### UNIVERSITY<sup>OF</sup> BIRMINGHAM University of Birmingham Research at Birmingham

### Explaining the Democratic Anchorage of Governance Networks

Skelcher, Christopher; Klijn, Erik-Hans; Kübler, D; Sørensen, E; Sullivan, Helen

DOI: 10.2753/ATP1084-1806330100

Document Version Peer reviewed version

Citation for published version (Harvard):

Skelcher, C, Klijn, E-H, Kübler, D, Sørensen, E & Sullivan, H 2011, 'Explaining the Democratic Anchorage of Governance Networks', *Administrative Theory & Praxis*, vol. 33, no. 1, pp. 7-38. https://doi.org/10.2753/ATP1084-1806330100

Link to publication on Research at Birmingham portal

#### **General rights**

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

• Users may freely distribute the URL that is used to identify this publication.

• Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.

User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?)
Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

#### Take down policy

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact UBIRA@lists.bham.ac.uk providing details and we will remove access to the work immediately and investigate.

Please always cite the final published version of this paper: C. Skelcher, E-H Klijn, D. Kübler, E. Sørensen and H. Sullivan (2011) "Explaining the democratic anchorage of governance networks: Evidence from four European countries" **Administrative Theory and Praxis** 33 (1), pp 7-38, ISSN 1084-1806 <u>http://www.patheory.org/volume-33</u>

# **Explaining the democratic anchorage of governance networks: evidence from four European countries**

Chris Skelcher, Erik-Hans Klijn, Daniel Kübler, Eva Sørensen and Helen Sullivan

> Contact for correspondence: Professor Chris Skelcher School of Government and Society JG Smith Building The University of Birmingham Birmingham UK

> > <u>c.k.skelcher@bham.ac.uk</u> ++ 44 (0)121 414 4962

# **Explaining the democratic anchorage of governance networks: evidence from four European countries**

#### ABSTRACT

Advances in understanding the democratic anchorage of governance networks require carefully designed and contextually grounded empirical analysis that take into account contextual factors. The article uses a conjectural framework to study the impact of the national 'democratic milieu' on the relationship between network governance and representative institutions in four European countries - the United Kingdom, Switzerland, the Netherlands and Denmark. The article shows that the distinction between majoritarian and consensus democracy, as well as the varying strength of voluntary associations are important contextual factors that help understand cross-national differences in the relationship between governance networks and representative institutions. We conclude that a context of weak associationalism in majoritarian democracies facilitates the instrumentalization of networks by governmental actors (United Kingdom), whereas while in consensus democracies a more complementary role of governance networks prevails (Switzerland). However, in consensus democracies characterised by a context of strong associationalism (the Netherlands and Denmark), the spread of governance networks in public policy-making is likely to lead to more substantial transformations of the democratic processes.

#### **INTRODUCTION**

In the last decades the role and function of governance networks - defined as "public policy making and implementation through a web of relationships between government, business and civil society actors" (Klijn, 2008: 511) - has attracted considerable attention. In the 1990s a first generation of governance network research explained how this form could be distinguished from hierarchical and market approaches, and analysed how and under what conditions it contributed to the production of effective governance. Recently, a second generation of research has examined the democratic impact of governance networks, and the extent to which they have 'democratic anchorage', that is, a system of metagovernance regulated by elected politicians (Sørensen & Torfing, 2005). However, most empirical research into the democratic consequences of governance networks has been undertaken in the context of a single country (Skelcher, 2007). The absence of cross-national comparison means that scholars are unable to establish the extent to which there are regional or global uniformities (e.g. whether network governance inevitably reduces transparency of public policy) or, conversely, whether the institutionalised norms and practices of democracy in individual countries has a mediating effect (e.g. by fostering greater citizen engagement in one country rather than another).

This article reports on an initial comparative cross-national study of the democratic consequences of governance networks. It was designed both to generate new knowledge and to stimulate colleagues to undertake similar multi-country research. Cross-national comparative research is important for the study of governance networks and democracy, as in other fields, because it helps increase the critical edge of scholarship (Blondel 2005). It challenges researchers by questioning the findings and taken-for-granted assumptions from single country studies, and helps the field move from generalised statements to a more refined perspective that can accommodate variation in the institutionalised features of 'how democracy means' and 'how we do democracy' in different nations.

Our comparative research strategy starts from a consideration of the relationship between governance networks and representative democracy. We focus on representative democracy because this is the ideal underpinning the institutional arrangements in most countries claiming to be 'democratic', including the four countries that we study. We use a conjectural framework to formulate the possible general relationships between governance networks and representative democracy, and then refine these into propositions that refer to the democratic milieu in each of our case study countries – Denmark, the Netherlands, Switzerland and the United Kingdom. By democratic milieu, we mean the collectively shared meanings and practices of democracy in that country, which is , as we discuss, a somewhat broader concept than the more commonly used idea of 'political culture'. Then, we present a country by country analysis within this overall framework, and end by drawing comparative conclusions concerning the relationship between democratic milieu and the democratic anchorage of governance networks.

#### FOUR CONJECTURES – AN ANALYTICAL FRAMEWORK

The analytical framework is based on four conjectures about the relationships between representative democracy and governance networks (Klijn & Skelcher, 2007). Conjectures are provisional theories that offer a plausible explanation to the research problem, and provide a

basis from which further investigation and theorising can proceed. The use of conjectures provides a helpful way of exploring a problem in which there are limited data, or incomplete understandings of the variables involved and their relationships. The current empirical knowledge about the democratic anchorage of governance networks is such that the use of conjectures seems appropriate. In sum, there are four conjectures about the relationship between governance networks and representative democracy, namely the incompatibility conjecture, the complementarity conjecture, the transitional conjecture and the instrumental conjecture (table 1).

----- table 1 about here ------

#### The Incompatibility Conjecture

The incompatibility conjecture posits that representative democracy and governance networks conflict because each is predicated on a different set of institutional rules. Sørensen (2006) identifies four issues where representative democracy and governance networks collide. First, governance networks challenges the sovereign power of the elected body because they depend on a high level of autonomy. Second, governance networks are constituted on a functional and not a territorial logic of representation. Third, public administrators tend to become policy makers in and through their participation in governance networks. Fourth, governance networks undermine the classical institutional separation between the public and the private sphere in traditional theories of representative democracy by bringing together stakeholders from state, market and civil society.

This approach to governance networks emphasises the closed and compartmentalised nature of decision-making in separate policy sectors, and the limited accessibility to these by non-specialised and poorly organised interest groups. The relatively closed and sector-divided character of the decision-making in governance networks means that it is primarily sector specialists and other experts participate in the networks at the expense of elected politicians (Heisler, 1974; Koppenjan, Ringeling & te Velde, 1987). Consequently, the incompatibility conjecture focuses on the way governance networks interfere with the principles of the primacy of politics and the political accountability of ministers and other elected, executive officeholders.

#### The Complementarity Conjecture

The second conjecture suggests that governance networks engage more actors in the policy process than the institutions of representative democracy. As such it can be seen as a means to enhance the level of citizen participation in representative democracy. This kind of stakeholder involvement is viewed as valuable due to a new complexity of the problems that modern governance processes address (Rhodes, 1988; Pierre & Peters, 2000). There are two types of complexity. One is a function of the issues facing governments such as environmental problems, security and labour market issues (Koppenjan & Klijn, 2004). In the other, new policy agendas are superimposed on the earlier cleavages in society around which constitutional arrangement in advanced liberal states were designed (Lijphart, 1999). Among these new cleavages we find religion, ethnicity, cultural orientation, and sexuality. All tend to challenge the notions of shared citizenship that is the cornerstone in the legitimacy basis of representative democracy.

Governance networks are viewed as a valuable flexible institutional design to mediate the relationship of representative democracy with citizens and other parties. Hence, governance networks provides quasi-governmental institutions within which different groups can take direct part in decision making processes that directly affect them in close collaboration with public actors. By doing so governance networks are said to contribute to democracy in several ways (Fung & Wright, 2001; Papadopoulos, 2000). First, the creation of new institutions offers greater opportunities for participation in all phases of the policy process. Thereby they help to raise the level of public engagement, as well as the level of information that governments have about the citizens' actual needs and preferences. Second, governance networks helps to build social capital and political efficacy government (McLaverty, 2002). The complementary view thus sees governance networks as a valuable addition to representative democracy.

