus dioica–Gleditsia triacanthos	6	30.4	5.8	18.0	46.6	0.00026	0.00004
Acacia farnesiana–Albizia saman	10	40.5	4.0	31.3	49.5	0.00025	0.00003
Xanthocercis zambesiaca–Glycine tabacina	13	57.7	0.4	56.9	58.7	0.00027	0.00004
Cladrastis sinensis–Sophora japonica	17	31.8	10.2	8.4	51.2	0.00016	0.00004
Piptanthus nepalensis-Spartium junceum	26	47.2	2.8	38.2	53.7	0.00033	0.00004
Andira inermis–Machaerium lunatum	33	57.3	0.4	56.3	58.2	0.00029	0.00004
Zornia cantoniensis–Machaerium lunatum	39	53.4	1.1	49.5	55.9	0.00040	0.00003
Goodia lotifolia–Isotropis cuneifolia	55	47.6	5.6	35.6	56.7	0.00060	0.00010
Abrus precatorius–Tephrosia grandiflora	59	36.2	3.1	25.6	42.3	0.00062	0.00005
Derris laxiflora–Tephrosia grandiflora	61	14.5	2.6	9.2	21.8	0.00072	0.00008
Apios taiwaniana–Teramnus labialis	63	35.9	2.9	29.1	42.0	0.00068	0.00006
Dipogon lignosus–Teramnus labialis	65	22.7	2.5	17.2	31.9	0.00085	0.00007
Phylloxylon perrieri–Cicer arietinum	69	54.8	1.1	51.4	56.7	0.00055	0.00004
Robinia pseudoacacia–Cicer arietinum	70	49.7	1.6	45.3	53.3	0.00064	0.00003
Robinia pseudoacacia–Sesbania sesban	71	45.4	2.6	37.9	51.6	0.00064	0.00004
Coronilla varia–Lotus corniculatus	73	24.6	2.7	18.8	31.8	0.00078	0.00005
Glycyrrhiza glabra–Cicer arietinum	75	42.4	2.1	37.0	47.2	0.00072	0.00004
Pisum sativum–Medicago sativa	81	24.1	2.4	18.2	29.6	0.00086	0.00005

effect on the results. At the 60-Ma fixed age, the estimated ages of the legume and papilionoid crown clades lay distinctly above the trend line to the upper right (Fig. 8a and b). These two age estimates, when plotted at 1-Ma intervals (Fig. 8c; the legume crown is illustrated for both *matK* and *rbcL*), are exceptional in showing successively older ages as the fixed age of the root node is moved back to 70 Ma. All other nodes for which ages are estimated have a flat trend line over the 60- to 70-Ma interval, as exemplified by those of the caesalpinioid and mimosoid crowns (Fig. 8). Regardless, the difference in mean age estimates between the legume stem and crown clades vary between 1.0 and 2.5 Ma within this 60- to 70-Ma interval.

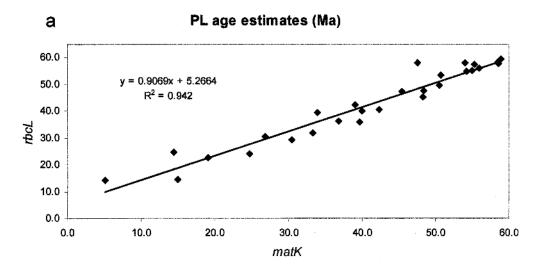
## DISCUSSION

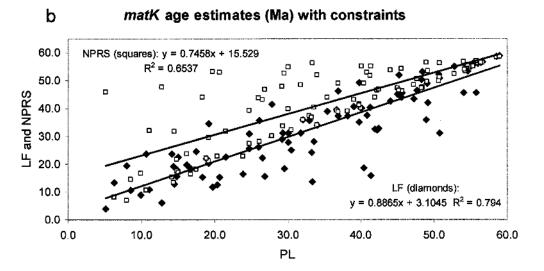
The *matK* tree is more resolved and better supported than the *rbcL* tree, a finding consistent with the parsimony analyses of essentially these same data sets (cf. Wojciechowski et al., 2004; Kajita et al., 2001). The present study suggests this may be the result of the rate of substitution at the *matK* locus, which is more evenly distributed among the three codon positions and generally about twice as fast as that of *rbcL* (*matK* range of 2.1 to  $24.6 \times 10^{-10}$  versus *rbcL* range of 1.6 to  $8.6 \times 10^{-10}$ ). These estimated rates of substitution in *matK* and *rbcL*, the rate differences between the two loci, and the among-

site rate variation, are very similar to those reported for other plant groups (e.g., Albert et al., 1994; Steele and Vilgalys, 1994; Wang et al., 1999; Osaloo and Kawano, 1999; Young and dePamphilis, 2000; Xiang et al., 2000; Zhang and Renner, 2003; Neel and Cummings, 2004).

The rapid diversification of the legume family soon after its origin is revealed by both the *matK* and *rbcL* phylogenies (Figs. 6, 7). An estimated 1.0 to 2.5 Ma distinguishes the mean ages of the legume stem and crown clade. This may explain why clade support values for the monophyly of Leguminosae are sometimes lower compared to support levels for the entire Fabales crown (i.e., the MRCA of *Quillaja*, Surianaceae, Polygalaceae, and Leguminosae; sensu Angiosperm Phylogeny Group, 2003) or many of the older crown clades within legumes (Bruneau et al., 2001; Kajita et al., 2001; Wojciechowski, 2003; Wojciechowski et al., 2004).

