# Fast Floorplanning by Look-Ahead Enabled Recursive Bipartitioning 

Jason Cong, Fellow, IEEE, Michail Romesis, Member, IEEE, and Joseph R. Shinnerl, Member, IEEE


#### Abstract

A new paradigm is introduced for floorplanning any combination of fixed-shape and variable-shape blocks under tight fixed-outline area constraints and a wirelength objective. Dramatic improvement over traditional floorplanning methods is achieved by the explicit construction of strictly legal layouts for every partition block at every level of a cutsize-driven top-down hierarchy. By scalably incorporating legalization into the hierarchical flow, post hoc legalization is successfully eliminated. For large floorplanning benchmarks, an implementation, called partitioning to optimize module arrangement (PATOMA), generates solutions with half the wirelength of state-of-the-art floorplanners in orders of magnitude less run time. Experiments on standard Gigascale Systems Research Center benchmarks compare PATOMA to the Capo macro placer, the Traffic floorplanner, and to both the default and high-effort modes of the Parquet 4.0 floorplanner. With all blocks hard, PATOMA's average wirelength is comparable to the high-effort mode of Parquet 4.0 floorplanner and Capo, while PATOMA runs significantly faster. With all blocks soft, PATOMA produces wirelength $9 \%$ shorter on average than that of Parquet's default mode, and PATOMA runs seven times faster. For a new set of benchmarks with a mix of 500 to 2000 hard and soft blocks, PATOMA produces results with wirelengths roughly half of Parquet's, with a speedup of almost $200 \times$.


Index Terms-Floorplanning, optimization, partitioning, physical design.

## I. Introduction

GIVEN a collection of interconnected variable-dimension "soft" rectangular blocks and fixed-dimension "hard" rectangular blocks, each block with its own prescribed fixed area, the floorplanning problem is to shape the soft blocks and arrange them together with the hard blocks in the plane without overlap. The objective is typically to minimize: 1) the estimated total wirelength of the circuit; 2) its estimated timing performance; 3) the area of the smallest rectangle circumscribing the blocks; or, usually, 4) some combination of these. The most useful algorithms target wirelength minimization under a fixed outline constraint, the aspect ratios of the soft blocks constrained by simple upper and lower bounds.

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J. Cong and J. R. Shinnerl are with the Computer Science Department, University of California, Los Angeles, CA 90095 USA (e-mail: cong@ cs.ucla.edu; shinnerl@cs.ucla.edu).
M. Romesis is with Magma Design Automation, Eindhoven, 5615 LE, The Netherlands (e-mail: michalis@magma-da.com).

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Fast floorplanning is critical in the hierarchical physical design of very large-scale integration (VLSI) circuits, for two reasons. First, system designers require a means of rapidly estimating the variation in performance of alternative architectures and logic designs. Second, multilevel [10], [11], [21], [32] and mixed-size [3], [4], [20], [23], [34] placement algorithms typically solve some form of floorplanning problem at the coarsest level of approximation in order to generate an initial coarse placement for subsequent iterative refinement. With the reuse of intellectual property (IP) blocks for multimillion-gate application-specific integrated circuits (ASICs) and system-on-a-chip (SoC) designs, most modern IC designs consist of a very large number of standard cells mixed with many big macros, such as ROMs, RAMs, and IP blocks. When clusters of standard cells are placed simultaneously with macros, the clusters may be treated as soft blocks [3], [4].

Many floorplanning algorithms have been developed in recent years, varying mostly in the representation of geometric relationships among modules. They can be divided into two major categories: slicing and general algorithms. The first slicing algorithms were developed in the 1980s [28], [39]. In the 1990s, general algorithms that do not require slicing cuts became more popular, especially after the introduction of the bounded slicing grids (BSG) [27] and sequence pair [26] representations. Other nonslicing representations include transitive closure graphbased (TCG) [25], B*-tree [12], corner block list (CBL) [17], O-tree [15], [29], and so on. With the exception of the O-tree-based work [15], [29], simulated annealing (SA) has been used to minimize the area and/or the wirelength under each of these representations. The floorplanner employing the O-tree representation [15], [29] is deterministic, but it does not support a fixed-outline constraint, and its run time is $\mathcal{O}\left(n^{2}\right)$ in the number of blocks.

The precise purpose and the best formulation of floorplanning are subject to some debate. In 2000, Kahng [18] cited five key problems with conventional approaches: 1) packing-driven rather than connectivity-driven algorithms and benchmarks; 2) an unnecessary restriction to rectangular shapes, including "L" or "T" shapes; 3) a lack of attention to scalability; 4) the inability to handle a fixed-outline constraint; 5) the lack of attention to the register-transfer level (RTL)-down methodology context. Recent efforts [2] to address some of these concerns have met with only partial success, highlighting in particular the difficulty of simultaneously considering 3 ) and 4). In this context, our paper can be viewed as a way of achieving scalability under even the tightest fixedoutline constraint. Surprisingly, our results are obtained without recourse to nonrectangular shapes. Moreover, in contrast to

1) our method shows how scalable area-driven floorplanners can support an extremely robust connectivity driven flow.

Until a few years ago, the inherent slowness of SA was partially hidden by the lack of any need to floorplan more than 100 blocks at a time. Recently, however, growing numbers of IP blocks have increased the sizes of most floorplanning instances, prompting researchers to seek nonstochastic approaches. Ranjan et al. [31] propose a two-stage fast floorplanning algorithm. In the first stage, a hierarchy is generated by topdown recursive bipartitioning. Cutline orientations are selected from the bottom up in a way that keeps subregion aspect ratios close to one. In the second stage, low-temperature SA improves the wirelength by reshaping the blocks to produce a more compact layout. Finally, the total wirelength is comparable to or better than that obtained by an SA-based algorithm [39], with speed up of over $1000 \times$ in predictor mode (high speed) and $20 \times$ in constructor mode (high efsfort). More recently, a fast algorithm called Traffic [33] has been used to generate compact, low-wirelength floorplans without SA. Traffic also uses two stages. In the first stage, the blocks are divided into layers by linear multiway partitioning. In the second stage, every layer is optimized individually; the blocks in each layer are separately arranged into rows and then moved among the rows to balance row widths and reduce wirelength. In the end, pairs of rows are squeezed tightly after being transformed into trapezoids. This final step leads to very compact floorplans, but it also increases the wirelength, because the cells are ordered according to their heights.

The impressive speedups obtained by the last two algorithms raise the question of whether a fast deterministic approach can be used to replace the widely used SA engine with the same or better solution quality on fixed-outline problems. Fast recursive cutsize-driven area bisection by multilevel netlist partitioning is very successfully and widely used in large-scale placement [7], [8], [23], [37]. To date, however, the quality of bisection-based floorplanners has generally fallen short of that of the best SAbased implementations. We believe that this deficiency has been caused largely by a thorny legalization problem that traditionally accompanies the recursive bipartitioning paradigm. Legalization is the process of transforming the end result of recursive bipartitioning, or any so-called global floorplan, which may still contain overlap and/or other constraint violations, to a configuration in which all shape nonoverlap floorplanning boundary constraints are strictly satisfied. As commonly practiced, floorplanning by recursive bipartitioning makes no guarantee that the blocks assigned to a subregion can actually be shaped and arranged there without overlap. In this scenario, defining base cases may be difficult, as many base cases may fail to have legal solutions. Local refinement usually suffices to legalize, but, in general, some form of global legalization strategy is necessary to ensure proper termination. Recently, reported progress in the legalization of mixed-size [23] placements depends on the significant amount of available white space ( $20 \%$ or more). When the area constraints are tight, legalization based on local heuristics alone usually increases the wirelength significantly, if it succeeds at all.

