# Exact exponent in the remainder term of Gelfond's digit theorem in the binary case

by

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**1. Introduction.** For integers m > 1 and  $a \in [0, m-1]$ , define

(1) 
$$T_{m,a}^{(j)}(x) = \sum_{0 \le n < x, n \equiv a \mod m, s(n) \equiv j \mod 2} 1, \quad j = 1, 2,$$

where s(n) is the number of 1's in the binary expansion of n. Gelfond [7] proved that

(2) 
$$T_{m,a}^{(j)}(x) = \frac{x}{2m} + O(x^{\lambda}), \quad j = 0, 1,$$

where

(3) 
$$\lambda = \frac{\ln 3}{\ln 4} = 0.79248125\dots$$

This is the binary case of Gelfond's main digit theorem about the distribution of digit sums of arbitrary base in different residue classes. Gelfond's theorem initiated a whole line of research (see Notes on Chapter 3 in [1], as well as [10], [3], [9]). A related circle of works, dealing with the so-called Newman-like phenomena, started with the unexpected results of D. J. Newman [11] (see also [2], [5], [15]; again, an extensive bibliography may be found in [1]). In this paper, we shall be concerned only with the binary case of Gelfond's digit theorem. Recently, the author proved [13] that the exponent  $\lambda$  in the remainder term in (2) is the best possible when m is a multiple of 3 and is not the best possible otherwise. In this paper we give a simple formula for the exact exponent in the remainder term of (2) for an arbitrary m. Our method is based on constructing a recursion relation for the Newman-like sum corresponding to (1),

(4) 
$$S_{m,a}(x) = \sum_{0 \le n < x, n \equiv a \mod m} (-1)^{s(n)}.$$

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It is sufficient for our purposes to deal with odd numbers m. Indeed, it is easy to see that, if m is even, then

(5) 
$$S_{m,a}(2x) = (-1)^a S_{m/2,|a/2|}(x).$$

For m > 1 odd, consider the number r = r(m) of distinct cyclotomic cosets of 2 modulo m [8, pp. 104–105]. E.g., r(15) = 4, since for m = 15 we have the following four cyclotomic cosets of 2:  $\{1, 2, 4, 8\}$ ,  $\{3, 6, 12, 9\}$ ,  $\{5, 10\}$ ,  $\{7, 14, 13, 11\}$ .

Note that, if  $C_1, \ldots, C_r$  are all different cyclotomic cosets of 2 modulo m, then

(6) 
$$\bigcup_{j=1}^{r} C_j = \{1, \dots, m-1\}, \quad C_{j_1} \cap C_{j_2} = \emptyset, \quad j_1 \neq j_2.$$

Let h be the least common multiple of  $|C_1|, \ldots, |C_r|$ ,

(7) 
$$h = [|C_1|, \dots, |C_r|].$$

Note that h is of order 2 modulo m (this follows easily, e.g., from Exercise 3, p. 104 in [12]).

DEFINITION 1. The exact exponent in the remainder term in (2) is  $\alpha = \alpha(m)$  if

$$T_{m,a}^j(x) = \frac{x}{2m} + O(x^{\alpha+\varepsilon}), \quad T_{m,a}^j(x) = \frac{x}{2m} + \Omega(x^{\alpha-\varepsilon}), \quad \forall \varepsilon > 0.$$

Our main result is the following.

Theorem 1. If  $m \geq 3$  is odd, then the exact exponent in the remainder term in (2) is

(8) 
$$\alpha = \max_{1 \le l \le m-1} \left( 1 + \frac{1}{h \ln 2} \sum_{k=0}^{h-1} \left( \ln \left| \sin \frac{\pi l 2^k}{m} \right| \right) \right).$$

Note that, if 2 is a primitive root of an odd prime p, then r = 1, h = p-1. As a corollary of Theorem 1 we obtain the following result.

