

# Exploring management approaches for water and energy in the data-scarce Tekeze-Atbara Basin under hydrologic uncertainty

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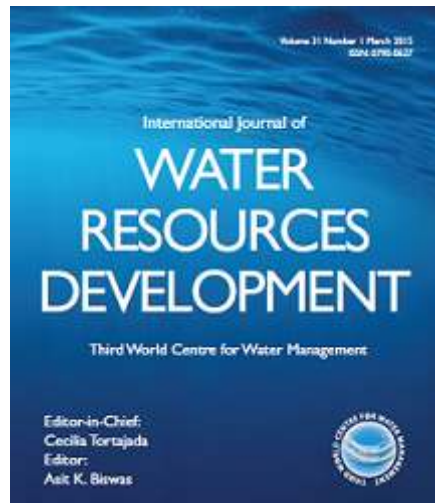
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3 **1 Exploring management approaches for water and energy in the data-**  
4 **scarce Tekeze-Atbara Basin under hydrologic uncertainty**

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## 13 **Exploring management approaches for water and energy in the data-** 14 **scarce Tekeze-Atbara Basin under hydrologic uncertainty**

15 This study examines management approaches for hydropower generation and  
16 irrigation and domestic water supply for Tekeze-Atbara, a transboundary river  
17 between Ethiopia, Eritrea, and Sudan, under above- and below- normal  
18 hydrologic conditions considering current and future water demand scenarios.  
19 Satellite data are used to substitute unavailable or inaccessible ground  
20 meteorological and dam data. Based on three examined coordination scenarios,  
21 the analysis finds that coordinating the management of the Sudanese dams would  
22 bring significant benefits to water supply and energy generation. An optimisation  
23 analysis is necessary to reveal the full value of coordination of dams in Tekeze-  
24 Atbara Basin.

25 **Keywords:** Nile Basin; storage dams; satellite-based rainfall products; satellite-  
26 based reservoir monitoring; water-energy nexus; coordination

### 27 **Introduction**

28 Access to sustainable water, energy, and food sources has become a major concern in  
29 the modern-day especially under the ongoing rapid changes in the natural and human  
30 environments. The demands for water, energy, and food in the Eastern Nile Basin  
31 (ENB) countries (Figure 1) are speedily growing due to population growth, economic  
32 development, and urbanisation (NBI, 2012). From 1990 to 2017, the total population of  
33 the ENB countries increased from around 134 to 260 million (UN, 2017) and the  
34 Human Development Index of the individual countries grew at different rates except for  
35 South Sudan (UNDP, 2018). Moreover, around 80 million of the population of the ENB  
36 countries lived in urban areas in 2017 compared to approximately 38 million in 1990  
37 with the expectation to reach about 217 million by 2050 (UN, 2018). On the other hand,  
38 climate change is expected to exhibit large-scale changes in the elements of the  
39 hydrologic cycle such as precipitation and runoff (IPCC, 2008; N. Stern, 2006). For

1  
2  
3 40 East Africa, several studies projected an increase in precipitation (Shongwe, Van  
4  
5 41 Oldenborgh, Van Den Hurk, & Van Aalst, 2011), whereas others reported a decrease  
6  
7 42 (Souverijns, Thiery, Demuzere, & Lipzig, 2016). Moreover, river flow has been  
8  
9 43 projected to increase in large parts of East Africa coupled with more frequent  
10  
11 44 successions of floods and a decrease in the severity of droughts (Cole, Elliott, & Strobl,  
12  
13 45 2014; Shongwe et al., 2011). In light of the above pressures and challenges, fulfilling  
14  
15 46 the present and future water, energy, and food demands requires consistent plans and  
16  
17 47 targets for water management (García-Vera, 2013), efficient and optimally-operated  
18  
19 48 energy generation systems (Boadi & Owusu, 2017; Peng, Xu, & Liu, 2016), and  
20  
21 49 enhanced agricultural practices (Amekawa, 2009; Møller, Drews, & Larsen, 2017;  
22  
23 50 Pradhan, Sijapati, & Bajracharya, 2015). Further, understanding the interdependences of  
24  
25 51 water, energy, and food systems is imperative for their sustainable management (Al-  
26  
27 52 Saidi & Elagib, 2017; Liu et al., 2017). Several studies investigated the nexus of water,  
28  
29 53 energy, and food in a variety of contexts and spatial and temporal scales and concluded  
30  
31 54 the importance of their joint management (Bazilian et al., 2011; Chirisa & Bandaiko,  
32  
33 55 2015; Engström et al., 2017; Kurian, 2017; Larsen & Drews, 2019; Lindström & Granit,  
34  
35 56 2012).

36  
37 57 Tekeze-Atbara River, the last major tributary of the Nile River before it ends in  
38  
39 58 the Mediterranean Sea, is geographically shared between Ethiopia, Eritrea, and Sudan  
40  
41 59 (Sutcliffe & Parks, 1999). Numerous studies have been published for the Nile Basin on  
42  
43 60 the management of water and energy resources under a variety of scenarios and climatic  
44  
45 61 conditions. However, few focused on or tested water management strategies for Tekeze-  
46  
47 62 Atbara Basin. A recent study by Digna, Mohamed, van der Zaag, Uhlenbrook, & Corzo  
48  
49 63 (2017) reviewed 36 published and unpublished simulation and optimisation models that  
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51 64 have been developed between 1958 and 2016 on management and planning of the Nile  
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3 65 water resources (e.g. Arjoon, Mohamed, Goor, & Tilmant, 2014; Block & Strzepek,  
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5 66 2010; Goor, Halleux, Mohamed, & Tilmant, 2010; Guariso, Haynes, Whittington, &  
6  
7 67 Younis, 1981; Guariso & Whittington, 1987; Jeuland, 2010; King & Block, 2014; Lee,  
8  
9 68 Yoon, & Shah, 2012; Levy & Baecher, 1999; McCartney & Menker Girma, 2012; Satti,  
10  
11 69 Zaitchik, & Siddiqui, 2015; Stedinger, Sule, & Loucks, 1984; Wheeler et al., 2016;  
12  
13 70 Whittington, Waterbury, & Jeuland, 2014; Whittington, Wu, & Sadoff, 2005; Y. Zhang,  
14  
15 71 Block, Hammond, & King, 2015). The study revealed that 10 of the 36 reviewed Nile  
16  
17 72 models included Tekeze-Atbara in their modelling domains. Nevertheless, only one of  
18  
19 73 the ten models, i.e. Abreha (2010), examined scenarios to quantify trade-offs between  
20  
21 74 energy generation and water supply for Tekeze-Atbara Basin. A number of other studies  
22  
23 75 have been recently published on modelling the water resources of the Nile Basin of  
24  
25 76 which three were limited to the Blue Nile Basin (Basheer et al., 2018; Mekonnen, Duan,  
26  
27 77 Rientjes, & Disse, 2018; Stamou & Rutschmann, 2018), one to the White Nile Basin  
28  
29 78 (Basheer & Elagib, 2018b), one to Tekeze-Atbara Basin (Abera, Asfaw, Engida, &  
30  
31 79 Melesse, 2018), three to the ENB (Digna et al., 2018; Mulat, Moges, & Moges, 2018;  
32  
33 80 Wheeler et al., 2018), and one covered the whole Nile Basin (Jeuland, Wu, &  
34  
35 81 Whittington, 2017). Apart from Abera et al. (2018), none of the recent studies focused  
36  
37 82 on testing management scenarios for Tekeze-Atbara Basin. Abera et al. (2018)  
38  
39 83 developed a monthly model for Tekeze-5 Dam (see Figure 1) to optimise its operation  
40  
41 84 for hydropower production under Representative Concentration Pathway (RCP) 4.5 and  
42  
43 85 8.5 climate scenarios. They found that climate change would increase hydropower  
44  
45 86 production from the dam by 25 to 30%. Previous studies that focused on Tekeze-Atbara  
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47 87 Basin, as reviewed above, helped to improve the understandings on the management of  
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49 88 water and energy in the Basin. Nevertheless, they relied on monthly models and  
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51 89 consequently simplified some elements of the river system that vary from day to day  
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3 90 and inherently influence water supply and hydropower generation (e.g. dam outlet  
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5 91 capacities, dam operating rules, tailwater elevation) (World Bank, 1980). Moreover,  
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7 92 they used deterministic hydrologic conditions to drive their model simulations.  
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10 93 In light of what has been mentioned above, this study explores the implication of  
11  
12 94 three coordination scenarios for cascade dams in Tekeze-Atbara Basin for hydropower  
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14 95 generation and irrigation and domestic water supply. Tekeze-Atbara River was selected  
15  
16 96 herein because there is still little scientific understanding of it, as reviewed above,  
17  
18 97 although it contributes roughly 15% of the annual flow of the Nile as measured at  
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20 98 Aswan near the Sudanese-Egyptian border. Moreover, it suffers from metrological and  
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22 99 hydrologic data-scarcity, which enables presenting alternative sources and innovative  
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24 100 methods to obtain data. In this study, a daily river basin model is developed, calibrated,  
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26 101 and validated for Tekeze-Atbara for the period 1984-2016 to include river flow,  
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28 102 irrigation water demands, domestic water demands, and hydropower storage dams. The  
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30 103 model simulates rainfall-runoff of sub-basins with limited/unavailable data, water  
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32 104 allocation to hydropower and water supply, and irrigation water demands of future  
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34 105 schemes. Due to the few operating rainfall stations in the study area, point-to-pixel  
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36 106 evaluation is conducted for five long-term Satellite-based Rainfall Products (SRPs), and  
37  
38 107 the best performing one is used as a boundary condition to the rainfall-runoff  
39  
40 108 component of the model. Satellite imagery is used to derive the past reservoir water  
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42 109 levels of Tekeze-5 Dam because no data about them were accessible for this study. The  
43  
44 110 present analysis examines 36 scenarios which result from the combinations of three dam  
45  
46 111 coordination levels (business as usual, coordination within Sudan, and sub-basin  
47  
48 112 coordination), two water demand scenarios (current and future), and six starting water  
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50 113 levels for Tekeze-5 Dam. Each of the scenarios is examined across 50 above-normal  
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52 114 and 50 below-normal stochastic hydrologic sequences that are developed by  
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3 115 bootstrapping from the historic flow record. The present analysis is not meant to  
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5 116 quantify the costs and benefits of coordination in Tekeze-Atbara Basin, as the examined  
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7 117 scenarios do not include the full expected future water demands, nor is an optimisation  
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9 118 model used to modify the operation of the river system. However, the examined  
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11 119 scenarios are hoped to inform the decision makers of the Tekeze-Atbara Basin and other  
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13 120 basins on the importance of coordinated management of river systems especially under  
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15 121 sustained above- or below-normal hydrologic conditions which are anticipated to occur  
16  
17 122 before the middle of the 21st century (Hirabayashi, Kanae, Emori, Oki, & Kimoto,  
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19 123 2008; IPCC, 2008). The remaining part of this paper is composed of four main sections:  
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21 124 the first gives an overview of Tekeze-Atbara Basin and its water-related infrastructures;  
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23 125 the second deals with the methodology used for this study; the third analyses and  
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25 126 discusses the results; the fourth draws conclusions based on the other three sections.  
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## 32 **Study area**

### 33 *General features*

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35 128 The study area encompasses Tekeze-Atbara Basin. Figure 1 shows the extent and  
36  
37 129 general characteristics of the study area. Tekeze-Atbara Basin is located in north-eastern  
38  
39 130 Africa and is geographically shared between Ethiopia, Eritrea, and Sudan. The basin  
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41 131 covers an area of around 231,000 km<sup>2</sup> of which approximately 51% is in Sudan, 39% is  
42  
43 132 in Ethiopia, and 10% is in Eritrea. Tekeze-Atbara River starts in north-western Ethiopia  
44  
45 133 at an altitude of above 4,500 m asl, where it is known as Tekeze River, and flows  
46  
47 134 northwest through the rugged topography of Ethiopia while small tributaries join the  
48  
49 135 mainstem from Ethiopia and Eritrea. The river enters Sudan at around 580 m asl where  
50  
51 136 it is called Setit River. Around 70 km downstream the Ethiopian-Sudanese border,  
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53 137 Upper Atbara, a main tributary that originates in Ethiopia, joins Setit River to form  
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3 139 Atbara River. After that, Atbara continues northwest through the relatively flat terrain  
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5 140 of Sudan, gets joint by small streams, and merges with the Main Nile in Atbara Town at  
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7 141 around 350 m asl. The Main Nile continues northwards through North Sudan and Egypt  
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9 142 and ends in the Mediterranean Sea.  
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13 [Figure 1 near here]  
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15 144 The average annual flow of Tekeze-Atbara River is around 12,800 Mm<sup>3</sup>, which  
16  
17 145 accounts for approximately 15% of the average yearly flow of the Nile measured at  
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19 146 Aswan near the Sudanese-Egyptian border. On average, the current annual water  
20  
21 147 abstraction and reservoir evaporation in Tekeze-Atbara Basin account for around 1,900  
22  
23 148 and 800 Mm<sup>3</sup>, respectively. High inter- and intra-annual variability characterises the  
24  
25 149 flow of Tekeze-Atbara (Zaghloul, El-Moattassem, & Rady, 2007) with around 92% of  
26  
27 150 the annual flow occurring from July to October (see Figure 2). Based on Köppen Geiger  
28  
29 151 climate classification, the study area comprises temperate (Cwb), tropical (Aw), and  
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31 152 arid (BSh and BWh) climates (H.E Beck et al., 2018).  
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37 [Figure 2 near here]  
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#### 41 ***Dams and hydropower*** 42

43  
44 155 Figures 1 and 3 show the locations of the three currently operating dams in the study  
45  
46 156 area and Table 1 reports their main attributes. The construction of Khashm Elgirba Dam  
47  
48 157 in Sudan, the oldest dam on Tekeze-Atbara River, was completed in 1964 to provide  
49  
50 158 irrigation water to New Halfa Scheme through headworks located on the left side of the  
51  
52 159 dam (World Bank, 1980). Both the dam and the irrigation scheme were built to resettle  
53  
54 160 50,000 Sudanese that have been displaced as a result of the construction of the Egyptian  
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56 161 High Aswan Dam which has a reservoir that extends around 150 km inside Sudan  
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58  
59 162 (Salman, 2016). According to the Ministry of Water Resources, Irrigation, and  
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3 163 Electricity of Sudan, around 50% of the original storage capacity of Khashm Elgirba  
4  
5 164 has been lost due to sedimentation from 1964 to 1985 whereas roughly 6% has been lost  
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7 165 from 1986 to 2009. Khashm Elgirba Dam also serves in hydropower generation in two  
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9 166 ways (Institute of Hydrology, 1978; Sudanese Hydro Generation Co Ltd, 2011): (1)  
10  
11 167 downstream releases can be passed through two 5.3-MW Kaplan turbines; (2) water  
12  
13 168 diversion to New Halfa Scheme and Town can be passed through three 2.4-MW pump-  
14  
15 169 turbines when the reservoir water level is at least two meters higher than the water level  
16  
17 170 in the diversion canal. The three pump-turbines are also used to pump water from the  
18  
19 171 reservoir to the diversion canal when the reservoir water level drops below the canal  
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21 172 water level (Institute of Hydrology, 1978).

