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► **To cite this version:**

Jiri Man, Petr Klapetek, Ondrej Man, Anja Weidner, Karel Obrtlík, et al.. J. Man, P. Klapetek, O. Man, A. Weidner, K. Obrtlík and J. Polák: Extrusions and intrusions in fatigued metals. Part 2. AFM and EBSD study of the early growth of extrusions and intrusions in 316L steel fatigued at room temperature. Philosophical Magazine, Taylor & Francis, 2009, 89 (16), pp.1337-1372. 10.1080/14786430902917624 . hal-00514020

**HAL Id: hal-00514020**

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Submitted on 1 Sep 2010

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Journal:	<i>Philosophical Magazine &amp; Philosophical Magazine Letters</i>
Manuscript ID:	TPHM-08-Nov-0448.R1
Journal Selection:	Philosophical Magazine
Date Submitted by the Author:	12-Mar-2009
Complete List of Authors:	Man, Jiri; Institute of Physics of Materials, ASCR Klapetek, Petr; Czech Metrology Institute Man, Ondrej; Brno University of Technology, Institute of Materials Science and Engineering Weidner, Anja; Technische Universität Bergakademie Freiberg, Institute of Material Science Obřtlík, Karel; Institute of Physics of Materials, ASCR Polak, Jaroslav; Institute of Physics of Materials, ASCR
Keywords:	AFM, cyclic deformation, EBSD, experimental, fatigue, FIB, slip bands, steel
Keywords (user supplied):	persistent slip marking, extrusion, intrusion



## RESEARCH ARTICLE

## Extrusions and intrusions in fatigued metals.

## Part 2. AFM and EBSD study of the early growth of extrusions and intrusions in 316L steel fatigued at room temperature

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*(Received 28 November 2008; final version received xx)*

Early stages of surface relief evolution of persistent slip markings (PSMs), formed in areas where persistent slip bands (PSBs) intersect the free surface, in polycrystalline 316L stainless steel cycled with constant plastic strain amplitude were studied using atomic force microscopy (AFM) and electron backscattering diffraction (EBSD). Focused ion beam (FIB) technique was employed for obtaining additional, more detailed information on the shape of PSMs. In order to reveal true qualitative and quantitative information about the simultaneous growth of intrusions and extrusions within individual PSMs, identical areas both on the specimen surface and on its inverse copy obtained via plastic replica were studied using AFM. Intrusions are preceded by extrusions regardless of orientation of individual grains of the polycrystal. The first intrusions appear largely around 1% of fatigue life at the moment when “static” extrusions are developed. They appear predominantly but not exclusively at the side of extrusions where the emerging active slip plane is inclined to the surface at an acute angle. They grow faster in comparison with the stage of stable extrusion growth. Typical morphology of mature PSMs developed at 15% of fatigue life consists of ribbon-like extrusions accompanied by two thin parallel intrusions along both PSB/matrix interfaces. Experimental data on the morphology and the growth of extrusions and intrusions are discussed in relation with the theoretical models and computer simulations of surface relief evolution leading to fatigue crack initiation.

**Keywords:** 316L steel; fatigue; AFM; EBSD; FIB; persistent slip band; persistent slip marking; extrusion; intrusion

## 1. Introduction

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3 Pronounced surface relief formed on the surface of cyclically strained crystalline materials  
4 attracts interest of scientists for more than 100 years because it represents the first signs of  
5 fatigue damage leading to the initiation of fatigue cracks. The first systematic observation of  
6 surface changes produced by cyclic loading was performed by Ewing and Humfrey in 1903  
7 [1]. They used optical microscopy to reveal localized surface damage in the bands of intensive  
8 slip, much later called persistent slip bands (PSBs). In addition to this finding they identified  
9 the slip bands as incipient crack sites and activated thus hitherto persisting interest in PSB  
10 phenomenon.  
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13 The localization of the cyclic plastic strain in PSBs followed by the formation of  
14 pronounced surface relief (called persistent slip markings (PSMs)) is nowadays accepted as a  
15 general and very important feature of cyclic straining in crystalline materials. PSMs arise in  
16 areas where PSB lamellae, running parallel to low index crystallographic planes, crop up on  
17 the originally flat surface. PSMs consist of local elevations and depressions of the surface  
18 known as surface extrusions and intrusions, respectively [2]. Although it is generally agreed  
19 that fatigue cracks start to nucleate within PSMs (compare e.g. [3–9]), the exact mechanism  
20 of fatigue crack nucleation has not been clarified completely yet. Detailed review of  
21 theoretical models and computational simulations of surface relief evolution leading to fatigue  
22 crack initiation and their comparison with accessible relevant experimental data on the surface  
23 relief in both single- and polycrystals presented in part 1 of the present paper [2] shows only  
24 partial understanding of the subject.  
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28 Historically, the advancement of our knowledge on the surface relief of PSMs and  
29 early damage evolution in fatigue is closely related to the level of experimental technique.  
30 Although many different sophisticated experimental techniques based on optical microscopy  
31 (OM), transmission electron microscopy (TEM) and scanning electron microscopy (SEM)  
32 have been introduced for the study of early fatigue damage during past 50 years (their  
33 chronological inventory is given in [2]), most of them could be effectively applied to  
34 qualitative and quantitative systematic study of PSMs only in single crystals. Experimental  
35 data on the growth of extrusions and intrusions in polycrystalline materials have been thus  
36 scarce for a long time.  
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39 A revolution in quantitative study of surface features in strained and cyclically strained  
40 materials has been initiated by the advent of atomic force microscopy (AFM) in the early  
41 1990s. AFM was firstly utilized in fatigue by Harvey et al. [10] to obtain quantitative  
42 information about the slip spacing and slip height displacements in cyclically loaded  
43 polycrystalline titanium and high strength-low alloy (HSLA) steel. True PSMs consisting of  
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3 extrusions were observed by AFM in a structural steel for ships later by Nakai et al. [11].  
4 Intrusions were firstly revealed by AFM using plastic replicas also by Nakai et al. [12].  
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7 Nowadays, AFM is accepted to be the most powerful microscopic technique used for  
8 accurate study of the surface topography of PSMs and its evolution. It has been applied  
9 mainly to simple f.c.c. polycrystals fatigued at room temperature both directly on metallic  
10 specimens (copper [13–17], 316L steel [13,18–22] and  $\alpha$ -brass [23]) and using plastic replicas  
11 ( $\alpha$ -brass [12,24] and 316L steel [25]). Less numerous observations have been reported for  
12 fatigued b.c.c. metals [21,26–30]. Only limited data are available for polycrystalline nickel-  
13 base superalloys fatigued at room [31–33] and elevated [31] temperatures and quite recently  
14 for a duplex (A+F) stainless steel [34,35].  
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21 Experimental results on the growth of PSMs obtained during the last decade by AFM  
22 in fatigued polycrystals can be briefly summarized as follows. The growth of intrusions was  
23 studied by Nakai and his co-workers in a fine [12, 24] and coarse [24] grained  $\alpha$ -brass  
24 fatigued in cyclic plane bending with different stress amplitudes. They reported a linear  
25 growth of intrusion depth with the logarithm of the number of cycles and ‘drastic’ increase in  
26 the depth of intrusions which is interpreted as the outgrowth of an intrusion to a crack [12,  
27 24]. Based purely on a simple geometrical model for ideally oriented slip system they  
28 deduced that the critical value of the intrusion depth in the direction of active Burgers vector  
29 at the moment of crack initiation is 380 nm, independent of the inclination of PSM relative to  
30 the stress axis, the stress amplitude and the grain size [24]. Similar conclusion was drawn by  
31 Wang et al. from their more thorough AFM and electron backscattering diffraction (EBSD)  
32 study of intrusion growth in 316L steel cyclically strained in torsion [25]. They suggest that  
33 instead of the intrusion depth in the direction of active Burgers vector its component  
34 perpendicular to the surface trace of a PSM or simply the intrusion depth should be used as  
35 the criterion of a crack initiation. Critical value of both characteristics was found to be about  
36 170 nm [25].  
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50 Growth of extrusions was studied by Man et al. in 316L austenitic stainless steel [20,  
51 21] and in ferritic stainless steel [21, 29] fatigued with constant plastic strain amplitude. For  
52 both steels it was found that the height of extrusions increases quickly at the onset of cycling  
53 and later approximately linearly with the number of cycles up to the end of fatigue life. Abe et  
54 al. [28] studied the growth of extrusions in ferritic-pearlitic low carbon steel alloyed with Ni,  
55 Si or Cu cyclically loaded in out-of-plane bending. They found similar results: extrusions  
56 grow quickly during the initial stage and then their growth rate decreases or saturates.  
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3 Systematic growth of extrusions was reported for both phases in a duplex (A+F) stainless  
4 steel by Salazar et al. [34,35]. Whereas linear growth of extrusions was observed in case of  
5 austenitic phase, a tendency for saturation of extrusion growth was apparent for ferritic phase.  
6 Only limited experimental data on the growth of the average extrusion height are available for  
7 copper [14] and Ni-base superalloy polycrystals [32].  
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12 With respect to the methodology of AFM observations it is very important to note that  
13 till now the growth of extrusions and intrusions has been monitored either directly on the  
14 specimen surface [14,17,20,21,27–29,32,34,35] or using plastic replicas [12,24,25]. Although  
15 it was unambiguously documented that AFM has some limitations in observations of PSM  
16 topography [19,21,36] and in quantitative assessment of the extrusion-intrusion pairs [21,36]  
17 a systematic study using both observations (specimen and replica) in order to obtain true  
18 quantitative data on simultaneous growth of extrusions and intrusions in an individual PSM  
19 has not been performed so far.  
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27 In this work an extensive and systematic study of the early stages of the surface relief  
28 evolution in polycrystalline 316L steel<sup>1</sup> fatigued with constant plastic strain amplitude at  
29 room temperature using AFM with respect to the crystallographic orientation of individual  
30 grains determined by electron backscattering diffraction (EBSD) is reported. In agreement  
31 with the given limitations of AFM, extrusion growth was systematically monitored by direct  
32 observation of the metallic surface and plastic replica technique has been used to reveal  
33 intrusions in identical areas of the specimen surface. Profiles of the surface relief topography  
34 were additionally studied in cross-sections produced by the local milling using focused ion  
35 beam (FIB) technique. Qualitative and quantitative data on the morphology of individual  
36 PSMs and its evolution during cycling and on the growth of intrusions and extrusions with  
37 respect to the orientation of individual grains of polycrystals are reported. Experimental  
38 results obtained are used to construct a detailed scheme of the surface relief formation. They  
39 are compared with previous results and discussed in relation to recent theoretical models and  
40 computer simulations of surface relief evolution leading to fatigue crack initiation (for  
41 comparison of the present experimental data with theoretical model see also part 1 [2]). Some  
42 preliminary results on this subject have been already published elsewhere [37,38].  
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## 55 56 2. Experimental details

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59 <sup>1</sup> Polycrystalline 316L stainless steel is very suitable candidate for such studies from many aspects. It teeters on  
60 the transition from wavy slip to planar slip and thus its surface relief topography is not as complicated as in the  
case of copper. Owing to its corrosion resistance it is predestined for tedious, time-consuming systematic AFM  
observations.

### 2.1. *Material, specimens and fatigue tests*

Austenitic 316L stainless steel was supplied by Uddeholm (Sweden) in the form of a 25 mm thick plate with the following chemical composition (in wt. %): 0.018 C, 0.42 Si, 1.68 Mn, 0.015 P, 0.001 S, 17.6 Cr, 13.8 Ni, 2.6 Mo, rest Fe. The heat treatment, consisting of solution annealing at 1080 °C and water quenching, resulted in an average grain size of 39 μm (found using linear intercept method without counting twin boundaries).

Cylindrical specimens with threaded ends had a gauge diameter and length of 8 and 12 mm, respectively. A shallow notch in the form of a concave facet having approximately elliptical shape (for details see ref. [18, 20]) was produced in the centre of the specimen to facilitate the observation of the surface relief evolution and fatigue crack initiation. Specimens were annealed at 600 °C for 1h in vacuum. The notch area was mechanically and electrolytically polished. For easier orientation on the specimen surface some fine circular marks 400 μm in diameter were engraved in the central part of the polished notch before cycling.

The specimens were cyclically deformed in symmetrical push-pull in a MTS computer-controlled servohydraulic testing machine at room temperature in air under strain control. Desired plastic strain amplitude was applied since the first quarter of the loading cycle using a set MTS-BASIC programs developed in our laboratory (for details see ref. [39]). Plastic strain amplitude  $\varepsilon_{ap} = 1 \times 10^{-3}$ , derived from the half-width of the hysteresis loop, and the total strain rate  $\dot{\varepsilon} = 1.5 \times 10^{-3} \text{ s}^{-1}$  were kept constant for the whole period of the fatigue test. The cycling was periodically interrupted at cycle numbers corresponding approximately to a geometric series and the specimen was removed from the testing machine both for the replication and the observation of the specimen surface (see figure 1).

[Insert figure 1 about here]

### 2.2. *Microscopic observation and replication procedure*

The detailed study of surface topography changes during cyclic straining was performed using the Accurex IIL atomic force microscope (Topometrix, USA). Contact imaging mode in air was used to obtain constant-force topographic images. A V-shaped silicon nitride cantilever with a sharpened pyramidal tip having the radius of curvature of 20 nm and the vertex angle 36° was applied. Observations on plastic replicas were made mostly with a standard

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3 pyramidal tip whose radius of curvature was 50 nm and the minimum and maximum vertex  
4 angle was 53.1° and 70.5° respectively (for the scheme of its geometry see ref. [21]).  
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7 Two basic scan sizes were chosen. AFM scans 100×100 μm<sup>2</sup> with 400×400 data  
8 points were used for qualitative analysis and an overall evaluation of slip activity within  
9 individual grains (the detection of active slip systems). For the detection of intrusions and  
10 quantitative evaluation of extrusion and intrusion growth smaller areas 15×15 μm<sup>2</sup> with  
11 500×500 data points were captured. The surface profiles of extrusions and intrusions within  
12 individual PSMs were determined using the implemented software.  
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17 An optical microscope ZEISS Neophot 32 with a CCD camera was used for the first  
18 inspection of specimen surface, pre-selection of grains for systematic AFM study and quick  
19 checking of quality of the plastic replicas. Some additional examinations of the specimen  
20 surface were performed using a JEOL JSM-6460 SEM.  
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24 Replication procedure steps were the following. Before each replication and AFM  
25 specimen observation the specimen surface was carefully cleaned in acetone and subsequently  
26 in pure ethanol in ultrasonic cleaner. Celluloid film of 0.09 mm in thickness was softened  
27 with a solution of 50% acetone and 50% amyl acetate and applied to the specimen surface. In  
28 order to keep the same fluidity conditions and good fidelity of replicas each film was pressed  
29 down to the specimen surface during initial stage of drying. Once the replica was thoroughly  
30 dried (after about 8 hours), double-sided adhesive tape of proper size was gently put onto the  
31 back of the replica. The tape-replica assembly was then lifted from the specimen and mounted  
32 to the stub holder by using the exposed double-sided tape.  
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### 41 **2.3. Crystallographic orientation of surface grains**