Theorem 2. If p is an odd prime for which 2 is a primitive root, then the exact exponent in the remainder term in (2) is

(9) 
$$\alpha = \frac{\ln p}{(p-1)\ln 2}.$$

Theorem 2 generalizes the well-known result for p=3 ([11], [2], [1]). Furthermore, we say that 2 is a *semiprimitive root* modulo p if 2 is of order (p-1)/2 modulo p and the congruence  $2^x \equiv -1 \mod p$  is not solvable. E.g., 2 is of order 8 modulo 17, but the congruence  $2^x \equiv -1 \mod 17$  has the solution x=4. Therefore, 2 is not a semiprimitive root of 17. The first

primes for which 2 is a semiprimitive root are (see [14, A 139035])

$$(10) 7, 23, 47, 71, 79, 103, 167, 191, 199, 239, 263, \dots$$

For these primes we have r = 2 and h = (p - 1)/2. As a second corollary of Theorem 1 we obtain the following result.

THEOREM 3. If p is an odd prime for which 2 is a semiprimitive root, then the exact exponent  $\alpha$  in the remainder term in (2) is also given by (9).

We also prove the following lower estimate for  $\alpha(m)$ .

THEOREM 4. For m odd,

$$\alpha(m) \ge \frac{\ln m}{rh \ln 2}.$$

In particular, if m = p is prime, then rh = p - 1 and

$$\alpha(p) \ge \frac{\ln p}{(p-1)\ln 2}.$$

Note that, if Artin's conjecture of the infinity of primes for which 2 is a primitive root is true, then by Theorem 2,

$$\liminf_{p \to \infty} \alpha(p) = 0.$$

In Section 2 we provide an explicit formula for  $S_{m,a}(x)$ , while in Sections 3–4 we prove Theorems 1–4.

## **2. Explicit formula for** $S_{m,a}(x)$ **.** Let $\lfloor x \rfloor = N$ . We have

(11) 
$$S_{m,a}(N) = \sum_{n=0, m|n-a}^{N-1} (-1)^{s(n)} = \frac{1}{m} \sum_{t=0}^{m-1} \sum_{n=0}^{N-1} (-1)^{s(n)} e^{2\pi i (n-a)t/m}$$
$$= \frac{1}{m} \sum_{t=0}^{m-1} \sum_{n=0}^{N-1} e^{2\pi i (\frac{t}{m}(n-a) + \frac{1}{2}s(n))}.$$

Note that the interior sum is of the form

(12) 
$$\Phi_{a,\beta}(N) = \sum_{n=0}^{N-1} e^{2\pi i(\beta(n-a) + \frac{1}{2}s(n))}, \quad 0 \le \beta < 1.$$

Putting

(13) 
$$F_{\beta}(N) = e^{2\pi i \beta a} \Phi_{a,\beta}(N),$$

we note that  $F_{\beta}(N)$  does not depend on a.

Lemma 1. If  $N = 2^{\nu_0} + 2^{\nu_1} + \dots + 2^{\nu_{\sigma}}$  with  $\nu_0 > \nu_1 > \dots > \nu_{\sigma} \ge 0$ , then

(14) 
$$F_{\beta}(N) = \sum_{q=0}^{\sigma} e^{2\pi i (\beta \sum_{j=0}^{g-1} 2^{\nu_j} + g/2)} \prod_{k=0}^{\nu_g - 1} (1 + e^{2\pi i (\beta 2^k + 1/2)}).$$

*Proof.* Let  $\sigma = 0$ . Then by (12) and (13),

(15) 
$$F_{\beta}(N) = \sum_{n=0}^{N-1} (-1)^{s(n)} e^{2\pi i \beta n}$$

$$= 1 - \sum_{j=0}^{\nu_0 - 1} e^{2\pi i \beta 2^j} + \sum_{0 \le j_1 < j_2 \le \nu_0 - 1} e^{2\pi i \beta (2^{j_1} + 2^{j_2})} - \cdots$$

$$= \prod_{k=0}^{\nu_0 - 1} (1 - e^{2\pi i \beta 2^k}),$$

which corresponds to (14) for  $\sigma = 0$ .

