

EXTENDING PARTIAL ISOMETRIES

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ABSTRACT. We show that a finite metric space A admits an extension to a finite metric space B so that each partial isometry of A extends to an isometry of B . We also prove a more precise result on extending a single partial isometry of a finite metric space. Both these results have consequences for the structure of the isometry groups of the rational Urysohn metric space and the Urysohn metric space.

1. INTRODUCTION

Let A be a metric space. By a *partial isometry* of A we mean an isometry between two subsets of A . A total isometry, i.e., a partial isometry with domain and range equal to A , is called simply an isometry.

In the paper, we answer certain questions which were identified by Henson and by Kechris and Rosendal and which were motivated by, on the one hand, analogies between finite graphs and finite metric spaces and, on the other hand, by problems concerning properties of isometry groups. We prove results of the following three sorts:

1. Given a finite metric space A , we extend it to a finite metric space B so that each partial isometry of A extends to an isometry of B (Theorem 2.1 in Section 2).
2. Given a finite metric space A and a partial isometry p on it, we extend A to a finite metric space B so that p extends to an isometry \tilde{p} of B and A and $\tilde{p}^M(A)$, for some $M \in \mathbb{N}$, are, in a precise sense, “independent” from each other (Theorem 3.2 in Section 3).
3. We deduce from the results in points 1 and 2 properties of the isometry groups of the rational Urysohn metric space and the Urysohn metric space (Corollaries 4.1, 4.4–4.3 in Section 4).

For more background information the reader should consult the beginning paragraphs of the subsequent sections. Here we recall a couple of definitions

Key words and phrases. Isometries, extension property, Urysohn metric space.

Research supported by NSF grant DMS-0400931. I would like to thank Ward Henson and Alekos Kechris for conversations and emails regarding the paper. Part of this work was done when I visited Caltech in May 2004. I thank the mathematics department there for support.

Accepted in Israel Journal of Mathematics.

which will be useful throughout the paper. The *Urysohn metric space* \mathbb{U} is the unique separable metric complete space such that each finite (in fact, separable) metric space embeds isometrically into \mathbb{U} and each isometry between two finite subsets of \mathbb{U} extends to an isometry of \mathbb{U} [10]. We call a metric space *rational* if all the distances between points are rational numbers. Let \mathbb{U}_0 be the *rational Urysohn space*, that is, the unique rational metric space which contains an isometric copy of any finite rational metric space (universality) and has the property that any isometry between two of its finite subsets extends to an isometry of the whole space (ultrahomogeneity). For more information on it see [4].

2. EXTENDING ALL PARTIAL ISOMETRIES

In the present section, we will be concerned with the following question:

Given a finite metric space A , does there exist a finite metric space B containing A such that each partial isometry of A extends to an isometry of B ?

The problem above came up independently in two different contexts. Pestov proved in [9] that each finite metric space A can be embedded via an *approximate* isometry into another finite metric space B so that each partial isometry of A *approximately* extends to an isometry of B . (For the precise meaning of “approximate” see [9].) Hrushovski [3] showed that each finite graph A can be isomorphically embedded into another finite graph B so that each partial automorphism of A extends to an automorphism of B . Noticing affinities between these two results, Henson asked the question above; positive answer to it would strengthen Pestov’s theorem by removing “approximate” and would give the full analogue of Hrushovski’s theorem for metric spaces. The second situation in which the same problem surfaced was the study of conjugacy classes of elements of Polish groups carried out by Kechris and Rosendal [5]. In fact, as pointed out by them, an affirmative answer to this question would have consequences for the structure of the conjugacy classes of elements of the isometry groups of the rational Urysohn metric space. These consequences, in turn, have remarkably strong implications for other aspects of the structure of this group (see Corollary 4.3).

In the theorem below, we show that the answer to the question is indeed in the affirmative. (I was informed that A. Vershik announced also proving this theorem.) To prove it we will use the main result of [2]. For model theoretic notation and terminology the reader may consult the first section of [7].

Theorem 2.1. *Let A be a finite metric space. There exists a finite metric space B such that $A \subseteq B$ as metric spaces and each partial isometry of A extends to an isometry of B .*

Moreover, B can be found so that the distances between points in B belong to the additive semigroup generated by the distances between points of A .

Proof. Without loss of generality we assume that A has at least 2 elements. Let d be the metric on A . Let D be the set of all positive distances between elements of A , that is,

$$D = \{d(a, b) : a, b \in A \text{ and } a \neq b\}.$$

For $r \in D$ let R_r be a binary relation. Consider the finite relational language \mathcal{L} consisting of all R_r with $r \in D$.

A configuration α is a sequence r_0, r_1, \dots, r_n of elements of D with the property

$$\sum_{i=1}^n r_i < r_0.$$

Note that since D is finite and consists of positive numbers, there are only finitely many configurations.

For a configuration α consisting of $r_0, r_1, \dots, r_n \in D$, let M_α be the \mathcal{L} -structure with $n+1$ distinct elements x_0, x_1, \dots, x_n and such that

$$M_\alpha \models (R_{r_0}(x_0, x_n) \text{ and } R_{r_0}(x_n, x_0))$$

$$M_\alpha \models (R_{r_i}(x_{i-1}, x_i) \text{ and } R_{r_i}(x_i, x_{i-1})) \text{ for } 1 \leq i \leq n$$

and with no other relations R_r holding between pairs of elements of M_α . Let \mathcal{T} consist of all M_α for a configuration α . Then \mathcal{T} is a finite family of \mathcal{L} -structures.