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43 The crystallographic orientations of individual grains were determined in the scanning  
44 electron microscope using electron backscattering diffraction (EBSD) method. The EBSD  
45 measurements were carried out after the termination of fatigue tests ( $N = 6\ 750$  cycles). The  
46 orientation imaging microscopy (OIM) system TSL DC 3.5 installed on SEM Philips XL 30  
47 at BUT Brno was mostly used. Additional EBSD measurements were performed on the SEM  
48 at TU Dresden (Zeiss DSM 962) with an EBSD detector and the Channel5 software from  
49 HKL.  
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56 The EBSD (pseudo-Kikuchi) patterns were analyzed and orientation matrices  $\mathbf{g}_{ij}$  with  
57 respect to the specimen orthogonal co-ordinate system for each grain were obtained. Figure 2  
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3 shows the position of the stress axis of all 32 surface grains plotted in the standard  
4 stereographic triangle. It is apparent that no specific grain orientation was preferred.  
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8 [Insert figure 2 about here]  
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10 Using  $g_{ij}$  matrices the Schmid factor  $\mu$  for each of the 12 possible  $\{111\} \langle 110 \rangle$  slip  
11 systems and the slip plane traces (i.e. intersections of a slip plane with the specimen surface)  
12 were calculated. Comparison of the observed and calculated angles of PSMs with the stress  
13 axis in individual grains allows unambiguous identification of the active slip plane. In  
14 general, there is a great difference in Schmid factors among different slip systems in the same  
15 slip plane, therefore the active slip direction was chosen so that the respective slip system was  
16 characterized by the highest Schmid factor.  
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19 The inclination of active slip plane relative to the specimen surface in each grain is  
20 characterized by the angles  $\beta_1$  and  $\varphi$  as shown schematically in figure 3 for two PSMs on the  
21 specimen surface. Angle  $\alpha$  between the Burgers vector  $\mathbf{b}$  of active dislocations and the  
22 specimen surface and angle  $\beta$  between the slip plane normal and the specimen surface were  
23 computed as well. The values of these angles for all 32 surface grains together with identified  
24 slip systems and other crystallographic characteristics are listed in table 1.  
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27 [Insert figure 3 about here]  
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30 [Insert table 1 about here]  
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#### 35 36 37 38 39 40 **2.4. Cross-sectioning of PSMs using focused ion beam technique**

41 Surface relief topography of PSMs in specimens, cycled under the identical conditions  
42 described above, was studied also on cross-sections produced by local milling using the  
43 focused ion beam (FIB) technique. Sections perpendicular both to the specimen surface and  
44 PSMs were prepared by a FIB system FEI Quanta 3D after 500 cycles. After applying  
45 additional 1500 cycles the resulting surface relief was examined by the high resolution field  
46 emission gun SEM (SEM-FEG) (FEI Quanta FEG). Further details concerning the FIB cross-  
47 section procedure can be found elsewhere [40].  
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### 56 57 **3. Results**

#### 58 59 **3.1. Assessment of overall cyclic slip activity evolution** 60

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3 The AFM study of the surface relief evolution in 316L steel cycled with constant plastic strain  
4 amplitude  $1 \times 10^{-3}$  has revealed that PSMs could be detected already after 10 cycles. After the  
5 identification of slip systems in individual grains (see table 1) statistical evaluation of the slip  
6 activity was performed. Figure 4 documents the evolution of slip activity within all 32 surface  
7 grains with the number of cycles. In the majority of grains only one slip system was activated  
8 after 10 cycles; 4 grains were without any PSMs at this cycle number. With further cycling  
9 the number of grains with two active slip systems (primary and secondary, P+S) gradually  
10 increases and at the same time the number of grains without PSMs decreases. The number of  
11 grains with different slip activities stabilized after 500 cycles and at the moment of  
12 termination of the fatigue test ( $N = 6750$  cycles  $\sim 15\% N_f$ ) one slip system was active in 20  
13 grains, two slip systems in 11 grains and only in 1 grain no PSMs were present.

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27 Concerning the spatial distribution of PSMs, a characteristic difference between grains  
28 with activated one or two slip systems was generally found. PSMs in grains in which one slip  
29 system has been activated (P or S – see table 1) were evenly distributed whereas the average  
30 inter-PSM spacing varied from grain to grain. In grains with two activated slip systems it is  
31 true only for PSMs corresponding to the primary slip system. PSMs corresponding to the  
32 secondary slip system ( $S_1$  or  $S_2$  – see table 1) were developed only locally, typically in the  
33 close proximity of a grain boundary.

### 3.2. Characteristic features of surface relief evolution

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Characteristic sequences of the early evolution of the surface relief topography are  
documented by AFM micrographs shown in figures 5 and 6, obtained by direct specimen  
observation and using plastic replicas, respectively.

[Insert figure 5 about here]

The series of AFM micrographs in figure 5 shows the evolution of the surface relief in  
grain No. 62. The same position on all micrographs is indicated by the small black arrow.  
This grain with high Schmid factor  $\mu_p = 0.49$  is oriented nearly for single slip (orientation  
factor  $Q = 0.925$  [41] – see table 1) and thus only primary slip system (111)  $[\bar{1}01]$  has been  
activated in this grain. Dense set of parallel, nearly equidistantly spaced fine slip markings  
(slip lines) with an average separation distance of 0.8–0.9  $\mu\text{m}$  can be seen at the very early

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3 stage of cycling (see slip markings numbered 1 to 21 for  $N = 10$  in figure 5(a)). To accentuate  
4 their contrast and to visualize these fine slip markings (SMs) all AFM micrographs are  
5 displayed in the shadowed format. Most of the fine SMs traverse the whole grain surface and  
6 only some are fragmentally developed. They are characterized by compressive slip steps (see  
7 the next section) with a typical height 4–12 nm. Their height and density do not change with  
8 increasing number of cycles, however, cyclic slip activity within some of them becomes  
9 persistent – see PSMs 4, 7 and 10 in figure 5(b). The persistency of localized cyclic slip is  
10 clearly manifested by gradual development of hilly ribbon-like extrusions in these PSMs. The  
11 growth of extrusion height and width is clearly apparent from the sequence of PSM profiles  
12 presented in the next section. In order to differentiate distinctly the true PSMs from the fine  
13 SMs observed in the very early stage of fatigue life their numbers are highlighted by  
14 encircling in figures 5(b) to 5(f). With further cycling the number of true PSMs increased  
15 successively and stabilized in this grain after 100 cycles ( $0.2\% N_f$ ) (cf. figures 5(b) and 5(c)).  
16 Only about 50% of SMs observed after 10 cycles become PSMs in this grain and the  
17 extrusions grew continuously up to the end of the fatigue test (results on persistency for all  
18 grains are given in the next section). Note that some PSMs were nucleated in the centre of a  
19 grain and with continuing cycling they extended up to the grain boundary (cf. PSM 10 in  
20 figures 5(c) and 5(f)).

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Further specific features of surface relief evolution which could be revealed by AFM  
using plastic replicas only are apparent from figure 6. The AFM micrographs of plastic  
replicas in figure 6 show the surface relief evolution within the identical area of grain No. 78  
at selected number of cycles. The same position in all AFM micrographs is indicated by the  
small white arrow. Grain No. 78 is oriented for double slip (see figure 2 and table 1) and thus  
two slip systems were activated in this grain. Figure 6 shows PSMs corresponding to the  
primary slip system  $(111)[\bar{1}01]$ . They traverse almost the whole grain and are separated by  
the non-deformed surface with an average inter-PSM spacing of around  $3.5 \mu\text{m}$ . Very faint  
PSMs corresponding to the secondary slip system  $(\bar{1}11)[101]$  were produced solely close to  
the grain boundary and they are not imaged here.

[Insert figure 6 about here]

At the beginning of cycling ( $N = 350$  cycles) PSMs consist only of ribbon-like  
extrusions (see figure 6(a)). The first intrusions running parallel to extrusions were detected in  
this grain after 500 cycles – see the most developed PSMs 1 and 3 in figure 6(b) – at the side

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of the extrusion where the emerging active slip plane is inclined to the surface at an acute angle (side B in figure 3). They are very fine and thin in comparison with extrusions; their depth is typically only 10 nm at this stage of fatigue life. With further cycling they grow and, moreover, another intrusions appear (some of them only locally) as indicated in figure 6(c). After 2000 cycles ( $4.3\% N_f$ ) each extrusion is accompanied by one (PSM 4 in figure 6(d)) or two (PSMs 1, 2 and 3) parallel intrusions the depth of which can fluctuate along PSM.

### 3.3. The ‘persistence’ of localized cyclic slip

The series of AFM micrographs documenting the gradual development of PSMs similar to figures 5 and 6 were obtained for all relevant 31 grains. Careful comparison of AFM micrographs (surface area  $15 \times 15 \mu\text{m}^2$  for smaller grains,  $15 \times 15 \mu\text{m}^2$  and  $50 \times 50 \mu\text{m}^2$  for larger grains) and the analysis of the corresponding surface relief profiles allowed to determine the above mentioned ‘persistence’ of localized cyclic slip in a grain. In several cases some fine SMs at the later stages are not clearly visible from these AFM micrographs (cf. figures 5(d), 5(e) and 5(f)) and thus they seem to ‘disappear’ during cycling. Checking the respective surface relief profiles, however, it can be showed that these SMs with constant slip step height are permanently present within a grain (i.e. they are irreversible). This is clearly demonstrated in figure 7 which shows surface relief profiles obtained at different stages of fatigue life in the identical cross-section perpendicular to the direction of PSMs in the grain 62; its position is apparent from figure 5(e). Note that the vertical scale (i.e. the height scale in the  $z$ -direction) in figure 7(a) is exaggerated by a factor of 6 with respect to the horizontal scale (i.e. the lateral scale in the  $x$ -direction) to visualize small slip steps corresponding to fine SMs. For comparison, the profiles obtained at the identical location for 3000 and 6750 cycles are shown in the one-to-one scale in figure 7(b) with indicated slip plane inclination (see table 1). In this case the angular values can be read directly from the figure, however, fine SMs become nearly invisible.

[Insert figure 7 about here]

As it is apparent from figure 7 only compressive slip steps (cf. figures 7(a) and 7(b)) corresponding to fine SMs 7, 9 and 10 were present in this grain after 10 cycles. While their height ranging from 8–12 nm did not change with further cycling, the fine SMs 7 and 10 became areas of permanent slip activity as is clearly evidenced by systematically growing extrusions (see PSMs 7 and 10 in figure 7). Slip steps with approximately similar height (5–

20 nm) that was constant during the whole cycling were found also in other grains. Both compressive and tensile slip steps were detected but all slip steps within an individual grain had the same sign.

The persistency of localized cyclic slip, denoted as  $p_s$ , was quantitatively evaluated for all 31 grains at the end of fatigue test. The persistency  $p_s$  is defined as the ratio of the number of true PSMs (with gradually growing extrusions and later intrusions) recorded at the end of fatigue test ( $N = 6750$ ) to the number of fine SMs detected early in the fatigue life ( $10 \leq N \leq 1000$ ). The results are presented in figure 8. The data on persistency for other stages of the fatigue life are not presented here, but generally,  $p_s$  in individual grains was found to be increasing with increasing number of cycles. Data on PSMs corresponding to the secondary slip systems activated in the proximity of a grain boundary in grains with two active slip systems are not included into the data sets; the evolution of their topography, however, has the same characteristic features as those found for the primary slip system. The typical number of SMs or PSMs evaluated in each grain was 12–20; a lower number of SMs or PSMs (4 to 8) has developed and was assessed in a few grains (grains 55, 61, 65 and 72). As it is apparent from figure 8, the persistency of localized cyclic slip after 6750 cycles can vary among individual grains in the interval between 15 and 100%. However, in the majority of grains its value is typically about 80–100%; for 55% of surface grains  $p_s$  is in the interval of 90–100%.

[Insert figure 8 about here]

### 3.4. Crystallographic aspects of PSM morphology evolution: statistics

To obtain more detailed information about crystallographic features of PSMs, the specific morphology of extrusion-intrusion pairs (EIPs) were correlated with the inclination of the active slip plane to the surface in individual grains (see figure 3). In spite of the variability of PSM morphology, four typical configurations of EIPs were identified during careful scrutiny of more than 280 AFM micrographs ( $15 \times 15 \mu\text{m}^2$ ) taken at different stages of fatigue life from plastic replicas. They are schematically illustrated in figure 9. PSM morphology denoted “A” (“B”) consists of an extrusion and one intrusion produced at the side of the extrusion where the emerging active slip plane is inclined to the surface at an obtuse (acute) angle (cf. figures 3 and 9). PSM type “A+B” consists of a central extrusion accompanied by two intrusions running alternatively along both sides A and B. PSM type “A/B” consists of a central extrusion accompanied by two parallel intrusions going simultaneously along its both sides.

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3 In addition to the EIP morphology shown in figure 9 isolated intrusions,  
4 unaccompanied by parallel extrusions, were also detected. They were reported also by other  
5 authors [42–46]. Our observations however showed that in reality these intrusions were  
6 isolated only locally along the intersection of a PSB with the specimen surface, typically at  
7 the end of a PSM and the remaining part of the same PSM always comprised an extrusion,  
8 accompanied later in fatigue life by one or two parallel intrusions. It should be stressed that  
9 these “isolated” intrusions are certainly not a general and dominant feature of PSM  
10 topography; they were detected very rarely, only in several PSMs, and thus they were not  
11 considered in the following statistical treatment of the evolution of PSM topography.  
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20 [Insert figure 9 about here]  
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23 Statistical treatment of the overall evolution of PSMs with respect to the morphology  
24 mentioned above is presented in figure 10. The histogram comprises experimental data on 197  
25 PSMs within identical areas in 31 individual grains. They were obtained by careful evaluation  
26 of more than 350 AFM micrographs ( $15 \times 15 \mu\text{m}^2$ ) taken at different stages of fatigue life  
27 directly from the specimen surface and simultaneously using plastic replicas. It should be  
28 stressed that only the data on PSMs which became really persistent (see above) were included  
29 in statistical treatment.  
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35 [Insert figure 10 about here]  
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39 It is apparent from figure 10 that not all tracked PSMs were detected immediately  
40 from the onset of cycling. Their number slowly increases with increasing number of cycles  
41 until saturation around 1000 cycles. The most important findings concerning the evolution of  
42 PSM topography can be summarized as follows: (i) at the very early stage of cycling ( $N = 10$ )  
43 mostly fine SMs with slip step topography are present in the sites where the true PSMs  
44 develop later, (ii) with the continuing cycling the number of PSMs comprising purely  
45 extrusions decreases and at the same time the number of PSMs consisting of both extrusions  
46 and intrusions gradually increases, (iii) an intrusion developing at the PSB/matrix interface is  
47 preceded by an extrusion regardless of the orientation of individual grain of a polycrystal and  
48 (iv) already after 2000 cycles ( $\sim 4.3\% N_f$ ) more than 96% of extrusions are accompanied by  
49 two or at least one parallel intrusion.  
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58 Figure 11 shows another important result derived from the experimental data.  
59 Similarly to figure 10, PSMs are sorted according to PSM types as indicated in figure 9.  
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3 However, each of all 197 PSMs is now counted only once, namely at the moment when the  
4 first intrusion appeared within the respective PSM. It is apparent from this figure that  
5 intrusions appear very early in fatigue life. The majority of the first intrusions were detected  
6 after 100–2000 cycles ( $\sim 0.2\text{--}4.3\% N_f$ ), much more frequently at the side B, i.e. at the side of  
7 an extrusion where the emerging active slip plane is inclined to the surface at an acute angle  
8 (see figure 3).  
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15 [Insert figure 11 about here]  
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### 18 **3.5. Shape of extrusions and intrusions**