Assuming that (14) is valid for every N with  $s(N)=\sigma+1$ , let us consider  $N_1=2^{\nu_\sigma}b+2^{\nu_{\sigma+1}}$  where b is odd,  $s(b)=\sigma+1$  and  $\nu_{\sigma+1}<\nu_{\sigma}$ . Let

$$N = 2^{\nu_{\sigma}} b = 2^{\nu_{0}} + \dots + 2^{\nu_{\sigma}},$$
  

$$N_{1} = 2^{\nu_{0}} + \dots + 2^{\nu_{\sigma}} + 2^{\nu_{\sigma+1}}.$$

Notice that for  $n \in [0, 2^{\nu_{\sigma+1}})$  we have

$$s(N+n) = s(N) + s(n).$$

Therefore,

$$F_{\beta}(N_{1}) = F_{\beta}(N) + \sum_{n=N}^{N_{1}-1} e^{2\pi i(\beta n + \frac{1}{2}s(n))}$$

$$= F_{\beta}(N) + \sum_{n=0}^{2^{\nu_{\sigma+1}}-1} e^{2\pi i(\beta n + \beta N + \frac{1}{2}(s(N) + s(n)))}$$

$$= F_{\beta}(N) + e^{2\pi i(\beta N + \frac{1}{2}s(N))} \sum_{n=0}^{2^{\nu_{\sigma+1}}-1} e^{2\pi i(\beta n + \frac{1}{2}s(n))}.$$

Thus, by (14) and (15),

$$F_{\beta}(N_{1}) = \sum_{g=0}^{\sigma} e^{2\pi i (\beta \sum_{j=0}^{g-1} 2^{\nu_{j}} + g/2)} \prod_{k=0}^{\nu_{g}-1} (1 + e^{2\pi i (\beta 2^{k} + 1/2)})$$

$$+ e^{2\pi i (\beta \sum_{j=0}^{\sigma} 2^{\nu_{j}} + (\sigma + 1)/2)} \prod_{k=0}^{\nu_{g+1}-1} (1 + e^{2\pi i (\beta 2^{k} + 1/2)})$$

$$= \sum_{g=0}^{\sigma+1} e^{2\pi i (\beta \sum_{j=0}^{g-1} 2^{\nu_{j}} + g/2)} \prod_{k=0}^{\nu_{g}-1} (1 + e^{2\pi i (\beta 2^{k} + 1/2)}). \quad \blacksquare$$

Formulas (11)–(14) give an explicit expression for  $S_m(N)$  as a linear combination of products of the form

(16) 
$$\prod_{k=0}^{\nu_g - 1} (1 + e^{2\pi i(\beta 2^k + 1/2)}), \quad \beta = t/m, \ 0 \le t \le m - 1.$$

REMARK 1. One may derive (14) from a very complicated general formula of Gelfond [7]. However, we preferred to give an independent proof.

In particular, if  $N=2^{\nu}$ , then from (11)–(13) and (15) for

(17) 
$$\beta = t/m, \quad t = 0, 1, \dots, m - 1,$$

we obtain the known formula (cf. [4]):

(18) 
$$S_{m,a}(2^{\nu}) = \frac{1}{m} \sum_{t=1}^{m-1} e^{-2\pi i \frac{t}{m} a} \prod_{k=0}^{\nu-1} (1 - e^{2\pi i \frac{t}{m} 2^k}).$$

### **3. Proof of Theorem 1.** Consider the equation of order r

$$(19) z^r + c_1 z^{r-1} + \dots + c_r = 0$$

with the roots

(20) 
$$z_j = \prod_{t \in C_j} (1 - e^{2\pi i t/m}), \quad j = 1, \dots, r.$$

Notice that for  $t \in C_j$  we have

(21) 
$$\prod_{k=n+1}^{n+h} (1 - e^{2\pi i t 2^k/m}) = \left(\prod_{t \in C_j} (1 - e^{2\pi i t/m})\right)^{h/h_j} = z_j^{h/h_j},$$

where h is defined by (7). Therefore, for every  $t \in \{1, ..., m-1\}$ , according to (19) we have