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27 173 [Table 1 near here]

28  
29 174 In 2009, Ethiopia completed the construction of Tekeze-5 Dam, the second  
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31 175 tallest double curvature concrete arch dam in Africa, to generate hydropower through  
32  
33 176 four 75-MW Frances turbines (Abera et al., 2018; Adera, 2015; Tekeze Inauguration  
34  
35 177 Bulletin, 2009; Welde, 2016). In 2015, the construction of Upper Atbara and Setit Dam  
36  
37 178 Complex (UASDC) was completed at a location right upstream the confluence of Upper  
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39 179 Atbara and Setit Rivers (see Figure 1). The latter River is called Tekeze in Ethiopia as  
40  
41 180 explained in the previous section. UASDC crosses both Upper Atbara and Setit Rivers  
42  
43 181 to form a common reservoir (Sloff, Omer, Heynert, & Mohamed, 2015). The dam was  
44  
45 182 built to generate hydropower through four 80-MW turbines, to provide irrigation water  
46  
47 183 to a future irrigation scheme called Upper Atbara, and to supply Gedarif Town with  
48  
49 184 domestic water through a pipeline that is currently under construction (Zoellner, Scheid,  
50  
51 185 & Mukthar, 2017). The dam body includes two sets of outlets: (1) a powerhouse and a  
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53 186 spillway that release water to Upper Atbara River and (2) a spillway that discharges into  
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55 187 Setit River. Lastly several dams are planned for construction on the Ethiopian side of  
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3 188 Tekeze-Atbara River (Digna et al., 2018). However, they were not included in this  
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5 189 study.  
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9 190 [Figure 3 near here]  
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11  
12 191 ***Irrigation and domestic water users***  
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14  
15 192 Figures 1 and 3 illustrate existing water abstractions on Tekeze-Atbara in addition to  
16  
17 193 planned abstractions in the basin part of Sudan. The information available to us on  
18  
19 194 future irrigation and domestic water users in the Ethiopian and Eritrean parts of the  
20  
21 195 basin were not enough to estimate their water demands and therefore were not  
22  
23 196 considered. New Halfa, located in Sudan, is the only existing large-scale irrigation  
24  
25 197 scheme that withdraws water from Tekeze-Atbara River. The scheme has an area of  
26  
27 198 around 185,000 ha and was constructed during the 1960s to resettle the Sudanese  
28  
29 199 population that has been displaced due to the construction of the High Aswan Dam as  
30  
31 200 explained in the previous section. The scheme is located downstream Khashm Elgirba  
32  
33 201 Dam on the west bank of the river. A diversion canal is used to supply New Halfa  
34  
35 202 Scheme and Town with irrigation and domestic water, respectively, from Khashm  
36  
37 203 Elgirba Reservoir. Furthermore, Upper Atbara Irrigation Scheme is planned to be  
38  
39 204 constructed downstream Khashm Elgirba Dam on the east bank of the river. According  
40  
41 205 to the Ministry of Water Resources, Irrigation, and Electricity of Sudan, the scheme is  
42  
43 206 expected to have an area of around 300,000 ha and will likely be supplied with  
44  
45 207 irrigation water through headworks on the right side of Khashm Elgirba Dam. Lastly, a  
46  
47 208 pipeline is currently under construction to provide 450,000 residents of Gedarif Town  
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49 209 with domestic water from the reservoir of UASDC (Zoellner et al., 2017).  
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3 210 **Methodology**  
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6 211 *Model description*  
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9 212 To examine management approaches for hydropower generation and irrigation and  
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11 213 domestic water supply, a daily river basin model was developed, calibrated, and  
12  
13 214 validated for Tekeze-Atbara for the period 1984 to 2016 to include hydropower dams,  
14  
15 215 large-scale irrigation schemes, significant domestic water users, and major inflows and  
16  
17 216 water losses. Figure 3 shows a schematic of the model developed for this study. The  
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19 217 model simulates rainfall-runoff of sub-basins with limited/unavailable data (i.e., sub-  
20  
21 218 basins 1 to 7), water allocation to hydropower and water supply, and irrigation water  
22  
23 219 demands of future schemes. The baseline model includes five main elements: three  
24  
25 220 dams (i.e., Tekeze-5 Dam, UASDC, and Khashm Elgirba Dam) and two water  
26  
27 221 consumers (i.e., New Halfa Irrigation Scheme and Town). Furthermore, the baseline  
28  
29 222 model includes eight inflow nodes (sub-basins 1 to 7 and Upper Atbara), evaporation  
30  
31 223 losses from storage reservoirs, and transmission losses from river reaches. Upper Atbara  
32  
33 224 Irrigation Scheme and Gedarif Town were included in future scenarios as explained in a  
34  
35 225 following section. The data used in developing and driving the model and their sources  
36  
37 226 are outlined in the following section.  
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43 227 Several methods were employed in modelling rainfall-runoff of sub-basins 1 to 7  
44  
45 228 including simple canopy interception, average monthly evapotranspiration, soil deficit  
46  
47 229 and constant loss calculations for infiltration, and Snyder unit hydrograph (HEC, 2000).  
48  
49 230 It is worth mentioning that all the parameters of the seven sub-basins were spatially  
50  
51 231 lumped. FAO Penman-Monteith equation (G. Allen, Pereira, Raes, & Smith, 1998) was  
52  
53 232 used to estimate the irrigation water demands of the planned Upper Atbara Scheme. It  
54  
55 233 was assumed that Upper Atbara Scheme has a soil type similar to New Halfa Scheme  
56  
57 234 (i.e., clayey soil; The World Bank, (1980)) due to their proximity. Figure S2 in the  
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3 235 online supplementary data shows the estimated irrigation water demands of Upper  
4  
5 236 Atbara Scheme. Fixed lag times and loss percentages were used to model channel travel  
6  
7 237 time and losses, respectively. Furthermore, average monthly evaporation coefficients  
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9 238 were applied to estimate evaporation losses from the storage reservoirs. The calibration  
10  
11 239 and validation criteria of the model are presented in a following section.

12  
13  
14 240 In this study, several modelling tools were utilised to simulate the different  
15  
16 241 processes. The Hydrologic Engineering Center-Hydrologic Modelling System (HEC-  
17  
18 242 HMS; HEC (2000)), CropWat (FAO, 2015), and RiverWare (Zagona, Fulp, Shane,  
19  
20 243 Magee, & Morgan, 2001) were used to model rainfall-runoff, irrigation water demands,  
21  
22 244 and water allocation to hydropower generation and water users, respectively. Figure S3  
23  
24 245 in the online supplemental data displays the linkages between the three modelling tools  
25  
26 246 used in this study.

#### 27 28 29 30 31 32 247 ***Data used***

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35 248 Daily data of river water levels at Kubor Station were obtained from the Ministry of  
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37 249 Water Resources, Irrigation, and Electricity of Sudan (MoWRIE) for the period 1984 to  
38  
39 250 2007. The water levels were used together with the concurrent rating curves to create a  
40  
41 251 river flow time series for the station. MoWRIE also provided river flow data for  
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43 252 Bardana and Rumela stations for the period 2008 to 2016, river flow data for Hamadiet  
44  
45 253 Station for 2013 to 2016, and reservoir water levels for UASDC for 2015 and 2016  
46  
47 254 (note that UASDC became operational in 2015). The inflow of Upper Atbara River for  
48  
49 255 1984 to 2014 was compiled from Kubor Station (1984-2007) and Rumela Station (2008  
50  
51 256 to 2014). Moreover, the observed flow at Hamadiet Station (2015-2016), the observed  
52  
53 257 outflow from, and water levels of, UASDC (2015-2016), and evaporation losses from  
54  
55 258 UASDC were used to estimate the inflow of Upper Atbara River for 2015 and 2016  
56  
57 259 using water balance. For Khashm Elgirba Dam and UASDC, the geometry and monthly

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3 260 evaporation coefficients of the reservoirs, the outlet capacities, the specifications of the  
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5 261 hydropower turbines, the downstream discharge-water level relationships, and the  
6  
7 262 operating rules were obtained from MoWRIE. Apart from the operating rules, similar  
8  
9 263 data were attained for Tekeze-5 Dam from Wheeler et al. (2016) and the Eastern Nile  
10  
11 264 Technical Regional Office (ENTRO) of the Nile Basin Initiative (NBI). The method  
12  
13 265 used to derive the past reservoir water levels of Tekeze-5 Dam, explained in a following  
14  
15 266 section, utilises Landsat satellite images of the United States Geological Survey  
16  
17 267 (USGS). Furthermore, MoWRIE provided intermittent outflow data for Khashm Elgirba  
18  
19 268 Dam from 1984 to 2014, current water demands of New Halfa Scheme and Town,  
20  
21 269 future water demands of Gedarif Town, and the design cropping pattern and irrigation  
22  
23 270 efficiency of Upper Atbara Scheme. Due to poor coverage of Tekeze-Atbara Basin with  
24  
25 271 rainfall stations, five daily SRPs that cover the model period were evaluated, and the  
26  
27 272 best-performing one was used as a boundary condition to model the inflow from sub-  
28  
29 273 basins 1 to 7 (Figure 3). The evaluated SRPs are: Africa Rainfall Climatology Version  
30  
31 274 2.0 (ARC2; Novella & Thiaw (2013)), Climate Hazards group Infrared Precipitation  
32  
33 275 with Stations version 2.0 (CHIRPS V2.0; Funk et al. (2014)), Multi-Source Weighted-  
34  
35 276 Ensemble Precipitation version 2.0 (MSWEP 2.0; Hylke E Beck, Dijk, Levizzani,  
36  
37 277 Schellekens, & Miralles (2017)), Precipitation Estimation from Remotely Sensed  
38  
39 278 Information Using Artificial Neural Networks–Climate Data Record (PERSIANN-  
40  
41 279 CDR; Ashouri et al. (2015)), and Tropical Applications of Meteorology Using Satellite  
42  
43 280 Data and Ground-Based Observations version 2.0 (TAMSAT-2; Tarnavsky et al.  
44  
45 281 (2014)). Daily rainfall data from seven ground stations for the period 1984 to 2007 were  
46  
47 282 used to evaluate the performance of the SRPs (Figure 1 shows the stations). The ground  
48  
49 283 rainfall data were obtained from the Sudan Meteorological Authority and ENTRO.  
50  
51 284 More information about the evaluation method of the SRPs is provided in the next  
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1  
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3 285 section of this paper. The seven sub-basins (see Figure 3) were delineated based on the  
4  
5 286 90-m resolution topographic data of the Shuttle Radar Topography Mission (SRTM;  
6  
7 287 Jarvis, Reuter, Nelson, & Guevara (2008)). The global monthly evapotranspiration data  
8  
9 288 of the Moderate Resolution Imaging Spectroradiometer Global Evapotranspiration  
10  
11 289 Project (MOD16; Mu, Zhao, & Running (2011)), which covers the period 2000 to 2014,  
12  
13 290 were used to estimate the average monthly evapotranspiration from the seven sub-  
14  
15 291 basins. The climatic parameters required to estimate the irrigation water demands of  
16  
17 292 Upper Atbara Scheme were acquired from New\_LocClim (FAO, 2014; Grieser,  
18  
19 293 Gommès, & Bernardi (2006)), a database developed by the Food and Agriculture  
20  
21 294 Organization of the United Nations.

22  
23  
24  
25  
26 295 Key data utilised in this study are provided in the online supplementary file  
27  
28 296 (namely water abstractions, dam operating rules, and reservoir geometry and  
29  
30 297 evaporation coefficients).

