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Extension of the Liège intranuclear-cascade model to reactions induced by light nuclei

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The purpose of this paper is twofold. First, we present the extension of the Liège intranuclear-cascade model to reactions induced by light ions. We describe here the ideas upon which we built our treatment of nucleus-nucleus reactions and we compare the model predictions against a vast set of heterogeneous experimental data. In spite of the discussed limitations of the intranuclear-cascade scheme, we find that our model yields valid predictions for a number of observables and positions itself as one of the most attractive alternatives available to GEANT4 users for the simulation of light-ion-induced reactions. Second, we describe the C++ version of the code, which is physicswise equivalent to the legacy version, is available in GEANT4, and will serve as the basis for all future development of the model.

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version of the model described in the present paper.

response to dynamical solicitation (e.g., Ref. [9]); see also the work described in Ref. [10], which is particularly relevant

to our subject because it was performed with a preliminary

The applications listed above typically involve projectile

I. INTRODUCTION AND MOTIVATION

Reactions involving light ions (defined as $A \leq 18$ for the purpose of this paper) play an important role in several applications. In hadron therapy, for instance, cancer patients are treated using accelerated beams of protons or light ions [1]. Nuclear reactions between the beam particles and the body of the patient can be responsible for dose deposition outside the clinical target volume, which is undesirable. Moreover, it has been demonstrated that the production of β^+ emitters in nuclear reactions can be profitably employed to monitor dose deposition in proton [2] or carbon treatment [3].

The radiation environment in space also involves energetic protons and heavy ions [4]. The galactic cosmic rays are one of the contributing sources to radiation in the solar system; their hadronic component mainly consists of protons and α particles, but ions as heavy as iron are known to yield sizable contributions to the equivalent dose absorbed by space crews. Shielding against cosmic radiation relies on nuclear reactions to reduce the health hazard.

Light-ion-induced nuclear reactions are also involved in the production of beams of unstable nuclei. The in-flight projectile-fragmentation method [5] is often realized using ⁹Be production targets. Radioactive beams produced with the Isotope Separator On Line (ISOL) method [6] typically rely on light charged particles (LCPs) to induce spallation or fission in the production target. In either case, the luminosity of the secondary beam crucially depends on the fragment yields in light-ion-induced reactions.

Reactions on light nuclei are also often used in fundamental research at the limits of nuclear stability, for instance in the quest for very neutron-rich or neutron-poor residues (e.g., Refs. [7,8]). Light targets are also employed to extract information about the properties of exotic nuclei from their

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energies of the order of a few tens to several hundreds or even thousands of MeV per nucleon. Because a great deal of reaction channels are open in this energy regime, it is unfeasible to conduct systematic and comprehensive measurement campaigns for all the relevant observables. Semiempirical deterministic transport codes [e.g., 11,12] and hadron-therapy-targeted treatment-planning systems [e.g., 13] can be constructed around a restricted number of measured observables. Such codes are usually sufficient to ensure adequate reproduction of the existing data; however, their predictive power is essentially limited to the selected observables in a restricted regime. Thus, there is a need for predictive, physics-based nuclear-reaction models that can be used as all-round tools at the bleeding edge of fundamental and applied research.

Above some 100 MeV incident energy, the nucleon-nucleus reaction dynamics can be described as a sequence of independent nucleon-nucleon interactions taking place in a common mean-field potential [14,15]. This approximation gives rise to the intranuclear-cascade (INC) class of models, which help shed some light on the reaction mechanism and have proven predictive even below their nominal low-energy limit of validity. In particular, the Liège intranuclear cascade (INCL) [16], coupled with the ABLA07 statistical deexcitation code [17], has been recognized as one of the most accurate models available on the market by the benchmark of spallation models [19], an intercomparison of event generators for nucleoninduced reactions in the 60-3000-MeV incident-energy range, organized under the auspices of the International Atomic Energy Agency (IAEA). The INCL model is a full Monte Carlo event generator written in FORTRAN77. The latest version of the FORTRAN77 code is named INCL4.6 and it is described in detail in recent publications [16]. As such, it represents an ideal starting point for an extension to reactions induced by light ions. A simple extension to light-ion-induced reactions, based

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on an old FORTRAN77 version of the model, was attempted a few years ago [20]. The model yielded promising physical results, but maintaining the code quickly grew to the proportions of a formidable task. This was mainly attributable to the fact that the FORTRAN77 version was monolithic, hardly flexible, and not very legible from the start. This is one of the motivations that has led us to redesign the INCL code from scratch and cast it in modern, object-oriented C++.

Before describing the light-ion extension, we need to define the framework of the model and introduce the C++ redesign of the INCL code, named INCL++ (Sec. II). The physics of the new code is substantially equivalent to the reference FORTRAN77 version INCL4.6 for nucleon- and pion-induced reactions; the few minor differences will be highlighted in Sec. II A. We then introduce the extension of the INCL++ model to light-ioninduced reactions (Sec. II B). The differences between INCL++ and the legacy FORTRAN INCL code are highlighted in Sec. III. The predictions of the light-ion extension are compared with a variety of experimental data in Sec. IV. We collect our conclusions in Sec. V. Some GEANT4-specific information about the use of INCL++ within this particle-transport toolkit are given as an Appendix.

II. MODEL DESCRIPTION

The Liège intranuclear-cascade model (INCL) (Ref. [16], official web site: http://irfu.cea.fr/Sphn/Spallation/incl.html) is one of the most refined existing tools for the description of nucleon- and pion-induced reactions in the 50–3000-MeV incident-energy range. The model is currently maintained and jointly developed by the University of Liège (Belgium) and CEA-Saclay (France).

In this framework, the high-energy projectile initiates an avalanche of binary collisions within the target nucleus. Particles (nucleons and pions) are assumed to move in a spherical calculation volume, whose radius R_{max} is defined to be large enough to intersect essentially all impact parameters leading to inelastic reactions. Binary particle-particle collisions are subject to Pauli blocking. Emission of nucleons, pions, and light clusters is possible; light clusters, in particular, are produced via a dynamical phase-space coalescence algorithm. The cascade stops when the remnant nucleus shows signs of thermalization; a rather unique aspect of INCL is the self-consistent determination of the cascade stopping time. The INCL model is not to be considered as adjustable. It does contain parameters, but they are either taken from known phenomenology (such as the matter density radius of the nuclei) or have been adjusted once for all (such as the parameters of the Pauli blocking or those that determine the coalescence module for the production of the light charged clusters). The validity of the INCL model in the 50-3000-MeV incident-energy range has been extensively demonstrated by the "benchmark of spallation models" [19], sponsored by IAEA.

We now turn to the description of the details that are specific to the INCL++ model. In what follows, and unless otherwise specified, we refer to INCL++ v5.1.14, which is the version that was released to the public along with GEANT4 v10.0 in December 2013. Subsequent patches to GEANT4 v10.0

introduce very few changes to the core of the model. More detailed information about INCL++ in GEANT4 are presented as an appendix to the present paper.

A. Differences with INCL4.6

We try to limit our description to those aspects of INCL++ that are different from the reference FORTRAN version, INCL4.6 [16]. In some cases, however, a brief presentation of the reference model needs to be included for clarity's sake.

We mentioned above that INCL++ was designed to be physically equivalent to INCL4.6 as far as nucleon- and pioninduced reactions are concerned. Nevertheless, in some cases we deliberately chose to introduce some minor difference for the sake of simplicity or consistency. In particular, the treatment of pions in the two codes notably differs for the following details.

First, the radius of the pion potential in INCL4.6 is taken to be $R_{\pi} = (R_0 + R_{\text{max}})/2$, where R_0 represents the surface halfdensity radius and R_{max} is the radius of the calculation volume. This means that pions are assumed to quit the INC at $r = R_{\pi}$; however, incoming pions still enter at $r = R_{\text{max}}$. This would pose some problems of consistency in the stricter INCL++ code. Thus, for simplicity, pions in INCL++ always enter and leave their potential (and the calculation volume) at $r = R_{\text{max}}$. It is, in principle, possible to take into account the fact that the radius of the pion potential is sensibly smaller than R_{max} ; however, we verified that pion spectra from nucleon-induced reactions are insensitive to the potential radius in INCL4.6. Therefore, the refinement seems unwarranted.

Second, the INCL4.6 code introduced a special procedure. named "local E" [16], which tries to correct for the unrealistically large momentum content of the nuclear surface in the nuclear model underlying INCL. When a nucleon is involved in a collision, its kinetic energy is preemptively reduced by an amount that depends on its position (the correction is large at the surface of the nucleus). The nucleon momentum is rescaled accordingly. This procedure tries to capture the fact that nucleons in the surface are close to the turning point of their classical trajectories and, thus, less kinetic energy is available for the collision. The local-E correction is instrumental for the description of nucleon-nucleus reaction cross sections at low incident energy (Sec. II.C.4.b in Ref. [16]). For consistency with nucleon-induced reactions, the same procedure is applied to the nucleon involved in the first collision of pion-nucleus reactions in INCL++. This has some consequences, as we illustrate in Sec. III.

Third, the INCL4.6 code introduced a dependence of the calculation-sphere radius (R_{max}) on the nucleon-nucleon "interaction range" (Sec. II.D.3 in Ref. [16]). In INCL++ the interaction range is taken to be equal to the interaction distance used in the low-energy fusion sector [Eq. (7) below]. This is only done for consistency and has no physical consequence.

Finally, target preparation for $A \leq 4$ is treated differently. The INCL4.6 code singles out this special case and imposes that the sum of the momenta and positions of the target nucleons should vanish, as appropriate for the center-of-mass system; however, these conditions are not conserved during the cascade, even in the absence of collisions, owing to the presence of the target potential. Moreover, the assumed root-mean-square momenta for these targets are inconsistent with those used when the same nuclei are considered as projectiles. In INCL++, instead, target preparation is consistent for all targets. (Note, however, that the shape of the momentum distribution is still taken to be different for projectiles and targets for reasons explained in detail in Sec. II D.)

Up to the four differences mentioned so far, we can state that INCL++ is physically equivalent to INCL4.6 as far as nucleonand pion-induced reactions are concerned.

Additional differences specifically concern reactions induced by light nuclei. The value of the "Coulomb radius" (related to the Coulomb barrier, as explained in Ref. [16]) for ³He was found to be inadequate and replaced with the value used for ⁴He. This is illustrated in Sec. III B. Moreover, polarization of incident deuterons (Sec. II.D.4.d in Ref. [16]) is neglected in INCL++.

The most important difference between INCL4.6 and INCL++, however, is the ability of the latter to treat reactions induced by light ions, as detailed in Sec. II B. Note, however, that the light-ion extension led us to modify the low-energy fusion model even for incident LCPs ($A \leq 4$), for consistency with the light-ion sector. Therefore, the INCL++ predictions for LCP projectiles at low energy are *not* expected to be in agreement with those made by INCL4.6, as we illustrate in Sec. III below.

The rest of this section concerns the detailed description of the extension to light-ion projectiles. We start by illustrating the preparation of the projectile nucleons in the laboratory frame (Secs. IIB1 and IIB2), which takes into account Coulomb deviation by the target nucleus (Sec. II B 3). Nucleons entering INC (Sec. II B 4) are adjusted to allow for excitation energy in the projectile prefragment (Sec. II B 5). The INC phase proper is rather standard and is described in Sec. II B 6. At the end of INC, a projectilelike prefragment is defined if possible (Sec. II B 7). Reactions at low incident energy require a special treatment and are discussed in Sec. II C. The limitations of the approach we describe are discussed in Sec. IID. This completes the discussion of the light-ion extension of INC; however, we also need to discuss the relevance of statistical deexcitation models for the complete simulation of the nucleus-nucleus reaction (Sec. II E).

B. Extension to light-ion-induced reactions

It has been demonstrated [19] that the Liège intranuclearcascade model can successfully reproduce a vast set of observables pertaining to nucleon-induced reactions between a few tens of MeV and a few GeV, which suggests that the model condenses the physics that is essentially relevant in this energy range. It is therefore natural to take it as a starting point for the development of a new model for light-ion-induced reactions.