#### The Transitional Conjecture

This conjecture proposes a general transformation of the governance processes in Western democracies that affects the functioning of representative democracy in fundamental ways. Governance processes increasingly takes the form of complicated negotiation processes where public policy problems are ill-defined, require novel solutions, affect many values, and draw on knowledge that is dispersed (Koppenjan & Klijn, 2004). Theories of deliberative democracy are seen as a central contribution to develop a new interactive form of democracy that rely on the active involvement of citizens and other societal actors in order to obtain legitimacy (Papadopoulos, 2003). The current transition phase inevitably produces tensions between old and new forms of democratic governance. This tension emerges among other things as a tension between representative democracy and governance networks (Klijn & Koppenjan, 2000). Elected politicians fear that interactive decision-making threatens their primacy as decision-makers while governance.

The transitional conjecture argues that this tension can be reduced through a reformulation of the roles of elected politicians from being sovereign rulers to becoming meta-governors that frame self-governing processes in a way that gives an overall direction and promotes the democratic quality of self-governing processes (Klijn & Koppenjan, 2000; Sørensen, 2006). From the transition perspective democracy becomes more a societal model than a representational model. Democracy becomes a process of deliberation that has to be organised and guided carefully to enhance its open character, and supported by multiple forms of accountability. In the transitional conjecture, democracy is a design task to be implemented in real life practice of governance networks. It is both a high ideal but also a pragmatic task.

#### The Instrumental Conjecture

The instrumental conjecture views governance networks as a medium through which powerful governmental actors can increase their capacity to shape and deliver public policy in a complex world. Governance networks provide an instrument to structure the inputs to and outcomes from the policy process so that their alignment with dominant agendas is increased. Theoretically, the instrumental approach can be located either in a notion of local elite strategies or the wider debate about changing forms of social regulation in a neo-liberal context. In either case, the instrumental perspective starts from the premise that the interests of governmental actors are relatively immutable and exist prior to any wider engagement with stakeholders. Governance networks provide a means of reinforcing these dominant interests (through the input structure) and realising them (through the output structure). In contrast, both the complementary and transitional approaches assume that interests are transitive, being refined and redefined through dialogue and deliberation between elected politicians and their officials on the one hand, and the various publics on the other.

In terms of this conjecture, governance networks offer a means for elected politicians to realise their policy platforms through the application of governance networks in an instrumental fashion. For example, the use of such networks to promote vertical linkages that cut across multiple tiers of government can enhance local delivery of national policy intent. Governance networks provide resources to enable government to extend and reproduce its policy agenda into a new arena, and enhance the possibilities of realising its broader goals (Le Galès, 2001). In this conjecture accountability is secured by the strong involvement of political office holders who remain responsible. Other accountability measures (such as performance management) are designed to support the accountability of the central political stakeholder.

#### The power of elected politicians

The four conjectures each provide their own understanding of the relationship between governance networks and elected politicians. With regard to the incompatibility and instrumental conjectures, they both assume that power is associated with the authority of elected politicians who make strategic decisions that entail a cascade of lower-order decisions for other actors in the network. This is either because elected politicians are part of representative institutions that aggregate the will of the citizens (as is argued by the incompatibility conjecture), or because elected politicians are endowed with qualities that enable them legitimately to act as principals shaping the incentive structures in governance networks (as is argued by the instrumental conjecture). The remaining two conjectures portend different views regarding the power of elected politicians. The complementarity conjecture considers that, while ultimate decision authority remains with elected politicians, governance networks facilitate the sharing of this authority with various societal groups who, in turn, provide elected politicians with support, knowledge and implementation capacity. This exchange between elected politicians and societal groups is seen as a basis for the enhancement of civic engagement and of pluralism in policy-making. Finally, the transitional conjecture emphasises that actors in governance networks strongly depend on each other, and that this situation strengthens veto powers rather than power to achieve positive goals. Thus, elected politicians are certainly not the only powerful actor in governance networks, and not necessarily the most powerful ones. Their power is dissolved and relocated from the institutions of representative democracy to the governance network itself.

#### DEMOCRATIC MILIEU AS AN EXPRESSION OF CONTEXT

The four conjectures are useful in sharpening theoretical thinking and in guiding empirical research into the relationship between governance networks and representative democracy. However, it is obvious that they are largely insensitive to contextual elements that may influence the relationship between governance networks and representative democracy and thus the likeliness of the various conjectures to occur in different settings.

#### Democratic milieus and the four conjectures

There is a long strand of research in comparative political sociology to show that the relationship between the state and civil society varies across national borders (Badie & Birnbaum, 1994). Any cross-national examination of the relationship between societal groups and elected politicians must therefore be able to single out the influence that the nature of the national context might have on this relationship. We capture this contextual influence via the notion of 'democratic milieu', which points to the collectively shared meanings and practices attributed by the involved actors to the features of the organisation or institution with which they are associated. This captures something of the legacy of historically rooted institutions located in a specific spatial and/or policy setting, as well as the possibilities emergent in new practices (Farrelly & Skelcher, forthcoming). In addition, it concerns the ways in which their organisation or institution relates to and is conceived to interact with other organisations and institutions that make up the national polity. Therefore, cross-national variations of democratic milieu need to account for differences regarding the interactions between these two actor categories and their respective organisations or institutions.

Liphart's (1999) distinction between consensus and majoritarian patterns of democracy enables the operationalization of cross-national variations of the democratic milieu. Lijphart argues that democracies should be distinguished by looking at variables that tend, on the one hand, to favor majoritarian decision-making thanks to concentration of power, or, on the other hand, require consensual decision-making due to power being shared between a plurality of different actors. The relevance of Lijphart's distinction for the question under scrutiny here is clear: in majoritarian democracies, power is concentrated within representative institutions hence lies with elected politicians - while in consensus democracies, power is more dispersed not only within representative institutions, but also between representative institutions and societal actors such as interest groups. With respect to the relationships between governance networks and representative institutions, we can expect that, in majoritarian democracies, the (traditionally strong) power of elected politicians is more at stake than in consensual democracies where one is used to share power between elected politicians and other societal actors. In other words, we can assume that the above described incompatibility and instrumental conjectures are more likely to be found in majoritarian democracies, while the complementarity and transitional conjectures are more likely for consensual democracies.

Further, we argue that the level of social capital is a crucial characteristic of the democratic milieu. Putnam (1993) argues that the nature of the civic community is important to democracy in the sense that strong engagement of citizens in secondary associations - i.e. organisations outside state institutions - tends to foster values and behaviour that are crucial to making democracy work, namely political equality, solidarity, trust and tolerance. So the general strength of the associational nexus in a given society can also be assumed to shape relationships between governance networks (as they involve non-state actors) and representative institutions. A context of strong associationalism confers governance networks an independent power base that enables them to resist control and direction from representative institutions. Instead, a context characterised by associational weakness puts representative institutions into advantage. Hence, we propose that in a democratic milieu characterised by strong associationalism governance networks will more likely be 'at eye level' with representative institutions, with whom they can either be in conflict (incompatibility conjecture) or negotiate effectively to transform decision-making and redefine democratic practice (transitional conjecture). On the other hand, in a context of weak associationalism it will be easier for representative institutions to dominate governance networks (instrumental conjecture) or steer them in a way to engage civil society more fully in public policy making (complementarity conjecture). Taken together, these considerations

suggest that four types of democratic milieus should be distinguished, in which the four conjectures are more or less likely to prevail (Table 2).

---- Table 2 about here -----

#### Four countries and their democratic milieu

From the above considerations, clear hypotheses can be formulated regarding contextual influences on the relationship between governance networks and representative institutions in the four countries under scrutiny here, the United Kingdom, Switzerland, Denmark and the Netherlands. These four countries were selected because they provided sufficient variety to enable comparison within the model set out above, and in each the authors had been investigating these issues in their own nationally-oriented and comparative research projects.

