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Exploiting Errors for Efficiency: A Survey from Circuits to Algorithms

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When a computational task tolerates a relaxation of its specification or when an algorithm tolerates the effects of noise in its execution, hardware, programming languages, and system software can trade deviations from correct behavior for lower resource usage. We present, for the first time, a synthesis of research results on computing systems that only make as many errors as their users can tolerate, from across the disciplines of computer aided design of circuits, digital system design, computer architecture, programming languages, operating systems, and information theory.

Rather than over-provisioning resources at each layer to avoid errors, it can be more efficient to exploit the masking of errors occurring at one layer which can prevent them from propagating to a higher layer. We survey tradeoffs for individual layers of computing systems from the circuit level to the operating system level and illustrate the potential benefits of end-to-end approaches using two illustrative examples. To tie together the survey, we present a consistent formalization of terminology, across the layers, which does not significantly deviate from the terminology traditionally used by research communities in their layer of focus.

Additional Key Words and Phrases: Approximate computing, error efficiency, cross-layer, end-to-end.

1 INTRODUCTION

Computing systems solve specific computational problems by transforming an algorithm's inputs to its outputs. This, as well as counteracting the effects of noise in the underlying hardware substrate [16, 93, 165], requires resources such as time, energy, or hardware real-estate. Resource efficiency is becoming an increasingly important challenge, especially due to the pervasiveness of computing systems and the diminishing returns from performance improvements of process

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technology scaling [7, 22, 134]. Computing systems are reaching the fundamental limits of the energy required for fully reliable computation [16, 113].

At the same time, many important applications have nondeterministic specifications or are robust to noise in their execution. They thus do not necessarily require fully reliable computing systems and their resource consumption can be reduced. For instance, many applications processing physical-world signals often have multiple acceptable outputs for a large part of their input domain. Furthermore, all measurements of analog signals have some amount of measurement uncertainty or noise, and digital signal representations necessarily introduce quantization noise. It is therefore impossible to perform exact computation on data resulting from real-world, physical signals.

These observations about the fundamental limits of computation and the possibility of trading correctness for resource usage have always been implicit in computing systems design dating back to the ENIAC [197], but have seen renewed interest in the last decade. This interest has focused on techniques to trade precision, accuracy, and reliability for reduced resource usage in hardware. These recent efforts harness nondeterminism and take advantage of application tolerance to coarser discretization in time or value (i.e., precision or sampling rate), to obtain significant resource savings for an acceptable reduction in accuracy and reliability. These techniques have been referred to in the research literature as *approximate computing* and include:

- Programming languages to specify computational problem and algorithm nondeterminism.
- Compilation techniques to transform specifications which expose nondeterminism or flexibility, into concrete deterministic implementations.
- Hardware architectures that can exploit nondeterminism exposed at the software layer, or which expose hardware correctness versus resource usage tradeoffs to the layers above.
- New devices and circuits to implement architectures that exploit or expose nondeterminism and correctness versus resource usage tradeoffs.

In the same way that computing systems that only use as much energy as is necessary are referred to as being *energy-efficient*, we can refer to the computing systems investigated in this survey as being *error-efficient*: they only make as many errors as their users can tolerate [185].

1.1 Related surveys

This survey explores techniques for hardware and software systems in which the system's designers or its users are willing to trade lower resource usage for increased occurrence of deviations from correctness. These deviations from correctness may occur within an individual layer of the system stack, or they may occur in the context of an end-to-end computing system. Correspondingly, techniques have been developed for all of the systems layers: for the transistor-, gate-, circuit- or microarchitecture-, architecture-, language-/runtime-, and system-/software-level. Multiple surveys of approximate computing (and related techniques) exist in the literature [10, 70, 124, 127, 163, 198]. This survey provides the first holistic overview of fundamental limits of computation in the presence of noise, probabilistic computing, stochastic computing, and voltage overscaling *across the computing system stack*. Such a holistic consideration is important in order to make these techniques useful for real systems and to enable increased resource savings. At the same time, it requires collaborations between different areas and communities with often differing terminology.

1.2 Contributions and outline

This survey presents:

- **A cross-disciplinary overview** of research on correctness versus resource usage tradeoffs spanning the hardware abstractions and disciplines of: transistors, circuits, microarchitecture and architecture, programming languages, and operating systems.

- **An overview of existing uses of quality versus resource usage tradeoffs** across application domains and **examples of two end-to-end applications** (Section 2 and Section 3).
- **Terminology** for describing resource usage versus correctness tradeoffs of computing systems that interact with the physical world that is consistent with existing widely-used terminology but which at the same time provides a coherent way to discuss these tradeoffs across domains of expertise (Section 4).
- **Detailed discussions of the state of the art** across the layers of system implementation stack from circuits, to microarchitecture and architecture, to the programming language and operating system layers of abstraction (Section 5 – Section 8).
- **A taxonomy** tying together the ideas introduced in the survey (Section 9).
- **A discussion of limits of computation in the presence of noise.** (Section 10).
- **A set of open challenges** across the layers of abstraction (Section 11).

2 EXISTING QUALITY VERSUS RESOURCE USAGE TRADEOFFS

The idea of trading quality for resources and efficiency is inherent to all computing domains. Several research communities have developed techniques to exploit tolerance of applications to noise, errors, and approximations to improve the reliability or efficiency of software and hardware systems. In the same way that there have always been attempts to make hardware and software more tolerant to faults independent of specific research on fault-tolerant computing, there has also always been a pervasive use of techniques for approximation (e.g., Taylor series expansions) independent of recent interest in approximate computing. The following highlights some of these efforts across application domains.

2.1 Scientific computing

Scientific computing can be defined as “the collection of tools, techniques, and theories required to solve on a computer mathematical models of problems in science and engineering” [65]. Most of these models are real-valued, and exact analytical solutions rarely exist or are costly to compute [44, 114]. As a result, numerical approximations and their associated quality-efficiency tradeoffs have always been important in scientific computing [52].

These numerical approximations are introduced at different levels of abstraction. Because the real-world is too complex to be represented exactly, practical considerations require resorting to models, incurring modeling errors [114]. Even with a model in hand, analytical solutions may not exist and numerical solutions are needed to approximate the exact answers [26, 46], introducing further deviations from the expected result. And finally, most models are real-valued and thus have to be approximated by finite-precision arithmetic, adding roundoff errors [77].

Roundoff errors can be bounded to some extent automatically using techniques such as interval arithmetic [92]. Dealing with most of the errors introduced by modeling, numerical approximation, and finite-precision arithmetic, is rarely automated by software tools. The state of the art in dealing with modeling and numerical errors often requires manual intervention of the programmer or domain expert and is typically on a per-application basis. Because of the resulting complexity of the error analysis, the resulting error bounds are often only asymptotic.

2.2 Embedded, digital signal processing, and multimedia systems

Many computing systems that interact with the physical world or which process data gathered from it, have high computational demands under tightly-constrained resources. These systems, which include many embedded and multi-media systems, must often process noisy inputs and must trade fidelity of their outputs for lower resource usage. Because they are designed to process data from noisy inputs, such as from sensors that convert from an analog signal into a digital representation, these applications are often designed to be resilient to errors or noise in their inputs [183].

Several pioneering research efforts investigated trading precision and accuracy for signal processing performance [7] and exploiting the tolerance of signal processing algorithms to noise [76, 164]. When the outputs of such systems are destined for human consumption (e.g., audio and video), common use cases can often tolerate some amount of noise in their I/O interfaces [178–180, 182, 184].

2.3 Computer vision, augmented reality, and virtual reality

Many applications in computer vision, augmented reality, and virtual reality are compute-intensive. As a result, many of their algorithms (e.g., stereo matching algorithms) have always been implemented with quality versus efficiency tradeoffs in mind [66, 159, 189]. The implementations of these algorithms have used techniques including fixed-point implementations of expensive floating-point numerics [115] and algorithmic approximations such as removing time-consuming backtracking steps [23] when implementing these algorithms on FPGA accelerators.

2.4 Communications and storage systems

The techniques we survey often involve computation on noisy inputs or data processing in the presence of noise in much the same way research in communication systems and information theory considers communication over a noisy channel. As one recent example of work that could be viewed as either traditional information theory and communication systems research or approximate computing, Huang *et al.* [80] present a simple yet effective coding scheme that uses a combination of a lossy source/channel coding to protect against hardware errors for iterative statistical inference.

2.5 Big data and database management systems

Approximate query processing in the context of databases and big data research leverages sampling-based techniques to trade correctness of results for faster query processing. Early work in this direction investigated sampling from databases [130, 131]. More recently, BlinkDB [2], an approximate query engine, allows users to trade accuracy for response time. BlinkDB uses static optimizations to stratify data in a way that permits dynamic sampling techniques at runtime to present results annotated with meaningful error bars. Other recent efforts include Quicr [89] and ApproxHadoop [64].

2.6 Machine learning

Machine learning techniques learn functions (or programs) from data and this data is in practice either limited or noisy. Larger datasets typically lead to more accurate trained machine learning models, but in practice training datasets must be limited due to constraints on training time. As a result, many machine learning methods must inherently grapple with the tradeoffs between efficiency and correctness of the systems.

There are several techniques that allow machine learning systems to trade accuracy for efficiency. These techniques include *random dropout* [174], which randomly removes connections within a neural network to prevent overfitting during training and to improve overall training accuracy. Techniques such as weight de-duplication and pruning [37, 72], low-intensity convolution operators [79, 82], network distillation [147], and algorithmic approximations based on matrix decomposition [48, 98] take advantage of redundancy to minimize the parameter footprint of a given neural network. Weight quantization is yet another technique to reduce computation and data movement costs in hardware [45, 85].