The treatment of nucleus-nucleus reactions in an INC framework poses several challenges that do not apply to nucleon-nucleus reactions. First and foremost, there is no natural way of accounting for the binding of the projectile nucleus within the INC scheme. The cascade takes place in a single mean-field potential, which is typically assumed to be that of the target nucleus; this essentially amounts to

neglecting the mean-field interaction between the projectile constituents. This approximation might be tenable for central collisions of a light projectile on a heavy target, which rarely lead to the emission of a projectilelike fragment; however, it is clear that no model can describe projectile fragmentation if the binding of the projectile nucleons is neglected. Second, INC models typically do not treat the mean-field potentials as dynamical quantities and assume that they do not evolve during the cascade phase. This is justifiable for nucleonnucleus reactions, where only a relatively small fraction of the nucleons directly participates in the reaction, but it is clear that prefragments produced in nucleus-nucleus reactions can be very different from the initial reaction partners. Therefore, any collective rearrangement of the mean field is beyond the reach of traditional INC models. Third, nucleons in nuclei are endowed with Fermi motion. A realistic description of the intrinsic momentum content of both reaction partners is necessary for an accurate description of certain observables. This is somewhat at odds with the independent-particle Fermigas model that is typically used to describe the structure of the reaction partners, especially for light nuclei. The definition of Pauli blocking is unambiguous only if the initial momentum distribution of the nucleons is assumed to be a hard, uniform Fermi sphere. It is well-known, however, that nucleons in light nuclei exhibit smoother distributions [21], which manifest themselves (among other things) in the momenta of nucleons from the breakup of the projectile. This point is further developed below (see Secs. II D and IV C).

One way to tackle the problem of binding is to separately treat projectile and target nucleons as bound in their respective mean field. This approach is realized, e.g., by Isabel [22,23]. In this model, the reaction dynamics results from the juxtaposition of two conflicting pictures: The nuclei are alternatively depicted as collections of nucleons or as continuous Fermi gases. Nucleons belonging to the projectile or to the target only feel the projectile or the target potential, respectively. Additional assumptions are clearly necessary to determine the dynamics of cascading particles, which do not belong to either nucleus. In this work, we follow an alternative approach.

We briefly repeat here that an INCL-based extension to lightion-induced reactions has already been attempted [20] on the basis of an old version of the model (INCL4.3). We shall not dwell on the differences between the two approaches here, mostly because the model described in the present work is more sophisticated in several respects and should be considered as the reference point for any future development.

1. Preparation of the projectile

The first step in the simulation of a light-ion-induced reaction is the preparation of the projectile and target nuclei. Because the preparation of the target is standard, we refer the reader to Ref. [24] and we limit ourselves to describing the preparation of the projectile in its center-of-mass (c.m.) frame. Let A_p and Z_p be the mass and charge number of the projectile. Furthermore, let ρ_p and π_p be the single-particle, isospin-independent space and momentum densities of the projectile nucleus. The assumed parametrizations for ρ_p and π_p are shown in Table I. The table is limited to $A \leq 18$,

TABLE I. Assumed single-particle space and momentum densities for light projectile nuclei (up to A = 18). "MHO" stands for "modified harmonic oscillator" and $p_F = 270 \text{ MeV}/c$. For target nuclei, the same space densities are used; however, hard Fermi spheres are used as momentum distributions [24].

	Space, ρ_p	Momentum, π_p	
Deuteron	Paris-potential wave function [25]		
$2 < A \leq 6$	Gaussian, rms		
	from [26]	Gaussian, rms = $\sqrt{\frac{3}{5}}p_F$	
$6 < A \leq 18$	MHO, parameters from [26]	, 1	

which is the maximum mass that can be treated as a projectile in INCL++. While this limit is mostly dictated by the needs for applications (reactions involved in carbon-therapy, for instance, rarely involve two nuclei heavier than A = 18), it is clear that INC cannot handle the collective behavior of symmetric reactions between heavy nuclei.

In the case of deuteron, projectile preparation is trivial: The relative distance and momentum are independently drawn at random from the Paris space and momentum wave function [25]. The directions of the vectors are chosen isotropically. For heavier projectiles, we first draw A_p isotropically distributed vectors \boldsymbol{w}_i from the space distribution ρ_p . Let $\boldsymbol{W} = A_p^{-1} \sum_i \boldsymbol{w}_i$ be the mean of the \boldsymbol{w}_i vectors; then the positions of the nucleons are defined as

$$\boldsymbol{\rho}_i = \sqrt{\frac{A_p}{A_p - 1}} \left(\boldsymbol{w}_i - \boldsymbol{W} \right) \quad i = 1, \dots, A_p.$$

By construction, these positions satisfy the relation $\sum_i \rho_i = 0$. The scaling factor $\sqrt{A_p/(A_p - 1)}$ is needed to ensure that the variance of the ρ_i vectors is equal to the variance of the ρ_p distribution. The definition of the ρ_i vectors is such that the first and second central moments of their distribution are equal to the corresponding moments of ρ_p . In general, the ρ_i vectors do not strictly follow the ρ_p distribution, except if the latter is Gaussian; deviations from the shape of ρ_p are smaller if the number of nucleons is larger.

The c.m. momenta of the projectile nucleons π_i are constructed in a similar way. Because the momentum distributions are taken to be Gaussian for all projectile nuclei, the generated momenta are normally distributed with the correct width parameter and sum up to zero total momentum, as appropriate for the c.m. system.

2. Projectile binding and Lorentz boost

We choose to account for the projectile binding by putting the nucleons off their mass shell. During the INC phase, it is assumed in INCL that the proton and neutron masses are equal, and they are set to the common value m = 938.2796 MeV [27]. Let M_p be the mass of the projectile nucleus; we define the *dynamical pseudopotential* of the projectile as

$$V_p = A_p^{-1} \left[\sum_{i=1}^{A_p} \sqrt{\pi_i^2 + m^2} - M_p \right]$$



FIG. 1. (Color online) Distributions of the dynamical pseudopotential used in the preparation of different light projectiles. Mean values and standard deviations are (8 ± 18) MeV for deuteron, (25 ± 10) MeV for triton, (29 ± 9) MeV for ⁴He, and (30 ± 5) MeV for ¹²C.

This quantity should not be regarded as a physical potential, but rather as a calculation device to enforce the nominal dispersion law in the laboratory frame. The pseudopotential has the dimensions of an energy, is always positive, and is equal to the opposite of the average potential energy that the nucleons would feel if their total relativistic energy were to be equal to the nominal mass of the projectile. Note that V_p is a random variable because it depends on the values of the drawn nucleon momenta. Typical distributions for the pseudopotential are shown in Fig. 1. The distribution for deuteron is peculiar because its intrinsic momentum distribution is not assumed to be Gaussian.

We define the nucleon relativistic energies in the center of mass as

$$\varepsilon_i = \sqrt{\pi_i^2 + m^2} - V_p. \tag{1}$$

The four momenta of the projectile nucleons (ε_i, π_i) are not on mass shell; however, they satisfy energy- and momentumbalance relations that are appropriate for the center of mass of the projectile, namely,

$$\sum \varepsilon_i = M_p, \tag{2a}$$

$$\sum \boldsymbol{\pi}_i = 0. \tag{2b}$$

Let E_p indicate the total relativistic energy of the projectile nucleus. Assuming that the projectile moves along the positive direction of the *z* axis, let $\mathbf{P}_p = (0,0,\sqrt{E_p^2 - M_p^2})$ represent its momentum. Finally, let $\gamma = E_p/M_p$, $\beta = \sqrt{1 - 1/\gamma^2}$ and $\boldsymbol{\beta} = (0,0,\beta)$ be the nominal Lorentz parameters of the projectile. The four-momenta of the projectile nucleons in the laboratory frame (e_i, p_i) are defined by a Lorentz boost on the c.m. four momenta:

$$e_i = \gamma(\varepsilon_i + \boldsymbol{\beta} \cdot \boldsymbol{\pi}_i),$$
 (3a)

$$\boldsymbol{p}_i = \boldsymbol{\gamma}(\boldsymbol{\pi}_i + \boldsymbol{\beta}\boldsymbol{\varepsilon}_i). \tag{3b}$$



FIG. 2. (Color online) Distribution of the total relativistic nucleon energy in the laboratory frame e_i minus the nominal kinetic energy per nucleon of the projectile for a ¹²C nucleus at 1*A* GeV (black line) or 10*A* MeV (red line).

Equations (2) guarantee that the energy and momentum balance are correct:

$$\sum e_i = E_p, \tag{4a}$$

$$\sum \boldsymbol{p}_i = \boldsymbol{P}_p. \tag{4b}$$

The positions of the nucleons in the laboratory frame take into account Lorentz contraction along the z axis.

We illustrate the procedure for the preparation of the nucleons in Fig. 2 with the distributions of nucleon energies for a ¹²C nucleus at 10*A* MeV and 1*A* GeV. The nominal kinetic energy per nucleon of the ¹²C nucleus was subtracted from the total nucleon energy. In the absence of Fermi motion, the distributions would collapse to a Dirac δ function centered around the nucleon rest mass.

Note that Fermi motion induces a *larger* spread at 1A GeV than at 10A MeV. This is a direct consequence of Eq. (3a), which is easy to visualize for nonrelativistic velocities. Indeed, in this limit Eq. (3a) reduces to

$$e_i = m + \frac{\boldsymbol{\pi}_i^2}{2m} + \boldsymbol{\pi}_i \cdot \boldsymbol{\beta} + \frac{m\boldsymbol{\beta}^2}{2}.$$

For fixed absolute values of the boost speed $|\beta|$ and of the nucleon momentum $|\pi_i|$, the fluctuations in e_i are generated by the only nonconstant term on the right-hand side, namely, the scalar product $\pi_i \cdot \beta$, and are therefore proportional to $|\beta|$; i.e., they are more important at high energy than at low energy.

Summarizing, the procedure described above defines positions and four momenta for the A_p projectile nucleons in the laboratory frame. The sum of the nucleon four momenta is equal to the nominal four momentum of the projectile nucleus. However, the nucleon four momenta are off mass shell.

Finally, we have also verified that the projectile preparation algorithm is relatively robust with respect to the choice of the reference frame: The nucleon energies are essentially unchanged if we choose to introduce the dynamical pseudopotential in the laboratory frame.

3. Coulomb deviation

The projectile preparation step results in the definition of the (off-shell) four momenta of A_p nucleons in the laboratory

frame. The relative positions of the nucleons in the laboratory frame are also defined. The initial positions of the nucleons with respect to the target nucleus are defined by the impact parameter and by an algorithm that takes into account the Coulomb deviation of the projectile trajectory. The procedure used in INCL++ closely resembles the one used in INCL4.6 [16], to which the reader is referred. The result of the algorithm is to define entrance positions and times for all projectile nucleons into the calculation volume.

The main ingredient is the Coulomb radius R_{Coul} , a function of the projectile and target species, which essentially defines the height of the barrier. Compared to the INCL4.6 algorithm, we have a different parametrization of R_{Coul} for ³He projectiles; we use the same formula for ³He and ⁴He. For projectiles with Z > 2, which lie outside the scope of the INCL4.6 model, a new prescription has to be given. We choose

$$R_{\text{Coul}} = \frac{e^2 Z_p Z_t}{B_{\text{Shen}}} \quad (Z_p > 2),$$

where Z_t is the target charge number and B_{Shen} is the Coulomb barrier calculated using Shen's parametrization [28],

$$B_{\text{Shen}} = \frac{e^2 Z_p Z_t}{R_p + R_t + 3.2 \text{ fm}} - a \frac{R_p R_t}{R_p + R_t}$$

with $R_i = (1.12A_i^{1/3} - 0.94A_i^{-1/3})$ fm and a = 1 MeV/fm.

4. Geometrical participants, geometrical spectators, and dynamical spectators

An important ingredient of the nucleus-nucleus extension is the assumption that projectile nucleons propagate with the (Coulomb-distorted) collective velocity of the projectile beam until they undergo a collision. This has two consequences. First, projectile nucleons can immediately be divided in two classes: those whose trajectory intersects the INCL calculation volume are labeled as *geometrical participants*; the others are called *geometrical spectators*. If there are no geometrical participants, the event is considered as transparent (no reaction). Second, the entrance times of the geometrical participants in the calculation volume can be analytically predicted. The entrance time of the first nucleon is taken as the start of the INC.