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20 Careful evaluation of profiles of many PSMs in variously oriented individual grains obtained  
21 by AFM both on the metal surface and via plastic replica at different number of cycles shows  
22 that the shape of extrusions and intrusions evolves in a characteristic way.  
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26 Most characteristic features of the development of extrusions are apparent from figure  
27 7. The first, very small embryonic extrusions with a hill-like geometry were detected after 50  
28 cycles in the grain No. 62 – see figure 7(a) (in some other grains already after 10 cycles). The  
29 profiles of both embryonic extrusions overlay the profile of the fine slip steps developed  
30 before and suggest thus that the fine SMs demarcate the embryos of future PSBs. With further  
31 cycling ribbon-like extrusions widen and heighten and after 3000 cycles they acquire a  
32 characteristic triangular profile (cf. figures 7(a) and 7(b) which show the same profile at  
33 different scales) whose asymmetry can be directly related to the inclination of the active slip  
34 plane to the surface, as indicated in figure 7(b). Comparing figures 7(a) and 7(b) it is clear  
35 that extrusions widened about three-times. Moreover, using the SM 9 as a reference mark it  
36 can be seen that while the widening of PSM 10 was approximately symmetrical, in case of  
37 PSM 7 it was asymmetrical.  
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48 With additional cycling the extrusions, appertaining to PSMs 7 and 10, continue in  
49 their growth. After 6750 cycles well developed extrusions display more or less rounded  
50 profile, which is rugged on the top (see PSM 10 in figure 7). Measured profile of both  
51 extrusions is already laterally distorted at this stage. However, their height is not affected by  
52 the AFM tip and thus true experimental data on the extrusion growth can be obtained [21, 36].  
53 Considering the shape of AFM tip and the theoretical inclination of the active slip plane in the  
54 grain 62 ( $\beta = 58.8^\circ$ ) indicated in figure 7(b), it is apparent that only the side A of both  
55 extrusions could be recorded truly. In addition it can be clearly seen that the slope at the  
56 bottom of both extrusions is identical to the computed slip plane inclination, i.e. the flat lateral  
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3 surface at the bottom of the extrusions corresponds to the (111) slip plane found for this grain  
4 (see table 1). Similar measurements, performed for the well-developed extrusions also in  
5 other differently oriented grains where the inclination could be assessed (cf. the AFM tip  
6 geometry shown in figure 7(b) and the theoretical slip plane inclination in table 1) yielded the  
7 same results.  
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12 The sequence of profiles of PSMs obtained by AFM on plastic replicas, documenting  
13 the growth of intrusions similar to that shown in figure 7, is not presented here. Nevertheless,  
14 several important features could be revealed by their inspection. The thickness of intrusions at  
15 very early stage of cycling was found to be typically 80–100 nm, which represents only 1/4 or  
16 less of the thickness of appertaining parallel extrusions. Profile measurements of developed  
17 intrusions in grains where the inclination could be assessed (see above) showed that intrusions  
18 grow in the active slip plane direction and thus the shape of intrusions in this stage is always  
19 laterally distorted. Only one side of each intrusion developed at both sides of an extrusion  
20 from plastic replicas is evaluated faithfully (cf. figure 3 and figure 9 in [21]): it is the side of  
21 an intrusion at position B whose normal is directed to the matrix and the side of an intrusion  
22 at position A whose normal is directed to the PSB.  
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32 To obtain a more comprehensive view on the PSM topography and to reveal a true  
33 geometry of extrusions and intrusions some additional observations were made with the help  
34 of local milling by the FIB technique. An example obtained with this technique is shown in  
35 figure 12. This figure shows high resolution SEM-FEG micrograph of a cross-sectioned  
36 individual PSM in 316L steel cycled with constant  $\epsilon_{ap} = 1 \times 10^{-3}$ . The cross-section was  
37 produced after 500 cycles and then additional 1500 cycles were applied. Afterwards the area  
38 of the specimen surface and the cross-section plane was photographed without any further  
39 FIB repolishing to keep relatively sharp contours conceived by the additional cycling. They  
40 can be seen on the roughened surface of the cross-section plane, probably due to the fact that  
41 the active Burgers vector is not exactly parallel with it. This roughening is observable only  
42 within the area of continuing slip activity, i.e. within the area where PSB lamella intersects  
43 the cross-section plane, while the surface corresponding to the matrix on the cross-section  
44 plane remained smooth.  
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56 [Insert figure 12 about here]  
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58 The well-developed PSM inclined to the specimen surface approximately at 70°  
59 consists of extrusion and a small intrusion at its left side (side A in figure 3). Whereas the  
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3 thickness of the extrusion is about 500 nm, the thickness of accompanying intrusion is much  
4 smaller. The top of the extrusion is not smooth but displays gently rugged surface  
5 morphology. Both sides of extrusion have the same slope, which perfectly matches with the  
6 roughened area on the cross-section plane, i.e. with the appertaining PSB. Another small  
7 extrusion developed to the left from the larger original extrusion.  
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### 10 11 12 **3.6. Quantitative evaluation of extrusion and intrusion growth**

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16 Quantitative data on the growth of extrusions and intrusions were obtained from the profiles  
17 of individual PSMs in selected sections. In agreement with our previous studies on surface  
18 relief and its evolution in 316L steel [20] the sections were taken perpendicular both to the  
19 grain surface and to the direction of the PSM on the surface. For the evaluation of the  
20 extrusion growth on the specimen surface and of the intrusion growth on the plastic replicas it  
21 was very important to choose the PSM cross-sections in individual AFM micrographs always  
22 in the same location. In this way the simultaneous growth of extrusions and intrusions in one  
23 grain was obtained.  
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30 Only early stages of intrusion formation could be documented reliably since intrusions  
31 are very thin and deep and the plastic replica reproduces their shape only when their depth is  
32 less than 0.5  $\mu\text{m}$ , exceptionally up to 1  $\mu\text{m}$ . For deeper intrusions several artefacts coming  
33 from the specific geometry of EIPs and the limits of replica technique were repeatedly  
34 observed: (i) an intrusion growing faster at the side B hides a small intrusion at the side A on  
35 the replica surface (see the schematic inset in figure 13(b)), (ii) large intrusions can be bent  
36 towards the replica surface and (iii) during stripping out the replicating film from the deep  
37 intrusions part of the film remains in the intrusion and also a well-developed extrusion can be  
38 broken and taken away from the specimen surface with replicating film. The appearance of all  
39 these artifacts should be kept in mind in the interpretation of the results obtained with the use  
40 of plastic replica technique for the quantitative evaluation of intrusion growth. The profiles of  
41 intrusions, displayed in the scale one-to-one, should be carefully checked to avoid misleading  
42 conclusions.  
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53 An example of the kinetics of extrusion and intrusion growth in the early stages of  
54 fatigue life is shown in figure 13. The plots correspond to two most frequent configurations of  
55 extrusion-intrusion pairs observed i.e. types "A+B" and "B" indicated in figure 9. In both  
56 grains the extrusions start to grow first, and only after some delay an intrusion develops and  
57 grows with higher growth rate. Figure 13(a) corresponds to one intrusion developed at the  
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3 side of extrusion where the angle between the extrusion and the surface was acute (PSM type  
4 “B” in figure 9). In this case the growth rate of the intrusion is nearly five times higher than  
5 the growth rate of the extrusion. When two intrusions develop (figure 13(b)), the growth rate  
6 of intrusions is only slightly larger than the growth rate of the extrusion. The first intrusion  
7 appears at the position B (cf. figures 13(b) and 9) and much later second intrusion starts  
8 growing at the position A. The growth rates of both intrusions are approximately identical.  
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[Insert figure 13 about here]

## 14 15 16 17 18 **4. Discussion**

### 19 20 **4.1. Development of overall slip activity and activated slip systems**

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22 Systematic AFM study of surface relief evolution within individual grains of polycrystalline  
23 316L steel cycled with constant plastic strain amplitude of  $1 \times 10^{-3}$  has revealed very early  
24 localization of the cyclic plastic strain. Fine SMs and in some cases also true PSMs  
25 corresponding to primary slip system were detected in majority of grains already after 10  
26 cycles. With further cycling the plastic deformation is accommodated mostly by one slip  
27 system accompanied by gradual increase in the number of intensifying PSMs (see figure 10),  
28 developing in the sites of former fine slip markings. PSMs in these grains were generally  
29 found to be evenly distributed with an average inter-PSM spacing varying from grain to grain.  
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37 Two activated slip systems were present in one third of grains investigated after 500  
38 cycles (see figure 4). PSMs gradually developed from fine SMs corresponding to the  
39 secondary activated slip system were, however, found in great majority of grains only locally,  
40 in the vicinity of the grain boundary. The secondary PSMs developed more frequently in  
41 grains oriented for double and multiple slip (i.e. with the loading axis lying close to the  
42 borders or corners of the standard stereographic triangle.  
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48 The results on slip activities obtained in relatively small number of individual grains  
49 agree with those obtained previously in much more extensive study of coarse-grained 316L  
50 steel [18]. In comparison with previous results higher frequency of grains with two activated  
51 slip systems has been detected even after small number of cycles (cf. figure 4 and table 1 in  
52 [18]). This difference could be attributed to the finer grain size of 316L steel used in the  
53 present study.  
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59 Only one grain (No. 73) remained after 6750 cycles without detectable PSMs.  
60 Blochwitz et al. [47] introduced two criteria to explain the absence of PSMs: (i) normal

component of the Burgers vector (the component which is perpendicular to the specimen surface) is small ( $s_z < 0.15$ ) or (ii) the Schmid factor of the primary slip system is low ( $\mu_p < 0.43$ ). Both ‘invisibility’ criteria for grain No. 73 ( $s_z = \sin \alpha = 0.17$ ,  $\mu_p = 0.455$  – see table 1) are nearly met. Another possible explanation has been outlined recently by Sauzay and Jourdan [48] and Sauzay [49] who evaluated the effect of crystalline elasticity and neighboring grain orientations on the stress state of a favorably oriented grain using Monte-Carlo finite element computations. They found that the critical shear stress for PSB formation can be changed in dependence on the neighbor grain orientation. Sauzay and Man [50] showed for some grains without PSMs that in the dependence on the size and the orientation of neighbor grains the ‘effective’ Schmid factor could be reduced by 24% relative to the ‘classical’ Schmid factor obtained by EBSD; its value was assessed using the cumulated probability of the Schmid factor given in [49] to about 0.34.

#### 4.2. Fine SMs, PSB nucleation and its relation to cyclic stress-strain response

The very early formation of fine SMs and the appearance of the first true PSMs, manifesting the cyclic strain localization into PSBs, correspond to the evolution of the stress amplitude  $\sigma_a$  and the loop shape parameter  $V_H$  shown in figure 14. The loop shape parameter [51] is equal to the ratio of the area of the hysteresis loop and the area of circumscribed parallelogram, i.e.

$$V_H = \frac{\oint \sigma d\varepsilon}{4\sigma_a \varepsilon_{ap}} \quad (1)$$

[Insert figure 14 about here]

The short period of cyclic hardening during which mostly fine SMs are formed is followed by the achievement of maximum stress amplitude and slow cyclic softening until the end of fatigue life. The loop shape parameter  $V_H$  decreases and reaches the local minimum approximately at the cycle number when the stress amplitude reaches its maximum. The appearance of the first true PSMs found by AFM on the specimen surface during 10–50 cycles correlates very well with the local minimum of  $V_H$  (see figure 14). In analogy with simple fcc single- and polycrystal behavior (for review see [8, 46]) the minimum of  $V_H$  can be regarded as the onset of cyclic strain localization into the PSBs also for the present material. Similar results concerning the characteristic changes of the hysteresis loop shape reflecting the start of cyclic slip localization were obtained recently for austenitic stainless steel single- [52] and polycrystals [20] and for ferritic stainless steel [29].

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3 In agreement with our previous study [20] the present results show that the height and  
4 the sense of fine SMs do not change with continuing cycling. Moreover, most of them became  
5 areas of permanent slip activity manifested by gradually growing PSMs (see figure 8). Similar  
6 results on the persistency of localized cyclic slip were found by Salazar et al. for austenitic  
7 phase in a duplex stainless steel [34]. These findings together with the fact that the small  
8 embryonic extrusions arise exactly at the locations of fine SMs (see figure 7) indicate a  
9 possible mechanism of PSB formation in surface grains of a polycrystal. The experimental  
10 data concerning this topic and their discussion are however beyond the scope of the present  
11 paper and will be presented in detail in separate publication [53].  
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19 AFM detection of fine SMs at the onset of cycling is in agreement with previous  
20 studies. Hunsche and Neumann [54] studied surface relief profiles in fatigued copper single  
21 crystals using a section micromilling technique in the SEM and they noticed compressive as  
22 well as tensile slip steps present at the early stage of cycling of copper crystals at those  
23 locations where hilly extrusions develop later. Very small slip offsets are apparent also from  
24 surface profiles of young individual PSMs in copper single crystal obtained earlier by  
25 Mughrabi et al. using the SEM contamination-line technique [43]. Although it was not  
26 explicitly mentioned by the authors, slightly different matrix height level at both sides of  
27 PSMs clearly shows the evidence of a very fine compressive slip step hidden in an extrusion  
28 developed during 500 cycles (cf. figure 7 in [43] and figure 7(a)). Similar fine SMs with slip  
29 step height of about 8 nm were detected after 20 cycles in most of the grains of a 316L  
30 austenitic stainless steel fatigued in vacuum with  $\varepsilon_{ap} = 2 \times 10^{-3}$  also by Villechaise [22].  
31 Salazar et al. [34,35] reported for the austenitic phase of a duplex stainless steel cyclically  
32 strained with  $\varepsilon_a = 8 \times 10^{-3}$  the presence of straight slip lines resembling unidirectional steps  
33 (i.e. fine SMs) with a mean height of 10–20 nm which were in some cases already after 5  
34 cycles accompanied by extrusions, growing along these slip lines.  
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#### 49 **4.3. Evolution of surface relief topography of PSMs**