A metric space X with a metric σ is made into an \mathcal{L} -structure by letting $X \models R_r(x, y)$ precisely when $\sigma(x, y) = r$ for $r \in D$ and $x, y \in X$. Note that each isometry of X is an automorphism of X as an \mathcal{L} -structure and each partial isometry of X is a partial automorphism. Using the triangle inequality, one easily shows that if a metric space X is considered as an \mathcal{L} -structure, then for $M_\alpha \in \mathcal{T}$ there is no weak homomorphism $h : M_\alpha \rightarrow X$. (Recall that h is a weak homomorphism if for $x, y \in M_\alpha$, $M_\alpha \models R_r(x, y)$ implies $X \models R_r(h(x), h(y))$ for all $r \in D$.) We say that X is \mathcal{T} -free.

Notice also that since D includes all positive distances from A , any partial automorphism of A as an \mathcal{L} -structure is a partial isometry of A . Let \mathbb{U} be the Urysohn metric space. We isometrically embed A into \mathbb{U} and extend each partial isometry of A to an isometry of \mathbb{U} . Thus, we embedded A as a substructure of a \mathcal{T} -free \mathcal{L} -structure \mathbb{U} and we extended each partial automorphism of A to an automorphism of \mathbb{U} . By [2, Theorem 3.2, p.1994], there exists a finite \mathcal{T} -free \mathcal{L} -structure C such that A is a substructure of C and each partial automorphism of A extends to an automorphism of C . If p is a partial automorphism of A , let \tilde{p} be its extension to an automorphism of C . We assume that the partial automorphism with empty domain is extended to the identity function on C .

We say that a sequence $c_0, \dots, c_n \in C$ is a chain between c_0 and c_n if for each $1 \leq i \leq n$ there exists $r \in D$ with

$$C \models (R_r(c_{i-1}, c_i) \text{ and } R_r(c_i, c_{i-1})).$$

Notice that the relation on $x, y \in C$ “there is a chain between x and y ” is symmetric and transitive.

Let B consists of all $c \in C$ for which there exist chains between all elements of A and c . Since D contains all positive distances between elements of A , for distinct $a, b \in A$, a, b is a chain as is b, a . Thus, the inclusion

$$(1) \quad A \subseteq B$$

follows. (We use here the fact that A has at least 2 elements.) Moreover, it also follows that if there exists a chain between an element c of C and some element of A , then there exist chains between c and all elements of A , that is, $c \in B$. We will deduce from it that

$$(2) \quad \text{if } p \text{ is a partial isometry of } A, \text{ then } \tilde{p}(B) = B.$$

Since we are dealing with finite sets, it will suffice to show $\tilde{p}(B) \subseteq B$. If p is the partial isometry with empty domain, \tilde{p} is the identity, and there is nothing to prove. Let p be a partial automorphism of A with non-empty domain. Pick a point \bar{a} in the domain of p . Fix $b \in B$ in order to show that $\tilde{p}(b) \in B$. We can fix a chain $\bar{a} = c_0, c_1, \dots, c_n = b$ is between \bar{a} and b . Since \tilde{p} is an automorphism of C , $\tilde{p}(\bar{a}) = \tilde{p}(c_0), \tilde{p}(c_1), \dots, \tilde{p}(c_n) = \tilde{p}(b)$ is a chain between $\tilde{p}(\bar{a}) = p(\bar{a}) \in A$ and $\tilde{p}(b)$. Thus, there is a chain between $\tilde{p}(b)$ and some element of A , therefore, $\tilde{p}(b) \in B$.

Define a metric ρ on B by letting $\rho(a, b)$ be 0 if $a = b$ and otherwise be the infimum of the quantities

$$r_1 + \dots + r_n$$

where for some chain c_0, c_1, \dots, c_n between a and b we have

$$(3) \quad C \models (R_{r_i}(c_{i-1}, c_i) \text{ and } R_{r_i}(c_i, c_{i-1})) \text{ for all } 1 \leq i \leq n.$$

By definition of B , ρ is defined on all pairs a, b of elements of B . Moreover, ρ is clearly a metric. For each partial isometry p of A , $\tilde{p} \upharpoonright B$ maps B to B by (2). In fact, $\tilde{p} \upharpoonright B$ is an isometry of B with ρ since the image of a chain under \tilde{p} and \tilde{p}^{-1} , which are automorphisms of C , is a chain. Of course, $\tilde{p} \upharpoonright B$ extends p . By (1) we have $A \subseteq B$. It remains to check that ρ restricted to A coincides with d , that is, $\rho(a, b) = d(a, b)$ for any distinct $a, b \in A$. The inequality \leq is clear since a, b is a chain. To see the other inequality, assume towards contradiction that $\rho(a, b) < d(a, b)$. This allows us to fix a chain $c_0, c_1, \dots, c_n \in C$ between a and b and $r_i \in D$ for $1 \leq i \leq n$ so that

$$r_1 + \dots + r_n < d(a, b)$$

and (3) holds. Then the sequence $d(a, b), r_1, \dots, r_n$ is a configuration. Let us call it α . We then have a weak homomorphism from M_α to C (whose range is $\{c_0, \dots, c_n\}$) which contradicts the fact that C is \mathcal{T} -free.

The moreover part of the theorem is clear from the definition of ρ . \square

3. THE CASE OF ONE PARTIAL ISOMETRY

In this section, we deal with the situation when a single partial isometry p on a finite metric space A is given. We show in Theorem 3.2 how to embed A into a finite metric space B in such a way that p extends to an isometry \tilde{p} of B so that for some $M \in \mathbb{N}$, A and $\tilde{p}^M(A)$ are as “independent” from each other as possible. The problem of finding this type of extension in special case came up in the work of Kechris and Rosendal [5]. In fact, in Corollaries 4.1 and 4.4, we show how this extension theorem translates into properties of the isometry groups of the rational Urysohn metric space and the Urysohn metric space.