It should be stressed that the distinction between geometrical participants and spectators is not physical, because it is a consequence of the finite radius of the INCL calculation volume, R_{max} , which is *not* a physical parameter. Ideally, the model predictions (e.g., cross sections) should be completely independent of R_{max} (for sufficiently large values of R_{max}). However, geometrical spectators never enter the calculation volume and thus cannot undergo any interaction. For continuity, the radius R_{max} must be taken sufficiently large so that the probability that a geometrical participant entering close to R_{max} undergoes a collision is negligibly small. Still, this condition is not sufficient to ensure that the model predictions are independent of R_{max} . Indeed, geometrical participants can traverse the calculation volume without undergoing any collision. Such particles, which we call dynamical spectators, must be treated on the same footing as the geometrical

5. Excitation and kinetic energy of the projectilelike prefragment

The INC phase starts with one of the projectile nucleons entering the calculation volume. This event can actually be seen as the transfer of a nucleon from the projectile to the target nucleus. If we seek to conserve energy during the whole INC phase, the Q value for nucleon transfer must somehow be taken into account in the treatment of the incoming nucleon. In the context of nucleon-induced reactions, this observation has led us to introduce empirical thresholds for particle emission and absorption [16]: The energy of a particle entering and leaving the nucleus is corrected according to differences of masses taken from tables [29]. In nucleus-nucleus reactions, the situation is complicated by the possibility that nucleon transfer from the projectile to the target may leave the projectile in an excited state. The INC model does not offer any natural prescription to fix the excitation energy of the projectilelike prefragment. The reader should contrast this with the excitation energy of the target nucleus, which can be naturally defined as a sum over particle-hole excitations. Therefore, we need to resort to a model to define the excitation energy of the projectilelike prefragment.

We postulate that nucleon removal leads to a particle-holelike excitation energy in the projectile, too. More precisely, assume that only the A nucleons labeled by i = 1, ..., A are left in the projectile; then we define the excitation energy as

$$E_A^* = \sum_{j=1}^A \varepsilon_j - \sum_{j=1}^A \varepsilon_{i_j}.$$
 (5a)

Here the second summation is intended to run over the *A* smallest values of the c.m. energies ε_i [Eq. (1)], which are collectively meant to represent a reference state for the *A*-nucleon prefragment. The excitation energy is computed as the difference between the total energy left in the prefragment c.m. and the energy of the reference state. It has the desirable properties of always being non-negative and of vanishing for $A = A_p$.

The state of motion of the projectile prefragment is also perturbed by nucleon removal. Let (E_A, P_A) and (E_{A-1}, P_{A-1}) be the four momenta of the prefragment before and after nucleon removal, A being the running mass of the projectile prefragment. At the beginning of INC, we have $A = A_p$, $E_A = E_{A_p} = E_p$, and $P_A = P_{A_p} = P_p$. Without lack of generality, we assume that the nucleons are removed from the projectile in decreasing index order [the A_p th nucleon first, then the $(A_p - 1)$ th, ...]. When removing one nucleon, i.e., going from mass A to mass A - 1, the change in total momentum is taken equal to minus the momentum [Eq. (3b)] of the removed nucleon:

$$\boldsymbol{P}_{A-1} = \boldsymbol{P}_A - \boldsymbol{p}_A. \tag{5b}$$

The total energy E_{A-1} is defined by the dispersion relation,

$$E_{A-1} = \sqrt{(M_{A-1} + E_{A-1}^*)^2 + \boldsymbol{P}_{A-1}^2}, \qquad (5c)$$

where M_A is the tabulated mass of the prefragment and the excitation energy E_A^* is given by Eq. (5a) above. Finally, if there is more than one geometrical participant, the procedure is applied to each nucleon transfer.

6. Intranuclear-cascade phase

The excitation energy of the projectilelike prefragment, Eq. (5a), was introduced "by hand." If we wish to enforce energy conservation at all steps of the INC, we must compensate for it by correcting the energy of the transferred nucleon. This is necessary even when the excitation energy of the projectilelike prefragment does not change, because the nucleon transfer is, in general, associated with a nonvanishing Q value.

It is assumed that the mean field of the target nucleons acts on the projectile nucleon as soon as it enters the calculation volume. Given the total relativistic energy of the projectile nucleon e_i [Eq. (3a)], we now seek the total relativistic energy E_i inside the target potential. The task is complicated by the fact that the potentials adopted in INCL are not constant but depend on the energy of the nucleon itself, in the spirit of the phenomenology of the optical-potential model [30]. Therefore, the energy E_i must be sought as a numerical solution to the equation

$$E_i = e_i + V(E_i) + \Delta Q + \Delta E_n^*, \tag{6}$$

where ΔQ is a correction owing to the difference between the real Q value for nucleon transfer and INCL's internal value and ΔE_p^* is a correction that allows for a change in projectile excitation energy. If the excitation energy of the projectile prefragment is unchanged by the nucleon transfer, then $\Delta E_p^* = 0$.

As is customary, it is assumed in the INC framework that cascading nucleons are on mass shell. Therefore, once the energy E_i is determined as the solution of Eq. (6), the magnitude of the nucleon momentum inside the target potential is defined by the on-shell dispersion relation

$$\boldsymbol{P}_i^2 = E_i^2 - m^2,$$

m being the INCL nucleon mass. The direction of the P_i vector is taken to be parallel to p_i [Eq. (3b)], the nucleon momentum outside the target potential (i.e., no refraction takes place at the surface).

We draw the attention of the reader to an important detail. As long as the nucleon has not undergone any collision, it is taken to propagate inside the target potential with the *collective* velocity of the projectile nucleus. The intrinsic Fermi motion of the projectile is frozen during propagation. The nucleon four-momentum (E_i, P_i) is, however, correctly used in the computation of the elementary cross sections and in the kinematics of the binary collisions. Once the nucleon has experienced a (non-Pauli-blocked) binary collision, it resumes its normal propagation. Note also that this prescription effectively forbids collisions between projectile nucleons (because their relative distance does not change) until they undergo a collision with a target nucleon.

The INC unfolds normally until another projectile nucleon reaches the surface of the calculation volume. The procedure is then applied to the new nucleon and normal cascade is resumed. Once all the nucleons have entered the calculation volume, the usual conditions for cascade stopping apply [24].

7. Definition of the projectilelike prefragment

At the end of the INC, a projectile prefragment may be defined if some nucleons missed the calculation volume (geometrical spectators) or traversed the calculation volume without undergoing any collision (dynamical spectators). If no dynamical spectators are present, the mass, charge, excitation energy, and state of motion of the projectile prefragment are already defined [Eqs. (5) above] and are guaranteed to satisfy four-momentum conservation.

However, if dynamical spectators are present and are to be merged back into the projectilelike prefragment, some adjustment is necessary to make sure that the resulting prefragment is well defined. Indeed, the non-negativity condition on the excitation energy [Eq. (5a)] is not sufficient because a net energy transfer between the dynamical spectators and the target is always possible because of the application of empirical thresholds for particle absorption and emission.

We then tentatively define the prefragment four momentum as the sum of the four momenta of the dynamical and geometrical spectators. If the resulting four momentum leads to a negative excitation energy, we apply an iterative procedure to determine the maximal number of dynamical spectators that can be incorporated in the prefragment without leading to negative excitation energy.

From our discussion it clearly emerges that, despite our efforts, dynamical and geometrical spectators are not (and cannot be) treated on exactly the same footing. The crucial reason for this is that the four momenta of dynamical spectators are perturbed when they enter the target nucleus. Indeed, their energy is corrected to keep the energy balance satisfied and to possibly make room for some excitation energy of the projectilelike prefragment [Eq. (5a)].

C. Low-energy fusion model

So far, we have implicitly assumed that the transfer of one nucleon from the projectile to the target is always possible. However, serious conceptual and technical complications arise if the kinetic energy of one of the entering nucleons is lower than the Fermi energy of the target. One would expect such a process to be forbidden by the Pauli exclusion principle, especially for the first projectile nucleon entering the unperturbed target Fermi sea. This difficulty has already been encountered in the extension of the FORTRAN version of INCL to light incident clusters [24]. In that case it was observed that the problematic circumstance is most likely to occur when the projectile kinetic energy per nucleon is comparable to or smaller than the dynamical projectile pseudopotential. Under these conditions, it seems reasonable to assume that, independently of the details of the dynamics, most of the incoming nucleons will be trapped by the target potential well, resulting in (possibly incomplete) fusion of the projectile and the target. This argument is especially cogent for reactions between a light composite particle ($A \leq 4$) and a large nucleus. Therefore, for problematic events, INCL4.6 abandons normal INC in favor of a simple geometrical fusion model.

The application of INCL4.6 to low-energy (in the sense outlined above) composite-particle-induced reactions has been proven to produce surprisingly good results [16]. Yet, INCL4.6's fusion model is unsatisfactory inasmuch as only the geometrical participants of the projectile (see Sec. II B 4) are taken to fuse with the target nucleus. The distinction between geometrical participants and spectators has no physical meaning because it is determined by the radius of the calculation volume, R_{max} . In INCL4.6, this parameter must be considered as an additional physical ingredient of the model, at least as far as low-energy fusion is concerned.

We were therefore led to revise the low-energy fusion sector in our extension of INCL++ to light incident ions. Admittedly, the fundamental assumption that the low-energy dynamics is dominated by fusion is more difficult to defend for reactions between light ions. This limitation is partly mitigated by the fact that our fusion model naturally yields some "incomplete fusion," as we shall now explain.

The fusion algorithm is triggered if, at any moment during the INC, the particle-entry procedure (Sec. II B 6) endows the entering projectile nucleon with a kinetic energy lower than the target Fermi energy. Normal INC is then abandoned, but the information about the initial position and momenta of the projectile nucleons is retained.

In the spirit of critical-distance fusion models [31,32], we define an *interaction radius* R_{int} and we prescribe that only nucleons whose collective trajectory intersects the sphere of radius R_{int} shall fuse with the target nucleus. The interaction radius is defined as

$$R_{\rm int} = R_0 + d_{\rm int}$$

in terms of the *interaction distance* d_{int} ,

$$d_{\rm int} = \sqrt{\max(\sigma_{pp}, \sigma_{nn}, \sigma_{pn})/\pi}, \qquad (7)$$

where the elementary nucleon-nucleon cross sections σ_i are calculated at the nominal kinetic energy per nucleon of the light-ion projectile.

Nucleons that miss the interaction sphere are assumed not to fuse with the target and are collectively considered as a projectilelike prefragment, defined by Eqs. (5). This defines another (possibly excited) source and is expected to mimic incomplete fusion. The four-momentum of the compound nucleus (the source composed of the target and the fusing nucleons) is defined as the difference between the initial total four momentum and the four momentum of the projectilelike prefragment. If the compound-nucleus four momentum corresponds to negative excitation energy, the event is discarded and treated as a nonreaction. As a consequence, and in accordance with known phenomenology, incomplete fusion at low projectile kinetic energy is automatically suppressed because energetically forbidden.

The result of the new fusion algorithm is entirely independent of the size of the calculation volume, R_{max} ; in this respect, it is more satisfactory than the algorithm used in INCL4.6. However, the condition that triggers the fusion algorithm (energy of the entering nucleon below the Fermi level) is only checked for geometrical participants and thus still depends on R_{max} , although in a much weaker way. One way to avoid this would be to define the shape of the calculation volume to suppress the existence of geometrical spectators; this solution would, however, require a deep revision of the model logic and is not pursued here.

The differences between INCL4.6's and INCL++'s fusion sectors are illustrated below (Sec. III).

D. Projectile-target asymmetry

The model description above shows that the new nucleusnucleus capabilities add several new parameters and ingredients to the core of the model. While INCL++'s treatment of nucleon- and pion-induced reactions can be considered to be essentially parameter free, the same cannot be said for the nucleus-nucleus sector. The nucleus-nucleus extension is admittedly more phenomenological.

We turn now to a detailed discussion of the limitations of the extended INCL++ model. First and foremost, already at the level of the preparation of the reaction partners, we have to stress that the momentum content of the projectile and the target is different. The momentum distribution of target nuclei is assumed to be a hard Fermi sphere of radius $p_F = 270 \text{ MeV}/c$ [24], whereas projectile nuclei are assigned a Gaussian distribution with the same rms momentum $(\sqrt{3/5} p_F)$. There are two reasons for this difference. First, only the hardsphere distribution allows a straightforward definition of Pauli blocking. Even in nucleon-induced reactions, we need to assign a hard-sphere momentum distribution to target nuclei for Pauli blocking to be unambiguously defined. However, hard Fermi spheres are undoubtedly inadequate to describe momentum distributions in light nuclei [21]. Experimental handles on the intrinsic momentum distribution are provided by the momentum distribution of spectator nucleons emitted in peripheral reactions. These observables are better described if realistic momentum distributions are assumed for the projectile nucleus (see, e.g., Fig. 22 in Ref. [24]). Thus, our asymmetric choice strikes a compromise between the limitations of the unavoidable Fermi-gas nuclear model and an attempt to improve the quality of the model predictions by the inclusion of known phenomenology.