The total duration of legume evolution was fixed at 60 Ma in our analyses, just older than the oldest definitive legume fossil at 56 Ma (Herendeen, 2001). Several of the earliest minimal time constraints are in the 55- to 56-Ma range (nodes F, H, and J, Table 2; Figs. 1, 2). Regardless, the legume crown could have been estimated closer to a 56-Ma age instead of about 59 Ma (node 1, Fig. 1). If all minimum fossil constraints are removed, the age of the legume crown is estimated at  $55.9 \pm 1.0$  Ma, also a short time span relative to the total duration of legume





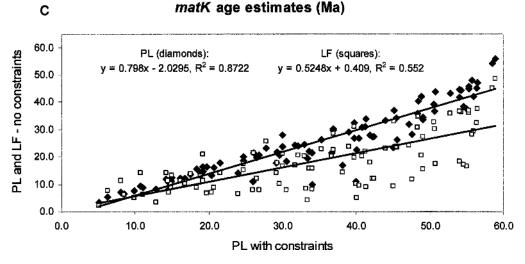


FIGURE 5. Descriptive statistical comparisons of mean age estimates derived from the *matK* and *rbcL* data sets (see Tables 2 and 3). (a) Comparison of age estimates derived from the *matK* and *rbcL* Bayesian phylogenies for the 28 comparable nodes listed in Table 3 (not including root node A) using the penalized likelihood (PL) rate smoothing approach. Ma = million years. (b) Age estimates derived from *matK* imposing minimal age constraints. PL estimates for the 94 nodes listed in Table 1 (not including root node A) are compared with those derived from *rate* constant Langley-Fitch (LF; diamonds) and rate variable nonparametric rate smoothing (NPRS; squares) methods. (c) Age estimates derived from *matK* with and without minimal age constraints. PL estimates using minimum age constraints for the 94 nodes listed in Table 1 (not including root node A) are compared with those derived from PL (diamonds) and LF (squares) methods without minimum age constraints.

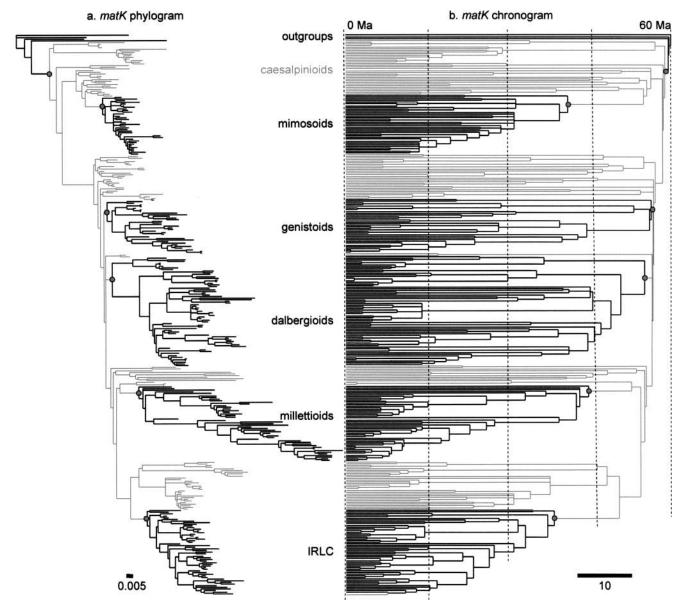


FIGURE 6. Phylogenies derived from the *matK* data set. (a) Bayesian consensus phylogram. Scale bar equals 0.005 substitutions per site. (b) Penalized likelihood rate-smoothed chronogram with the 12 minimum age constraints imposed. Scale bar equals 10 Ma. The vertical lines (b) divide the 60-Ma duration of legume evolution into 15-Ma segments. The oldest and taxonomically large crown clades are alternately shaded black and gray. The shapes of both phylogenies depict generally short internal branch lengths indicative of a rapid family-wide diversification.

evolution. Notably, increasing the fixed age of the legume stem to 70 Ma still resolves a difference on average of up to 2.5 Ma between the estimated age of the legume stem and crown (Fig. 8). These findings support the overall conclusion that an Early Tertiary legume diversification immediately followed the origin of the family.

The fossil record of legumes predicts this genetic finding of a rapid diversification of extant lineages. Many diverse legumes, especially representatives from each of the three traditionally recognized subfamilies, have continuous fossil records from the Recent back to the Late Paleocene (e.g., Herendeen and Dilcher, 1992).

Moreover, this record comes from North and South America, Europe, Africa, and Asia (e.g., Axelrod, 1992; Herendeen et al., 1992). The caesalpinioids, with an estimated 161 genera and about 3000 species (Lewis et al., 2005), are most diverse in tropical regions throughout the New World, Africa, and southeast Asia. Although caesalpinioids form a basal grade within which are nested the other two subfamilies (e.g., Polhill, 1981, 1994; Herendeen et al., 2003a, 2003b), the estimated ages of the older caesalpinioid crown clades differ little from those of the mimosoids, and especially the papilionoids (compare marked crown clades in Figs. 1 to 3).