The main idea of the work presented here is simple. The legalizability of a given instance is explicitly determined first,
by construction, in fast and scalable run time, before any wirelength optimization is attempted. ${ }^{1}$ Once a legal floorplan has been constructed, it is recursively replaced by legal floorplans of decreasing wirelength. While this approach might appear to sacrifice the wirelength for increased robustness on low white space fixed-outline designs, our empirical results show decreased total wirelength compared to that of the leading topdown tools. For example, our implementation beats a leading SA-based engine on the gigascale systems research center benchmarks by $10 \%-20 \%$ in average wirelength and by orders of magnitude in run time. Thus, the contribution of this work is not only a methodology for enhanced robustness for fixedoutline top-down floorplanning, but also a means to scalably attain solutions of superior quality, as measured by the total half-perimeter wirelength.

CPLACE [35] is the first partitioning-based placer to incorporate explicit legalization into every level of the top-down partitioning hierarchy. In CPLACE, this progressive legalization supports accurate modeling of complex constraints such as "irregular images, fixed objects, fixed IOs, large objects, timing-driven placement, and free-space distribution." But in CPLACE, legalization at each level is performed after partitioning, without any formal assurance of its success. In contrast to CPLACE, partitioning to optimize module arrangement (PATOMA) (pronounced PAH-toh-ma from the Greek for "floor") is more narrowly focused on wirelength minimization under a formal assurance of legal termination, which is obtained by applying legalization at each level before the cut-size driven partitioning.

The most similar work to our look-ahead enabled recursive bipartitioning flow is found in Capo 9.0 [4] for mixed-size placement and floorplanning. Capo 9.0 proceeds top down by cutsize-driven recursive bipartitioning until certain $a d$ hoc tests suggest that newly generated subproblems may be difficult to legalize. At that point, standard cells in each subproblem are clustered, and these clusters are treated as soft macros. SA-based fixed-outline floorplanning is then attempted on the hard macros and soft clusters for the given subregion. If it succeeds, the locations of the macros are then fixed, and further refinement proceeds on the declustered soft macros. If it fails, then the subproblem is merged with its sibling, the previous partition of the parent subproblem is discarded, and floorplanning is attempted for the parent subproblem. In principle, this backtracking may continue repeatedly until some ancestor is successfully floorplanned or until failure at the top level occurs. In practice, the $a d$ hoc tests used to determine when to commence floorplanning are observed to be good enough that backtracking is only rarely needed.

However, as the tests are set conservatively, their use seems likely to increase the wirelength by fixing the macro positions somewhat earlier than necessary. Moreover, while they typically prevent backtracking, they provide no guarantee of such prevention. Essentially, the ad hoc tests amount to a tradeoff

[^0]between: 1) robustness and 2) quality and run time. The main differences between our approach and that of Capo 9.0 can be summarized as follows.

1) In our method, neither ad hoc tests nor backtracking is ever needed, and thus unnecessary tradeoffs associated with such a flow are avoided.
2) Our look-ahead floorplanners explicitly compute the feasible floorplans to all subproblems to which cutsizedriven partitioning is applied, before it is applied.
3) Capo 9.0 still employs a simulated-annealing engine to do floorplanning, while our method is totally deterministic and is guaranteed scalable in all cases.
4) In our method, a failure to obtain a legal solution is extremely rare. If such a failure occurs, however, it occurs at the initialization of the flow, and therefore in much less run time than would be used by a success on the same circuit.
In its current implementation, our method generates slicing floorplans only. The generality of slicing floorplans augmented by simple compaction has been shown by Lai and Wong [24]. The results presented here confirm that a good slicing algorithm can produce superior results, even without any compaction, particularly on relatively large floorplanning instances. It is possible to extend our method to the construction of general nonslicing floorplans; however, such extensions are not considered here.

The paper is organized as follows. Section II gives an overview of our implementation called PATOMA. Section III describes a zero-dead-space (ZDS) floorplanning algorithm for soft blocks and proves its properties. Section IV presents the adaptation of ZDS to wirelength minimization in PATOMA. Section V describes the row-oriented block packing (ROB) heuristic for floorplanning a combination of hard and soft blocks. Section VI compares PATOMA's performance with that of Parquet [1] and other nonannealing-based floorplanners. The paper is concluded in Section VII.

## II. Overview of PATOMA Algorithm

PATOMA attempts to minimize the total wirelength under a fixed-outline area constraint. It couples top-down cutsize-driven recursive bipartitioning with fast area-driven floorplanning on all subproblems. The flow is outlined in Fig. 1.

The initialization stage is the explicit construction of a legal floorplan in extremely fast linear time by simple block-packing heuristics. Because floorplanning is NP-hard, even in the absence of any netlist connectivity, the success of this initialization cannot be guaranteed a priori. In practice, however, we have not observed any failure on any circuit, even with as little as $1 \%$ white space. With the relative amount of white space held constant, a large set of blocks is empirically observed to be much easier to legally floorplan than a small set of blocks. Therefore, placing the burden of legalization at the top level of hierarchy, with all blocks present, greatly enhances robustness. The success of this initialization stage constitutes a guarantee of the legal termination of the main stage (discussed next).

The main stage is a recursive cutsize-driven bisection in which the satisfiability of all constraints is explicitly en-
forced at every step. At every level of the cutsize-driven areabipartitioning hierarchy, each node corresponds to a subset of blocks assigned by terminal propagation to a specific rectangular subregion of the chip. The invariant that the parent of every subproblem has a legal floorplan is maintained as follows. Before each application of cutsize-driven bipartitioning, one of two separate fast area-driven floorplanners, ZDS or ROB, is used to check whether the given subproblem can be legalized. The fast floorplanner determines by a slicing construction whether the blocks assigned to each given subregion can in fact be shaped and laid out within that subregion without overlap. If so, then recursive cutsize-driven area bipartitioning continues in both subregions at the current level. If not, then the cutsize-driven solution at that level is discarded, and a wirelength-reducing symmetry of the previously computed legal "look-ahead" solution to the parent subproblem is used instead. Thus, a legal solution strictly satisfying all nonoverlapping, area, and shape constraints, is explicitly and separately constructed for every subproblem at each level.

The legalized parent of a failed subproblem constitutes an interrupt case because the cutsize-driven partition computed for it cannot be accepted as is. An interrupt case is not actually an end case, however, because its legalized solution is used to generate legalized child subproblems, on which the main recursion, including the cutsize-driven partitioning, continues. Because ZDS and ROB both produce slicing structures, their top-level cuts define floorplanning subproblems with known legal solutions. Cutsize-driven partitioning coupled with subproblem legalization resumes recursively on each of these legalized child subproblems until single-block base cases are reached.

The feedback provided to the recursive bisection by the explicit legalization at each level enables it to proceed significantly longer, deepening the partitioning hierarchy, and thereby improving the wirelength quality.

The area-driven look-ahead floorplanners determine whether a legal solution exists for a given fixed-shape subregion and block subset. These algorithms must be fast and must usually find legal solutions if they exist. The first area-driven floorplanner ZDS is based on a recent study [13] of sufficient conditions for ZDS floorplanning of soft blocks. ZDS is used only when all the blocks in the subregion are soft. Otherwise, a second areadriven floorplanner based on ROB is used. ROB is somewhat similar to Traffic [33]; however, it handles both soft and hard blocks under a fixed-outline constraint. Both ZDS and ROB perform well in reasonable run time. They are reviewed in Sections IV and V below.