We recall a definition of an amalgam of two metric spaces. Let A, B, C be finite metric spaces and let $f_1 : A \rightarrow B$ and $f_2 : A \rightarrow C$ be isometric embeddings and let d_1 and d_2 be the metrics on B and C , respectively. We allow here A to be empty in which case f_1 and f_2 are the empty functions. The *amalgam of B and C over (A, f_1, f_2)* is defined as follows. Take the disjoint union of B and C and identify $f_1(a)$ with $f_2(a)$ for any $a \in A$. Call the quotient set D . There are natural injections $g_1 : B \rightarrow D$ and $g_2 : C \rightarrow D$. Define a metric d on D by transferring d_1 from B to $g_1(B)$ by g_1 and d_2 from C to $g_2(C)$ by g_2 and by defining $d(g_1(b), g_2(c))$ for $b \in B$ and $c \in C$ as follows:

if $A \neq \emptyset$, $d(g_1(b), g_2(c)) = \min\{d_1(b, f_1(a)) + d_2(f_2(a), c) : a \in A\}$;
 if $A = \emptyset$, $d(g_1(b), g_2(c)) = \text{diam}(B) + \text{diam}(C)$.

We leave it to the reader to check that d is well defined and that it is a metric.

The following lemma is the reason for importance of the amalgam. Its proof is straightforward and is left to the reader.

Lemma 3.1. *Let a metric space D be the amalgam of B and C over (A, f_1, f_2) . Let $g_1 : B \rightarrow D$ and $g_2 : C \rightarrow D$ be the natural isometric embeddings. Let $\phi : A \rightarrow A$ be an isometry and let ψ_1, ψ_2 be partial isometries of B and C , respectively, extending $f_1 \circ \phi \circ f_1^{-1}$ and $f_2 \circ \phi \circ f_2^{-1}$, respectively. Then $g_1 \circ \psi_1 \circ g_1^{-1}$ and $g_2 \circ \psi_2 \circ g_2^{-1}$ have a common extension to a partial isometry of D .*

Let A be a finite metric space, let $D, E \subseteq A$, and let $p : D \rightarrow E$ be a partial isometry of A . We say that $x \in A$ is a *cyclic point* of p if $p^n(x) \in D$ for each $n \in \mathbb{N}$. An $x \in A$ which is not cyclic is called *acyclic*. By $Z(p)$ we denote the set of all cyclic points of p .

Theorem 3.2. *Let a finite metric space A and a partial isometry p of A be given. There exist a finite metric space B with $A \subseteq B$ as metric spaces, an isometry \tilde{p} of B extending p , and a natural number M such that*

- (i) $\tilde{p}^{2M} = id_B$;
- (ii) if $a \in A$ is acyclic, then $\tilde{p}^j(a) \neq a$ for all $0 < j < 2M$;
- (iii) $A \cup \tilde{p}^M(A)$ is the amalgam of A and $\tilde{p}^M(A)$ over $(Z(p), id_{Z(p)}, \tilde{p}^M \upharpoonright Z(p))$.

Moreover, the distances in B are in the additive semigroup generated by the distances in A .

Remark 3.1. Point (iii) above is a concise formulation of the following statement: $A \cap \tilde{p}^M(A) = Z(p)$, $\tilde{p}^M \upharpoonright Z(p) = id_{Z(p)}$, and if d is the metric on A and ρ is the one on B , then, for $a_1, a_2 \in A$, $\rho(a_1, \tilde{p}^M(a_2))$ is equal to $2\text{diam}(A)$ or $\min\{d(a_1, z) + d(z, a_2) : z \in Z(p)\}$ depending on whether $Z(p)$ is empty or not. Note that the last of these three conditions implies the first one.

Proof of Theorem 3.2. Let $\Delta = \text{diam}(A)$, and let δ be the minimal value of $d(x, y)$ with $x \neq y$, $x, y \in A$. Put $Z = Z(p)$.

Let $D, E \subseteq A$ and let $p : D \rightarrow E$ be a partial isometry of A . Let M be a natural number whose value will be chosen later. Consider $X = \{0, \dots, 2M-1\} \times A$. We start with defining an equivalence relation \equiv on X . For $(x, m), (y, n) \in X$, let $(x, m) \equiv (y, n)$ if there exists $r \geq 0$ such that $p^k(x) \in D$ for all $0 \leq k < r$, $p^r(x) = y$, and $n + r = m \pmod{2M}$ or the same condition holds with the roles of x and y interchanged. It is easy to see that this is an equivalence relation. (Note that we can take $r = 0$ so $(x, m) \equiv (x, m)$.) Set $B = X / \equiv$.

Define now a function $P : X \rightarrow X$ by letting

$$P(x, n) = (x, n + 1 \pmod{2M}).$$

It is easy to see that P respects the relation \equiv . Let \tilde{p} be the function induced by P on $B = X / \equiv$.

We describe now a metric ρ on B . If $[x, m]$ and $[y, n]$ are two equivalence classes of \equiv , define $\rho([x, m], [y, n])$ to be the minimum of 2Δ and the sums of the following sort

$$(4) \quad \sum_{i=0}^{k-1} d(x_i, y_{i+1})$$

where $x_0 = x$, $x_k = y$, $n_0 = m$, $n_k = n$, and for some numbers $0 \leq n_i < 2M$, for $0 \leq i \leq k$, $(y_i, n_{i-1}) \equiv (x_i, n_i)$ for all $1 \leq i \leq k$. Note that the sum in (4) is equal to 0 precisely when $x_i = y_{i+1}$ for all $0 \leq i \leq n-1$ which implies that $(x, m) \equiv (y, n)$. This shows that the function ρ is well defined on B (it does not depend on the choice of the representatives (x, m) and (y, n)) and that $\rho([x, m], [y, n]) = 0$ implies $[x, m] = [y, n]$. It is straightforward to check that $[x, m] = [y, n]$ implies $\rho([x, m], [y, n]) = 0$, that ρ is symmetric and that it fulfills the triangle inequality. Thus, ρ is a metric on B . It is also easy to see that \tilde{p} is

an isometry. Notice also that the distances with respect to ρ are in the additive semigroup of the distances with respect to d .