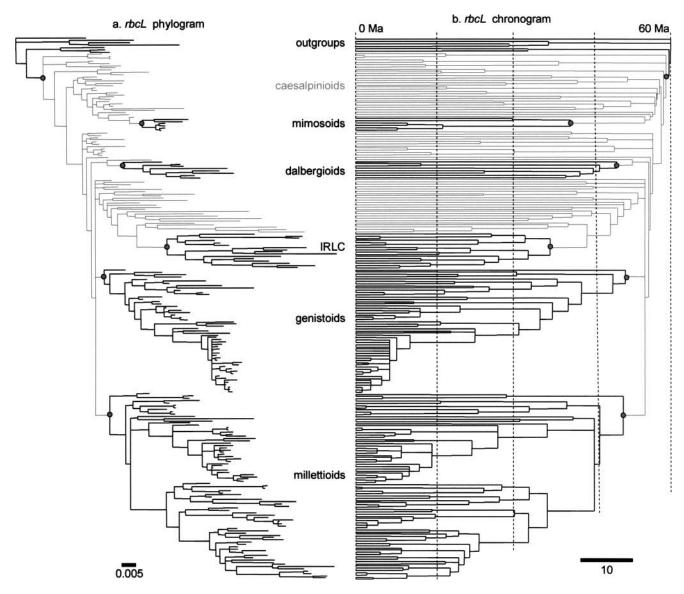


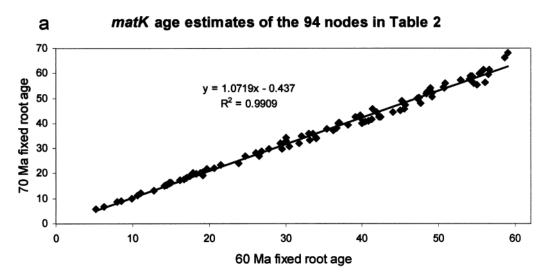
FIGURE 7. Phylogenies derived from the *rbcL* data set. (a) Bayesian consensus phylogram. Scale bar equals 0.005 substitutions per site. (b) Penalized likelihood rate-smoothed chronogram with the 12 minimum time constraints enforced. Scale bar equals 10 Ma. The vertical lines (b) divide the 60-Ma duration of legume evolution into 15-Ma segments. The shapes of both phylogenies depict generally short internal branch lengths indicative of a rapid family-wide diversification. The oldest and taxonomically large crown clades are alternately shaded black and gray, with the top gray shaded lines representing the outgroups and the following paraphyletic grade of black lines representing the caesalpinioid legumes. Otherwise, the groups are labeled. The arrows indicate the legume stem clade.

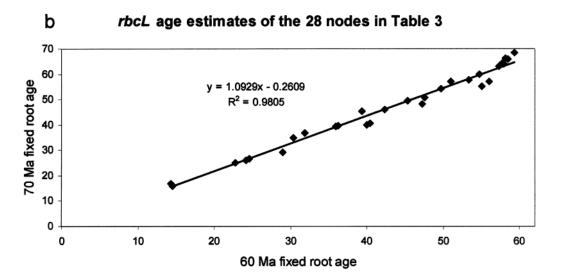
The papilionoid crown clade, and not a caesalpinioid one, is most sensitive to the effects of moving the fixed root age from 60 back to 70 Ma (Fig. 8). This implies that the oldest legume fossils are as likely to represent papilionoids as caesalpinioids. This is actually predicted by the fossil record. The oldest known legume fossils, with an age of 56 Ma (Herendeen, 2001), are fruits similar to *Diplotropis* and *Bowdichia*, representatives of the genistoid clade of papilionoids (Crisp et al., 2000). Fossil flowers assigned to *Barnebyanthus buchananensis* are close in age to these oldest legume fossils and in overall morphology to extant genistoid genera such as *Bowringia* and *Clathrotropis* (Crepet and Herendeen, 1992). Fossil leaves and fruits with affinities to the genistoid *Ormosia* 

come from strata at least 56 Ma (Wing et al., 2004, and unpublished).

The dalbergioids (Lavin et al., 2001), Hologalegina (Wojciechowski et al., 2000), and mirbelioids (Crisp et al., 2000), in contrast to the genistoids, lack an Early Eocene fossil record. Although these three large crown clades include papilionoids traditionally considered derived (Polhill et al., 1981; Polhill, 1994), they all have age estimates in the 50-Ma time frame or older (Figs. 2, 3). Indeed, Hologalegina contains many of the well-known temperate and herbaceous species of legumes, such as alfalfa, clovers, peas, and vetches. Remarkably, the mean age of this crown diversification is estimated at 50.6 Ma (Fig. 3, Table 2).







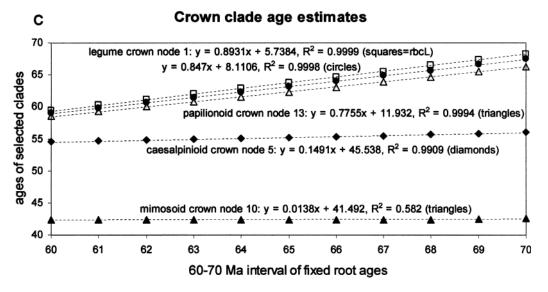


FIGURE 8. Descriptive statistical comparisons of mean age estimates when the fixed age of the legume stem clade is scaled between 60 and 70 Ma. (a) Age estimates derived from the *matK* data set for the 94 nodes identified in Table 2 (B to M, 1 to 82; not including the root node). (b) Age estimates derived from the *rbcL* data set for the 28 nodes identified in Table 3 that are shared with the *matK* phylogeny (not including root node). (c) Ages estimates derived from the *matK* data set or *rbcL* data set (where noted) for selected old crown clades.

