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**Published on:** 04 Dec 2018 - JAMA (American Medical Association)

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# Extracorporeal Membrane Oxygenation for Severe Acute Respiratory Distress Syndrome and Posterior Probability of Mortality Benefit in a Post Hoc Bayesian Analysis of a Randomized Clinical Trial

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## ► To cite this version:

Ewan Goligher, George Tomlinson, David Hajage, Duminda Wijeyesundera, Eddy Fan, et al.. Extracorporeal Membrane Oxygenation for Severe Acute Respiratory Distress Syndrome and Posterior Probability of Mortality Benefit in a Post Hoc Bayesian Analysis of a Randomized Clinical Trial. *JAMA Ophthalmology*, American Medical Association 2018, 320 (21), pp.2251. 10.1001/jama.2018.14276 . hal-01973355

**HAL Id: hal-01973355**

**<https://hal.sorbonne-universite.fr/hal-01973355>**

Submitted on 8 Jan 2019

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1 **Venovenous Extracorporeal Membrane Oxygenation for Severe Acute Respiratory Distress**  
2 **Syndrome: A Post-Hoc Bayesian Analysis of a Randomized Clinical Trial**

3

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36 **Date of Revision:**

37 September 20, 2018

38

39 **Word Count:**

40 3,560

41

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51

52 **Key Points** (Word Count = 119)

53 *Question*

54 Can Bayesian analysis clarify the interpretation of clinical trial results?

55

56 *Findings*

57 In a *post hoc* Bayesian analysis of the recent EOLIA (ECMO to Rescue Acute Lung Injury) trial, the  
58 posterior probability of mortality benefit (relative risk < 1) ranged between 88% and 99% given a range of  
59 prior assumptions reflecting varying degrees of skepticism and enthusiasm regarding previous evidence  
60 for the benefit of ECMO. Probabilities varied according to the definition of minimum clinically important  
61 mortality benefit; for example, the posterior probability of relative risk < 0.67 ranged between 0% to 48%  
62 given the same range of prior assumptions.

63

64 *Meaning*

65 Information about the posterior probability of treatment effect provided by Bayesian analysis may help to  
66 clarify the interpretation of clinical trial findings.

67 **Abstract** (Word Count = 445)

68 *Importance*

69 Bayesian analysis of clinical trial data may provide useful information to aid in study interpretation,  
70 especially when trial evidence suggests that the benefits of an intervention are uncertain, such as in a trial  
71 that evaluated early extracorporeal membrane oxygenation (ECMO) for severe acute respiratory distress  
72 syndrome (ARDS).

73

74 *Objective*

75 To demonstrate the potential utility of Bayesian analyses by estimating the posterior probability, under  
76 various assumptions, that early ECMO was associated with reduced mortality in patients with very severe  
77 ARDS in a recent randomized trial.

78

79 *Design and Evidence*

80 *A post hoc* Bayesian analysis of data from a randomized clinical trial (ECMO to Rescue Acute Lung  
81 Injury, EOLIA) that included 249 patients with very severe ARDS who had been randomized to receive  
82 early ECMO (n=124; mortality at 60 days, 35%) versus initial conventional lung-protective ventilation  
83 with the option for rescue ECMO (n=125, mortality at 60 days, 46%). Statistical prior distributions were  
84 specified to represent varying levels of pre-existing enthusiasm or skepticism for ECMO and by Bayesian  
85 meta-analysis of previously published studies (with downweighting to account for differences between  
86 studies). The relative risk (RR), credible interval (CrI), absolute risk reduction (ARR), and probability of  
87 clinically important mortality benefit (varying from  $RR < 1$  to  $RR < 0.67$  and ARR from  $\geq 2\%$  to  $\geq 20\%$ )  
88 were estimated with Bayesian modelling.

89

90 *Findings*

91 Combining a minimally informative prior distribution with the findings of EOLIA, the posterior  
92 probability of  $RR < 1$  for mortality at 60 days after randomization was 96% (RR 0.78, 95% CrI 0.56-

93 1.04); the posterior probability of  $RR < 0.67$  was 18%, the probability of  $ARR \geq 2\%$  was 92%, and the  
94 probability of  $ARR \geq 20\%$  was 2%. With a moderately enthusiastic prior, equivalent to information from a  
95 trial of 264 patients with an RR of 0.78, the estimated RR was 0.78 (95% CrI 0.63-0.96), the probability  
96 of  $RR < 1$  was 99%, the probability of  $RR < 0.67$  was 8%, the probability of  $ARR \geq 2\%$  was 97%, and the  
97 probability of  $ARR \geq 20\%$  was 0%. With a strongly skeptical prior, equivalent to information from a trial  
98 of 264 patients with an RR of 1.0, the estimated RR was 0.88 (95% CrI 0.71-1.09), the probability of  
99  $RR < 1$  was 88%, the probability of  $RR < 0.67$  was 0%, the probability of  $ARR \geq 2\%$  was 78%, and the  
100 probability of  $ARR \geq 20\%$  was 0%. If the prior was informed by previous studies, the estimated RR was  
101 0.71 (95% CrI 0.55-0.94), the probability of  $RR < 1$  was 99%, the probability of  $RR < 0.67$  was 48%, the  
102 probability of  $ARR \geq 2\%$  was 98%, and the probability of  $ARR \geq 20\%$  was 4%.

103

#### 104 *Conclusion*

105 *Post hoc* Bayesian analysis of data from a randomized trial of early ECMO compared with conventional  
106 lung-protective ventilation with the option for rescue ECMO among patients with very severe ARDS  
107 provides information about the posterior probability of mortality benefit under a broad set of assumptions  
108 that may help inform interpretation of the study findings.