(22) 
$$\prod_{k=n+1}^{n+rh} (1 - e^{2\pi i t 2^k/m})$$

$$+ c_1 \prod_{k=n+1}^{n+(r-1)h} (1 - e^{2\pi i t 2^k/m}) + \dots + c_{r-1} \prod_{k=n+1}^{n+h} (1 - e^{2\pi i t 2^k/m}) + c_r = 0.$$

After multiplication by  $e^{-2\pi i \frac{t}{m}a} \prod_{k=0}^{n} (1 - e^{2\pi i t 2^k/m})$  and summing over  $t = 1, \ldots, m-1$ , by (18) we find

(23) 
$$S_{m,a}(2^{n+rh+1}) + c_1 S_{m,a}(2^{n+(r-1)h+1}) + \cdots + c_{r-1} S_{m,a}(2^{n+h+1}) + c_r S_{m,a}(2^{n+1}) = 0.$$

Moreover, using the general formulas (11)–(14) for a positive integer u, we obtain the equality

(24) 
$$S_{m,a}(2^{rh+1}u) + c_1 S_{m,a}(2^{(r-1)h+1}u) + \cdots + c_{r-1} S_{m,a}(2^{h+1}u) + c_r S_{m,a}(2u) = 0.$$

Putting here

(25) 
$$S_{m,a}(2^u) = f_{m,a}(u),$$

we have

(26) 
$$f_{m,a}(y+rh+1) + c_1 f_{m,a}(y+(r-1)h+1) + \cdots + c_{r-1} f_{m,a}(y+h+1) + c_r f_{m,a}(y+1) = 0,$$

where

$$(27) y = \log_2 u.$$

The characteristic equation of (26) is

(28) 
$$v^{rh} + c_1 v^{(r-1)h} + \dots + c_{r-1} v^h + c_r = 0.$$

A comparison of (28) and (20)–(21) shows that the roots of (28) are

(29) 
$$v_{j,w} = e^{2\pi i w/h} \prod_{t \in C_j} (1 - e^{2\pi i t/m})^{1/h}, \quad w = 0, \dots, h - 1, j = 1, \dots, r.$$

Thus,

(30) 
$$v = \max |v_{j,l}| = 2 \max_{1 \le l \le m-1} \left( \prod_{k=0}^{h-1} \left| \sin \frac{\pi l 2^k}{m} \right| \right)^{1/h}.$$

Generally speaking, some numbers in (20) could be equal. In view of (29), the  $v_{j,w}$ 's have the same multiplicities. If  $\eta$  is the maximal multiplicity, then according to (25) and (27),

(31) 
$$S_{m,a}(u) = f_{m,a}(\log_2 u) = O((\log_2 u)^{\eta - 1} u^{\ln v / \ln 2}).$$

Nevertheless, at least

$$(32) S_{m,a}(u) = \Omega(u^{\ln v/\ln 2}).$$

Indeed, let, say,  $v = |v_{1,w}|$  and suppose that in the solution of (26) with some natural initial conditions, all coefficients of  $y^{j_1}v_{1,w}^y$ ,  $j_1 \leq \eta - 1$ ,  $w = 0, \ldots, h - 1$ , are 0. Then  $f_{m,a}(y)$  satisfies a difference equation with the characteristic equation not having roots  $v_{1,w}$ , and the corresponding relation for  $S_{m,a}(2^n)$  (see (23)) has the characteristic equation (19) without the root  $z_1$ . This is impossible since by (18) and (21) we have

$$S_{m,a}(2^{h+1}) = \frac{1}{m} \sum_{j=1}^r \sum_{t \in C_j} e^{-2\pi i \frac{t}{m} a} \prod_{k=1}^h (1 - e^{2\pi i \frac{t}{m} 2^k}) = \frac{1}{m} \sum_{j=1}^r \sum_{t \in C_j} e^{-2\pi i \frac{t}{m} a} z_j^{h/h_j}.$$

Therefore, the coefficients considered do not all vanish, and (32) follows. Now from (30)–(32) we obtain (8).