We define a function $h : A \rightarrow B$ by $h(x) = [x, 0]$. We will prove below that, with an appropriate choice of M , h is an isometry. In particular, it is one-to-one and it is easy to see that if we identify A with $h(A) \subseteq B$, then \tilde{p} extends p . Point (i) is immediate from the definition of P . To see (ii), fix $0 \leq j < 2M$ and assume that $\tilde{p}^j([x, 0]) = [x, 0]$. This implies that $(x, j) \equiv (x, 0)$ so

$$p^j(x) = x \text{ or } p^{2M-j}(x) = x.$$

In the first case, we of course have $p^i(x) \in D$ for all $0 \leq i < j$ and in the second case $p^i(x) \in D$ for all $0 \leq i < 2M - j$. Since x is acyclic, it follows that $j = 0$ or $2M - j = 0$, which proves (ii).

It remains to show that h is an isometry and that (iii) holds. Both these arguments require computations with the metrics ρ and d which will be done in Claims 1 and 2 below. The following notion will be useful. For $0 \leq m < 2M$, we call a sequence $x_0, x_1, \dots, x_k \in A$ together with $r_1, \dots, r_k \in \mathbb{Z}$ an m -chain between x and y if $x_0 = x$, $x_k = y$, $\sum_{i=1}^k r_i = m \pmod{2M}$ and, if $r_i > 0$, then $x_i, p(x_i), \dots, p^{r_i-1}(x_i) \in D$, and, if $r_i < 0$, then $x_i, p^{-1}(x_i), \dots, p^{r_i+1}(x_i) \in E$. It is easy to see that the definition of $\rho([x, 0], [y, m])$ can be reformulated as

$$(5) \quad \min(2\Delta, \min \sum_{i=0}^{k-1} d(x_i, p^{r_{i+1}}(x_{i+1})))$$

where the second minimum is taken over all m -chains between x and y .

Let $x_0, x_1, \dots, x_k, r_1, \dots, r_k$ and $y_0, y_1, \dots, y_l, q_1, \dots, q_l$ be two m -chains between x and y . Define the second one to be *shorter* than the first one if

$$(6) \quad \sum_{i=0}^{l-1} d(y_i, p^{q_{i+1}}(y_{i+1})) \leq \sum_{i=0}^{k-1} d(x_i, p^{r_{i+1}}(x_{i+1}))$$

and either $l < k$ or $l = k$, $q_l = 0$ and $r_k \neq 0$.

Now we can state the first claim. In order to prove it, however, we need to specify the value of M . If $x \in A$ is cyclic, let m_x be smallest $n > 0$ with $p^n(x) = x$. If $x \in A$ is acyclic, let $n_x = \min\{n \geq 0 : p^n(x) \notin D\} + \max\{n : p^{-n}(x) \in D\}$. Let M be a positive natural number divisible by all the m_x 's for cyclic $x \in A$ and such that

$$(7) \quad (M - \max\{n_x : x \in A, x \text{ acyclic}\})\delta > (\max\{n_x : x \in A, x \text{ cyclic}\})2\Delta$$

with the convention that $\max \emptyset = 0$.

Claim 1. Let $x_0, x_1, \dots, x_k, r_1, \dots, r_k$ be an m -chain between x and y which cannot be made shorter. Then the following three conditions hold:

- (a) if some x_i with $1 \leq i \leq k$ is cyclic, then x_1 is cyclic and ($k = 1$ or ($k = 2$ and $r_2 = 0$));

- (b) $p^{r_{i+1}}(x_{i+1}) \neq x_i$ for all $1 \leq i \leq k-1$;
(c) $r_i \geq 0$ for all $1 \leq i \leq k$ or $r_i \leq 0$ for all $1 \leq i \leq k$.

Proof of Claim 1. (a) Let x_i be cyclic and $i \geq 1$. We assume that the conclusion of the implication stated in (a) fails and show how to define an m -chain between x and y which is shorter than $x_0, x_1, \dots, x_k, r_1, \dots, r_k$. Define the new m -chain as follows:

if $2 \leq i$,

$$\begin{aligned} y_0 &= x_0, \dots, y_{i-2} = x_{i-2}, y_{i-1} = x_i, y_i = x_{i+1}, \dots, y_{k-1} = x_k, \\ q_1 &= r_1, \dots, q_{i-2} = r_{i-2}, q_{i-1} = r_{i-1} + r_i, q_i = r_{i+1}, \dots, q_{k-1} = r_k, \end{aligned}$$

if $k = i+1$ and $r_k \neq 0$,

$$\begin{aligned} y_0 &= x_0, \dots, y_{i-1} = x_{i-1}, y_i = p^{-r_{i+1}}(x_i), y_k = x_k, \\ q_1 &= r_1, \dots, q_{i-1} = r_{i-1}, q_i = r_i + r_{i+1}, q_k = 0, \end{aligned}$$

and if $k-2 \geq i$,

$$\begin{aligned} y_0 &= x_0, \dots, y_{i-1} = x_{i-1}, y_i = p^{-r_{i+1}}(x_i), y_{i+1} = x_{i+2}, \dots, y_{k-1} = x_k, \\ q_1 &= r_1, \dots, q_{i-1} = r_{i-1}, q_i = r_i + r_{i+1}, q_{i+1} = r_{i+2}, \dots, q_{k-1} = r_k. \end{aligned}$$

