EXISTENCE AND MULTIPLICITY OF SOLUTIONS FOR DOUBLE-PHASE ROBIN PROBLEMS

N.S. PAPAGEORGIOU, V.D. RĂDULESCU, AND D.D. REPOVŠ

ABSTRACT. We consider a double phase Robin problem with a Carathéodory nonlinearity. When the reaction is superlinear but without satisfying the Ambrosetti-Rabinowitz condition, we prove an existence theorem. When the reaction is resonant, we prove a multiplicity theorem. Our approach is Morse theoretic, using the notion of homological local linking.

1. INTRODUCTION

Let $\Omega \subseteq \mathbb{R}^N$ be a bounded domain with Lipschitz boundary $\partial \Omega$. In this paper we study the following two phase Robin problem

(1)
$$\begin{cases} -\operatorname{div}\left(a_{0}(z)|Du|^{p-2}Du\right) - \Delta_{q}u + \xi(z)|u|^{p-2}u = f(z,u) & \text{in } \Omega\\ \frac{\partial u}{\partial n_{\theta}} + \beta(z)|u|^{p-2}u = 0 & \text{on } \partial\Omega, \end{cases}$$

where $1 < q < p \leq N$.

In this problem, the weight $a_0: \overline{\Omega} \to \mathbb{R}$ is Lipschitz continuous and $a_0(z) > 0$ for all $z \in \Omega$. The potential function $\xi \in L^{\infty}(\Omega)$ satisfies $\xi(z) \ge 0$ for a.a. $z \in \Omega$, while the reaction term f(z, x) is Carathéodory (that is, for all $x \in \mathbb{R}$ the mapping $z \mapsto f(z, x)$ is measurable and for a.a. $z \in \Omega$ the function $x \mapsto f(z, x)$ is continuous). Let $F(z, \cdot)$ be the primitive of $f(z, \cdot)$, that is, $F(z, x) = \int_0^x f(z, s) ds$. We assume that for a.a. $z \in \Omega$, $F(z, \cdot)$ is q-linear near the origin. On the other hand, near $\pm \infty$, we consider two distinct cases for $f(z, \cdot)$:

(i) for a.a. $z \in \Omega$, $f(z, \cdot)$ is (p-1)-superlinear but without satisfying the Ambrosetti-Rabinowitz condition (the AR-condition for short), which is common in the literature when dealing with super-linear problems;

(ii) for a.a. $z \in \Omega$, $f(z, \cdot)$ is (p-1)-linear and possibly resonant with respect to the principal eigenvalue of the weighted *p*-Laplacian

$$u \mapsto -\operatorname{div}\left(a_0(z)|Du|^{p-2}Du\right)$$

with Robin boundary condition.

In the boundary condition, $\frac{\partial u}{\partial n_{\theta}}$ denotes the conormal derivative of u corresponding to the modular function $\theta(z, x) = a_0(z)x^p + x^q$ for all $z \in \Omega$, all $x \ge 0$. We interpret this derivative via the nonlinear Green identity (see Papageorgiou, Rădulescu and Repovš [18, p. 34]) and

$$\frac{\partial u}{\partial n_{\theta}} = \left[a_0(z)|Du|^{p-2} + |Du|^{q-2}\right]\frac{\partial u}{\partial n} \text{ for all } u \in C^1(\overline{\Omega}),$$

with $n(\cdot)$ being the outward unit normal on $\partial\Omega$. The boundary coefficient β satisfies $\beta \in C^{0,\alpha}(\partial\Omega)$ with $0 < \alpha < 1$ and $\beta(z) \ge 0$ for all $z \in \partial\Omega$.

The differential operator in problem (1) is a weighted (p,q)-Laplace operator and it corresponds to the energy functional

$$u \mapsto \int_{\Omega} [a_0(z)|Du|^p + |Du|^q] dz.$$

 $Key\ words\ and\ phrases.\ Unbalanced\ growth,\ Musielak-Orlicz\ space,\ homological\ local\ linking,\ superlinear\ reaction,\ resonant\ reaction.$

²⁰¹⁰ Mathematics Subject Classification. Primary: 35J20. Secondary: 35J25, 35J60.

Since we do not assume that the weight function $a_0(z)$ is bounded away from zero, the continuous integrand $\theta_0: \Omega \times \mathbb{R}^N \to \mathbb{R}_+$ of this integral functional exhibits unbalanced growth, namely

$$|y|^q \leq \theta_0(z,y) \leq c_0(1+|y|^p)$$
 for a.a. $z \in \Omega$, all $y \in \mathbb{R}^N$ and some $c_0 > 0$.

Such integral functionals were first investigated by Marcellini [14] and Zhikov [22], in connection with problems in nonlinear elasticity theory. Recently, Baroni, Colombo and Mingione [3] and Colombo and Mingione [6, 7] revived the interest in them and produced important local regularity results for the minimizers of such functionals. A global regularity theory for such problems remains elusive.

In this paper, using tools from Morse theory (in particular, critical groups), we prove an existence theorem (for the superlinear case) and a multiplicity theorem (for the linear resonant case). Existence and multiplicity results for two phase problems were proved recently by Cencelj, Rădulescu and Repovš [4] (problems with variable growth), Colasuonno and Squassina [5] (eigenvalue problems), Liu and Dai [13] (existence of solutions for problems with superlinear reaction), Papageorgiou, Rădulescu and Repovš [19] (multiple solutions for superlinear problems), and Papageorgiou, Vetro and Vetro [20] (parametric Dirichlet problems). The approach in all the aforementioned works is different and the hypotheses on the reaction are more restrictive.

Finally, we mention that (p, q)-equations arise in many mathematical models of physical processes. We refer to the very recent works of Bahrouni, Rădulescu and Repovš [1, 2] and the references therein.

2. MATHEMATICAL BACKGROUND

The study of two-phase problems requires the use of Musielak-Orlicz spaces. So, let $\theta : \Omega \times \mathbb{R}_+ \to \mathbb{R}_+$ be the modular function defined by

$$\theta(z, x) = a_0(z)x^p + x^q$$
 for all $z \in \Omega, x \ge 0$.

This is a generalized N-function (see Musielak [16]) and it satisfies

$$\theta(z, 2x) \leq 2^p \theta(z, x)$$
 for all $z \in \Omega, x \geq 0$,

that is, $\theta(z, \cdot)$ satisfies the (Δ_2) -property (see Musielak [16, p. 52]). Using the modular function $\theta(z, x)$, we can define the Musielak-Orlicz space $L^{\theta}(\Omega)$ as follows:

$$L^{\theta}(\Omega) = \left\{ u: \Omega \to \mathbb{R}; \ u \text{ is measurable and } \int_{\Omega} \theta(z, |u|) dz < \infty \right\}.$$

This space is equipped with the so-called "Luxemburg norm" defined by

$$||u||_{\theta} = \inf \left\{ \lambda > 0 : \int_{\Omega} \theta(z, \frac{|u|}{\lambda}) dz \leq 1 \right\}.$$

Using $L^{\theta}(\Omega)$, we can define the following Sobolev-type space $W^{1,\theta}(\Omega)$, by setting

$$W^{1,\theta}(\Omega) = \{ u \in L^{\theta}(\Omega) : |Du| \in L^{\theta}(\Omega) \}.$$

We equip $W^{1,\theta}(\Omega)$ with the norm $\|\cdot\|$ defined by

$$\|u\| = \|u\|_{\theta} + \|Du\|_{\theta},$$

where $||Du||_{\theta} = ||Du||_{\theta}$. The spaces $L^{\theta}(\Omega)$ and $W^{1,\theta}(\Omega)$ are separable and uniformly convex (hence reflexive) Banach spaces.