PATOMA uses hMetis [22], the well-known cutsize-driven multilevel hypergraph partitioning package. Terminal propagation [14] is used to account for connections between partitions. For a given user-specified area-imbalance tolerance $t$, hMetis attempts to find a partition $V=V_{1} \cup V_{2}$ of the vertex set $V$ subject to the constraints $0.5-t \leq a\left(V_{i}\right) / a(V) \leq 0.5+t, i=$ 1,2 , where $a\left(V_{i}\right)$ denotes the sum of the areas of the vertices in $V_{i}$, such that the number of hyperedges containing vertices in both $V_{1}$ and $V_{2}$ is minimized. Different area-imbalance tolerances $t$ were tried for hMetis in PATOMA- $0.05,0.1$, 0.16 , and 0.20 . The value $t=0.1$ consistently produced the

```
Algorithm 2.1: PATOMA Floorplanning Algorithm
input: Set of blocks \(\mathcal{S}=\left\{r_{1}, \ldots, r_{m}\right\}\); netlist; aspect ratio constraints for each block, rectangle \(R\)
of fixed shape.
Each node of the partitioning tree is a set of blocks paired with a subregion.
Initialization Stage.
Generate the root node \((\mathcal{S}, R)\) at level \(i=1\), and a legal floorplan for the root. If this legalization
fails, report a failure and quit. Otherwise, legal termination is guaranteed.
Main Stage.
while there are still blocks to be placed
    while there are unvisited nodes at level \(i\)
        Select unvisited node \(n=\left(\mathcal{S}_{n}, R_{n}\right)\) of level \(i\).
        Use terminal propagation to model connections
            between \(b_{i} \in \mathcal{S}_{n}\) and \(b_{j} \notin \mathcal{S}_{n}\).
        Call hMetis to partition \(\mathcal{S}_{n}\) into disjoint subsets \(\mathcal{S}_{n 1}\) and
            \(\mathcal{S}_{n 2}\), resp. assigned subregions \(R_{n 1}, R_{n 2}\) of \(R_{n}\).
        done := false.
        repeat
            remark Binary search for cutline position.
            for \(i=1,2\)
                        if (all blocks in \(\mathcal{S}_{n i}\) are soft)
                        fit \([i]:=\operatorname{ZDS}\left(\mathcal{S}_{n i}, R_{n i}\right)\).
                    else fit \([i]:=\operatorname{ROB}\left(\mathcal{S}_{n i}, R_{n i}\right)\).
                    end if
            end for
            if (fit \([j]\) and not \(\operatorname{fit}[k], j, k \in\{1,2\}\) )
                    slide the cutline toward \(R_{n j}\)
            else done := true.
            end if
        until (done or cutline search limit reached)
        if (fit[1] and fit[2])
            Create child nodes \(n_{1}\) and \(n_{2}\) of \(n\).
            Store the solutions from or ZDS or ROB for possible
            future use.
        else replace the hMetis bipartitioning of \(\left(\mathcal{S}_{n}, R_{n}\right)\) with
            a bipartitioning derived from earlier application
            of ZDS or ROB.
        end if
    end while
    \(i:=i+1\).
end while
output: A floorplan of \(\mathcal{S}\) in \(R\) satisfying all area and aspect-ratio constraints.
```

Fig. 1. PATOMA floorplanning algorithm.
best results in our experiments, yielding total wirelengths up to $3 \%-5 \%$ shorter than the other choices, i.e., neither of the two block subsets produced by hMetis is allowed to hold more than $60 \%$ of the total area of all blocks in both subsets.

Using feedback from the look-ahead floorplanners, PATOMA redistributes white space in order to make the result of cutsize-driven partitioning legalizable as often as possible. The exact location of the cutline is initially set in direct proportion to the total areas of the blocks in every partition. If a legal solution is found initially for $R_{1}$ but not for its sibling $R_{2}$, it may still be possible to find a legal solution for both partitions by moving the white space from $R_{1}$ to $R_{2}$, i.e., by moving the cutline away from $R_{2}$ and toward $R_{1}$. Candidate cutline positions can be generated by binary search, as long as each cutline position results in a legal solution in at least one of the partitions.

Various uses of multilevel partitioning and white space redistribution by cutline adjustment are already familiar in min-cut placers Capo [3], [4], [9] and Feng Shui [5], [23], [40], [41]. Feedback to min-cut placement by relocation of ambiguous terminals has been considered by Kahng and Reda [19]. What
distinguishes PATOMA's use of feedback from these other forms is both its source-area-driven look-ahead floorplanners, and its result, the guaranteed legality of its final floorplan.

## III. ZDS Algorithm

The ZDS algorithm generates a ZDS floorplan for a set of soft blocks inside a fixed-dimension region. The aspect ratios of the blocks of the final floorplan are uniformly bounded by the properties of the blocks. We will show that these bounds are realistic and satisfy the constraints for most existing benchmarks. Previous work on this subject either analyzed the theoretical upper bounds on the total area achieved by slicing floorplans of soft blocks [30], [42], or generate ZDS floorplans with no consideration of aspect-ratio constraints [36], [38]. The section also proves the properties of the algorithm.

The ZDS algorithm used here is based on a recursive topdown area bipartitioning. At each step, the blocks in a region are separated into two groups such that the groups' total areas are as nearly equal as possible. That is, given a group of blocks $a_{1}, \ldots, a_{n}$, index $j$ is found such that

Algorithm 3.1: Top-Down Fixed-Outline ZDS Floorplanning.
input
(i) Rectangles $r_{i}, \ldots, r_{n}$ with respective areas $a_{i} \geq \ldots \geq a_{n}$.
(ii) Rectangular region $R$ of area $A=\sum_{k=i}^{n} a_{k}$ and dimensions $\ell \times w$, with $\ell / w=\rho(\mathcal{R}) \geq 1$.
(iii) $\gamma \geq 1$.
end input
if the largest block $r_{i}$ satisfies $a_{i} \geq \frac{1}{\gamma} A$ then
(i) make the length of one side of $r_{i}$ equal to $w$.
(ii) place $r_{i}$ with this shape against a side of $R$ of length $w$.
remark In the analysis, let $R\left(r_{i}\right)$ denote this $R$.
(iii) if $n>i$ then

Replace $R$ by the part of $R$ not used by $r_{i}$.
Replace $i$ by $i+1$.
if $n==i$ then place the last block in $R$ and return. end if
else return
end if
remark If $R$ contains more than 2 blocks, we place at most one block before repartitioning.
end if
if $n>i$ then

1. Select $j \in\{i, \ldots, n-1\}$ such that

$$
D_{j}=\left|\sum_{k=i}^{j} a_{k}-\sum_{k=j+1}^{n} a_{k}\right| \quad \text { is minimized. }
$$

Let $A_{j}=\sum_{i}^{j} a_{k}$ and $\bar{A}_{j}=\sum_{j+1}^{n} a_{k} \equiv A-A_{j}$.
2. Cut $R$ parallel to its shorter side into two rectangular subregions $R_{j}$ and $\bar{R}_{j}$ of areas $A_{j}$ and $\bar{A}_{j}$ respectively. Assign $r_{i}, \ldots, r_{j}$ to $R_{j}$ and $r_{j+1}, \ldots, r_{n}$ to $\bar{R}_{j}$.
3. Recur on the subregions $R_{j}$ and $\bar{R}_{j}$.
end if

Fig. 2. Top-Down fixed-outline ZDS floorplanning algorithm.
$D_{j}=\left|\sum_{1}^{j} a_{i}-\sum_{j+1}^{n} a_{i}\right|$ is minimized. The region is then cut parallel to its shorter side such that each group fits exactly into one of the regions. Cutting parallel to the shorter side keeps the aspect ratios of the subregions bounded in terms of the area variation among the blocks. Blocks are placed once they fill a sufficient fraction of their subregions; this fraction is expressed as the reciprocal of the parameter $\gamma$ introduced below.