Regarding patterns of democracy, Lijphart argues that the United Kingdom and its Westminster model is a good example of a majoritarian democracy, while Switzerland lies at the opposite pole as a typical consensus democracy. Denmark can be classified as a consensual democracy with a distinctively Scandinavian culture of consensus and structures for conciliation (1999: 250). The Netherlands is more difficult to position. According to Lijphart it has gradually moved away from the consensual style since the 1970s (1999: 256), which is all the more remarkable as most other countries in continental Europe (including Denmark) have moved in the opposite direction in the same period. But other authors argue that the dominant style is still very consensual and oriented towards negotiation (Hendriks & Toonen, 2000). It is also clear that the Netherlands, with its proportional representation, coalition governments and tradition of consensus is a far less strong example of a majoritarian democracy than the UK. However we still regard the Netherlands as more majoritan than Denmark. This classification is corroborated by a recent analysis that also found Denmark to be more consensual than the Netherlands (Vatter & Bernauer, 2009: 352).

In order to measure the strength of associationalism in these four countries, we follow the approach used by recent cross-national studies on membership in voluntary organisations (Dekker & van den Broek, 2005; Schofer & Fourcade-Gourinchas, 2001). We use item B13-19 in the European Social Survey 2006, in which respondents were asked whether, in the last 12 months, they had worked in an organisation or association that was neither a political party nor an action group, in order to determine the average national ratio of active membership in voluntary associations. For all the 26 countries covered in the 2006 European Social Survey, 14% of respondents declared active involvement in voluntary associations in the twelve months prior to interview. While the results for the United Kingdom (9%) and Switzerland (13%) are below this overall average, the results for Denmark (25%) and the Netherlands (24%) are clearly above. The four countries under scrutiny can hence be considered to present four distinct democratic milieus (Table 3).

---- Table 3 about here -----

#### THE FOUR COUNTRIES COMPARED

The empirical analysis in this paper uses an 'expert informant' approach. Each country case is written by a scholar with extensive experience of the literature on and research into governance networks and democracy in each country. The brief was to write a case study that

used the available evidence to discuss: the origins of governance networks; how they relate to the democratic milieu; the role of elected politicians in networks; and a conclusion that relates back to the four conjectures. Given space constraints, the sections are inevitably brief and are intended to provide an overview of the key features of what are quite complex processes in each country. References offer additional evidence, and access to the domestic debates regarding the evolution and relationship between governance networks and representative democracy.

#### United Kingdom

#### Origins of governance networks

The significance of governance networks in the United Kingdom (UK) was identified by Marsh and Rhodes (1992) and their collaborators in studies of the structured incorporation of business, labor and other special interest groups in national level policy formulation. The period of Conservative government in the 1980s and 1990s dislocated these embedded patterns of relationships, but also began a process of building local-level collaboration in policy implementation in which business and citizen interests were given greater weight relative to local government through their formal incorporation into new institutions of governance (Skelcher, 2004).

Since the late 1990s, there has been a substantial increase in 'stakeholder' engagement with government at (and more recently between) all levels of government. Newman (2001) locates the momentum for governance networks within the discourse of 'modernisation', a New Labour project to recast political, economic, social, and cultural relations in UK society. A broad 'pro-modernisation' coalition promotes the widespread use of governance networks. This reflects congruence between the interests of national government in promoting collaborative and inclusive policy making, managers who see advantages in terms of their increased authority and discretion, and political actors who recognise the opportunities of finding new ways of engaging with local communities (Stoker, 2004; Sullivan, 2004).

Governance networks are often consolidated into a 'partnership' - a board or committee that forms the node in each wider network (Lowndes & Skelcher, 1998). Partnerships cover a wide range of public policy issues, including urban regeneration, crime reduction, health improvement, environmental sustainability, and supporting asylum seekers and refugees. The proliferation of governance networks across the public policy landscape has led to complaints from civil society and business who have experienced considerable difficulties in mustering the capacity to respond to all of the potential 'partnership' opportunities (Office of the Deputy Prime Minister, 2006; Sullivan, 2008). Despite this, policy makers' appetite for governance networks has not abated, with increasing attention being paid to developing strategic level networks whose role is to determine and deliver local policy outcomes via formalised agreements with national government.

#### The relationship to the English democratic milieu

The United Kingdom fits neatly into Lijphart's (1999) description of a majoritarian system. It is a unitary state where power remains concentrated in the hands of centre affording national representative institutions significant influence. This pattern has repeated itself in the devolved administrations in Scotland and Wales from 1999. Importantly the constitutional status of local government is not safeguarded and there is a long tradition of central

government intervention to reshape the governance of local affairs (Stewart, 2000). In this context the development of governance networks can be understood within the terms of the instrumental conjecture with local governance networks providing a key route for the delivery of national policy objectives.

Governance networks or partnerships seldom require national legislation, and are formed with little public debate. A powerful incentive to their creation has been the creation by national government of special funds, accessible only by partnerships of public, business and civil society actors. National policy also emphasises the engagement of stakeholders in governance networks, including special funding for the 'capacity building' of community representatives. The need for this kind of intervention could be attributed to the relatively weak associationalism in the UK, although its impact has been variable (Taylor, 2003). In keeping with the instrumental conjecture governance networks in the UK have traditional been subject to minimal constitutional safeguards (Skelcher, Mathur & Smith, 2005). The strongest forms of accountability are to higher levels of government, for public funding and the delivery of performance targets. But in contrast, general democratic oversight is limited.

However, the UK case also provides evidence to support the complementary conjecture. Stakeholder engagement is based on a view of society segmented into groups organised around different interests, and where democracy consists of these interests being directly represented in public policy making rather than aggregated and mediated by elected politicians. Such stakeholders are understood to be structured in terms of business, non-profit, and community sectors, the latter being further divisible on locational, ethnicity, identity, faith, and other grounds. However the ability of governance networks to deliver improved engagement is limited. Problems arise from the way 'publics' are constituted by the state for inclusion in governance networks (Barnes, Newman, Knops, & Sullivan, 2003; Taylor, 2003) and from the processes of incorporation that can result when citizen actors come into contact with state led institutions (Lowndes & Sullivan, 2004).

#### The power of elected politicians in governance networks

Research evidence records widespread disconnection between local politicians and local partnerships (Geddes, 2006; Office of the Deputy Prime Minister, 2006). A strong managerialist discourse locates partnerships as part of an implementation structure rather than as policy-making bodies (Skelcher, Mathur & Smith, 2005). Consequently elected politicians are framed as higher-order decision-makers (meta-governors) in relation to lower-order partnerships, in keeping with the features of the instrumental conjecture. The resulting structural gap enables public managers to exercise considerable discretion, including over the design of the institution, its forms of democratic anchorage and the definition of the publics to be included (Barnes, Newman, Knops, & Sullivan, 2003). In practice this metagoverning role was quite weak until the mid 2000s when a national reappraisal of the role of elected local politicians resulted in national policy endorsement of their role as 'strategic leaders' of local partnerships (e.g. Communities and Local Government, 2006; Sullivan, 2008). This has generated mixed reactions from other 'stakeholders', many of whom acknowledge the 'primus inter pares' role of local government 'dominance'.

#### Conclusion

The predominant aspects of the democratic milieu that this case illustrates are those of the informal constitution that can be changed on a pragmatic basis. The United Kingdom is not a constitutional polity. The institutions of governance are not designed with reference to universal democratic principles. In a unitary state, where local institutions are not protected by a constitution, this means that there is considerable scope for national government to change and adapt as it sees fit. Hence the instrumental conjecture predominates in our analysis.

But alongside this is also the complementary conjecture. There is clear evidence of the opening-up of local decision-making to a wider spread of actors, even if there are difficulties in this process. The combination of instrumental and complementary is not accidental. Modernisation as a political strategy requires the reshaping of local governmental institutions to affect both enhanced delivery of public policy and greater legitimacy from stakeholders. The resultant governance networks, and their partnership nodes, provide the constitutional flexibility to enable both the managerialisation necessary for the policy delivery and participation necessary for legitimation. This happens under the broad oversight of representative democratic institutions at local level, expressed through their managers, and with limited direct involvement by elected local politicians.

#### Switzerland

#### Origins of governance networks

The Swiss state is generally considered as the prototype of a weak state (Badie and Birnbaum, 1994). As a corollary, governance networks have always played an important role in policymaking, both with respect to formulation and implementation. At the national level, corporatist delegation of state authority to private interest governments (PIG) (Streeck & Schmitter, 1985) is a long-standing feature in many policy fields, ranging from the regulation of vocational education to various aspects of agricultural policy. At the sub-national level, and especially in social policy, there is an equally long-standing tradition of subsidiarity, featuring the autonomous delivery of public services by non-profit-organisations subsidised by the state (Bütschi & Cattachin, 1993).