Subfamily Mimosoideae, with an estimated 80 genera and some 3000 species (Lewis et al., 2005), are mostly tropical to subtropical in distribution, and are abundant in arid and semiarid regions throughout the world. Of all the old legume crown clades, the mimosoids have a paucity of nucleotide substitution variation for both matK and rbcL (nodes F and 10 in Tables 2, 3; Fig. 4c). The apparent slow down in the rate of nucleotide substitution in this group may explain the findings in recent molecular systematic studies (Luckow et al., 2003; Miller et al., 2003) of many short and poorly supported branch lengths within the mimosoid crown. However, the mimosoids have a relatively long stem branch leading to the crown and accordingly a significant difference between the age of the origin and diversification of extant members the subfamily (about 15 Ma; compare nodes F and 10 in Tables 2, 3). These estimated ages derived independently from *matK* and *rbcL* are highly congruent and suggest that the lack of nucleotide variation in mimosoids is a function of both a rate slow-down and a relatively recent extant diversification, beginning about 40 Ma (see also Figs. 7b, 8b).

Numerous mimosoid leaf fossils come from the Early Tertiary, such as the 46 million year old *Acacia mahenge* fossil (Appendix 1). However, none can be assigned unequivocally to a modern subgroup. Given that no fossil constraints older than that of a 15-Ma-old fossil *Acacia* flower can be confidently assigned to an internal node within the mimosoid crown (node G, Tables 2, 3; Fig. 1), the combined molecular and fossil evidence suggests not only a relatively recent diversification of mimosoids, but also that many Early Tertiary mimosoid fossils belong to extinct lineages.

In contrast to the mimosoids, the tribe Phaseoleae (stemming from node 63 of the millettioid clade, Fig. 3) shows a very high rate of nucleotide substitution for both the matK and rbcL loci (Figs. 3, 4c, 5b; nodes 63 to 68 in Tables 2, 3). These two plastid loci contribute high levels of phylogenetic resolution and clade support in studies that sample at the genus and even species level within these clades (e.g., Kajita et al., 2001; Delgado-Salinas et al., 2003; Riley-Hulting et al., 2004; Thulin et al., 2004). That we detect much greater rates of nucleotide substitution in the Phaseoleae compared to the mimosoid crown is not an artifact of denser sampling in the former, as might be inferred from the findings of Webster et al. (2003, 2004). Both clades are approximately the same size in terms of actual numbers (i.e., approximately 3270 species of mimosoids and 2060 species of Phaseoleae; Lewis et al., 2005) and sampled numbers of species (i.e., 35 mimosoids and 25 Phaseoleae; Figs. 1, 3).

This study provides estimated ages that can serve as calibration points for rates analyses of legume clades lacking a fossil record (e.g., Schrire et al., 2003; Thulin et al., 2004; Lavin et al., 2004; Percy et al., 2004). Also, we have examined rate variation at the *matK* and *rbcL* loci across all of legumes, and have thus revealed that some groups such as the millettioids have a sufficiently fast rate of substitution so that these two plastid loci can be used in phylogenetic analyses to successfully resolve

relationships even at the species level (e.g., Delgado-Salinas et al., 2003; Riley-Hulting et al., 2004; Thulin et al., 2004). In addition, the profusion of basally branching and morphologically diverse crown clades within legumes reveals that the paraphyletic grade of caesalpinioid lineages harbor neither the oldest diversifications nor some other quality of legume antiquity. Finally, the integration of fossil constraints with molecular phylogenetic data allows the identification of the rapid diversification early in the history of legume evolution. This new perspective would not have been possible with fossil data alone because as it turns out many of the oldest crown clades in legumes are unknown from the fossil record.

#### ACKNOWLEDGEMENTS

Anne Bruneau, Alfonso Delgado-Salinas, Colin Hughes, R. Toby Pennington, and Mats Thulin provided unpublished matK sequences for selected taxa. Brian Schrire and Gwilym Lewis assisted with taxon sampling, organized input files involving tree descriptions and node identifications, and provided taxonomic details taken from their unpublished Legumes of the World. Scott Wing willingly showed us legume fossils from Cerrajón, Colombia. Kathleen Pigg kindly provided information on the fossil record of Fabales other than Leguminosae. Mike Crisp, Colin Hughes, Peter Linder, Rod Page, Kathleen Pigg, and Mike Sanderson provided critical comments that improved the manuscript. Jay Rotella guided us in dealing with the intricacies of model selection. This study was supported in part by grants from the National Science Foundation (DEB-9407824 to MFW and M. J. Sanderson, and DEB-0075202 to ML, and DEB-0316375 to PH) and funds provided by Arizona State University (to MFW). Useful discussion of this study was provided at the "Deep Time" sponsored symposium on dating methods (Botany 2003, Mobile, Alabama; NSF RCN-0090283).