109

110 *Trial Registration – this analysis was NOT registered*

111

112 **Introduction**

113         The conventional frequentist approach to statistical analysis of clinical trials evaluates study  
114 hypotheses *indirectly* by estimating the probability that *data* as or more extreme than the observed  
115 treatment effect size would be obtained if the null hypothesis (which generally assumes that there is no  
116 treatment effect) was true—the goal of frequentist analysis is to determine whether the evidence leads one  
117 to confidently reject the null hypothesis. In Bayesian analysis, information available prior to the trial  
118 about plausible range of values of the treatment effect (represented as a probability distribution) is  
119 updated by the data collected in the trial to produce a revised estimate of the plausible range of values of  
120 the treatment effect.<sup>1</sup> Bayesian analysis informs clinical decisions by *directly* estimating the probability of  
121 a hypothesized treatment effect given the observed data.<sup>2,3</sup> In addition, because information about  
122 treatment effect from pre-existing clinical and biological evidence is formally incorporated into statistical  
123 evaluation, Bayesian methods explicitly quantify the otherwise implicit influence of clinical judgment and  
124 prior beliefs on the interpretation of trial results.<sup>4-6</sup>

125         A recent randomized trial of extracorporeal membrane oxygenation (ECMO to Rescue Lung Injury  
126 in Severe ARDS—EOLIA)<sup>7</sup> offers an example of the value of Bayesian analysis. In this trial, the effect of  
127 early ECMO on mortality in very severe ARDS did not reach statistical significance (p=0.09 in the  
128 primary analysis). However, the clinically important point estimate of the absolute risk difference (11%),  
129 the near statistical significance of the effect despite early stopping for futility, and the wide divergence of  
130 pre-existing views regarding the benefit of ECMO<sup>8,9</sup> (due in part to differences between prior studies and  
131 their potential methodological limitations) have made interpretation of the trial controversial.<sup>10-12</sup> In this  
132 Special Communication, a *post hoc* Bayesian analysis of this trial demonstrating the potential utility of  
133 the Bayesian approach is presented.

134

135 **Methods**

136         EOLIA was a multicenter international randomized clinical trial designed to test the hypothesis that  
137 early venovenous ECMO reduces 60-day mortality in patients with very severe forms of ARDS



138 (PaO<sub>2</sub>/FiO<sub>2</sub> < 50 mm Hg for >3 hours; or PaO<sub>2</sub>/FiO<sub>2</sub> < 80 mm Hg for >6 hours; or pH<7.25 and  
139 PaCO<sub>2</sub>≥60 mm Hg with a maximum plateau pressure of 32 cm H<sub>2</sub>O and respiratory rate set at 35 breaths  
140 per minute for ≥6 hours).<sup>13</sup> The trial was designed to detect a decrease in mortality risk from 60% to 40%  
141 (absolute risk reduction [ARR] of 20%, relative risk [RR] of 0.67). The trial received ethical approval  
142 from the ethics committees at all participating sites.

143 This article presents a previously unplanned re-analysis of the primary pre-specified end-point  
144 conducted using Bayesian methods. The aim was to estimate the posterior probabilities that the treatment  
145 effect exceeded a range of potential values for the minimum clinically important treatment effect (RR<1,  
146 RR<0.9, RR<0.8, RR<0.67; and ARR≥2%, ARR≥4%, ARR≥6%, ARR≥8%, ARR≥10%, and ARR≥20%  
147 assuming a baseline mortality risk of 46% based on the EOLIA control group). This range of possible  
148 values for the minimum clinically important treatment effect was established from several considerations.  
149 First, because the null hypothesis under frequentist conventions in the trial was ‘no benefit’ (RR=1), we  
150 estimated the probability of any mortality benefit (RR<1). Second, we deemed ARR values of 2% to be a  
151 reasonable potential minimum clinically important effect as this would be equivalent to an estimated 500  
152 lives saved every year in the United States (assuming approximately 25,000 cases of very severe ARDS  
153 annually in the United States based on a population of 328 million persons,<sup>14</sup> an annual incidence of  
154 ARDS of 80/100,000 population,<sup>15</sup> and a prevalence of very severe ARDS of approximately 10% among  
155 all cases of ARDS<sup>16</sup>). However, arguments can be made supporting a lower RR or larger ARR as a  
156 minimal clinically important difference, and the trial was designed to detect an RR<0.67 and an  
157 ARR≥20%; therefore the posterior probabilities across a range of effect sizes were computed.

158 Bayesian analysis represents one’s prior beliefs about the plausible range of values for treatment  
159 effect as a probability density distribution. The width (variance) of this distribution represents the  
160 confidence in the treatment effect while the area under the distribution at any given value represents the  
161 probability that the treatment effect is greater than or equal to that value (see **Figure 1** for examples).  
162 Two approaches were used to develop prior statistical priors for this analysis. First, priors were used to  
163 reflect varying degrees of enthusiasm and skepticism for the benefit of ECMO before the trial. A

164 minimally informative prior (which regards all possible log-relative risk values to be equally likely) was  
165 used to produce results essentially dependent on data from the trial alone; this prior adds minimal  
166 information to the trial in calculating posterior probabilities.

167 A range of reference priors were defined to represent “strongly enthusiastic”, “moderately  
168 enthusiastic”, “skeptical”, and “strongly skeptical” archetypes of prior belief about the probability of  
169 benefit from early ECMO consistent with pre-existing controversy amongst experts in the field<sup>8,17</sup> (**Table**  
170 **1**). Each prior distribution was characterized by a different assumed value for median RR (the value for  
171 RR that an enthusiast or skeptic would assume to have a 50% probability of obtaining) and a different  
172 width (variance, representing the magnitude of uncertainty about the plausible range of values for  
173 treatment effect). To aid in understanding the strength of the enthusiasm or skepticism represented by  
174 these theoretical priors, the sample size and observed RR were computed for a hypothetical clinical trial  
175 achieving the same level of certainty in the treatment effect as each prior. This sample size was computed  
176 by comparing the variance of each prior distribution to the variance of the log-relative risk observed in the  
177 trial (**Table 1**).