These traditions have strongly evolved since the 1980s, in the wake of a neo-liberal reform agenda. PIGs have been dismantled in many sectors, transforming corporatist entrenchments into more pluralist patterns of policy making (see Mach, 2007; Wagemann, 2005), while public private partnerships have emerged as a core element for the delivery of public services (Schedler, 2000). Consequently, state authorities at both national and sub-national levels have taken a more proactive role in their collaboration with non-profit sector organisations (Bütschi & Cattacin, 1993). This has strengthened the role of state agencies in governance networks, vis-à-vis business or civil society associations.

#### The relationship to the Swiss democratic milieu

Switzerland has a consensus democracy working in a culturally heterogeneous and fractionalised society. Additionally, the Swiss polity is characterised by extensive direct democracy, which has brought about additional mechanisms aiming to integrate and pacify potential opposition (Neidhart, 1970). As a consequence, policy formulation at all state levels is characterised by negotiation and the search for compromise among a multitude of societal groups both outside and within representative institutions, before final decisions are taken in

popular votes (see Sciarini, 2007). This is a favourable climate to governance networks (see Kriesi, 1995). The role of governance networks is thus best understood within the complementarity conjecture, supporting existing arrangements by extending consociational relationships into new policy fields outside the traditional realms of political negotiation.

The second element of the Swiss democratic milieu, namely weak associationalism, has also shaped governance networks. Faced with low degrees of civil society organisation in new policy issues, state agencies have resorted to what Bütschi and Cattacin (1993) have termed "reflexive subsidiarity", i.e. when the state (financially) supports the setting up of voluntary associations whom it then invites to join governance networks and play a substantial role in the implementation of state policies. This strongly echoes the instrumental conjecture where governance networks are seen a resource to powerful state actors. However, closer analysis shows that even though voluntary associations are state-creatures in origin, their involvement in governance networks enables them to gain sufficient momentum and autonomy to resist state interventions and they have even been able to triumph over attempts to weaken them (Neuenschwander, 2005).

#### The power of elected politicians in governance networks

Governance networks in Switzerland come in many different forms, and there are no overall rules on how actors that participate relate to each other. Transparency of governance networks is generally poor. Unlike representative institutions, there is no statutory right for public scrutiny with respect to decision making bodies of governance networks. Case studies of drugs policy, public transport, cultural policy and water provision have shown that lines of accountability are blurred in governance networks (Kübler & Schwab, 2007). The budgetary process seems to provide the main link for connecting governance networks to both elected politicians in representative institutions, as well as the electorate through direct democratic instruments (Wälti, Kübler, & Papadopoulos, 2004). Legal procedures for budget or credit approval generally stipulate a cascade of decisions by different bodies (the executive, parliament, electorate) according to the amount that is involved. Since the delivery of public policies by governance networks generally involves a transfer of public funds, the procedures for budget approval provide the main link to the democratic sphere.

These findings are in line with the dominant discourse about the role of elected politicians in public policy-making. The wave of public management reform that has swept over Switzerland in the 1990s has (re)defined the role of elected politicians. Echoing Osborne and Gaebler's (1993) famous distinction between "steering and rowing", one of the characteristic features of New Public Management, Swiss style, consists in separating strategic aspects of decision making from operational aspects of administrative execution (Germann, 1999; Schedler, 2000). This redefines the role of the elected politicians, be they national or local: whereas operational choices are left to the discretion of the bureaucracy (or governance networks), strategic choices are the privilege of elected politicians who are thereby transformed into meta-governors.

However, the accuracy of this view has been heavily questioned in the Swiss context. On a conceptual level, Germann (1999) has refuted it as a revival of an outdated dogma from the American Progressive Era according to which politics should be clearly separated from administration. Given that "the mixing up of politics and administration has a long secular tradition and is deeply anchored in Swiss institutions of direct democracy" (Germann 1999: 209), this redefined role of elected politicians seems all the more inadequate. In a similar line,

others have argued that separating strategic from operational decision making weakens representative institutions and will, ultimately, result in re-politicising of implementation processes (see particularly Knoepfel, 1996). Recent empirical research (Widmer & Rüegg, 2005; Widmer & Rieder, 2007) has shown that, indeed, elected politicians in Switzerland do not at all confine themselves to the role of meta-governors. Rather, they do not hesitate to behave as micro-governors by interfering in administrative processes. The public bureaucracy is often subject to scrutiny by elected politicians, but also governance networks that perform functions in the wake of particular policy programmes. In the social policy field, Wälti, Kübler, and Papadopoulos (2004: 106) found "little evidence that governance [networks] tend to uncouple political issues from the traditional arenas of democratic legitimization and from public debate". A reduced influence of elected politicians in governance networks has thus not been diagnosed in Switzerland. This situation is best understood within the complementarity conjecture, emphasising that ultimate decision authority remains with elected politicians.

#### Conclusion

The context of negotiation democracy in a heterogeneous and fragmented society has provided a favourable climate to governance networks in Switzerland ever since. Governance networks appear as a functional addition to the existing consociational arrangements. Even though governance networks have become an instrument for the state to expand into new policy fields, there are strong limits to state discretion, as even weak voluntary associations have successfully resisted outright instrumentalization. In terms of democratic anchorage, governance networks in Switzerland are best described by the complementary conjecture. Indeed, legal procedures – especially the budgetary process – provide systematic linkages of governance networks to decision making in representative institutions. In addition, elected politicians have proved quite reluctant to embrace the new role of meta-governors foreseen by public management reforms. The evidence suggests that decisional authority of representative institutions has not been reduced by the proliferation of governance networks.

#### The Netherlands

#### The origins of network governance

The Netherlands has traditionally been a pillarized society (Socialist, Protestant, Catholic, Liberal), each having its own organizational structures (political parties, intermediate organizations) operating relatively separately from the others (Lijphart, 1984). Very similarly to Switzerland, decision-making in The Netherlands was based on a high degree of passivity and loyalty on the part of citizens and close contact between the elites of the political parties and third-sector (societal) associations. Thus strong elite leadership by the political leaders of the pillars was combined with strong consensualism between leaders and strong associationalism, which is densely organized social life within the pillars. Implementation was left to the societal organizations in each of the political pillars, which were closely affiliated with the political parties.

This system of decision-making lasted until the end of the 1960s. Since then the Netherlands has witnessed a strong secularization process, where the traditional pillars lost their meaning (Hendriks & Toonen, 2000) and polarization has increased. The growth of the welfare state resulted in groups of actors who specialize in particular sectors entering the decision-making

process (Koppenjan, Ringeling, & te Velde, 1987; Van den Berg & Molleman, 1975; Van Putten, 1982). This created knowledge and resources interdependencies between public, private and semi-private actors. In addition, there has been a growing need for integrated solutions for problems which surpass sectors. The result has been a more complex form of decision-making, which can be regarded as governance networks, operating at national, regional and local levels.

The increasing importance of governance networks is also shown by the growing number of interactive decision-making processes in Dutch municipalities, and occasionally at national level (see Denters, van Heffen, Huisman, & Klok, 2003; Edelenbos & Monninkhof, 2001). Here, stakeholders are invited to participate in the decision-making process in an early phase (before solutions are developed) (see Edelenbos & Klijn, 2006; Klijn & Koppenjan, 2000).

#### The relationship to the Dutch democratic milieu

The evidence about the emergence of governance networks in the Netherlands, taken over the longer term, suggests that the network character of decision-making increases as does the involvement of additional actors. This trend towards governance networks also fits the Dutch political administrative system (a decentralised unitary state) in which local governments have considerable power, but there is also regular negotiation between central and local public bodies. This points the transitional conjecture in which the already consociational democratic and political system of the Netherlands slowly converts itself to something of which we can not yet see the whole contour, but could be considered a network democracy.

However, there are trends which do not fit in this picture and point at the incompatibility conjecture. These include the strong call for leadership by citizens and discussion of ways to curtail the involvement of external actors (and especially their legal rights) so that decision-making on complex issues can be speeded up. These suggest a tension between the rules and requirements of governance networks, which focus on mutual interaction, negotiation and the development of shared commitment by actors, and the more vertical accountability structure of representational democracy (Klijn, 2008). This also fits in the trend of the last 10 years in which public confidence in political parties and political leaders has diminished and social discontent has risen (Dekker, van der Meer, Schyns, & Steenvorden, 2009).