One of the weaknesses of the light-ion extension here described is that it clearly introduces a projectile-target asymmetry. We can identify a few crucial differences between the treatment of the projectile and that of the target.

- (i) The Fermi-momentum distribution is taken to be different for projectiles and targets, as we just discussed.
- (ii) The projectile nucleus is essentially treated as a collection of free off-mass-shell nucleons, while the target nucleus is endowed with a mean-field potential.
- (iii) Fermi motion in the projectile is frozen in the sense outlined in Sec. II B 6.
- (iv) Projectile nucleons can miss the calculation volume (geometrical spectators, Sec. II B 4), while target nucleons cannot.
- (v) We neglect Pauli blocking of the first collision in the projectile Fermi sea.

- (vi) Participant nucleons can escape or finish the reaction in the targetlike prefragment (they can be trapped by the mean-field potential), but they can never finish in the projectilelike prefragment. In the language of the abrasion-ablation picture, the projectile spectator does not receive any energy from the participant zone (final-state interactions).
- (vii) The excitation energy assigned to the projectilelike prefragment is based on a simple particle-hole model, while that assigned to the targetlike prefragment results from and carries information about the full INC dynamics.
- (viii) the calculation is performed in the target rest frame *and* the dynamics is not Lorentz-covariant because it singles out a global time variable. It has, however, been shown that the violations introduced by suitable noncovariant dynamics are not necessarily severe, even around 1*A* GeV [33]. Note also that there exist no covariant INC models, not even for nucleon- and pion-induced reactions.
- (ix) In the low-energy fusion sector, projectile nucleons can elude fusion if their impact parameter is large enough, while target nucleons cannot.

One practical consequence of the projectile-target asymmetry is that the cross sections for producing a given nuclide as a projectilelike fragment or as a targetlike fragment will, in general, not be equal, even for a symmetric reaction (e.g., ${}^{12}C + {}^{12}C$). Consider, however, that the predictions for targetlike fragment production should be closer to the experimental data, given the superior physical modeling of the target nucleus. This is unfortunate if projectilelike fragmentation is more important than targetlike fragmentation for a specific application. However, if both reaction partners are light, one can consider swapping the roles of projectile and target in the simulation: In other words, the reaction can be simulated in inverse kinematics (i.e., as target on projectile), with the reaction products being boosted back to the laboratory frame at the end of the simulation. We refer to this calculation method as accurate-projectile mode, while we use the expression accurate-target mode to refer to the normal INCL++ calculation mode. The naming convention reflects our expectations about the accuracy of the predictions for projectile- and targetlike fragments, which are kinematically well separated. However, the statement about the calculation accuracy should be tempered for lighter particles, and for nucleons in particular, whose origin cannot be clearly discriminated on a kinematical basis. We illustrate the differences between the two calculation modes in Sec. IV.

We should stress that the choice between accurate-target and accurate-projectile mode is application dependent. If the user is interested, e.g., in projectilelike fragments for radiation-protection and hadron-therapy simulations, they should use accurate-projectile mode. A universal choice is not possible; however, we believe that accurate-projectile mode provides a better description of particle transport for several applications where INCL++ is likely to give accurate results. Therefore, GEANT4 uses INCL++ in accurate-projectile mode TABLE II. Choices for the internal reaction kinematics in INCL++ for nucleon- and nucleus-nucleus reactions, when the model is used within GEANT4. A_p and A_t represent the projectile and target mass numbers within particle transport. The table entry indicates which nucleus is internally treated as the projectile in INCL++. Note that reactions with $A_p > 18$ and $A_t > 18$ are delegated to BIC.

	Accurate- projectile mode	Accurate- target mode	
$\overline{A_p < A_t \leqslant 4}$	Projectile	Projectile	
$A_t \leqslant A_p \leqslant 4$	Target	Target	
$A_p \leq 4 < A_t$	Projectile	Projectile	
$A_t \leq 4 < A_p$	Target	Target	
$4 \leqslant A_p \leqslant 18$	Target	Projectile	
and $4 \leq A_t \leq 18$	-	-	
$A_p \leq 18 < A_t$	Projectile	Projectile	
$A_t \leqslant 18 < A_p$	Target	Target	

by default. The GEANT4 user can switch to accurate-target mode using a macro.

The accurate-projectile or accurate-target option should be contrasted with the approach used by many INC models (including GEANT4's BIC model) when treating composite projectiles, in which one identifies the lighter nucleus with the projectile and the heavier nucleus with the target of the INC. The rationale behind the "light-on-heavy" criterion is that the largest nucleus is expected to dominate the mean-field potential. However, this paradigm does not provide clear guidelines for symmetric reactions; furthermore, even in quasisymmetric reactions (e.g., ${}^{12}C + {}^{16}O$), one can hardly expect the mean field to be dominated by the heavier partner. Therefore, it seems unwarranted to systematically select the light-on-heavy option, especially if reactions between light nuclei (such as those encountered in hadron therapy) are involved. Nevertheless, it is probably reasonable to always treat the lightest nuclei as projectiles. Therefore, INCL++ in GEANT4 runs calculations as light on heavy if either reaction partner has $A \leq 4$, regardless of the calculation mode chosen by the GEANT4 user. The light-on-heavy mode is also selected if either reaction partner is a heavy nucleus (A > 18). The choices for the reaction kinematics are summarized in Table II. In summary, the user-specified accurate-projectile or accuratetarget option is honored only if both projectile and target masses satisfy $4 \leq A \leq 18$.

As an alternative to the accurate-projectile or accuratetarget dichotomy, it would be possible to palliate the model asymmetry by randomly choosing to simulate the reaction in the rest frame of the projectile or of the target. It is fair to assume that symmetric reactions should result in a straightforward 50-50 split between the two kinematical choices; it is, however, unclear what should be done with asymmetric reactions such as ${}^{12}C + {}^{16}O$, especially because reactions induced by $A \leq 4$ projectiles should always be described as light on heavy and the model should behave continuously as a function of the projectile mass. Therefore, additional prescriptions would be necessary in this case. Nevertheless, we illustrate random symmetrization in Sec. IV with a few selected examples for symmetric reactions.

E. Deexcitation stage

Before turning to the comparison of the new INCL++ model results with nucleus-nucleus experimental data, we need to spend a few words about the coupling with deexcitation models. Historically, the INCL model has been coupled to statistical evaporation-fission models such as ABLAV3 [34] or ABLA07 [17]. This was motivated by the typical application of INCL to spallation reactions, and in particular to reactions induced by nucleons on relatively large nuclei ($A \gtrsim 50$); for these systems, the excitation energy is relatively low and evaporation and fission models are indeed capable of providing a very good description of most observables [19]. It is legitimate to ask whether these models would perform equally well on reactions between light nuclei.

One peculiarity of such reactions is that the binding energy and the excitation energy of the remnants produced by the INC stage may be of the same order of magnitude. Under these conditions, deexcitation becomes a relatively fast process and it is questionable to make use of the statistical hypothesis, or at the very least it seems inappropriate to describe the deexcitation step as a well-defined sequence of binary, evaporationlike splits. This issue is even more pressing as the sensitivity of the model predictions to the details of deexcitation in general increases with the excitation energy.

An alternative picture is provided by Fermi breakup (FBU), a model that was initially developed to describe the production of pions in high-energy nucleon-nucleon collisions [35] and that was subsequently adapted to the description of fragmentation of excited light nuclei [36]. The model does not provide a timelike description of the deexcitation chain, but limits itself to providing probabilities for the final configurations, which are specified by the masses, charges, and momenta of the observed cold fragments. The crucial assumption of the model is that the probability to observe a given fragment configuration is simply proportional to the density of phase-space states around it. This amounts to assuming that the transitions from the excited prefragment to all the final configurations are described by the same matrix elements; in this sense, the Fermi model represents the simplest possible description of simultaneous nuclear breakup. More sophisticated approaches are provided by the family of statistical multifragmentation models, which are not discussed here; we refer the reader to Ref. [37] for an account of the relations that the two model classes bear to each other.

The default GEANT4 deexcitation model (G4EXCITATIONHANDLER [38]) implements FBU as one of the possible channels. However, standard FBU does not provide absolute decay widths, but only yields probabilities for each breakup configuration; for this reason, it is nontrivial to introduce direct competition between FBU and other deexcitation mechanisms, such as particle evaporation. The developers of G4EXCITATIONHANDLER made the choice of applying FBU for any deexciting nucleus with $A \leq 16$ and $Z \leq 8$; note that the choice for the threshold values can affect the calculated cross sections by as much as a factor of two [39]. The FBU mechanism can also be triggered during the deexcitation chain if particle evaporation or other mechanisms bring the excited nucleus in the A-Z region indicated above.



FIG. 3. (Color online) Double-differential cross section for π^+ production from the 730-MeV p + Cu reaction. Red (black) lines represent the INCL++ (INCL4.6) result. Data taken from Ref. [41].

In what follows (Secs. III and IV) we compare the results of calculations performed with INCL++ coupled with ABLA07, ABLAV3, and G4EXCITATIONHANDLER. Although the ABLA07 model does not include a FBU module, it does include a semiempirical treatment of multifragmentation [17]. We discuss below to what extent this makes it applicable to the highest excitation energies. Also, we draw the reader's attention to the fact that ABLA07 is not available for transport calculations in the official GEANT4 code; a C++/FORTRAN interface to ABLA07 can, however, be privately provided on request. The INCL4.6/ABLA07 code has been included in a private version of MCNPX and is expected to be distributed with a future release of the MCNP6 code [40]; however, that version is incapable of handling light-ion-induced reactions.

III. COMPARISON WITH INCL4.6

We now document the physical equivalence of the INCL4.6 and INCL++ codes. Figure 3 shows double-differential cross sections for the production of positive pions from a 730-MeV proton colliding with a copper target; this observable is entirely attributable to the INC stage of the reaction. Figure 4 shows double-differential cross sections for the production of neutrons from a 800-MeV proton colliding with a lead



FIG. 4. (Color online) Double-differential cross section for neutron production from the 800-MeV p + Pb reaction. The different model calculations are described in the text (G4EH in the plot legend stands for G4EXCITATIONHANDLER). Data taken from Refs. [42,43].

target. Finally, Fig. 5 shows the mass distribution of the fragments produced in a 1-GeV 208 Pb + 1 H reaction. The observables depicted in Figs. 4 and 5 are also sensitive to the deexcitation stage of the nuclear reaction. Specifically, deexcitation dominates the low-energy part of the double-differential



FIG. 5. (Color online) Fragmentation cross sections for the 1A GeV 208 Pb + 1 H reaction, as a function of the fragment mass number. The different model calculations are described in the text (G4EH in the plot legend stands for G4EXCITATIONHANDLER). Data taken from Refs. [44,45].

neutron spectrum (say up to 20 MeV) and is entirely responsible for the mass distribution of Fig. 5, albeit the production of residues with the largest masses is dominated by INC. For the purpose of these comparisons, we coupled our cascade models with the ABLA07 deexcitation model [17]. All plots show perfect agreement between INCL4.6 and INCL++.

We also show calculations performed by coupling INCL++ with the G4EXCITATIONHANDLER and ABLAV3 deexcitation models, available in GEANT4. Deexcitation is the dominant mechanism for the production of the low-energy neutrons in Fig. 4; one indeed remarks that the G4EXCITATIONHANDLER yields around 1 MeV are intermediate between those predicted by ABLAV3 (lowest) and ABLA07 (highest). There is a difference of about a factor of 2 between ABLAV3 and ABLA07, with the latter being closer to the experimental data. Note, however, that ABLAV3 also results in larger yields around 10 MeV, which seems to improve the agreement with the experimental data in that region. This difference probably indicates the average kinetic energy of the emitted neutron is higher in ABLAV3 than in ABLA07.

Figure 5 provides a somewhat complementary picture for a similar system. Although ABLAV3 and ABLA07 predict rather different neutron yields, this seems to have little impact on the fission cross section. However, ABLA07's fission sector is substantially different from ABLAV3's model and was probably readjusted to fit the data shown here. INCL++/G4EXCITATIONHANDLER largely overpredicts the fission cross section and underestimates the yields for heavy spallation residues ($A \simeq 175$). Note, however, that the parameters of G4EXCITATIONHANDLER were tuned to yield a correct reproduction of the data in Fig. 5 when coupled with BIC [38].