Note that since x_i is cyclic, $p^j(x_i)$ is defined for each $j \in \mathbb{Z}$, so the definitions above make sense. Clearly they define m -chains from x to y . It is obvious from their definitions that to show that the new m -chain is shorter than the old one, it suffices to check relation (6). In the first case ($2 \leq i$), this amounts to the following calculation (with the last equality following from p being an isometry)

$$\begin{aligned} d(y_{i-2}, p^{q_{i-1}}(y_{i-1})) &= d(x_{i-2}, p^{r_{i-1}+r_i}(x_i)) \\ &\leq d(x_{i-2}, p^{r_{i-1}}(x_{i-1})) + d(p^{r_{i-1}}(x_{i-1}), p^{r_{i-1}+r_i}(x_i)) \\ &= d(x_{i-2}, p^{r_{i-1}}(x_{i-1})) + d(x_{i-1}, p^{r_i}(x_i)). \end{aligned}$$

In the other two cases, we have

$$d(y_{i-1}, p^{q_i}(y_i)) = d(x_{i-1}, p^{r_i}(x_i)).$$

Moreover, if $k = i+1$ and $r_k \neq 0$,

$$d(y_i, p^0(y_k)) = d(p^{-r_{i+1}}(x_i), x_{i+1}) = d(x_i, p^{r_{i+1}}(x_{i+1}))$$

where the last equality follows from p being an isometry. If $i \leq k-2$, we have

$$\begin{aligned} d(y_i, p^{q_{i+1}}(y_{i+1})) &= d(p^{-r_{i+1}}(x_i), p^{r_{i+2}}(x_{i+2})) \\ &\leq d(p^{-r_{i+1}}(x_i), x_{i+1}) + d(x_{i+1}, p^{r_{i+2}}(x_{i+2})) \\ &= d(x_i, p^{r_{i+1}}(x_{i+1})) + d(x_{i+1}, p^{r_{i+2}}(x_{i+2})) \end{aligned}$$

the last equality following from the fact that p is an isometry. Thus, in either of these two cases ($k = i+1$ and $r_k \neq 0$ or $i \leq k-2$) we see that (6) holds.

(b) This point is obvious. If the condition fails for some $1 \leq i \leq k-1$, to construct an m -chain between x and y shorter than $x_0, x_1, \dots, x_k, r_1, \dots, r_k$ simply take $y_j = x_j$ if $j \leq i-1$, $y_j = x_{j+1}$ if $j > i-1$, $q_j = r_j$ if $j \leq i-1$, $q_i = r_i + r_{i+1}$, and $q_j = r_{j+1}$ if $k-1 \geq j > i$.

(c) It is obvious that $r_i \neq 0$ for all $1 \leq i \leq k-1$ since otherwise $y_j = x_j$, $r_j = p_j$ for $j < i$ and $y_j = x_{j+1}$, $r_j = p_{j+1}$ for $i \leq j \leq k-1$ would define an m -chain between x and y shorter than $x_0, x_1, \dots, x_n, r_1, \dots, r_n$. Now assume towards contradiction that $r_i > 0$ and $r_{i+1} < 0$ for some $1 \leq i \leq k-1$. (The other case is dealt with in a similar manner.) If $r_i \leq |r_{i+1}|$, define an m -chain between x and y by letting

$$\begin{aligned} y_0 &= x_0, \dots, y_{i-1} = x_{i-1}, y_i = x_{i+1}, \dots, y_{k-1} = x_k \\ q_1 &= r_1, \dots, q_{i-1} = r_{i-1}, q_i = r_i + r_{i+1}, \dots, q_{k-1} = r_k. \end{aligned}$$

Then we have

$$d(y_{i-1}, p^{q_i}(y_i)) = d(x_{i-1}, p^{r_i+r_{i+1}}(x_{i+1})) \leq d(x_{i-1}, p^{r_i}(x_i)) + d(x_i, p^{r_{i+1}}(x_{i+1}))$$

which justifies (6) showing that the new m -chain is shorter than $x_0, x_1, \dots, x_n, r_1, \dots, r_n$. Note that $p^{r_i+r_{i+1}}(x_{i+1})$ makes sense since $0 \geq r_i + r_{i+1} \geq r_{i+1}$.

If $r_i \geq |r_{i+1}| > 0$, define the chains in the case $k = i+1$ and in the case $k-2 \geq i$ exactly as in point (a) in the analogous cases. Note that the definition $y_i = p^{-r_{i+1}}(x_i)$ makes sense since $0 \leq -r_{i+1} \leq r_i$ and it is assumed that $p^j(x_i) \in D$ for all $0 \leq j < r_i$. It is easy to see that what is defined here are m -chains between x and y . The calculations from point (a) show that the new m -chains are shorter than the old ones. The claim follows.

We say that the distance $\rho([x, 0], [y, m])$ is *realized on an m -chain* $x_0, \dots, x_k, r_1, \dots, r_k$ between x and y if

$$\rho([x, 0], [y, m]) = \sum_{i=0}^{k-1} d(x_i, p^{r_{i+1}}(x_{i+1})).$$

In particular, the second minimum in (5) is less than or equal to 2Δ .

Claim 2. Assume that the distance $\rho([x, 0], [y, m])$ is realized on an m -chain $x_0, \dots, x_k, r_1, \dots, r_k$ between x and y . Then one of the following two conditions holds:

- (a) x_1 is cyclic and ($k = 1$ or ($k = 2$ and $r_2 = 0$));
- (b) $\sum_{i=1}^n |r_i| < M$.