178 In accordance with previously published recommendations,<sup>9,16</sup> the priors were defined so as to  
179 represent enthusiastic or skeptical viewpoints with respect to (a) the probability that the true effect of  
180 ECMO on mortality is the same or greater than that used to power the trial (i.e.  $RR \leq 0.67$ ) or the effect  
181 observed in the ARDSNet trial of low tidal volume ventilation (a classic trial in the treatment of ARDS,  
182  $RR \leq 0.78$ )<sup>18</sup> and (b) the probability that ECMO would worsen mortality (i.e.  $RR > 1$ ). Reference priors  
183 specified on this basis are described in detail in **Table 1**. **Figure 1A** depicts the probability density  
184 distribution for RR specified by each reference prior distribution.

185 Second, data-derived prior distributions were developed based on relevant studies<sup>19-21</sup> from a meta-  
186 analysis of ECMO for ARDS.<sup>22</sup> The treatment effects in these previous studies were combined with the  
187 observed data from this trial in a Bayesian hierarchical random effects model (that itself used non-  
188 informative priors). In effect, the previous studies generated a prior for what the treatment effect in the  
189 “next” study would be, a prior that is combined with data from this trial to produce an updated

190 distribution of the estimated treatment effect after this trial. To reflect concerns about possible differences  
191 between the current and prior studies (e.g., non-randomized design in two studies, confounding by  
192 transfer to specialist centers, suboptimal control group management), the variance of the previous studies  
193 was inflated so that patients in pre-existing studies were “downweighted” to exert less influence (i.e.  
194 received less weight in the analysis) on the pooled estimate of effect. Downweighting was applied to  
195 varying degrees so that patients in previous studies exerted between 0% and 100% of the weight of  
196 patients enrolled in the trial. It allowed us to mathematically represent the uncertainty about the estimates  
197 of effect in studies given their likely differences (methodological limitations?). The effects and level of  
198 uncertainty described by the data-derived priors are represented graphically in **Figure 1B**.

199 Each prior distribution for the log relative risk in the trial was included in a Bayesian model which  
200 specified independent binomial sampling of the numbers of deaths in the ECMO and control groups [AU:  
201 This phrase is confusing and it is unclear if the 46% mortality in the control group was held  
202 constant or, instead, the data for the control group was resampled in some way.] and a uniform  
203 prior on the control group risk of mortality. Markov Chain Monte Carlo modelling (with 3 chains, 20,000  
204 iterations burn-in and 20,000 saved iterations per chain) was used to derive treatment effect estimates and  
205 95% credible intervals (CrI) from the median, 2.5<sup>th</sup> and 97.5<sup>th</sup> percentiles of the posterior distribution, and  
206 to estimate the posterior probabilities of treatment effects exceeding certain thresholds. The Gelman-  
207 Rubin statistic was used to assess convergence of all models. All analyses were conducted in R (www.r-  
208 project.org, Version 3.5.0) using *R2jags*<sup>23</sup> to run JAGS.<sup>24</sup>

209

## 210 **Results**

### 211 *Bayesian Analysis Using a Minimally Informative Prior*

212 Posterior probabilities of relative and absolute risk reductions in mortality for a range of priors are  
213 shown in **Table 2** and **Table 3**. **Figure 2** presents both the likelihood function for the trial and the  
214 posterior probability distribution for relative risk reductions for each prior. With the non-informative

215 prior, the estimated median relative risk for mortality at 60 days with early ECMO was 0.78 (95%  
216 credible interval, CrI, 0.56-1.04). The posterior probability of mortality benefit with early ECMO (i.e.  
217  $RR < 1$ ) was 96%, the probability of  $RR < 0.67$  was 18%. Assuming a baseline mortality risk of 46%, the  
218 probability of  $ARR \geq 2\%$  was 92%, and the probability of  $ARR \geq 20\%$  was 2% (**Table 3**).

219

#### 220 *Bayesian Analysis Using Reference Priors*

221 The posterior probability of  $RR < 1$  exceeded 90% across the strongly enthusiastic, moderately  
222 enthusiastic, and skeptical priors (**Table 2, Figure 2**). In the most extreme case of a strongly skeptical  
223 prior the estimated RR was 0.88 (95% CrI 0.71-1.09), the posterior probability of  $RR < 1$  was 88%, the  
224 probability of  $RR < 0.67$  was 0%, the probability of  $ARR \geq 2\%$  was 78%, and the probability of  $ARR \geq 20\%$   
225 was 0%.

226

#### 227 *Bayesian Analysis Using the Data-Derived Prior*

228 When combining treatment effects from previous studies with the data from the trial in the  
229 hierarchical model, estimated relative risk in the trial was 0.71 (95% CrI 0.55-0.94). With this prior, the  
230 posterior probability of  $RR < 1$  was 99%, probability of  $RR < 0.67$  was 48%, the probability of  $ARR \geq 2\%$   
231 was 98%, and the probability of  $ARR \geq 20\%$  was 4%.

232 When the previous studies were downweighted to account for their likely differences  
233 (methodological limitations?) by up to 90%, the upper limit of the 95% credible interval for treatment  
234 effect fell below 1 and the probability of  $RR < 1$  exceeded 90% (**Figure 3**). The probability of  $RR < 0.67$   
235 and  $ARR \geq 20\%$  remained low across the range of downweighting (**Table 2 and Figure 3**).