A. Reactions induced by pions

As mentioned in Sec. II A, INCL4.6 and INCL++ mainly diverge in the treatment of reactions induced by pions and composite particles. The most prominent difference in pioninduced reactions is the application of the local-E correction on the first pion-nucleon collision. The net effect of the correction is to reduce the center-of-mass energy at which the pion-nucleon collision takes place. We can reasonably expect this to have an effect on the pion-nucleus reaction cross section inasmuch as the latter tracks the energy dependence of the elementary pion-nucleon cross section. A similar argument explains the effect of the local-E correction on nucleonnucleus reaction cross sections (Sec. III.B in Ref. [16]).

The effect of the local-*E* correction on pion-nucleus reaction cross sections is illustrated in Figs. 6 and 7. The reaction cross section used by the GEANT4 particle transport is also shown for comparison. The difference is mostly visible at low energy, which is the region where the elementary pion-nucleon reactions varies most quickly owing to the presence of the $\Delta(1232)$ resonance, but it stays very small in all cases. Note that the calculations in Fig. 7 were performed with INCL++ v5.1.14, corrected for a small bug in the Coulomb deviation of incoming negative particles. The bug is fixed in GEANT4 v10.0.p03 and v10.1 β .

Note that the reaction cross sections calculated by INCL++ are *not* used for particle transport in GEANT4. The transport



FIG. 6. (Color online) Excitation function for the π^+ + ²⁰⁹Bi reaction cross section, calculated with INCL++ (red line), INCL4.6 (black line), and GEANT4's semiempirical reaction-cross-section model (blue line). Experimental data taken from Ref. [46].

algorithm relies on independent, semiempirical cross-section parametrizations, which are generally more accurate than the cross sections predicted by the nuclear-reaction models. (This remark also applies to the nucleus-nucleus reaction cross sections depicted below in Figs. 10, 11, and 13.) An unreasonable prediction for the reaction cross section is, however, a sign that some physics is not suitably accounted for.

The local-*E* correction for pion-induced reactions also manifests itself in other observables, such as doubledifferential cross sections for proton (Fig. 8) and neutron emission (Fig. 9). The 220-MeV experimental data show some peculiar structure at forward angles that is typical of pion absorption. At 30° one can distinguish two humps centered around 110 and 230 MeV. The 110-MeV peak corresponds to the emission of a proton by intermediate excitation and decay of a Δ resonance [52]:

$$\pi^+ + N \rightarrow \Delta \rightarrow p \text{ (escapes)} + \pi$$

The 230-MeV peak corresponds instead to the absorption of the intermediate resonance:

$$\pi^+ + N \to \Delta, \quad \Delta + N \to p \text{ (escapes)} + N.$$



FIG. 7. (Color online) Same as Fig. 6, for $\pi^- + {}^{12}$ C. Experimental data taken from Refs. [46–49].



FIG. 8. (Color online) Double-differential cross section for proton emission in 220-MeV π^+ + ¹²C, calculated with INCL++ (red line) and INCL4.6 (black line). Experimental data taken from Refs. [50].



FIG. 9. (Color online) Double-differential cross section for neutron emission in 870-MeV $\pi^- + {}^{208}$ Pb, calculated with INCL++ (red line) and INCL4.6 (black line). Experimental data taken from Refs. [51].



FIG. 10. (Color online) Excitation function for the $d + {}^{56}$ Fe reaction cross section, calculated with two versions of INCL++ (red and green lines), INCL4.6 (black line), and GEANT4's Glauber-Gribov semiempirical reaction-cross-section model (blue line). Experimental data refer to ${}^{56-58}$ Fe and ${}^{58-60}$ Ni targets and are taken from Refs. [53–56].

It is clear that the second mechanism leads to higher proton kinetic energies (on average) because of the absorption of the pion mass.

The energy distributions for these components are smeared out by the Fermi motion of the nucleons in the target. Because the local-E correction suppresses the importance of Fermi motion in the nuclear surface, we observe that the peaks are somewhat sharper in the INCL++ calculations. A similar consideration can be made concerning Fig. 9, where one observes that INCL++ leads to a sharper peak around 600 MeV, which is less satisfactory.

B. Reactions induced by light composite particles

We mentioned in Sec. II A that INCL++ and INCL4.6 differ in how they handle composite projectiles, especially at low energy. This is illustrated by Figs. 10 and 11, which show a comparison of the predicted reaction cross section for the $d + {}^{56-58}$ Fe/ ${}^{58-60}$ Ni and 4 He + 208 Pb/ 209 Bi system. INCL++ performs sensibly worse than INCL4.6 for the deuteron-induced reaction, while predictions for 4 He are similar. The degradation is essentially attributable to the fact that INCL++ uses a unique parameter set to describe reactions induced by composite particles up to A = 18, while INCL4.6 was limited to $A \leq 4$.

Figures 10 and 11 also show the predictions of INCL++ v5.1.9 (green lines), which is the version that was distributed with GEANT4 v9.6.p02. The similarity to the INCL4.6 results is attributable to the fact that the two models have very similar low-energy fusion sectors. We show in Sec. IV that INCL++ v5.1.9 is unsuitable for light-ion-induced reactions.

Another difference between INCL4.6 and INCL++ is illustrated by Fig. 12, which shows excitation functions for the 209 Bi(3 He,*xn*) reactions. As for Figs. 10 and 11, the projectile energies are rather low and we mostly probe the fusion sector of the INCL model; this is why the INCL4.6 and INCL++ calculations are in disagreement. However, Fig. 12



FIG. 11. (Color online) Excitation function for the 4 He + 208 Pb/ 209 Bi reaction cross section, calculated with two versions of INCL++ (red and green lines), INCL4.6 (black line), and GEANT4's Glauber-Gribov semiempirical reaction-cross-section model (blue line). Experimental data refer to 208 Pb and 209 Bi targets and are taken from Refs. [57–59].

also illustrates the effect of the modification of the Coulomb barrier for incoming ³He nuclei. The calculations for INCL++ v5.1.14 are in better agreement with the experimental data than the modified calculations with the old Coulomb barrier, and even than the calculations performed with the legacy INCL4.6 model.

IV. COMPARISON WITH NUCLEUS-NUCLEUS EXPERIMENTAL DATA

We now turn to the verification of the most prominent new feature of INCL++, namely the capability to handle light-ioninduced reactions. The observables selected for verification



FIG. 12. (Color online) Excitation functions for $^{209}\text{Bi}(^{3}\text{He},xn)$ cross sections. Different colors refer to different values of x, while the line styles denote calculations performed with INCL4.6 (solid lines), INCL++ v5.1.14 (dashed lines), and INCL++ v5.1.14 with the ^{3}He Coulomb radius as in INCL4.6 (dotted lines). Data taken from Refs. [60–62].



FIG. 13. (Color online) Excitation function for the ${}^{12}C + {}^{12}C$ reaction cross section, calculated with INCL++ v5.1.9 (green line), INCL++ v5.1.14 (solid red line), INCL++ v5.1.14 with Pauli blocking and a hard Fermi sphere in the projectile (dashed red line), and GEANT4's Glauber-Gribov semiempirical reaction-cross-section model (blue line). Experimental data taken from Refs. [70–75].

reproduce the choices made for nucleon-nucleus reactions [16,24], a strategy that proved successful [19]. We start by considering reaction cross sections, which capture global aspects of the model (Sec. IV A). We then proceed to investigate double-differential cross sections for the production of nucleons and LCPs (Sec. IV C). The rationale for this choice lies in the fact that particle emission during INC proceeds more or less directly from hard nucleon-nucleon scattering events, which constitute the core of the cascade mechanism. In certain kinematical regions, deexcitation of the prefragments contributes to (or dominates) particle production; therefore, double-differential cross sections indirectly verify some global characteristics of the cascade prefragments, too.

Finer details about the distribution of cascade prefragments are emphasized by fragmentation cross sections (Sec. IV E), especially if per-isotope information is available. Although it may be nontrivial to disentangle the contributions of cascade and deexcitation, the study of isotopic fragmentation cross sections for different systems and energies has proven extremely valuable in the development of the proton-nucleus model [16,24].

We remark in passing that most of the experimental data were analyzed with other models; see, for example, the vast validation effort of the MCNPX/MCNP6 event generators CEM and LAQGSM [63–69]. However, we do not enter into a detailed comparison because these calculations have no direct bearing upon GEANT4.

A. Reaction cross sections

Figure 13 shows an excitation function for the ${}^{12}C + {}^{12}C$ reaction cross section. The agreement with the experimental data is far from perfect. More precisely, we can observe that the double-humped INCL++ excitation function clearly exhibits two distinct regimes. The low-energy peak (around 5*A* MeV) is attributable to the fusion model. In fact, pure INC plays essentially no role as long as at least one projectile

nucleon enters the calculation volume below the Fermi energy. The importance of the fusion mechanism starts to decrease above 5A MeV and gradually leaves room for the pure INC mechanism, which is responsible for the second peak (around 70A MeV).

Particle transport in GEANT4 is not seriously affected by this deficiency, because the reaction cross section is imposed during the transport step; however, the disagreement clearly indicates a failure to correctly describe the physics of this reaction, especially at low energy. It might be argued that the ${}^{12}C + {}^{12}C$ reaction does not represent a fair benchmark for INC models, which assume that the larger reaction partner is left relatively unperturbed by the cascade; however, the reaction cross section is determined by the *first* non-Pauli-blocked nucleonnucleon collision, which typically involves surface nucleons at an early, relatively unperturbed stage of the reaction.

In spite of the disappointing result of Fig. 13, the comparison with the double-differential and residue-production data (Secs. IV C and IV E below) shows that INCL++ in general captures the essential aspects of the fragmentation in the ${}^{12}C + {}^{12}C$ reaction.

Note that the INC approximation is expected to be valid above some 150A MeV. In this energy range, the contribution from the (admittedly empirical) fusion sector is negligible, thereby simplifying the interpretation of the resulting cross section. We see that the model overestimates the experimental data by about 25%; part of the overestimation is attributable to the fact that we neglect strict Pauli blocking of the first collision in the Fermi sea of the projectile. This analysis is corroborated by the observation that the nucleon-¹²C reaction cross sections are correctly predicted in the same energy-per-nucleon range [16]. As mentioned in Sec. II D, the use of realistic (Gaussian) momentum distributions for the projectile is somewhat irreconcilable with the definition of Pauli blocking. We therefore performed a test calculation with a hard Fermi sphere for the projectile momentum distribution; strict Pauli blocking in the projectile Fermi sea was applied on the first collision. The resulting excitation function is displayed in Fig. 13 and is in much better agreement with the experimental data. Note also that the refined calculations yields a *larger* reaction cross section between $\sim 5A$ and $\sim 60A$ MeV; this is an effect of the hard Fermi sphere and is, of course, not attributable to the introduction of Pauli blocking.

Finally, Fig. 13 also shows the prediction of an older version of INCL++ (v5.1.9). As mentioned above, the interest of this comparison mainly lies in the fact that the low-energy fusion sector of v5.1.9 is a straightforward extension to $A \leq 18$ of the INCL4.6 approach. The reaction cross section at low energy (say below 10*A* MeV) is largely suppressed because almost all impact parameters result in incomplete fusion, which is energetically forbidden by the tight binding of ¹²C nuclei. It is apparent that INCL++ v5.1.9 is inadequate, which justifies the revision of INCL++'s low-energy sector that was described in Sec. II C.

B. Caveat about cross-section normalization

Before turning to double-differential cross sections for particle production, a word of caution should be said about the comparisons shown in the following sections between INCL++ and the other models available in GEANT4. As mentioned above (Sec. III A), most nuclear-reaction models are able to predict absolute reaction cross sections; however, these quantities are not directly used in particle transport, because more accurate semiempirical parametrizations are usually available. Nevertheless, a misprediction of the reaction cross section might indicate that the model fails to describe some particular channel. We try to make our point clearer by referring to Fig. 13 above. We showed that the overprediction of the ${}^{12}C + {}^{12}C$ reaction cross section at high energy is largely attributable to the lack of Pauli blocking on the first collision in the projectile Fermi sea. This defect should mostly lead to an overestimation of the cross sections associated with peripheral collisions. Therefore, even though the gross overestimation is only 25% of the reaction cross section, the relative overprediction may be much more conspicuous in channels associated with peripheral collisions.