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51 Detailed study of the morphology of a great number of individual PSMs and the systematic  
52 monitoring of their evolution during the early stages of fatigue life revealed that PSM  
53 topography evolves in a characteristic way. The identification of specific features of the  
54 surface relief topography, the correlation of particular morphology of EIPs with the  
55 inclination of the active slip plane in individual grains together with our previous results  
56 obtained by AFM and other experimental techniques [18,20,21,29,36,55] allow to construct a  
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3 plausible scheme of the early stages of surface relief evolution. This scenario, encompassing  
4 all characteristic successive stages of surface relief evolution is illustrated schematically  
5 together with the diagram of the kinetics of extrusion and intrusion growth in figure 15.  
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7 Several pertinent results supporting this scheme can be found also in available literature (see  
8 below).  
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12 [Insert figure 15 about here]  
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16 In agreement with this scheme PSMs start as surface extrusions. The first embryonic  
17 extrusions are thin and they can be developed only locally along the intersection of PSB  
18 embryos with the specimen surface (see figure 15(a)). With continuing cycling they widen  
19 and heighten and approximately after 200–1000 cycles the ribbon-like ‘static’ extrusions are  
20 formed (figure 15(b)). They acquire a smooth, roughly triangular profile whose asymmetry  
21 depends on the inclination of PSBs to the specimen surface. Their height in the direction of  
22 the active Burgers vector is proportional to the grain size below the specimen surface, as  
23 indicated experimental study by Man et al. [18].  
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30 After rapid development of ribbon-like static extrusions (stage I in figure 15) they  
31 continue in their growth at lower growth rate (see figure 15(e)). Typically after 100 – 2000  
32 cycles ( $\sim 0.2\text{--}4.3\% N_f$ ) intrusions start to appear, often only locally, along extrusions,  
33 predominantly but not exclusively at the side B where the emerging active slip plane is  
34 inclined to the surface at an acute angle (c.f. figures 11, 15(c) and 15(e)). They are four or  
35 more times thinner than extrusions and they deepen in the active slip direction. The rate of  
36 intrusion growth is higher than that of extrusions and depends on the type of intrusion, e.g.  
37 whether one or two parallel intrusions grow simultaneously. Typical morphology of mature  
38 PSMs developed after 6750 cycles ( $\sim 15\% N_f$ ) is shown in figure 15(d). It consists of central  
39 ribbon-like extrusion accompanied by two thin parallel intrusions going simultaneously along  
40 PSB/matrix interfaces the depth of which varies along their lengths much more than the  
41 height of extrusions. Well-developed extrusions, protruding from the surface over the whole  
42 thickness of PSB lamellae, display more or less rounded profile rugged on the top.  
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53 The direct experimental evidence that intrusions are preceded by extrusions  
54 irrespective of individual grain orientation found in the present work for 316L steel has not  
55 been reported so far. Nevertheless, the limited experimental results obtained for  
56 polycrystalline copper fatigued at room temperature [56,57] indicate the same conclusion.  
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58 Kwon et al. [56] investigated at high resolution shadowed surface replicas taken from the  
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3 identical position of the specimen by SEM and they noticed that while only slip bands (i.e.  
4 extrusions) are seen after 300 cycles of straining with  $\varepsilon_a = 8 \times 10^{-4}$ , small slip band cracks are  
5 seen after 500 cycles. Using the 0.1  $\mu\text{m}$  crack depth criterion with SEM examination of  
6 replicas they determined the number of cycles to crack initiation and found that this number  
7 of cycles increases with decreasing strain amplitude. It indicates that with the decreasing  
8 strain amplitude the appearance of the first intrusion is more and more delayed. Kim et al.  
9 [57] used the same technique and reported that only faint slip bands (i.e. ribbon-like  
10 extrusions) are present in a grain after 100 cycles with  $\varepsilon_a = 1.5 \times 10^{-3}$  but after 5000 cycles the  
11 same PSMs consist of extrusions accompanied by one, or more frequently by two, parallel  
12 intrusions.  
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21 The shape of “young” extrusions was studied in copper single- [43,58,59] and  
22 polycrystals [59] fatigued at room temperature using the contamination line technique in the  
23 SEM. It was found that “young” extrusions possess a triangular profile and the surface of  
24 extrusions is smooth without any detectable surface roughening. These findings are in  
25 agreement with the present AFM results and with our results obtained previously [20, 21]. At  
26 a later stage the triangular form is lost and the extrusion profile becomes more complex as  
27 could be revealed only by viewing the FIB-cross-sections in SEM-FEG – see figure 12.  
28 Extrusions show more or less rounded profile which is rugged on the top in good agreement  
29 with model by Differt et al. [60]. The magnitude of the mean depth (peak-to-valley) of the  
30 roughness profile at the top of the extrusion shown in figure 12 agrees quantitatively with the  
31 predictions of this model [43,60]. Similar roughening superimposed on the top of extrusion  
32 and corresponding to the random fine slip has been already evidenced by the SEM for copper  
33 single crystals [60] and more recently by the high-resolution SEM-FEG also for 316L  
34 austenitic steel [20,21,36,55] and ferritic steel [29].  
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46 Furthermore, the comparison of profiles of well-developed extrusions obtained by  
47 AFM with respect to the crystallographic orientation of activated slip system showed  
48 agreement with previous findings by Villedaise et al. [19,22]. The slope at one side of an  
49 extrusion observable by AFM (side A in figure 15(e)) is identical to the computed slip plane  
50 inclination. This AFM/EBSD analysis was however always limited only to this side where the  
51 emerging active slip plane is inclined to the surface at an obtuse angle. More coherent picture  
52 of the PSM morphology obtained by FIB-cross-sectioning technique clearly showed that  
53 extrusions grow over the whole width of PSBs (see figure 12). It is evident from this figure  
54 that such finding could be never obtained using AFM even with an ultra-sharp tip.  
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3 The shape and the geometry of intrusions have been studied in less detail so far. The  
4 present results obtained by AFM using plastic replica and their comparison with EBSD data  
5 showed that intrusions grow in the active slip plane direction. Villechaise [22] repeatedly  
6 demonstrated by AFM for all EIPs for which inclination measurements were possible that  
7 there is a perfect continuity of the lateral flat surface between the intrusion and extrusion part  
8 and thus suggesting that intrusions grow along the PSB/matrix interface. In agreement with  
9 our previous results obtained by high-resolution SEM-FEG [20,21,29,36,55] the thickness of  
10 intrusions were found to be much lower than extrusions (typically by a factor of 4 and less).  
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#### 13 **4.4. Quantitative data on the growth of extrusions and intrusions**

14 Present experimental data on the kinetics of extrusion growth are in good agreement with the  
15 results obtained previously for several materials – mono- and polycrystalline copper [59],  
16 316L steel [20,21], ferritic steel [21,29] and ferritic-pearlitic and alloyed ferritic-pearlitic  
17 steels [28]. In all cases the short period of rapid extrusion growth was followed by the period  
18 of stable (linear) growth (see figure 15(e)). Stable linear growth of extrusions with different  
19 growth rate in the range of 0.013–0.098 nm/cycle was found by Salazar et al. for  $\gamma$ -phase in  
20 austenitic-ferritic duplex stainless steel [34]. Linear growth of extrusions can be inferred from  
21 limited data also for the nickel-base superalloy Waspaloy [32].  
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24 Quantitative data on the growth of intrusions show that intrusions appear very early  
25 (see figure 11) and their depth increases linearly with the number of cycles. As it was  
26 mentioned above the rate of their growth is higher than that of extrusions and depends on the  
27 type of EIPs. The data on the intrusion growth seem to be in contradiction with those reported  
28 by Nakai et al. [12, 24] and Wang et al. [25] but as will be shown below they are consistent  
29 with both of them.  
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32 Nakai et al. studied the growth of intrusions in fine [12,24] and coarse [24] grained  $\alpha$ -  
33 brass fatigued in cyclic plane bending with different stress amplitudes. They plotted the  
34 experimental data into a semi-logarithmic chart (i.e. intrusion depth vs.  $\log N$ ) and found that  
35 the intrusion depth increases linearly with the logarithm of the number of cycles. In addition  
36 they identified a ‘drastic’ increase in the growth of intrusion depth in their semi-logarithmic  
37 presentation of experimental data which was interpreted as the outgrowth of an intrusion to a  
38 crack [12,24]. Using the identical philosophy a similar conclusion was drawn by Wang et al.  
39 from their much more thorough AFM/EBSD study of intrusion growth in 316L steel  
40 cyclically strained in torsion [25]. Re-plotting their data into linear charts (i.e. intrusion depth  
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3 vs.  $N$ ), however, shows that the observed 'drastic' increase in growth of the intrusion depth is  
4 only virtual. Intrusions in this representation grow linearly within the scatter of experimental  
5 data [24,25].  
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9 The results obtained by Nakai et al. [24] nevertheless indicate another interesting  
10 feature concerning the true outgrowth of an intrusion to a microcrack which was not discussed  
11 by the authors. They documented the growth of an intrusion by AFM on a series of profiles  
12 from cross-sections taken at different stages in the same location on the replica (see figure 5  
13 in [24]). It is very important to note that all replicas were taken always at the maximum  
14 tensile stress (not in unloaded state). In spite of the fact that plastic replica does not reflect  
15 truly intrusion/microcrack for  $N \geq 9.1 \times 10^4$  it is clearly seen from their figure that there is no  
16 difference in the height of the matrix on both sides of the intrusion until  $N = 4.7 \times 10^4$  while  
17 with further cycling a slip offset along one of its side is detectable. This finding indicates that  
18 an intrusion outgrew really into a crack via slipping-unslipping mechanism discussed in [2,8].  
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#### 27 **4.5. Comparison of experimental data with theoretical models**

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30 Experimental results concerning the characteristic changes of the shape of PSMs and the  
31 kinetics of extrusion and intrusion growth (see figure 15) can be reconciled with some recent  
32 models of surface relief evolution and fatigue crack initiation. Detailed comparison of the  
33 present experimental data with all the latest theoretical models and computer simulations was  
34 presented already in the part 1 [2] and thus in the following we will briefly discuss only those  
35 pertinent to the results of this study.  
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41 According to figure 15(e) the process of surface relief formation can be divided into  
42 two characteristic stages. The stage I comprising the short early period of the rapid extrusion  
43 growth is in a very good agreement with the EGM model by Essmann et al. [61] which  
44 predicts rapid initial development of a static extrusion with triangular profile. However, for  
45 the explanation of further systematic growth of extrusions and intrusions with cycling (stage  
46 II in figure 15(e)) some other mechanisms must be considered. Polák in his model [8,38,62,  
47 63] supposes that after the formation of a static extrusion resulting from the accumulation of  
48 vacancy type point defects in the PSB, the migration of vacancies from a PSB to the matrix  
49 results in redistribution of the matter. This redistribution leads to the accumulation of atoms in  
50 the PSB and deficiency of atoms at the boundary between the PSB and the matrix. It  
51 manifests in the ongoing growth of an extrusion and the formation and growth of one or two  
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3 intrusions. It is in agreement with statistical evaluation of the intrusion occurrence (see figure  
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#### 6 7 **4.6. Role of PSB/matrix interface in fatigue crack initiation**

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10 The distinguished role of the interface between PSBs and matrix as a preferential site for the  
11 initiation of fatigue cracks has been recognized and repeatedly documented experimentally by  
12 many researches. In addition, some of them have even specified explicitly the preferred sites  
13 of crack nucleation or intrusion formation within the PSMs, i.e. the sign of EIPs.  
14 Experimental results on this topic have been collected and systematized firstly by Brown and  
15 Ogin in 1985 [64]. Table 2 represents an extended and upgraded version of their table  
16 supplemented by the type of experimental technique used for surface relief observation and  
17 number of cycles when the observations were carried out. Note that except the work by  
18 Basinski and Basinski [76] (see table 2) all fatigue tests were performed at room temperature.  
19 Both individual PSMs and macro-PSMs are included in this table deliberately in order to  
20 avoid any confusion. Fatigue crack initiation in macro-PSMs, typically found only in single  
21 crystals, represents a special case due to the specific dislocation structure of macro-PSBs. It is  
22 moreover complicated by other effects (local lattice rotation, local bending of the primary slip  
23 plane) [2].  
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36 [Insert table 2 about here]  
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39 The topography and the crack initiation within individual PSMs were studied using  
40 various techniques in a wide variety of materials, both single- and polycrystalline, fatigued  
41 under diverse testing conditions to different stages of fatigue life. Considering the scheme  
42 shown in figure 9 we can see from table 2 that intrusions (or already initiated microcracks)  
43 were detected either only at the side A or B or along both sides (A/B type) or even within an  
44 individual PSM. Note that the detection of an intrusion at the side B of a well-developed PSM  
45 (see figures 3 and 15(e)) is much more delicate and that intrusions or initiated microcracks at  
46 this position can escape observation during the direct viewing of the specimen with the  
47 electron beam perpendicular to the surface (compare for example figure 8 in [43] and PSM I  
48 in figure 5 in [29]). In conclusion, the data summarized in table 2 clearly show that there is  
49 variability in observed morphology of individual PSMs and no general agreement on the  
50 preferential site of crack nucleation within individual PSMs, i.e. on the sign of EIPs. Such  
51 finding can be reconciled with the results presented in figure 10. This figure, based on the  
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3 systematic monitoring of the morphology of nearly 200 individual PSMs in differently  
4 oriented grains of 316L steel, also reveals variability in the appearance of PSMs at different  
5 early stages of their evolution. This variability has decreasing tendency with continuing  
6 cycling and after 6750 cycles ( $\sim 15\% N_f$ ) nearly 90% of extrusions are accompanied by two  
7 parallel intrusions going simultaneously along both PSB/matrix interfaces.  
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11 Concerning the sign of the first EIPs the present results show that the first intrusions  
12 start much more frequently at the side B of the extrusion where the emerging active slip plane  
13 is inclined to the surface at an acute angle (see figure 11). This finding can be compared with  
14 several theoretical models. Essmann et al. [61] and Brown and co-workers [64] modeled only  
15 the presence of an extrusion and crack initiation either on A or B side. EGM simply proposed  
16 that sharper notch formed at B side of a static extrusion should be preferred for crack  
17 initiation. This geometrical consideration is in agreement with the numerical simulations by  
18 Repetto and Ortiz [78]. The profiles of their simulations appear to be realistic, however, the  
19 kinetics of extrusion and mainly intrusion growth are not in agreement with the experimental  
20 results. They considered individual PSB in copper single crystal fatigued with high plastic  
21 strain amplitude ( $6 \times 10^{-3}$ ). After 60,000 cycles an intrusion at the side B reached the depth  
22 only of 14 nm. Conclusions by Brown and co-workers [64] (see also [2]) with regard to the  
23 preferential side of intrusion formation are in agreement with present experimental results if  
24 macroscopic internal tensile stress is present in a PSB. However, other aspects of the model  
25 are difficult to reconcile with stable simultaneous growth of extrusions and intrusions [2].  
26 Also the experimental fact that early in the cycling intrusions can appear only locally at the  
27 extrusion/matrix interface support more likely an idea of gradual intrusion formation due to  
28 the vacancy migration from PSB to the matrix than fatigue crack initiation by decohesion due  
29 to logarithmic infinities in stress developed at PSB/matrix interface at the crystal surface.  
30 Summarizing the above statements there are two arguments for preference of the B side for  
31 crack initiation: (i) the notch developed at B side is sharper and (ii) the largest plastic slip  
32 within a PSB results, according to the finite element calculations by Sauzay and Gilormini  
33 [79], at surface, along the PSB-matrix interface at location B.  
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## 54 **5. Final remarks**

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56 Present work pointed out some difficulties in the surface relief study of polycrystals.  
57 Generally, there is considerable variability in the surface relief topography of PSMs.  
58 Quantitative data on the growth of extrusions and intrusions can differ from grain to grain but  
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3 a scatter in their growth characteristics can be found even within an individual grain. This is  
4 partially due to the fact that both extrusion and intrusion grow in the direction of the active  
5 Burgers vector and the height of extrusions is proportional to the grain size below the surface  
6 [18]. Further complication arises from the fact that there is a big scatter in local shear strain  
7 amplitudes within PSBs, even in one grain, and that the slip activity in PSBs has spatio-  
8 temporal character, as it was recently evidenced for PSBs in polycrystalline nickel [80,81].  
9 All the above features indicate the importance of statistical approach in surface relief study in  
10 fatigued polycrystals.  
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## 18 6. Conclusions

19  
20 Detailed AFM study of the early stages of surface relief evolution and the growth of  
21 extrusions and intrusions performed simultaneously on the specimen surface and its inverse  
22 copy obtained using plastic replicas with respect to the crystallographic orientation of  
23 individual grains in polycrystalline austenitic 316L steel cycled at room temperature with  $\varepsilon_{ap}$   
24 =  $1 \times 10^{-3}$  up to 15% of the fatigue life leads to the following conclusions:  
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- 30 (1) Localization of the cyclic plastic strain starts very early in fatigue life and PSBs are  
31 formed. Plastic strain in majority of grains is accommodated mostly by one slip system.
- 32 (2) At the very early stage of cycling ( $N < 10-50$  cycles) fine slip markings appear at sites  
33 where true PSMs develop later. In the great majority of grains most of these fine SMs  
34 become areas of permanent slip activity manifested by gradually growing PSMs.
- 35 (3) The appearance of the first PSMs corresponds to the onset of cyclic softening and to  
36 the characteristic changes of the loop shape parameter  $V_H$ .
- 37 (4) PSMs start as fine surface extrusions which later widen and heighten. After some  
38 delay (200–1 000 cycles) intrusions arise at the boundary with the matrix and deepen  
39 progressively.
- 40 (5) The first intrusions appear much more frequently at the side of extrusions where the  
41 emerging active slip plane is inclined to the surface at an acute angle.
- 42 (6) Already after 2 000 cycles (4.3%  $N_f$ ) more than 96% of extrusions are accompanied by  
43 at least one parallel intrusion (68% by two intrusions).
- 44 (7) Typical morphology of mature PSMs developed after 6 750 cycles (15%  $N_f$ ) consists  
45 of ribbon-like extrusions accompanied by two thin parallel intrusions running along  
46 PSB/matrix interfaces.  
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(8) The details of surface geometry of PSMs and the kinetics of systematic and simultaneous growth of extrusions and intrusions are in agreement with vacancy models of surface relief evolution in which the redistribution of matter between PSBs and matrix is considered.