Fig. 2 shows the pseudocode for the ZDS algorithm. The notation is as follows. Given $N$ rectangles $r_{1}, \ldots, r_{N}$ with fixed areas $a_{1} \geq a_{2} \geq \cdots \geq a_{N}$ but variable lengths $\ell_{i}$ and widths $w_{i}$, we seek to arrange them without overlap in a given rectangle $\mathcal{R}$ of area $\mathcal{A}=\sum_{1}^{N} a_{i}$, such that the aspect ratios

$$
\rho_{i}=\rho\left(r_{i}\right)=\max \left(\frac{\ell_{i}}{w_{i}}, \quad \frac{w_{i}}{\ell_{i}}\right)
$$

are bounded close to $1 .{ }^{2}$ The rectangle $\mathcal{R}$ is the floorplanning region. The rectangles $r_{i}$ are the blocks.

[^1]Algorithm 3.1 is parameterized by $\rho(\mathcal{R}) \geq 1$ and $\gamma \geq 1$. By construction, Algorithm 3.1 has the following property.

Theorem 3.1: For $\rho(\mathcal{R}) \geq 1$ and $\gamma \geq 1$, Algorithm 3.1 generates a slicing floorplan with ZDS.

A trace of the algorithm on a simple five-block example is illustrated in Fig. 3.

Although Algorithm 3.1 can accept any values $\rho(\mathcal{R}) \geq 1$ and $\gamma \geq 1$ as input, the analysis in the next section shows that the generated floorplans display attractive properties for certain choices of $\rho(\mathcal{R})$ and $\gamma$. Specifically, if we define

$$
\begin{equation*}
\beta=\max _{i} \frac{a_{i}}{a_{i+1}} \tag{1}
\end{equation*}
$$

and let

$$
\begin{equation*}
\gamma=\max \{2, \beta\} \quad \text { and } \quad \rho(\mathcal{R}) \in[1, \gamma+1] \tag{2}
\end{equation*}
$$

then Algorithm 3.1 produces a ZDS floorplan with all blocks' aspect ratios in $[1, \gamma+1]$.


Fig. 3. (a) Creation of ZDS benchmark with five blocks, where $a_{1}=8, a_{2}=$ $a_{3}=3, a_{4}=a_{5}=2$. In this case, $\gamma=2.67$. Region $R$ has area 18 and is initialized to an aspect ratio of 1.125 . (b) Since $a_{1} \geq 18 / 2.67$, block $r_{1}$ is placed. After partitioning of remaining blocks, region $\bar{R}_{1}$ is assigned to blocks $r_{2}$ and $r_{3}$, and region $R_{2}$ to blocks $r_{4}$ and $r_{5}$. (c) Final placement of all blocks. Maximum aspect ratio is $2 \leq \gamma+1$.

## A. Analysis

The utility of Algorithm 3.1 rests in the fact that the maximum aspect ratio of any block in the floorplan it generates is guaranteed to lie within a single small interval of the form $[1, \gamma+1]$, when $\gamma$ is defined as in (2). In other words, the maximum aspect ratio of any block will not be large, as long as the areas of the blocks decay relatively gradually from largest to smallest.

These facts are established here, under Assumptions 3.1 below.

Assumptions 3.1: Block-locking threshold and floor-planning-region aspect-ratio bound:

1) for $\beta$ as defined in (1), $\gamma \geq \max \{2, \beta\}$;
2) the aspect ratio of the floorplanning region satisfies $\rho(\mathcal{R}) \leq \gamma+1$.
In Assumption 3.1,1) can be rephrased as follows: the threshold fraction of the subregion area that a block must occupy in order to be shaped and locked in place is not set above the minimum of $1 / 2$ and $1 / \beta$. Although these assumptions are stronger than necessary to achieve ZDS and acceptably bounded block aspect ratios, they are not very restrictive on the sets of block areas that


Fig. 4. Aspect ratio of block (shaded) compared to aspect ratio of its enclosing subregion.
may be considered. In fact, for realistic circuits, the algorithm can be extended to handle most abrupt jumps in block area as well as regions $\mathcal{R}$ with $\rho(\mathcal{R})>\gamma+1$ (see Section III-C). However, such extensions are not used in PATOMA.

## B. Derivation of Aspect-Ratio Bound

Throughout this section, we consider the properties of Algorithm 3.1 under Assumptions 3.1. The first lemma shows that, in order to bound the aspect ratios of the blocks, it suffices to bound the aspect ratios of the regions in which they are placed.

Lemma 3.1: The aspect ratio $\rho_{i}$ of any placed block $r_{i}$ satisfies

$$
\rho_{i} \leq \max \left\{\gamma, \rho\left(R\left(r_{i}\right)\right)\right\}
$$

where $\rho\left(R\left(r_{i}\right)\right)$ denotes the aspect ratio of the smallest subregion in which $r_{i}$ is placed.

Proof: Suppose that subregion $R$ has an aspect ratio $\rho=$ $\rho(R)$, if $R$ contains just one block, then that block $r_{i}$ will also have $\rho\left(r_{i}\right)=\rho$. Hence, $R$ contains more than one block. By Algorithm 3.1, the blocks $\left\{r_{i}, \ldots, r_{p}\right\}$ in $R$ form a contiguous subsequence of the original set of blocks $\left\{r_{1}, \ldots, r_{N}\right\}$ and, therefore, satisfy the area decay bounds $a_{k} \geq a_{k+1} \geq a_{k} / \gamma$. Moreover, the block $r_{i}$ placed in $R$ will have one of its side lengths $w$ equal to the shorter side length of $R$, as shown in Fig. 4. Let $\ell_{i}$ denote the length of the other side of $r_{i}$, and let $\ell$ denote the length of the longer side of $R$.

First, suppose $\ell_{i}<w$, because the algorithm requires the area $a_{i}$ of $r_{i}$ be at least $1 / \gamma$ times the area of $R$; the other side $\ell_{i}$ of $r_{i}$ is at least $1 / \gamma$ times the length of the longer side $\ell$ of $R$. Hence

$$
\frac{w}{\ell_{i}} \leq \frac{w}{\frac{\ell}{\gamma}}=\frac{\gamma}{\rho} \leq \gamma
$$

since $\rho \geq 1$. Second, suppose $\ell_{i} \geq w$, because the blocks $r_{k}$ in $R$ satisfy $a_{k} \geq a_{k+1} \geq a_{k} / \gamma$, the subregion of $R$ containing these other blocks must occupy an area at least $1 /(\gamma+1)$ times the area of $R$, and therefore $\ell_{i} \leq(\gamma /(\gamma+1)) \ell$. Hence

$$
\begin{equation*}
\frac{\ell_{i}}{w} \leq \frac{\gamma}{(\gamma+1)} \frac{\ell}{w}=\frac{\gamma}{\gamma+1} \rho<\rho \tag{3}
\end{equation*}
$$

The next lemma bounds the aspect ratio of sibling subregions in terms of their area ratio and the aspect ratio of their common parent subregion.


Fig. 5. Aspect ratios of two sibling subregions compared to aspect ratio of parent subregion.