Let $\hat{\theta}(z, x)$ be another modular function. We say that " $\hat{\theta}$ is weaker than θ " and write $\hat{\theta} \prec \theta$, if there exist $c_1, c_2 > 0$ and a function $\eta \in L^1(\Omega)$ such that

$$\hat{\theta}(z,x) \leq c_1 \, \theta(z,c_2 x) + \eta(z)$$
 for a.a. $z \in \Omega$ and all $x \geq 0$.

Then we have

$$L^{\theta}(\Omega) \hookrightarrow L^{\theta}(\Omega)$$
 and $W^{1,\theta}(\Omega) \hookrightarrow W^{1,\theta}(\Omega)$ continuously.

Combining this fact with the classical Sobolev embedding theorem, we obtain the following embeddings; see Propositions 2.15 and 2.18 of Colasuonno and Squassina [5].

Proposition 2.1. We assume that $1 < q < p < \infty$. Then the following properties hold. (a) If $q \neq N$, then $W^{1,\theta}(\Omega) \hookrightarrow L^r(\Omega)$ continuously for all $1 \leq r \leq q^*$, where

$$q^* = \begin{cases} & \frac{Nq}{N-q} & \text{if } q < N \\ & +\infty & \text{if } q \ge N. \end{cases}$$

(b) If q = N, then $W^{1,\theta}(\Omega) \hookrightarrow L^r(\Omega)$ continuously for all $1 \leq r < \infty$.

- (c) If $q \leq N$, then $W^{1,\theta}(\Omega) \hookrightarrow L^{r}(\Omega)$ compactly for all $1 \leq r < q^{*}$.
- (d) If q > N, then $W^{1,\theta}(\Omega) \hookrightarrow L^{\infty}(\Omega)$ compactly.
- (e) $W^{1,\theta}(\Omega) \hookrightarrow W^{1,q}(\Omega)$ continuously.

We have

$$L^p(\Omega) \hookrightarrow L^{\theta}(\Omega) \hookrightarrow L^p_{a_0}(\Omega) \cap L^q(\Omega)$$

with both embeddings being continuous.

We consider the modular function

$$\rho_{\theta}(u) = \int_{\Omega} \theta(z, |Du|) dz = \int_{\Omega} [a_0(z)|Du|^p + |Du|^q] dz \text{ for all } u \in W^{1,\theta}(\Omega).$$

There is a close relationship between the norm $\|\cdot\|$ of $W^{1,\theta}(\Omega)$ and the modular functional $\rho_{\theta}(\cdot)$; see Proposition 2.1 of Liu and Dai [13].

Proposition 2.2. (a) If $u \neq 0$, then $||Du||_{\theta} = \lambda$ if and only if $\rho_{\theta}(\frac{u}{\lambda}) \leq 1$.

- (b) $||Du||_{\theta} < 1$ (resp. = 1, > 1) if and only if $\rho_{\theta}(u) < 1$ (resp. = 1, > 1).
- (c) If $||Du||_{\theta} < 1$, then $||Du||_{\theta}^p \leq \rho_{\theta}(u) \leq ||Du||_{\theta}^q$.
- (d) If $||Du||_{\theta} > 1$, then $||Du||_{\theta}^q \leq \rho_{\theta}(u) \leq ||Du||_{\theta}^p$.
- (e) $||Du||_{\theta} \to 0$ if and only if $\rho_{\theta}(u) \to 0$.
- (f) $||Du||_{\theta} \to +\infty$ if and only if $\rho_{\theta}(u) \to +\infty$.

On $\partial\Omega$ we consider the (N-1)-dimensional Hausdorff (surface) measure $\sigma(\cdot)$. Using this measure, we can define in the usual way the "boundary" Lebesgue spaces $L^s(\partial\Omega)$ for $1 \leq s \leq \infty$. It is wellknown that there exists a unique continuous linear map $\gamma_0 : W^{1,q}(\Omega) \to L^q(\partial\Omega)$, known as the "trace map", such that

$$\gamma_0(u) = u|_{\partial\Omega}$$
 for all $u \in W^{1,q}(\Omega) \cap C(\Omega)$.

We have

im
$$\gamma_0 = W^{\frac{1}{q'},q}(\Omega) \left(\frac{1}{q} + \frac{1}{q'} = 1\right)$$
 and ker $\gamma_0 = W^{1,q}_0(\Omega)$.

Moreover, the trace map $\gamma_0(\cdot)$ is compact into $L^s(\partial\Omega)$ for all $1 \leq s < (N-1)q/(N-q)$ if q < N, and for all $1 \leq s < \infty$ if $q \geq N$. In what follows, for the sake of notational simplicity, we drop the use of the trace map $\gamma_0(\cdot)$. All restrictions of the Sobolev functions on the boundary $\partial\Omega$ are understood in the sense of traces.

Let $\langle \cdot, \cdot \rangle$ denote the duality brackets for the pair $(W^{1,\theta}(\Omega), W^{1,\theta}(\Omega)^*)$ and $\langle \cdot, \cdot \rangle_{1,q}$ denote the duality brackets for the pair $(W^{1,q}(\Omega), W^{1,q}(\Omega)^*)$. We introduce the maps $A_p^{a_0} : W^{1,\theta}(\Omega) \to W^{1,\theta}(\Omega)^*$ and $A_q : W^{1,q}(\Omega) \to W^{1,q}(\Omega)^*$ defined by

$$\langle A_p^{a_0}(u),h\rangle = \int_{\Omega} a_0(z) |Du|^{p-2} (Du,Dh)_{\mathbb{R}^N} dz \text{ for all } u,h \in W^{1,\theta}(\Omega),$$

$$\langle A_q(u),h\rangle_{1,q} = \int_{\Omega} |Du|^{q-2} (Du,Dh)_{\mathbb{R}^N} dz \text{ for all } u,h \in W^{1,q}(\Omega).$$

We have

 $\langle A_q(u), h \rangle_{1,q} = \langle A_q(u), h \rangle$ for all $u, h \in W^{1,\theta}(\Omega)$.

We introduce the following hypotheses on the weight $a_0(\cdot)$ and on the coefficients $\xi(\cdot)$ and $\beta(\cdot)$.

 $H_0: a_0: \overline{\Omega} \to \mathbb{R} \text{ is Lipschitz continuous, } a_0(z) > 0 \text{ for all } z \in \Omega, \ \xi \in L^{\infty}(\Omega), \ \xi(z) \ge 0 \text{ for a.a.} \\ z \in \Omega, \ \beta \in C^{0,\alpha}(\partial\Omega) \text{ with } 0 < \alpha < 1, \ \xi \not\equiv 0 \text{ or } \beta \not\equiv 0 \text{ and } q > Np/(N+p-1).$

Remark 2.1. The latter condition on the exponent q implies that $W^{1,\theta}(\Omega) \hookrightarrow L^p(\partial\Omega)$ compactly and $q < p^*$.