### 31 32 33 34 298 *Evaluation of Satellite-based Rainfall Products*

35  
36  
37 299 Point-to-pixel evaluation was conducted to assess the daily performance of the five  
38  
39 300 selected SRPs (i.e., ARC2, CHIRPS V2.0, MSWEP 2.0, PERSIANN-CDR, and  
40  
41 301 TAMSAT-2) through the period 1984 to 2007. This method compares satellite-  
42  
43 302 estimated rainfall at locations of ground rainfall stations with the concurrent rainfall  
44  
45 303 records of the stations. This method is suitable for regions with sparse rainfall stations  
46  
47 304 (Basheer & Elagib, 2018a), and therefore was selected for this study. R programming  
48  
49 305 language (R Core Team, 2015) was used to download the SRPs and to carry out the  
50  
51 306 evaluation. To measure the disparity between the satellite estimates and the ground  
52  
53 307 observations, three metrics were used: the Root Mean Square Error (RMSE; Equation  
54  
55 308 1), the Mean Bias Error (MBE; Equation 2), and the coefficient of determination ( $R^2$ ;  
56  
57 309 Equation 3). Whereas RMSE shows the magnitude of the average error and can take any



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 2  
 3 310 value from zero (indicates no error) to  $+\infty$  (signals a high error), MBE describes the  
 4  
 5 311 direction of error bias and varies between  $-\infty$  and  $+\infty$  (both indicate a high error), with  
 6  
 7 312 no error at zero.  $R^2$  measures how well the estimated values correlate with the observed  
 8  
 9 313 values and varies from zero (total disagreement) to one (perfect correlation). To assess  
 10  
 11 314 the detection skills of the SRPs, four categorical metrics were selected, which are based  
 12  
 13 315 on dichotomous evaluation of each time step within the assessment period (rainy/non-  
 14  
 15 316 rainy). The selected categorical metrics are the Probability Of Detection (POD), the  
 16  
 17 317 False Alarm Ratio (FAR), and the Equitable Threat Score (ETS). In order to calculate  
 18  
 19 318 the three selected categorical metrics, a threshold of 0.1 mm/day was used to classify  
 20  
 21 319 each time step within the evaluation period into the following: a hit, h, when rainfall  
 22  
 23 320 was both observed at the station and estimated by the Satellite-based Rainfall Product  
 24  
 25 321 (SRP); a miss, m, when rainfall was observed at the station but not estimated by the  
 26  
 27 322 SRP; a false alarm, f, when rainfall was estimated by the SRP but not observed at the  
 28  
 29 323 station; or a null, n, when rainfall was neither observed at the station nor estimated by  
 30  
 31 324 the SRP. Equations 4, 5, and 6 were used to calculate POD, FAR, and ETS,  
 32  
 33 325 respectively. POD gives the fraction of observations that were successfully detected and  
 34  
 35 326 ranges from zero, indicating no observation was detected, to one, indicating all  
 36  
 37 327 observations were detected. On the other hand, FAR measures the fraction of  
 38  
 39 328 estimations that were not observed. It ranges from zero, which indicates that all  
 40  
 41 329 estimations were observed, to one, which indicates that all estimations were not  
 42  
 43 330 observed. Lastly, ETS gives the portion of observations and estimations that were  
 44  
 45 331 correctly detected, adjusted for the number of hits ( $H_e$ ; Equation 7) that would be  
 46  
 47 332 expected by random chance. It varies from  $-1/3$ , which is the worst value, to one, which  
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 49 333 is the perfect value.  
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$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (E_i - O_i)^2} \quad (1)$$



$$335 \quad MBE = \frac{1}{n} \sum_{i=1}^n (E_i - O_i) \quad (2)$$

$$336 \quad R^2 = \frac{(n \sum_{i=1}^n O_i E_i) - (\sum_{i=1}^n O_i)(\sum_{i=1}^n E_i)}{(n \sum_{i=1}^n O_i^2) - (\sum_{i=1}^n O_i)^2} \frac{(n \sum_{i=1}^n E_i^2) - (\sum_{i=1}^n E_i)^2}{(n \sum_{i=1}^n O_i E_i) - (\sum_{i=1}^n O_i)(\sum_{i=1}^n E_i)} \quad (3)$$

$$337 \quad POD = \frac{H}{H + M} \quad (4)$$

$$338 \quad FAR = \frac{F}{H + F} \quad (5)$$

$$339 \quad ETS = \frac{H - H_e}{H + M + F - H_e} \quad (6)$$

$$340 \quad H_e = \frac{(H + M)(H + F)}{N} \quad (7)$$

341 Where  $O_i$  is the  $i^{\text{th}}$  observed value,  $E_i$  is the  $i^{\text{th}}$  estimated value,  $n$  is the number of data  
 342 pairs,  $H$  is the total number of hits,  $M$  is the total number of misses,  $F$  is the total  
 343 number of false alarms, and  $N$  is the total number of nulls.

344 Based on the above procedure, ARC2 was selected as the overall best performing SRP  
 345 in the study area and was used as an input to model rainfall-runoff of sub-basins 1 to 7.

346 The supplementary data to this article provides and discusses the results of the  
 347 performance evaluation of ARC2, CHIRPS V2.0, MSWEP 2.0, PERSIANN-CDR, and  
 348 TAMSAT-2.

### 349 ***Satellite-based reservoir water level estimation***

350 For this study, no ground data were accessible on the filling approach or the steady  
 351 operation of Tekeze-5 Dam. Therefore, Landsat satellite images were used together with  
 352 the elevation-area table of Tekeze-5 Reservoir to derive a time series of the reservoir  
 353 water level from the filling commencement until the end of 2016. To achieve that, the

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3 354 following steps were taken:  
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- 6 355 • Landsat images were downloaded for the period from January 2007 to  
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8 356 December 2016. The images were filtered based on cloud cover, sun azimuth,  
9  
10 357 and clarity over the area of Tekeze-5 Reservoir. Accordingly, 71 images were  
11  
12 358 selected for use through the period January 2007 to December 2016.  
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14  
15 359 • For the 71 images, the reservoir water surface was classified, and the reservoir  
16  
17 360 area was calculated.  
18  
19  
20 361 • The elevation-area table of Tekeze-5 Reservoir was used to determine the water  
21  
22 362 levels that correspond with the 71 area values which were derived in the  
23  
24 363 previous step. To this end, the reservoir water level was known at 71 timesteps.  
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26  
27 364 • Linear interpolation between the 71 values of reservoir water level was  
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29 365 performed to create a daily water level time series for Tekeze-5 Reservoir.  
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32

33 366 Figure 4 shows the surface area of Tekeze-5 Reservoir once every year from the  
34  
35 367 start of the filling until 2016. The figure also displays the time series of the reservoir  
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37 368 water level that was derived based on the steps mentioned above. Figure 4 illustrates  
38  
39 369 that Tekeze-5 Reservoir was 54% full by the end of 2009, a result that agrees with  
40  
41 370 information reported by Tekeze Inauguration Bulletin (2009) that the filling was 52%  
42  
43 371 complete by the end of 2009. The evidence from Figure 4 shows that the filling of  
44  
45 372 Tekeze-5 Reservoir started in August 2007 and the reservoir reached the Full Supply  
46  
47 373 Level (FSL) in September 2011. In this study, the satellite-estimated water levels of  
48  
49 374 Tekeze-5 were used as a target to model the operation of the dam throughout the filling  
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51 375 period (i.e., from August 2007 to September 2011). From October 2011 onwards, it was  
52  
53 376 assumed that Tekeze-5 Dam is operated to target a fixed power level while maintaining  
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55 377 the reservoir at a water level higher than the Minimum Operating Level (MOL) and  
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3 378 lower than FSL. This assumption was because the primary purpose of the dam is energy  
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5 379 production. Several power targets were tested for the period October 2011 to December  
6  
7 380 2016, and one was selected based on the correspondence between the modelled and the  
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9 381 satellite-estimated water levels in that period. Accordingly, a 300-MW power target was  
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11 382 selected (see Figure S4 in the online supplemental data). Therefore, the following  
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13 383 operating policy was adopted for Tekeze-5 Dam: targeting a constant power rate of 300  
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15 384 MW while maintaining the reservoir water level between the MOL and the FSL.

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20 385 [Figure 4 near here]

### 21 22 23 24 386 ***Model calibration and validation***

25  
26 387 The model was calibrated and validated at Khashm Elgirba Dam utilising intermittent  
27  
28 388 outflow data that were available for this study. Whereas the calibration period extends  
29  
30 389 from 1984 to 1999, the validation period covers 2000 to 2016. The physical parameters  
31  
32 390 of sub-basins 1 to 7 in addition to the travel times and loss percentages of river reaches  
33  
34 391 constitute the parameters used to calibrate the model. For basins 1 to 7, the calibration  
35  
36 392 parameters are the initial and maximum storage of plant canopy, the initial and  
37  
38 393 maximum deficit of soil moisture, the constant infiltration rate of soil, the standard lag,  
39  
40 394 and the peaking coefficient (HEC, 2000). The model performance was assessed based  
41  
42 395 on quantitative comparison of the observed and simulated outflows from Khashm  
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44 396 Elgirba Dam using six statistical metrics: Nash-Sutcliffe coefficient of Efficiency (NSE;  
45  
46 397 Equation 8),  $R^2$  (Equation 3), MBE (Equation 2), RMSE (Equation 1), POD (Equation  
47  
48 398 4), and FAR (Equation 5). NSE can take any value from one to  $-\infty$  with one indicating  
49  
50 399 perfect prediction ability, zero indicating that the prediction of the model is as good as  
51  
52 400 the mean observed data, and negative values showing that the mean observed data is  
53  
54 401 better than the model prediction.

$$NSE = 1 - \frac{\sum_{i=1}^n (E_i - O_i)^2}{\sum_{i=1}^n (O_i - \bar{O}_i)^2} \quad (8)$$

Where  $O_i$  is the  $i^{\text{th}}$  observed value,  $E_i$  is the  $i^{\text{th}}$  estimated value,  $n$  is the number of data pairs, and  $\bar{O}_i$  is the mean of observed values.

The flow of Tekeze-Atbara River is characterised by high intra-annual variability with around 92% of the annual flow occurring from July to October (see Figure 2.a). For this reason, the model performance was assessed in two different periods in the year: July to October and November to June. Whereas NSE was used to measure the perdition ability of the model in relation to the mean observed data,  $R^2$  was used to show the fraction of variation in the observed data that could be explained by the model. MBE and RMSE were used to indicate the magnitude and direction of error in the model estimations; POD and FAR were used to assess the skill of the model in detecting flow events. To calculate POD and FAR, a threshold of 0.5 Mm<sup>3</sup>/day was used to classify each calibration or validation time step into a hit,  $h$ , when flow was both observed and estimated, a miss,  $m$ , when flow was observed but not estimated, a false alarm,  $f$ , when flow was estimated but not observed, or a null,  $n$ , when flow was neither observed nor estimated.

### 418 *Scenarios analysed*

This study aims to explore management approaches for water and energy in Tekeze-Atbara Basin under prolonged above- and below-normal hydrologic conditions. For this purpose, 36 scenarios were examined (see Figure S5 in the online supplemental data). The scenarios result from the combinations of three dam coordination levels, two demand scenarios, and six starting water levels of Tekeze-5 Dam. Each of the scenarios was subject to 50 above-normal and 50 below-normal hydrologic sequences that have a

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3 425 20-year length. The combinations of the scenarios and the hydrologic sequences result  
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5 426 in a total of 3600 simulations.  
6

7 427 Three dam coordination scenarios were examined in this study: business as  
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9 428 usual, coordination within Sudan, and sub-basin coordination. Tables S1 to S3 in the  
10  
11 429 online supplemental data report the operating rules of Khashm Elgirba Dam, UASDC,  
12  
13 430 and Tekeze-5 Dam in the three coordination scenarios. In the business as usual scenario,  
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15 431 it was assumed that the operation of the three dams would continue as of their current  
16  
17 432 operation. Currently, the primary purpose of Tekeze-5 Dam and UASDC is hydropower  
18  
19 433 generation whereas Khashm Elgirba Dam is operated for water supply and energy  
20  
21 434 generation. Moreover, measures are taken in the operation of UASDC and Khashm  
22  
23 435 Elgirba Dam to control reservoir sedimentation by flushing and seasonal fluctuation of  
24  
25 436 water levels (World Bank, 1980). In the scenario of coordination within Sudan, the  
26  
27 437 original operating rules of Tekeze-5 and Khashm Elgirba dams were sustained, and the  
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29 438 operation of UASDC was amended by allowing it to make extra water releases to meet  
30  
31 439 the downstream water supply deficits. In the sub-basin coordination scenario, Khashm  
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33 440 Elgirba Dam and UASDC were operated like in the case of coordination within Sudan  
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35 441 while Tekeze-5 Dam was set to make extra water releases to cover the downstream  
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37 442 water supply deficits when the other two dams are unable to satisfy the water demands.  
38  
39 443 The rationale behind the selection of the three scenarios is exploring three levels of  
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41 444 coordination: (1) unilateral operation of dams which may result in water supply  
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43 445 shortages, (2) in-country coordination to reduce water supply shortages, and (3) sub-  
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45 446 basin coordination to further lower water supply deficits. We acknowledge that the  
46  
47 447 selected dam operation scenarios are not optimal nor do they reflect the exact path to  
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49 448 coordination for Tekeze-Atbara Basin. However, the present analysis would shed light  
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51 449 on the potential benefits and costs of coordination within a highly understudied basin  
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3 450 and would pave the way for further examinations. It is important to mention that the  
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5 451 operating rules of Tekeze-5 Dam, UASDC, and Khashm Elgirba Dam in the three  
6  
7 452 scenarios are based on the current operation of the three dams, thus do not vary across  
8  
9  
10 453 dry and wet years. In this study, two demand scenarios were examined: a current  
11  
12 454 demand scenario with only New Halfa Scheme and Town included as water consumers;  
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14 455 a future demand scenario with New Halfa Irrigation Scheme and Town, Upper Atbara  
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16 456 Irrigation Scheme, and Gedarif Town incorporated in the system. Although Ethiopia has  
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18 457 agricultural development plans involving Tekeze-Atbara Basin, their data were  
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20 458 inaccessible for this study. Moreover, little information is published about them as  
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22 459 revealed by a review of the literature. In order to develop demand scenarios that include  
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24 460 the Ethiopian part of the basin, one needs to know the areas, locations, and cropping  
25  
26 461 patterns of planned irrigation schemes. While we acknowledge not considering the  
27  
28 462 future water demands of Ethiopia as a limitation, we believe that the analysed scenarios  
29  
30 463 would be an addition to the literature and a starting point for future studies. Because  
31  
32 464 Tekeze-5 is a multi-year storage dam, six scenarios for the initial water level of the dam  
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34 465 were examined: 1,096 m asl (i.e., MOL), 1,100 m asl, 1,110 m asl, 1,120 m asl, 1,130 m  
35  
36 466 asl, and 1,140 m asl (i.e., FSL).