The GEANT4 nuclear-reaction models discussed below (QMD, BIC, BERTINI+PRECOMPOUND) are only accessible through their GEANT4 interface classes. Because of the way nuclear-reaction models are used in particle transport, the interface iterates calls to the model engine until an inelastic event is generated. Therefore, the absolute reaction cross sections predicted by the GEANT4 models are *not* available to us. We chose to normalize the raw model predictions (counts) using the Shen nucleus-nucleus cross section [28], which is available in GEANT4 through class G4IONSSHENCROSSSECTION.

C. Particle-production cross sections

Figure 14 demonstrates the difference between accurateprojectile and accurate-target modes (see Sec. II D) using double-differential cross sections for neutron production from the symmetric 290A MeV ¹²C + C [76] reaction. Note that the incident energy is large enough that the low-energy fusion sector can be neglected. Both calculations were coupled to the native GEANT4 deexcitation model [38]. Differences are mostly visible at forward angles and low energy; the predictions for the largest angles are very close to each other. In general, the shapes of the experimental spectra are quite well reproduced by both INCL++ calculations. Therefore, we conclude that neutron emission is nevertheless projectile-target symmetric to a good degree.

Note that the experimental data show a peak at forward angles roughly centered around the nominal energy per nucleon of the projectile and corresponding to neutrons with a rather small energy in the projectile rest frame. In INCL++, they mainly originate from the breakup of the projectile nucleus. The shape and the height of the peak depend on the selected deexcitation model; this is illustrated again by Fig. 14, where the accurate-projectile calculation coupled with G4EXCITATIONHANDLER (which for this system reduces to Fermi breakup; see Sec. II E) is contrasted to an INCL++/ABLAV3 calculation (solid and dashed red lines, respectively). The ABLAV3 model yields a larger peak, in better agreement with the experimental data at forward angles, but also affects the low-energy neutron yields.



FIG. 14. (Color online) Double-differential cross sections for neutron production at (a) 5° , (b) 10° , (c) 20° , (d) 30° , (e) 40° , (f) 60° , and (g) 80° , from a 290*A* MeV ${}^{12}C + C$ reaction. The INCL++ calculations are presented in accurate-target (black lines) and accurate-projectile (solid red lines) modes, coupled with the G4EXCITATIONHANDLER deexcitation model. We also show an accurate-projectile calculation with ABLAV3 (dashed red lines) and a calculation with a modified value of the Fermi momentum (green lines; see text). Experimental data are taken from Ref. [76].

The shape of the projectile-fragmentation peak is also sensitive to the assumed Fermi momentum of the projectile nucleus. This is illustrated by an accurate-projectile INCL++ calculation using a mass-dependent Fermi momentum given by

$$p_F(A) = \alpha - \beta \exp(-\gamma A),$$
 (8a)

$$\alpha = 259.416 \text{ MeV}/c, \tag{8b}$$

$$\beta = 152.824 \text{ MeV}/c, \qquad (8c)$$

$$\gamma = 9.5157 \times 10^{-2}.$$
 (8d)

This formula is a fit to Moniz *et al.*'s direct measurements by quasielastic electron scattering [77]. For ¹²C, the formula yields $p_F(^{12}C) \simeq 210 \text{ MeV}/c$ [Moniz *et al.*'s measurement is actually $(221 \pm 5) \text{ MeV}/c$], which is not very different from the default INCL++ value of 270 MeV/c (see Table I). Nevertheless, Fig. 14 shows that the neutron spectra are roughly equally sensitive to the deexcitation model and to the Fermi momentum.

The sensitivity to p_F can be enhanced by looking at lighter projectiles, such as ⁴He in the 230*A* MeV ⁴He + Cu reaction depicted in Fig. 15. Here $p_F(^{4}\text{He}) = 155 \text{ MeV}/c$, almost a factor of two smaller than the nominal INCL++ value. For this system, standard INCL++ fails to describe the part of the spectrum above 200 MeV. However, the projectile-fragmentation peak at forward angles is much better reproduced using the empirical Fermi momentum. Nevertheless, because we have not extensively tested the implications of empirical Fermi momenta in INCL++, we keep $p_F = 270 \text{ MeV}/c$ as the default value. We reserve a detailed study to a future publication.

Figures 14 and 15 suggest that INCL++ generally succeeds in capturing the essential aspects of the experimental data. This conclusion is corroborated by Figures 16 and 17, which show a comparison of the INCL++ result (in accurate-projectile mode) to calculations performed by other models available in GEANT4: QMD model (blue), BINARY CASCADE (BIC) [80] (green), and BERTINI+PRECOMPOUND [81–83] (cyan, only applicable for the ⁴He-induced reaction). All models use the same deexcitation (G4EXCITATIONHANDLER), except BERTINI, which has its own internal deexcitation module.

One notices that the BIC predictions are generally in less good agreement with the experimental data than INCL++. The QMD results are everywhere comparable to or worse than the INCL++ calculation, except at the forwardmost angles, which were shown to be improvable in INCL++ by using the empirical Fermi momentum. Note also that the CPU time for QMD is about two orders of magnitude larger than for INCL++. All the other models fail to describe the ⁴He-fragmentation peak, which (in view of the above) might suggest that they employ unrealistic Fermi momenta for this projectile. In addition, the BIC model shows some unphysical structures at small angles for the ⁴He + Cu system.

We now turn to the production of charged particles. We focus in particular on a recent experiment by Dudouet *et al.* [79,84], who measured double-differential cross sections for the production of several charged particles from reactions induced by a 95A MeV 12 C beam on targets ranging



FIG. 15. (Color online) Double-differential cross sections for neutron production at (a) 4° , (b) 9° , (c) 20° , (d) 30° , (e) 40° , (f) 60° , and (g) 80° , from a 230*A* MeV ⁴He + Cu reaction. The INCL++ calculations are presented in accurate-target mode (solid black lines), coupled with the G4EXCITATIONHANDLER deexcitation model. We also show a calculation with ABLAV3 (dashed black lines) and a calculation with a modified value of the Fermi momentum (green lines; see text). Experimental data are taken from Ref. [78].

from hydrogen to titanium. We are mostly interested in the carbon-target data for the purpose of verifying the INCL++ nucleus-nucleus extension and assessing the severity of the projectile-target asymmetry (see Sec. II D). Calculations with

some GEANT4 models have been presented in Ref. [85], where, however, the authors used INCL++ v5.1.9, which was shown above to be affected by serious drawbacks for the ${}^{12}C + {}^{12}C$ reaction (Fig. 13). Our results can be



FIG. 16. (Color online) Same as Fig. 14 for INCL++ (accurate-projectile mode, red lines), GEANT4'S QMD model (blue lines), and BIC model (green lines). All models are coupled to G4EXCITATIONHANDLER.



FIG. 17. (Color online) Same as Fig. 15 for INCL++ (accurate-projectile mode, red lines), GEANT4'S QMD model (blue lines), BIC (green lines), and BERTINI+PRECOMPOUND (cyan lines) models. All models except BERTINI+PRECOMPOUND are coupled to G4EXCITATIONHANDLER.

reproduced using GEANT4 V10.0 and should be considered as references.

hypothesis (independent binary nucleon-nucleon collisions) are not very well fulfilled here. Figure 13 indicates that the reaction cross section predicted by INCL++ is in excess of the experimental value by about 30% at this energy. Note also that

First, we observe that the incident energy (95A MeV) is rather low. The conditions for the applicability of the INC



FIG. 18. (Color online) Angle-differential cross section for the production of (a) protons, (b) 4 He, (c) 7 Li, and (d) 11 C from the 95A MeV 12 C + 12 C reaction. Calculations with INCL++ (accurate-projectile mode, solid red lines; accurate-target mode, dashed red lines; randomly symmetrized, cyan lines), QMD (blue lines), and BIC (green lines) are shown. Experimental data are taken from Ref. [79].



FIG. 19. (Color online) Double-differential cross sections for the production of protons at (a) 4° , (b) 13° , (c) 21° , (d) 29° , and (e) 43° , from the 95*A* MeV ${}^{12}C + {}^{12}C$ reaction. Calculations with INCL++ (accurate-projectile mode, solid red lines; accurate-target mode, dashed red lines), QMD (blue lines), and BIC (green lines) are shown. Experimental data are taken from Ref. [79].

INCL++'s low-energy fusion sector is responsible for 43% of the reaction cross section, which is far from negligible. Given the empirical nature of the fusion sector, we do not expect very accurate predictions.

Because the 95A MeV $^{12}C + C$ reaction is essentially symmetric, we use this example to illustrate random symmetrization, as described in Sec. II D.

Figure 18 shows angular-differential cross sections for the production of protons, ⁴He, ⁷Li, and ¹¹C. For each angle, the calculated ejectile energy distributions were integrated above the detection thresholds reported by Dudouet *et al.* (Table IV in Ref. [84]).

It is striking that none of the considered models can accurately reproduce all the experimental data (see, however, calculations with LAQGSM [66]). The proton angular distributions predicted by INCL++ (either in accurate-projectile or in accurate-target mode) are quite close to the experimental data; the accurate-projectile and accurate-target predictions are again very similar, which confirms the remark made about Fig. 14.

The agreement progressively degrades as the mass of the ejectile increases, especially for the calculation in accuratetarget mode. Dudouet *et al.* [84] showed that the experimental angular distributions can be represented as a sum of a Gaussian and an exponential contribution and claimed [85] that no model can reproduce this trend. Figure 18 shows that this is incorrect: Although the exponential tail of the angular distribution might be quantitatively incorrect (especially for ¹¹C), INCL++ in accurate-projectile mode is clearly the only model that can capture the trend of the experimental data. In spite of the crudeness of the model ingredients, the agreement with the experimental data is remarkable, except for the case of 11 C.

Because the accurate-projectile results are generally closer to the experimental data than the accurate-target calculations, there is not much to be gained here by applying random symmetrization. The results of randomly symmetrized calculations, which are shown in Fig. 18 and which are simply averages of the accurate-projectile and accurate-target results, are *a fortiori* in good agreement with the experimental data for protons, but they are not as good as the results in accurate-projectile mode for all the other ejectiles.

As far as the other models are concerned, QMD seems to systematically underpredict the fragment yields at small angles. In general, the shape of the angular distribution is very different from the experimental result. Even for protons one can observe a sizable overestimation of the yield. The BIC results manage to capture at least some qualitative features of the experimental data, but its predictions are, in general, less accurate than those of INCL++.

Double-differential spectra for the same ejectiles are shown in Figs. 19–22. Here we notice larger discrepancies than in Fig. 18, even for the INCL++ calculation in accurate-projectile mode. For example, no model can reproduce the slope of the high-energy tail of the proton spectra at all angles. Experimental fragment spectra show a midrapidity component that is not reproduced by any of the models, although INCL++ is much closer to the data than the others. The randomly symmetrized calculations are especially good on the spectra for ⁴He nuclei (Fig. 20). At large angles, the INCL++ spectra show a broad bump that is not seen in the data and that is the



FIG. 20. (Color online) Same as Fig. 19, for ⁴He ejectiles.

continuation of the projectilelike fragmentation peak at 4° . In other words, the projectilelike fragments seem to pick up too much transverse momentum from the collision, which results in a too-broad angular distribution. This obviously indicates that the model fails to properly describe some aspects of projectile fragmentation.

D. Rapidity spectra and projectile-target asymmetry

In addition to and independently of the comparison with the experimental data, we present in Figs. 23 and 24 the rapidity spectra of particles emitted in ${}^{12}C + {}^{12}C$, respectively, at 100A and 400A MeV laboratory energy, which we can use to assess the severity of the projectile-target asymmetry in



FIG. 21. (Color online) Same as Fig. 19, for ⁷Li ejectiles.



FIG. 22. (Color online) Same as Fig. 19, for ¹¹C ejectiles.

INCL++. The results of calculations performed with BIC and QMD are also shown. A perfectly projectile-target symmetric model produces distributions that are symmetric around the dotted midrapidity line, which is located at half of the nominal rapidity of the projectile.

Visual inspection of Figs. 23 and 24 reveals violations of the projectile-target symmetry in INCL++ and BIC. Still, it is clear that INCL++ is approximately symmetric for protons (as discussed above) and progressively degrades as the ejectile mass increases. The results of QMD are fully symmetric, which is a consequence of the fact that the model treats all nucleons on the same footing.