3 ILLUSTRATIVE END-TO-END EXAMPLES

Many applications from the domains of signal processing and machine learning have traditionally had to grapple with tradeoffs between precision, accuracy, application output fidelity, performance, and energy efficiency (see, e.g., Section 2.2 and Section 2.6). Many of the techniques applied in these domains have been reimaged in recent years, with a greater willingness of system designers to explicitly trade reduced quality for improved efficiency.

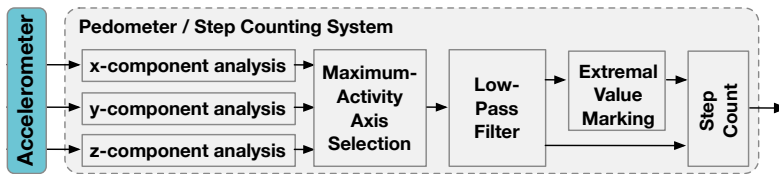


Fig. 1. The block diagram of one canonical pedometer application implementation.

We discuss two applications from the signal processing and machine learning domains: a pedometer and digit recognition. Using these examples, we suggest ways in which resource usage versus correctness tradeoffs can be applied across the layers of the hardware stack, from sensors, over I/O, and to computation. We use these applications to demonstrate how end-to-end resource usage could potentially be improved even more when tradeoffs are exploited at more than one layer of the system stack.

3.1 Example: a pedometer application

Applications which process data measured from the physical world must often contend with noisy inputs. Signals such as temperature, motion, etc., which are analyzed by such sensor-driven systems, are usually the result of multiple interacting phenomena which measurement equipment or sensors can rarely isolate. At the same time, the results of these sensor signal processing applications may not have a rigid reference for correctness. This combination of input noise and output flexibility leads to many sensor signal processing applications having tradeoffs between correctness and resource usage.

One concrete example of such an application is a pedometer (step counter). Modern pedometers typically use data from 3-axis accelerometers to determine the number of steps taken during a given period of time. Even when a pedometer’s wearer is nominally motionless, these accelerometers will detect some distribution of (noisy) measured acceleration values. At the same time, small errors in the step count reported by a pedometer are often inconsequential and therefore acceptable.

Figure 1 shows a block diagram for an implementation of one popular approach [204]. Our implementation takes as input 3-axis accelerometer data and returns a step count for time windows of 500 ms. The pedometer algorithm first selects the accelerometer axis with the maximum peak-to-peak variation (the *maximum activity axis selection* block in Figure 1). The algorithm uses the selections to create a new composite sequence of accelerometer samples. Next, the pedometer algorithm performs low-pass filtering, and then, for each 500 ms window, computes the maximum and minimum acceleration values and the midpoint of this range (the *extremal value marking* block in Figure 1). Finally, the algorithm counts how many times the low-pass filtered signal crosses the per-window midpoints in one direction (e.g., from above the midpoint to below it), and it reports this count as the number of steps.

Figure 2(a–c) show the progression of a sequence of accelerometer samples through the stages of the pedometer algorithm, which outputs a step count of 19 at the end. Figure 2(d–f) show a modified version of the data where we have replaced 5% of the samples with zeros to simulate intermittent failures at a sensor. Even though the data in the final stage of the algorithm (Figure 2(c) and Figure 2(f)) looks qualitatively different, the final output of the algorithm is relatively close the noise-free output.

Applying individual tradeoffs. The hardware and system stack for a typical pedometer comprises sensors (e.g., accelerometers), I/O links (e.g., SPI or I2C) between those sensors and a processor, a runtime or embedded operating system, the implementation of the pedometer algorithm, and a display. A system’s designer may exploit the resource versus correctness tradeoffs at each of these layers or components independently, using the techniques surveyed in Sections 5–8 of this article.

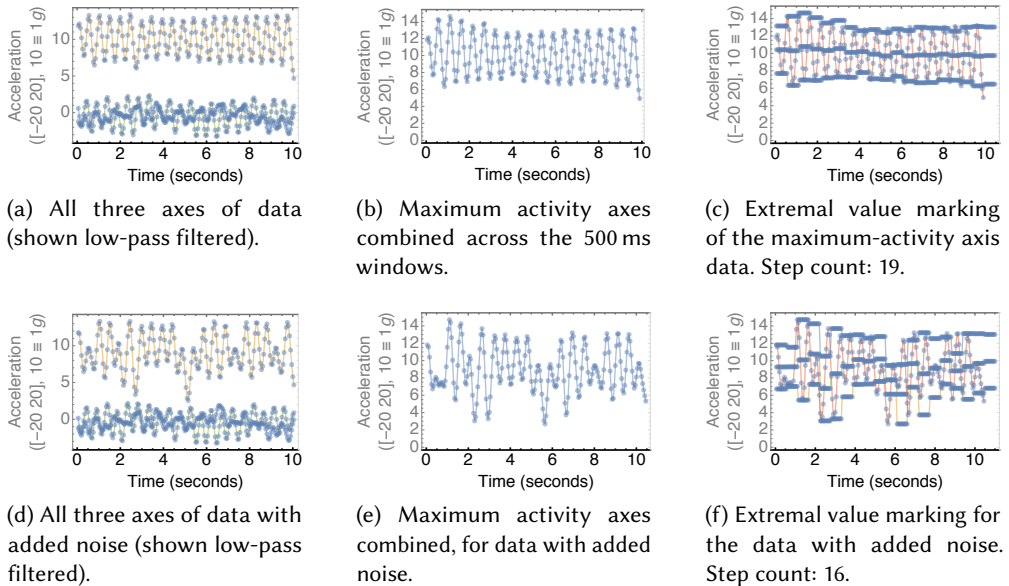


Fig. 2. Intermediate stages of data from a pedometer application.

For example, a system designer could apply Lax [183] to sensors, VDBS encoding [179, 180, 184] to the I2C or SPI communication between sensors and a microcontroller, and could ensure that the potentially inexact data does not affect the overall safety of the application using EnerJ [153] or FlexJava [135].

Potential for end-to-end optimization. This survey argues for exploring the end-to-end combination of techniques for trading correctness for efficiency, across the levels of abstraction of computing systems. Rather than treating each layer of the hardware and system software stack as an independent opportunity, this article argues that greater resource-correctness tradeoffs are possible when the entire system stack is considered end-to-end. For example, the insensitivity of the pedometer algorithm to input noise highlighted in Figure 2 might be determined by program analyses. These analyses could in turn be used to inform instruction selection for generated code as well as determining sensor operating settings (e.g., sampling rate, operating voltage, on-sensor averaging) and sensor I/O settings (e.g., choices for the I/O encoding for the sensor samples as they are transferred from a sensor).

3.2 Example: digit recognition

Digit recognition is the computational task of determining the correct cardinal number corresponding to an image of a single handwritten digit. One popular approach to implementing digit recognition is using neural networks. In a neural network implementation of digit recognition, pixel values from an input image of a standard size (e.g., 28×28 pixels) are fed into a neural network in which the final layer encodes the digit value (a number between 0 and 9). Because of the compute-intensive nature of neural network operators combined with the resilience to errors thanks to re-training techniques [50], neural networks are a compelling target for resource versus correctness tradeoffs. Neural networks for digit recognition are particularly interesting on devices where energy efficiency is critical. Figure 3(a) shows a simple network architecture for performing handwritten digit recognition. The network consists of three fully-connected layers (labeled “fc” in

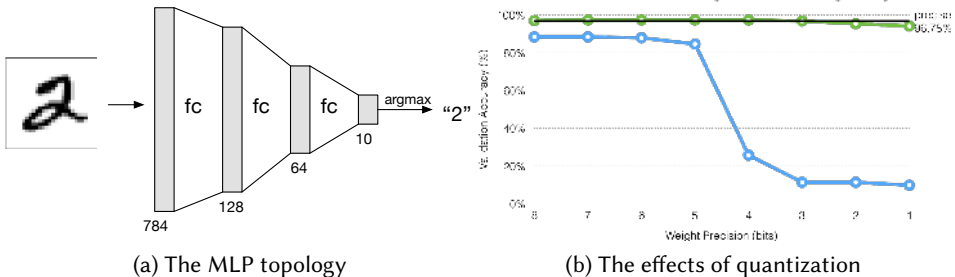


Fig. 3. The multi-layer perceptron (MLP) trained on the MNIST dataset topology and quantization.

| Bits | Default 2's Complement Encoding | | | | | Error-Aware Ternary Encoding | | | | |
|------|---------------------------------|-------------------|-------------------|------------------|-------------------|------------------------------|------------------|-------------------|------------------|-------------------|
| | Value | b0 stuck at 0 | b0 stuck at 1 | b1 stuck at 0 | b1 stuck at 1 | Value | b0 stuck at 0 | b0 stuck at 1 | b1 stuck at 0 | b1 stuck at 1 |
| 00 | 0 | 0 ($\Delta=0$) | 1 ($\Delta=1$) | 0 ($\Delta=0$) | -2 ($\Delta=2$) | 1 | 1 ($\Delta=0$) | 0 ($\Delta=1$) | 1 ($\Delta=0$) | 0 ($\Delta=1$) |
| 01 | 1 | 0 ($\Delta=1$) | 1 ($\Delta=0$) | 1 ($\Delta=0$) | -1 ($\Delta=2$) | 0 | 1 ($\Delta=1$) | 0 ($\Delta=0$) | 0 ($\Delta=0$) | -1 ($\Delta=1$) |
| 10 | -2 | -2 ($\Delta=0$) | -1 ($\Delta=1$) | 0 ($\Delta=2$) | -2 ($\Delta=0$) | 0 | 0 ($\Delta=0$) | -1 ($\Delta=1$) | 1 ($\Delta=1$) | 0 ($\Delta=0$) |
| 11 | -1 | -2 ($\Delta=1$) | -1 ($\Delta=0$) | 1 ($\Delta=2$) | -1 ($\Delta=0$) | -1 | 0 ($\Delta=1$) | -1 ($\Delta=0$) | 0 ($\Delta=1$) | -1 ($\Delta=0$) |

Fig. 4. Application aware codes can be critical in enabling robustness to errors in neural networks. In the case of ternary neural networks, we can craft codes that guarantee a deviation of at most 1 from the original value as the result of single bit flip error.

the Figure). The input layer takes in a 28×28 image with one node for each of the 784 pixels and the final output layer has 10 nodes.