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42 467 In this study, 50 above-normal and 50 below-normal hydrologic sequences with  
43  
44 468 a 20-year length were stochastically generated based on the historic flow record. A 20-  
45  
46 469 year extent was chosen to exemplify prolonged above- or below-normal conditions. To  
47  
48 470 create the hydrologic sequences, the Standardized Streamflow Index (SSFI; Modarres  
49  
50 471 (2007)) was calculated for the total annual flow for 1984 to 2016 (Figure 2.b). Positive  
51  
52 472 and Negative SSFI values were used to classify the years into above- and below-normal  
53  
54 473 years, respectively. SSFI was selected due to the normality in the distribution of the  
55  
56 474 historic annual river flow data explained by a low skewness coefficient of 0.58. After  
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3 475 the years were classified, 50 above-normal (below-normal) hydrologic sequences with a  
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5 476 20-year length were created by repeating the following process 50 times: the bootstrap  
6  
7 477 method (Efron, 1992) was used to resample from the historic above-normal (below-  
8  
9 478 normal) years 20 times with replacement. This method was used due to its simplicity  
10  
11 479 and the absence of clear serial correlation or dependence in the historic above- or  
12  
13 480 below-normal years (Ebtehaj, Moradkhani, & Gupta, 2010; Noguchi, Gel, & Duguay,  
14  
15 481 2011). Figure S6 in the supplementary data shows the hydrologic sequences generated  
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17 482 in this study.  
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## 23 483 **Results and discussion**

### 24 25 26 484 *Model performance*

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29 485 Figure 5 shows the observed and simulated outflow from Khashm Elgirba Dam in the  
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31 486 calibration and validation periods. The evidence from Figure 5.a shows that the model  
32  
33 487 corresponds well with the hydrologic cycle in both the calibration and validation  
34  
35 488 periods. The scatter plots (Figures 5.b and 5.c) show a fair distribution of points around  
36  
37 489 the one-to-one line confirmed by the slope values of the regression lines which are  
38  
39 490 around 0.8 and 0.9 in the calibration and validation periods, respectively. This  
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41 491 characteristic suggests no systematic error in the estimates of the model.  
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46 492 [Figure 5 near here]

47  
48 493 Table 2 reports the values of the performance metrics for the calibration and  
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50 494 validation periods. For July to October, the values of NSE and  $R^2$  indicate good to very  
51  
52 495 good performances in the calibration and validation periods based on the  
53  
54 496 recommendations of M. Stern, Flint, Minear, Flint, & Wright (2016) for performance  
55  
56 497 ranking of models. The values of MBE for July to October reveal overall overestimation  
57  
58 498 by the model. The high RMSE values of July to October, compared to MBE, are due to  
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1  
2  
3 499 the tendency of the metric to magnify the error amounts. This attribute of RMSE has  
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5 500 been highlighted by Elagib, Alvi, & Mansell (1999) among several others. POD and  
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7 501 FAR values for July to October show that the model detected a high fraction of the  
8  
9 502 observed flow days and falsely estimated flow in a small number of days. This good  
10  
11 503 detection capability from July to October is because it is easier for the model to capture  
12  
13 504 flow events in the flood season than in the dry season. The values of MBE and RMSE  
14  
15 505 show less perdition error from November to June than from July to October. For  
16  
17 506 November to June, the model correctly detected more flow events in the validation  
18  
19 507 period than in the calibration as shown by POD, falsely identified fewer flow events in  
20  
21 508 the validation period than in the calibration period as explained by FAR, and had less  
22  
23 509 estimation error in the calibration period than in the validation period as revealed by  
24  
25 510 MBE and RMSE. The values of NSE and  $R^2$  for November to June suggest that low  
26  
27 511 portion of the variation in the observed flow can be explained by the variation in the  
28  
29 512 modelled flow. This is partly due to the high falsely detected flow days as shown by  
30  
31 513 FAR. Overall, the model showed better performance in the validation period than in the  
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33 514 calibration period using all metrics except RMSE and MBE.  
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41 [Table 2 near here]  
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### 45 ***Water supply and hydropower generation in Sudan***

46  
47 517 This section presents the water supply shortages and hydropower generation in Sudan  
48  
49 518 with the two demand scenarios, the three dam operation scenarios, and the above- and  
50  
51 519 below-normal hydrologic conditions. It was found that the initial reservoir water level  
52  
53 520 of Tekeze-5 has minor impacts on water supply shortages and hydropower generation in  
54  
55 521 Sudan. This was due to the operating policy of Tekeze-5 Dam which is based on a  
56  
57 522 constant power target (see Tables S1 to S3 in the online supplemental data). Therefore,  
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2  
3 523 the results presented herein are only for an intermediate initial water level of the Dam  
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5 524 (i.e., 1120 m asl).

7 525 Figures 6.a to 6.d illustrate the probability of exceedance of the annual water  
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9  
10 526 supply shortages of Sudan with the current and future water demand scenarios under  
11  
12 527 above and below-normal hydrologic conditions. Figure 6.a reveals that business as usual  
13  
14 528 operation of dams with the current water demands under below-normal hydrologic  
15  
16 529 conditions results in water supply shortages in Sudan that range from 19 to 1,425  
17  
18 530 Mm<sup>3</sup>/year. Under the same circumstances, shifting from business as usual to  
19  
20 531 coordination within Sudan reduces the probability of exceedance for the water supply  
21  
22 532 shortages that range between 0 and 175 Mm<sup>3</sup>/year. Moreover, it was found that shifting  
23  
24 533 from coordination within Sudan to sub-basin coordination of dams has low impacts on  
25  
26 534 Sudan's water supply shortages (i.e., slightly reduces the probability of exceedance for  
27  
28 535 the shortages that lie between 610 and 690 Mm<sup>3</sup>/year; see Figure 6.a). Along the same  
29  
30 536 lines, Figure 6.b shows that business as usual operation of dams under above-normal  
31  
32 537 hydrologic conditions and with the current water demands produces supply shortages in  
33  
34 538 Sudan that range from 0 to 182 Mm<sup>3</sup>/year with around 10% probability of exceeding 60  
35  
36 539 Mm<sup>3</sup>/year. Shifting to coordination within Sudan reduces the maximum annual supply  
37  
38 540 shortages to 8 Mm<sup>3</sup> with only 0.3% probability of having water shortages (Figure 6.b).  
39  
40 541 The evidence from Figure 6.b suggests no difference between supply shortages in the  
41  
42 542 coordination within Sudan and the sub-basin coordination scenarios. On the other hand,  
43  
44 543 a water supply shortage range of 21 to 2,160 Mm<sup>3</sup>/year was found with the future water  
45  
46 544 demand scenario under below-normal hydrologic conditions and business as usual  
47  
48 545 operation of dams (see Figure 6.c). Shifting to coordination within Sudan or sub-basin  
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50 546 coordination lessens this range to 0 to 2,070 Mm<sup>3</sup>/year with a 70% probability of  
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52 547 occurrence of water supply shortages compared to a 100% in the case of business as  
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3 548 usual. Furthermore, Figure 6.d illustrates that business as usual operation of dams under  
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5 549 above-normal hydrologic conditions and with the future water demand scenario results  
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7 550 in low annual supply shortages that range from 0 to 290 Mm<sup>3</sup> with around 10%  
8  
9 551 probability of exceeding 77 Mm<sup>3</sup>/year. Moreover, coordinating the operation of dams  
10  
11 552 within Sudan or across the basin results in nearly similar shortages with around 3.5%  
12  
13 553 probability occurrence. As Figures 6.a and 6.c show, under below-normal hydrologic  
14  
15 554 conditions, shifting from coordination within Sudan to sub-basin coordination produces  
16  
17 555 minor benefits in terms of reduction in water supply shortages. This result can be  
18  
19 556 explained by the limited storage in Tekeze-5 Reservoir which occurred due to water  
20  
21 557 scarcity in below-normal conditions. Further, Figures 6.b and 6.d also suggest no  
22  
23 558 difference between water supply shortages in the coordination within Sudan and the  
24  
25 559 sub-basin coordination scenarios. This is because the water supply shortages were  
26  
27 560 nearly eliminated in the scenario of coordination within Sudan. The latter finding  
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29 561 signals that sub-basin coordination would positively impact water supply in the basin in  
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31 562 above-normal conditions should Ethiopia implements its irrigation plans.  
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37 563       Regarding hydropower generation in Sudan, the following was noted (see  
38  
39 564 Figures 6.e to 6.h): an insignificant difference in annual energy generation across the  
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41 565 three dam operation scenarios; a profound difference in daily energy generation across  
42  
43 566 the three dam operation scenarios; a slightly higher annual energy generation with the  
44  
45 567 current demand scenario than with the future demands scenario; a considerably higher  
46  
47 568 annual energy generation under above-normal hydrologic conditions than under below-  
48  
49 569 normal hydrologic conditions. It was found that the annual energy generation of Sudan  
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51 570 under below-normal hydrologic conditions (Figures 6.e and 6.g) ranges from 100,000 to  
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53 571 1,220,000 MWh with a coefficient of variation of around 0.24. However, this range  
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3 572 increases under above-normal hydrologic conditions (Figures 6.f and 6.h) to between  
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5 573 865,000 to 1,500,000 MWh with a coefficient of variation of approximately 0.07.  
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9 574 [Figure 6 near here]

10  
11 575 To sum up, shifting from business as usual to coordinated operation of dams  
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13 576 within Sudan decreases the water supply shortages considerably in above- and below-  
14  
15 577 normal hydrologic conditions and with both water demand scenarios. The three dam  
16  
17 578 operation scenarios have insignificant impacts on the annual energy generation of Sudan  
18  
19 579 and considerable impacts on the daily distribution of energy generation. The annual  
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21 580 energy generation increases significantly in above-normal hydrologic conditions  
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23 581 compared to below-normal hydrologic conditions with less variability in energy  
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25 582 generation in the former than the latter.  
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### 30 31 583 *Hydropower generation in Ethiopia*

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33 584 Figure 7 demonstrates the total annual energy generation of Ethiopia from Tekeze-5  
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35 585 Dam under the two demand scenarios, the above and below-normal hydrologic  
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37 586 conditions, and the two operation settings of Tekeze-5 Dam. The results presented in  
38  
39 587 Figure 7 are based on a 1120 m asl initial water level for Tekeze-5 as little difference  
40  
41 588 was found in the results of the six initial reservoir water levels. The evidence from  
42  
43 589 Figure 7 shows that the demand and dam operation scenarios have insignificant impacts  
44  
45 590 on annual and daily energy generation from Tekeze-5 Dam. It was found that energy  
46  
47 591 generation from the dam ranges between 110,000 and 2,370,000 MWh/year in below-  
48  
49 592 normal hydrologic conditions. In above-normal hydrologic conditions, the results show  
50  
51 593 a minimum annual energy generation of around 1,170,000 MWh and a maximum of  
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53 594 around 2,370,000 MWh. This increase in the minimum is due to water abundance in  
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55 595 above-normal conditions compared to below-normal conditions. It is worth mentioning  
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3 596 that 2,370,000 MWh is the maximum possible amount of energy that could be generated  
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5 597 from the dam annually. Figure 7 demonstrates less variability in annual energy  
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7 598 generation in above-normal conditions than in below-normal conditions. This variability  
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9  
10 599 decrease can be confirmed with a coefficient of variation of 0.06 and 0.4 in the above-  
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12 600 and below-normal hydrologic conditions, respectively.

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15 601 [Figure 7 near here]

## 16 602 **Conclusions**

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19 603 Coordinated management of water and energy systems is more urgent now than ever  
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21 604 especially in arid regions with seasonal, dammed, transboundary rivers. While  
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23 605 understanding the uniqueness of each river is key to the sustainable management of  
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25 606 water and energy resources (H. Zhang & Li, 2018), the unavailability/inaccessibility of  
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27 607 ground hydrologic and/or meteorological data has for long hindered the optimal  
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29 608 management of the two resources. This study explores in-country and transboundary  
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31 609 management strategies for water supply and hydropower generation under prolonged  
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33 610 above- and below-normal hydrologic conditions for Tekeze-Atbara, a transboundary  
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35 611 river between Ethiopia, Eritrea, and Sudan, that suffers a scarcity of ground data  
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37 612 (unavailability and inaccessibility). To achieve that, a daily river basin model is  
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39 613 developed for Tekeze-Atbara for the period 1984 to 2016 to include hydropower dams,  
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41 614 large-scale irrigation schemes, significant domestic water users, and major inflows and  
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43 615 water losses. To overcome the data scarcity issue of the study region, satellite-based  
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45 616 rainfall and evapotranspiration data are used as inputs to the model. Moreover, satellite  
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47 617 imagery is used to estimate reservoir water levels where no data are available. In this  
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49 618 study, 36 scenarios are examined across 50 above-normal and 50 below-normal  
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51 619 hydrologic conditions that are generated using the bootstrap method. The scenarios  
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3 620 result from combinations of dam operating settings, water demand scenarios, and initial  
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5 621 system conditions.

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7 622 Based on certain scenario assumptions, the results revealed that coordinating the  
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10 623 operation of the Sudanese dams in the study area would have positive impacts on  
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12 624 irrigation and domestic water supply under both above- and below-normal conditions.  
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14 625 Even though this in-country coordination would have insignificant impacts on annual  
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16 626 hydro-energy generation in Sudan, it implies changes in daily energy generation which  
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18 627 require adjusting the energy mix on the country level. On the other hand, the assumed  
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20 628 sub-basin coordination of dam operation resulted in slight improvements in water  
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22 629 supply and hydropower generation in the basin compared to coordination within Sudan  
23  
24 630 only. Nevertheless, sub-basin coordination is anticipated to have significant positive  
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26 631 impacts in above-normal hydrologic conditions with future irrigation in Ethiopia added  
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28 632 to the system. It is important to note that any positive outcomes of coordination would  
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30 633 support trust-building between riparian countries which often happens in small steps  
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32 634 over a long period (Huisman, Jong, & Wieriks, 2000; Uitto & Duda, 2002). Mutual trust  
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34 635 is instrumental to international cooperation (Raadgever, Mostert, Kranz, Interwies, &  
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36 636 Timmerman, 2008) especially in a hydro-politically complex basin like the Nile.