We introduce the C^1 -functional $\gamma_p: W^{1,\theta}(\Omega) \to \mathbb{R}$ defined by

$$\gamma_p(u) = \int_{\Omega} a_0(z) |Du|^p dz + \int_{\Omega} \xi(z) |u|^p dz + \int_{\partial \Omega} \beta(z) |u|^p d\sigma \text{ for all } u \in W^{1,\theta}(\Omega).$$

Then hypotheses H_0 , Lemma 4.11 of Mugnai and Papageorgiou [15], and Proposition 2.4 of Gasinski and Papageorgiou [10], imply that

(2)
$$c_1 ||u||^p \leq \gamma_p(u) \text{ for some } c_1 > 0, \text{ all } u \in W^{1,\theta}(\Omega).$$

We denote by $\hat{\lambda}_1(p)$ the first (principal) eigenvalue of the following nonlinear eigenvalue problem

(3)
$$\begin{cases} -\operatorname{div}\left(a_{0}(z)|Du|^{p-2}Du\right) + \xi(z)|u|^{p-2}u = \hat{\lambda}|u|^{p-2}u & \text{in }\Omega\\ \frac{\partial u}{\partial n_{p}} + \beta(z)|u|^{p-2}u = 0 & \text{on }\partial\Omega. \end{cases}$$

Here, $\frac{\partial u}{\partial n_p} = |Du|^{p-2} \frac{\partial u}{\partial n}$. The eigenvalue $\hat{\lambda}_1(p)$ has the following variational characterization

(4)
$$\hat{\lambda}_1(p) = \inf\left\{\frac{\gamma_p(u)}{\|u\|_p^p}: \ u \in W^{1,p}(\Omega) \setminus \{0\}\right\} \text{ (see [17])}.$$

Then by (2), we see that $\hat{\lambda}_1(p) > 0$. This eigenvalue is simple (that is, if \hat{u} , \hat{v} are corresponding eigenfunctions, then $\hat{u} = \eta \hat{v}$ with $\eta \in \mathbb{R} \setminus \{0\}$) and isolated (that is, if $\hat{\sigma}(p)$ denotes the spectrum of (3), then we can find $\varepsilon > 0$ such that $(\hat{\lambda}_1(p), \hat{\lambda}_1(p) + \varepsilon) \cap \hat{\sigma}(p) = \emptyset$). The infimum in (4) is realized on the corresponding one-dimensional eigenspace, the elements of which have fixed sign. We denote by $\hat{u}_1(p)$ the corresponding positive, L^p -normalized (that is, $\|\hat{u}_1(p)\|_p = 1$) eigenfunction. We know that $\hat{u}_1(p) \in L^{\infty}(\Omega)$ (see Colasuonno and Squassina [5, Section 3.2]) and $\hat{u}_1(p)(z) > 0$ for a.a. $z \in \Omega$ (see Papageorgiou, Vetro and Vetro [19, Proposition 4]).

We will also use the spectrum of the following nonlinear eigenvalue problem

$$-\Delta_q u = \hat{\lambda} |u|^{q-2} u \text{ in } \Omega, \quad \frac{\partial u}{\partial n} = 0 \text{ on } \partial\Omega.$$

It is well known that this problem has a sequence of variational eigenvalues $\{\hat{\lambda}_k(q)\}_{k\geq 1}$ such that $\hat{\lambda}_k(q) \to +\infty$ as $k \to \infty$. We have $\hat{\lambda}_1(q) = 0 < \hat{\lambda}_2(q)$ (see Gasinski and Papageorgiou [9, Section 6.2]).

Let X be a Banach space and $\phi \in C^1(X, \mathbb{R})$. We denote by K_{ϕ} the critical set of ϕ , that is,

$$K_{\phi} = \{ u \in X : \phi'(u) = 0 \}.$$

Also, if $\eta \in \mathbb{R}$, then we set

$$\phi^{\eta} = \{ u \in X : \phi(u) \leq \eta \}.$$

Consider a topological pair (A, B) such that $B \subseteq A \subseteq X$. Then for every $k \in \mathbb{N}_0$, we denote by $H_k(A, B)$ the kth-singular homology group for the pair (A, B) with coefficients in a field \mathbb{F} of characteristic zero (for example, $\mathbb{F} = \mathbb{R}$). Then each $H_k(A, B)$ is an \mathbb{F} -vector space and we denote by dim $H_k(A, B)$ its dimension. We also recall that the homeomorphisms induced by maps of pairs and the boundary homomorphism ∂ , are all \mathbb{F} -linear.

Suppose that $u \in K_{\phi}$ is isolated. Then for every $k \in \mathbb{N}_0$, we define the "k-critical group" of ϕ at u by

$$C_k(\phi, u) = H_k(\phi^c \cap U, \phi^c \cap U \setminus \{u\}),$$

where U is an isolating neighborhood of u, that is, $K_{\phi} \cap U \cap \phi^c = \{u\}$. The excision property of singular homology implies that this definition is independent of the choice of the isolating neighborhood U.

We say that ϕ satisfies the "C-condition" if it has the following property:

"Every sequence $\{u_n\}_{n \ge 1} \subseteq X$ such that $\{\phi(u_n)\}_{n \ge 1} \subseteq \mathbb{R}$ is bounded and $(1 + ||u_n||)\phi'(u_n) \to 0$ in X^* as $n \to \infty$, has a strongly convergent subsequence".

Suppose that $\phi \in C^1(X, \mathbb{R})$ satisfies the C-condition and that $\inf \phi(K_{\phi}) > -\infty$. Let $c < \inf \phi(K_{\phi})$. Then the critical groups of ϕ at infinity are defined by

$$C_k(\phi,\infty) = H_k(X,\phi^c)$$
 for all $k \in \mathbb{N}_0$

On account of the second deformation theorem (see Papageorgiou, Rădulescu and Repovš [18, p. 386], Theorem 5.3.12) this definition is independent of the choice of the level $c < \inf \phi(K_{\phi})$.

Our approach is based on the notion of local (m, n)-linking $(m, n \in \mathbb{N})$, see Papageorgiou, Rădulescu and Repovš [18, Definition 6.6.13, p. 534].

Definition 2.3. Let X be a Banach space, $\phi \in C^1(X, \mathbb{R})$, and 0 an isolated critical point of ϕ with $\phi(0) = 0$. Let $m, n \in \mathbb{N}$. We say that ϕ has a "local (m, n)-linking" near the origin if there exist a neighborhood U of 0 and nonempty sets E_0 , $E \subseteq U$, and $D \subseteq X$ such that $0 \notin E_0 \subseteq E$, $E_0 \cap D = \emptyset$ and

(a) 0 is the only critical point of ϕ in $\phi^0 \cap U$;

(b) dim im i_* - dim im $j_* \ge n$, where

$$i_*: H_{m-1}(E_0) \to H_{m-1}(X \setminus D) \text{ and } j_*: H_{m-1}(E_0) \to H_{m-1}(E)$$

are the homomorphisms induced by the inclusion maps $i: E_0 \to X \setminus D$ and $j: E_0 \to E$; (c) $\phi|_E \leq 0 < \phi|_{U \cap D \setminus \{0\}}$.

Remark 2.2. The notion of "local (m, n)-linking" was introduced by Perera [21] as a generalization of the concept of local linking due to Liu [12]. Here we introduce a slightly more general version of this notion.

3. Superlinear case

In this section we treat the superlinear case, that is, we assume that the reaction $f(z, \cdot)$ exhibits (p-1)-superlinear growth near $\pm \infty$.

The hypotheses on f(z, x) are the following.