E. Fragmentation cross sections

We finally turn to the analysis of fragmentation cross section. In keeping with our approach to the validation of nucleon-induced reactions, we focus on measurements of isotopic cross sections in inverse kinematics. The advantage of such data sets is that they provide a comprehensive picture of the reaction mechanism. The accurate fragmentation data on hydrogen targets taken using the Fragment Separator at GSI (Darmstadt, Germany) (e.g., Refs. [44,86,87]) have often proved invaluable for the study of the nucleon-nucleus reaction mechanism and for the optimization of deexcitation models.

Unfortunately, the coverage for reactions on light nuclei is not as extensive as for hydrogen. Beryllium is often exploited



FIG. 23. (Color online) Rapidity spectra of (a) protons, (b) ⁴He, (c) ⁷Li, and (d) ¹¹C fragments produced in 100*A* MeV ¹²C + ¹²C, as calculated by INCL++/G4EXCITATIONHANDLER in accurate-target mode. The vertical dotted lines denote the central rapidity.



FIG. 24. (Color online) Same as Fig. 23, for 400A MeV $^{12}C + ^{12}C$.



FIG. 25. (Color online) Fragmentation cross sections for the 1*A* GeV 208 Pb + 2 H reaction, as a function of the fragment mass number. Model calculations are compared to the data taken from Refs. [45,88]. In the plot legend, G4EH stands for G4EXCITATIONHANDLER.

as a production target in the search for exotic neutron-rich (e.g., Ref. [7]) or neutron-poor (e.g., Ref. [8]) nuclei, but there exist only few experiments where essentially all projectilelike fragments were covered. We chose to limit our comparison to such extensive experimental datasets.

The data for 1A GeV ²⁰⁸Pb on deuterium [88], although only marginally relevant for the assessment of INCL++'s nucleus-nucleus extension, are perhaps the most complete. Figure 25 shows the mass distributions of the fragments. Note that the model predictions are obtained by summing up the isotopic cross sections only over the isotopes that were detected in the experiment; this is the reason for the dip around A = 115. One immediately observes that the model predictions are very sensitive to the choice of the deexcitation model. The distribution of spallation residues (A > 115) is accurately described only by INCL++/ABLA07 and INCL++/ABLAV3 (except very close to the projectile mass 208). Models coupled with G4EXCITATIONHANDLER systematically underestimate the yields for deep spallation residues ($115 < A \leq 160$). All the models overestimate the cross sections for the fission products (A < 115) by a factor of 2–4. This was already the case with INCL4.2 (Fig. 23 in Ref. [24]). The overestimation of INCL++/ABLA07's and INCL++/ABLAV3's predictions should probably be related to the underestimation around A = 195; it has been shown [89] that fissioning nuclei belong exactly to

this mass range.

Figure 26 shows a few isotopic distributions from the fission region. The distributions predicted by G4EXCITATIONHANDLER and Bertini's fission module systematically overpredict the peak height; if one rescaled the distributions to match the experimental peak height, the tails would be underestimated (i.e., the distributions are too narrow). Moreover, the peak position is slightly shifted to the neutron-rich side. However, the INCL++/ABLA07 and INCL++/ABLAV3 predictions have more or less the correct shape. This suggests that it should be possible to reproduce the data in Fig. 25 by acting on the competition between fission and evaporation in ABLA07 or ABLAV3. Compared to the 208 Pb + 1 H data in Fig. 5, the 208 Pb + 2 H reaction explores higher excitation energies and should be more sensitive to dissipative effects in the fission dynamics [90], for instance.

Figure 27 shows some isotopic distributions in the region of the spallation residues. Again, the predictions by INCL++/ABLA07 and INCL++/ABLAV3 are rather close to the



FIG. 26. (Color online) Isotopic distributions from the fission region ($32 \le Z \le 39$) for the 1A GeV ²⁰⁸Pb + ²H reaction. Model calculations are compared to the data taken from Ref. [88]. In the plot legend, G4EH stands for G4EXCITATIONHANDLER.



FIG. 27. (Color online) Same as Fig. 26, for isotopic distributions from the spallation region ($68 \le Z \le 75$).

experimental data, while the other models systematically overestimate the N/Z ratio of the residues.

As far as reactions on light nuclei are concerned, isotopic fragmentation cross sections have been measured by Weber *et al.* [91] for 500*A* MeV ⁸⁶Kr + ⁹Be. The mass distribution and some isotopic distributions are shown in Figs. 28 and 29. Again, note that only the *measured* isotopes contribute to the model predictions for the mass distribution.

The mass distribution of fragments is again mostly sensitive to the choice of the de-excitation model. The INCL++/ABLA07 can reproduce most of the experimental data fairly well, but it underestimates the production of fragments close to the projectile ⁸⁶Kr. QMD performs slightly better close to the projectile but slightly worse at intermedi-



FIG. 28. (Color online) Fragmentation cross sections for the 500A MeV 86 Kr + 9 Be reaction, as a function of the fragment mass number. Model calculations are compared to the data taken from Refs. [91]. In the plot legend, G4EH stands for G4EXCITATIONHANDLER.

ate mass ($A \simeq 35$). The INCL++/G4EXCITATIONHANDLER and BIC/G4EXCITATIONHANDLER couplings well reproduce the data for A > 40, but overestimate the cross sections for lighter fragments. The INCL++/ABLAV3 coupling, finally, largely overestimates the cross section for the lightest fragments.

The large difference between ABLAV3 and ABLA07 can be explained by the fact that evaporation channels in ABLAV3 are limited to proton, neutron, and α . ABLA07, however, can simulate the emission of any fragment up to half of the mass of the excited nucleus. Also, G4EXCITATIONHANDLER can evaporate fragments up to ²⁸Mg and can be considered to be intermediate between ABLAV3 and ABLA07. Thus, the predicted cross sections in the A < 40 region seem to correlate well with the models' maximum ejectile mass. The QMD/G4EXCITATIONHANDLER coupling respects this trend to a degree for fragment masses above ~25.

The isotopic distributions in Fig. 29 illustrate that INCL++/ABLA07 is affected by a defect. The yields for neutron-rich isotopes of Z > 25 nuclei are systematically overestimated. This defect might be correlated with the underestimation of the cross sections for the heaviest fragments. Given that ABLA07 is probably the most sophisticated of the deexcitation models considered, one might be tempted to conclude that defects in the predicted isotopic yields are actually attributable to intranuclear cascade; however, INCL++/G4EXCITATIONHANDLER does not exhibit the same defect, but QMD/G4EXCITATIONHANDLER does. The emerging picture is unclear and no conclusion can be drawn. We have anyway verified that the overestimation of the neutron-rich isotopes is not attributable to the neglect of Pauli blocking on the first collision in the projectile. This is reasonable in the light of the QMD/G4EXCITATIONHANDLER results, which are surprisingly similar to those of INCL++/ABLA07 on the



FIG. 29. (Color online) Isotopic distributions ($24 \le Z \le 31$) for the 500A MeV ⁸⁶Kr + ⁹Be reaction. Model calculations are compared to the data taken from Ref. [91]. In the plot legend, G4EH stands for G4EXCITATIONHANDLER.

neutron-rich sides of the isotopic distributions, but must be generated by a completely different dynamics.

Similar conclusions can be drawn from the results at lower beam energy. We show in Figs. 30 and 31 the comparison between the model predictions and the experimental data for 140*A* MeV ⁵⁸Ni + ⁹Be [92,93]. Note that at this energy only about 10% of the reaction cross section is generated by INCL++'s low-energy fusion sector.

Again, most of the mass distribution is best predicted by INCL++/ABLA07, with the exception of nuclei close to the projectile ⁵⁸Ni. The INCL++/G4EXCITATIONHANDLER result is similar but slightly less good (the A = 17 cross section is largely overestimated, but all the yield comes from the



FIG. 30. (Color online) Fragmentation cross sections for the 140*A* MeV 58 Ni + 9 Be reaction, as a function of the fragment mass number. Model calculations are compared to the data taken from Refs. [92,93]. In the plot legend, G4EH stands for G4EXCITATIONHANDLER.

single isotope ¹⁷O). The BIC/G4EXCITATIONHANDLER and QMD/G4EXCITATIONHANDLER couplings are yet less good, and INCL++/ABLAV3 is overall the worst. This is easy to understand if one remembers that ABLAV3 cannot evaporate intermediate-mass fragments, which occur most abundantly in light systems (such as ⁵⁸Ni and ⁸⁶Kr).

The INCL++-based calculations systematically overestimate the cross sections for very small mass losses ($\Delta A = 1$ or 2). It would be tempting to interpret this in terms of lacking Pauli blocking on the first collision in the Fermi sea of the cascade projectile. We have indeed verified that these cross sections are decreased by roughly 10%–20% if Pauli blocking in the projectile is introduced (not shown in Figs. 30 and 31). This is, however, insufficient to cure the overestimation, which in the worst case (the yield for A = 57) is close to a factor of 2.5.

The isotopic distributions in Fig. 31 are qualitatively similar to those of Fig. 29, but one has to bear in mind that the experimental coverage is less extensive here. It is difficult to verify if INCL++/ABLA07 and QMD/G4EXCITATIONHANDLER overestimate the yields for neutron-rich residues, as they do in $500A \text{ MeV} \, {}^{86}\text{Kr} + {}^{9}\text{Be}.$

We conclude this section by discussing the model predictions for partial charge-changing cross sections for a 1.05A GeV ⁵⁶Fe projectile colliding with a ¹²C target [94]. Of all the reactions so far considered, ⁵⁶Fe + ¹²C is the one that leads to the highest excitation energies per nucleon, owing to the high kinetic energy and the relatively small size of the projectile nucleus. This is illustrated by Fig. 32, which compares the distributions of the excitation energy of the projectilelike cascade remnants, as calculated by INCL++, for all the reactions studied in this section. The average excitation energies are reported in Table III. At sufficiently large excitation energy,



FIG. 31. (Color online) Isotopic distributions ($21 \le Z \le 28$) for the 140*A* MeV ⁵⁸Ni + ⁹Be reaction. Model calculations are compared to the data taken from Refs. [92,93]. In the plot legend, G4EH stands for G4EXCITATIONHANDLER.

multifragmentation is expected to become the dominant deexcitation mechanism. Among the considered deexcitation models, ABLA07 is the only one to feature a semiempirical treatment of multifragmentation. The G4EXCITATIONHANDLER model does include a multifragmentation module, but it is deactivated by default.

The model calculations are compared with the experimental data in Fig. 33. One notices that the INCL++/ABLAV3 prediction is poor. We have already observed above that ABLAV3 is not suitable for systems for which there is a large probability of evaporating intermediate-mass fragments. The 1.05*A* GeV 56 Fe + 12 C reaction surely falls within this category. The INCL++/G4EXCITATIONHANDLER and BIC/G4EXCITATIONHANDLER predictions are quite similar and in good agreement with the data, while the

QMD/G4EXCITATIONHANDLER cross sections are slightly too large. Finally, INCL++/ABLA07 is close to the experimental data for $Z \gtrsim 19$, but severely underpredicts the data for the smallest charges.

It is perhaps surprising to observe that the cross sections for large charge losses are best reproduced using deexcitation models that *neglect* multifragmentation. ABLA07 is the only model that somehow tries to handle this mechanism, but the comparison with the data seems to indicate that its semiempirical treatment is inadequate for the very large excitation energies that can be reached in this reaction. However, it is known that sequential binary decay can generate fragment partitions that are similar to those generated by multifragmentation [95]. More discriminating observables would be needed to illustrate the difference between the two deexcitation modes.

F. Summary

For the benefit of the reader, we now try to condense the vast amount of information about nucleus-nucleus reactions

TABLE III. Average characteristics of the projectilelike cascade remnant for the reactions studied in Sec. IV E.

Reaction	Α	Ζ	Excitation energy per nucleon (MeV)	Spin (ħ)
140A MeV ⁵⁸ Ni + ⁹ Be	56.6	27.2	2.3	33.6
500A MeV ⁸⁶ Kr + ⁹ Be	76.6	32.0	3.0	37.1
$1000A \text{ MeV} {}^{208}\text{Pb} + {}^{2}\text{H}$	199.6	78.7	1.2	22.9
$1050A \text{ MeV} {}^{56}\text{Fe} + {}^{12}\text{C}$	44.9	20.8	6.8	60.0



FIG. 32. (Color online) Distributions of the excitation energy of the cascade remnants, as calculated by INCL++, for the reactions shown in this section.

that we have presented in this section into a few general observations. Our summary might contain some degree of subjectivity, but it should be read as an attempt to guide users of reaction models towards the best choice for their needs. Our conclusions are also based on the results of other comparisons with experimental data that have been omitted for the sake of conciseness.