### **Acknowledgements**

Authors are indebted to Mr. J. Dymáček for his careful performing of fatigue tests, to Dr. M. Petrevec for his help with the statistical data processing and to Dr. T. Vystavěl (FEI Company in Brno) for his skilful co-operation during the preparation and documentation of FIB sections. The support of the present work by the grants No. 106/06/1096 and No. 101/07/1500 of the Grant Agency of the Czech Republic and by the research project No. AV0Z 2041057 and grant No. 1QS200410502 of the Academy of Sciences of the Czech Republic is acknowledged.

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## Figure captions

Figure 1. Cyclic hardening/softening curve of 316L steel cycled with constant  $\varepsilon_{ap}=1\times 10^{-3}$  with indicated sequences of AFM surface relief inspection by direct observation of metallic specimen and via plastic replica.

Figure 2. Inverse pole figure as obtained by the analysis of EBSD measurement for 32 surface grains in 316L steel. Slip activities in individual grains correspond to  $N = 500$  cycles and more (cf. figure 4).

Figure 3. Schematic drawing of four possibilities of slip plane inclination relative to the specimen surface (i.e.  $x_s-y_s$  plane in the specimen co-ordinate system  $x_s-y_s-z_s$ ). The angles  $\varphi$  and  $\beta_1$  are the angles between the stress axis and the intersection of the active slip plane with the specimen surface and the plane perpendicular to the specimen surface and parallel to the stress axis, respectively.

Figure 4. Frequency of grains with different slip activities within 32 surface grains of 316L steel cycled with  $\varepsilon_{ap} = 1\times 10^{-3}$  (P and S denote primary and secondary slip system (ss), respectively).

Figure 5. Early stages of surface relief evolution within the grain No. 62 of 316L steel cycled with  $\varepsilon_{ap} = 1\times 10^{-3}$  as obtained by AFM observation of specimen surface. (a)  $N = 10$  cycles ( $0.02\% N_f$ ), (b)  $N = 50$  cycles ( $0.1\% N_f$ ), (c)  $N = 100$  cycles ( $0.2\% N_f$ ), (d)  $N = 200$  cycles ( $0.4\% N_f$ ), (e)  $N = 1000$  cycles ( $2.2\% N_f$ ), (f)  $N = 3000$  cycles ( $6.5\% N_f$ ). The scale of all micrographs is identical. The AFM images are displayed in the shadowed format. Wavy features running horizontally across some micrographs (visible particularly in figure 5(b) and 5(d)) are parallel with the scanning direction and they represent AFM feedback artefacts which do not reflect defect structure or slip topography.

Figure 6. Early stages of surface relief evolution within the grain No. 78 of 316L steel cycled with  $\varepsilon_{ap} = 1\times 10^{-3}$  as obtained by AFM using plastic replicas. (a)  $N = 350$  cycles ( $0.8\% N_f$ ), (b)  $N = 500$  cycles ( $1.1\% N_f$ ), (c)  $N = 750$  cycles ( $1.6\% N_f$ ), (d)  $N = 2000$  cycles ( $4.3\% N_f$ ). The three-dimensional AFM micrographs of plastic replicas are displayed in non-inverted format.

Figure 7. Development of surface relief profiles in the cross-section  $A$  marked in figure 5(e) as detected by AFM on the metallic specimen within the grain No. 62 of 316L steel cycled

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3 with  $\varepsilon_{ap} = 1 \times 10^{-3}$ . Profiles in the early and later stages are shown in the scale one-to-six (a)  
4 and one-to-one (b), respectively. The positions of fine SMs 7, 9 and 10 that appeared after 10  
5 cycles are indicated by the vertical dashed lines;  $\beta$  is the angle of inclination of active slip  
6 plane to the specimen surface as calculated from EBSD measurements (see table 2).  
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11 Figure 8. Number of grains with given persistency of localized cyclic slip,  $p_s$ , in specimen of  
12 316L steel cycled with  $\varepsilon_{ap} = 1 \times 10^{-3}$ .  
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16 Figure 9. Typical configurations of extrusion-intrusion pairs observed in fatigued 316L.  
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19 Figure 10. Evolution of morphology of 197 PSMs in 31 surface grains of 316L steel cycled  
20 with constant  $\varepsilon_{ap} = 1 \times 10^{-3}$ .  
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24 Figure 11. Occurrence of individual PSMs in 31 surface grains of 316L steel at the moment  
25 when the first intrusions appear.  
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28 Figure 12. SEM-FEG micrograph of a cross-sectioned individual PSM in 316L cycled with  
29  $\varepsilon_{ap} = 1 \times 10^{-3}$ . PSM was sectioned by FIB after 500 cycles and then fatigued to 2000 cycles.  
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33 Figure 13. Simultaneous growth of extrusions and intrusions in the grains of 316L steel at the  
34 beginning of cycling with  $\varepsilon_{ap} = 1 \times 10^{-3}$ . (a) the grain No. 59 – extrusion and one parallel  
35 intrusion, (b) the grain No. 52 – extrusion and two parallel intrusions.  
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39 Figure 14. Correlation between the onset of PSM appearance, cyclic hardening/softening  
40 curve and the hysteresis loop changes characterized by the loop shape parameter  $V_H$  in 316L  
41 steel fatigued with constant plastic strain amplitude. SM – slip marking, PSM – persistent slip  
42 marking.  
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47 Figure 15. Schematic illustration of the consecutive characteristic stages of surface relief  
48 evolution in 316L steel fatigued with constant plastic strain amplitude of  $1 \times 10^{-3}$  at room  
49 temperature. (a) Fine SMs with tensile (and/or compressive) slip steps, (b) true PSMs with  
50 ribbon-like “static” extrusions, (c) growing extrusions and intrusions starting at PSB/matrix  
51 interfaces, (d) mature PSMs with well developed ribbon-like extrusions accompanied by two  
52 parallel intrusions at both PSB/matrix interfaces, (e) the kinetics of the growth of extrusions  
53 and intrusions.  
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Table 1. Slip systems and their crystallographic characteristics as obtained by EBSD within 32 surface grains of fatigued 316L steel.

Grain	Stress axis†	Slip systems‡	Schmid factor $\mu$	Orientation factor $Q\%$	Angle of inclination of PSM to the stress axis $\varphi$		Angle $\beta_1\ $	Angle of slip plane to the surface $\beta$	Angle of slip direction to the surface $\alpha$
					Observed	Calculated			
50	[51 $\overline{49}$ 20]	P (111)[ $\overline{101}$ ] III	0.429	0.972	-55.2°	-55.9°	44.0°	49.4°	46.4°
51	[99 52 48]	P (111)[ $\overline{101}$ ] I	0.418	0.945	42.3°	42.3°	35.4°	46.6°	14.6°
52	[72 $\overline{57}$ 94]	P (111)[ $\overline{101}$ ] IV	0.389	0.853	-57.5°	-55.1°	-30.5°	35.7°	15.4°
		S <sub>2</sub> ( $\overline{1}\overline{1}$ 1) [011] I	0.310		22.5°	21.0°	55.0°	75.9°	22.4°
53	[ $\overline{1}$ 99 $\overline{35}$ ]	S <sub>1</sub> ( $\overline{1}$ 11) [101] III	0.490	0.996	-64.4°	-65.3°	52.0°	54.7°	32.7°
54	[83 $\overline{29}$ 11]	P (111)[ $\overline{101}$ ] III	0.494	0.931	-76.8°	-75.4°	41.9°	42.8°	41.1°
55	[48 59 $\overline{57}$ ]	P (111)[ $\overline{101}$ ] I	0.329	0.979	40.8°	42.4°	27.6°	37.8°	4.1°
56	[57 $\overline{90}$ 31]	P (111)[ $\overline{101}$ ] I	0.465	0.748	85.4°	84.3°	37.2°	37.3°	34.3°
57	[20 $\overline{77}$ 51]	P (111)[ $\overline{101}$ ] I	0.479	0.806	45.1°	46.0°	58.7°	66.4°	33.8°
58	[39 $\overline{61}$ 88]	P (111)[ $\overline{101}$ ] I	0.440	0.786	53.4°	53.6°	37.8°	43.9°	17.6°
59	[42 $\overline{94}$ 23]	P (111)[ $\overline{101}$ ] III	0.485	0.854	-87.0°	-86.1°	38.2°	38.3°	38.2°
		S <sub>2</sub> ( $\overline{1}\overline{1}$ 1) [ $\overline{101}$ ] IV	0.375		-44.0°	-40.5°	-28.0°	39.3°	19.6°
60	[46 99 $\overline{32}$ ]	P (111)[ $\overline{101}$ ] II	0.467	0.833	83.2°	79.6°	-35.2°	35.7°	33.5°
61	[93 $\overline{35}$ 27]	P (111)[ $\overline{101}$ ] I	0.466	0.899	79.8°	81.2°	34.6°	34.9°	34.3°
62	[9 51 $\overline{86}$ ]	P (111)[ $\overline{101}$ ] I	0.492	0.925	59.8°	59.4°	54.8°	58.8°	40.8°
63	[61 $\overline{65}$ 24]	P (111)[ $\overline{101}$ ] II	0.435	0.956	55.7°	56.7°	-44.7°	49.8°	46.6°
64	[12 95 $\overline{58}$ ]	P (111)[ $\overline{101}$ ] IV	0.491	0.908	-68.4°	-68.5°	-49.3°	51.4°	46.4°
		S <sub>1</sub> ( $\overline{1}$ 11) [101] I	0.446		61.2°	61.4°	73.9°	75.8°	19.9°
65	[93 9 47]	P (111)[ $\overline{101}$ ] III	0.499	0.936	-45.5°	-46.8°	80.4°	82.9°	4.3°
		S <sub>1</sub> ( $\overline{1}$ 11) [101] II	0.467		69.8°	70.2°	-59.4°	60.9°	42.2°
66	[27 $\overline{85}$ 40]	P (111)[ $\overline{101}$ ] II	0.469	0.821	35.3°	35.5°	-82.0°	85.4°	9.7°
		S <sub>1</sub> ( $\overline{1}\overline{1}$ 1) [011] III	0.385		-33.7°	-33.1°	34.1°	51.1°	15.4°
67	[6 $\overline{66}$ 67]	P (111) [ $\overline{101}$ ] I	0.426	0.986	56.3°	56.3°	65.5°	69.2°	51.9°

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3	68	$[\overline{87} \ \overline{38} \ 87]$	P	(111) $[\overline{101}]$	III	0.419	0.998	-45.8°	-44.9°	50.5°	59.8°	46.1°
4	69	$[55 \ 92 \ \overline{72}]$	P	(111) $[\overline{101}]$	III	0.392	0.865	-41.7°	-42.6°	35.1°	46.1°	36.3°
5	70	$[\overline{49} \ \overline{75} \ 54]$	P	(111) $[\overline{101}]$	IV	0.370	0.908	-27.0°	-26.8°	-66.7°	79.0°	25.9°
6			S <sub>1</sub>	( $\overline{1}\overline{1}$ 1) $[011]$	I	0.336		45.6°	44.4°	24.8°	33.5°	11.0°
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8	71	$[\overline{85} \ 21 \ 46]$	P	(111) $[\overline{101}]$	IV	0.486	0.833	-39.1°	-40.0°	-83.8°	86.0°	12.0°
9	72	$[12 \ \overline{3} \ \overline{4}]$	P	(111) $[\overline{101}]$	IV	0.471	0.902	-34.6°	-35.6°	-77.6°	82.7°	7.5°
10			S <sub>2</sub>	( $\overline{1}\overline{1}$ 1) $[101]$	I	0.414		82.4°	82.7°	58.0°	58.2°	42.1°
11												
12	73#	$[56 \ \overline{69} \ 23]$	P	(111) $[\overline{101}]$	III	0.455	0.857			-50.5°	49.2°	56.3°
13	74	$[\overline{91} \ \overline{44} \ 15]$	P	(111) $[\overline{101}]$	III	0.497	0.897	-67.9°	-68.7°	44.8°	46.8°	37.5°
14	75	$[98 \ \overline{7} \ \overline{12}]$	P	(111) $[\overline{101}]$	II	0.451	0.984	-40.8°	-40.2°	58.6°	68.5°	2.8°
15			S <sub>2</sub>	( $\overline{1}\overline{1}$ 1) $[011]$	III	0.426		39.2°	39.5°	-46.1°	58.6°	36.3°
16												
17	76	$[\overline{81} \ \overline{67} \ 44]$	P	(111) $[\overline{101}]$	II	0.408	0.890	-84.4°	-84.0°	31.8°	32.0°	26.9°
18	77	$[\overline{89} \ 65 \ \overline{5}]$	P	(111) $[\overline{101}]$	IV	0.470	0.953	-77.1°	-76.5°	-52.6°	53.4°	52.9°
19			S <sub>1</sub>	( $\overline{1}\overline{1}$ 1) $[101]$	I	0.448		62.2°	65.9°	63.6°	65.6°	26.0°
20												
21	78	$[17 \ \overline{11} \ 0]$	P	(111) $[\overline{101}]$	I	0.474	1.000	80.8°	80.3°	53.7°	54.1°	46.8°
22			S <sub>1</sub>	( $\overline{1}\overline{1}$ 1) $[101]$	II	0.474		74.0°	75.6°	-54.7°	55.5°	43.1°
23												
24	79	$[\overline{1} \ 70 \ \overline{26}]$	P	(111) $[\overline{101}]$	II	0.494	0.992	45.0°	47.8°	-80.0°	82.6°	15.8°
25			S <sub>1</sub>	( $\overline{1}\overline{1}$ 1) $[101]$	III	0.490		-76.0°	-74.7°	50.1°	51.1°	41.0°
26												
27	80	$[20 \ 90 \ \overline{59}]$	P	(111) $[\overline{101}]$	III	0.484	0.835	-53.1°	-52.5°	53.1°	59.2°	39.5°
28	81	$[41 \ 71 \ \overline{37}]$	S <sub>1</sub>	( $\overline{1}\overline{1}$ 1) $[011]$	III	0.379	0.927	-34.0°	-34.2°	33.7°	49.9°	27.0°

† Miller indices of stress axis in surface grains taken from **g**-matrices measured by EBSD were after all computations rounded off to double-figure for reasons of clarity (accuracy is higher than 0.1°).

‡ Primary (P) and secondary (S) slip systems identified after the transformation of Miller indices of stress axis into the standard stereographic triangle. S<sub>1</sub> and S<sub>2</sub> denote secondary slip systems with the second and the third highest Schmid factors, respectively. Roman numerals denote slip plane inclination relative to the specimen surface in agreement with the schematic drawing of a surface grain in figure 3.

§ Orientation factor  $Q = \mu_{s1} / \mu_p$  where  $\mu_{s1}$  and  $\mu_p$  are Schmid factors of the secondary and the primary slip systems [41].

¶ Angle  $\beta_1$  is the angle between the stress axis and the intersection of active slip plane with the side face (see figure 3).

# No visible PSMs were detected in the grain 73 after  $N=6$  750 cycles.

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Table 2. The preferential site of crack initiation and/or intrusions in individual and macro-PSMs.