Applying individual tradeoffs. Figure 3 (b) shows the results for accuracy of the neural network with quantization of weights starting with a 32-bit floating point baseline all the way down to a 1-bit weight. The network is trained on the MNIST data set with quantization of weights either during or after training. The results show that as long as re-training is applied, this neural network is extremely tolerant even to aggressive quantization.

Quantization furthermore enables weight prunability and compressibility: weights represented with fewer bits lead to fewer distinct values, and more zero-valued weights. This creates opportunities for sparse matrix compression [71], which can be directly implemented in hardware.

Potential for end-to-end optimization. Once the network has been quantized and compressed, we can further leverage resource versus correctness tradeoffs by storing the weights in approximate SRAM [142], which occasionally produces read errors. Recent work [96] shows that correct re-training and fault detection mechanisms can mitigate the negative effects of SRAM read upsets on classification tasks.

In addition, in the extreme case where weights are ternarized to -1 , 0 and 1 , one could explore an encoding with a redundant representation of zero as Figure 4 shows. With this encoding, single bit-flip errors would cause, in the worst case, a deviation of one as opposed to a value polarity flip from -1 to 1 or vice-versa. The latter is allowed under the default 2's complement encoding, and could potentially lead to further accuracy degradation.

4 TERMINOLOGY

The terminology used to describe resource usage versus correctness tradeoffs has historically differed across research communities (e.g., the computer-aided design and design/test communities versus the programming language and system software communities). The differences in terminology are sometimes inevitable: a “fault” in hardware is usually a stuck-at logic- or device-level fault while a “fault” in an operating system is usually the failure of a larger macro-scale component. In

this article, we attempt to provide a uniform scaffolding for terminology. In doing so, we acknowledge that this terminology will by necessity need to be reinterpreted when applied to the different layers of abstraction in a computing system and we do precisely that at the beginning of each of the following sections.

4.1 Computation in the physical world

We consider computing systems that make observations of the physical world (e.g., using sensors or other data input sources) and compute a discrete set of actions that the system (or a human) then applies back to the physical world. Such end-to-end systems are therefore *analog in, analog out*. In this process, the computing system *measures* the physical world, *computes* on a sample of its measurement, and then computes a set of *actions or actuations* to be applied to the world. Figure 5 shows the steps of computation in the physical world.

We denote the domain of quantized values by \mathbb{Q} . In practice, quantized values are often bounded integers or finite-precision floating-point numbers. When working with a relation $r \subseteq A \times B$, the domain and range of a relation r are defined as $\text{Domain}(r) := \{x \mid \exists y. (x, y) \in r\}$ and $\text{Range}(r) := \{y \mid \exists x. (x, y) \in r\}$. The composition of two relations f and g , denoted $f \circ g$, is allowed if $\text{Range}(f) \subseteq \text{Domain}(g)$ and it is defined as $f \circ g = \{(x, z) \mid \exists y. (x, y) \in f \wedge (y, z) \in g\}$. A *left-total* relation is a relation that covers all members of its input domain. In other words, an output exists for every possible input.

Physical world: We assume that all of our systems are situated in the physical world and we model inputs from this world with real numbers, \mathbb{R} . This assumption is consistent with most applications that trade errors for efficiency (see Section 2 and Section 3), such as sensing applications (as in Section 3.1), cyber-physical systems, computer graphics, computer vision, machine learning (as in Section 3.2), and scientific computing.

Measurement and analog processing step: Each computation situated in the physical world begins with a *measurement* in which the computing system makes an observation of the physical world. In metrology, this quantity is referred to as the *measurand*. We denote the result of a measurement by a probability distribution. We restrict our focus to distributions that we can represent with a *probability density function (PDF)*, $f : \mathbb{R} \rightarrow \mathbb{R}$.

Measurements may include within their internal processes computations that transform the measured distributions to yield new distributions. These internal processes may be nondeterministic. We include this facility to account for systems that may perform computation directly in the unsampled and unquantized analog domain and Section 5.3 of the survey gives examples of such systems. A measurement is therefore a function of type $f : \mathbb{R} \rightarrow (\mathbb{R} \rightarrow \mathbb{R})$, mapping a real value (the measurand) to a function in the form of the probability distribution (the measurement). The result of the measurement step of a computation is therefore still in the domain of continuous-time real-valued quantities.

Sampling and quantization step: Between the measurement step and a subsequent discrete (digital) computation step, we assume that there is a sampling and quantization step that generates discrete-time samples with discrete values from the real-valued distribution resulting from the measurement step. A *sampler* is therefore a relation $f : (\mathbb{R} \rightarrow \mathbb{R}) \times \mathbb{Q}^m$ that samples and quantizes a discrete value from a probability distribution. (\mathbb{Q}^m denotes the set of allowable quantized values.) The process of quantization adds an implicit noise, known as the *quantization noise* to the real-valued input.

Digital computation step: In the discrete world, we consider the computations that take as input a discrete sample from the measured world and performs a potentially nondeterministic computation to produce a discrete output. Therefore, a discrete computation f is a left-total relation $f \subseteq \mathbb{Q}^m \times \mathbb{Q}^o$ where \mathbb{Q}^m is the input and \mathbb{Q}^o is the output.

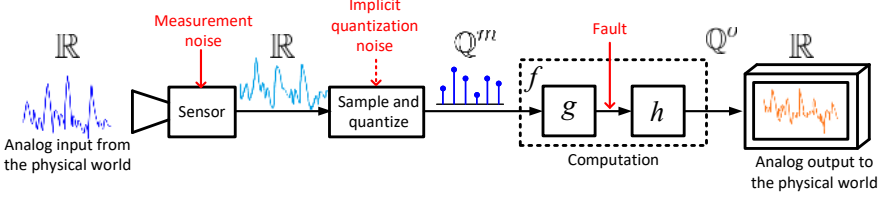


Fig. 5. Steps of computation in the physical world.

Actuation step: The digital outputs can be used back in the physical world as inputs to real-valued actuation which modifies the state of the physical world. An actuation computation is therefore a nondeterministic function that we model as a left-total relation $f \subseteq \mathbb{Q}^o \times \mathbb{R}$.

4.2 Computation and correctness

Following the terminology defined in Section 4.1, we can express any computation that processes data from the physical world as a composition of the steps of measurement, sampling and quantization, digital computation, and actuation. Each of these steps defines a *computation*:

Computation: A computation f is a nondeterministic function that we denote as a left-total relation $f \subseteq \mathbb{I} \times \mathbb{O}$ where we instantiate the domain \mathbb{I} and \mathbb{O} to fit the computation's corresponding step from Section 4.1. For example, as we will see later in Section 5.1, at the circuit level, the input domain \mathbb{I} and the output domain \mathbb{O} are voltage levels.

We model computations as left-total relations to account for nondeterminism where for the same input, the computation may produce different outputs on different executions. The relations are left-total in that there exists at least one output for every value in the input domain. This modeling assumptions also dictates that computations terminate. If a computation is deterministic, then we model it as a function $f : \mathbb{I} \rightarrow \mathbb{O}$.

Specification: For any computation $f \subseteq \mathbb{I} \times \mathbb{O}$, a system's developers and users can provide its *specification* as a relation $f^* \subseteq \mathbb{I} \times \mathbb{O}$ that defines the set of *acceptable* mappings between the function's inputs and outputs. A specification need not be executable itself and multiple implementations can satisfy the same specification.

Correctness: A computation and its corresponding definition as a relation is *correct* if it *implements* its specification. A computation f implements a specification f^* iff $\forall i, o. (i, o) \in f \Rightarrow (i, o) \in f^*$. This definition means that every output of f for a given input, must be valid according to the specification.

Faults: To define faults, we first decompose a computation f into two computations $g \subseteq \mathbb{I} \times \mathbb{M}$ and $h \subseteq \mathbb{M} \times \mathbb{O}$, where \mathbb{M} is a domain of values for the output of g and $g \circ h \equiv f$. Given this decomposition, a *fault* is an anomaly in the execution of g on an input i such that g produces an anomalous, unexpected value m in that $(i, m) \notin g$.

Errors: An error occurs when a computation encounters a fault and the computation's resulting output does not satisfy its specification. Given a computation f and its decomposition into g and h as above, the semantics of an error is that if the execution of g on i produces a faulty value m (as above), then that fault is an error if the result of the continued execution via h does not satisfy f 's specification – namely, that $(i, h(m)) \notin f^*$.

Masking: A fault does not always result in an error; a fault can instead be *masked*. If a computation encounters a fault and the computation's resulting output satisfies its specification, then the fault has been masked by the computation's natural behavior. Given a computation f and its decomposition into g and h as before, the semantics of a masked error is that if the execution of g on i produces a faulty value m (as above), then that fault is masked if the result of the continued execution via h satisfies f 's specification – namely, that $(i, h(m)) \in f^*$.

Precision and accuracy: We define *precision* as the degree of discretization of the state space determined by \mathbb{Q}^m (from the sampling and quantization step, Section 4.1) and we define *accuracy* as a distance between the functions f and f^* defined above.

4.3 Standard viewpoints

Let h be the identity function and $f = g$. Then the aforementioned definitions give a semantics to faults that affect the output of a single, monolithic function f . Take f^* to be f , then the function's specification is given by its exact behavior. This form of specification is the standard assumption for computing systems wherein they must preserve the exact semantics (up to nondeterminism) of the computation. Most existing approaches to trading errors for efficiency fit this viewpoint: they typically start from an existing program as their specification and approximate it to allow for more efficient implementations.