236

## 237 **Discussion**

238 Bayesian analysis constitutes an alternative to the conventional paradigm for the statistical  
239 evaluation of medical hypotheses. Rather than estimating the probability of the *data* given the hypothesis,  
240 it aims to estimate the probability of the *hypothesis* given the data. Statisticians have long identified either

241 as “Bayesians” or as “frequentists”;<sup>2</sup> the debate turns in part on the role of deductive vs. inductive  
242 inference in scientific reasoning.<sup>25</sup> Many statisticians have advocated for the incorporation of Bayesian  
243 analysis in trial design and interpretation to complement frequentist analysis but adoption in clinical  
244 research has been limited. Recently, the United States Food & Drug Administration developed guidelines  
245 for the application of Bayesian statistics in trial design and interpretation in clinical trials of medical  
246 devices.<sup>26</sup> Bayesian analysis may suggest differing conclusions from frequentist analysis, particularly  
247 when observed effect sizes are relatively large but statistical power is relatively low.<sup>3</sup>

248 In the original description of the trial, the investigators concluded that “early application of ECMO  
249 was not associated with mortality at 60 days that was significantly lower than that in the control group.”  
250 This conclusion appropriately reflects the frequentist approach to hypothesis testing. The probability of  
251 observing an absolute mortality difference of  $\geq 11\%$  under the null hypothesis of no treatment effect was  
252 not sufficiently low to warrant rejection of the null hypothesis according to frequentist conventions (RR  
253 0.76, 95% CI 0.55-1.04,  $p=0.09$  in the primary analysis). This conclusion may be at variance with clinical  
254 and scientific intuition as it discounts altogether the clinically relevant effect size and a 95% confidence  
255 interval that lies mostly below 1. The difficulty of interpreting the results of this frequentist analysis was  
256 immediately evident with one editorial concluding that “the routine use of ECMO in patients with severe  
257 ARDS is not superior to the use of ECMO as a rescue maneuver”<sup>11</sup> while another suggested that “ECMO  
258 probably has some benefit in this context.”<sup>27</sup>

259 The statement that ECMO probably has some benefit is an intuitive expression of the Bayesian  
260 approach to data analysis. The Bayesian framework aims to define the probability of a desired treatment  
261 effect rather than to rule out the absence of treatment effect. Bayesian analysis of the EOLIA trial  
262 demonstrates that across a range of prior assumptions about the probability of benefit from early ECMO,  
263 the posterior probability of any mortality benefit ( $RR < 1$ ) with early ECMO is high, ranging between 88%  
264 to 99%. The influence of priors on the posterior probability varied with the definition of treatment effect,  
265 particularly for absolute risk reduction. For an absolute risk reduction of  $\geq 2\%$ , the posterior probability of

266 benefit ranged between 78% and 98%, depending on the prior. For an absolute risk reduction of  $\geq 20\%$ ,  
267 the posterior probability ranged between 0%-2%.

268         The analyses described here highlight several advantages of the Bayesian framework. First, the use  
269 of statistical priors permits the wide spectrum of opinion within the clinical community regarding any  
270 treatment to be formally incorporated in the analysis. This is particularly important with ECMO. In a  
271 Bayesian analysis of a previous clinical trial of ECMO in children published in 1989,<sup>20</sup> Kass and  
272 Greenhouse observed that “diverse opinions among knowledgeable and thoughtful observers arise  
273 because (...) different people attach different degrees of importance to various pieces of information  
274 concerning the merits of the treatment.”<sup>28</sup> By incorporating these varying background beliefs as priors,  
275 Bayesian analysis can quantify the overall strength of evidence in support of a hypothesis, complementing  
276 conventional frequentist approaches to hypothesis testing in clinical trials.

277         Second, Bayesian methods directly estimate the probability that the treatment effect is larger than a  
278 clinically important threshold, given prior assumptions; such information may be more directly  
279 informative to clinicians and patients or families wrestling with complex treatment decisions than  
280 probabilities of observing data more extreme than the observed data if there is no real treatment effect  
281 quantified by frequentist p-values. The probabilistic results of Bayesian analysis naturally align with the  
282 thought processes of clinicians making treatment decisions at the bedside where the probabilities of  
283 various competing benefits and harms must be weighed.

284         Third, by representing what is known about the treatment effect through a probability distribution,  
285 Bayesian analysis allows the probabilities for different magnitudes of treatment effect to be estimated. For  
286 the purposes of analysis, we defined an absolute risk reduction of 2% as a potential threshold for  
287 clinically important treatment effect. However, this threshold may be insufficient to motivate the routine  
288 use of early ECMO. Indeed, with an absolute risk reduction threshold of 20%, the posterior probability  
289 was 2%. Various factors must be weighed in defining the minimum clinically important effect: the  
290 baseline risk of the outcomes, the relevance of the outcome under study, the resources and expertise  
291 required to deliver the intervention, the risk of treatment-related adverse effects, and the effect on other

292 clinical outcomes. Given uncertainty over this value, posterior probabilities for a range of relative and  
293 absolute risk reductions were reported. Further investigation using decision analysis may help to define  
294 the optimal value for clinically important treatment effect.

295         There are challenges with Bayesian analysis. Given their significant influence on posterior  
296 probabilities, the priors must be specified to appropriately reflect the evidence available prior to the trial.  
297 Selection of priors therefore requires careful forethought. Bayesian analysis also requires decisions about  
298 the minimum clinically important treatment effect, as discussed above. Because decisions about priors  
299 and treatment effects inevitably incorporate an element of judgement, Bayesian analysis is sometimes  
300 criticized for perceived subjectivity. To address these challenges, posterior probabilities were computed  
301 for a wide range of potential values of minimum clinically important treatment effect under a range of  
302 reference priors specified based on archetypal considerations and on prior data.