Proof of Claim 2. It is clear that the relation between m -chains of being shorter does not have cycles, therefore if a distance is realized on a chain, it is realized on a chain which cannot be made shorter. Thus, the m -chain $x_0, x_1, \dots, x_k, r_1, \dots, r_k$ between x and y cannot be shortened. Therefore, by

Claim 1, it suffices to show that the assumption that all x_i with $1 \leq i \leq k-1$ are acyclic and conditions Claim 1(b),(c) imply Claim 2(b). Notice that

$$(8) \quad k \max\{n_x : x \in A\} < M$$

since otherwise by Claim 1(b) and (7)

$$\begin{aligned} \rho([x, 0], [y, m]) &= \sum_{i=0}^{k-1} d(x_i, p^{r_{i+1}}(x_{i+1})) \geq (k-1)\delta \\ &\geq \left(\frac{M}{\max\{n_x : x \text{ acyclic}\}} - 1 \right) \delta > 2\Delta \end{aligned}$$

contradicting $\rho([x, 0], [y, m]) \leq 2\Delta$. By Claim 1(c), we can assume $r_i \geq 0$ for all $1 \leq i \leq k$. (The case $r_i \leq 0$ for all $1 \leq i \leq k$ is handled similarly.) Each x_i acyclic and therefore $0 \leq r_i \leq n_{x_i}$ whence by (8)

$$\sum_{i=1}^k r_i \leq k \max\{n_x : x \text{ acyclic}\} < M$$

and Claim 2 follows.

We check now that h is an isometry which amounts to proving that

$$\rho([x, 0], [y, 0]) = d(x, y).$$

Note that since $x_0 = x$, $x_1 = y$ and $r_1 = 0$ is a 0-chain between x and y ,

$$\rho([x, 0], [y, 0]) \leq d(x, y) < 2\Delta$$

holds obviously and implies that $\rho([x, 0], [y, 0])$ is realized on a 0-chain. Fix such a 0-chain $x_0, x_1, \dots, x_k, r_1, \dots, r_k$ between x and y .

If Claim 2(a) holds for this chain, then we have $r_1 = \sum_{i=1}^k r_i = 0 \pmod{2M}$, hence $m_{x_1} | r_1$ and therefore $p^{r_1}(x_1) = x_1$. If $k = 1$, we get $d(x_0, p^{r_1}(x_1)) = d(x_0, x_1) = d(x, y)$. If $k = 2$, since $r_2 = 0$, we have

$$d(x_0, p^{r_1}(x_1)) + d(x_1, p^{r_2}(x_2)) = d(x_0, x_1) + d(x_1, x_2) \geq d(x, y).$$

Thus, $d(x, y) \leq \rho([x, 0], [y, 0])$.

If Claim 2(b) holds, then, since $\sum_{i=1}^k r_i = 0 \pmod{2M}$, we get $r_i = 0$ for each $1 \leq i \leq k$. Thus,

$$\sum_{i=0}^{k-1} d(x_i, p^{r_{i+1}}(x_{i+1})) = \sum_{i=0}^{k-1} d(x_i, x_{i+1}) \geq d(x_0, x_k) = d(x, y),$$

and we are done.

To check point (iii), we need to prove that $\tilde{p}^M \upharpoonright Z = \text{id}_Z$ and to compute $\rho([x, 0], [y, M])$. (See the remark following the statement of Theorem 3.2.) For $x \in Z$, $\tilde{p}^M(x) = p^M(x) = x$ since m_x divides M .

Now we compute $\rho([x, 0], [y, M])$. If this distance were realized on an M -chain $x_0, x_1, \dots, x_k, r_1, \dots, r_k$ between x and y fulfilling Claim 2(b), then $\sum_{i=1}^n r_i =$

$M \bmod 2M$ leads to a contradiction. Thus, if the distance is realized on an M -chain, the chain fulfills Claim 2(a). We have now two situations: either Z is empty or not.

Assume first that $Z = \emptyset$. Since there are no cyclic points, $\rho([x, 0], [y, M])$ is not realized on an M -chain fulfilling Claim 2(a). Thus, it is equal to 2Δ .

Assume now $Z \neq \emptyset$. If an M -chain $x_0, x_1, \dots, x_k, r_1, \dots, r_k$ realizes the distance $\rho([x, 0], [y, M])$, then x_1 is cyclic and either $k = 1$ or $k = 2$ and $r_2 = 0$. In either case $r_1 = M \bmod 2M$ whence M divides r_1 and so m_{x_1} divides r_1 . Therefore, $p^{r_1}(x_1) = x_1$. If $k = 1$, y is cyclic and

$$\rho([x, 0], [y, M]) = d(x_0, x_1) = d(x, y).$$

If $k = 2$, it follows that

$$\begin{aligned} \rho([x, 0], [y, M]) &= \min(2\Delta, \min\{d(x, x_1) + d(x_1, y) : x_1 \in Z\}) \\ &= \min\{d(x, x_1) + d(x_1, y) : x_1 \in Z\} \end{aligned}$$

as required. \square

Remark 3.2. The definition of the set underlying the metric space B and of \tilde{p} draws on ideas which are already present in Mackey's construction of induced action [6, p.190] (see also [1, 2.3.5]). In the context of extensions of partial isomorphisms of finite graphs, a similar definition was used by Hrushovski in [3]. The new ingredients here are the choice of M , the definition of the metric on B and the arguments concerning it.