4.4 Quantifying errors

Approaches to quantifying errors include absolute errors, relative errors, and error distributions. In most contexts, the evaluator of a system is interested in the error of not only a single input, but a whole domain of inputs. Depending on the application domain, upper or lower bounds on the worst-case error, or average errors may be of interest. When a computation runs repeatedly, the *error frequency* or *error rate* captures how often a computation returns an incorrect result.

5 TRANSISTOR-, GATE-, AND CIRCUIT-LEVEL TECHNIQUES

Transistors provide the hardware foundations for all computer systems. As a result, their physical properties determine the efficiency at which a particular computation can be performed. When collections of transistors are used to form gates and analog circuits, and when collections of gates are used to implement digital logic circuits, the organization of the transistors, gates, and circuits can be designed to trade efficiency for correctness.

5.1 Notation

Following the notation introduced in Section 4, input \mathbb{I} can be defined as a voltage level that is switched to \mathbb{O} as a computation f is executed. Therefore, $f : \mathbb{I} \rightarrow \mathbb{O}$ is a switching of voltage at a transistor or a group of transistors forming a circuit element, for instance, a byte in a memory or an adder in an arithmetic/logic unit (ALU). Such computation can be regarded as $f^* : \mathbb{I} \rightarrow \mathbb{O}$ where the relation between f^* and f is the difference in the electrical operating points of the individual transistors. This difference saves computational costs like power consumption and latency while introducing timing errors and incorrect voltage levels.

5.2 Analog input / analog output systems: a comparison reference for quantization

When using finite-precision arithmetic, computation always involves errors that are caused by quantization. Quantization is a fundamental mechanism for trading energy for accuracy and recent work has highlighted examples of its effectiveness [126].

The effect of quantization errors can be observed by treating the inputs and outputs of a computing system as real-valued analog signals and comparing these signals to an ideal (error-free) computing system that accepts analog inputs and produces analog outputs. When such ideal outputs are not available, designers often use the output of the highest precision available (e.g., double-precision floating point) as the reference from which to determine the error of a reduced-precision block. Such analyses are common in the design process of digital signal processing algorithms such as filters [136] where the choice of number representation and quantization level enables a tradeoff between the performance and signal-to-noise ratio properties of a system.

5.3 Analog computing: data processing with currents and voltages

Analog computing systems [91, 111] eliminate the need for discretization and the resulting restriction on precision that is inherent in digital circuits. While, in theory, analog circuits provide unbounded precision, in practice their precision is limited by factors such as noise, non-linearities, the degree of control of properties of circuit elements such as resistors and capacitors, and the degree of control of implicit parameters such as temperature. At higher precision, analog blocks tend to be less energy-efficient than digital blocks of equivalent precision [158]. Because they usually do not use minimum-size transistors, analog circuits may also be larger in area than their digital circuit equivalents. Designing analog computation units is also a challenging task. Nevertheless, analog circuits can be an attractive solution for applications that tolerate low-precision computation [158].

5.4 Probabilistic computing: exploiting device-level noise for efficiency

A line of research pioneered by Palem *et al.* [35, 133] (“probabilistic computing”) proposes harnessing intrinsic thermal noise of CMOS circuits to improve the performance of probabilistic algorithms that exploit a source of entropy for their execution. Chakrapani *et al.* [31] show an improvement in the energy-performance product for algorithms such as Bayesian inference, probabilistic cellular automata, and random neural networks using this approach and they establish a tradeoff between the energy consumption and the probability of correctness of a circuit’s behavior. These techniques have also shown energy savings for digital signal processing algorithms that do not employ probabilistic algorithms but which can tolerate some amount of noise [62, 95].

5.5 Stochastic computing: unary representation and computing on probabilities

Stochastic computing (SC) uses a data representation of bit streams that denote real-valued probabilities [6]. In theory, the probabilities can have unbounded precision, but in practice, the length of the bit-streams determines precision [4]. SC was first introduced in the 1960s [60] and its main benefit is that it allows arithmetic operations to be implemented via simple logic gates: a single AND gate performs SC multiplication. This made SC attractive in the era of expensive transistors. But as transistors became cheaper, SC’s benefit faded away, and its main drawbacks, i.e., limited speed and precision, became dominant [4]. For this reason, SC was only used in certain applications, such as neural networks [49, 99] and control systems [190].

SC has seen renewed interest over the last decade [4], mainly because of its energy efficiency. SC’s probabilistic nature copes with new inherently random technologies such as memristors [100]. Furthermore, the unary encoding of numbers on SC makes the computation robust against errors [137], and allows variable precision computation [5]. With the low precision requirement of modern machine learning applications, SC is becoming an attractive alternative to conventional binary-encoded computation [104].

5.6 Voltage overscaling: improved efficiency from reduced noise margins

The term *voltage overscaling* is often used to refer to reducing supply voltages more than is typically deemed safe for a given clock frequency. Voltage overscaling exploits the quadratic relationship between supply voltages and dynamic power dissipation. Let V_{dd} be the supply voltage of a CMOS circuit (e.g., an inverter), let f be its clock frequency (reciprocal of its delay) and let C be the effective capacitance of the load of the circuit. Then, the dynamic power dissipation P is [139]

$$P \propto CV_{dd}^2 f. \quad (1)$$

The delay of a gate in a circuit, and hence the clock frequency f , is however not independent of supply voltage V_{dd} . Let V_t be the device threshold voltage and let α be a process-dependent parameter (the velocity saturation exponent [149]). Then, as supply voltage V_{dd} decreases, the delay of charging its load capacitance for a gate increases and the maximum clock frequency achievable

at a given voltage follows the relation

$$f \propto \frac{(V_{dd} - V_t)^\alpha}{V_{dd}}. \quad (2)$$

As a result, overscaled voltages cause circuit delays, which in turn lead to timing errors in circuits at a fixed clock speed. Several approaches have explored the idea of carefully and systematically accepting such errors in exchange for the large (quadratic) power savings that voltage overscaling can potentially provide [75, 88, 90, 102, 167]. In unmodified circuits, this often leads to catastrophic errors at close-to-nominal voltages, as many digital circuits are optimized to minimize timing slack. However, for several application domains, such as image and video processing, inherent dependence of errors on known input characteristics can be exploited to redesign circuits such that they allow for significant overscaling with small and graceful degradation of output quality [13, 73, 125, 191]. However, voltage overscaling has potential issues with timing closure and meta-stability. Furthermore, timing errors in the critical paths of a circuit due to voltage overscaling tend to affect the most significant bits of a computation first and hence can lead to large errors. Dedicated logic modifications targeting lower significant bits as described next can instead provide better accuracy with additional switching activity savings for the same timing and hence voltage reduction [117].

5.7 Pruned circuits for efficiency at the expense of precision and accuracy

Pruning circuits refers to deleting or simplifying parts of a circuit based on the probability of their usage or importance to output quality. Recent research has shown how circuit pruning improves latency, energy, and area without the overheads associated with voltage scaling [106, 116, 161, 168].

Pruning can be applied to digital circuit building blocks such as adders and multipliers, enabling quality-cost tradeoff opportunities through different logic simplification and pruning techniques. Approximate adders attempt to simplify carry chains [196, 205] or to use approximate 1-bit full adders [69, 112, 117] at lower significant digits of a sum computation. Accuracy-configurable adders have also been proposed for adaptive-accuracy systems that require a functional unit like an adder or multiplier to vary the degree of tradeoff between correctness and resource usage based on the quality demand of computation [87]. Unlike approximate adders, approximate multipliers have a higher design space exploration requirement, as they are composed of 2×2 partial products that are summed up by deploying an adder tree to compute the final result [101]. Correctness versus resource usage tradeoffs can be deployed in multipliers (partial products) or adders, or both, for a chosen number of least-significant bits [84, 143].

Approximate adders and multipliers provide the combinational building blocks for approximate datapath and processor designs. At the sequential logic level, the challenge is in determining the amount of approximation to apply to each addition or multiplication operation in a larger computation in order to minimize output quality loss while maximizing energy savings. For example, in a larger computation that consists of multiple accumulations, using an adder with a zero-centered error distribution [117] will result in positive and negative errors canceling each other and thus averaging in the final output of a larger accumulation. By contrast, in other computations, an approximate adder that always over- or under-estimates may be beneficial.

Determining the best tradeoff for each functional unit has been investigated for fixed register transfer level (RTL) designs [129, 195]. Pure RTL optimizations, on the other hand, do not exploit changes in approximated component characteristics for a complete RTL re-design. In the context of custom hardware/accelerator designs, selection of optimal approximated operator implementations can instead be folded into existing C-to-RTL high-level synthesis (HLS) tools [103, 105]. For programmable processors, accuracy configuration of the datapath can be exposed through the instruction-set architecture (ISA) [193]. A compiler then has to determine the precision of each operation in a given application (see Section 6 and Section 7).

5.8 Approximate memory: reducing noise margins for efficiency in storage

Memory costs are often higher than that of computations in many data-intensive applications [15]. Approximate memories have been investigated in the research literature, to trade quality for energy, latency, and lifetime benefits [154, 169]. Reducing the refresh rate of DRAM provides an opportunity to improve energy efficiency while causing a tolerable loss of quality [86] (Section 6.3). For static random access memory (SRAM) on the other hand, the tradeoff between correctness and resource usage is typically achieved by voltage overscaling, where the main concern is in dealing with the failures in the standard 6-Transistor (6T) cells of an SRAM array under reduced static noise margins (SNMs) [61]. As a result, hybrid implementations combining 6T with 8T SRAM cells [32] or with standard cell memory (SCMEM) [19] have been employed to achieve aggressive voltage scaling in order to get better quality versus cost tradeoffs.