The large number of actors involved in governance networks reduces their transparency. However different accountability mechanisms are developed before or during decisionmaking processes, and democratic legitimacy is achieved in various ways including public hearings, collective agreements on processes, and normal democratic procedures.

#### Governance networks and elected politicians

In the formal sense representative political institutions still hold considerable power since most decisions sooner or later have to pass these institutions and elected city officials usually have strong positions in the networks. However this view of a complementarity conjecture is challenged by research which shows that the authority of representational bodies is weaker than their formal position suggests (Edelenbos, 2000; Edelenbos & Klijn, 2006; Edelenbos & Monninkhof, 2001). Although political bodies are involved in designing the rules for interactive decision making, they are frequently absent from the process itself. Additionally research on environmental projects shows that there is no correlation between the involvement of political bodies or political parties and project outcomes, but there is a

relatively strong correlation between the involvement of stakeholders and positive outcomes (Edelenbos, Steijn, & Klijn, forthcoming). This suggests that stakeholders do significantly contribute to outcomes in governance networks, and so it could be argued that, for them, networks are understood within the instrumental conjecture. This leads to a 'displacement of politics' in which important decision are framed and taken at places other than in the institutions of representative government. The stronger duality installed at the turn of the century in Dutch politics which led city and provincial councils concentrating more on a controlling task may have contributed to a less prominent role of elected bodies and a more prominent role of individual elected officials.

#### Conclusions

Superficially the emergence of governance networks seems to fit in the typical Dutch consociationalism style of policy making in which actors negotiate with each other. However they do give political organisations and representative government a less prominent position in the whole process, despite the fact that their formal position is still strong. In that sense the transitional conjecture seems a reasonable model to describe and explain the developments. There are also, however, signs which point at tensions between governance networks and representative democracy, especially as the traditional pillarized model has reduced in significance. It is as yet unclear whether this can be explained as a sign that the transition is not always smooth, or more fundamentally reflects the incompatibility conjecture.

#### Denmark

#### Origins of governance networks

Denmark has a long history of a very strong state and a very strong civil society (Knudsen, 1991), and governance networks have served as a means to bridge these sectors, resolve conflicts, and enhance cooperation and coordination through the shaping of negotiated agreements. In policy areas such as labour market policy and agriculture a strong corporatist tradition for networking between the state and the relevant interest organizations has prevailed, and within policy areas such as education, social services, culture and sports there are an even longer tradition for negotiated network cooperation between public actors and a broad variety of voluntary organisations (Bogason, 1990; 2000). However, from the beginning of the 1980s the systematic use of governance networks has spread into new policy areas such as business, tourism, regional development, environment, health and education, and they have become more institutionalized and legitimized (Bogason, 2001; Sørensen, 2006).

Although governance networks have a central place at the national and at local levels, the role that they play varies. National networks are predominantly engaged in policy making while local networks focus on policy implementation. However, since Denmark has a constitutionally ensured local level of government with a considerable degree of political competence and autonomy, local governance networks are also in many instances engaged in local policy making. National and local governance networks take many different forms. Some are relatively informal while others are formal; some networks are open and inclusive while others are closed and exclusive; some are initiated from below while others are not.

One driving force behind the growth in governance networks is a positive view of their value among leading politicians and public administrators, notably the Ministry of Finance and the Association of Danish Municipalities (Sørensen, 2006; Christiansen & Nørgaard, 2003). They see the involvement of stakeholders in public governance as a means provide more informed decisions, promote efficient implementation by reducing stakeholder resistance, and increase governance legitimacy by improving the responsiveness of the political system vis-à-vis central stakeholders.

#### The relationship to the democratic milieu in Denmark

Denmark is not only characterized by a strong state and a strong civil society – it is also characterized by a strong national and local representative democracy and a strong participatory democracy. Seen in this context governance networks can be understood within the complementary conjecture as a way of linking national and local levels of representative democracy with various forms of democratic participation. This complementary view is expressed by a growing number of national politicians (Christiansen & Nørgaard, 2003), and citizens (Andersen, Torpe & Andersen 2004) and in the concluding report of the Danish National Study of Power and Democracy (Togeby, Anderson, Christiansen, Jørgensen, & Vallgårda, 2003).

Networks that are initiated and regulated by public authorities can also to some extent be understood within the instrumental conjecture as a new and more efficient means to implement public policy. However, it seems to be generally accepted that in order to be efficient governance networks need a considerable amount of autonomy and a considerable ability to affect the outcome of the processes of public governance in which they are involved. For that reason governance networks are not seen as neutral instruments for implementing public policies, but as active co-producers of public policy that has a direct effect on the policy outcome. In other words governance networks tend to be seen both as a form of governance and as a form of policy making that needs to be regulated democratically. Accordingly, one of the central debates in the Danish context concerns how representative democracy and democratic network participation are to complement each other.

The transparency and accountability of Danish governance networks vary considerably. Formalized governance networks tend to be more transparent and accountable than informal networks. This is among other things due to the fact that formal networks are often metagoverned by public authorities in a way that contributes to ensuring some level of openness and broad inclusion in their constitution, and some degree of publicity and public attention. Informal governance networks are often less visible to the larger public and thus more difficult for public authorities and the larger public to hold to account. However, experience shows that deliberate efforts to metagovern informal governance networks, can increase their transparency and accountability (Sørensen, 2007).

One of the major barriers for increasing the transparency and accountability of governance networks, however, is the narrow perception of the media on where and how politics is performed. The narrow media focus on the traditional political institutions of representative democracy means that they show little interest in the political role of governance networks. The effect is a low level of publicity and hence a limited transparency and accountability of formal as well as informal governance networks.

#### Governance networks and elected politicians

When focussing on the role of elected politicians, the relationship between governance networks and representative democracy is best understood within the transitional conjecture as the increased institutionalization of the role of governance networks it goes hand in hand with a gradual transformation of the role of elected politicians. Hence, the role of national as well as municipal politicians has gradually been redefined from that of being sovereign decision makers to being meta-governors who govern through the framing of different forms of self-governance, network governance being one of them (Berg, 2000; Sørensen, 2003). This new image of what it means to be a politician, which is among other things promoted by the New Public Management doctrine, points out that politicians should not get involved in details and concrete governance affairs. Rather, they should concentrate on defining the overall political and budgetary goals that self-governing actors should pursue. The power of this new ideal typical image of what it means to be a good politician is illuminated by the fact that there are strong criticisms of politicians for being too occupied by detail and concrete governance issues. In the Danish context the current efforts to reformulate the role of national and local politicians has led to a considerable reduction in their influence on public policy processes. This is due to the fact that the character and amount of the resources that Danish politicians have access to in their effort to exercise metagovernance are limited, leaving considerable space for the public administrators (Sørensen, 2006).

#### Conclusion

The strong tradition for close cooperation between public authorities and civil society, and the presence of strong constitutionally ensured local political institutions has led to the formation of a plurality of national and local governance networks that function as a supplement to the national and local institutions of representative democracy. However, the recent growth in the number and importance of governance networks has to an increasing extent transformed the institutions of representative democracy through a gradual reinterpretation of the role of public authorities from that of being sovereign decision makers into being metagovernors that govern at a distance and leaves considerable autonomy to self-regulating governance networks and institutions. Seen from a transitional perspective on democracy, the development of a new role to politicians is promising but it is problematic that Danish politicians seem to end up playing a marginal role in the metagovernance of governance networks and that the transparency and accountability of many governance networks tend to be relatively low.

#### CONCLUSION

Our analysis of the democratic anchorage of governance networks in four European countries has confirmed that there is a substantial cross-national variation in the relationship between governance networks and representative institutions (see also Table 4). In the United Kingdom governance networks are used by the central state for its own purposes, in order to deliver public policy locally with only marginal reference to elected representatives. In Switzerland, although governance networks operate in the shadow of consociationalism and largely outside public scrutiny, elected officials do have important influence over these networks through oversight of budgetary processes. In the Netherlands governance networks have evolved over time to become an increasingly important element of pluralist policy making and implementation, but so far retaining a clear link to democratic authorities for purposes of accountability. In Denmark governance networks have become institutionalised as co-producers of policy and services, and there is a productive relationship between representative and participatory democracy.