303         The data-derived prior was estimated based on previous studies deemed to be of acceptable  
304 methodological quality (randomized trials and ‘quasi-randomized’ studies employing rigorous propensity-  
305 score techniques for analysis). Because the methodological limitations of these studies reduced  
306 confidence in their estimates of effect,<sup>22,29</sup> the weight of these studies was reduced in the Bayesian  
307 hierarchical model to render them less informative in the construction of the prior. Reassuringly, the  
308 probability of treatment benefit remained high even when these studies were heavily downweighted such  
309 that a patient in the pre-existing studies contributed much less influence in comparison to a patient  
310 enrolled in EOLIA.

311         Reference priors were specified based on previous recommendations for establishing representative  
312 levels of enthusiasm and skepticism.<sup>1,3</sup> This approach permits assessment of prior probability both in  
313 terms of existing clinical data and the strength of the biological plausibility. Readers should determine  
314 which prior best matches their own background assessment of the prior probability of benefit from  
315 ECMO in very severe ARDS and assess the posterior probability of benefit in light of EOLIA  
316 accordingly. One important decision is the specification of the strongly skeptical prior; this requires a  
317 judgment about the upper limit of reasonable skepticism. The strongly skeptical prior specified for this

318 analysis is equivalent to the information derived from a hypothetical trial of early ECMO enrolling 264  
319 patients (6% more than EOLIA) that finds the same risk of death in treatment and control groups—as  
320 there are no studies of this magnitude published in the current ECMO era, this degree of skepticism may  
321 be difficult to justify. This prior distribution therefore appears to appropriately represents the upper limit  
322 of reasonable prior skepticism.

323 Whether the findings of this Bayesian analysis support the routine use of early ECMO for very  
324 severe ARDS remains a matter of judgment. This judgment must incorporate several considerations: the  
325 distribution of prior probability, the probability of mortality benefit (level of certainty) required to  
326 motivate action (i.e. should one apply a treatment that has a predicted probability of benefit of 70% vs.  
327 80% vs. 90% etc.), the minimum clinically important treatment effect size, the effect on outcomes other  
328 than mortality (i.e. long-term functional status, quality of life, costs, resource implications), and the risk  
329 of adverse events. This is particularly important, because physicians often underestimate the risk of  
330 adverse events. This complexity highlights the need for decision analyses; Bayesian posterior probability  
331 distributions very naturally inform decision analysis.<sup>1</sup> The decision to initiate ECMO will always remain  
332 complex; no clinical trial, however conclusive, can remove the role of clinical judgment in making  
333 decisions about treatments. The findings of this Bayesian analysis may be helpful to inform these  
334 judgments.

335 Bayesian posterior probabilities can also inform the question as to whether future trials are  
336 required. For example, some might propose conducting yet another randomized trial of early ECMO to  
337 confirm mortality benefit ( $RR < 1$ ) under frequentist conventions (i.e.  $p < 0.05$ ). The posterior probabilities  
338 reported here can help to inform future discussions about the need for additional trials and whether the  
339 ethical requirement for equipoise in a randomized trial can be satisfied. Decisions about the need for a  
340 future trial depend on the definition of equipoise (probability of benefit sufficient to exclude equipoise)  
341 and the definition of the minimum clinically important treatment effect.<sup>30</sup>

342

343 Limitations



344 Limitations of this analysis include those inherent in the primary trial. Premature termination and a  
345 high rate of crossovers may have led to limited statistical power to detect a meaningful treatment effect.  
346 Patients were enrolled from both ECMO centers and non-ECMO referral centers, resulting in delayed  
347 ECMO initiation for some patients, although this reflects clinical practice given the regionalized nature of  
348 ECMO services.

349 In addition, there are limitations specific to these Bayesian re-analyses. First, the present analysis  
350 constitutes an unplanned *post hoc* analysis of trial data. Such analyses should generally be treated with  
351 caution (i.e., regarded as hypothesis-generating only) because, among other concerns, repeated hypothesis  
352 testing using different analyses increases the chance of erroneously concluding that the null hypothesis  
353 can be rejected ('p-hacking').<sup>31</sup> Several considerations, however, suggest that the present analyses are less  
354 vulnerable to these concerns. They tested the same hypothesis and analyzed the same pre-specified  
355 primary end-point as in the original publication—the pre-specified hypothesis or primary outcome were  
356 not revised (generally entailed in secondary analyses). In addition, under Bayesian analysis, the risk of  
357 erroneously estimating the posterior probability of treatment effect arises from incorrectly specifying the  
358 priors, not from repeated estimates of this probability. The capacity to allow repeated estimates of  
359 posterior probability is the basis for Bayesian adaptive trial design.<sup>32</sup>

360 Second, because the analyses were planned after the trial was published, it was difficult to use  
361 empirical methods to elicit prior beliefs about the benefit of ECMO; beliefs about benefit would  
362 unavoidably be influenced by the results of EOLIA.<sup>33</sup> Empirically-derived priors might have helped to  
363 clarify the extent to which EOLIA should modify the perceived probability of benefit. Recognizing this  
364 limitation, a range of priors was specified to represent the range of potential prior beliefs about treatment  
365 effect that might have been described by an empirical method.

366 Third, these analyses focused specifically on mortality and did not consider other adverse events.

367

368 **Conclusions**

369 *Post hoc* Bayesian analysis of data from a randomized trial of early ECMO compared with  
370 conventional lung-protective ventilation with the option for rescue ECMO among patients with very  
371 severe ARDS provides information about the posterior probability of mortality benefit under a broad set  
372 of assumptions that may help inform interpretation of the study findings.