5.9 Summary

The circuit-level techniques surveyed in this section must ultimately be deployed in the context of concrete applications. For example, one case study found that for applications such as Fast Fourier Transforms (FFTs), motion compensation filters, and k -means clustering, applying traditional fixed-point optimizations to limit the size of operands was more effective than applying circuit-level approximations such as approximate adders and multiplier circuits [14]. This is because approximating some bit values still requires information about those bits to be stored and used in downstream computations. The additional overhead of this bookkeeping in many cases is not worth the quality benefits. Carefully selecting the most suitable approximation strategies and comparing their cost versus quality tradeoffs can therefore lead to a better solution for certain applications.

6 ARCHITECTURE AND MICROARCHITECTURE-LEVEL TECHNIQUES

Architectural and microarchitectural techniques that trade correctness for resource usage have focused primarily on correctness at the software or application level and have focused on reducing resource usage in memory, in the processor, and in on- or off-chip I/O.

6.1 Notation

Architectural techniques create abstractions that allow operating systems, programming languages, and applications to specify their precision and accuracy requirements through specialized instructions and instruction extensions. Following the notation introduced in Section 4, the computation function $f : \mathbb{Q}^m \rightarrow \mathbb{Q}^o$ is defined over the quantized sets \mathbb{Q}^m and \mathbb{Q}^o embodied by software-visible machine state such as registers, memory, and storage. The computation function f is implemented using either general-purpose cores or specialized hardware accelerators. Microarchitectural techniques facilitate the efficient implementation of the computation function f at the level of hardware functional units, such as memory controllers and processor pipelines, or by the efficient hardware representation of the sets \mathbb{Q}^m and \mathbb{Q}^o .

6.2 Trading resource usage for correctness in processor cores

Early work trading resource usage for correctness such as Razor and related techniques [54, 173], relied on voltage overscaling as the primary underlying circuit-level mechanism to increase energy efficiency. As a result, these techniques provided no direct means to improve performance, but provided higher energy efficiency at the expense of nondeterministic faults. To mask such faults and hide them from applications, voltage overscaling approaches typically rely on error recovery mechanisms. The key insight is that sophisticated error recovery mechanisms can be much more resource-efficient in ensuring correctness compared to voltage over-provisioning. Carefully balancing the error recovery overhead against the benefits of voltage overscaling can provide higher energy efficiency without sacrificing output quality or program safety [54, 173].

Truffle [55] was the first architecture to willingly introduce uncorrected nondeterministic errors in processor design for the sake of energy efficiency. Truffle uses voltage overscaling selectively to implement approximate operations and approximate storage. The Truffle architecture provides ISA extensions to allow the compiler to specify approximate code and data and its microarchitecture provides the implementation of approximate operations and storage through dual-voltage operation. For error-free operations, a high voltage is used, while a low voltage can be used for approximate operations. Voltage selection is determined by the instructions, with the control-flow and address generation logic always operating at a high voltage to ensure safety.

In addition to improving energy efficiency, architectures that enable tradeoffs between resource usage and correctness may result in higher performance compared to an error-free baseline [56, 128, 175, 200]. Examples of approaches include offloading parts of a processor’s workload to computing units that can perform the desired functionality much faster at the cost of deviation from correct behavior. Because of their performance advantage, such computing units are often called accelerators. Accelerators that trade resource usage for correctness include, most notably, neural accelerators [56, 128, 175, 200], which implement a hardware neural network trained to mimic the output of a desired region of code.

Temam *et al.* empirically show that the conceptual error tolerance of neural networks translates into the defect tolerance of hardware neural networks [187], paving the way for their introduction in heterogeneous processors as intrinsically error-tolerant and energy-efficient accelerators. St. Amant *et al.* demonstrate a complete system and toolchain, from circuits to a compiler, that features an area- and energy-efficient analog implementation of a neural accelerator that can be configured to approximate general purpose code [175]. The solution of St. Amant *et al.* comes with a compiler workflow that configures the neural network’s topology and weights. A similar solution was demonstrated with digital neural processing units, tightly coupled to the processor pipeline [56], delivering low-power approximate results for small regions of general-purpose code. Neural accelerators have also been developed for GPUs [200], as well as FPGAs [128].

6.3 Approximate memory elements

Memory architectures that trade resource usage for correctness permit the value that is read from a given memory address to differ from the most recent value that was written. The traditional view of memory elements assumes that every memory access pair consisting of a write followed by a subsequent read operation, applied to an input \mathbb{I} , results in the same read result for a given write value. In contrast, approximate memory elements may perform non-identity transformations of the input \mathbb{I} . The benefits of doing so include reduced read/write latency, reduced read/write access energy, fewer accesses to memory, increased read/write bandwidth, increased capacity [68, 83, 154], improved endurance [154], and reduced leakage power dissipation [109]. These techniques have been applied to memory components ranging from CPU registers [55], caches [55, 155–157], main memory [109], to flash storage [68, 83, 154].

One method for trading resource usage for correctness in memories is to predict memory values instead of performing an actual read operation. For example, on the occurrence of a cache miss, *load value approximation* (LVA) [157, 188] provides predicted data values to a processor which may differ from the correct values in main memory. Doing so hides cache miss latency and thereby reduces the average memory access time at the expense of having data values in the cache that differ from what they would be had they been faithfully loaded from main memory. The correct values in main memory may subsequently be read from memory to train the predictor and improve its accuracy, or the main memory access may be skipped entirely to save energy. Conventional value prediction considers any execution relying on predicted values speculative and provides expensive microarchitectural machinery to roll back execution in the case of a mismatch between

the predicted and actual values. LVA, by contrast, allows imperfect predictions, trading correctness of values in the cache for reduced micro-architectural complexity and reduced memory latency.

Several memory technologies expose circuit-level mechanisms to trade accuracy for reduction in latency or access energy (or both). For example, multi-level solid-state memories perform write operations iteratively, until the written value is in the desired range. By reducing the number of write iterations, approximate writes [154] significantly reduce the latency and energy of write operations, increasing write bandwidth as a side effect, at the expense of reduced data retention. In spintronic memories, such as STT-MRAM, reducing the read current magnitude can reduce energy of read operations at the expense of accuracy of the content being read. In contrast, significantly increasing the read current magnitude reduces the read pulse duration, decreasing the read latency while potentially disturbing the written content with noise. Such mechanisms can be leveraged at the architectural level through dedicated instructions for imprecise loads and stores [141].

The correctness of values obtained from memories can also be traded for an increase in effective storage capacity. One way to achieve this is to avoid storing similar data multiple times. For example, storing similar data in the same cache line can save on cache space in situations when substituting a data item for a similar one still yields acceptable application quality [155, 156]. Another way to trade errors for capacity is through deliberate reduction in storage resources dedicated to error-correction [68, 83]. By providing weaker error-correction schemes for data whose accuracy does not have a critical impact on the output quality, significant storage savings have been demonstrated in the case of encoded images and videos [68, 83].

For volatile memory technologies, such as SRAM and DRAM, voltage scaling approaches can be used to reduce the static energy at the expense of faults, observed as bit flips [55]. In the case of DRAM, the energy needed to retain data can be further reduced by less frequently refreshing the DRAM rows that contain data whose incorrectness applications can tolerate, compared to the rows that applications require to remain correct [109]. In solid-state memories, mapping data that applications can tolerate to be incorrect onto blocks that have exhausted their hardware error correction resources can significantly extend endurance [154].

6.4 Approximate communication

As in the case of approximate memory elements, approximate communication systems may perform non-identity transformation f^* of input \mathbb{I} to efficiently transfer the input through a communication channel or network. The idealized computation function f corresponds to an identity transformation over an infinitely large input. Examples of inputs include signals on intra- and inter-chip wires, such as memory buses and on-chip networks. The architectural techniques trading resource usage for correctness in such systems usually rely on more efficient but less reliable links, network buffers, and other network elements, or employ lossy in-network compression to minimize data movement, while overlapping the compression and communication.

The conventional approach to trade resource usage for correctness in communication over a channel is to employ lossy compression at the source and decompression at the destination, with the goal of reducing the amount of data transferred through the channel, as well as to reduce latency. Such approaches have been widely used for decades in long-distance communication, such as media streaming applications. However, when the communicating parties are two processors on a board, two cores on a chip, or a core and a cache, the communication latency is in the order of nanoseconds and any compression/decompression latency added to the critical path of program execution may be prohibitive.

At the circuit level, transmitting bits over a wire on-chip or over a printed circuit board trace costs energy. For single-ended I/O interfaces, where the signaling of information is with voltage levels, the energy cost is typically due to the need to charge the wire capacitance when driving a logic '1',

and to discharge that capacitance when driving a logic '0'. Building on this observation, and on the body of work on low-power bus encodings [38, 176], value-deviation-bounded serial encoding (VDBS encoding) [182, 184] trades correctness for improved communication energy efficiency by lossy filtering of values to be transmitted on an I/O link. VDBS encoding reduces the number of '0' to '1' and '1' to '0' signal transitions and hence reduces the energy cost of I/O. Because VDBS encoding requires no decoder, it can be implemented with low overhead, requiring less than a thousand gates for a typical implementation [179]. Extensions of VDBS encoding have extended the basic concept to exploit temporal information in information streams [97, 132] and to employ probabilistic encoding techniques [180].

A recent study leverages data similarity between cache blocks to perform lossy compression in networks-on-chip (NoCs) [21]. The key idea is in simple data-type aware approximation using approximate matching between data to be sent and data items that have been recently sent to perform a quick lossy compression. Performing approximation at the network layer allows a significant data movement reduction without losing the precise copy of the data and without extending the critical path, as the communication and compression are overlapped.

An orthogonal approach to trading resource usage for correctness in communication by compression, is to reduce the safety margins of communication links to trade off their reliability for bandwidth, latency, or both. For on-chip networks, achieving reliable transmission in low-latency high-bandwidth interconnects requires features like forward error correction (FEC), but FEC can increase communication latency, by up to three fold in one study [59]. An approach to counteract such high overheads is to allow higher bit error rates at the link layer by removing forward error correction or employing a weaker but more efficient error correction mechanisms, with a variable amount of redundancy based on application needs [59]. A low-diameter network is one approach to keep the end-to-end bit error rate under control, minimizing the number of hop counts, and thus prevent excessive accumulation of errors [59].