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38 637 This study has some limitations that can be addressed by future research.  
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41 638 Landsat satellite images were used to estimate the historic water levels of Tekeze-5  
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43 639 Reservoir. Landsat has a 30 m spatial resolution, a 21-day temporal resolution, and a  
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45 640 varying cloud cover and sun azimuth. Future studies could use other sources of satellite  
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47 641 imagery that have better characteristics and apply cloud and shadow removal techniques  
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49 642 (Helmer & Ruefenacht, 2005; Lin, Tsai, Lai, & Chen, 2013; Tseng, Tseng, & Chien,  
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51 643 2008) to enable estimating reservoir water levels more accurately and at finer time  
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53 644 intervals. The daily model developed for this study uses monthly evaporation  
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3 645 coefficients to estimate reservoir evaporation and therefore assumes a constant daily  
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5 646 evaporation rate for each month. This assumption is used in reality to operate the three  
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7 647 dams in Tekeze-Atbara Basin and most dams in the Nile Basin. Notwithstanding this  
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10 648 limitation, the daily model developed herein certainly adds value over a monthly model  
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12 649 as it simulates several daily processes that inherently impact water supply and  
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14 650 hydropower generation (e.g. tailwater level, dam release capacity, dam operating rules)  
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16 651 (World Bank, 1980). In this study, the above- and below-normal hydrologic sequences  
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18 652 were generated based on the historic river flow record. This approach, however,  
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20  
21 653 overlooks the non-stationarity in the climate system which has been revealed by several  
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23 654 studies on East Africa as a temperature rise (Nadir Ahmed Elagib, 2010; Nadir Ahmed  
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25 655 Elagib & Mansell, 2000; IPCC, 2008) or a change in precipitation (Shongwe et al.,  
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27 656 2011; Souverijns et al., 2016) and river flow (Cole et al., 2014; Gebremicael, Mohamed,  
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29 657 Zaag, & Hagos, 2017; Gizaw, Biftu, Gan, Moges, & Koivusalo, 2017). Whereas the  
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31 658 increase in temperature would increase evaporation and/or transpiration from reservoirs  
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33 659 and irrigated lands, the increase (decrease) in precipitation and river flow would  
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35 660 increase (decrease) hydropower generation (Cole et al., 2014) and enhance (negatively  
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37 661 affect) water supply. A 20-year length was chosen for the hydrologic sequences to  
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39 662 exemplify prolonged conditions. Further research could test hydrologic sequences with  
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41 663 different lengths and generate sequences based on climatic projections. Furthermore, the  
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43 664 future demand scenario considered herein did not include the agricultural development  
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45 665 plans of Ethiopia because little data were available to us about them. Digna et al. (2018)  
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47 666 reported a potential irrigation area in Ethiopia of around 45,000 ha that is yet to be  
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49 667 exploited. Irrigation water abstractions in Ethiopia would certainly impact water supply  
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51 668 and hydropower generation in the basin. While the findings of this study show that  
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53 669 raising the level of coordination would produce benefits to water supply, an  
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3 670 optimisation model is necessary to quantify the full value of coordination. Such an  
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5 671 optimisation model should consider future dams and water users in Ethiopia in addition  
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7 672 to the economic, social, and environmental impacts of the water infrastructures in the  
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9 673 basin. Lastly, the extent of this study was limited to the Tekeze-Atbara Basin to explore  
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11 674 possible management approaches for water and energy in the basin. However, it is  
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13 675 essential to conduct a similar analysis for the whole Nile Basin to shed light on possible  
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15 676 cooperative management approaches on a larger scale.

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19 677 Last of all, meeting the current and future demands for water and energy  
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21 678 necessitates coordinating the management of river basins. Sustainable and efficient  
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23 679 management of water and energy systems should consider all the relevant social,  
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25 680 economic, and environmental aspects on the local, national, and regional levels.  
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27 681 Research on innovative management approaches for water and energy resources is  
28  
29 682 highly needed, especially in data-scarce regions.

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Table 1. Main characteristics of dams located in the study area.

Characteristic	KED	UASDC	Tekeze-5
Full supply level (m asl)	474	521	1,140
Minimum Operating Level (m asl)	463	509	1,096
Total storage volume (MCM)	628 (in 1990)	3,687 (in 2015)	9,293 (in 2016)
Surface Area at FSL (km <sup>2</sup> )	100	302	156.9
Surface area at MOL (km <sup>2</sup> )	8	128	88.5
Installed power capacity (MW)	16	320	300
Purpose	Seasonal storage for hydropower generation and water supply	Seasonal storage for hydropower generation and water supply	Multi-year storage for hydropower generation

Note: KED = Khashm Elgirba Dam; UASDC = Upper Atbara and Setit Dam Complex; FSL = Full Supply Level; MOL = Minimum Operating Level.

Data sources: The Ministry of Water Resources, Irrigation, and Electricity of Sudan and the Eastern Nile Technical Regional Office.

Table 2. Model performance metrics in the calibration and validation periods.

Months	Performance metric	Calibration	Validation
July to October	NSE	0.71	0.84
	R <sup>2</sup>	0.72	0.74
	MBE (Mm <sup>3</sup> /day)	1.96	21.28
	RMSE (Mm <sup>3</sup> /day)	52.39	61.35
	POD	0.92	0.95
	FAR	0.14	0.02
November to June	NSE	0.18	0.37
	R <sup>2</sup>	0.22	0.35
	MBE (Mm <sup>3</sup> /day)	-0.38	6.30
	RMSE (Mm <sup>3</sup> /day)	6.66	12.12
	POD	0.52	0.83
	FAR	0.66	0.31

Note: NSE = Nash-Sutcliffe coefficient of efficiency; R<sup>2</sup> = coefficient of determination; MBE = Mean Bias Error; RMSE = Root Mean Square Error; POD = Probability Of Detection; FAR = False Alarm Ratio.

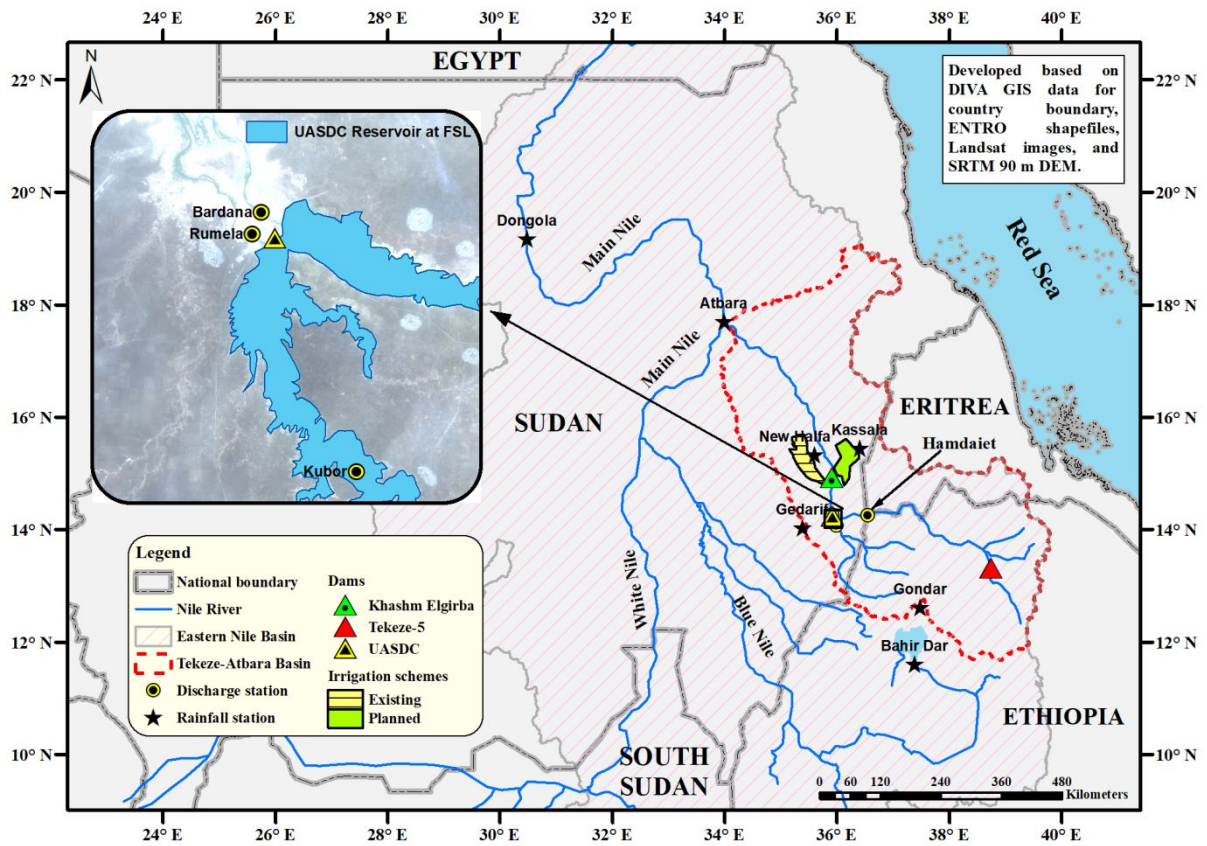


Figure 1. Topography, dams, irrigation schemes, and rainfall and discharge stations in the study area.

Note: UASDC = Upper Atbara and Setit Dam Complex; GIS=Geographic Information System; ENTRO = Eastern Nile Technical Regional Office; SRTM=Shuttle Radar Topography Mission; DEM=Digital Elevation Model; FSL = Full Supply Level.

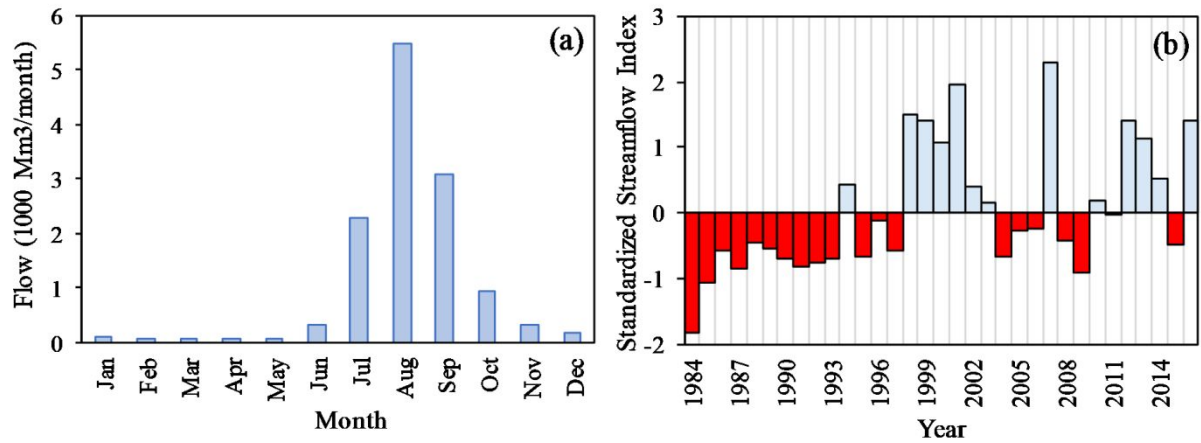


Figure 2. Tekeze-Atbara flow volume over the period 1984 to 2016: (a) average monthly (b) annual Standardized Streamflow Index (SSFI; Modarres (2007)).

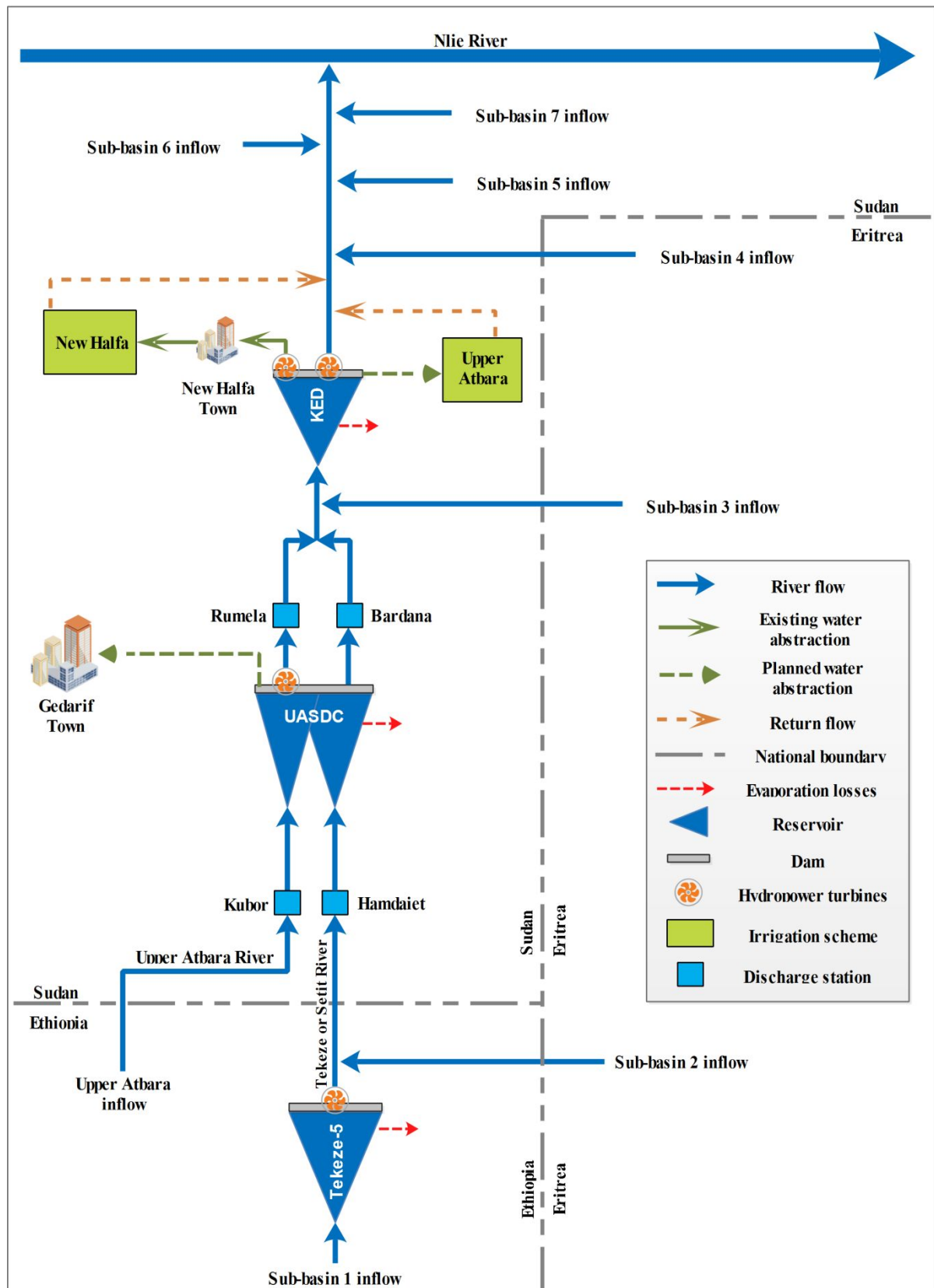


Figure 3. Schematic of the model developed for the study area.