 $\begin{array}{l} H_1: \ f: \Omega \times \mathbb{R} \to \mathbb{R} \text{ is a Carathéodory function such that } f(z,0) = 0 \text{ for a.a. } z \in \Omega \text{ and} \\ \text{(i) } |f(z,x)| \leqslant \hat{a}(z)(1+|x|^{r-1}) \text{ for a.a. } z \in \Omega \text{ and all } x \in \Omega, \text{ with } \hat{a} \in L^{\infty}(\Omega), \ p < r < q^*; \\ \text{(ii) if } F(z,x) = \int_0^x f(z,s) ds, \text{ then } \lim_{x \to \pm \infty} \frac{F(z,x)}{|x|^p} = +\infty \text{ uniformly for a.a. } z \in \Omega; \end{array}$

(iii) if $\eta(z,x) = f(z,x)x - pF(z,x)$, then there exists $e \in L^1(\Omega)$ such that

 $\eta(z,x) \leq \eta(z,y) + e(z)$ for a.a. $z \in \Omega$ and all $0 \leq x \leq y$ or $y \leq x \leq 0$;

(iv) there exist $\delta > 0, \ \theta \in L^{\infty}(\Omega)$ and $\hat{\lambda} > 0$ such that

$$0 \leq \theta(z)$$
 for a.a. $z \in \Omega, \ \theta \neq 0, \ \lambda \leq \lambda_2(q),$

$$\theta(z)|x|^q \leq qF(z,x) \leq \lambda |x|^q$$
 for a.a. $z \in \Omega$ and all $|x| \leq \delta$

Remark 3.1. Evidently, hypotheses $H_1(ii)$, (iii) imply that for a.a. $z \in \Omega$, the function $f(z, \cdot)$ is superlinear. However, to express this superlinearity, we do not invoke the usual AR-condition. We recall that the AR-condition says that there exist $\tau > p$ and M > 0 such that

(5)
$$0 < \tau F(z, x) \leq f(z, x)x$$
 for a.a. $z \in \Omega$ and all $|x| \geq M$; and

(6)
$$0 < \operatorname{essinf}_{\Omega} F(\cdot, \pm M).$$

Integrating (5) and using (6), we obtain a weaker condition, namely

$$\begin{array}{ll} c_2|x|^{\tau} \leqslant F(z,x) & \quad \text{for a.a. } z \in \Omega, \ all \ |x| \geqslant M \ and \ some \ c_2 > 0, \\ \Rightarrow \ c_3|x|^{\tau} \leqslant f(z,x)x & \quad \text{for a.a. } z \in \Omega, \ all \ |x| \geqslant M \ and \ with \ c_3 = \tau c_2 > 0. \end{array}$$

Therefore the AR-condition implies that, eventually, $f(z, \cdot)$ has at least $(\tau - 1)$ -polynomial growth.

In the present work, instead of the AR-condition, we use the quasimonotonicity hypothesis $H_1(iii)$, which is less restrictive and incorporates in our framework also (p-1)-superlinear nonlinearities with slower growth near $\pm \infty$ (see the examples below). Hypothesis $H_1(iii)$ is a slight generalization of a condition which can be found in Li and Yang [11]. There are very natural ways to verify the quasimonotonicity condition. So, if there exists M > 0 such that for a.a. $z \in \Omega$, either the function

$$x\mapsto \frac{f(z,x)}{|x|^{q-2}x}$$
 is increasing on $x\geqslant M$ and decreasing on $x\leqslant -M$

or the mapping

$$x \mapsto \eta(z, x)$$
 is increasing on $x \ge M$ and decreasing on $x \le -M$

then hypothesis $H_1(iii)$ holds.

Hypothesis $H_1(iv)$ implies that for a.a. $z \in \Omega$, the primitive $F(z, \cdot)$ is q-linear near 0.

Examples. The following functions satisfy hypotheses H_1 . For the sake of simplicity we drop the z-dependence:

$$f_1(x) = \begin{cases} \mu |x|^{q-2}x & \text{if } |x| \leq 1\\ \mu |x|^{r-2}x & \text{if } |x| > 1 \quad (\text{with } 0 < \mu \leq \hat{\lambda}_2(q) \text{ and } p < r < q^*) \end{cases}$$

$$f_2(x) = \begin{cases} \mu |x|^{q-2}x & \text{if } |x| \leq 1\\ \mu |x|^{p-2}x \ln x + \mu |x|^{\tau-2}x & \text{if } |x| > 1 \quad (\text{with } 0 < \mu \leq \hat{\lambda}_2(q) \text{ and } 1 < \tau < p). \end{cases}$$

Note that only f_1 satisfies the AR-condition, whereas the function f_2 does not satisfy this growth condition.

The energy functional for problem (1) is the C^1 -functional $\varphi: W^{1,\theta}(\Omega) \to \mathbb{R}$ defined by

$$\varphi(u) = \frac{1}{p} \gamma_p(u) + \frac{1}{q} \|Du\|_q^q - \int_{\Omega} F(z, u) dz \text{ for all } u \in W^{1, \theta}(\Omega).$$

Next, we show that $\varphi(\cdot)$ satisfies the C-condition.

Proposition 3.1. If hypotheses H_0 , H_1 hold, then the functional $\varphi(\cdot)$ satisfies the C-condition.

Proof. We consider a sequence $\{u_n\}_{n \ge 1} \subseteq W^{1,\theta}(\Omega)$ such that

(7)
$$|\varphi(u_n)| \leq c_4 \text{ for some } c_4 > 0 \text{ and all } n \in \mathbb{N},$$

(8)
$$(1 + ||u_n||)\varphi'(u_n) \to 0 \text{ in } W^{1,\theta}(\Omega)^* \text{ as } n \to \infty.$$

From (8) we have

(9)
$$\left| \langle A_p^{a_0}(u_n), h \rangle + \langle A_q(u_n), h \rangle + \int_{\Omega} \xi(z) |u_n|^{p-2} u_n h dz + \int_{\partial \Omega} \beta(z) |u_n|^{p-2} u_n h d\sigma - \int_{\Omega} f(z, u_n) h dz \right| \leq \frac{\varepsilon_n \|h\|}{1 + \|u_n\|},$$

for all $h \in W^{1,\theta}(\Omega)$, with $\varepsilon_n \to 0$.

In (9) we choose $h = u_n \in W^{1,\theta}(\Omega)$ and obtain for all $n \in \mathbb{N}$

$$(10) \quad -\int_{\Omega} a_0(z) |Du_n|^p dz - \|Du_n\|_q^q - \int_{\Omega} \xi(z) |u_n|^p dz - \int_{\partial\Omega} \beta(z) |u_n|^p d\sigma + \int_{\Omega} f(z, u_n) u_n dz \leqslant \varepsilon_n.$$

Also, by (7) we have for all $n \in \mathbb{N}$,

$$(11) \quad \int_{\Omega} a_0(z) |Du_n|^p dz + \frac{p}{q} \|Du_n\|_q^q + \frac{p}{q} \int_{\Omega} \xi(z) |u_n|^p dz + \frac{p}{q} \int_{\partial\Omega} \beta(z) |u_n|^p d\sigma - \int_{\Omega} pF(z, u_n) dz \leqslant pc_4.$$

We add relations (10) and (11). Since q < p, we obtain

(12)
$$\int_{\Omega} \eta(z, u_n) dz \leqslant c_5 \text{ for some } c_5 > 0 \text{ and all } n \in \mathbb{N}.$$

Claim. The sequence $\{u_n\}_{n \ge 1} \subseteq W^{1,\theta}(\Omega)$ is bounded.

We argue by contradiction. Suppose that the claim is not true. We may assume that

(13)
$$||u_n|| \to \infty \text{ as } n \to \infty.$$

We set $y_n = u_n/||u_n||$ for all $n \in \mathbb{N}$. Then $||y_n|| = 1$ and so we may assume that

(14)
$$y_n \xrightarrow{w} y$$
 in $W^{1,\theta}(\Omega)$ and $y_n \to y$ in $L^r(\Omega)$ and in $L^p(\partial\Omega)$.

see hypotheses H_0 , Proposition 2.1 and Remark 2.1.