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First submitted 3 June 2004; reviews returned 31 August 2004; final acceptance 18 November 2004 Associate Editor: Peter Linder

#### **APPENDIX**

#### APPENDIX 1: THE 13 FOSSIL CONSTRAINTS IMPOSED DURING THIS STUDY

The legume family represents an ideal group for conducting an analysis of evolutionary rates and ages because the family has a high detectability in the fossil record. A rapid Paleocene appearance means that the duration of legume evolution probably includes just the last 60 to 65 Ma. The high detectability of legumes in the fossil record is postulated by the following evidence: (1) the characteristic nitrogen-rich metabolism predisposes legumes to high biomass production (McKey, 1994); (2) legumes are colonizers of disturbed sites close to depositional environments; (3) woody legume plants often produce abundant deciduous leaves, leaflets, flowers, or fruits; (4) these deciduous parts are often coriaceous or woody; and (5) legumes are taxonomically easily recognized in the fossil record (e.g., the cross-hatchings evident on the pulvinules of leaflets or pulvinus of leaves, compound leaves, leaflets often with a smooth margin and brochidodromous venation, and pod with single placental suture bearing a characteristic alternating branching pattern of the funiculi). The relationship of this high detectability to the continuous Cenozoic fossil record that abruptly begins by the Middle to Late Paleocene is being evaluated in a separate study with mark-recapture models (Rotella and Lavin, in preparation).

(A) The Leguminosae stem clade was fixed initially at 60 Ma, but also at every one million year interval back to 70 Ma. Only this age was fixed because a fixed time span facilitates rates and age estimation in all relaxed clock methods (Sanderson, personal communication). Furthermore, the temporally continuous and spatially extensive distribution of diverse fossil legumes from the Recent back to the Late Paleocene supports a hypothesized Early to Middle Paleocene origin of the family. The legume stem clade is defined as the most recent common ancestor (MRCA) of Polygala californica and Cercis occidentalis in the matK phylogeny (Fig. 1) and Polygala cruciata and Glycine tabacina in the rbcL phylogeny (not shown, but see Kajita et al., 2001). The most important pattern derived from the study of fossil legumes during the past several decades is that all three subfamilies have an abundant fossil

record in North America, Europe, and Asia, and to some degree in South America and Africa (due to relatively poor sampling). This abundant record is represented continuously by at least fossil fruits and leaves from the Recent back to the Late Paleocene (e.g., Brown, 1962; Herendeen and Dilcher, 1992; Herendeen, 2001; Jacobs, 2003; and Wing et al., 2004). Earlier reports of legume fossils from as early as the Maastrichtian are tenuous and include only sporadic pollen and wood specimens that lack definitive legume apomorphies. Such legume apomorphies would include a prolate pollen grain with narrow colpi and large operculi, or wood with vestured pits (summarized in Herendeen et al., 1992).

Wikström et al. (2001) posited a 74 to 79-Ma age (Late Cretaceous) for the legumes, and generally old estimates for other angiosperm families. These authors used only nonparametric rate smoothing, and constrained just a single node in the angiosperm phylogeny, the split between the Cucurbitales and the Fagales, which are two of three potential sister groups to Fabales (sensu Angiosperm Phylogeny Group, 2003). Implementation of a single age constraint combined with nonparametric rate-smoothing is bound to yield estimates highly biased toward older ages (Sanderson, 2002). Magallón and Sanderson (2001) used 59.9 Ma for the Fabales crown clade, which is equivalent to the legume stem. To accommodate uncertainty, we fixed the age of the legume stem at 60 Ma, but then compared results using this fixed age with those derived from fixed root ages at every one million year interval between 61 and 70 Ma.

- (B) The Cercis stem clade was set to a minimum of 34 Ma (Late Eocene). This node is defined as the MRCA of Cercis occidentalis and Bauhinia tomentosa in the matK phylogeny (Fig. 1) and Cercis canadensis and Bauhinia purpurea in the rbcL phylogeny (Kajita et al., 2001). Fossils showing apomorphic traits of Cercis come from the Late Eocene of Sheep Rock Creek, Oregon (Herendeen and S. Manchester, unpublished data; site information provided in Wolfe and Tanai, 1987; McClain and Manchester, 2001). Fossils from this locality reveal a combination of apomorphies allowing assignment to Cercis, including unifoliolate leaves (i.e., with a lower pulvinus and upper pulvini), a thickish texture to the orbiculate-acuminate lamina, and a palmate primary venation combined with a pinnate secondary venation. Also found are thin-walled pods bearing a narrow non-vascularized placental wing suggestive of the fruits of Cercis. Additional leaf and fruit fossils also assigned to Cercis come from the Late Eocene Florissant Beds, Colorado (MacGinite, 1953; Manchester, 2001). Several types of legume fruits at Florissant have been identified as Cercis, however; and further study is required before these fossils can be definitively utilized here.
- (C) The Hymenaea stem clade was set to a minimum of 34 Ma. This node is defined as the MRCA of Hymenaea courbaril and Tessmannia lescrauwaetii in the matK phylogeny (Fig. 1) and is undefined in the rbcL phylogeny. Poinar and Brown (2002) described Hymenaea mexicana from flowers preserved in amber with an estimated age of 22.5 to 26.0 Ma from Chiapas, Mexico. Hueber and Langenheim (1986) and Poinar (1991) place the fossil Hymenaea flower from La Toca Mines amber (Dominican Republic), formally named Hymenaea protera, as sister to the African Hymenaea verrucosa. Graham (1992) validated *H. protera* as bona fide *Hymenaea* with a minimum age of Late Eocene or 34 Ma. Poinar et al. (1993) further validated the generic assignment of H. protera with "fossil" rbcL sequence data. Only the Hymenaea crown clade, however, is well supported in their rbcL study. As it turns out, the Hymenaea rbcL sequences are small partial fragments, and contain certain sequence anomalies that need to be verified. In addition, proper outgroups were not sampled in Poinar et al. (1993), so the distinction of the Hymenaea stem and crown cannot be made. The above assignment, therefore, is the most precise possible given inadequate molecular sampling of Hymenaea and closely related genera.
- (D) The Arcoa stem (or Umtiza crown; Herendeen et al., 2003a, 2003b) clade was set to a minimum of 34 Ma. This node is defined as the MRCA of *Arcoa gonavensis* and *Gleditsia triacanthos* in the *matK* phylogeny (Fig. 1) and *Acrocarpus* sp. (Genbank AF308699) and *Gleditsia triacanthos* in the *rbcL* phylogeny (Kajita et al., 2001). Fossil leaves from the Florissant Beds, Colorado (MacGinitie, 1953) referred to as "*Prosopis linearifolia*" reveal a mix of pinnate leaves