Lemma 3.2: Suppose the subregion $R$ is partitioned into subregions $R_{1}$ and $R_{2}$ with areas $A_{1}$ and $A_{2}$, let

$$
y=\max \left\{\frac{A_{1}}{A_{2}}, \frac{A_{2}}{A_{1}}\right\}
$$

Then

$$
\max \left\{\rho\left(R_{1}\right), \rho\left(R_{2}\right)\right\}=\max \left\{\frac{y+1}{\rho(R)}, \quad \frac{y}{y+1} \rho(R)\right\} .
$$

Proof: Following the notation in Fig. 5, let $A \equiv A_{1}$, $\rho_{A}=\rho\left(R_{1}\right), a \equiv A_{2}, \rho_{a}=\rho\left(R_{2}\right)$, and assume without loss of generality that $A>a$, so that $y=A / a$. The longer side of $R$ has length $\ell$, and the shorter side has length $w$. Now

$$
A+a=(y+1) a=\ell w
$$

and therefore

$$
\ell_{a}=\frac{a}{w}=\frac{\ell}{(y+1)} \quad \text { and } \quad \ell_{A}=y \ell_{a}=\frac{y}{y+1} \ell
$$

If $\rho_{A} \geq \rho_{a}$, then $\rho_{A}=\ell_{A} / w$ (otherwise, $\rho_{A}=w / \ell_{A}<$ $w / \ell_{a}=\rho_{a}$ ); hence, $\rho_{A}=(y /(y+1)) \rho(R)$. Similarly, if $\rho_{a} \geq$ $\rho_{A}$, then $\rho_{a}=w / \ell_{a}$, and therefore $\rho_{a}=(y+1) / \rho(R)$.

Lemma 3.3: With the notation in Algorithm 3.1

$$
D_{j} \leq \begin{cases}a_{j}, & \text { if } A_{j}>\bar{A}_{j} \\ a_{j+1}, & \text { if } A_{j} \leq \bar{A}_{j}\end{cases}
$$

Proof: Suppose $A_{j}>\bar{A}_{j}$; in this case, $D_{j}=A_{j}-\bar{A}_{j}$. First, observe that $D_{j}<2 a_{j}$; otherwise, $D_{j-1}<D_{j}$ contradicting the minimality of $D_{j}$. Next, suppose that $D_{j}>a_{j}$, i.e.,

$$
2 a_{j}>\sum_{1}^{j} a_{i}-\sum_{j+1}^{N} a_{i}>a_{j} .
$$

But, then subtracting $2 a_{j}$ gives

$$
0>\sum_{1}^{j-1} a_{i}-\sum_{j}^{N} a_{i}>-a_{j}
$$

and hence

$$
0<\sum_{j}^{N} a_{i}-\sum_{1}^{j-1} a_{i}<a_{j}
$$

which is another contradiction to the minimality of $D_{j}$. The case $A_{j} \leq \bar{A}_{j}$ is similar.

Theorem 3.2: In Algorithm 3.1, under Assumptions 3.1

$$
\frac{1}{\gamma} \leq \frac{A_{j}}{\bar{A}_{j}} \leq \gamma
$$

Proof: As before, let $A=A_{j}+\bar{A}_{j}$. We first express the conclusion of the theorem in terms of $D_{j}$. The conclusion can be rewritten as

$$
\begin{equation*}
\frac{1}{\gamma+1} A \leq A_{j} \leq \frac{\gamma}{\gamma+1} A \tag{4}
\end{equation*}
$$

But, the conclusion can also be written as $1 / \gamma \leq\left(\bar{A}_{j} / A_{j}\right) \leq \gamma$ or

$$
\begin{equation*}
\frac{1}{\gamma+1} A \leq \bar{A}_{j} \leq \frac{\gamma}{\gamma+1} A \tag{5}
\end{equation*}
$$

From (4) and (5), the conclusion can be equivalently expressed as

$$
D_{j} \leq \frac{\gamma-1}{\gamma+1} A
$$

Now, for all $\gamma \geq 2$, it suffices to show that $D_{j} \leq A / 3$ or

$$
\frac{1}{3} A \leq A_{j} \leq \frac{2}{3} A
$$

Now, if $j \geq 3$, then Lemma 3.3 ensures that $D_{j} \leq a_{3}$, and because $a_{1} \geq a_{2} \geq a_{3} \geq \cdots \geq a_{n}>0$, it follows that $a_{3} \leq A / 3$. The same result also holds if $j=2$ and $A_{j} \leq \bar{A}_{j}$. Therefore, we need only consider the following two cases.

Case 1: $j=2$, and $a_{1}+a_{2}>\sum_{3}^{N} a_{i}$.
By Lemma 3.3, $a_{1}+a_{2}-\sum_{3}^{N} a_{i} \leq a_{2}$; hence

$$
a_{1} \leq \sum_{3}^{N} a_{i}
$$

and since $a_{1} \geq a_{2}$, we have $a_{2} \leq \sum_{3}^{N} a_{i}$ as well. Thus, in this case

$$
\frac{1}{\gamma} \leq 1 \leq \frac{A_{j}}{\bar{A}_{j}} \equiv \frac{a_{1}+a_{2}}{\sum_{3}^{N} a_{i}} \leq 2 \leq \gamma
$$

Case 2: $j=1$.
By Assumptions 3.1

$$
\frac{A_{j}}{\bar{A}_{j}} \equiv \frac{a_{1}}{\sum_{2}^{N} a_{i}} \leq \frac{a_{1}}{\frac{a_{1}}{\gamma}+\sum_{3}^{N} a_{i}}<\gamma
$$

Hence, it suffices to show that $A_{j} / \bar{A}_{j}>1 / \gamma$ in this case. If $A_{1} \geq \bar{A}_{1}$, then we are done. Hence, we assume that $a_{1}<\sum_{2}^{N} a_{i}$. Lemma 3.3 therefore gives $D_{1} \equiv \sum_{2}^{N} a_{i}-$ $a_{1} \leq a_{2}$; i.e.,

$$
\begin{equation*}
a_{1} \geq \sum_{3}^{N} a_{i} \tag{6}
\end{equation*}
$$

Now if $a_{2}>\sum_{3}^{N} a_{i}$, then

$$
\frac{a_{1}}{\sum_{2}^{N} a_{i}}=\frac{a_{1}}{a_{2}+\sum_{3}^{N} a_{i}} \geq \frac{a_{1}}{a_{2}+a_{2}} \geq \frac{a_{1}}{a_{1}+a_{1}}=\frac{1}{2} \geq \frac{1}{\gamma}
$$

Otherwise, if $a_{2} \leq \sum_{3}^{N} a_{i}$, then (6) implies that

$$
\frac{a_{1}}{\sum_{2}^{N} a_{i}} \geq \frac{\sum_{3}^{N} a_{i}}{a_{2}+\sum_{3}^{N} a_{i}}=\frac{1}{\left(\frac{a_{2}}{\sum_{3}^{N} a_{i}}\right)+1} \geq \frac{1}{2} \geq \frac{1}{\gamma}
$$

From Lemma 3.2 and Theorem 3.2, we immediately obtain the following bound.

Corollary 3.1: Suppose subregion $R$ is partitioned into subregions $R_{1}$ and $R_{2}$, then

$$
\max \left\{\rho\left(R_{1}\right), \rho\left(R_{2}\right)\right\} \leq \max \left\{\frac{\gamma+1}{\rho(R)}, \frac{\gamma}{\gamma+1} \rho(R)\right\}
$$

Theorem 3.3: Under Assumptions 3.1, the result of Algorithm 3.1 is a slicing floorplan with ZDS and every block's aspect ratio bounded above by $\gamma+1$.

Proof: Follows directly from Corollary 3.1 and Assumptions 3.1, by induction.

## C. Remarks and Extensions

The implementation of ZDS in PATOMA follows the above description and has been found to be very effective and robust in practice. For all the Gigascale Systems Research Center (GSRC) benchmarks, the value of $\gamma$ is always two. It is interesting that for the soft block version of these examples, the aspectratio constraint for all the blocks is in the range [0.3, 3], which means that ZDS can find a ZDS solution for all of them. This shows that the conditions required by ZDS are realistic and the algorithm itself can be used for the manipulation of soft blocks in a floorplanning algorithm.