The case studies also highlight the importance of the varying national context in shaping the democratic anchorage of governance networks. The focus on two elements of democratic milieu - namely patterns of democracy and the strength of associationalism - allows us to establish the degree of cross-national variation more systematically. First, it seems that the relationship of governance networks to representative institutions is strongly shaped by what Liphart calls patterns of democracy. There are striking parallels between Switzerland and the Netherlands, both countries that are traditionally characterised by consociationalism. Power sharing is widespread and governance networks have been worked into the traditional views and practices of cooperative policy making between the state, corporate interests and civil society actors. A similar situation is found in Denmark, where governance networks are rooted in a long-standing means of enhancing state civil-society cooperation. In these three countries, governance networks are not considered incompatible with pre-existing patterns of decision-making and policy delivery. Governance networks are nothing new in this respect; they simply extend pre-existing practices into new areas and blend in nicely with existing patterns of democracy. The United Kingdom presents a totally different picture. Here, the emergence of governance networks is a result of a top-down modernisation agenda of the national government, soon supported by a convergence of interest at the sub-national level (involving local politicians, public officials, business, community and nonprofits). In contrast to the three other countries, governance networks are something new; they disrupt the usual pattern of decision-making, centred on power concentration at the level of (national) representative institutions.

Second, the relationship between governance networks and representative institutions also appears to be shaped by the vibrancy of the associational nexus that also varies across countries. There are again, interesting similarities and differences. Striking is the case of the United Kingdom, where weak associationalism has resulted in a limited ability of governance networks to resist instrumentalization by the governmental modernisation agenda. Attempts to instrumentalization of governance networks were also discernible in Switzerland, equally characterised by weak associationalism. In both cases government provided funds for capacity building, i.e. to help create non-governmental actors from scratch, in order to associate them into governance networks. The case studies suggest that instrumentalization succeeded in the UK, but not in Switzerland. While less discernible in the Netherlands or in Denmark, attempts at instrumentalization have not succeeded there either. But while in Switzerland, successful resistance of governance networks to instrumentalization is basically due to the weakness of governmental actors, in the Netherlands and Denmark, this can be attributed to the strength of the civil society.

Taken together, these two contextual factors interact to produce peculiar relationships between governance networks and representative institutions. In the United Kingdom, the instrumental conjecture prevails. Although democratic oversight is limited, the power of elected politicians does not seem to be very much at stake, thanks to power concentration at the level of (national) representative institutions that behave as higher order meta-governors and, due to associational weakness, are able to effectively instrumentalize governance networks. At the other end of the spectrum, governance networks in Switzerland appear as just another locus of consociational power sharing. This is due to the weakness of representative institutions - in the wider context of consensual patterns of decision-making and the weakness of governance networks due to weak associationalism. This explains why the complementarity conjecture prevails. In the two other cases the Netherlands, and Denmark, governance networks play an important role in a more general transformation of decision-making patterns. In both countries, strong associationalism can be seen as a major driver for this transformation. In the Netherlands, strong governance networks in the context of a negotiating state facilitated tendencies towards pluralism and helped to break up corporatist decision-making. In Denmark, the growing importance of governance networks, and the involvement of civil-society actors into policy-making, relates to a more general process of transforming Danish democracy altogether. In both countries, the transitional conjecture prevails, as governance networks were strong enough to transform decisionmaking and redefine democratic practice.

These results from the four country studies also allow to conclude on the accuracy of the hypotheses formulated in the theoretical section above, regarding the likeliness of the four conjectures to occur in different democratic milieus. More precisely, we find that in a context of weak associationalism, the instrumental conjecture is likely to prevail in majoritarian democracies (United Kingdom) and the complementary conjecture in consensual democracies (Switzerland). In a context of strong associationalism (Denmark and the Netherlands) governance networks contribute to redefining and transforming democratic decision-making and thus make the transitional conjecture more likely. In the cases under scrutiny here, the prevailing transitional conjecture certainly has to do with the background of the consensual patterns of power sharing that is present in both countries. Hence, we are as yet unable to assess whether, in a majoritarian democracy characterised by strong associationalism, the incompatibility conjecture is more likely than any other. Nevertheless, the analysis of the Netherlands can be viewed a case in point - more majoritarian than Denmark - as the case study suggests that here, the incompatibility conjecture, though not prevailing, is present to some extent, as people call for stronger leadership by democratically elected politicians.

This four country analysis has also surfaced the dynamic nature of the context or democratic milieu in which governance networks operate. This has implications for the relationship between governance networks and democratic anchorage as indicated by the conjectural possibilities associated with the current and future conditions identified for each of our cases. Interestingly the case studies reveal how countries operating in very different political contexts (United Kingdom and Switzerland) offer up the same combination of conjectures (instrumental and complementary), and how countries with rather longer experience of governance networks, but more similar political cultures (Denmark and the Netherlands), share concerns about the potential end point of the developments in governance networks, that is, the possibility of the 'transitional' position leading to 'incompatibility', if matters of democratic anchorage cannot be addressed.

The normative implication of our study is that researchers into modes of governance need to pay more attention to the contextual features of the empirical cases they are studying, and in so doing to frame their conclusions with reference to relevant features of that context. Context is often used as a residual category to explain that which otherwise cannot be explained. We have defined context in a particular way – as the democratic milieu – and thus enabled it to have more analytical purchase. Out paper shows one way in which context could be included in cross-national comparative research, but there are other possibilities drawing on the rich interpretivist tradition in the study of public policy and governance.

----- table 4 about here -----

#### REFERENCES

- Andersen, J.G., Torpe, L., & Andersen, J. (2000). *Hvad folket magter*. Copenhagen, DJOEFpublishers.
- Badie, B., & Birnbaum, P. (1994) Sociology of the state revisited. *International Social Science Journal*, 140, 153-167.
- Barnes, M., Newman, J., Knops, A., & Sullivan, H., (2003). Constituting the public for public participation. Public Administration. 81, 379-99.
- Berg, R. (2000). Den "gode" politiker. Odensen: Odense Universitetsforlag.
- Blondel, J. (2005). The central role of comparative politics in political analysis. Scandinavian Political Studies 28, 183-191.
- Bogason, P. (2000). Associative values and local government. In B. Greve (Ed.), What constitutes a good society? (pp. 168-87). London: Macmillan.
- Bogason, P. (2001). Fragmenteret forvaltning. Demokrati og netværksstyring I decentraliseret lokalstyre. Viborg: Systime.
- Bogason, P. (1990). Statsintervention i landbruget efter demokratiseringen 1849. In P. Bogason (Ed.), *Nyere tendenser i politologien – Stat og forvaltning* (pp 33-53). Copenhagen: Political Studies.
- Bütschi, D., & Cattacin, S. (1993). The third sector in Switzerland: The transformation of the subsidiarity principle. *West European Politics*, *16*, 362-79.
- Christensen, P.M., & Nørgaard, A.S. (2003). Faste forhold lose forbindelser. Stat og interesseorganisationer i Danmark i det 20. århundrede. Aarhus: Aarhus University Press.
- Communities and Local Government. (2006). *Strong and Prosperous Communities: Local Government White Paper*. London, Department of Communities and Local Government.
- Dekker, P. & van den Broek, A. (2005). Involvement in voluntary associations in North America and Western Europe: trends and correlates 1981-2000. *Journal of Civil Society*, *1*, 45-59.
- Dekker, P., van der Meer, T., Schyns, P., & Steenvorden, E. (2009). *Crisis in aantocht?* Den Haag: Sociaal en Cultureel Planbureau.
- Denters, B., van Heffen, O., Huisman, J., & Klok, P-J. (Eds). (2003). *The rise of interactive governance and quasi-markets*. Dordrecht: Kluwer Academic Press.
- Edelenbos, J. (2000). Process in shape. Utrecht: Lemma.
- Edelenbos, J., & Monnikhof, R. (Eds.). (2001). *Local interactive policy development*. Utrecht: Lemma.
- Edelenbos, J., & Klijn, E-H. (2006). Managing stakeholder involvement in decision-making: a comparative analysis of six interactive processes in The Netherlands. *Journal of Public Administration Research and Theory*, *16*, 417-446.
- Edelenbos, J., Steijn, B., & Klijn E-H. (forthcoming). Does democratic anchorage matter? *American Review of Public Administration*.
- Farrelly, M., & Skelcher, C. (forthcoming). Democratic milieu: analyzing democracy in the new governance. *Representation*.