and a bipinnate leaf where the pinnate leaves are larger than the bipinnate one. The leaflets are distinctly linear and asymmetric. Furthermore, in the single bipinnate leaf, the terminal group of three pinnae results from a sessile terminal pinna (e.g., specimen USNM40563). These leaf characteristic are very similar to those of Arcoa (now endemic to southern Hispaniola). The bipinnate leaf bearing a distinctively sessile terminal pinna is also found in Acrocarpus and Tetrapterocarpon, although leaflet morphology is considerably different in the latter two genera. These three genera plus Ceratonia, Gleditsia, Gymnocladus, and Umtiza constitute the Umtiza clade (Herendeen et al., 2003b). Notably, dehiscent pods winged along both sutures, very similar to those of Acrocarpus, are found in the Middle Eocene Bovay Clay pit, Tennessee (Herendeen, 1992). The combination of two wings and pod dehiscence, however, is not definitive for constraining the Umtiza crown to the earlier age of 40 Ma.

- (E) The Mezoneuron stem clade was set to a minimum of 45 Ma. This node is defined as the MRCA of Caesalpinia (subgenus Mezoneuron) andamanica and Haematoxylum brasiletto in the matK phylogeny (Fig. 1) and is undefined in the rbcL phylogeny (Kajita et al., 2001). Several species of Mezoneuron (or Caesalpinia subg. Mezoneuron) are reported from North America and England as Middle Eocene to Miocene in age, even though Mezoneuron is presently confined to the Paleotropics (Herendeen and Zarucchi, 1990; Herendeen and Dilcher, 1991). The apomorphic traits that allow assignment of these fossils to the Mezoneuron stem include a membranous indehiscent pod with multiple ovules, and a broad placental wing with looping to longitudinal venation.
- (F) The mimosoid stem clade was set to a minimum of 55 Ma. This node is defined as the MRCA of Inga punctata and Cercidium floridum in the matK phylogeny (Fig. 1) and Erythrophleum ivorense and Albizia saman in the rbcL phylogeny (Kajita et al., 2001). The fossil flower Protomimosoidea buchananensis (Crepet and Taylor, 1985, 1986) comes from the Wilcox Formation of western Tennessee, which has an age estimated at the Paleocene-Eocene boundary (55 Ma). The apomorphic characters that allow placement of this fossil to the mimosoid stem include a spicate inflorescence, actinomorphic bisexual flowers, valvate petals, tubular stigmas, and 10 exserted free stamens. Crepet and Taylor (1986) suggested an affinity to the tribe Mimoseae, but this was explicitly because "the fossil flowers lack the distinctive taxonomic characters that define the other tribes of mimosoid legumes. . . . " In essence, the apomorphically diagnostic traits of these fossil flowers represent generalized mimosoid attributes and thus Protomimosoidea buchananensis constrains the mimosoid stem. Regardless, there are numerous other fossil leaves and fruits that collectively represent generalized mimosoid legumes from the earliest Eocene (e.g., Gros, 1992; Herendeen and Dilcher, 1990a; Herendeen and Jacobs, 2000). Notably, leaves, inflorescences, and fruits of a fossil species very similar to Dinizia are widespread from the southeastern USA during the Eocene (Herendeen and Dilcher, 1990a). Although Dinizia is no longer considered a mimosoid legume (Luckow et al., 2003), the Eocene fossils potentially referred to this genus could impose the same time constraints as the Protomimosoideae fossils. This is because the Dinizia stem clade is coeval with the mimosoid stem clade in the *matK* phylogeny (see Fig. 1).
- The Acacia stem clade was set to a minimum of 15 Ma (middle Miocene). This node is defined as the MRCA of Acacia hindsii and Inga punctata in the matK phylogeny (Fig. 1) and Acacia hindsii and Mimosa speggazzini in the rbcL phylogeny (Kajita et al., 2001). Dilcher et al. (1992) detailed the floral and leaflet morphology of specimens preserved in Dominican Republic amber from the Palo Alto mine. These mimosoid flowers come from sediments dated at the Oligocene-Miocene boundary. Dilcher et al. (1992) argue that the amber has been weathered out of older sediments and redeposited in this Oligocene-Miocene sediment. In contrast, Iturralde-Vinent and MacPhee (1996) presented evidence for the deposition of Dominican Amber during an interval 15 to 20 million years ago. Thus, the minimum age of the Acacia stem clade is 15 Ma. The assignment of the amber fossils to the Acacia stem (i.e., the first branching Acacia-containing lineage within the mimosoid crown) can be made because these fossils clearly show diagnostic

apomorphic characters, such as numerous stamens with free filaments each with an anther bearing a stalked gland. Associated leaf pinnae bearing numerous small leaflets by themselves are not diagnostic, but they bear hairs identical to those on the flowers. The amber *Acacia* flowers are the only mimosoid fossils that unequivocally constrain a node nested within the mimosoid crown.