Nevertheless, a number of obvious improvements can be made, and the possibilities are intriguing. Some of these are sketched in this section.

1) Weakening Subregion Aspect-Ratio Constraint: It is easy to show that 2) of Assumption 3.1 can be replaced by the weakest possible restriction, namely that $\rho(\mathcal{R})$ is small enough that the largest block $r_{1}$ can be shaped and placed in $\mathcal{R}$ without violating the aspect-ratio constraint of $r_{1}$, when all other blocks are ignored. ${ }^{3}$ Assuming that the maximum aspect ratio allowed for any block is $\gamma+1$, this requirement can be written $w(\mathcal{R}) \geq \sqrt{a_{1} /(\gamma+1)}$, where $w(\mathcal{R})$ denotes the length of the shorter side of $\mathcal{R}$, and $a_{1}$ is the area of $r_{1}$. This result follows by a simple inductive argument, a sketch of which follows. Throughout this sketch, we maintain 1) of Assumption 3.1; namely, that $\gamma=\max \left\{2, \max a_{i} / a_{i+1}\right\}$. The weakening of 1) of Assumption 3.1 is discussed subsequently.

Suppose $\rho(\mathcal{R}) \gg 1$, but $w(\mathcal{R}) \geq \sqrt{a_{1} /(\gamma+1)}$. The ZDS algorithm will repeatedly cut $\mathcal{R}$, by lines parallel its shorter side, into subregions of ever smaller aspect ratios (i.e., ever closer to one). Once cuts are chosen in the direction orthogonal to $\mathcal{R}$ 's shorter side, it must be the case that 2 ) of Assumption 3.1 holds for the subregions these orthogonal cuts separate and,

[^2]

Fig. 6. Block aspect ratios may all remain small, even when some subregion has a large aspect ratio.


Fig. 7. Given blocks $r_{1}, \ldots, r_{7}$ with $a_{1}=4 a_{2}$ and $a_{2}=a_{3}=\cdots=a_{7}$, (a) default area-balancing cut shown in will typically result in large aspect ratio for $r_{2}$, which is unwisely paired with $r_{1}$. (b) Better set of cuts. First cut is made between $r_{1}$ and $r_{2}$, because $a_{1} / a_{2}$ is both maximal and larger than two. Next cut, between $r_{4}$ and $r_{5}$, is made in order to balance cluster sizes.
therefore, all subsequent subregions they contain. If, on the other hand, a block is shaped and placed before any cut is made in the direction orthogonal to $\mathcal{R}$ 's shorter side, it is placed flush against that shorter side of $\mathcal{R}$, and since the length $w(\mathcal{R})$ of that side satisfies $w(\mathcal{R}) \geq \sqrt{a_{1} /(\gamma+1)}$, the aspect-ratio constraint of the block will be satisfied.
2) ZDS Floorplanning of Mixed-Size Blocks: The assumption that $\gamma \geq 2$ presents no practical restriction on the sets of blocks that may be considered. It just means that the upper bound on block aspect ratios guaranteed by the analysis here for the given algorithm is at least 3 . That is, consecutivepairwise area bounds tighter than two (e.g., $a_{i} / a_{i+1} \leq 1.5$ ) are not guaranteed to reduce the maximum aspect ratio below what can be attained with $a_{i} / a_{i+1} \leq 2$.

Similarly, a large value of $\gamma$ does not necessarily indicate any large aspect ratios in the final floorplan, as Fig. 6 illustrates. In the figure, one large block occupies one subregion, and several small blocks occupy another subregion. Although the area ratio of the subregions may be arbitrarily large, the presence of sufficiently many small blocks used to fill the small subregion prevents any single block's aspect ratio from becoming large.

For some designs, the presence of a few very large or very small blocks may result in a large value of $\gamma$, if $\gamma$ is defined simply as $\max \left\{2, \max _{i} a_{i} / a_{i+1}\right\}$. In such cases, a simple partitioning step can be used to reduce this value significantly (as illustrated in Fig. 7).

The idea is to partition the sorted list of blocks into clusters such that the areas of any two consecutive blocks in the same cluster are comparable, i.e., within a factor of two. Areas of clusters, computed simply as sums of contained blocks' areas, must also be somewhat comparable, but, as explained below, the limit on cluster area ratios is higher than that for the areas of blocks within any cluster. Each cluster is simply a contiguous subsequence of the list of blocks ordered by the nonincreasing
area. The partition is therefore simply a set $\mathcal{P} \subset\{1, \ldots, n\}$ of "cluster cuts" in the ordered list of blocks; the precise choice of $\mathcal{P}$ is discussed briefly. Treating the clusters in this partition as individual blocks, we find a ZDS floorplan for them. The aspect ratios of the clusters in this floorplan will be governed by the maximum ratio of successive cluster areas, when these are ordered nonincreasing. A separate fixed-outline ZDS floorplan can then be separately computed for each individual cluster; the largest aspect ratio $\rho_{i}$ of any block in any cluster $C \subset$ $\left\{r_{1}, \ldots, r_{n}\right\}$ is governed entirely by the blocks in that cluster

$$
\max _{r_{i} \in C} \rho_{i} \leq 1+\max \left\{2, \max _{r_{i} \in C} \frac{a_{i}}{a_{i+1}}\right\}
$$

The choice of $\mathcal{P}$ is motivated by the desire to reduce the upper bound $\gamma+1$ on the maximum aspect ratio of any block as much as possible. By the result of Section III-C1, the only restriction on the aspect ratios of the clusters is that the largest blocks they contain can be shaped and placed within them. As long as this condition holds, then the conclusion of Theorem 3.3 holds with $\beta \equiv \max _{i \notin \mathcal{P}} a_{i} / a_{i+1}$ and $\gamma=\max \{\beta, 2\}$. Therefore, the priority in selecting cluster cuts should be placed on separating blocks of disparate areas rather than on balancing cluster areas, i.e., $\mathcal{P}$ can contain as many $i$ 's as possible such that $a_{i} / a_{i+1}>2$, subject only to the constraint that the largest block in each cluster can be legally shaped and placed within that cluster's assigned subregion of the ZDS cluster floorplan.

This restriction on cluster shapes can be enforced by imposing a low-enough upper bound on the ratios of successive cluster areas, when these are sorted in nonincreasing order. However, many clusters will likely be able to take aspect ratios far above the bound without adversely affecting any blocks' aspect ratios; therefore, it is not generally necessary to enforce the bound strictly. In general, it is enough that clusters assigned to the same ZDS subregion satisfy area-ratio bounds specific to their own set of aspect-ratio constraints. That is, clusters can also be partitioned, and the restrictions on their area ratios are considerably looser than those on the blocks.

For generality and efficiency, partitioning can proceed adaptively and recursively. For example, an initial partition $\mathcal{P}_{0} \subset$ $\{1, \ldots, n\}$ may be kept small by limiting the cuts to only the most abrupt area changes. After a ZDS floorplan for the clusters defined by $\mathcal{P}_{0}$ has been computed, some clusters, e.g., $C$, may still contain two consecutive blocks $r_{i}$ and $r_{i+1}$ for which $a_{i} / a_{i+1}$ is large. If so, then the blocks within $C$ can be partitioned, and the ZDS floorplan of the resulting clusters in $C$ can be computed. Partitioning of the blocks within these clusters and ZDS floorplanning of the result can continue recursively, as necessary, to reduce the largest ratio $a_{i} / a_{i+1}$ of the consecutive blocks in the same cluster, until all clusters either have no such large ratios or consist of three blocks or fewer. This approach amounts to multilevel ZDS floorplanning.