REMARK 2. In (8) it is sufficient to let l run over a system of distinct representatives of the cyclotomic cosets  $C_1, \ldots, C_r$  of 2 modulo m.

REMARK 3. It is easy to see that there exists  $l \geq 1$  such that  $|C_l| = 2$  if and only if m is a multiple of 3. Moreover, for l we can take m/3. Now from (8), choosing l = m/3, we obtain  $\alpha = \lambda = \ln 3/\ln 4$ . This result was obtained in [13] together with estimates of the constants in  $S_{m,0}(x) = O(x^{\lambda})$  and  $S_{m,0}(x) = \Omega(x^{\lambda})$  which are based on the formula, proved in [13],

$$S_{m,0}(x) = \frac{3}{m} S_{3,0}(x) + O(x^{\lambda_1})$$

for  $\lambda_1 = \lambda_1(m) < \lambda$  and Coquet's theorem [2].

Example 1. Let m = 17, a = 0. Then r = 2, h = 8,

$$C_1 = \{1, 2, 4, 8, 16, 15, 13, 9\}, \quad C_2 = \{3, 6, 12, 7, 14, 11, 5, 10\}.$$

The calculation of

$$\alpha_l = 1 + \frac{1}{8 \ln 2} \sum_{k=0}^{17} \left( \ln \left| \sin \frac{\pi l 2^k}{17} \right| \right)$$

for l = 1 and l = 3 gives

$$\alpha_1 = -0.12228749\dots, \quad \alpha_3 = 0.63322035\dots$$

Therefore by Theorem 1,  $\alpha = 0.63322035...$  Moreover, we will prove that

$$\alpha = \frac{\ln(17 + 4\sqrt{17})}{\ln 256}.$$

Indeed, according to (23), for n = 0 and n = 1 we obtain the system  $(S_{17,0} = S_{17})$ :

(33) 
$$\begin{cases} c_1 S_{17}(2^9) + c_2 S_{17}(2) = -S_{17}(2^{17}), \\ c_1 S_{17}(2^{10}) + c_2 S_{17}(2^2) = -S_{17}(2^{18}). \end{cases}$$

By direct calculations we find

$$S_{17}(2) = 1,$$
  $S_{17}(2^2) = 1,$   $S_{17}(2^9) = 21,$   $S_{17}(2^{10}) = 29,$   $S_{17}(2^{17}) = 697,$   $S_{17}(2^{18}) = 969.$ 

Solving (33) we obtain

$$c_1 = -34, \quad c_2 = 17.$$

Thus, by (23) and (24),

(34) 
$$S_{17}(2^{n+17}) = 34S_{17}(2^{n+9}) - 17S_{17}(2^{n+1}), \quad n \ge 0,$$

(35) 
$$S_{17}(2^{17}x) = 34S_{17}(2^9x) - 17S_{17}(2x), \quad x \in \mathbb{N}.$$

Putting furthermore

$$(36) S_{17}(2^x) = f(x),$$

we have

$$f(y+17) = 34f(y+9) - 17(y+1),$$

where  $y = \log_2 x$ . Hence,

$$f(x) = O((17 + 4\sqrt{17})^{x/8}),$$

that is

(37) 
$$S_{17}(x) = O((17 + 4\sqrt{17})^{\frac{1}{8}\log_2 x}) = O(x^{\alpha}),$$

where

$$\alpha = \frac{\ln(17 + 4\sqrt{17})}{\ln 256} = 0.633220353\dots$$

#### 4. Proofs of Theorems 2-4

*Proof of Theorem 2.* By the assumptions of Theorem 2 we have r=1 and h=p-1. Using (8) we have

$$\alpha = 1 + \frac{1}{(p-1)\ln 2} \ln \prod_{k=0}^{p-2} \left| \sin \frac{\pi 2^k}{p} \right|$$
$$= 1 + \frac{1}{(p-1)\ln 2} \ln \prod_{l=1}^{p-1} \sin \frac{\pi l}{p}.$$