Reference	Material	Type of cycling and number of cycles	Microscopic technique†	Crack initiation (intrusion) site	Side A or side B‡
Hempel (1956) [65]	plain carbon steel (0.09% C, 0.01% Si, 0.62% Mn)	reversed plane-bending $N = 250,000$	TEM, plastic replica taken from longitudinal section after electroplating	at interface*	B*
Wood (1959) [66]	polycrystalline Cu	alternating torsion $N = 0.1N_f$	OM, taper-sectioning technique	at interface*	A/B*
Boettner and McEvily (1965) [67]	Fe-Si alloy single crystals	cantilever beam; fully reversed bending $N$ not specified	OM, taper-sectioning technique	at interface	B
Laufer and Roberts (1966) [68]	Cu single crystals	plane bending $N = 20,000$ ( $\sim 0.01N_f$ )	OM, taper-sectioning technique	at interface and quasi-periodic alternations of intrusions and extrusions along individual PSM	B
Neumann (1967) [69]	Cu single crystals	push-pull; load control $N = 200,000$	OM, interferometry	at interface§	A§
Lukáš and Klesnil (1970) [70]	Cu-Zn alloy single crystals	push-pull; load control $N = 0.5N_f$	TEM, surface foils after electroplating	at interface*	B*#
Dönch and Haasen (1971) [71]	Cu single crystals	push-pull; load control $N > 300,000$	OM, interferometry	at interface§	B§
Katagiri et al. (1977) [72]	polycrystalline Cu	plane bending; load control $N \approx 800,000$ ( $0.8N_f$ )	TEM, surface foils after electroplating	at interface*	A or B*#
Hahn and Duquette (1978) [73]	Cu single crystals	push-pull; load control $N = 100,000$ ( $\sim 0.07N_f$ )	OM, longitudinal section after electroplating	at interface	A
Hunsche and Neumann (1981) [42]	Cu single crystals	push-pull; load control $N \approx 300,000$	SEM, direct observation of specimen surface	at interface	A
Mughrabi et al. (1983) [43]	Cu single crystals	push-pull; constant plastic strain $N = 1000$ or $134,000$	SEM, direct observation of specimen surface	at interface	A
Basinski and Basinski (1984) [44]	Cu single crystals	push-pull; constant plastic strain $N = 5000$ and more	SEM, sharp-corner polishing technique	at interface§ and everywhere within macro-PSM	both§
Polák et al. (1985) [74]	Cu single crystals	push-pull; constant plastic strain increasing at three levels; total $N = 43,000$	SEM, direct observation of specimen surface	individual PSMs: at interface and quasi-periodic alternations of intrusions and extrusions within PSM macro-PSM: at interface and within macro-PSM§	A or A/B both§

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3	Hunsche and	Cu single crystals	push-pull; stress control	SEM, section micromilling	at interface§	both§; early close
4	Neumann (1986) [75]		during cyclic hardening	technique		to A, later longer
5			followed by plastic strain			cracks observed
6			control; $N = 30,000$ ( $0.25N_f$ )			close to B
7			and $60,000$ ( $0.5N_f$ )			
8	Ma and Laird (1989)	Cu single crystals	push-pull; constant plastic	SEM, sharp-corner polishing	at interface§	both§; longer
9	[45]		strain	technique		cracks
10			$N = 30,000$ and more			preferentially at B
11	Basinski and Basinski	Cu single crystals	push-pull; constant plastic	SEM, sharp-corner polishing	at interface§¶	B§
12	(1989) [76]		strain; various $N$ for	technique		
13			different temperatures			
14	Dickson et al. (1993)	polycrystalline Cu	push-pull; constant plastic	SEM, direct observation of	at interface	A (more often) or
15	[77]	and $\alpha$ -brass	strain	specimen surface		B or A/B
16			$N$ not specified			
17	Polák and Kruml	polycrystalline 316L	push-pull; constant plastic	SEM-FEG, S and its tilting	at interface and quasi-periodic	A/B
18	(1998) [55] (see also	steel	strain		alternations of intrusions and	
19	[8])		$N \approx 0.2N_f$		extrusions along individual PSM	
20	Polák et al. (2003)	polycrystalline 316L	push-pull; constant plastic	AFM and SEM-FEG, S + R	at interface	A/B or B (deeper
21	[21,36]	steel	strain	and their tilting in the SEM-		intrusions always
22			$N = 20,000 = N_f$	FEG		at B)
23	Man et al. (2004) [29]	polycrystalline	push-pull; constant plastic	AFM, S + R	at interface and quasi-periodic	A/B or B
24		ferritic steel	strain	SEM-FEG, S and its tilting	alternations of intrusions and	
25			$N = 9,000 = N_f$		extrusions along individual PSM	

†TEM = transmission electron microscopy, OM = optical microscopy, SEM = scanning electron microscopy, SEM-FEG = high resolution SEM equipped with field emission gun, AFM = atomic force microscopy, S = specimen surface, R = plastic replica. For details and references to some specific experimental techniques see table 1 in [2].

‡Typical morphologies of individual PSMs (in some cases already containing also initiated cracks) are denoted according to division in figure 8.

\*Deduced from published micrographs.

§Interface between macro-PSMs (i.e. protrusions) and surrounding undeformed matrix. The marking of sides of macro-PSMs A or B is identical as in the case of individual PSMs (c.f. figure 2 in [2] and figure 8).

¶For fatigue at temperatures about from 15 K to 250 K in which type II PSB profiles form according to the Basinskis.

# Only intrusion without any extrusion is apparent at the intersection of PSB with the specimen surface.

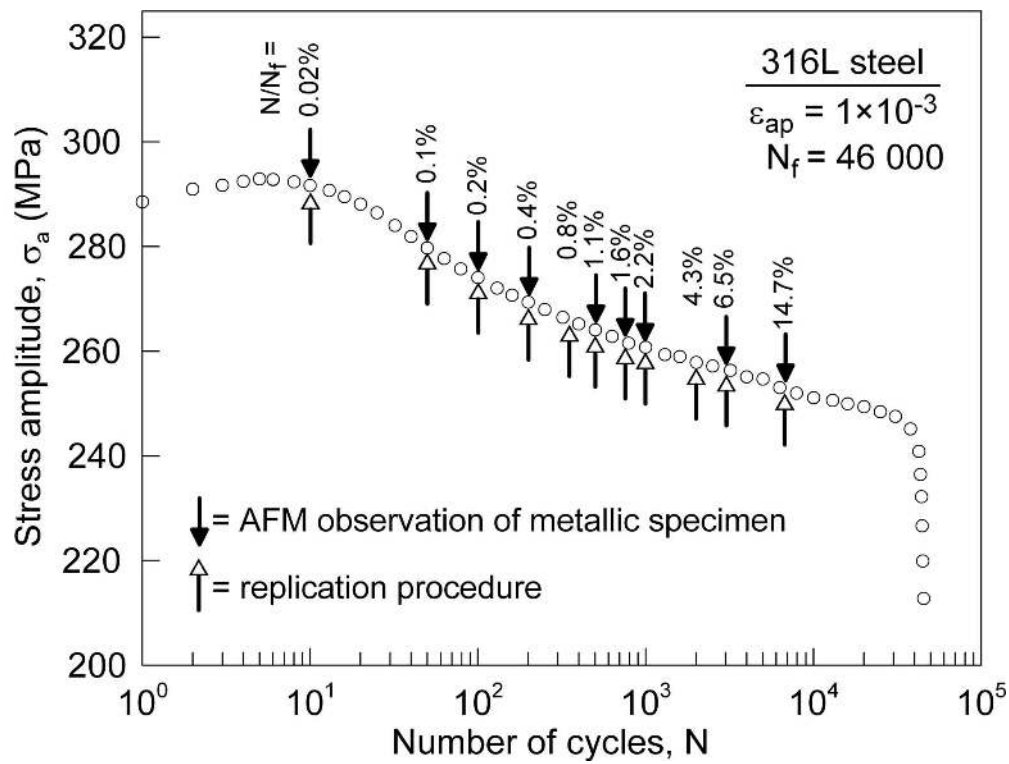


Figure 1. Cyclic hardening/softening curve of 316L steel cycled with constant  $\epsilon_{ap}=1 \times 10^{-3}$  with indicated sequences of AFM surface relief inspection by direct observation of metallic specimen and via plastic replica.  
75x56mm (600 x 600 DPI)



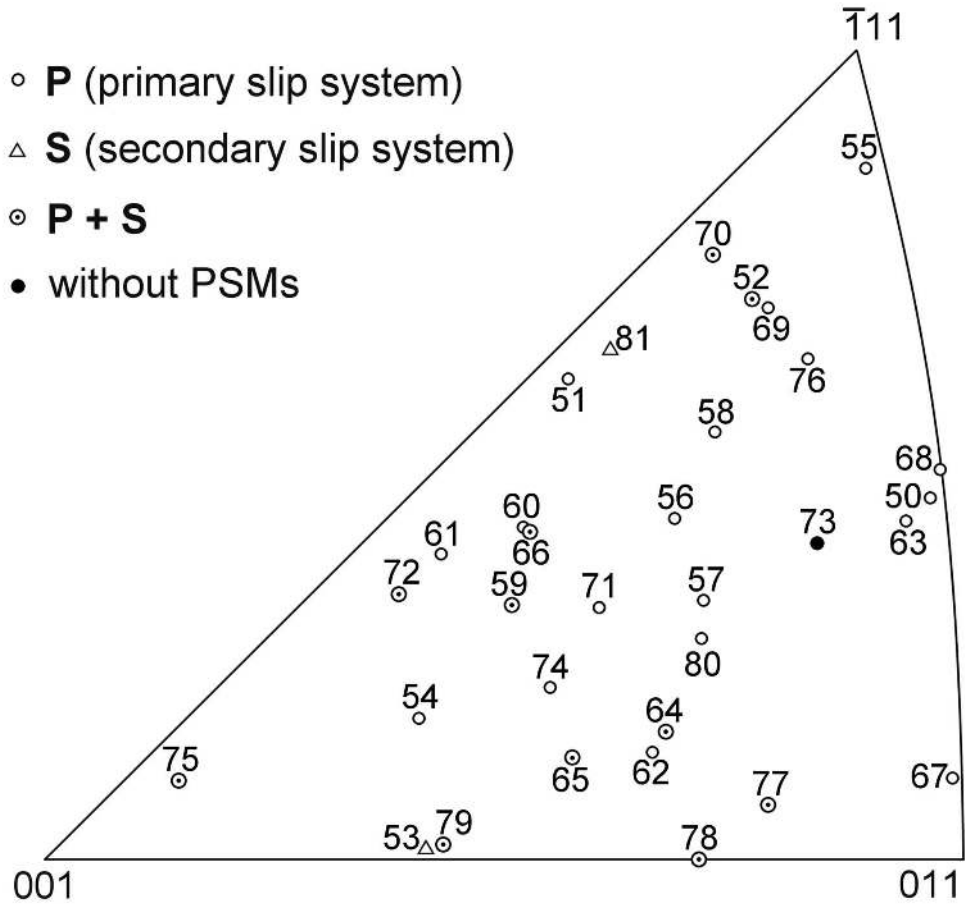
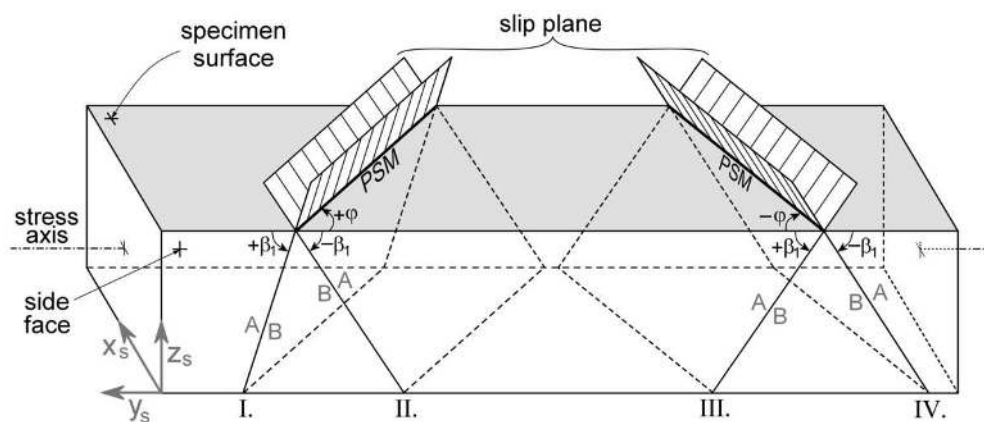


Figure 2. Inverse pole figure as obtained by the analysis of EBSD measurement for 32 surface grains in 316L steel. Slip activities in individual grains correspond to  $N = 500$  cycles and more (cf. figure 4).

74x68mm (600 x 600 DPI)

PM



Schematic drawing of four possibilities of slip plane inclination relative to the specimen surface (i.e.  $x_s$ - $y_s$  plane in the specimen co-ordinate system  $x_s$ - $y_s$ - $z_s$ ). The angles  $\varphi$  and  $\beta_1$  are the angles between the stress axis and the intersection of active slip plane with the specimen surface and the plane perpendicular to the specimen surface and parallel to the stress axis, respectively.  
51x21mm (600 x 600 DPI)

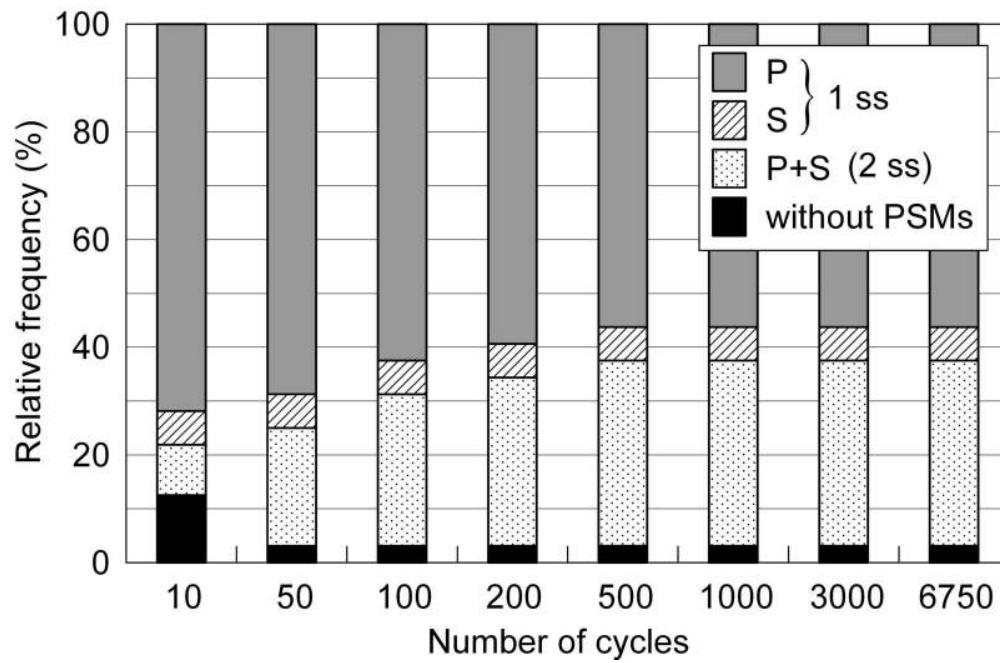


Figure 4. Frequency of grains with different slip activities within 32 surface grains of 316L steel cycled with  $\epsilon_{ap}=1 \times 10^{-3}$  (P and S denote primary and secondary slip system (ss), respectively). 67x44mm (600 x 600 DPI)