We first assume that $y \not\equiv 0$. Let

$$\Omega_{+} = \{ z \in \Omega : y(z) > 0 \} \text{ and } \Omega_{-} = \{ z \in \Omega : y(z) < 0 \}.$$

Then at least one of these measurable sets has positive Lebesgue measure on \mathbb{R}^N . We have

$$u_n(z) \to +\infty$$
 for a.a. $z \in \Omega_+$ and $u_n(z) \to -\infty$ for a.a. $z \in \Omega_-$

Let $\hat{\Omega} = \Omega_+ \cup \Omega_-$ and let $|\cdot|_N$ denote the Lebesgue measure on \mathbb{R}^N . We see that $|\hat{\Omega}|_N > 0$ and on account of hypothesis $H_1(i)$, we have

$$\frac{F(z, u_n(z))}{\|u_n\|^p} = \frac{F(z, u_n(z))}{|u_n(z)|^p} |y_n(z)|^p \to +\infty \text{ for a.a. } z \in \hat{\Omega},$$

(15)

$$\Rightarrow \quad \int_{\hat{\Omega}} \frac{F(z, u_n(z))}{\|u_n\|^p} dz \to +\infty \text{ by Fatou's lemma.}$$

Hypotheses $H_1(i)$, (ii) imply that

=

(16) $F(z,x) \ge -c_6$ for a.a. $z \in \Omega$, all $x \in \mathbb{R}$ and some $c_6 > 0$. Thus we obtain

Thus we obtain

(17)
$$\int_{\Omega} \frac{F(z, u_n)}{\|u_n\|^p} dz = \int_{\hat{\Omega}} \frac{F(z, u_n)}{\|u_n\|^p} dz + \int_{\Omega \setminus \hat{\Omega}} \frac{F(z, u_n)}{\|u_n\|^p} dz \\ \geqslant \int_{\hat{\Omega}} \frac{F(z, u_n)}{\|u_n\|^p} dz - \frac{c_6 |\Omega|_N}{\|u_n\|^p} \text{ (see (16)),} \\ \Rightarrow \lim_{n \to \infty} \int_{\Omega} \frac{F(z, u_n)}{\|u_n\|^p} dz = +\infty \text{ (see (15) and (13))}$$

By (7), we have

(18)
$$\int_{\Omega} \frac{pF(z,u_n)}{\|u_n\|^p} dz \leqslant \gamma_p(y_n) + \frac{p}{q} \frac{1}{\|u_n\|^{p-q}} \|Dy_n\|_q^q + \frac{c_4}{\|u_n\|^p} \leqslant c_7,$$

for some $c_7 > 0$ and all $n \in \mathbb{N}$ (see (13) and recall that $||y_n|| = 1$).

We compare relations (15) and (18) and arrive at a contradiction.

Next, we assume that y = 0. Let $\mu > 0$ and set $v_n = (p\mu)^{1/p} y_n$ for all $n \in \mathbb{N}$. Evidently, we have

(19)
$$\begin{aligned} v_n &\to 0 \text{ in } L^r(\Omega) \text{ (see (14))}, \\ &\Rightarrow \int_{\Omega} F(z, v_n) dz \to 0 \text{ as } n \to \infty \end{aligned}$$

Consider the functional $\psi: W^{1,\theta}(\Omega) \to \mathbb{R}$ defined by

$$\psi(u) = \frac{1}{p} \gamma_p(u) - \int_{\Omega} F(z, u) dz \text{ for all } u \in W^{1, \theta}(\Omega).$$

Clearly, $\psi \in C^1(W^{1,\theta}(\Omega), \mathbb{R})$ and

(20)

$$\psi \leqslant \varphi.$$

We can find $t_n \in [0, 1]$ such that

(21)
$$\psi(t_n u_n) = \min\{\psi(t u_n): \ 0 \le t \le 1\} \text{ for all } n \in \mathbb{N}$$

Because of (13), we can find $n_0 \in \mathbb{N}$ such that

(22)
$$0 < \frac{(p\mu)^{1/p}}{\|u_n\|} \leqslant 1 \text{ for all } n \geqslant n_0.$$

Therefore

$$\begin{split} \psi(t_n u_n) & \geqslant \psi(v_n) \text{ (see (21), (22))} \\ & \geqslant \mu \gamma_p(y_n) - \int_{\Omega} F(z, v_n) dz \\ & \geqslant \mu c_1 - \int_{\Omega} F(z, v_n) dz \text{ (see (2) and recall that } \|y_n\| = 1) \\ & \geqslant \frac{\mu}{2} c_1 \text{ for all } n \geqslant n_1 \geqslant n_0 \text{ (see (19)).} \end{split}$$

Since $\mu > 0$ is arbitrary, it follows that

$$\psi(t_n u_n) \to +\infty \text{ as } n \to \infty.$$

Note that

(23)

(24)
$$\psi(0) = 0 \text{ and } \psi(u_n) \leq c_4 \text{ for all } n \in \mathbb{N} \text{ (see (7), (20))}$$

By (23) and (24) we can infer that

(25)
$$t_n \in (0,1) \text{ for all } n \ge n_2$$

From (21) and (25), we can see that for all $n \ge n_2$ we have

$$0 = t_n \frac{d}{dt} \psi(tu_n)|_{t=t_n}$$

(26)

$$= \langle \psi'(t_n u_n), t_n u_n \rangle \text{ (by the chain rule)} = \gamma_p(t_n u_n) - \int_{\Omega} f(z, t_n u_n)(t_n u_n) dz.$$

It follows that

$$0 \leq t_n u_n^+ \leq u_n^+$$
 and $-u_n^- \leq -t_n u_n^- \leq 0$ for all $n \in \mathbb{N}$

(recall that $u_n^+ = \max\{u_n, 0\}$ and $u_n^- = \max\{-u_n, 0\}$). By hypothesis $H_1(iii)$, we have

$$\eta(z, t_n u_n^+) \leq \eta(z, u_n^+) + e(z)$$
 for a.a. $z \in \Omega$ and all $n \in \mathbb{N}$,

$$\eta(z,-t_nu_n^-)\leqslant \eta(z,-u_n^-)+e(z)$$
 for a.a. $z\in\Omega$ and all $n\in\mathbb{N}$

From these two inequalities and since $u_n = u_n^+ - u_n^-$, we obtain

(27)
$$\begin{aligned} \eta(z,t_nu_n) &\leqslant \eta(z,u_n) + e(z) \text{ for a.a. } z \in \Omega \text{ and all } n \in \mathbb{N}, \\ \Rightarrow \quad f(z,t_nu_n)(t_nu_n) &\leqslant \eta(z,u_n) + e(z) + pF(z,t_nu_n) \text{ for a.a. } z \in \Omega \text{ and all } n \in \mathbb{N}. \end{aligned}$$

We return to (26) and apply (27). Then

(28)
$$\gamma_p(t_n u_n) - p \int_{\Omega} F(z, t_n u_n) dz \leq \int_{\Omega} \eta(z, u_n) dz + \|e\|_1 \text{ for all } n \in \mathbb{N},$$
$$\Rightarrow \quad p\psi(t_n u_n) \leq c_8 \text{ for some } c_8 > 0 \text{ and all } n \in \mathbb{N} \text{ (see (12).}$$

We compare (23) and (28) and arrive at a contradiction.

This proves the claim.

On account of this claim, we may assume that

(29)
$$u_n \xrightarrow{w} u \text{ in } W^{1,\theta}(\Omega) \text{ and } u_n \to u \text{ in } L^r(\Omega) \text{ and in } L^p(\partial\Omega)$$

(see hypotheses H_0).