Note: UASDC = Upper Atbara and Setit Dam Complex; KED = Khashm Elgirba Dam



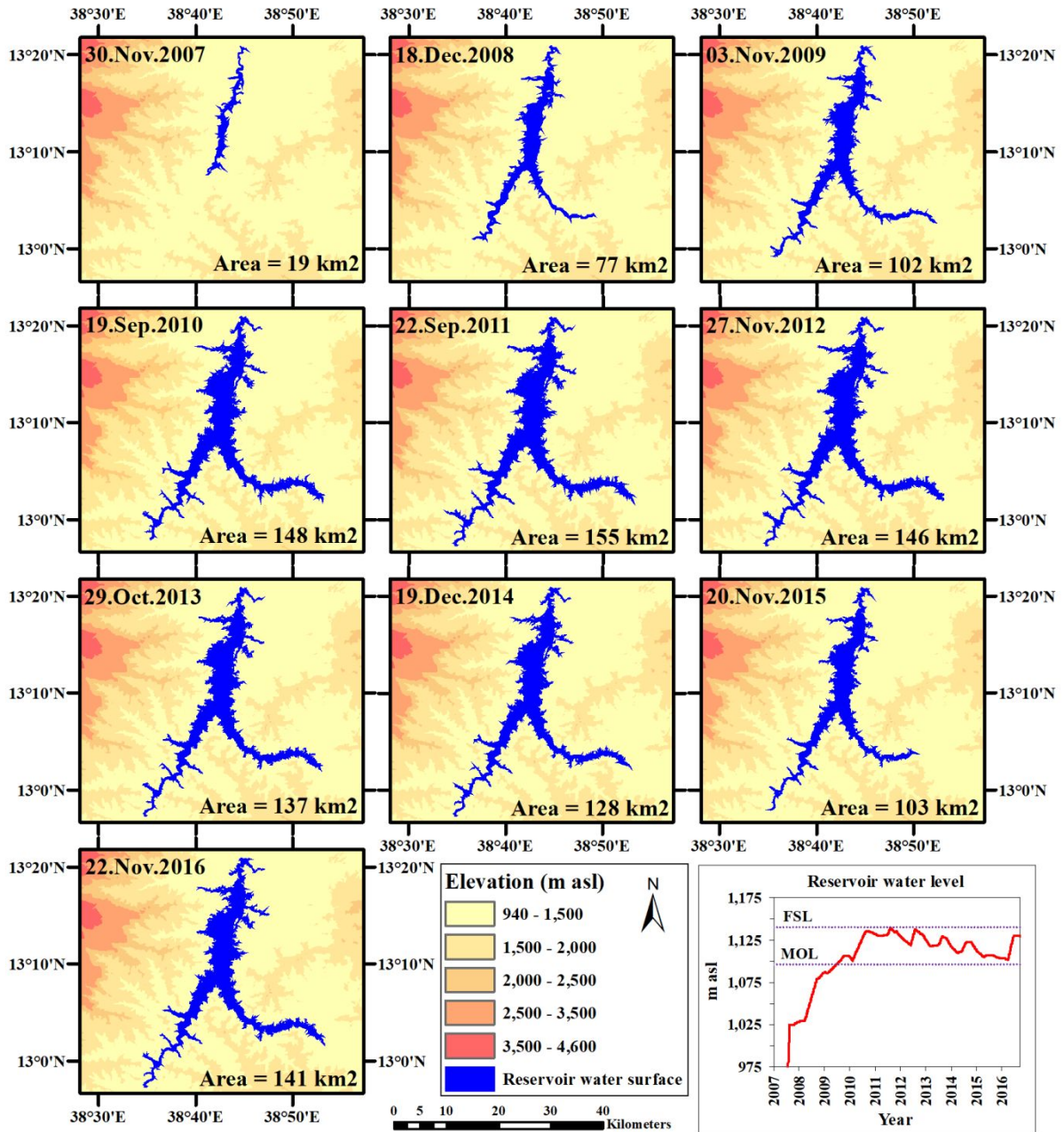


Figure 4. Reservoir surface area of Tekeze-5. The sub-figure in the bottom right corner shows a time series of the reservoir water level from the commencement of the filling until the end of 2016. The displayed data are based on Landsat satellite images.

Note: MOL = Minimum Operating Level; FSL = Full Supply Level



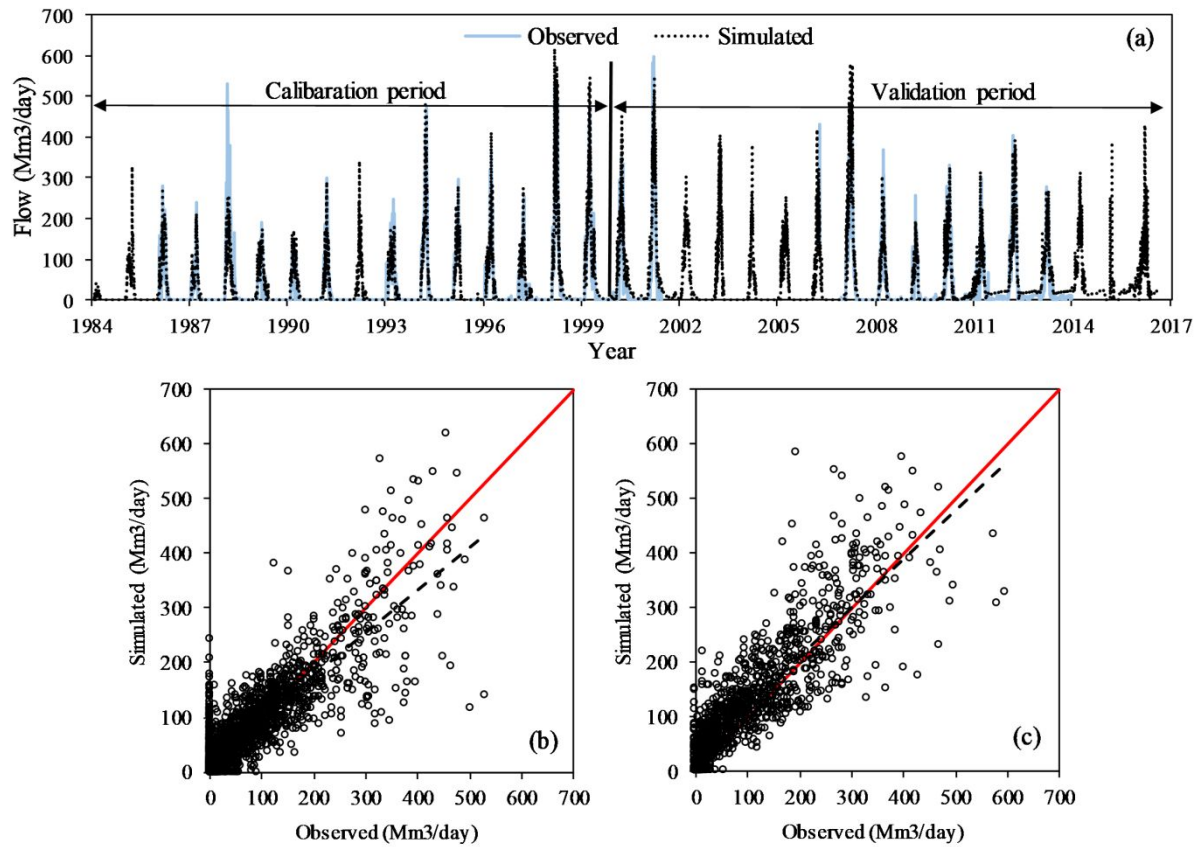


Figure 5. Daily observed and simulated outflow from Khashm Elgirba Dam: (a) time series of the calibration and validation periods (b) scatterplot of the calibration period (c) scatterplot of the validation period. In figures b and c, the continuous and dashed lines represent the 1:1 and regression lines, respectively.

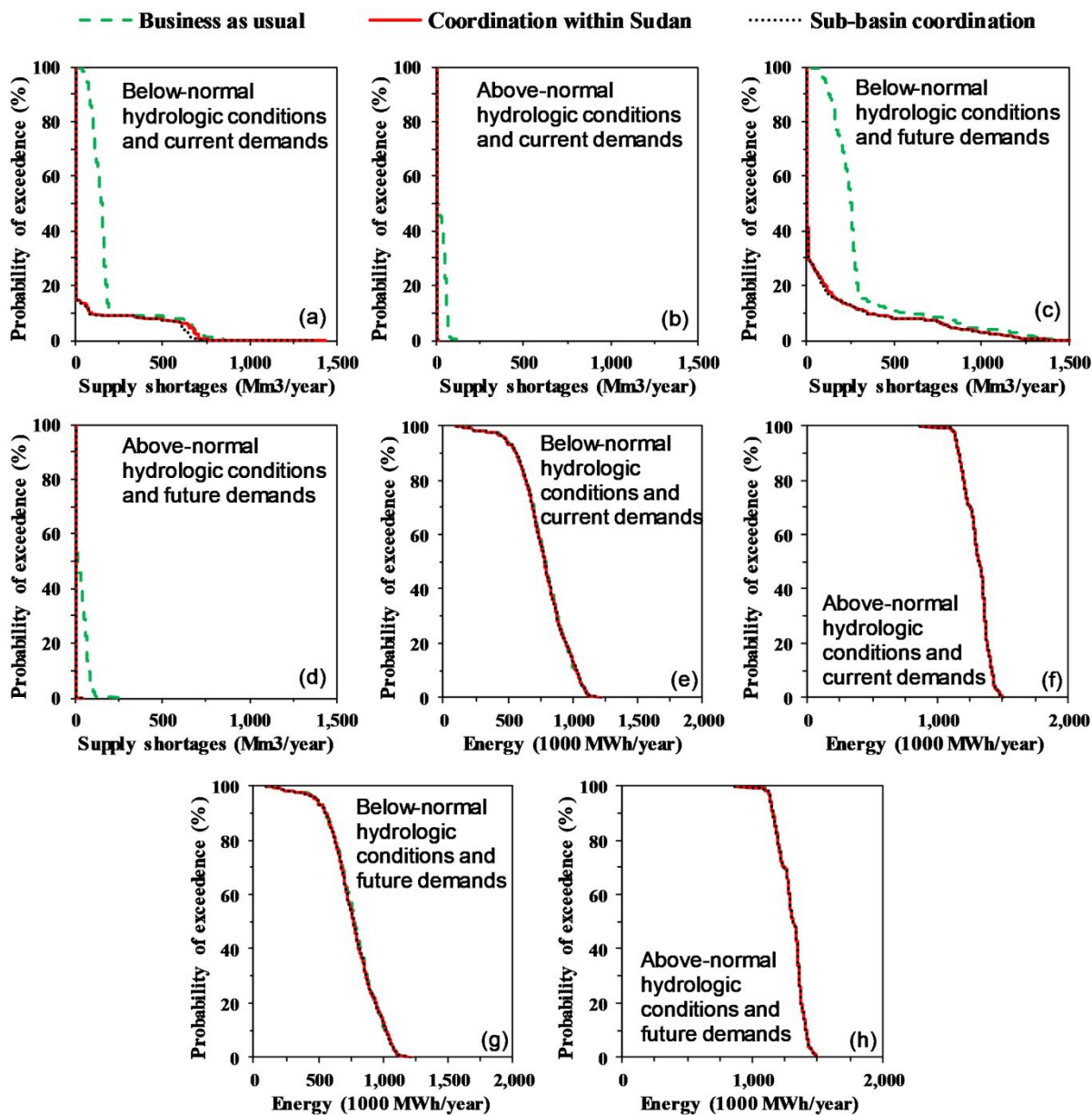


Figure 6. Sudan's annual water supply shortages and energy generation with different dam operation scenarios and Tekeze-5 Dam starting at 1120 m asl: (a), (b), (c), and (d) probability of exceedance of water supply shortages and (e), (f), (g), and (h) probability of exceedance of energy generation.