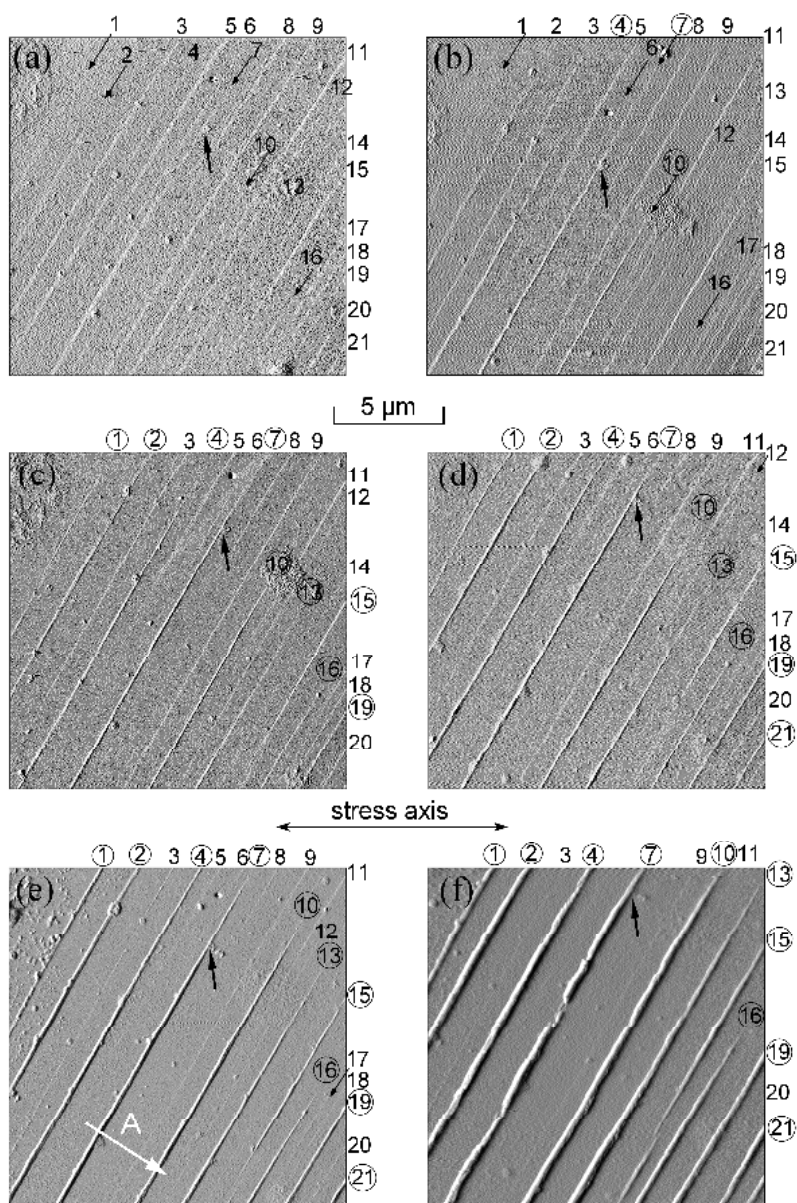


Figure 5. Early stages of surface relief evolution within the grain No. 62 of 316L steel cycled with  $\epsilon_{ap}=1 \times 10^{-3}$  as obtained by AFM observation of specimen surface. (a)  $N = 10$  cycles (0.02%  $N_f$ ), (b)  $N = 50$  cycles (0.1%  $N_f$ ), (c)  $N = 100$  cycles (0.2%  $N_f$ ), (d)  $N = 200$  cycles (0.4%  $N_f$ ), (e)  $N = 1000$  cycles (2.2%  $N_f$ ), (f)  $N = 3000$  cycles (6.5%  $N_f$ ). The scale of all micrographs is identical. The AFM images are displayed in the shadowed format. Wavy features running horizontally across some micrographs (visible particularly in figure 5(b) and 5(d)) are parallel with the scanning direction and they represent AFM feedback artefacts which do not reflect defect structure or slip topography. 125x188mm (600 x 600 DPI)

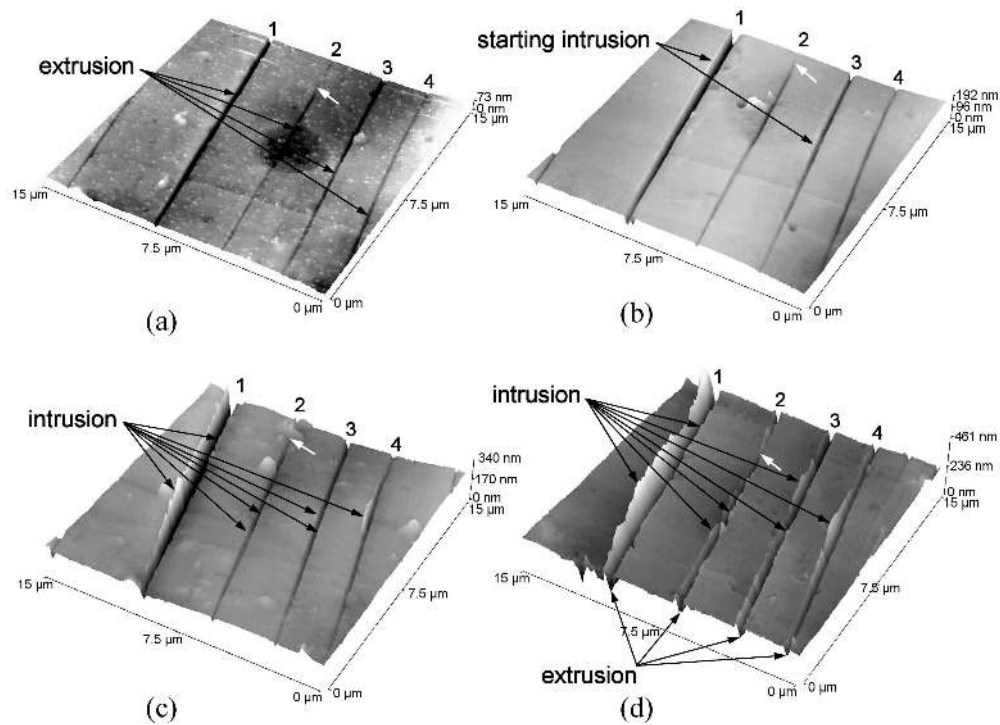


Figure 6. Early stages of surface relief evolution within the grain No. 78 of 316L steel cycled with  $\epsilon_{ap}=1 \times 10^{-3}$  as obtained by AFM using plastic replicas. (a)  $N = 350$  cycles ( $0.8\% N_f$ ), (b)  $N = 500$  cycles ( $1.1\% N_f$ ), (c)  $N = 750$  cycles ( $1.6\% N_f$ ), (d)  $N = 2000$  cycles ( $4.3\% N_f$ ). The three-dimensional AFM micrographs of plastic replicas are displayed in non-inverted format. 107x77mm (600 x 600 DPI)

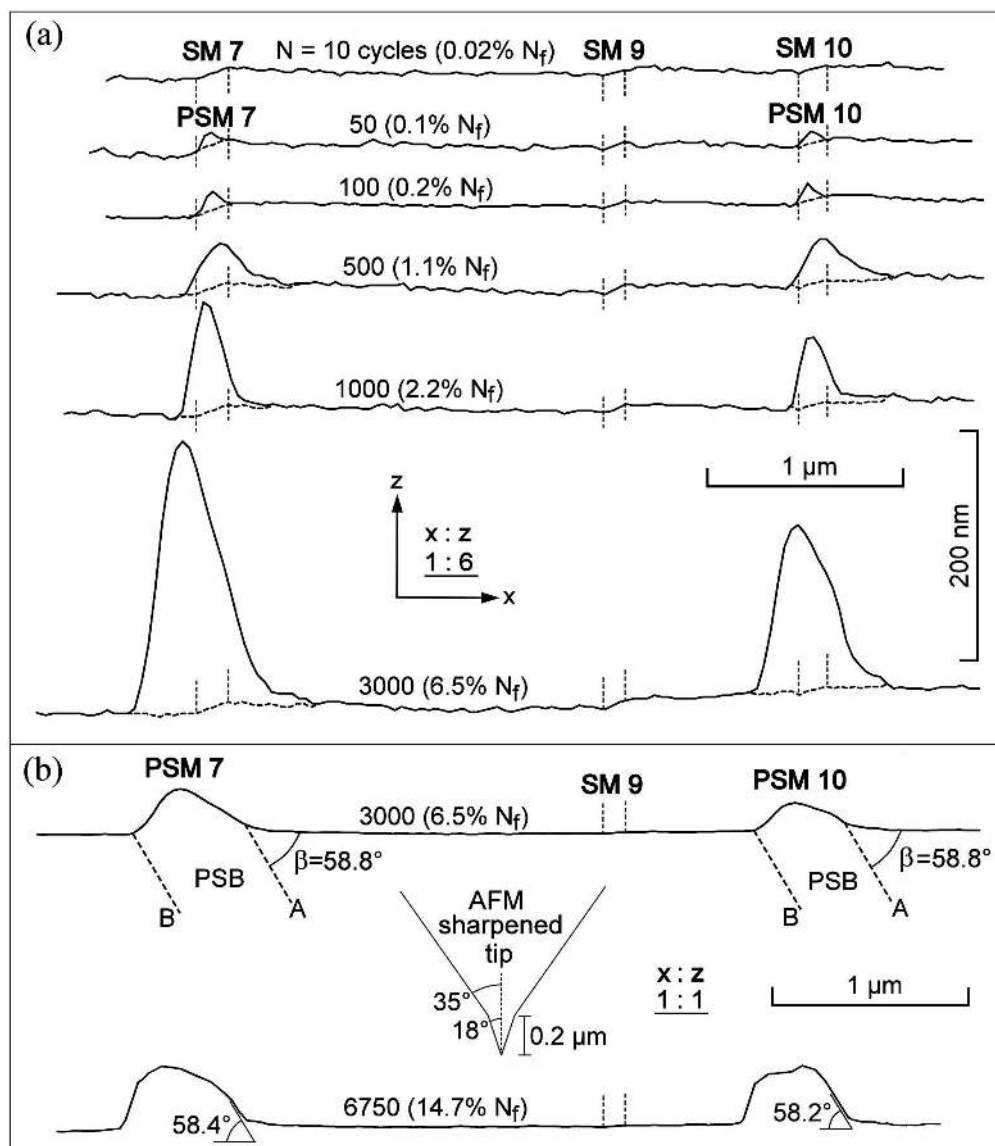
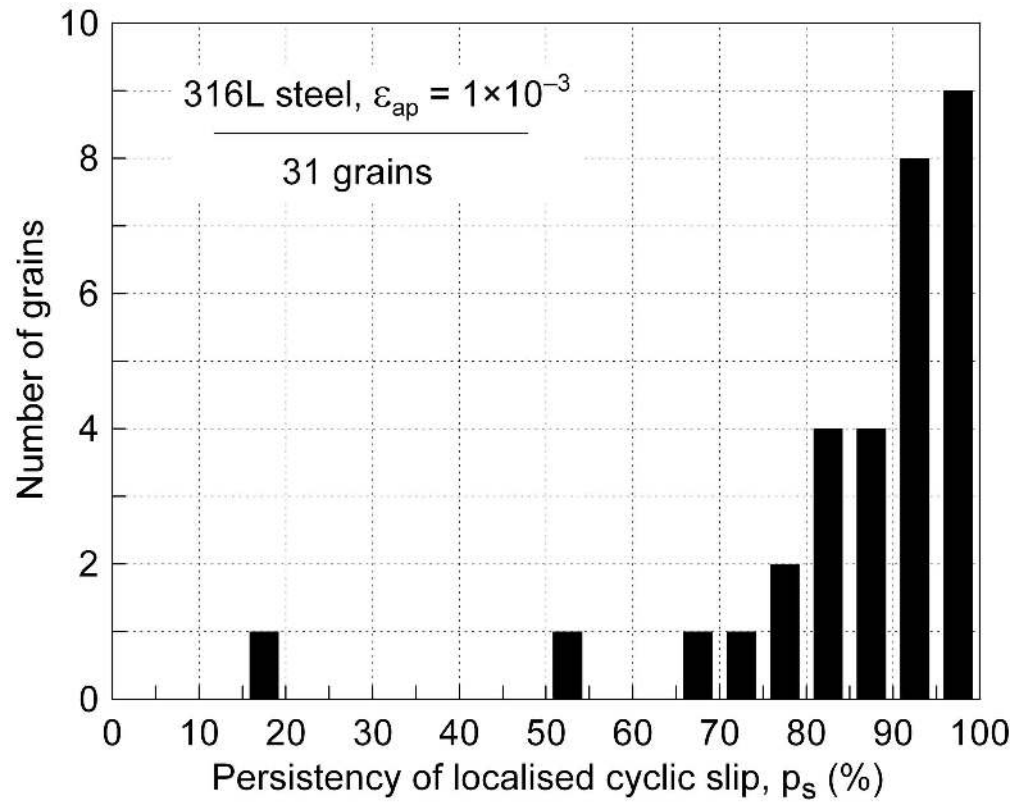


Figure 7. Development of surface relief profiles in the cross-section A marked in figure 5(e) as detected by AFM on the metallic specimen within the grain No. 62 of 316L steel cycled with  $\epsilon_{ad} = 1 \times 10^{-3}$ . Profiles in the early and later stages are shown in the scale one-to-six (a) and one-to-one (b), respectively. The positions of fine SMs 7, 9 and 10 that appeared after 10 cycles are indicated by the vertical dashed lines;  $\beta$  is the angle of inclination of active slip plane to the specimen surface as calculated from EBSD measurements (see table 2).  
146x167mm (600 x 600 DPI)



35 Figure 8. Number of grains with given persistency of localized cyclic slip,  $p_s$ , in specimen of 316L  
36 steel cycled with  $\epsilon_{ap} = 1 \times 10^{-3}$ .  
37 92x74mm (600 x 600 DPI)

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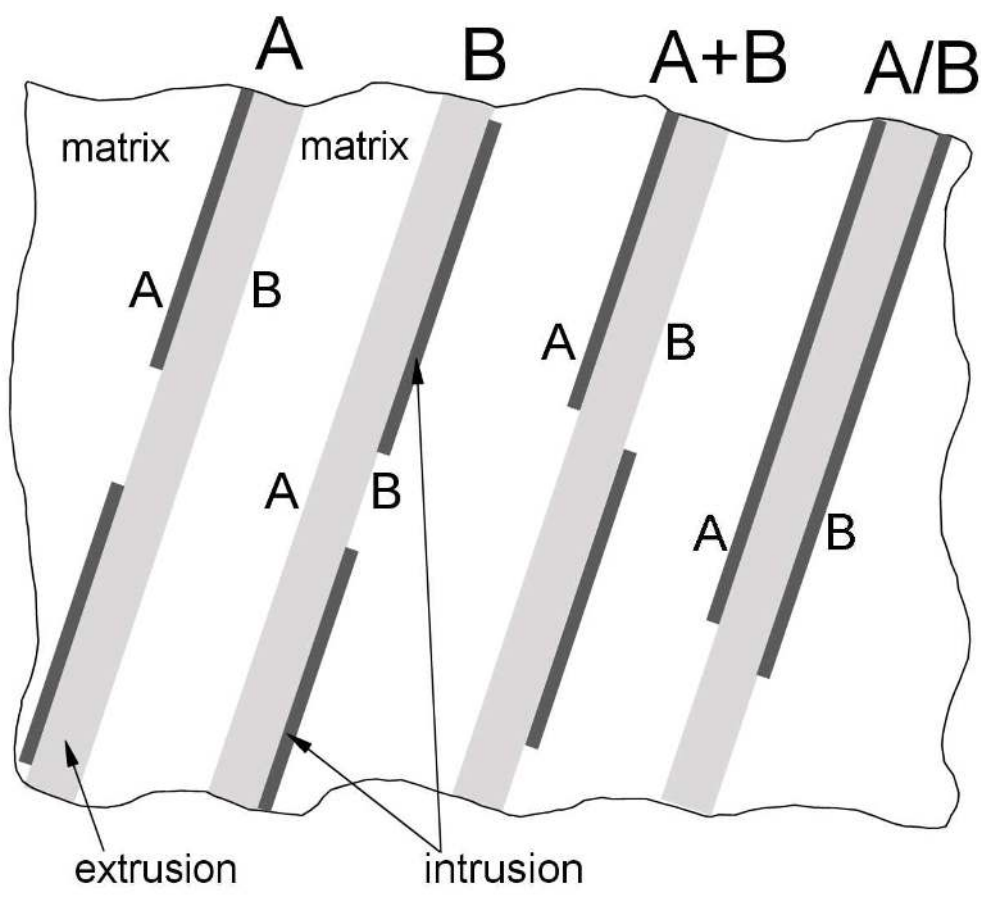


Figure 9. Typical configurations of extrusion-intrusion pairs observed in fatigued 316L.  
75x67mm (400 x 400 DPI)