From (29) we have

(30)
$$Du_n \to Du \text{ in } L^p_{a_0}(\Omega, \mathbb{R}^N) \text{ and } Du_n(z) \to Du(z) \text{ a.a. } z \in \Omega.$$

In (9) we choose $h = u_n - u \in W^{1,\theta}(\Omega)$, pass to the limit as $n \to \infty$ and use (30) and the monotonicity of $A_p(\cdot)^{a_0}$. We obtain

$$\limsup_{n \to \infty} \langle A_p^{a_0}(u_n), u_n - u \rangle \leq 0,$$

$$\Rightarrow \limsup_{n \to \infty} \| Du_n \|_{L^p_{a_0}(\Omega, \mathbb{R}^N)} \leq \| Du \|_{L^p_{a_0}(\Omega, \mathbb{R}^N)}.$$

On the other hand, from (30) we have

$$\liminf_{n \to \infty} \|Du_n\|_{L^p_{a_0}(\Omega, \mathbb{R}^N)} \ge \|Du\|_{L^p_{a_0}(\Omega, \mathbb{R}^N)}.$$

Therefore we conclude that

(31)

$$\|Du_n\|_{L^p_{a_0}(\Omega,\mathbb{R}^N)} \to \|Du\|_{L^p_{a_0}(\Omega,\mathbb{R}^N)}$$

The space $L^p_{a_0}(\Omega, \mathbb{R}^N)$ is uniformly convex, hence it has the Kadec-Klee property (see Papageorgiou, Rădulescu and Repovš [18, Remark 2.7.30, p. 127]). So, it follows from (30) and (31) that

 $Du_n \to Du$ in $L^p_{a_0}(\Omega, \mathbb{R}^N)$,

$$\Rightarrow \quad Du_n \to Du \text{ in } L^q(\Omega, \mathbb{R}^N) \text{ since } L^p_{a_0}(\Omega, \mathbb{R}^N) \hookrightarrow L^q(\Omega, \mathbb{R}^N) \text{ continuously,}$$

 $\Rightarrow \rho_{\theta}(|Du_n - Du|) \rightarrow 0 \text{ (see Proposition 2.2)},$

 $\Rightarrow ||u_n - u|| \rightarrow 0$ (see (29) and Proposition 2.2),

 $\Rightarrow \varphi$ satisfies the C-condition.

The proof is now complete.

Proposition 3.2. If hypotheses H_0 , H_1 hold, then the functional $\varphi(\cdot)$ has a local (1,1)-linking at 0.

Proof. Since the critical points of φ are solutions of problem (1), we may assume that K_{φ} is finite or otherwise we already have infinitely many nontrivial solutions of (1) and so we are done.

Choose $\rho \in (0, 1)$ so small that $K_{\varphi} \cap \overline{B}_{\rho} = \{0\}$ (here, $B_{\rho} = \{u \in W^{1, \hat{\theta}}(\Omega) : ||u|| < \rho\}$). Let $V = \mathbb{R}$ and let $\delta > 0$ as postulated by hypothesis $H_1(iv)$. Recall that on a finite dimensional normed space all norms are equivalent. So, by taking $\rho \in (0, 1)$ even smaller as necessary, we have

(32)
$$||u|| \leq \rho \Rightarrow |u| \leq \delta \text{ for all } u \in V = \mathbb{R}.$$

Then for $u \in V \cap \overline{B}_{\rho}$, we have

$$\begin{aligned} \varphi(u) &\leqslant \frac{1}{p} \gamma_p(u) - \frac{|u|^q}{q} \int_{\Omega} \theta(z) dz \text{ (see (32) and Hypothesis } H_1(iv)) \\ &= \frac{|u|^p}{p} \left(\int_{\Omega} \xi(z) dz + \int_{\partial \Omega} \beta(z) d\sigma \right) - \frac{|u|^q}{q} \int_{\Omega} \theta(z) dz \\ &\leqslant c_9 \|u\|^p - c_{10} \|u\|^q \text{ for some } c_9, c_{10} > 0 \text{ (see hypotheses } H_0 \text{ and } H_1(iv)) \end{aligned}$$

Since q < p, choosing $\rho \in (0, 1)$ small, we conclude that

(33)
$$\varphi|_{V \cap \bar{B}_a} \leqslant 0.$$

Let

$$D = \{ u \in W^{1,\theta}(\Omega) : \| Du \|_q^q \ge \hat{\lambda}_2(q) \| u \|_q^q \}.$$

For all $u \in D$ we have

Since p < r, for small $\rho \in (0, 1)$ we have

(34)
$$\varphi|_{D \cap \bar{B}_q \setminus \{0\}} > 0$$

Let $U = \bar{B}_{\rho}$, $E_0 = V \cap \partial B_{\rho}$, $E = V \cap \bar{B}_{\rho}$ and D as above. We have $0 \notin E_0$, $E_0 \subseteq E \subseteq U = \bar{B}_{\rho}$ and $E_0 \cap D = \emptyset$ (see Definition 2.3).

Let Y be the topological complement of V. We have that

$$W^{1,\theta}(\Omega) = V \oplus Y$$
 (see [18, pp. 73, 74]).

So, every $u \in W^{1,\theta}(\Omega)$ can be written in a unique way as

$$u = v + y$$
 with $v \in V, y \in Y$.

We consider the deformation $h: [0,1] \times (W^{1,\theta}(\Omega) \setminus D) \to W^{1,\theta}(\Omega) \setminus D$ defined by

$$h(t,u) = (1-t)u + t\rho \frac{v}{\|v\|} \text{ for all } t \in [0,1] , u \in W^{1,\theta}(\Omega) \setminus D.$$

We have

$$h(0,u) = u$$
 and $h(1,u) = \rho \frac{v}{\|v\|} \in V \cap \partial B_{\rho} = E_0.$

It follows that E_0 is a deformation retract of $W^{1,\theta}(\Omega) \setminus D$ (see Papageorgiou, Rădulescu and Repovš [17, Definition 5.3.10, p. 385]). Hence

$$H_*: H_0(E_0) \to H_0(W^{1,\theta}(\Omega) \setminus \{0\})$$

is an isomorphism (see Eilenberg and Steenrod [8, Theorem 11.5, p.30] and Papageorgiou, Rădulescu and Repovš [18, Remark 6.1.6, p. 460]).

The set $E = V \cap B_{\rho}$ is contractible (it is an interval). Hence $H_0(E, E_0) = 0$ (see Eilenberg and Steenrod [8, Theorem 11.5, p. 30]). Therefore, if $j_* : H_0(E_0) \to H_0(E)$, then dim im $j_* = 1$ (see Papageorgiou, Rădulescu and Repovš [8, Remark 6.1.26, p. 468]). So, finally we have

$$\dim \operatorname{im} i_* - \dim \operatorname{im} j_* = 2 - 1 = 1, \Rightarrow \varphi(\cdot) \text{ has a local } (1, 1) \text{-linking at } 0, \text{ see Definition } 2.3.$$

The proof is now complete.

From Proposition 3.2 and Theorem 6.6.17 of Papageorgiou, Rădulescu and Repovš [18, p. 538], we have

(35)
$$\dim C_1(\varphi, 0) \ge 1.$$

Moreover, Proposition 3.9 of Papageorgiou, Rădulescu and Repovš [17] leads to the following result.

Proposition 3.3. If hypotheses H_0 , H_1 hold, then $C_k(\varphi, \infty) = 0$ for all $k \in \mathbb{N}_0$.

We are now ready for the existence theorem concerning the superlinear case.

Theorem 3.4. If hypotheses H_0 , H_1 hold, then problem (1) has a nontrivial solution $u_0 \in W^{1,\theta}(\Omega) \cap L^{\infty}(\Omega)$.

Proof. On account of (35) and Proposition 3.3, we can apply Proposition 6.2.42 of Papageorgiou, Rădulescu and Repovš [18, p. 499]. So, we can find $u_0 \in W^{1,\theta}(\Omega)$ such that

$$\begin{array}{l} u_0 \in K_{\varphi} \setminus \{0\}, \\ \Rightarrow \quad u_0 \in W^{1,\theta}(\Omega) \cap L^{\infty}(\Omega) \text{ is a solution of problem (1), see [18, Section 3.2]} \end{array}$$

The proof is now complete.