Furthermore, using the identity

(38) 
$$\prod_{l=1}^{p-1} \sin \frac{l\pi}{p} = \frac{p}{2^{p-1}}$$

([6, p. 378] for example), we find

$$\alpha = 1 + \frac{1}{(p-1)\ln 2} \left( \ln p - (p-1)\ln 2 \right) = \frac{\ln p}{(p-1)\ln 2}. \blacksquare$$

Remark 4. In this case, (24) has the simple form

$$S_{p,a}(2^p u) + c_1 S_{p,a}(2u) = 0.$$

Since in the case of a = 0 or 1 we have

$$S_{p,a}(2) = (-1)^{s(a)},$$

while in the case of  $a \geq 2$ ,

$$S_{p,a}(2a) = (-1)^{s(a)},$$

putting

$$u = \begin{cases} 1, & a = 0, 1, \\ a, & a \ge 2, \end{cases}$$

we find

$$c_1 = (-1)^{s(a)+1} \begin{cases} S_{p,a}(2^p), & a = 0, 1, \\ S_{p,a}(a2^p), & a \ge 2. \end{cases}$$

In particular, if p = 3 and a = 2 we have  $c_1 = S_{3,2}(16) = -3$  and

$$S_{3,2}(8u) = 3S_{3,2}(2u).$$

*Proof of Theorem 3.* By the assumptions of Theorem 3 we have r=2 and h=(p-1)/2, so that cyclotomic cosets of 2 modulo p satisfy

$$C_1 = -C_2$$
.

Therefore, in (8) we obtain the same values for  $l_1 = 1$  and  $l_2 = p - 1$ . Thus,

$$\alpha = 1 + \frac{2}{(p-1)\ln 2} \ln \left( \prod_{l=1}^{p-1} \sin \frac{\pi l}{p} \right)^{1/2} = \frac{\ln p}{(p-1)\ln 2}. \blacksquare$$

Proof of Theorem 4. According to (19)–(20),

$$c_r = (-1)^r \prod_{j=1}^r \prod_{t \in C_j} (1 - e^{2\pi i t/m}) = (-1)^r \prod_{t=1}^{m-1} (1 - e^{2\pi i t/m}).$$

Thus, using (38) we have

$$|c_r| = 2^m \prod_{t=1}^{m-1} \sin \frac{\pi t}{m} = m.$$

Consequently, by (29),

$$\prod_{j=1}^{r} |v_{j,w}| = m^{1/h}, \quad w = 0, 1, \dots, h - 1.$$

Therefore,

$$v = \max |v_{i,w}| \ge m^{1/rh}$$

and Theorem 4 follows.

Using Theorems 1–3, in particular, we find

$$\begin{array}{lll} \alpha(3) = 0.7924\ldots, & \alpha(5) = 0.5804\ldots, & \alpha(7) = 0.4678\ldots, \\ \alpha(11) = 0.3459\ldots, & \alpha(13) = 0.3083\ldots, & \alpha(17) = 0.6332\ldots, \\ \alpha(19) = 0.2359\ldots, & \alpha(23) = 0.2056\ldots, & \alpha(29) = 0.1734\ldots, \\ \alpha(31) = 0.6358\ldots, & \alpha(37) = 0.1447\ldots, & \alpha(41) = 0.4339\ldots, \\ \alpha(43) = 0.6337\ldots, & \alpha(47) = 0.1207\ldots. \end{array}$$

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