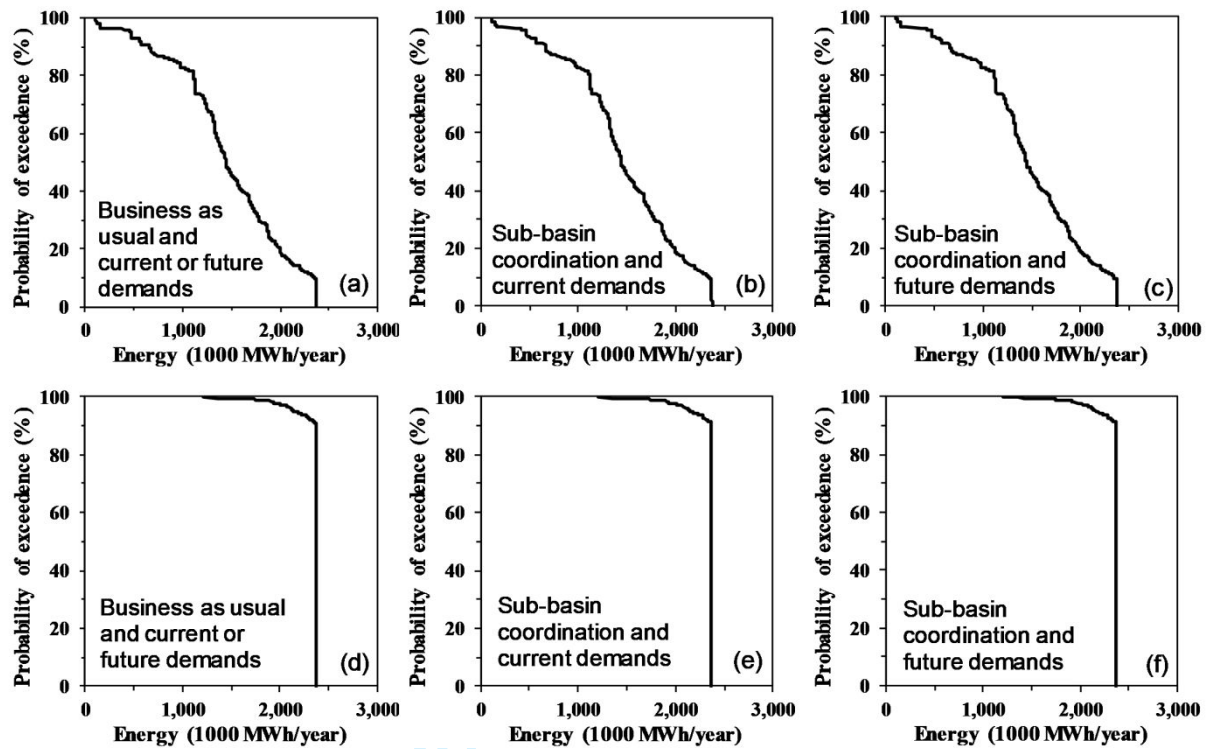


Figure 7. Probability of exceedance of Tekeze-5 Dam annual energy generation with Tekeze-5 Dam starting at 1120 m asl: (a), (b), and (c) below-normal hydrologic conditions and (d), (e), and (f) above-normal hydrologic conditions.

### List of figure captions and notes

Figure 1. Topography, dams, irrigation schemes, and rainfall and discharge stations in the study area.

Note: UASDC = Upper Atbara and Setit Dam Complex; GIS=Geographic Information System; ENTRO = Eastern Nile Technical Regional Office; SRTM=Shuttle Radar Topography Mission; DEM=Digital Elevation Model; FSL = Full Supply Level.

Figure 2. Tekeze-Atbara flow volume over the period 1984 to 2016: (a) average monthly (b) annual Standardized Streamflow Index (SSFI; Modarres (2007)).

Figure 3. Schematic of the model developed for the study area.

Note: UASDC = Upper Atbara and Setit Dam Complex; KED = Khashm Elgirba Dam

Figure 4. Reservoir surface area of Tekeze-5. The sub-figure in the bottom right corner shows and a time series of the reservoir water level from the commencement of the filling until the end of 2016. The displayed data are based on Landsat satellite images.

Note: MOL = Minimum Operating Level; FSL = Full Supply Level

Figure 5. Daily observed and simulated outflow from Khashm Elgirba Dam: (a) time series of the calibration and validation periods (b) scatterplot of the calibration period (c) scatterplot of the validation period. In figures b and c, the continuous and dashed lines represent the 1:1 and regression lines, respectively.

Figure 6. Sudan's annual water supply shortages and energy generation with different dam operation scenarios and Tekeze-5 Dam starting at 1120 m asl: (a), (b), (c), and (d) probability of exceedance of water supply shortages and (e), (f), (g), and (h) probability of exceedance of energy generation.

Figure 7. Probability of exceedance of Tekeze-5 Dam annual energy generation with Tekeze-5 Dam starting at 1120 m asl: (a), (b), and (c) below-normal hydrologic conditions and (d), (e), and (f) above-normal hydrologic conditions.

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## Supplementary data

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### *Performance of satellite-based rainfall products*

In the present study, the performance of five daily long-term SRPs (i.e., ARC2, CHIRPS v2.0, MSWEP 2.0, PERSIANN-CDR, and TAMSAT-2) was evaluated using daily rainfall data (1984 to 2007) from ground stations that are in or near the study area (Figure 1 in the main body of the paper shows the locations of the stations). Even though several studies assessed the performance of SRPs in Africa and the Nile Basin (Basheer et al., 2018; Basheer & Elagib, 2018b, 2018a; Diem, Hartter, Ryan, & Palace, 2014; T Dinku et al., 2007; Gebremicael, Mohamed, Zaag, & Hagos, 2017; Habib, Elsaadani, & Haile, 2012; Serrat-Capdevila, Merino, Valdes, & Durcik, 2016), none compared the performance of long-term SRPs in Tekeze-Atbara Basin. Figure S1 presents the results of the six metrics (i.e., RMSE, MBE,  $R^2$ , POD, FAR, and ETS) that were used to assess the performance of the SRPs. PERSIANN-CDR showed the best performance at all stations in terms of RMSE which varies from 0.04 mm at Dongola to 8.96 mm at Bahir Dar. The second-best performance was achieved by MSWEP 2.0 at Dongola, Kassla, New Halfa, and Gedarif, CHIRPS v2.0 at Atbara and Bahir Dar, and ARC2 at Gondar. Moreover, the worst performance based on RMSE is a feature of TAMSAT-2 at Bahir Dar and Atbara and CHIRPS v2.0 at Gondar, Gedarif, New Halfa, Kassla, and Dongola. Generally, RMSE values suggest worse performance towards the upstream. This characteristic is because the five SRPs use InfraRed (IR) imagery as a primary input. Rainfall estimation based on IR imagery is known to poorly capture local heavy precipitation events that result from warm clouds (Maidment et al., 2017; Novella & Thiaw, 2013). Such events occur in the mountainous upstream part Tekeze-Atbara Basin (Tufa Dinku, Ceccato, & Connor, 2011). MBE showed mixed performances among the products with ARC2 outperforming at Dongola, Kassla, and Gondar, CHIRPS v2.0 at Atbara,

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New Halfa, and Gedarif, and TAMSAT-2 at Bahir Dar. Regarding  $R^2$ , ARC2 showed the best performance at all stations except Bahir Dar where PERSIANN-CDR performed the best. TAMSAT-2 showed the lowest  $R^2$  values at all stations. It is worth noting that all Pearson correlation coefficient (R) values of ARC2 are statistically significant at  $p < 0.0001$ .

With regards to the categorical metrics, PERSIANN-CDR showed the best detection capability of rainy days explained by the highest POD values at all stations except Gondar at which ARC2 showed the best performance. The latter product exhibited the second-best performance at the other six stations, and TAMSAT-2 revealed the worst performance at all stations. Regarding FAR and ETS, ARC2 presented the best performance at all stations explained by the lowest FAR and the highest ETS values. In contrast, TAMSAT-2 showed the worst performance at all stations based on FAR and ETS. It can be noticed that the high POD values of PERSIANN-CDR were coupled with relatively high FAR values. This behaviour could be due to the likelihood of having more false alarms with the increase in detection skill.

Overall, ARC2 showed either the best or the second-best performance at most of the stations and with most of the performance metrics. Moreover, ARC2 showed better performance in the flat terrain of Sudan than in the Ethiopian highlands using all performance metrics except POD.



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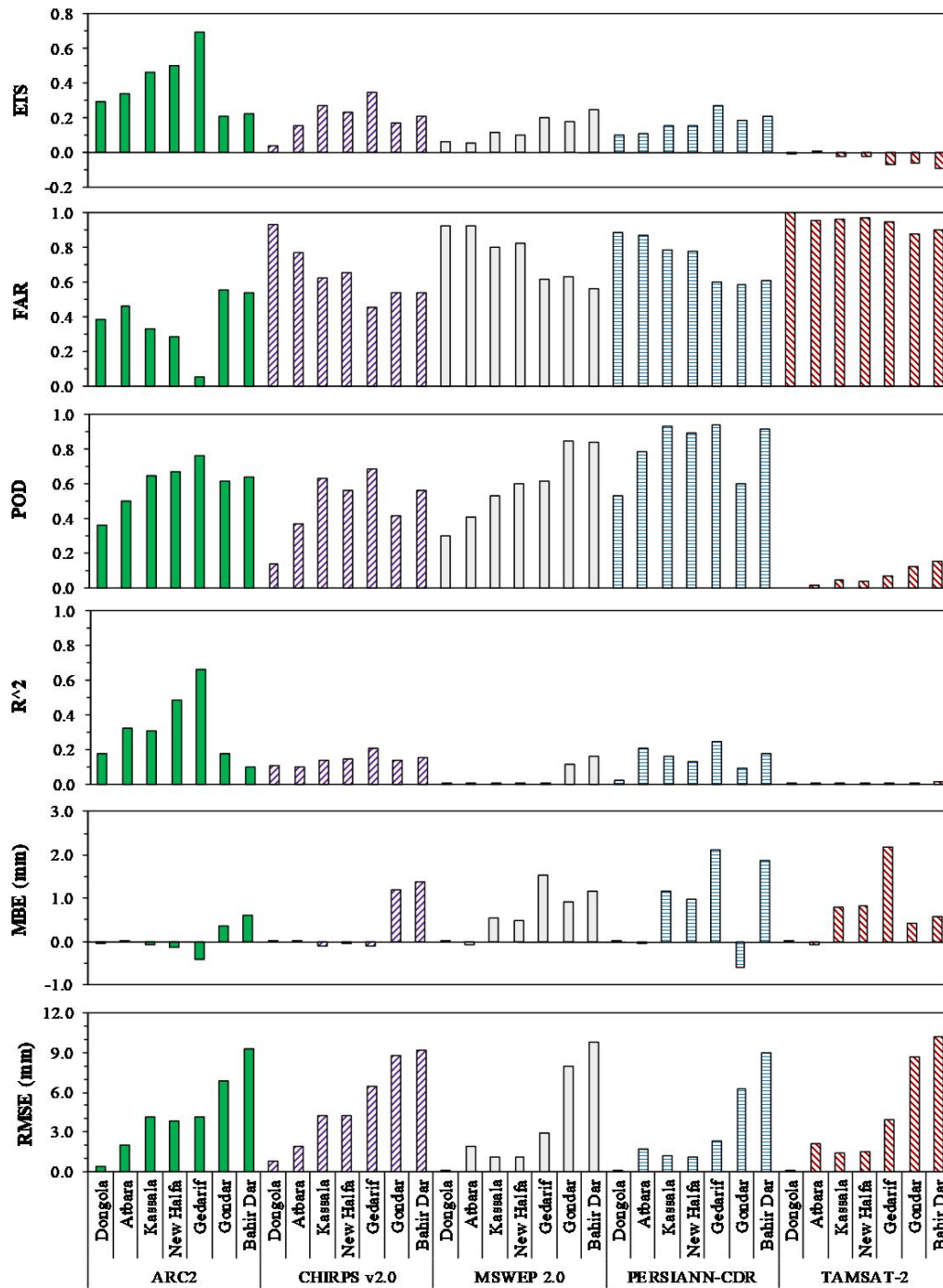


Figure S1. Performance metrics of the evaluated Satellite-based Rainfall Products at seven rainfall stations.

Note: RMSE = Root Mean Square Error; MBE = Mean Bias Error;  $R^2$  = Coefficient of determination; POD = Probability Of Detection; FAR = False Alarm Ration; ETS = Equitable Threat Score; ARC2 = Africa Rainfall Climatology Version 2.0; CHIRPS v2.0 = Climate Hazards group Infrared Precipitation with Stations version 2.0; MSWEP 2.0 = Multi-Source Weighted-Ensemble Precipitation version 2.0; PERSIANN-CDR = Precipitation Estimation from Remotely Sensed Information Using Artificial Neural Networks–Climate Data Record; TAMSAT-2 = Tropical Applications of Meteorology Using Satellite Data and Ground-Based Observations version 2.0

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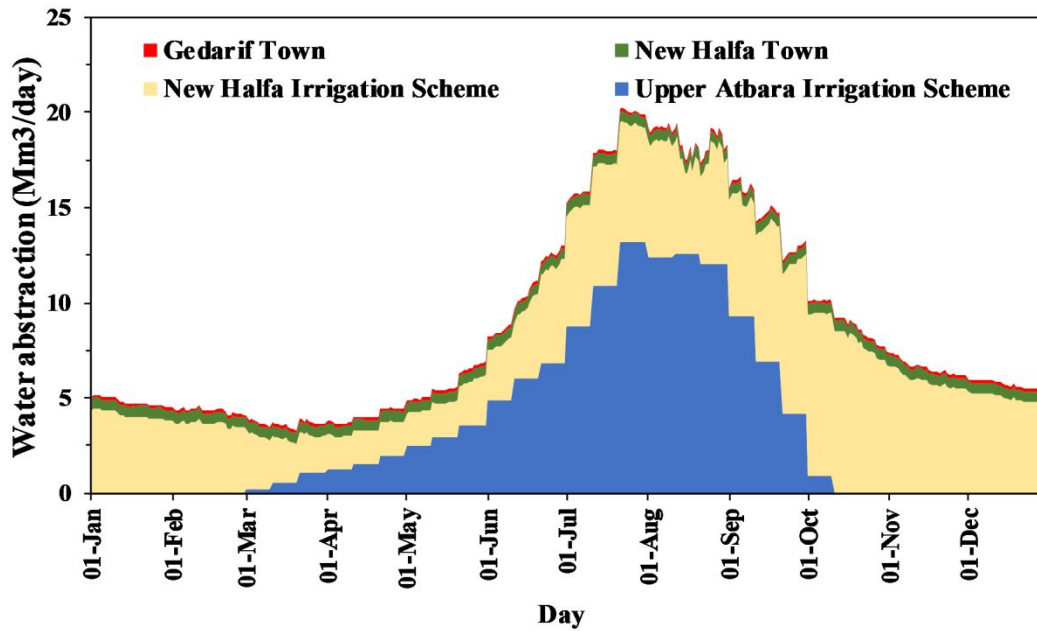