Allowing errors in communication can be particularly challenging in parallel programs, which rely on communication for synchronization. In such contexts, failure to deliver correct messages on time can affect control flow and lead to catastrophic results [203]. Yetim *et al.* propose a mechanism to mitigate inter-processor communication errors in parallel programs by converting potentially catastrophic control flow or communication errors into likely tolerable data errors [203]. Their main insight is that data errors have much less impact on the application output compared to errors in control flow. Their approach is to monitor inter-processor communication in terms of message count, and to ensure that the number of communicated items is correct, either by dropping excess packets or by generating additional packets with synthetic values. Ensuring the correct number of exchanged messages improves the integrity of control flow in the presence of communication errors and consequently improves the output quality of approximate parallel programs.

6.5 Summary

Microarchitectural techniques that trade correctness for resources build on circuit level techniques (Section 5) to exploit information at the level of hardware structures such as caches, register files, off-chip memories, and so on. Architectural techniques expose microarchitectural techniques to software through constructs such as instruction extensions, new instruction types, or new hardware interfaces to accelerators. Exposing information about hardware techniques to software allows software to take advantage of the implemented techniques, while exposing information from software to hardware allows hardware to, for example, more aggressively leverage tradeoffs between correctness and resource usage. In the same way that circuit-level techniques form a foundation for the approaches discussed in this section, circuit-level, microarchitectural, and architectural techniques similarly form a foundation for operating system and runtime system techniques.

7 PROGRAMMING LANGUAGE TECHNIQUES

Many programming-language- and compiler-level techniques that trade correctness for efficiency provide abstractions for dealing with errors introduced at lower levels of the system stack, or introduce higher-level approximations directly and these errors combine into whole-application errors. For these whole-application errors, another set of techniques provide methods for reasoning about errors and for managing them.

7.1 Notation

Following the notation introduced in Section 4, programming language techniques usually operate at a level of abstraction where the computation's implementation $f : \mathbb{I} \times \mathbb{O}$ is defined over a sets represented by, e.g., integers or floating-point numbers. These integer and floating-point number representations serve as an abstraction for the actual bit-level representations of program state in hardware. The idealized specification f^* that f implements may thus, for instance, assume unbounded integers or real numbers for its output \mathbb{O} and may represent an entire computational problem or specific algorithm. Examples of errors introduced by the discrepancy between f and f^* are floating-point roundoff errors, errors due to skipping entire portions of a computation or due to missing synchronization. The appropriate means of measuring error, e.g., absolute error, relative error, worst-case error, or error probability, is typically application-dependent.

7.2 Static compile-time techniques

Static techniques aim to make resource versus correctness tradeoffs safe to apply without having to run a program. To achieve this, they isolate the effects of errors, or quantify the magnitude or probability of errors at compile time. Errors introduced at the lower levels of the stack do not affect every operation of a high-level program equally. Ideally, errors in lower layers of the stack should be restricted locations such that when they propagate to higher layers of the stack, they only affect those parts of the program where errors can be tolerated.

Traditional programs, however, do not provide a transparent way to mark what can be potentially approximate. EnerJ [153] and FlexJava [135] make the effects of lower-level errors explicit by allowing programmers to annotate values in programs that can be potentially affected by errors. They then use type inference and taint analysis, respectively, to model propagation of errors through a program automatically, minimizing the need for programmers to explicitly trace through their programs to identify all locations where errors could have an effect. In a similar vein, the Uncertain<T> type [18] encapsulates probability distributions, e.g., resulting from measurement errors from a sensor. The Uncertain<T> type system only allows a small number of specifically-designed operations on values tagged with this type, allowing programmers to be aware of which variables in their programs take on distributions of values which are uncertain.

Rely [30] provides a sound probabilistic reasoning framework, i.e., a set of rules which allow a programmer to derive the probability of a result being exact, given the probabilities of individual operations being exact. Rely's reasoning framework is guaranteed to be correct. Boston *et al.* [20] provide an automated system to obtain the probabilities required by Rely, by encoding the task of determining the probabilities as a type-inference problem.

The probability of a computed value being incorrect does not capture the numeric magnitude of the error. Numeric error estimation has been addressed in the form of static analysis for bounding errors due to input disturbances [34] and optimizing finite-precision arithmetic while guaranteeing sound error bounds [39, 47]. Numeric error magnitude can also be estimated by differential program verifiers to check relative safety, accuracy, or termination with respect to some reference implementation by reduction to a *satisfiability modulo theories* (SMT) problem [74].

The above approaches either quantify the probability or the error magnitude, but not both. Furthermore, they do not optimize directly for performance or energy usage. Chisel [119] combines

a reliability analysis with error bounds computation. It automatically finds approximations satisfying a specification and minimizes energy by reduction to an ILP problem. Zhu *et al.* [206] propose a framework which explores a randomized combination of resource-correctness tradeoffs provided by a user. It presents a tradeoff space exploration algorithm based on linear programming, which provides near-optimal guarantees.

Static techniques are desirable as they can provide strong correctness guarantees. However, for a static optimization technique, a faithful resource cost model is needed. Until now, these models have been mostly high-level, coarse, and additionally not consistent across different techniques or evaluations, making combinations and comparisons of different techniques challenging. These models necessarily have to abstract over the underlying hardware in order to be scalable and widely applicable, but they also need to reflect reality as much as possible. Here, a tighter collaboration between the software and hardware is needed (see Challenge 2 in Section 11).

7.3 Dynamic runtime techniques

Static guarantees are in practice achievable only for small programs. For many applications such strong guarantees may not be necessary. Dynamic or testing-based validation techniques trade correctness for practical scalability and have been widely used to identify resource versus correctness tradeoffs and to validate the quality of these tradeoffs.

A first step when implementing an application in an error-efficient way is to determine which parts of the application are resilient to errors and which are not [145, 146]. Different applications allow for error-efficient computing to various degrees. For instance, some algorithms can tolerate higher error rates but lower error magnitudes and vice versa [41].

Profiling has traditionally been used to identify performance-intensive portions of a program. A quality of service profiler [121] takes into account quality of the results in addition to performance and can thus identify resilient portions of an application. A similar idea has been explored by the Application Resilience Characterization (ARC) framework [41], which profiles an application while injecting errors, derives a statistical error-resilience profile, and identifies the best error-efficient technique for the given application. The statistical error-resilience profile has also been explored for iterative workloads [63] to identify the number of resilient iterations.

Once resiliency of an application is established, different techniques can be applied which trade resource usage for correctness. For instance in arithmetic, Precimonious [148] assigns differing floating-point precisions across the variables in a program. STOKE [160], on the other hand, generates entirely new implementations of floating-point functions. Both Precimonious and STOKE ensure that on a given test set a user-defined quality bound is satisfied. Building on the observation that loops usually make up the bulk of running time of a program, loop perforation [121, 170] selectively skips entire loop iterations. Synchronization is another expensive part of many applications, and several research efforts have observed that some synchronization primitives can be removed without impacting quality significantly [122, 144]. Misailovic *et al.* [120] explore nondeterminism as a technique for trading resource usage for correctness techniques, by parallelizing a sequential program such that data races can occasionally occur.

Another approach to exploiting resilient applications is to let a user define several application components with different resource-correctness tradeoffs and to provide tool support to select between these candidates to obtain a final implementation [8, 9, 58]. Neural networks can also be used to replace blocks of imperative code [55] and can provide a performance benefit when coupled with a dedicated neural processing unit. The Intel open-source approximate computing toolkit (iACT) [123] provides a simulation-based testbed for different approximations, such as precision scaling and approximate memoization.

Although not all resource versus correctness tradeoffs are suitable for all application domains, most of the techniques discussed above are application-independent. Chippa *et al.* [42] and Venkataramani *et al.* [194] present application-specific approaches for machine learning classifiers which exploit the fact that many instances are easy to classify. These easy-to-classify instances are handled by simpler classifiers, while harder-to-classify instances use increasingly more complex classifiers. SAGE [151] and Paraprox [150] are specific to data-parallel kernels running on GPUs and provide specialized approximate versions of common idioms, such as maps and reductions.

7.4 Summary

The techniques discussed above are first steps towards addressing the need for automated tool support for developers (Challenge 3 in Section 11) but they remain limited because each addresses one particular point in the design space. More comprehensive tools and ways to combine the existing techniques are necessary. One solution might be for researchers to make their program analyses and program transformations available as passes for the LLVM compiler infrastructure.

Today, many techniques employ a simplified model of the underlying hardware and these models are rarely based on characterization of real hardware systems. In the future, error models will need to be consistent with the errors observed at the hardware level. In addition to these extensions of the way software-level techniques are evaluated today, end-to-end evaluation platforms could provide increased confidence in research results (Challenges 2 and 8 in Section 11).

8 OPERATING SYSTEM AND RUNTIME TECHNIQUES

Operating system (OS) and runtime techniques for trading correctness for efficiency dynamically monitor a running system and adapt its accuracy to a changing environment. These systems may take explicit input from a program, such as through an application programming interface (API) or system call interface, or might be driven based on user input.

8.1 Notation

For OS and runtime techniques, measuring, sampling, and quantizing signals from the physical world are already completed by the lower layers of the system. Following Section 4, computation is a nondeterministic function $f^* : \mathbb{Q}^m \rightarrow \mathbb{Q}^o$ with nondeterminism introduced by the need to multiplex processes over a shared resource (the processor) in the presence of asynchronous input and output events, user interaction, and time-varying power supply limitations. Actuation typically takes the form of I/O (e.g., network, peripherals, displays).