373

374

### 375 **Acknowledgments**

376 Dr. Fan is supported by a New Investigator Award from the Canadian Institutes of Health  
377 Research. Dr. Wijeyesundera is supported in part by a New Investigator Award from the Canadian  
378 Institutes of Health Research and a Merit Award from the Department of Anesthesia at the University of  
379 Toronto. Dr. Jüni is a Tier 1 Canada Research Chair in Clinical Epidemiology of Chronic Diseases. The  
380 funder had no role in the design and conduct of the study; collection, management, analysis, and  
381 interpretation of the data; preparation, review, or approval of the manuscript; and decision to submit the  
382 manuscript for publication. Drs. Tomlinson and Goligher conducted and are responsible for the data  
383 analysis. Dr. Goligher had full access to all the data in the study and takes responsibility for the integrity  
384 of the data and the accuracy of the data analysis.

385

### 386 **Conflicts of Interest Disclosures**

387 Dr. Goligher reports receiving personal fees from Getinge outside the submitted work. Dr. Brodie  
388 is the co-chair of the Trial Steering Committee for the VENT-AVOID trial sponsored by ALung  
389 Technologies; he was previously on the medical advisory boards of ALung Technologies and Kadence  
390 (Johnson & Johnson). All compensation for these activities was paid to Columbia University. Dr. Slutsky  
391 reports personal fees from Maquet Critical Care, personal fees from Baxter, personal fees from  
392 Novalung/Xenios. Dr. Combes reports grants from Maquet, personal fees from Maquet, personal fees  
393 from Baxter, personal fees from Hemovent, outside the submitted work. The other authors had no  
394 conflicts of interest to disclose.

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477 **Figure Legends**

478

479 **Figure 1.** Graphical representation of reference priors (Left) and data-derived priors (Right). Each prior  
480 distribution represents a belief about the probability of differing mortality benefits (relative risks of death)  
481 with the use of early ECMO in patients with very severe acute respiratory distress syndrome. Bayesian  
482 analysis combines each prior distribution with the likelihood function of the observed treatment benefit to  
483 determine the posterior probability of treatment benefit. A range of reference prior distributions were  
484 specified in an effort to match the spectrum of belief within the clinical community about the benefit of  
485 ECMO. The minimally informative prior distribution entails that all potential values for log relative risk  
486 are approximately equally likely. The data-derived priors are based on previous studies (see text for  
487 details). To account for likely differences in previous studies, the weight (influence) of patients enrolled  
488 in these prior studies was reduced by artificially inflating the study variance (resulting in a wider prior  
489 probability density distribution).

490

491 **Figure 2.** Posterior probability distributions for relative risk (Panel A) and absolute risk reduction (Panel  
492 B) obtained based on the EOLIA trial results under varying prior assumptions about the benefit of early  
493 ECMO on mortality. Prior distributions (represented by the red lines) are combined with the likelihood  
494 function summarizing the treatment effect observed in the trial (green shaded region) to compute the  
495 posterior probability for the treatment effect. In each case the likelihood function, summarizing the trial  
496 data, is the same; variation in the posterior distribution arises from variation in the prior. In the case of a  
497 non-informative prior, the likelihood function and posterior distribution are identical. The median effect  
498 and credible interval are shown as the black point and line below each set of distributions. This approach  
499 allows assessment of the influence of prior enthusiasm or skepticism for early ECMO on the  
500 interpretation of the trial.

501

502 **Figure 3.** Posterior probabilities for a reduction in mortality with VV-ECMO in very severe ARDS given  
503 EOLIA and the results of previous studies. Varying degrees of weight were applied to the previous  
504 studies by artificially increasing the variance (width) of their probability distribution to reflect varying  
505 levels of confidence in their estimates of effect given their likely differences (and potential  
506 methodological limitations). The left panel shows the resulting credible interval for the relative risk of  
507 mortality for various levels of weighting of previous studies in proportion to the weight assigned to the  
508 EOLIA trial. The right panel shows the resulting estimated probability of a given relative risk reduction  
509 for varying weights assigned to the previous studies. EOLIA = “ECMO to Rescue Acute Lung Injury”  
510 randomized trial; CrI = credible intervals; RR = relative risk.

511

512 **Table 1.** Characteristics of Reference Prior Probability Distributions Representing Prior Beliefs About  
 513 Mortality Benefit from ECMO  
 514

Prior belief	Assumed Median Relative Risk	Assumed Standard Deviation of Logarithm of Relative Risk	Prior Evidence Equivalent*	Probability of Treatment Effect Equal to or Greater Than Specified Threshold				Rationale for Specifying Distribution Characteristics
				RR < 1	RR < 0.9	RR < 0.8	RR < 0.7	
<b>Non-informative</b>	1.0	10	Equivalent to essentially no prior data	50%	50%	49%	49%	All possible values for treatment effect for log RR [author – correct?] are equally likely
<b>Strongly enthusiastic</b>	0.67	0.25	Equivalent to a previous RCT enrolling 100 patients finding a 33% relative risk reduction	95%	89%	77%	58%	Probability of observing a treatment effect equal to or greater than that assumed in EOLIA design is 50%; probability of harm (RR>1) is 5%
<b>Moderately enthusiastic</b>	0.78	0.15	Equivalent to a previous RCT enrolling 264 patients finding a 22% relative risk reduction	95%	83%	57%	24%	Probability of observing a treatment effect equal to or greater than that approximating effect observed in ARDSNet lower tidal volumes trial (RR=0.78) is 50%; probability of harm (RR> 1) is 5%
<b>Skeptical</b>	1.0	0.24	Equivalent to a previous RCT enrolling 100 patients finding a 0% relative risk reduction	50%	33%	18%	7%	Probability of observing a treatment effect equal to or greater than that assumed in EOLIA design (RR=0.67) is 5%; probability of benefit and harm are equivalent
<b>Strongly skeptical</b>	1.0	0.15	Equivalent to a previous RCT enrolling 264 patients finding 0% relative risk reduction	50%	24%	7%	1%	Probability of observing a treatment effect equal to or greater than that observed in the ARDSNet lower tidal volume trial (RR=0.78) is 5%