- Fung, A., & Wright, E.O. (2001). Deepenig democracy: Innovations in empowered participatory governance. *Politics and Society*, 29, 5-41.
- Geddes, M. (2006). Partnership and the limits to local governance in England: Institutionalist analysis and neoliberalism. *International Journal of Urban and Regional Research*, 30, 76–97.
- Germann, R. E. (1999). Switzerland: emerging administrative sciences within federalism and direct democracy. In Kickert, W. J. M., & Stillman, R. J. (Eds.), *The modern state and its study* (pp. 198-213). Cheltenham, Edward Elgar.
- Heisler, M.O. (1974). Patterns of European politics: The European 'polity' model. In Heisler, M.O. (Ed.), *Politics in structure: Structures and processes in some post-industrial democracies* (pp. 27-89). New York: David McKay.
- Hendriks, F., & Toonen, T. (2000). Polder politics. Cheltenham: Edgar Elgar.
- Klijn, E-H. (2008). Governance and governance networks in Europe. An assessment of ten years of research on the theme. *Public Management Review*, *10*, 505-525.
- Klijn, E-H., & Koppenjan, J.F.M. (2000). Politicians and interactive decision-making: Institutional spoilsports or playmakers. *Public Administration* 78, 365–87.
- Klijn, E-H. & Skelcher, C. (2007). Democracy and governance networks: compatible or not? *Public Administration*, *85*, 587-608.
- Knudsen, T. (1991). Welfare administration in Denmark. Copenhagen: Political Studies.
- Knoepfel, P. (1996). Plädoyer für ein tatsächlich wirkungsorientiertes public management. Schweizerische Zeitschrift für Politikwissenschaft, 2, 151-164.
- Koppenjan, J.F.M. & Klijn, E-H. (2004). *Managing uncertainties in networks: public private* controversies. London: Routledge.
- Koppenjan, J.F.M., Ringeling, A., & te Velde, R.H.A. (Eds.). (1987). *Beleidsvorming in Nederland*. 's-Gravenhage: Vuga.
- Kriesi, H. (1995) Le système politique suisse. Paris, Economica.
- Kübler, D., & Schwab, B. (2007). New regionalism in five Swiss metropolitan areas. An assessment of inclusiveness, deliberation and democratic accountability. *European Journal of Political Research*, 46, 473-502.
- Le Galès, P. (2001). 'Urban governance and policy networks: on the boundedness of policy networks. A French case.' *Public Administration*, 79, 167-84.
- Lijphart, A. (1999) Patterns of democracy. New Haven: Yale University Press.
- Lowndes, V., & Skelcher, C. (1998). The dynamics of multi-organizational partnerships: an analysis of changing modes of governance. *Public Administration*, *76*, 313-34.
- Lowndes, V., & Sullivan, H. (2004). Like a horse and carriage or a fish on a bicycle: How well do local partnerships and public participation go together? *Local Government Studies*, *30*, 51-73.
- Mach, A. (2007). Interest organizations. In Klöti, U., Knoepfel, P., Kriesi, H., Linder, W., Papadopoulos, Y., & Sciarini, P. (Eds.), *Handbook of Swiss politics*. Zurich: NZZ Publishing.
- Marsh, D. & Rhodes, R.A.W. (Eds.). (1992). *Policy networks in British government*. Oxford: Clarendon Press.
- McLaverty, P. (Ed.). (2002). *Public participation and innovations in community governance*. Aldershot, Ashgate.
- Neidhart, L. (1970). Plebiszit und pluralitäre Demokratie. Bern: Francke.

- Neuenschwander, P. (2005). Aidspolitik in der Schweiz: Stabilität oder unterbrochenes Gleichgewicht? Zürich: PhD-thesis, Institut für Politikwissenschaft.
- Newman, J. (2001). *Modernising governance: New Labour, policy and society*. London: Sage.
- Office of the Deputy Prime Minister. (2006). *National evaluation of local strategic* partnerships: Formative evaluation and action research programme, 2002-2005. London: Office of the Deputy Prime Minister.
- Osborne, D., & Gaebler, T. (1993). *Reinventing government. How the entrepreneurial spirit is transforming the public* sector. New York: Plume.
- Papadopoulos, Y. (2000). Governance, coordination and legitimacy in public policies. International Journal of Urban and Regional Research, 24, 210-223.
- Papadopoulos, Y. (2003). Cooperative forms of governance: problems of democratic accountability in complex environments. *European Journal of Political Research*, 42, 473-501.
- Pierre, J. (Ed.). (2000). *Debating governance: Authority, steering and* democracy. Oxford: Oxford University Press.
- Pierre, J., & Peters, B.G. (2000). Governance, politics and the state. Basingstoke: Macmillan.
- Putnam, R. D. (1993). Making democracy work. Princeton, N.J.: Princeton University Press.
- Rhodes, R. A. W. (1997). Understanding governance: Policy networks, governance, reflexivity and accountability. Buckingham, Open University Press.
- Rhodes, R.A.W. (1988). *Beyond Westminster and Whitehall: The sub-central governments of Britain*. London: Unwin Hyman.
- Sciarini, P. (2007). The decision-making process. In Klöti, U., Knoepfel, P., Kriesi, H., Linder, W., Papadopoulos, Y., & Sciarini, P. (Eds.), *Handbook of Swiss Politics*. Zurich, NZZ Publishing.
- Schedler, K. (2000). New public management. Bern: Haupt.
- Schofer, E., & Fourcade-Gourinchas, M. (2001). The structural contexts of civic engagement: voluntary association membership in comparative perspective. *American Sociological Review*, 66, 806-828.
- Skelcher, C. (2004). The new governance of local communities. In Stoker, G. & Wilson, D. (Eds.), *British local government into the 21<sup>st</sup> Century* (pp. 25-42). Basingstoke: Palgrave-Macmillan.
- Skelcher, C. (2007). Democracy in collaborative spaces: Why context matters in researching governance networks. In Marcussen, M. & Torfing, J. (Eds.), *Democratic network* governance in Europe (pp. 25-46). Basingstoke: Palgrave.
- Skelcher, C., Mathur, N., & Smith, M. (2005). The public governance of collaborative spaces: Discourse, design and democracy. *Public Administration* 83, 573–96.
- Stewart, J. (2000). The nature of British local government. Basingstoke: Macmillan.
- Stoker, G. (2004). *Transforming local governance: from Thatcherism to New Labour*. Palgrave: Basingstoke.
- Streeck, W. & Schmitter, P.C. (Eds.). (1985). Private Interest Government. London: Sage.
- Sullivan, H. (2004). Community governance and local government: a shoe that fits or the emperor's new clothes? In Stoker, G. & Wilson, D. (Eds.) *British local government into the 21<sup>st</sup> Century* (pp. 182-198). Basingstoke: Palgrave.

- Sullivan, H. (2008). *The state of governance of place: Research report for CLG Local Government Meta Evaluation*. Bristol: University of the West of England.
- Van der Berg, J.T.J., & Molleman, H.A.A. (1975). *Crisis in de Nederlandse Politiek*. Den Haag: Samson.
- Van Putten, J. (Ed.). (1980). *Haagse machten; verslag van een politicologisch onderzoek* naar de totstandkoming van acht regeringsmaatregelen. 's-Gravenhage: Vuga.
- Sørensen, E. (2007). Local politicians and administrators as metagovernors. In Markussen, M. & Torfing, J. (Eds.), *Democratic Network Governance in Europe* (pp. 89-108). Basingstoke: Palgrave.
- Sørensen, E. (2006). Meta-governance: the changing role of politicians in processes of democratic governance. *The American Review of Public Administration*, *36*, 79-97.
- Sørensen, E. & Torfing, J. (2005). The democratic anchorage of governance networks. *Scandinavian Political Studies*, 28, 195-218.
- Taylor, M. (2003). Public policy in the community. Basingstoke: Palgrave.
- Togeby, L., Anderson, J.G., Christiansen, P.M., Jørgensen, T.B. & Vallgårda, S. (2003). *Magt* og demokrati I Danmark Hovedresultater fra magtudredningen. Aarhus: Aarhus University Press.
- Torfing, J. (2005). Governance network theory: towards a second generation. *European* political science, 4, 305-315.
- Vatter, A. & Bernauer, J. (2009). The missing dimension of democracy. *European Union Politics*, 10, 335-359.
- Wagemann, C. (2005). Private interest governments are dead: Long live private interest governments? Lessons from Swiss cows. *Swiss Political Science Review*, *11*, 1-25.
- Wälti, S., Kübler, D., & Papadopoulos, Y. (2004). How democratic is governance? Lessons from Swiss drug policy. *Governance*, 17, 83-113.
- Widmer, T. & Rüegg, E. (2005). Konsequenzen von Staatsreformen für die demokratische Steuerungsfähigkeit. Vergleichende Analyse zu vier Schweizer Kantonen. *Politische Vierteljahrschrift, 46*, 86-109.
- Widmer, T. & Rieder, S. (2007). Kantone im Wandel. Reformaktivitäten der Schweizer Kantone zwischen 1990 und 1999: Ursachen, Ausgestaltung und Konsequenzen. Bern: Haupt.