As far as neutron production is concerned, the choice of the deexcitation model generally represents a second-order effect, except for the case of very light projectiles (say α 's and lighter). The most accurate reproduction of the experimental data is probably guaranteed by QMD. Still, INCL++ is very close to QMD in terms of quality (and sometimes better in specific kinematical regions; Figs. 16 and 17), while being much faster. For very light projectiles, the choice of the Fermi momentum can play an important role in the projectile-fragmentation kinematical region (Fig. 15).

The scenario for proton production is less detailed than the neutron case because of the limited experimental coverage. Nevertheless, for reasons that are not clear to us, QMD seems to perform less well than for neutrons, which is rather surprising. The INCL++ predictions are of rather good quality (Figs. 18 and 19).

Light charged particles are best reproduced by INCL++ (Figs. 18 and 20). This is somewhat expected because INCL++ is the only dynamical model considered to include a dedicated mechanism for LCP production. QMD's nucleon-nucleon interaction is able to coalesce escaping nucleons, but it is known that the resulting LCP spectra are not in good agreement with the experimental data [97]. Note that the choice of the deexcitation model is very important for this observable, insofar as ABLAV3 can only evaporate neutrons, protons and α 's.

The production of residual nuclei is, in general, sensitive to the choice of the deexcitation model, except for small mass losses with respect to the prefragment. Generally speaking, ABLAV3 and ABLA07 have a long historical record of applications to the deexcitation of heavy nuclei (say $A \gtrsim 150$) (e.g., Ref. [24]); as a consequence, the treatment of fission is quite sophisticated in both versions of the model. It should be stressed, however, that fission models typically contain a great deal of free parameters, which are sometimes adjusted in relation to a



FIG. 33. (Color online) Partial charge-changing cross sections for the 1.05A GeV 56 Fe + 12 C reaction. Model calculations are compared to the data taken from Refs. [94].

specific dynamical model. Because of this, the quality of the predictions of the very same fission model can vary wildly if different dynamical models are used in the entrance channel. Models of the ABLA family have often been used in conjunction with the INCL cascades and generally perform rather well in reactions induced by LCPs (Fig. 25) and especially in nucleon-nucleus reactions (Fig. 5). The fission parameters of the G4EXCITATIONHANDLER model have been adjusted in conjunction with BIC [38]; thus, the fission cross sections in Fig. 5 are correctly reproduced by BIC/G4EXCITATIONHANDLER (not shown in the figure; see Ref. [38]), but they are overestimated by INCL++/G4EXCITATIONHANDLER. We are considering the possibility to restore the previous parameter values when coupling G4EXCITATIONHANDLER with INCL++; this should already yield better results in view of the fact that G4EXCITATIONHANDLER is rather similar to Furihata's GEM model [98] and the latter performs reasonably well with INCL4.6. Another option would be to perform an INCLspecific adjustment of the fission parameters. Finally, for nucleus-nucleus reactions, the possibility of large mass losses during the dynamical reaction stage makes the validation of fission models considerably more difficult; for these cases, the ABLAV3-ABLA07 fission models should globally offer acceptable performances.

The fragmentation of light nuclei ($A \lesssim 150$) and the production of deep spallation residues from heavy nuclei generally require deexcitation mechanisms other than the conventional neutron-, proton-, and α -evaporation channels. This is especially true in high-energy nucleon-nucleus reactions and even more so in nucleus-nucleus reactions, where the large prefragment excitation energies can favor the emission of small nuclei and/or induce multifragmentation. The ABLAV3 model is severely limited in this respect, insofar as it does not include evaporation of any particle with A > 4. Indeed, our comparison shows that INCL++/ABLAV3 is unsuitable for the description of the fragmentation of light systems (Figs. 28–31, 33), even for small mass losses.

The other deexcitation models considered here (ABLA07 and G4EXCITATIONHANDLER) do not suffer from this limitation. The best agreement is generally observed for INCL++/ ABLA07, except for systems where multifragmentation plays a major role. The BIC-G4EXCITATIONHANDLER, INCL++/ G4EXCITATIONHANDLER, and QMD-G4EXCITATIONHANDLER couplings produce predictions of similar fair quality and are all reasonable choices within the GEANT4 framework. The CPU time is roughly of the same order of magnitude for BIC and INCL++, but it is typically much larger for QMD.

V. CONCLUSIONS

We have presented for the first time the new C++ incarnation of the Liège intranuclear-cascade model, a solid, modern code that is intended to be used as the base for any future development. The INCL++ code is featurewise and physicswise equivalent to its FORTRAN counterpart as far as nucleon- and pion-induced reactions are concerned. Small differences exist for reactions induced by LCPs. The new code can be used for thick-target calculations through the GEANT4 toolkit for particle transport.

The new INCL++ code can also accommodate reactions induced by light ions (up to A = 18). We have described the crucial elements of the extension and we have discussed the limitations of our approach, which is admittedly more phenomenological than the core of the model. A broad comparison with heterogeneous observables has shown that, in spite of the conceptual difficulties, the extended INCL++ model yields predictions in fair agreement with the considered experimental data. In comparison to other models for nucleusnucleus reactions available in GEANT4, INCL++ stands out as one of the most viable options; however, it is crucial (and we have issued recommendations in this sense) to make a suitable choice for the coupling with the statistical deexcitation model. We conclude that our extended model is successful at capturing the physics that is essential for the description of inclusive observables from reactions induced by light nuclei.

Future work on INCL++ will proceed along several directions. First, we shall try to improve on the limits of the present nucleus-nucleus collision model, starting with the inclusion of Pauli blocking in the Fermi sea of the projectile. Second, we will work on providing an all-round well-performing model for GEANT4 users, which should ideally combine the advantages of G4EXCITATIONHANDLER and ABLA07. Third, we will perform an extensive verification of the newly extended model in the 3A GeV-15A GeV incident-energy range. Fourth, we plan to introduce the strangeness degree of freedom. This will provide the means to develop predictions for the production of kaons and hyperons and to simulate kaon-induced reactions. We ultimately aim at making predictions for the production of hypernuclei, although this also requires a strangeness-aware deexcitation model.

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APPENDIX: INCL++ in GEANT4

A stand-alone version of the INCL++ code is available on request via the official INCL web site. This code can simulate any thin-target reaction and produces output in ROOTformat. Couplings to deexcitation models are also provided.

However, if one needs to simulate reactions in a thick absorber, the stand-alone code is not sufficient and one needs to turn to full-fledged particle-transport simulations. The GEANT4 toolkit for particle transport has been including some version of the Liège intranuclear-cascade model since v9.1 (released in December 2007). The INCL++ code was first introduced in v9.5 (December 2011).

In recent versions of GEANT4, it is possible to use the INCL++ model by selecting one of the following dedicated physics lists:

- (i) QGSP_INCLXX (available since v9.5);
- (ii) QGSP_INCLXX_HP (since v10.0);
- (iii) FTFP_INCLXX (since v10.0);
- (iv) FTFP_INCLXX_HP (since v10.0).



FIG. 34. (Color online) Map of models used by the INCL++based physics lists in GEANT4 V10.0. Physics lists whose name ends in _HP use the NEUTRONHP model for neutron transport at low energies (represented as "HP" on the map); those starting with QGSP_(FTFP_) use the quark-gluon-string model (the FRITIOF model) at high energy. "PC" stands for PRECOMPOUND.

The *_HP variants use the NEUTRONHP model below 20 MeV to simulate neutron elastic and inelastic scattering using evaluated data libraries. The QGSP_* and FTFP_* variants respectively use the quark-gluon string (QGS) model and the FRITIOF model (FTF) at high energy. For low-energy nucleon-induced reactions, the PRECOMPOUND model is used



FIG. 35. (Color online) Same as Fig. 34 for the INCL++-based physics lists in GEANT4 v10.1 β .



FIG. 36. (Color online) Double-differential cross sections for the production of π^+ (top) and π^- (bottom) at (a) 25°, (b) 37°, (c) 48°, (d) 60°, (e) 71°, (f) 83°, (g) 94°, (h) 105°, and (i) 117° from an 8 GeV/*c* p + ¹⁸¹Ta reaction. The INCL++ calculations are compared to the data from Ref. [96].

below 1 MeV and INCL++ fades between 1 and 2 MeV; the BINARY-CASCADE (BIC) model is used for reactions between heavy nuclei. BERTINI is used for reactions induced by kaons, which cannot be treated by INCL++ at the moment. A map of models (accurate as of GEANT4 V10.0) is shown in Fig. 34. For further details about all the GEANT4 models, the reader is referred to the GEANT4 Physics Reference Manual [99].

1. Recommendations for the choice of the deexcitation model in GEANT4

We have shown (Secs. III and IV) that fragmentation cross sections are very sensitive to the choice of the deexcitation model. Since GEANT4 V10.0, it is possible to choose to couple INCL++ to G4EXCITATIONHANDLER (default) or to ABLAV3; therefore, we provide some guidelines for the users hereafter.

We can summarize some of the results presented in Secs. IV C and IV E. ABLAV3 describes rather well most of the observables connected with the deexcitation of heavy nuclei (say $A \gtrsim 150$); this conclusion relies partly on the results of the present work (Figs. 25–27) and mostly on a large body of validation for nucleon-induced reactions (e.g., Ref. [24]). There are, however, a few observables that are not accounted for by ABLAV3, even on heavy systems, such as those connected with evaporation of deuterons, tritons, ³He, or fragments with A > 5.

For light systems, we show below that G4EXCITATIONHANDLER often provides a better description of deexcitation than ABLAV3. In addition, G4EXCITATIONHANDLER provides deexcitation mechanisms for the evaporation of any fragment up to ²⁸Mg. However, G4EXCITATIONHANDLER's fission sector performs less well than ABLAV3's fission module when coupled with INCL++. Again, this is shown in the present paper (Fig. 25), but is also confirmed by an extensive private intercomparison.

We therefore recommend that users employ G4EXCITATIONHANDLER (the default choice) when they expect emphasis to be put on the deexcitation of light nuclei $(A \leq 150)$ and/or on specific observables that are most probably incorrectly described by ABLAV3 (such as tritium production). We recommend use of ABLAV3 when emphasis is

to be put on fission. The GEANT4 Application Developer Guide (Sec. 5.2.2.4 of Ref. [100]) describes the steps necessary to couple INCL++ to ABLAV3 within GEANT4.

The present situation is clearly unsatisfactory insofar as none of the available deexcitation models yields good overall performance. The development of such a model is one of our development goals.

2. Newer INCL++ version in GEANT4 v10.1 β

At the time of writing, a newer version of INCL++ (v5.2) has been distributed with the latest public release of GEANT4 $(v10.1\beta)$. The essential difference with the model described by the present paper (v5.1.14) consists in the extension towards higher incident energies [101, 102]. This work had initially been carried out in the framework of an old FORTRAN version of INCL and has recently been merged in the FORTRAN development version and converted to C++ for inclusion in INCL++. The essential ingredient for the extension is the inclusion of new inelastic channels in the elementary nucleon-nucleon and pion-nucleon collisions. We do not introduce additional baryonic resonances [besides the narrow $\Delta(1232)$] because they are largely overlapping and very short-lived, compared to the time between subsequent cascade collisions. Instead, the inelastic collisions are assumed to proceed directly to multiple-pion production. Final-state pion multiplicities up to 4 are considered, which pushes the high-energy limit of INCL++ v5.2 up to \sim 15 GeV in nucleon- and pion-induced reactions. Note that the high-energy extension does not substantially modify the results of the code below ~ 1 GeV. Further details are available in Refs. [101,102].

Figure 35 above shows a map of models for the INCL++based physics lists in GEANT4 v10.1 β . INCL++ is used up to 15 GeV for pion- and nucleon-induced reactions, and it is gradually replaced by the relevant high-energy string model between 15 and 20 GeV.

An extensive verification of the new INCL++ version has been performed and will be the object of a future publication. As a sample of the quality of the new predictions, we show in Fig. 36 the calculations results for double-differential cross sections for pion production from 8 GeV/ $c p + {}^{181}$ Ta.

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