Herendeen and Jacobs (2000) described *Acacia mahengense* from Middle Eocene (46 Ma) fossil leaves in Tanzania. This taxonomic assignment was derived from morphological similarity rather than definitive apomorphies. This is problematic from the perspective of this study given that *Acacia*, as traditionally circumscribed, contains at least several unrelated mimosoid lineages (Luckow et al., 2003; Miller et al., 2003).

The Papilionoideae stem clade was set to a minimum of 55 Ma (Late Paleocene). This node is defined as the MRCA of Amburana cearensis and Cercidium floridum in the matK phylogeny (Fig. 1) and Peltogyne confertiflora and Glycine tabacina in the rbcL phylogeny (Kajita et al., 2001). The flowers of Barnebyanthus buchananensis are the earliest generalized papilionoid fossils from the Late Paleocene to Early Eocene sediments of the Buchanan Clay Pit, western Tennessee (Crepet and Herendeen, 1992). In addition to bilateral symmetry, these fossil flowers clearly show a connate calyx with five small calyx lobes, an adaxial median sepal, a differentiated standard petal that is positioned outside the wing petals, and distinct wing and keel petals, the latter of which are not fused. Crepet and Herendeen included Barnebyanthus in a cladistic analysis of traits scored also for selected genera of the tribe Sophoreae and concluded that Barnebyanthus was sister to the genistoid genera Bowringia and Clathrotropis. Although these fossil flowers show synapomorphies associated with the basic papilionoid zygomorphic flower, they actually lack other floral synapomorphies (e.g., fused keel petals, connate filaments) that could serve to unequivocally constrain their position within the papilionoid crown clade. Thus, the fossils are consistent with several lineages within "Sophoreae" and other papilionoids with free petals and filaments. This analysis of Crepet and Herendeen illustrates that legume fossils, because they include mainly disarticulated leaves, leaflets, flowers, and fruits, generally comprise few apomorphic characters.

The minimum age of 55 Ma for the papilionoid stem clade is substantiated by a set of fossils that are suggestive of specific papilionoid groups. For example, fossils representing the lineage containing *Diplotropis* and *Bowdichia* (see node J, below) have an estimated age at around 56 Ma. Fossil leaves and fruits possibly representing *Ormosia*, are found in Colombia with an estimated age somewhere between 55 and 60 Ma (Wing et al., 2004).

- The Styphnolobium stem clade was set to a minimum of 40 Ma (Middle Eocene). This node is defined as the MRCA of Styphnolobium japonicum and Pickeringia montana in the matK phylogeny (Fig. 1) and is undefined in the rbcL phylogeny. Because both Styphnolobium and Cladrastis subgenus Cladrastis (here represented by Cladrastis delavayi, C. lutea, and C. sinensis) have a Middle Eocene fossil record from Tennessee (Herendeen, 1992), the stem leading to these two clades is constrained. Apomorphic traits that strongly suggest an affinity of these fossils with subgenus Cladrastis include a stipitate indehiscent fruit with thin pod walls and an attenuated nonplacental margin, a narrow wing along the placental suture, and seeds that are oriented longitudinally. Leaves and leaflets highly similar to those of subgenus Cladrastis co-occur with these same legume fruits in the Puryear Clay pit. Apomorphic traits that show an affinity of a single pod from the Miller Clay pit with Styphnolobium include indehiscent moniliform valves in which the constrictions between seeds narrow to less than half the diameter of the widest part of the pod. Most importantly, the seed-bearing portion is rounded in outline and longitudinally wrinkled, which suggests a diagnostic fleshy pericarp. The fossil fruit of Cladrastis subgenus Platycarpus from the Oligocene Bridge Creek flora (Brown, 1937) is superfluous to the Cladrastis subgenus Cladrastis and Styphnolobium fossils.
- (J) The Diplotropis stem clade (essentially the Genistoid crown clade) was set to a minimum of 56 Ma, which is the oldest record for a definitive legume fossil. This node is defined as the MRCA of

Diplotropis brasiliensis and Spartium junceum in the matK phylogeny (Fig. 2) and Acosmium dasycarpum and Spartium junceum in the rbcL phylogeny (Kajita et al., 2001). Fossil leaves and pods very similar to Bowdichia and Diplotropis are known from the Late Paleocene of western Wyoming (Herendeen and Wing, 2001), as well as the Middle Eocene of southeastern USA (Herendeen and Dilcher, 1990b). The apomorphic traits that suggest an affinity of these fossils to Bowdichia and Diplotropis include membranous pod valves, numerous seeds that are transversally oriented, a narrow wing on the placental suture, strong lateral nerves that demarcate the body (seed-containing region) from the placental and lower margins, and leaflets variably alternate to opposite (Calpurnia and Maackia of this same clade also have similar fruits). In the matK phylogeny (Fig. 2), the difference between the Bowdichia-Diplotropis stem and the Genistoid crown clade is trivial such that assignment of these fossils to one or the other node makes little difference with respect to rate and age estimation.