#### **AUTHOR DETAILS**

Erik-Hans Klijn is Professor at the Department of Public Administration at Erasmus University Rotterdam and Visiting Professor at the Institute of local Government Studies, University of Birmingham. His research and teaching activities focus on complex decision-making, branding and management in networks, institutional design, democratic legitimacy and public private partnerships mainly in the area of infrastructure and urban restructuring.

Daniel Kübler is Professor of Democracy Research and Public Governance, Institute of Political Science at the University of Zurich, Switzerland. His research focuses on urban and metropolitan governance and democracy, public administration, as well as public policy.

Chris Skelcher is Professor of Public Governance, Institute of Local Government Studies, University of Birmingham, UK. His research focuses on the way in which ideas about democracy and governance interact with the institutions and behaviours through which public policy is performed. The main focus is on third party government operating at arm's-length to elected political authority, where he is working with collaborators in Europe, the US and Australia.

Eva Sørensen is Professor in Public Administration and Democracy, Institute of Society and Globalization, Roskilde University, Denmark. She has written several books and article on issues related to governance and democracy. Theoretically her main focus has been on how democratic theory can be developed in ways that take into account the transformation of the way in which governance is performed in advanced liberal democracy. Empirically the main focus of attention has been on local forms of governance and democracy but recently her studies have included national and transnational levels of governance.

Helen Sullivan is Professor of Government and Society, University of Birmingham, UK. Her research interests include the theory and practice of collaborative governance and she is currently co-leading a Research Council funded study exploring 'Collaborative Futures'.

#### ACKNOWLEDGEMENTS

An earlier version of this paper was presented at the 2<sup>nd</sup> ASPA/EGPA Transatlantic Dialogue, Katholieke Universiteit, Leuven, Belgium, May 2006. Daniel Kübler acknowledges support by the NCCR 'Challenges to Democracy in the 21st century' at the University of Zurich. Chris Skelcher acknowledges support from the UK Economic and Social Research Council (ESRC) under Research Award RES-000-23-1295 'Democratic anchorage of governance networks in European countries'.

Conjecture	1: Incompatible	2: Complementary	3: Transitional	4: Instrumental
Characteristics				
Relationship of governance networks to representative democracy	Governance networks challenge legitimacy and decision rules of representative democratic institutions	Governance networks provide democratic institutions with additional linkages to society	Governance networks offer greater flexibility and efficiency than representative democratic institutions, they will increase as the primary mode of societal decision-making, at the expense of representative democratic institutions	Governance networks provide a means for representative democratic institutions to increase their authority in the face of societal complexity
View of democracy	Representative democracy should be the primary means of societal decision-making	Representative democracy has primacy for decisions affecting fundamental values, but for other types of decisions it can co-exist with deliberative and participative democracy introduced through governance networks	Representative democracy is being replaced by other modes of societal decision-making that reflect plural weighting of values in a diverse world	Representative democracy reasserts itself, by working through procedures that are less subject to public scrutiny and accountability, and emphasising agreement over outputs rather than inputs to the decision process
View of elected politicians	Politicians are decisive at crucial points and their electoral authority should not be undermined by introducing alternative democratic modes	Politicians try to cope with complexity by using networks to increase involvement in policy formulation, thus strengthening input legitimacy. But at the same time their electoral authority gives them a special role in the goal setting process and means that they should be the final arbiters between competing views	Politicians within a representative democratic system are unable to accommodate the complexities of the modern world; they should act as meta-governors (mediators and referees)	Politicians try to cope with complexity by using governance networks as a means to control actors and realise policy, by emphasising output legitimacy and should be more 'emphatic' to other actors
View of accountability	Primary accountability lies with the elected political officials (classical accountability)	Accountability is shared between political office holders and other actors, multiple forms of accountability are added to the classical political accountability (performance indicators, boards, etc) (shared accountability)	Accountability is in the first place achieved by checks and balances in the decision-making process, by securing the openness of decision- making and enhancing transparency of decision- making by multiple forms of accountability (constructed accountability)	Accountability is secured by the dominant role of elected politicians. Other forms of accountability (like performance indicators) are used by political official holders to control other actors and the decision-making process as a whole (instrumental accountability)
View of decision- making	Decision-making takes place in closed networks that lack sufficient steering by or accountability to representative democratic institutions	The increasing complexity of decision-making requires governance networks in order to bring relevant actors into the process; politicians should focus on the main decisions, and devolve lower level decisions to governance networks	Modern society inherently is characterised by networks and complex decision-making with interdependencies; the information revolution and globalisation create new societal complexities; institutions created in the age of democracy are no longer adequate	Decision-making is complex, but takes place under the 'shadow of hierarchy'.

#### Table 1: Four conjectures on the relationship of governance networks to democratic institutions

Source: Klijn and Skelcher (2007)

#### Table 2: Democratic milieus and the four conjectures

Associationalism	Weak	Strong	
Pattern of democracy			
Majoritarian	Instrumental conjecture	Incompatibility conjecture	
Consensual	Complementarity conjecture	Transitional conjecture	

#### Table 3: Democratic milieus of countries under scrutiny

Associationalism	Weak	Strong
Pattern of democracy		
Majoritarian	United Kingdom	
		Netherlands Denmark
Consensual	Switzerland	

#### Table 4: Governance networks and representative democracy: comparative analysis of four European countries

Nation	United Kingdom	Switzerland	Netherlands	Denmark
Governance	Governance networks creatures of Top-	Governance network blend into traditional	Gradual evolution from mid 20thC from	Governance networks longstanding means
networks in	down modernisation strategy by new	patterns of consociationalism and	pillarized decision structures to sectoral	of enhancing co-operation between strong
pattern of	national government.	subsidiarity	networks and now to governance networks	state and civil society. Increasing
democracy			and interactive decision making.	enthusiasm for networks from 1980s.
Governance	Weak associationalism puts	In spite of weak assoicationalism,	Governance networks, thanks to strong	Context of strong civil society conducive
network and	governmental actors into advantage and	governance networks successfully resist	associationalism contribute to increased	to increased citizen participation through
associationalism	allows instrumentalization of	attempts of instrumentalization.	pluralism. But tensions with recent	governance networks.
	governance networks.		tendencies to strengthen political	
			leadership and representative institutions.	
The power of	Variable and limited democratic	Democratic oversight through	Democratic oversight through	New Public Management implies changed
elected politicians	oversight. Elected politicians are	representative institutions and direct	representative institutions at the end of the	role for elected politicians to meta-
	marginal to governance networks/	democracy at the end of decision	decision process. Politicians have limited	governors and new form of representative
	partnerships. May have broad oversight	processes. Elected politicians resist meta-	though important roles in governance	democracy. Experience of meta-
	role, but key actors are public managers	governing role and continue to interfere in	networks. Most significance paid to role of	governance reveals more limited role for
	working with considerable discretion	governance networks.	public managers in securing good	elected politicians and increased role for
	but within broad political mandate.		processes for interactive decision making.	administrators.
Relevant	Instrumental conjecture offers main	Complementary conjecture offers plausible	Complementary conjecture explains	Complementary conjecture explains
conjectures	explanation both for origin of	account for the origin of governance	origins of governance networks.	origins of governance networks.
	governance networks and their	networks as well as for the relationship	Transitional conjecture explains	Relationship to representative institutions
	relationship to representative	with representative institutions.	relationship to representative institutions	suggests transitional conjecture more
	institutions.		with incompatibility conjecture a	relevant. Marginality of elected officials
			possibility if tensions between governance	causes concern in relation to future
			networks and representative democracy	democratic anchorage.
			not resolved in process of transition.	