4. Resonant case

In this section we are concerned with the resonant case (*p*-linear case). Our hypotheses allow resonance at $\pm \infty$ with respect to the principal eigenvalue $\hat{\lambda}_1(p) > 0$.

The new conditions on the reaction f(z, x) are the following.

 $H_{2}: f: \Omega \times \mathbb{R} \to \mathbb{R} \text{ is a Carathéodory function such that } f(z,0) = 0 \text{ for a.a. } z \in \Omega \text{ and}$ (i) $|f(z,x)| \leq \hat{a}(z)(1+|x|^{r-1})$ for a.a. $z \in \Omega$, all $x \in \mathbb{R}$, with $\hat{a} \in L^{\infty}(\Omega), p < r < q^{*}$; (ii) if $F(z,x) = \int_{0}^{x} f(z,s)ds$, then $\lim_{x \to \pm \infty} pF(z,x)/|x|^{p} \leq \hat{\lambda}_{1}(p)$ uniformly for a.a. $z \in \Omega$; (iii) we have

ii) we have

 $f(z,x)x - pF(z,x) \to +\infty$ uniformly for a.a. $z \in \Omega$, as $x \to \pm\infty$;

(iv) there exist $\delta > 0, \ \theta \in L^{\infty}(\Omega)$ and $\hat{\lambda} > 0$ such that

$$0 \leq \theta(z) \text{ for a.a. } z \in \Omega, \ \theta \neq 0, \ \lambda \leq \lambda_2(q),$$
$$\theta(z)|x|^q \leq qF(z,x) \leq \hat{\lambda}|x|^q \text{ for a.a. } z \in \Omega \text{ and all } |x| \leq \delta.$$

Remark 4.1. Hypothesis $H_2(ii)$ implies that at $\pm \infty$, we can have resonance with respect to the principal eigenvalue of the operator $u \mapsto -\text{div}(a_0(z)|Du|^{p-2}Du) - \Delta_q u$ with Robin boundary condition.

Proposition 4.1. If hypotheses H_0 , H_2 hold, then the energy functional $\varphi(\cdot)$ is coercive.

Proof. We have

$$\frac{d}{dx}\left(\frac{F(z,x)}{|x|^p}\right) = \frac{f(z,x)|x|^p - p|x|^{p-2}xF(z,x)}{|x|^{2p}} \\ = \frac{|x|^{p-2}x[f(z,x)x - pF(z,x)]}{|x|^{2p}}.$$

On account of hypothesis $H_2(iii)$, given any $\gamma > 0$, we can find $M_1 = M_1(\gamma) > 0$ such that

$$f(z, x)x - pF(z, x) \ge \gamma$$
 for a.a. $z \in \Omega$ and all $|x| \ge M_1$.

Hence we obtain

$$\frac{d}{dx}\left(\frac{F(z,x)}{|x|^p}\right) \begin{cases} & \geqslant \frac{\gamma}{x^{p+1}} & \text{if } x \geqslant M_1 \\ & \leqslant -\frac{\gamma}{|x|^{p+1}} & \text{if } x \leqslant -M_1. \end{cases}$$

Integrating, we obtain

(36)
$$\frac{F(z,x)}{|x|^p} - \frac{F(z,x)}{|u|^p} \ge -\frac{\gamma}{p} \left(\frac{1}{|x|^p} - \frac{1}{|u|^p}\right) \text{ for a.a. } z \in \Omega \text{ and all } |x| \ge |u| \ge M_1.$$

On account of hypothesis $H_2(ii)$, given $\varepsilon > 0$, we can find $M_2 = M_2(\varepsilon) > 0$ such that

$$F(z,x) \leq \frac{1}{p} (\hat{\lambda}_1(p) + \varepsilon) |x|^p$$
 for a.a. $z \in \Omega$ and all $|x| \ge M_2$.

Using this inequality in (36) and letting $|x| \to \infty$ we obtain

(37)
$$\frac{1}{p}(\hat{\lambda}_{1}(p) + \varepsilon) - \frac{F(z, u)}{|u|^{p}} \ge \frac{\gamma}{p} \frac{1}{|u|^{p}} \text{ for a.a. } z \in \Omega \text{ and all } |u| \ge M = \max\{M_{1}, M_{2}\},$$
$$\Rightarrow \quad (\hat{\lambda}_{1}(p) + \varepsilon)|u|^{p} - pF(z, u) \ge \gamma \text{ for a.a. } z \in \Omega \text{ and all } |u| \ge M.$$

Arguing by contradiction, suppose that $\varphi(\cdot)$ is not coercive. Then we can find $\{u_n\}_{n \ge 1} \subseteq W^{1,\theta}(\Omega)$ such that

(38)
$$||u_n|| \to \infty \text{ and } \varphi(u_n) \leqslant M_0 \text{ for some } M_0 > 0 \text{ and all } n \in \mathbb{N}.$$

Let $y_n = u_n/||u_n||$ for all $n \in \mathbb{N}$. Then $||y_n|| = 1$, hence we may assume that

(39)
$$y_n \xrightarrow{w} y$$
 in $W^{1,\theta}(\Omega)$ and $y_n \to y$ in $L^p(\Omega)$ and in $L^p(\partial\Omega)$.

From (38) we have

$$\begin{aligned} \frac{1}{p} \gamma_p(y_n) &+ \frac{1}{q} \frac{1}{\|u_n\|^{p-q}} \int_{\Omega} |Dy_n|^q dz - \int_{\Omega} \frac{F(z, u_n)}{\|u_n\|^p} dz \leqslant \frac{M_0}{\|u_n\|^p}, \\ \Rightarrow & \gamma_p(y_n) + \frac{p}{q} \frac{1}{\|u_n\|^{p-q}} \int_{\Omega} |Dy_n|^q dz \leqslant \tau_n + (\hat{\lambda}_1(p) + \varepsilon) \|y_n\|_p^p \text{ with } \tau_n \to 0, \text{ see } (37), \\ \Rightarrow & \gamma_p(y) \leqslant (\hat{\lambda}_1(p) + \varepsilon) \|y\|^p \text{ (see } (39)), \\ \Rightarrow & \gamma_p(y) \leqslant \hat{\lambda}_1(p) \|y\|_p^p \text{ (since } \varepsilon > 0 \text{ is arbitrary}), \\ \Rightarrow & y = \mu \hat{u}_1(p) \text{ for some } \mu \in \mathbb{R} \text{ (see } (4)). \end{aligned}$$

If $\mu = 0$, then y = 0 and so $\gamma_p(y_n) \to 0$. Hence, as in the proof of Proposition 3.1, we have $y_n \to 0$ in $W^{1,\theta}(\Omega)$, contradicting the fact that $||y_n|| = 1$ for all $n \in \mathbb{N}$.

So, $\mu \neq 0$ and since $\hat{u}_1(p)(z) > 0$ for a.a. $z \in \Omega$, we have $|u_n(z)| \to +\infty$ for a.a. $z \in \Omega$. By (38) and (4) we have

(40)
$$\int_{\Omega} \left[\frac{1}{p} \, \hat{\lambda}_1(p) |u_n|^p - F(z, u_n) \right] dz \leqslant M_0 \text{ for all } n \in \mathbb{N}.$$

However, from (37) and since $\gamma > 0$ is arbitrary, we can infer that

(41)
$$\begin{array}{l} \frac{1}{p} \hat{\lambda}_1(p) |u_n|^p - F(z, u_n) \to +\infty \text{ for a.a. } z \in \Omega, \text{ as } n \to \infty, \\ \Rightarrow \int_{\Omega} \left[\frac{1}{p} \hat{\lambda}_1(p) |u_n|^p - F(z, u_n) \right] dz \to +\infty \text{ by Fatou's lemma.} \end{array}$$

Comparing (40) and (41) we arrive at a contradiction. Therefore we can conclude that $\varphi(\cdot)$ is coercive.