4. CONSEQUENCES FOR ISOMETRY GROUPS

The present section contains derivations from Theorems 2.1 and 3.2 of properties of the structure of conjugacy classes of the isometry groups of the rational Urysohn metric space and the Urysohn metric space (Corollaries 4.1 and 4.4). These properties have broader consequences as described in Corollaries 4.3 and 4.5.

Let G be a Polish group and let $n \in \mathbb{N}$. By the *diagonal action* of G on G^n we understand the action

$$G \times G^n \ni (g, (h_1, \dots, h_n)) \rightarrow (gh_1g^{-1}, \dots, gh_ng^{-1}) \in G^n.$$

This is a generalization of the conjugacy action of G on itself which we obtain by setting $n = 1$ in the above definition. Slightly abusing the notation, we will write $g\bar{h}f$ for (gh_1f, \dots, gh_nf) where $g, f \in G$ and $\bar{h} = (h_1, \dots, h_n) \in G^n$. We say that $\bar{h} \in G^n$ is *cyclically dense for the diagonal action of G on G^n* if for some $g \in G$, $\{g^k\bar{h}g^{-k} : k \in \mathbb{N}\}$ is dense in G^n . A point $\bar{h} \in G^n$ is *generic for the diagonal action of G on G^n* if its orbit with respect to this action is a dense G_δ .

If X is a Polish metric space, by $\text{Iso}(X)$ we denote the group of all isometries of X with the pointwise convergence topology. With this topology $\text{Iso}(X)$ is a Polish group with composition as group operation. If $A \subseteq X$, by $\text{Iso}_A(X)$ we denote the closed subgroup of $\text{Iso}(X)$ consisting of all the elements which fix each point in A .

Corollary 4.1. *Let $A \subseteq \mathbb{U}_0$ be finite. For each $n \in \mathbb{N}$ the diagonal action of $\text{Iso}_A(\mathbb{U}_0)$ on $\text{Iso}_A(\mathbb{U}_0)^n$ has a point which is cyclically dense and generic.*

We start with a lemma.

Lemma 4.2. *Let $A \subseteq \mathbb{U}_0$ be finite and let $n \in \mathbb{N}$. Assume that $\bar{\phi} \in \text{Iso}(A)^n$ and $\chi \in \text{Iso}(A)$ are given. Then there exist $\bar{f} \in \text{Iso}(\mathbb{U}_0)^n$ and $h \in \text{Iso}(\mathbb{U}_0)$ extending $\bar{\phi}$ and χ , respectively, such that*

$$\overline{\{h^m \bar{f} h^{-m} : m \in \mathbb{N}\}} = \{\bar{g} \in \text{Iso}(\mathbb{U}_0)^n : \exists m \in \mathbb{N} \bar{g} \upharpoonright A^n = \chi^m \bar{\phi} \chi^{-m}\}.$$

Proof. The corollary is derived from Theorems 2.1 and 3.2 by a back-and-forth argument. An isometry between two finite subsets of a \mathbb{U}_0 will be called a *finite isometry*. Recall that for a finite isometry p , $Z(p)$ stands for the set of all cyclic points of p , that is, points x for which $p^i(x)$ is defined for all $i \in \mathbb{N}$.

The inclusion \subseteq holds for any isometric extensions of $\bar{\phi}$ and χ . Therefore, we construct such extensions \bar{f} and h so that the opposite inclusion holds. In fact, it suffices to make sure that for any n -tuple of finite isometries $\bar{\gamma}$ extending $\bar{\phi}$ there is $m \in \mathbb{N}$ with $h^m \bar{f} h^{-m}$ extending $\bar{\gamma}$. By the standard back-and-forth inductive argument, we construct \bar{f} and h by producing n -tuples of finite isometries $\bar{\phi}_k$ and finite isometries χ_k , $k \in \mathbb{N}$, such that \bar{f} is the common extension of all the $\bar{\phi}_k$ s and h is the common extension of all the χ_k s. The inductive step, which produces $\bar{\phi}_k$ and χ_k or $\bar{\phi}_k^{-1}$ and χ_k^{-1} depending on whether k is even or odd, is equivalent to the following:

Assume we are given $x \in \mathbb{U}_0$ and a finite isometry χ' with $Z(\chi') = A$. Assume that $\bar{\phi}'$ and $\bar{\gamma}$ are n -tuples of finite isometries and that both extend $\bar{\phi}$. Then we can find a finite isometry χ'' extending χ' and an n -tuple of finite isometries $\bar{\phi}''$ extending $\bar{\phi}'$ such that the domains of χ'' and of each component of $\bar{\phi}''$ contain x , $Z(\chi'') = A$ and, for some $M \in \mathbb{N}$, $\bar{\phi}''(\chi'')^{-M}$ extends $(\chi'')^{-M} \bar{\gamma}$.

We accomplish it as follows. Let A_1 be the union of $\{x\}$ and the domains and ranges of χ' and of the components of the n -tuples $\bar{\gamma}$ and $\bar{\phi}'$. Use Theorem 2.1 to find an extension of $\bar{\phi}'$ to $\bar{\phi}''_1 \in \text{Iso}(A_2)^n$ with $A_1 \subseteq A_2$ and with A_2 finite. (Note that by Theorem 2.1 we can find a finite rational metric space A_2 isometrically embedding A_1 as above. By universality and ultrahomogeneity of \mathbb{U}_0 with respect to finite rational metric spaces, we can assume that $A_1 \subseteq A_2 \subseteq \mathbb{U}_0$.) Now use Theorem 3.2 to find a natural number M and a finite isometric extension $\chi''_1 : B \rightarrow B$ of χ' with $A_2 \subseteq B$. (Again universality and ultrahomogeneity of