At the OS/runtime level, the computation specification relation, $f \subseteq \mathbb{I} \times \mathbb{O}$ takes the form of guarantees provided by the system. These may be guarantees and the resulting definition of correctness in terms of the numeric behavior of the computation, or may be guarantees on timeliness of operations in real-time and interactive computing systems. At this layer, faults and errors typically refer to the failure of a component from the architecture level and its manifestation in a difference in machine state respectively.

8.2 Runtime systems: computation

Trading timeliness guarantees for reduced resource usage was heavily explored in the 1990s, in research efforts on *imprecise realtime systems* [11, 81, 107, 108, 166]. Much like the recent resurgence of interest in trading correctness for resource usage, these earlier efforts were targeted at an application domain (embedded systems) where the relaxation of correctness requirements was motivated by the inherent nondeterminism of their operating environments.

The Eon system [171] provides a declarative language which allows users to annotate components with different energy specifications, which are then used at runtime to select suitable components. Hoffman *et al.* [78] turn static configuration parameters into dynamic knobs which can adjust the accuracy and energy usage of a system at runtime. A calibration pass offline minimizes monitoring

overhead at runtime. Similarly, Green [12] builds a quality of service model during a calibration phase based on approximations supplied by the programmer. This model is used at runtime to select suitable approximations. Chippa *et al.* [40] propose a general framework which phrases the dynamic management as a feedback system and further suggest different quality measurements at the circuit, architecture, and algorithm level which serve as the feedback signal.

Most previous runtime approaches consider average errors or only check the errors occasionally during execution, and can thus miss large outliers. Rumba [94] checks all results with light-weight checks and proposes an approximate correction mechanism, which is specific to data-parallel applications. Topaz [1] also verifies every result, but at a higher granularity, by decomposing a computation into tasks. Topaz checks each task’s output with lightweight checks provided by the user. If the error is too large, Topaz automatically re-executes the corresponding task.

8.3 Runtime systems: sensors, actuation, and displays

All measurements have some amount of measurement uncertainty and as a result, sensing systems provide many opportunities for trading errors for improved efficiency. These range from trading accuracy and reliability in sensors in the Lax system [183], to trading precision for fidelity in imaging sensors (cameras) [25], to trading the fidelity of display colors and shapes for reduced display panel power dissipation for OLED displays in Crayon [178].

8.4 Summary

OS and runtime techniques provide a unique opportunity to exploit dynamic information about running programs. Unlike circuit-level, microarchitectural, architectural, or language-level techniques, they can exploit information about a user’s environment such as remaining energy store in a mobile device or activation of a low-power mode on the device. OS and runtime techniques also have the opportunity to learn across program executions. Hardware platforms for exploring the end-to-end benefits of the techniques presented in this survey (Challenges 2 and 8 in Section 11) may however be necessary for a meaningful evaluation of real-world benefits.

9 TAXONOMY

Table 1 highlights techniques for trading correctness for resource usage discussed throughout this survey. The table focuses on publications that present a specific technique as opposed to publications discussed in the survey to provide context. Table 1 classifies techniques by three primary categories: (1) *error type*, (2) *property traded for errors*, and (3) *affected resources*:

- **The error type** refers to the nature of the error that gets introduced into a system. Given the same input and set of initial conditions, a technique is deterministic if it will always cause the same outcome and a technique is nondeterministic if the outcome can differ.
- **The property traded for errors** is one of *energy*, *time*, and *data density*. These are cost functions that a system designer optimizes for. In the context of this survey, we consider trading an improvement in one or more of these properties for increased occurrence of errors.
- **The affected resources** are the hardware subsystems that are impacted by the tradeoffs. In practice, these will be the subsystems in which errors occur.

Although the table places publications in discrete categories, many techniques lie somewhere in a spectrum. For example, when voltage overscaling (Section 5.6) is performed at a coarse level (e.g., in steps of 500 mV for a device with a supply voltage range of 1.8 V to 3.3 V), it could be seen as a deterministic technique where some voltage levels always lead to repeatable failures. On the other hand, if voltage overscaling is performed at a fine granularity of voltages (e.g., 50 mV), there will likely be one or more voltage levels where nondeterministic failures occur, resulting from the interplay between devices operating right at the threshold of the minimum voltage for reliable operation, and falling below that threshold due to power supply noise or thermal noise.

Table 1. Highlights from the techniques covered in this survey, from circuit-level techniques, to architecture-level techniques, to algorithmic and programming-language-level techniques, to operating system techniques. We classify the techniques under the three broad categories of (1) *error type*, (2) *property traded for errors*, and (3) *affected resources*. (PL: Programming language; OS: Operating system.)

| Layer | Technique | Examples | Error Type | Property Traded for Errors | Affected Resource |
|------------------------------|------------------------------|--------------------------|--|----------------------------|--|
| | | | Deterministic Non-Deterministic Energy | Runtime Data Density | Computation Data Storage/Movement Physical World I/O |
| Circuit | Sensor value approximation | [25, 183] | • | • | • |
| | Probabilistic sensor comms. | [180] | • | • | • |
| | Probabilistic computing | [31, 35, 62, 95, 133] | • | • | • |
| | Stochastic computing | [5, 24, 137] | • | • | • |
| | Voltage overscaling | [73, 75, 88, 90, 102] | • | • | • |
| | Logic pruning | [116, 161, 168] | • | • | • |
| | Approximate addition | [69, 87, 112, 117, 205] | • | • | • |
| | Approximate multiplication | [84, 101, 143] | • | • | • |
| | RTL approximations | [129, 195] | • | • | • |
| | Approx. high-level synthesis | [103, 105] | • | • | • |
| Voltage overscaled SRAM | [19, 32, 61, 169] | • | • | • | |
| Architecture | Deterministic lossy I/O | [97, 179, 184] | • | • | • |
| | Voltage overscaling | [55] | • | • | • |
| | Analog neural acceleration | [175] | • | • | • |
| | Digital neural acceleration | [56, 128, 200] | • | • | • |
| | Anytime computation | [118] | • | • | • |
| | Approximate reads | [141] | • | • | • |
| | Approximate writes | [154] | • | • | • |
| | Reuse of failed data blocks | [154] | • | • | • |
| | Variable redundancy | [59, 68, 83] | • | • | • |
| | Approx. cache de-duplication | [155, 156] | • | • | • |
| | Load-value approximation | [157, 188] | • | • | • |
| | Low-refresh DRAM | [109] | • | • | • |
| In-network lossy compression | [21] | • | • | • | |
| PL | Floating-point optimization | [39, 47, 148] | • | • | • |
| | Neural approximation | [55] | • | • | • |
| | Relaxed parallelization | [28, 120, 122, 144, 145] | • | • | • |
| | Accuracy verification | [29, 119] | • | • | • |
| | Data-parallel kernel approx. | [150] | • | • | • |
| | Isolation of approx. data | [135, 153] | • | • | • |
| | Algorithm approximation | [160] | • | • | • |
| Loop perforation | [170] | • | • | • | |
| OS | Display color approximation | [178] | • | • | • |
| | Drivers for approx. sensors | [183] | • | • | • |
| | Dynamic accuracy adaptation | [12, 78, 171] | • | • | • |
| | Task-level approximation | [1] | • | • | • |

At the circuit level, most techniques in the research literature to date have focused on trading errors for energy efficiency and to a lesser extent, performance and data storage density. At this level of the system stack, the focus has been overwhelmingly on computation resources (e.g., arithmetic/logic units (ALUs)) as the *Affected Resources* columns in Table 1 show.

Most of the architectural techniques in Table 1 target computation at a coarse grain (e.g., analog and digital neural accelerators). A majority of the architectural techniques listed in Table 1 apply to data movement and storage such as on- and off-chip memories, memory hierarchy data traffic, and on- and off-chip I/O links.

Programming language techniques have largely focused only on techniques that affect computation, as the *Affected Resources* columns in Table 1 show. This is unsurprising, since most programming languages focus on providing primitives and abstractions for computations (as opposed to, say, communication). There is potentially an unexplored opportunity to investigate techniques for trading errors for efficiency applied to language-level constructs for communication such as the channels in Hoare’s communicating sequential processes (CSP). One early investigation of this direction is the M language, which provided language-level error, erasure, and latency tolerance constraints [181] on CSP-style language-level channels.

Techniques implemented at the operating system (OS) level, such as application programming interfaces (APIs), standard system libraries, device drivers, and so on, have the unique vantage point of seeing all system processes. Techniques at the OS-level often have a global view of the system hardware, and visibility into application behavior beyond a single execution instance. OS-level techniques also have access to information about user preferences, such as activation of a low-power mode on a mobile device. Despite these potential advantages of OS-level techniques for trading errors for efficiency, there have been relatively few techniques implemented at this level of abstraction. The techniques which Table 1 lists target improving energy efficiency and do so primarily by trading the use of sensors, displays, and coarse-grained application level error behavior for improved efficiency.

10 FUNDAMENTAL LIMITS OF RESOURCE VERSUS CORRECTNESS TRADEOFFS

Section 5 through Section 8 presented concrete techniques for trading resource usage for correctness at levels of abstraction ranging from the device-, gate-, and circuit-level, to the operating system. For techniques at each of these levels of abstraction, this article formulated the resource usage versus correctness tradeoff in terms of a computational problem, its implementation in an algorithm, and a distance function d between the state representations of a computation’s correct and resource-reduced variants. That relation between a computation’s input and output or between a computation’s state prior to and subsequent to computation has parallels to communication systems. We can draw an analogy between the state transformation performed by an algorithm which must consume resources (time, energy, die area) to achieve the exact behavior specified by the computational problem which it implements, and source- and channel-coding for communication over a channel: Source- and channel-coding which likewise consume resources in order to maximize the mutual information between the transmitter and receiver over a channel. Von Neumann [197], Berger and Gibson [17], Evans [57], Maggs [43], Elias [53], Spielman [172], and Shanbhag [76], among others, have previously drawn similar analogies between resource usage versus correctness tradeoffs and communication channels. And doing so provides a useful lens through which to study the fundamental limits of resource usage versus correctness tradeoffs in computing systems.