515 RR = relative risk, EOLIA = ECMO to Rescue Acute Lung Injury trial, ARDSNet = NIH/NHLBI ARDS  
 516 Network, RCT = randomized controlled trial  
 517 \*”Prior evidence equivalent” communicates the level of certainty represented in each reference prior by  
 518 reference to the treatment effect and sample size of a hypothetical randomized trial required to generate  
 519 the level of informative influence on posterior probability specified by the reference prior relative to the  
 520 size of the EOLIA trial  
 521



522 **Table 2.** Probability of treatment effects estimated by Bayesian analysis using varying distributions to  
 523 describe prior beliefs

Prior belief	Posterior Median Relative Risk (95% Credible Interval)	Posterior Probability that True Relative Risk Is Less Than or Equal to Specified Threshold				
		RR < 1	RR < 0.9	RR < 0.8	RR < 0.67	
Reference Prior Distributions	Non-informative	0.78 (0.56-1.04)	96%	85%	60%	18%
	Strongly enthusiastic	0.74 (0.57-0.95)	99%	94%	73%	22%
	Moderately enthusiastic	0.78 (0.63-0.96)	99%	91%	61%	8%
	Skeptical	0.84 (0.64-1.07)	93%	73%	39%	5%
	Strongly skeptical	0.88 (0.71-1.09)	88%	58%	18%	0%
Data-derived Prior Distributions	No downweighting <sup>a</sup> of previous studies	0.71 (0.55-0.94)	99%	96%	83%	48%
	50% downweighting of previous studies	0.73 (0.56-0.96)	99%	94%	77%	40%
	75% downweighting of previous studies	0.74 (0.56-0.98)	98%	92%	72%	36%

524 RR = relative risk

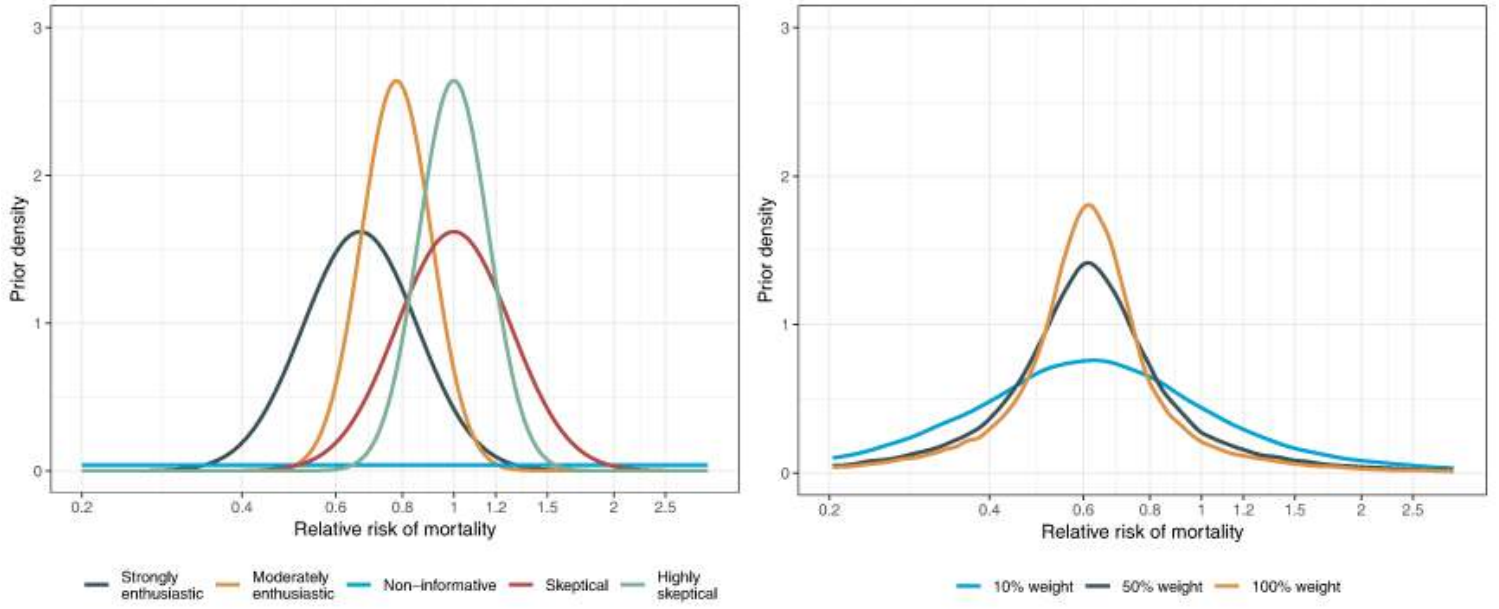
525 <sup>a</sup>Downweighting refers to a deliberate reduction in the influence (weight) of previous studies in the  
 526 Bayesian hierarchical model by artificially increasing the variance of these studies. Downweighting  
 527 provides a method of representing uncertainty about the estimates of effect in these studies given their  
 528 likely differences compared to the current trial.