Although it is trivial to construct examples where this multilevel ZDS approach will be useless (e.g., when $N=2$ ), on practical examples with large $N$, the reduction in $\gamma$ will likely be considerable.
3) Nonslicing Extensions: For circuits with one block much smaller in area than all the others, however, the top-down slic-


Fig. 8. When one block is much smaller in area than all others, only nonslicing ZDS floorplan can possibly satisfy useful bounds on aspect ratios of all blocks.
ing ZDS algorithm presented here obviously cannot produce a good aspect ratio for the smallest block, irrespective of whether the blocks are partitioned into clusters as described above. In these cases, nonslicing ZDS extensions must be considered, e.g., a wheel with the smallest block at its center, as shown in Fig. 8. For the blocks $r_{1}, \ldots, r_{5}$ of fixed areas $a_{1} \geq \cdots \geq$ $a_{4} \gg a_{5}$ arranged as shown in a subregion of fixed shape $w \times l$, it can be shown that the horizontal lengths $l_{1}$ and $l_{3}$ of blocks $r_{1}$ and $r_{3}$ must satisfy the following $2 \times 2$ nonlinear system of equations:

$$
\begin{align*}
\frac{a_{3}}{l_{3}}+\frac{a_{4}}{w-l_{1}} & =l \\
\frac{a_{1}}{l_{1}}+\frac{a_{3}}{l_{3}}+\frac{a_{5}}{l_{1}+l_{3}-w} & =l \tag{7}
\end{align*}
$$

Two other analogous systems can be written for the two other arrangements (symmetries) of the blocks in the wheel (these are obtained by interchanging the relative positions of blocks $r_{2}$ and $r_{3}$ or of blocks $r_{3}$ and $r_{4}$ ). The existence of such a nonslicing floorplan in which all subregions' or blocks' aspectratio constraints are satisfied can be determined by computing and examining all solutions of (7) and, if necessary, its two analogs. Further details of such extensions are left as open questions.

## IV. Wirelength-Aware ZDS Floorplanning

As described in the previous section, the ZDS algorithm ignores the wirelength. In this section, the extensions of ZDS for PATOMA including the wirelength consideration are presented.

PATOMA extends the original ZDS algorithm in two ways. First, available dead space is used to increase the frequency with which ZDS satisfies all aspect-ratio constraints. Let $\rho_{\text {max }}$ denote the maximum aspect ratio allowed for any block. When $\gamma+1 \leq \rho_{\max }$, success of ZDS is guaranteed, because the aspect ratios of the subregions for which ZDS is called are also in the range $\left[1 / \rho_{\max }, \rho_{\max }\right]$, by the partitioning and cutline decisions made at the higher levels of the hierarchy. When $\gamma+1>\rho_{\max }$, the effective value of $\gamma$ can be reduced by padding some of the blocks by dead space. If the reduction in $\gamma$ is not enough to guarantee success, the ZDS algorithm is applied anyway, because its conditions for the creation of a legal solution are sufficient but not necessary. Second, in the original ZDS algorithm, the side of a subregion in which a block or block subset is placed is left unspecified. In PATOMA, when ZDS must be used instead of cutsize-driven bipartitioning


Fig. 9. Example of wirelength consideration during enhanced ZDS algorithm. Two alternatives for relative location of blocks in regions $A_{1}$ and $A_{2}$. First alternative is selected because of its better wirelength.
to guarantee legalizability of the resulting subproblems, each block subset is placed in the subregion side that reduces the total lengths of connections between blocks in the subset and other blocks. An example is shown in Fig. 9.

## V. Row-Based Floorplanning

The ROB heuristic is used by PATOMA for floorplanning a combination of fixed and variable-dimension blocks. It is similar to Traffic [33] in that it organizes the blocks by rows according to their dimensions; however, it satisfies a fixed-outline constraint and handles both hard and soft blocks. Assume that given a set of blocks to be placed in a region with fixed height $H$ and fixed width $W$, if $H>W$, the blocks will be organized in rows; otherwise, in columns. By organizing the blocks in rows along the shorter subregion dimension, there is room to pack more rows and, therefore, a wider variety of block heights can be efficiently supported. For the rest of this section, we assume, for simplicity, that the blocks are packed in rows.

ROB ignores connectivity. It consists of two stages. In the first stage, the blocks are grouped into rows according to their dimensions. In the second stage, emptier rows are merged with fuller rows until all rows fit inside the given fixed-shape region. The outline of the ROB algorithm is shown in Fig. 10. During the first stage, the blocks are considered one by one and either added to the existing rows or used to create new ones. Hard blocks are considered first. For every block, if one of its dimensions matches the height of an existing row and its addition to that row does not create overflow, it is placed there. Otherwise, a new row is generated with height equal to the smaller dimension of the block. Soft blocks are considered next. As they can be reshaped, they are more likely to match the height of an existing row. When a block can fit in multiple rows, the shortest one is preferred. If no such row can be found, a new one is generated with a height equal to the smallest possible dimension of the block.

At the end of the first stage, a set of rows has been generated. Each row width is less than the fixed width $W$ of the region, ${ }^{4}$ but it is possible that the sum of the row heights is larger than the fixed height $H$ of the region. In the second stage,