\mathbb{U}_0 are used here.) Define

$$\chi'' = \chi_1'' \upharpoonright \bigcup_{0 \leq i \leq M-1} (\chi_1'')^i(A_2).$$

The function $\bar{\phi}_1''$ is defined on A_1^n while $(\chi_1'')^{-M} \bar{\gamma}(\chi_1'')^M$ is defined on $(\chi_1''(A_1))^n$ (by Theorem 3.2(i)). In particular, both these functions contain $Z(\chi')^n = A^n$ in their domains. The restriction of $\bar{\phi}_1''$ to A^n is equal to $\bar{\phi}$ as is, by Theorem 3.2(iii), the restriction $(\chi_1'')^{-M} \bar{\gamma}(\chi_1'')^M$ to $Z(\chi')^n = A^n$. Let $\bar{\phi}''$ be an n -tuple of finite isometries which is a common extension of $\bar{\phi}_1''$ and $(\chi_1'')^{-M} \bar{\gamma}(\chi_1'')^M$ and which exists by Theorem 3.2(iii) and Lemma 3.1. Also by Theorem 3.2(ii), we have $Z(\chi'') = Z(\chi')$. Now $\bar{\phi}''$ and χ'' are as required. \square

Proof of Corollary 4.1. Fix n and consider the diagonal action of $\text{Iso}_A(\mathbb{U}_0)$ on $\text{Iso}_A(\mathbb{U}_0)^n$. It is easy to see that cyclically dense elements, once they exist, form a dense G_δ . Thus, it suffices to prove the existence of a cyclically dense element and, separately, the existence of a generic element.

The existence of a cyclically dense element is just a special case of Lemma 4.2 with $\bar{\phi}$ being the n -tuple of the identity maps on A and χ being the identity map on A .

Theorem 2.1 implies the existence of a generic element by the methods of [5, Theorem 5.2]. A proof of this implication with $A = \emptyset$ is included in the remarks following Theorem 5.2 in [5]. The following simple argument derives the case of arbitrary finite A from the particular case $A = \emptyset$. Let C be a comeager orbit in $\text{Iso}(\mathbb{U}_0)^n$. Pick $\bar{h} \in C \cap \text{Iso}_A(\mathbb{U}_0)^n$. Then for some $g_0 \in \text{Iso}(\mathbb{U}_0)$

$$\{gg_0\bar{h}g_0^{-1}g^{-1} : g \in \text{Iso}_A(\mathbb{U}_0)^n\}$$

is contained in $\text{Iso}_A(\mathbb{U}_0)^n$ and non-meager in it. It follows that $\bar{h}_0 = g_0\bar{h}g_0^{-1}$ is in $\text{Iso}_A(\mathbb{U}_0)^n$ and its orbit with respect to the diagonal action of $\text{Iso}_A(\mathbb{U}_0)$ is non-meager. Since by (i) this action has a dense orbit, each non-meager orbit is in fact comeager, hence a dense G_δ by Effros' theorem (see [1, 2.2.2]). \square

The authors of [5] define a Polish group G to have *ample generics* if for each $n \in \mathbb{N}$ the diagonal action of G on G^n has a generic element. So, Corollary 4.1 implies that $\text{Iso}(\mathbb{U}_0)$ has ample generics. As shown in [5, Section 5] existence of ample generics in a Polish group has strong consequences for the structure of the group. The following corollary is an immediate consequence of Corollary 4.1 and [5, Theorems 5.7, 5.9, 5.21].

Corollary 4.3. *The Polish group $\text{Iso}(\mathbb{U}_0)$ has the following properties:*

- (i) *any subgroup of it of index $< 2^{\aleph_0}$ is open;*
- (ii) *it is not the union of countably many cosets of non-open subgroups, in particular, it is not the union of a countable sequence of non-open subgroups;*

- (iii) *any homomorphism from it to a topological separable group is continuous.*

Let \mathbb{U} be the Urysohn metric space. Since by [4, Lemmas 6.19, 6.20] the isometry group of \mathbb{U}_0 embeds into the isometry group of \mathbb{U} as a dense subgroup, Corollary 4.1 implies the following result.

Corollary 4.4. *All the diagonal actions of $\text{Iso}(\mathbb{U})$ have cyclically dense elements.*

Remark 4.1. The proof from [4] and the proof of Corollary 4.1 yield also that, given a finite subset A of the Urysohn metric space \mathbb{U} , the diagonal actions of the group $\text{Iso}_A(\mathbb{U})$ have cyclically dense elements. For other Polish groups with this property see [5, Theorem 1.10] and the references quoted in that paper.

Remark 4.2. Kechris and Rosendal [5, Theorem 1.2] and, independently, Glasner and Pestov proved a precursor to Corollaries 4.1 and 4.4. They showed that $\text{Iso}(\mathbb{U}_0)$ and $\text{Iso}(\mathbb{U})$ have elements with dense conjugacy classes.

An interesting consequence of Corollary 4.4 which strengthens, for metric groups, a theorem of Morris and Pestov [8] was pointed out to me by Alekos Kechris. By [11] $\text{Iso}(\mathbb{U})$ is a *universal Polish group*, that is, each Polish group is isomorphic to a closed subgroup of it. Now Corollary 4.4 for the diagonal action with $n = 1$ implies immediately that $\text{Iso}(\mathbb{U})$ is *topologically 2-generated*, that is, there are two elements of it which generate a dense subgroup. Thus, we obtain Corollary 4.5 below. This result implies that each metric separable group is contained in a metric separable topologically 2-generated group. This is a topological analog of the classical Higman–Neumann–Neumann theorem and was proved by a very different method by Morris and Pestov [8, Corollary 1].

Corollary 4.5. *There exists a universal Polish group which is topologically 2-generated.*

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