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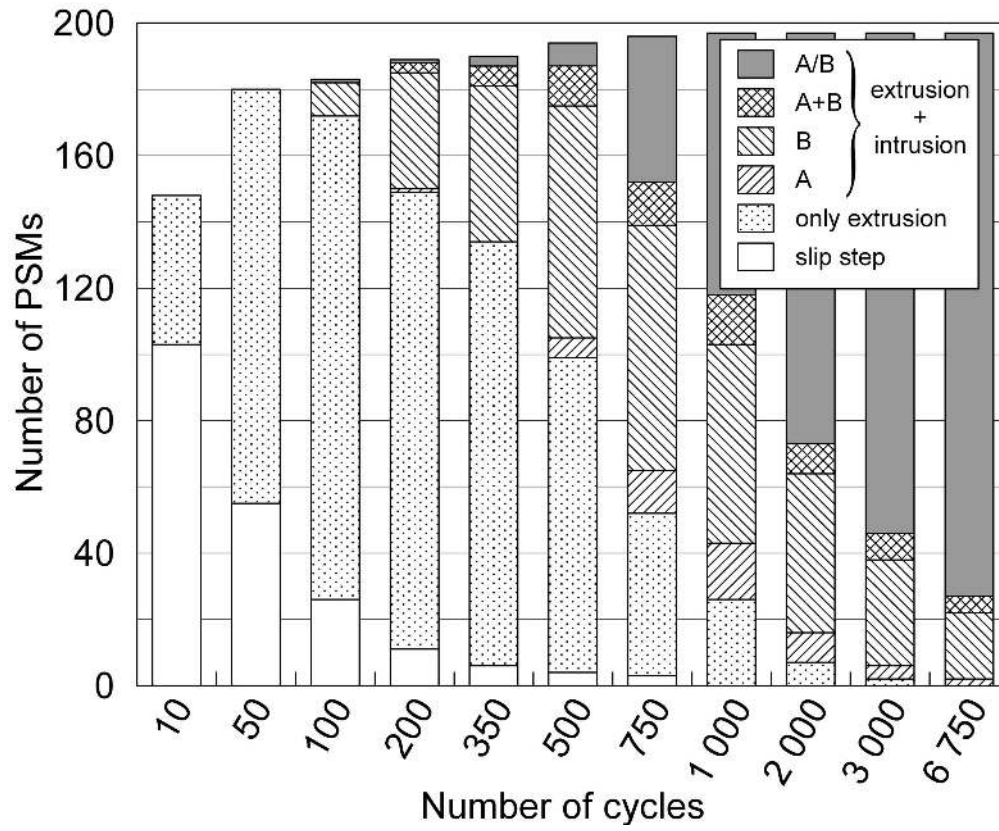


Figure 10. Evolution of morphology of 197 PSMs in 31 surface grains of 316L steel cycled with constant  $\epsilon_{ap}=1 \times 10^{-3}$ . 94x77mm (600 x 600 DPI)

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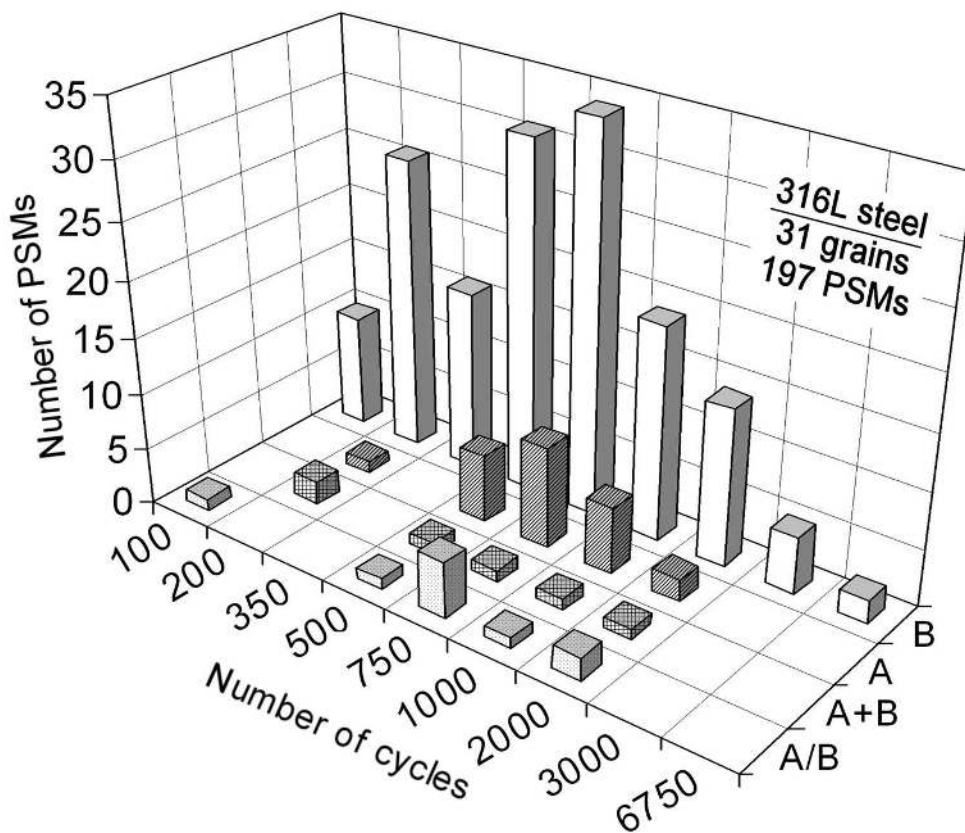


Figure 11. Occurrence of individual PSMs in 31 surface grains of 316L steel at the moment when the first intrusions appear. 59x50mm (600 x 600 DPI)

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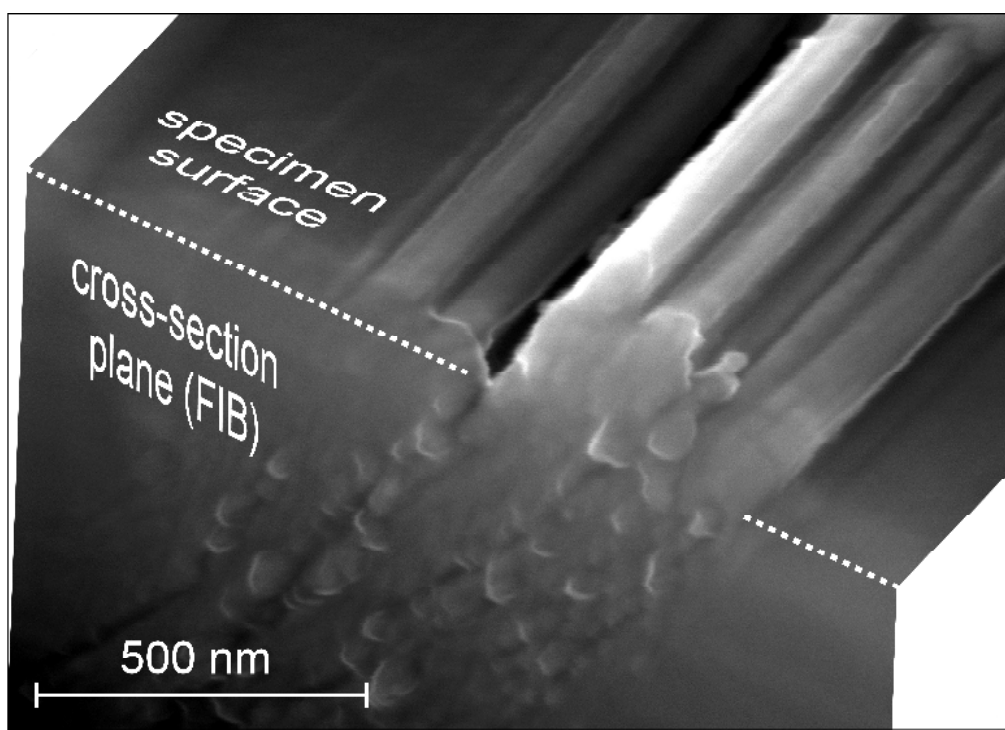


Figure 12. SEM-FEG micrograph of a cross-sectioned individual PSM in 316L cycled with  $\epsilon_{ad}=1 \times 10^{-3}$ . PSM was sectioned by FIB after 500 cycles and then fatigued to 2000 cycles.

iew Only

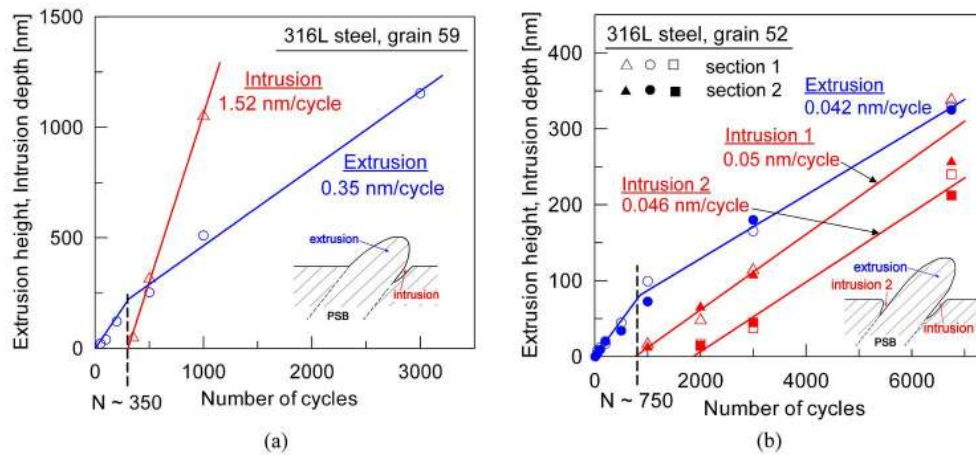


Figure 13. Simultaneous growth of extrusions and intrusions in the grains of 316L steel at the beginning of cycling with  $\varepsilon_{ap} = 1 \times 10^{-3}$ . (a) the grain No. 59 - extrusion and one parallel intrusion, (b) the grain No. 52 - extrusion and two parallel intrusions. 63x29mm (600 x 600 DPI)

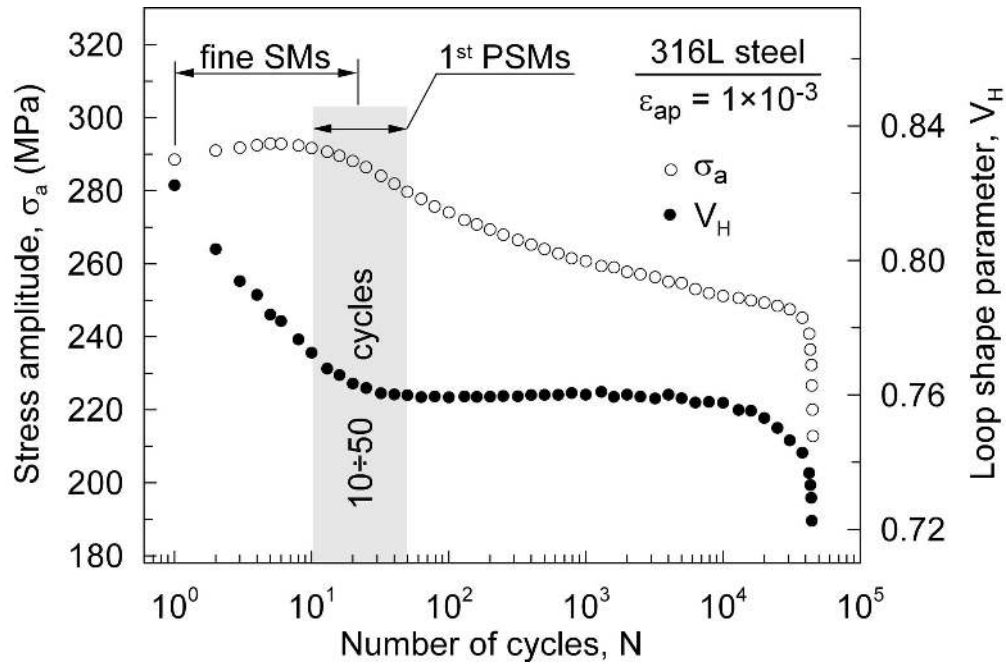


Figure 14. Correlation between the onset of PSM appearance, cyclic hardening/softening curve and the hysteresis loop changes characterized by the loop shape parameter  $V_H$  in 316L steel fatigued with constant plastic strain amplitude. SM - slip marking, PSM - persistent slip marking.  
82x54mm (600 x 600 DPI)

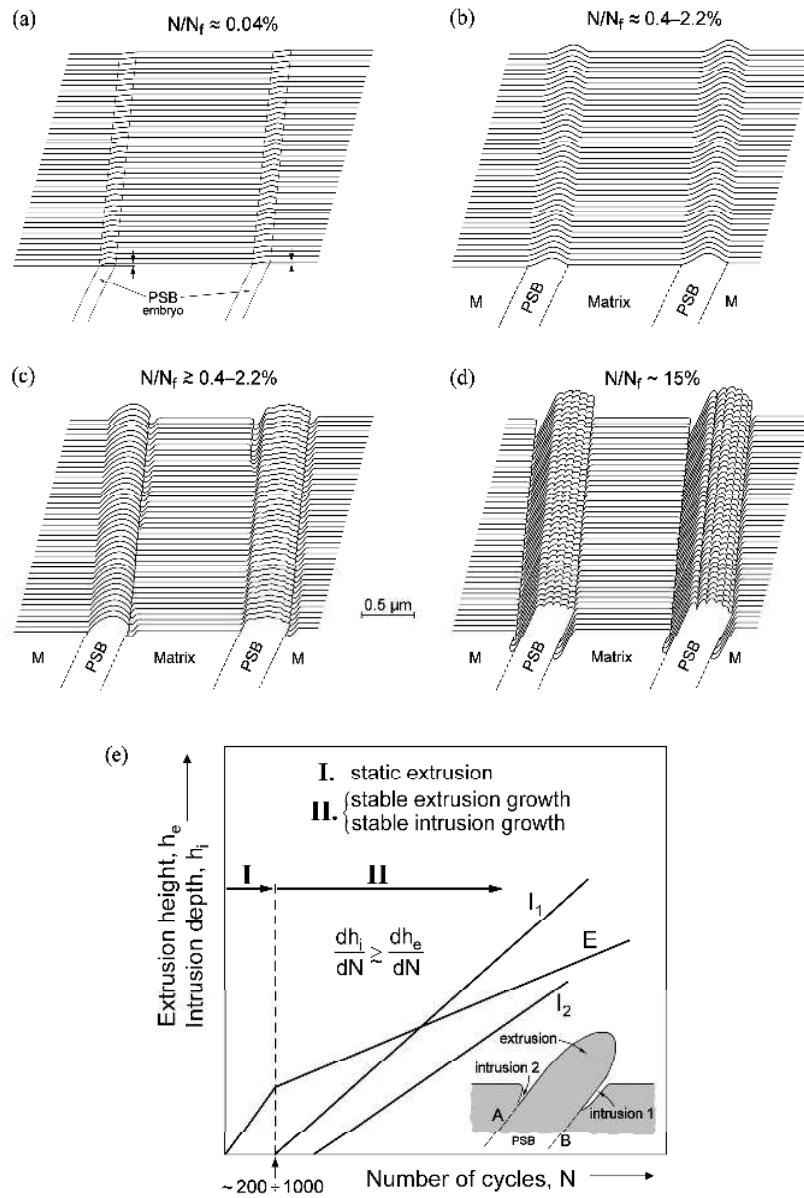


Figure 15. Schematic illustration of the consecutive characteristic stages of surface relief evolution in 316L steel fatigued with constant plastic strain amplitude of  $1 \times 10^{-3}$  at room temperature. (a) Fine SMs with tensile (and/or compressive) slip steps, (b) true PSMs with ribbon-like "static" extrusions, (c) growing extrusions and intrusions starting at PSB/matrix interfaces, (d) mature PSMs with well developed ribbon-like extrusions accompanied by two parallel intrusions at both PSB/matrix interfaces, (e) the kinetics of the growth of extrusions and intrusions.  
143x210mm (600 x 600 DPI)