- (K) The Machaerium stem clade was set to a minimum of 40 Ma. This node is defined as the MRCA of Aeschynomene purpusii and Machaerium falciforme in the mat K phylogeny (Fig. 2) and Dalbergia hupeana and Machaerium lunatum in the rbcL phylogeny (Kajita et al., 2001). A series of fossil leaflets from the Puryear, Bolden, and Bovay Clay Pits (Herendeen, 1992) have numerous closely spaced craspedodromous secondary veins, a strong marginal vein, and poorly organized higher order venation that are diagnostic of a majority of species in the genus Machaerium. Epidermal cell structure fits the range of variation also observed in Machaerium.
- (L) The Tipuana stem clade was set to a minimum of 10 Ma. This node is defined as the MRCA of *Tipuana tipu* and *Maraniona lavinii* in the *matK* phylogeny (Fig. 2) and is undefined in the *rbcL* phylogeny. Numerous winged fruits come from Loja and Cuenca in southern Ecuador, including *Tipuana ecuatoriana* (Burnham, 1995). This fossil fruit has a diagnostic long stipe, a unilateral wing emanating from mostly the style, parallel and distally spreading venation on wing, weak venation on stylar region of the wing, and seeds that are round in cross section (Burnham, 1995). Hughes et al. (2004) point out that the sessile fruits of *Maraniona*, which lack a pronounced wing venation, are highly similar to those of *Tipuana*, but distinctly lack the apomorphies shared between the fossil and extant species of *Tipuana*.
- (M) The Robinia stem clade was set to a minimum of 34 Ma. This node is defined as the MRCA of *Robinia pseudoacacia* and *Coursetia axillaris* in the *matK* phylogeny (Fig. 3) and is undefined in the *rbcL* phylogeny. The fossil woods of *Robinia zirkelii* and the assignment of these to the Robinia stem clade are discussed in Lavin et al. (2003). This fossil wood species was widespread over North America and Europe and possesses such apomorphic traits as vestured intervessel pits, storied axial parenchyma and vessel elements, numerous thin-walled tyloses, ring-porous wood, homocellular rays, and spiral sculpturing in narrow vessels, which make assignment to the Robinia stem clade unequivocal.

## Fossil Record of Fabales Other Than Leguminosae

With respect to the Fabales other than legumes (i.e., Polygalaceae, Surianaceae, and the genus Quillaja; sensu Angiosperm Phylogeny Group, 2003), fossil representation comes primarily from Polygalaceae, including several pollen records, fossil leaves and fruits currently under study (Kathleen Pigg, Arizona State University, personal communication). The fruits ("non-schizocarpic samaras") from the Late Paleocene Almont and Beicegel Creek (North Dakota) floras show similarities to Securidaca (Crane et al., 1990; Pigg et al., 2004). Polygalaceae has distinctive pollen diagnosed by more than four colporate apertures in which endoapertures may fuse. The exine stratification is obscure and surface is almost always smooth (Muller, 1981; Kathleen Pigg, Arizona State University, personal communications). Fossil pollen similar to the extant Monnina (Heusser, 1971) is described as Psilastephanocolporites fissilis from the Paleocene of Chile (Doubinger and Chotin, 1975; Muller, 1981) and from the Eocene of Guyana (Leidelmeyer, 1966). Polygalacidites calrus pollen is reported from the lower and upper Eocene of Assam, India (Sah and Dutta, 1968). Securidaca bombacopsis (Leopold and MacGinitie, 1972) is known from the Middle Eocene of North America, and pollen of Securidaca and a taxon similar to Bredemeyera are known from the upper Miocene of Mexico (Graham, 1976). Additional reports of polygalaceous pollen come from the Eocene of southeastern North America and California (Frederiksen, 1980, 1983; Taylor, 1990; Pigg et al., 2004).

These fossils are assigned to their taxonomic categories by using overall morphological similarity. Additionally, we did not sample Polygalaceae with respect to these fossils, so adding minimum constraints to nodes in the outgroups was not possible. Regardless, a minimum Late Paleocene age (Almont and Beicegel Creek; Crane et al., 1990; Manchester et al., in press; Pigg et al., 2004) assigned to the Polygalaceae stem clade (i.e., 55 Ma) does not affect the results presented because this clade is already constrained by older fixed ages (60 to 70 Ma) that are assigned to the coeval legume stem clade.

Surianaceae is now restricted to areas with subtropical to tropical coastal climates, but fossil wood with affinities to *Suriana* has been reported from the Eocene of Wyoming (Kruse, 1954). The tenuous taxonomic assignment of this fossil renders any age constraint dubious.

Potential fossil constraints may eventually come from groups related to Fabales. Wikstrom et al. (2001) assigned a 84-Ma fossil constraint to the split between Fagales and Cucurbitales (i.e., the Fagales stem clade). The three gene (Soltis et al., 2000) and *matK* (Hilu et al., 2003) phylogenies of angiosperms provide little resolution of the clades involving Fabales, Fagales, Cucurbitales, and Rosales. Fagales is sister to Cucurbitales in Soltis et al. (2000), but this larger clade is unresolved with respect to Rosales and Fabales. The Cucurbitales is sister to the other three orders in the *matK* strict consensus but with no clade support (Hilu et al., 2003). The uncertainty of the interrelationships among Fabales and related eurosid clades render assignments of the fossil constraint by Wikstrom et al. (2001) uncertain and in need of a separate study detailing the morphologies of extinct and extant eurosid taxa.