10.1 From information and coding theory to coded computation

The study of fault-tolerant systems dates back to von Neumann’s investigation [197] of building reliable systems from unreliable components. Fault-tolerant systems research has focused more heavily on a coarser-grained view. In contrast, *information theory* focuses on the mathematical study of communication over noisy channels [165] while *coding theory* studies methods for judiciously trading redundancy in data representations for either reduced transmission time (source coding) or improved end-to-end reliability in transmission over a noisy channel (channel coding).

In contrast to channel coding techniques whose objective is to counteract the effect of noise, Chen *et al.* [36] exploit the presence of noise to improve image processing tasks, demonstrating how adding Gaussian noise to quantized images can improve the output quality of signal processing tasks. This observation that noise can improve a computing system’s performance has parallels to randomized algorithms (see, e.g., Section 9).

Classical information and coding theory rely on the assumption that noise only occurs in communication, rather than in computation. In contrast, recent research has begun to study the fundamental limits of encoders [199] and decoders [192, 202] built on top of hardware implementations that are, like the communication channel, susceptible to noise. Similarly, recent research has investigated techniques for executing computation on encoded representations in order to obtain exact or approximate results in the presence of noise. These methods have been referred to in the research literature as *coded computation* [67, 140]. One plausible direction for future research is to identify computing abstractions that unify the above techniques via new computational operators that execute on encoded representations. Stochastic computing [4], hyper-dimensional computing [138], and deep embedded representations (deep learning) offer promising examples.

10.2 Theoretical bounds

Recent research has used information theory as a foundation to investigate theoretical bounds on performance [201], efficiency [177], energy consumption [33], Shannon-style channel capacity and storage bounds [177, 192] for computing and communication systems which trade resource usage for correctness. Varshney [192] demonstrates Shannon-style bounds on storage capacity in the context of noisy LDPC iterative decoders. Stanley-Marbell [177] derives best-case efficiency bounds for encoding techniques which limit the deviations of values in the presence of logic upsets. Chatterjee *et al.* [33] present lower bounds on energy consumption for achieving a desired level of reliability in computation of an n -input Boolean function and Yazdi *et al.* [201] formulate an optimization problem to produce a noisy Gallager B LDPC decoder that achieves minimal bit error rate, by treating unreliable hardware components as communication channels as in stochastic computing (see Section 5 for coverage of stochastic computing). These recent research efforts demonstrate that information and coding theory can provide a baseline to derive bounds on efficiency, capacity, energy consumption, and performance in the systems of interest in this survey: computing systems which trade resource usage for correctness.

10.3 Application-aware source and channel coding across the hardware stack

Mitigating the effects of errors across the stack will ultimately require encoding techniques, applied across the layers of the stack that are designed to take advantage of application characteristics. Early examples of such *application-aware codes* can be found in the work of Huang *et al.* [80] which proposes a redundancy-free adaptive code that can correct errors in data retrieved from potentially faulty cells. The technique relies on an application-specific cost function and an *input-adaptive coding scheme* that pairs a source encoder that introduces modest distortion, with a channel encoder that adds redundant bits to protect the distorted data against errors. Adaptive coding can greatly reduce output quality degradation in the presence of noise, compared to naïve implementations where noise is allowed to traverse the stack unchecked.

10.4 Summary

Information and coding theory today form the basis for techniques to analyze and model noisy communication and storage systems as well as techniques to counteract the effects of noise. With the emergence of approximate computing, there is an opportunity to investigate new approaches to source and channel coding. These new approaches could explicitly take into account the specific noise distributions observed in practice and could explicitly take into account the requirements of the applications consuming the data in question. These new challenges require a unifying mathematical theory to reason about errors, efficiency, and capacity bounds.

11 CHALLENGES

We identify eight challenges facing both research and applications of techniques to trade correctness for resource usage.

Challenge 1: Holistic cross-layer approaches. A whole-system view to trading errors for efficiency requires expertise in the target application domain and in multiple levels of the computing stack. Most of the existing approximation and error-handling mechanisms are designed in the context of a single layer in the stack. This is likely to be suboptimal. Techniques in different layers can easily negate each other, where gains reported in isolation may not translate into real system-level benefits in the end. At the same time, techniques in different layers may complement each other, where significant opportunities for cross-layer optimizations can be expected. A full-stack view of error-efficient system design requires less insular approaches. A cross-layer approach will however significantly increase the size of the design space and could introduce significant additional design complexity.

Challenge 2: Hardware models, hardware platforms, and measurement data. Most software-level techniques employ models or abstractions of the errors and performance of the underlying hardware in order to achieve modularity and scalability. Examples of hardware error models assumed today include assumptions about the distribution of locations and values of errors caused by voltage overscaling in microarchitectural structures and memories, or assumptions about the distribution of errors in DRAMs that are not refreshed as regularly as they should be. Similarly, lower levels of the software stack may expose higher-level models to, e.g., application developers. Today, different research efforts often use different models, which makes comparisons between research results difficult and raises questions about the validity of reported resource savings. Error and performance models which have been validated by the hardware community, e.g., by hardware measurements and which are suitable for the software levels of the stack would be an invaluable contribution to the research directions described in this survey. In order to make credible claims about across-the-stack approximations, the proposed techniques need to be evaluated end-to-end either on actual state-of-the-art hardware platforms or with realistic simulations. Such end-to-end evaluations with an agreed-upon platform is missing today. Early examples in this direction include measurement results from open hardware platforms explicitly designed to expose accuracy, precision, performance, and energy efficiency tradeoffs [186].

Challenge 3: Hardware emulation/simulation, software tools, languages, and compiler infrastructure. Applying error versus resource tradeoffs in software requires tools that help programmers and systems builders take advantage of techniques in a systematic and controlled way. It also requires hardware simulators or emulators that help bridge the gap between the fidelity of hardware prototypes and the flexibility of software simulation. On the hardware simulation side, these tools would ideally provide support for end-to-end evaluation of entire systems, to be used in comparing different proposed techniques. Language and compiler tools would include those to support testing, debugging, and dynamic quality monitoring. First steps in this direction for compiler tools include ACCEPT [152].

Challenge 4: Application domains and algorithmic patterns. Today, there is insufficient consensus on well-defined classes of application domains and algorithmic patterns that constitute a preferential target for relaxations. First steps include the definition of “Recognition, Mining, and Synthesis” application classes [51]. A standard benchmark set which has been agreed upon by the wider community would increase progress and comparability of different techniques, like it has done for SAT/SMT solving. Such a benchmark set should ideally cover different domains and include also real-world ‘challenge applications’ which cannot be solved today, but which would convincingly demonstrate the viability of error-efficient computing.

Challenge 5: Large-scale user studies to provide empirical characterization of acceptability. User studies with thousands of participants will be necessary to provide quantitative data [110], which researchers can use when proposing techniques that exploit tolerance of human

observers to deviations from correctness of program results. Initial steps in this direction include the “Specimen” dataset of color perception data used in color approximation techniques [27].

Challenge 6: Metrics. When applying techniques to an application, it would be useful to have a reliable error metric to guide the optimization process. In the ideal case, that error metric would be binary: “correct” and “not correct.” But in reality, correctness and its boundaries are not well known for many applications. The metrics in question might be broadly applicable to many systems, or might be application-specific metrics used to measure Pareto-optimality. Early work in this direction includes the work of Akturk *et al.* [3].

Challenge 7: Studies of the theoretical bounds on resource usage. Theoretical upper and lower bounds are invaluable in guiding research as they set the bar for what is achievable. Such bounds are needed for a given formally-defined specification of deviation from correctness. One promising direction are bounds on encoding overhead for communication encoding techniques which trade communication energy use or performance (data rate) for integer deviations in communicated values. Examples of first steps in this direction include work on the bounds of encoding efficiency for deterministic and probabilistic approximate communication techniques [177, 182].

Challenge 8: Reproducibility and deployment of techniques. This survey describes a broad range of techniques, from circuits to algorithms. For many of the research results across these layers, it can be challenging to replicate the setup, tools, or benchmarks employed in evaluations. Beyond good scientific practice of describing experiments in sufficient detail to be reproducible, because there is today no common consensus even on many aspects of terminology, it is challenging to compare, replicate, and build upon research results. This survey attempted to address the challenge of terminology with a consistent set of formal definitions across the layers of a system (Section 4). Further progress is however needed. Opportunities include greater availability of open-source libraries. First steps for low-power and high-performance approximate arithmetic components include synthesizable Verilog/VHDL files and behavioral models in C/MATLAB [162, 163].

12 RETROSPECTIVE AND FUTURE DIRECTIONS

Computing systems use resources such as time, energy, and hardware to transform their inputs to outputs. For many years, the primary driver of efficiency improvements in computing were a combination of improved semiconductor process technology and better algorithms. In the last decade, two important shifts have forced a fundamental re-evaluation of the hardware driver of efficiency improvements. First, with the cessation of constant-field Dennard scaling and the stagnation of device supply voltages, process technology scaling no longer provides the improvements in energy efficiency that it once did. Second, in contrast to traditional computing applications such as financial transaction processing and office productivity, the dominant computing system applications are increasingly driven by inputs from the physical world (audio, images) with outputs for consumption by humans. Applications driven by data from the physical world have essentially unbounded input datasets, and this has partly motivated a resurgence of interest in machine learning approaches to learning functions from large datasets. The stagnation in hardware device-level improvements coupled with increasingly ever more abundant sensor-data-centric workloads has led to a need for new ways of improving computing system performance. This survey explored techniques to address this challenge of computing on data when less-than-perfect outputs are acceptable for a computing system’s users.

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