Figure S2 Water abstraction in the study area

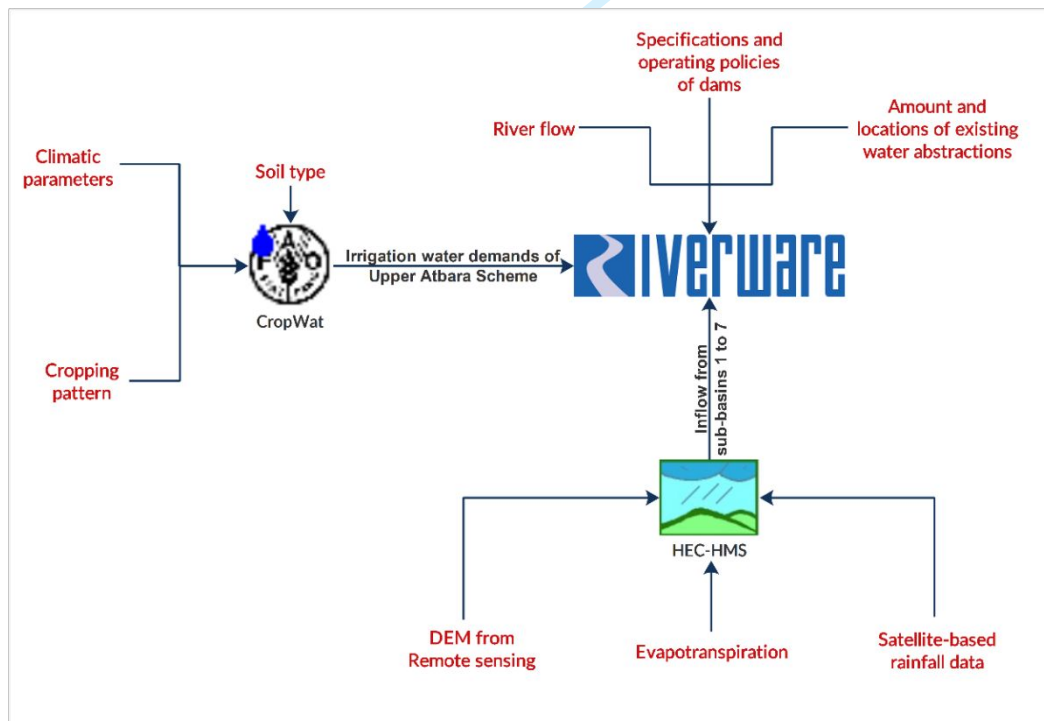


Figure S3 Modeling tools utilised in this study

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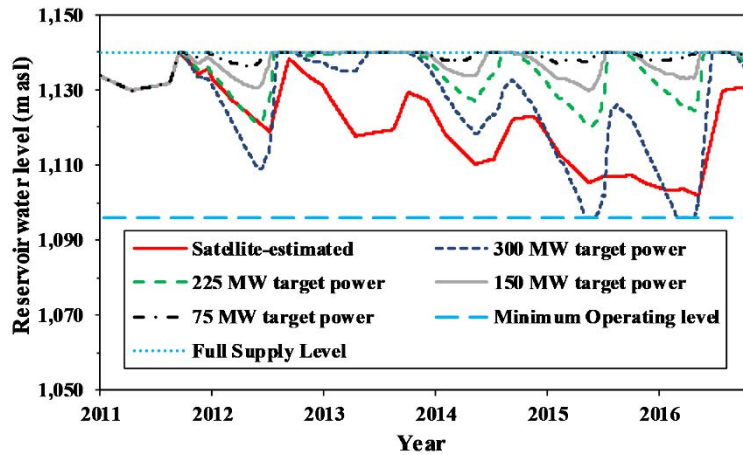


Figure S4 Satellite-estimated and modelled Tekeze-5 reservoir water levels with different power targets

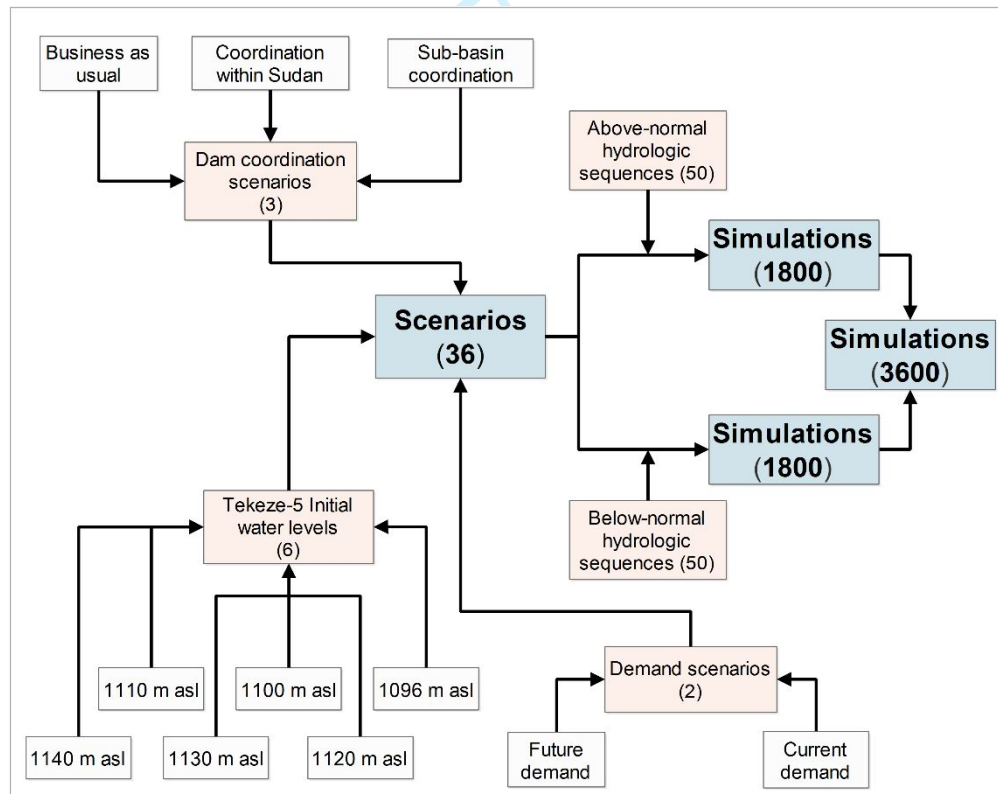


Figure S5 Scenarios developed for the study area

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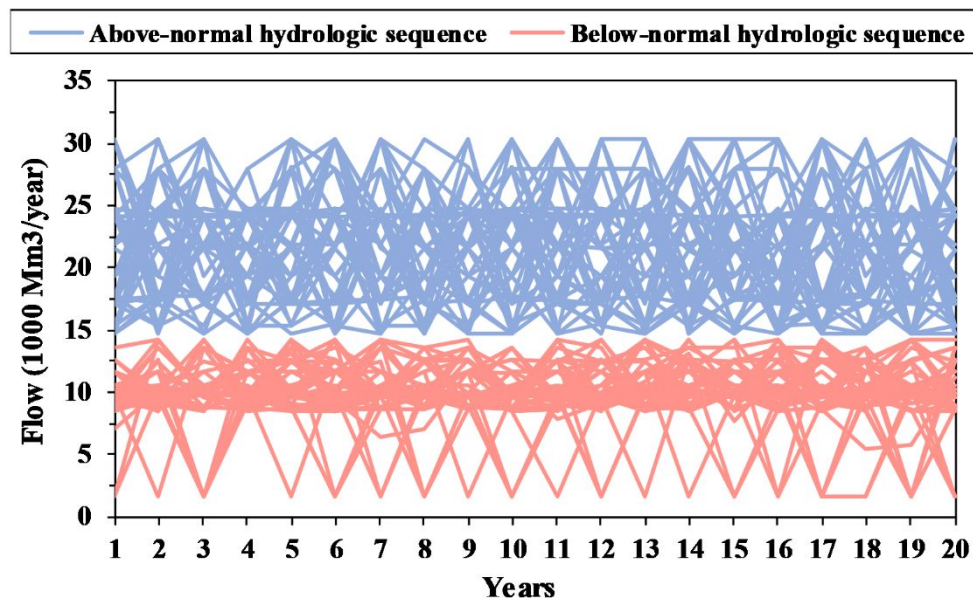


Figure S6 Hydrologic sequences generated for Tekeze-Atbara River

Table S1 Operating rules of dams located in the study area in the business as usual (i.e., current) scenario.

Priority	Khashm Elgirba Dam <sup>a</sup>	Upper Atbara and Setit Dam Complex <sup>a</sup>	Tekeze-5 Dam
1	The reservoir should be flushed in two to three days in mid-August	The reservoir should be flushed in two to three days in mid-August	The reservoir water level should remain higher than the minimum operating level
2	The reservoir water level should remain higher than the minimum operating level	The reservoir water level should remain higher than the minimum operating level	The reservoir water level should remain lower than the full supply level
3	The reservoir water level should remain lower than the full supply level	The reservoir water level should remain lower than the full supply level	Meet a power target <sup>b</sup>
4	Target certain water levels from June to mid-October	Meet the demand of Gedarif Town when the supply pipeline is constructed	
5	Meet the water demands of New Halfa Scheme and Town and Upper Atbara Scheme when constructed	Meet a power target	
6		Target certain water levels	

<sup>a</sup> Source: Ministry of Water Resources, Irrigation, and Electricity of Sudan

<sup>b</sup> Source: derived from Landsat 7 and 8 satellite images as explained in the paper

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Table S2 Operating rules of dams located in the study area in the coordination within Sudan scenario.

Priority	Khashm Elgirba Dam	Upper Atbara and Setit Dam Complex	Tekeze-5 Dam
1	The reservoir should be flushed in two to three days in mid-august	The reservoir should be flushed in two to three days in mid-august	The reservoir water level should remain higher than the minimum operating level
2	The reservoir water level should remain higher than the minimum operating level	The reservoir water level should remain higher than the minimum operating level	The reservoir water level should remain lower than the full supply level
3	The reservoir water level should remain lower than the full supply level	The reservoir water level should remain lower than the full supply level	Meet a power target <sup>a</sup>
4	Target certain water levels from June to mid-October	Meet the deficit in the downstream water supply and the demand of Gedarif Town when the supply pipeline is constructed	
5	Meet the water demands of New Halfa Scheme and Town and Upper Atbara Scheme when constructed	Meet a power target	
6		Target certain water levels	

<sup>a</sup> Source: derived from Landsat 7 and 8 satellite images as explained in the paper

Table S3 Operating rules of dams located in the study area in the sub-basin coordination scenario.

Priority	Khashm Elgirba Dam	Upper Atbara and Setit Dam Complex	Tekeze-5 Dam
1	The reservoir should be flushed in two to three days in mid-august	The reservoir should be flushed in two to three days in mid-august	The reservoir water level should remain higher than the minimum operating level
2	The reservoir water level should remain higher than the minimum operating level	The reservoir water level should remain higher than the minimum operating level	The reservoir water level should remain lower than the full supply level
3	The reservoir water level should remain lower than the full supply level	The reservoir water level should remain lower than the full supply level	Meet the deficit in the downstream water supply
4	Target certain water levels from June to mid-October	Meet the deficit in the downstream water supply and the demand of Gedarif Town when the supply pipeline is constructed	Meet a power target <sup>a</sup>
5	Meet the water demands of New Halfa Scheme and Town and Upper Atbara Scheme when constructed	Meet a power target	
6		Target certain water levels	

<sup>a</sup> Source: derived from Landsat 7 and 8 satellite images as explained in the paper

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*Table S4 Geometry of the reservoirs located in the study area.*

Tekeze-5 in 2016			Khashm Elgirba in 1990			UASDC in 2015		
Elevation (masl)	Storage (Mm3)	Surface area (km <sup>2</sup> )	Elevation (masl)	Storage (Mm3)	Surface area (km <sup>2</sup> )	Elevation (masl)	Storage (Mm3)	Surface area (km <sup>2</sup> )
1,000	69	5	464	27	11	495	157	24
1,010	134	8	465	43	19	500	365	52
1,020	245	14	466	55	25	505	730	89
1,030	423	22	467	67	32	508	1,048	116
1,040	678	30	468	95	41	510	1,311	139
1,050	1,023	40	469	131	52	515	2,165	206
1,060	1,474	51	470	176	63	520	3,358	278
1,070	2,036	62	471	238	72	525	5,007	398
1,080	2,707	73	472	315	81	530	7,280	511
1,090	3,480	82	473	403	90	Source: MoWRIE		
1,100	4,354	93	474	628	100	Source: MoWRIE		
1,130	7,810	140	Source: MoWRIE					
1,140	9,293	157	Source: MoWRIE					
1,150	10,958	176						
Source: ENTRO			Source: MoWRIE			Source: MoWRIE		
Source: ENTRO								

Note: ENTRO = Eastern Nile Technical Regional Office; MoWRIE = Ministry of Water Resources, Irrigation, and Electricity of Sudan



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*Table S5 Net evaporation coefficients of the reservoirs located in the study area.*

Month	Tekeze-5 in 2016 (cm)	Khashm Elgirba in 1990 (cm)	UASDC in 1985 (cm)
January	13.4	17.9	16.7
February	14.4	18.4	16.4
March	9.9	20.9	21.3
April	8.0	25.6	23.8
May	7.9	26.3	23.7
June	0.2	27.8	20.3
July	-15.4	24.9	17.7
August	-18.3	22.7	16.3
September	-3.8	21.1	17.1
October	11.3	20.5	18.1
November	14.7	18.3	17.6
December	14.7	16.8	16.6
	Source: Wheeler et al. (2016)	Source: MoWRIE	Source: MoWRIE

Note: ENTRO = Eastern Nile Technical Regional Office; MoWRIE = Ministry of Water Resources, Irrigation, and Electricity of Sudan

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