529 **Table 3.** Probability that early ECMO reduces mortality by a proposed minimum clinically important  
 530 difference according to varying possible baseline mortality rates in patients with very severe ARDS

Prior belief	Posterior Median Absolute Risk Reduction (95% Credible Interval)	Posterior Probability that Absolute Risk Reduction <sup>a</sup> is Greater Than or Equal to Specified Threshold						
		2%	4%	6%	8%	10%	20%	
Reference Prior Distributions	Non-informative	-10.6% (-20.0% - 1.8%)	92%	86%	78%	67%	53%	2%
	Strongly enthusiastic	-12.0% (-19.9% - -2.1%)	98%	95%	89%	79%	65%	2%
	Moderately enthusiastic	-10.4% (-17.2% - -2.0%)	97%	93%	85%	71%	51%	0%
	Skeptical	-7.8% (-16.5% - 3.4%)	86%	76%	62%	47%	30%	0%
	Strongly skeptical	-5.6% (-13.3% - 4.1%)	78%	63%	45%	26%	13%	0%
Data-Derived Prior Distribution	No downweighting of previous studies	-13.6% (-20.5% - -2.9%)	98%	96%	93%	88%	79%	4%
	50% downweighting of previous studies	-12.8% (-20.4% - -1.9%)	97%	95%	91%	83%	72%	3%
	75% downweighting of previous studies	-12.1% (-20.3% - -1.1%)	97%	93%	88%	79%	66%	3%

531  
 532 ECMO = extracorporeal membrane oxygenation; ARDS = acute respiratory distress syndrome  
 533 <sup>a</sup>Absolute risk reduction was computed assuming a baseline mortality risk of 46% (based on the mortality  
 534 rate in the control group of EOLIA)  
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538 Figure 1  
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541 Figure 2

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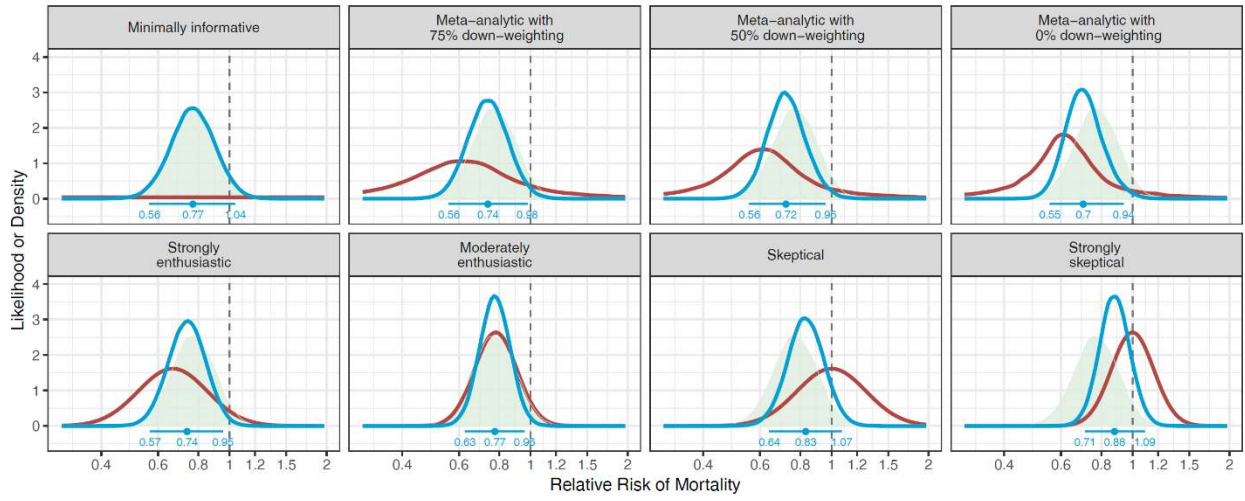
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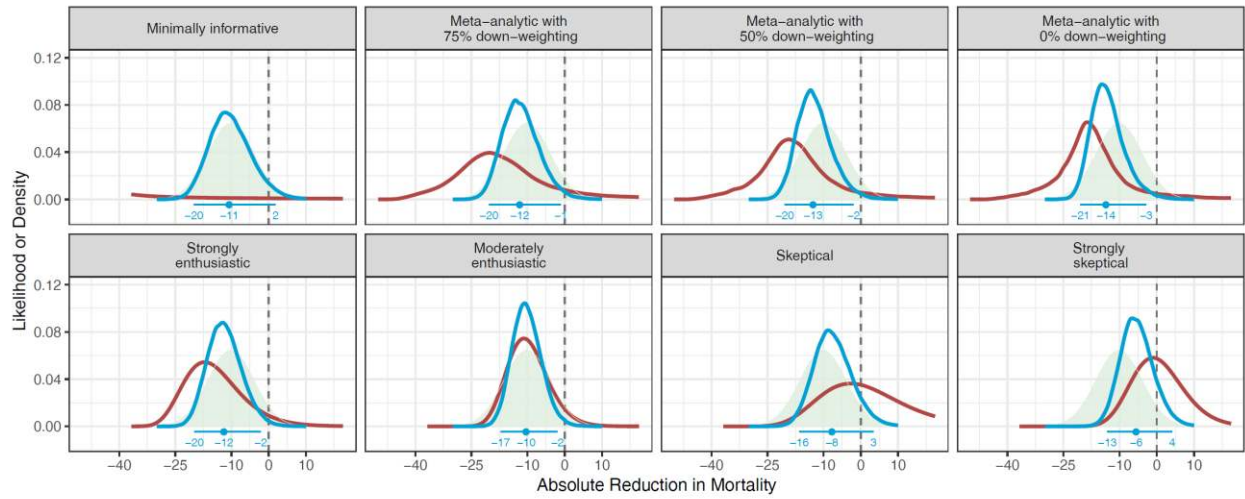
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— Prior Likelihood — Posterior



— Prior Likelihood — Posterior

571 Figure 3  
572  
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