Using Proposition 4.1 and Proposition 5.1.15 of Papageorgiou, Rădulescu and Repovš [18, p. 369], we obtain the following result.

Corollary 4.2. If hypotheses H_0 , H_2 hold, then the energy functional $\varphi(\cdot)$ is bounded below and satisfies the C-condition.

Now we are ready for the multiplicity theorem in the resonant case.

Theorem 4.3. If hypotheses H_0 , H_2 hold, then problem (1) has at least two nontrivial solutions $u_0, \hat{u} \in W^{1,\theta}(\Omega) \cap L^{\infty}(\Omega)$.

Proof. By Proposition 3.2 we know that $\varphi(\cdot)$ has a local (1, 1)-linking at the origin. Note that for that result mattered only the behavior of $f(z, \cdot)$ near zero and this is common in hypotheses H_1 and H_2 . Also, we know that $\varphi(\cdot)$ is sequentially weakly lower semicontinuous. This fact in conjunction with Proposition 4.1, permit the use of the Weierstrass-Tonelli theorem. So, we can find $u_0 \in W^{1,\theta}(\Omega)$ such that

(42)
$$\varphi(u_0) = \min\{\varphi(u) : u \in W^{1,\theta}(\Omega)\}.$$

On account of hypothesis $H_2(iv)$ and since q < p, we have

 $\begin{array}{ll} \varphi(u_0) < 0 = \varphi(0), \\ \Rightarrow & u_0 \neq 0 \text{ and } u_0 \in K_{\varphi}, \\ \Rightarrow & u_0 \in K_{\varphi} \cap L^{\infty}(\Omega) \text{ is a nontrivial solution of (1).} \end{array}$

Moreover, by Corollary 6.7.10 of Papageorgiou, Rădulescu and Repovš [18, p. 552], we can find $\hat{u} \in K_{\varphi}, \ \hat{u} \notin \{0, u_0\}$. Then $\hat{u} \in W^{1,\theta}(\Omega) \cap L^{\infty}(\Omega)$ is the second nontrivial solution of problem (1).

Acknowledgments. This research was supported by the Slovenian Research Agency grants P1-0292, J1-8131, N1-0114, N1-0064, and N1-0083.

References

- A. Bahrouni, V.D. Rădulescu, D.D. Repovš, A weighted anisotropic variant of the Caffarelli-Kohn-Nirenberg inequality and applications, *Nonlinearity* 31 (2018), no. 4, 1516-1534.
- [2] A. Bahrouni, V.D. Rădulescu, D.D. Repovš, Double phase transonic flow problems with variable growth: nonlinear patterns and stationary waves, *Nonlinearity* **32** (2019), no. 7, 2481-2495.
- [3] P. Baroni, M. Colombo, G. Mingione, Harnack inequalities for double phase functionals, Nonlinear Anal. 121 (2015), 206-222.
- [4] M. Cencelj, V.D. Rădulescu, D.D. Repovš, Double phase problems with variable growth, Nonlinear Anal. 177 (2018), part A, 270-287.
- [5] F. Colasuonno, M. Squassina, Eigenvalues for double phase variational integrals, Ann. Mat. Pura Appl. (4) 195 (2016), no. 6, 1917-1959.
- [6] M. Colombo, G. Mingione, Regularity for double phase variational problems, Arch. Ration. Mech. Anal. 215 (2015), no. 2, 443-496.
- [7] M. Colombo, G. Mingione, Bounded minimisers of double phase variational integrals, Arch. Ration. Mech. Anal. 218 (2015), no. 1, 219–273.
- [8] S. Eilenberg, N. Steenrod, Foundations of Algebraic Topology, Princeton University Press, Princeton, New Jersey, 1952.
- [9] L. Gasinski, N.S. Papageorgiou, Nonlinear Analysis, Chapman & Hall/CRC, Boca Raton, FL, 2006.
- [10] L. Gasinski, N.S. Papageorgiou, Positive solutions for the Robin p-Laplacian problem with competing nonlinearities, Adv. Calc. Var. 12 (2019), no. 1, 31-56.
- [11] G. Li, C. Yang, The existence of a nontrivial solution to a nonlinear elliptic boundary value problem of p-Laplacian type without the Ambrosetti-Rabinowitz condition, Nonlinear Anal. 72 (2010), no. 12, 4602-4613.

- [12] J. Liu, The Morse index of a saddle point, Systems Sci. Math. Sci. 2 (1989), no. 1, 32-39.
- [13] W. Liu, G. Dai, Existence and multiplicity results for double phase problem, J. Differential Equations 265 (2018), no. 9, 4311-4334.
- [14] P. Marcellini, Regularity and existence of solutions of elliptic equations with p, q-growth conditions, J. Differential Equations 90 (1991), no. 1, 1-30.
- [15] D. Mugnai, N.S. Papageorgiou, Resonant nonlinear Neumann problems with indefinite weight, Ann. Sc. Norm. Super. Pisa Cl. Sci. (5) 11 (2012), no. 4, 729-788.
- [16] J. Musielak, Orlicz Spaces and Modular Spaces, Lecture Notes in Mathematics, vol. 1034, Springer-Verlag, Berlin, 1983.
- [17] N.S. Papageorgiou, V.D. Rădulescu, Nonlinear nonhomogeneous Robin problems with superlinear reaction term, Adv. Nonlinear Stud. 16 (2016), no. 4, 737-764.
- [18] N.S. Papageorgiou, V.D. Rădulescu, D.D. Repovš, Nonlinear Analysis-Theory and Methods, Springer Monographs in Mathematics, Springer Nature, Cham, 2019.
- [19] N.S. Papageorgiou, V.D. Rădulescu, D.D. Repovš, Ground state and nodal solutions for a class of double phase problems, Z. Angew. Math. Phys. 71 (2020), no. 1, art. 15, 15 pp.
- [20] N.S. Papageorgiou, C. Vetro, F. Vetro, Multiple solutions for parametric double phase Dirichlet problems, Commun. Contemp. Math., in press (https://doi.org/10.1142/S0219199720500066).
- [21] K. Perera, Homological local linking, Abstr. Appl. Anal. 3 (1998), no. 1-2, 181-189.
- [22] V.V. Zhikov, Averaging of functionals of the calculus of variations and elasticity theory (Russian); English transl. in Izv. Akad. Nauk SSSR Ser. Mat. 50 (1986), no. 4, 675-710, 877.

(N.S. Papageorgiou) INSTITUTE OF MATHEMATICS, PHYSICS AND MECHANICS, 1000 LJUBLJANA, SLOVENIA & DE-PARTMENT OF MATHEMATICS, NATIONAL TECHNICAL UNIVERSITY, ZOGRAFOU CAMPUS, ATHENS 15780, GREECE Email address: npapg@math.ntua.gr

(V.D. Rădulescu) INSTITUTE OF MATHEMATICS, PHYSICS AND MECHANICS, 1000 LJUBLJANA, SLOVENIA & FACULTY OF APPLIED MATHEMATICS, AGH UNIVERSITY OF SCIENCE AND TECHNOLOGY, 30-059 KRAKÓW, POLAND Email address: vicentiu.radulescu@imfm.si

(D.D. Repovš) FACULTY OF EDUCATION AND FACULTY OF MATHEMATICS AND PHYSICS, UNIVERSITY OF LJUBLJANA & INSTITUTE OF MATHEMATICS, PHYSICS AND MECHANICS, 1000 LJUBLJANA, SLOVENIA

Email address: dusan.repovs@guest.arnes.si