[^3]```
Algorithm 5.1: ROB Floorplanning Algorithm
input: Set of blocks \(a_{1}, \ldots, a_{n}\); netlist; block aspect
ratio constraints; region R with height \(H\), width \(W ; H \geq W\).
output: true if and only if a legal solution is found.
Order the blocks first by flexibility (hard blocks first)
and second by area (larger area first).
for every block \(B\)
    From the current list of partially filled rows, form a list
    of those into which \(B\) can fit, called \(B\) 's row candidates.
    if ( \(B\) 's list of candidate rows is empty) then
        Create a new row with height equal to the smallest
        valid dimension of \(B\). Add \(B\) to the new row.
    else
        Add \(B\) to the shortest in height of its candidate rows.
    end if
end for
Curr_height := sum of row heights
if Curr_height \(\leq H\) return true
Order the rows according to height (taller first)
for every row \(r\) in the height-ordered list of rows
    Let \(S\) denote the list of all rows shorter in height than \(r\)
    Order \(S\) by width (emptier rows first)
    for every row \(r^{\prime}\) in \(S\)
        for every block \(a\) in \(r^{\prime}\)
            Move \(a\) to \(r\) if no overflow in \(r\). The orientation or
            shape of \(a\) is selected so that the height of \(a\) is as
            close to the height of \(r\) as possible without exceeding it.
            Update Curr_height.
            if Curr_height \(\leq H\) return true
        end for
    end for
end for
return false
```

Fig. 10. ROB floorplanning algorithm.
some rows are eliminated by redistributing the blocks one by one. The rows are scanned in a decreasing height order. The blocks from the rows shorter than the currently selected one are added to the selected row where possible. Priority is given to rows of smallest width. When a block is moved to another row, it is allowed to be rotated or reshaped for the purpose of matching the height of its new row as closely as possible without exceeding it. The procedure is repeated until either all the rows have been scanned or enough rows have been eliminated such that the sum of the heights of the remaining rows is less than $H$. In the first case, the algorithm ends without finding a legal solution, while in the second it reports a success.

When legalizability of a cutsize-driven partition of a given subproblem cannot be ensured, ROB's solution to that subproblem is employed instead, by interpreting it as a partition. Since the solution of ROB is organized in rows (columns), it is guaranteed to have at least one slicing horizontal or vertical cut that can be used as the cutline for a bipartitioning of the blocks. The bipartitionings generated by these cuts are compared with their symmetric ones for wirelength, and the best bipartitioning is selected to replace the infeasible hMetis solution.

In ROB's current prototype implementation within PATOMA, its worst case order is $\mathcal{O}(n r)$, where $n$ is the number of blocks and $r$ is the number of rows. However, a more careful implementation can reduce this asymptotic to $\mathcal{O}(n k)$, for some fixed constant $k$ limiting the length of the list of candidate rows to which a block can be added.

TABLE I
Comparison of patoma With Parquet 4.0 on GSrC Benchmarks With All Blocks Soft

| Circuit | PATOMA |  | Parquet 4.0 (default) |  | Parquet 4.0 (high-effort) |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  | WL | Runtime <br> $(\mathrm{sec})$ | WL Ra- <br> tio | Runtime <br> Ratio | WL Ra- <br> tio | Runtime <br> Ratio |
| n300 | 566242 | 4 | 1.36 | 40 | 0.99 | 219 |
| n200 | 505736 | 3 | 1.15 | 19 | 1.07 | 305 |
| n200b | 509403 | 3 | 1.17 | 14 | 1.01 | 310 |
| n200c | 490249 | 3 | 1.14 | 15 | 1.10 | 288 |
| n100 | 283452 | 2 | 1.12 | 5 | 1.03 | 482 |
| n100b | 312666 | 2 | 1.03 | 5 | 1.03 | 389 |
| n100c | 280278 | 2 | 1.11 | 5 | 1.11 | 319 |
| n50 | 180115 | 1 | 1.05 | 2 | 0.97 | 667 |
| n50b | 217241 | 1 | 1.04 | 2 | 0.97 | 703 |
| n50c | 200759 | 1 | 1.06 | 2 | 1.05 | 599 |
| n30 | 156921 | 1 | 1.06 | 1 | 0.97 | 511 |
| n30b | 163635 | 1 | 1.04 | 1 | 0.97 | 760 |
| n30c | 192709 | 1 | 1.00 | 1 | 0.97 | 647 |
| n10 | 52258 | 1 | 0.97 | 1 | 0.97 | 781 |
| n10b | 62178 | 1 | 1.02 | 1 | 0.94 | 807 |
| n10c | 51958 | 1 | 1.03 | 1 | 0.95 | 503 |
| Averages |  |  |  |  |  |  |

## VI. Experiments and Results

We compare PATOMA to: 1) Parquet 4.0 [1], a state-of-theart SA-based floorplanner using the sequence pair geometric representation; 2) Traffic [33]; 3) Hierarchical plus simulated annealing (HierPlusSA) the fast floorplanner of Ranjan et al. [31]; and 4) Capo 9.3 [4], which can perform placement of standard cells and macros. For a fair comparison, all experiments were performed on the same machine, a $2.4-\mathrm{GHz}$ Pentium IV running RedHat Linux 8.0. We compared on four sets of benchmarks. For all the experiments, the floorplanners are trying to minimize the total half-perimeter wirelength under a fixed-outline boundary constraint. For the benchmarks with soft macros, we compare only to Parquet 4.0, because in addition to the high-quality floorplans it produces, it is, as far as we know, the only freely available package online that can consider both fixed-outline constraints and soft blocks. We run Parquet 4.0 in two modes. The first mode is the default and is very fast, due to a shorter simulated-annealing schedule that degrades the wirelength quality. The second mode is a higheffort mode, where we impose a time limit of 1 h to allow SA to attain a better solution.

## A. Soft Blocks Only

The first set of benchmarks includes the GSRC circuits (size 10-300 blocks), where all the blocks are soft. Pad locations fix the amount of the given white space at approximately $55 \%-75 \%$. In order to reduce the wirelength, however, PATOMA restricts its floorplan to an inner core region with $30 \%$ white space for all-hard-block examples and $0 \%$ white space for all-soft-block examples. In the all-soft-block examples, PATOMA uses only the ZDS algorithm and not the ROB to enforce the legalizability of all floorplanning subproblems. All blocks are allowed to be reshaped with any aspect ratios in [1/3, 3]. Interrupt cases, i.e., subproblems with nonlegalizable child subproblems, are observed to be small, containing fewer than 2 blocks on average and at most 27 on any instance. This result confirms that ZDS failures are quite rare and occur only for relatively small sets of blocks. The recursive cutsizedriven flow thus proceeds on average almost all the way to
individual blocks. The results are shown in Table I. The default mode of Parquet 4.0 produces results that are $9 \%$ higher ( $21 \%$ higher for the largest four benchmarks) in wirelength than PATOMA, while its runtime is $7 \times$ slower $(22 \times$ slower for the largest benchmarks). The high-effort mode of Parquet 4.0 is $1 \%$ worse in wirelength ( $4 \%$ worse for the largest benchmarks) and $518 \times$ slower ( $281 \times$ slower for the largest benchmarks) than PATOMA.

## B. Hard Blocks Only

The second set of experiments includes the same GSRC benchmarks, but with all blocks of given fixed dimensions as specified in the benchmarks. In these examples, PATOMA uses only ROB and not ZDS to enforce the legalizability of floorplanning subproblems, because all blocks are hard. Table II shows the results of this set of experiments. It includes the results of Capo 9.3, which, although a placer, can perform hard block floorplanning. Capo 9.3 is based on Parquet to perform SA for floorplanning subproblems, but its top-down methodology allows it to perform much better than plain Parquet. On these benchmarks, PATOMA produces results of $2 \%$ lower wirelength than the default mode of Parquet 4.0 ( $11 \%$ lower for the four largest benchmarks only), with a speedup of $15 \times$ ( $48 \times$ for the largest benchmarks), and of $3 \%$ higher wirelength than the high-effort mode of Parquet 4.0 , with an average speedup of $570 \times$. However, when only the largest benchmarks are considered, PATOMA is better than the high-effort version of Parquet by $5 \%$, while running $407 \times$ faster. PATOMA also produces better results than Capo 9.3 by $1 \%$ with an average speedup of $3 \times$ ( $5 \times$ for the largest benchmarks).

The third set of experiments includes the four largest GSRC circuits (200-300 blocks), all blocks hard but without pads. PATOMA was compared with Traffic and FFPC on these benchmarks, as these floorplanners do not use pads or shape soft blocks. ${ }^{5}$ Table III lists the results of these experiments.

[^4]TABLE II
Comparison of patoma With Capo 9.3 and Parquet 4.0 on GSrC Benchmarks With All Blocks Hard

| Circuit | PATOMA |  | Capo 9.3 |  | Parquet 4.0 (default) |  | Parquet 4.0 (high effort) |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  | WL | Runtime <br> (sec) | WL Ra- <br> tio | Runtime <br> Ratio | WL Ra- <br> tio | Runtime <br> Ratio | WL Ra- <br> tio | Runtime <br> Ratio |
| n300 | 599821 | 4 | 1.00 | 5 | 1.14 | 75 | 1.10 | 329 |
| n200 | 530325 | 3 | 1.01 | 5 | 1.11 | 38 | 1.03 | 341 |
| n200b | 531403 | 3 | 1.01 | 4 | 1.11 | 38 | 1.02 | 519 |
| n200c | 508983 | 3 | 1.01 | 5 | 1.08 | 42 | 1.04 | 438 |
| n100 | 300477 | 2 | 1.02 | 4 | 1.06 | 10 | 0.96 | 501 |
| n100b | 319748 | 2 | 1.01 | 4 | 1.05 | 10 | 0.96 | 503 |
| n100c | 298201 | 2 | 0.98 | 3 | 1.04 | 10 | 0.98 | 402 |
| n50 | 190610 | 1 | 1.01 | 2 | 1.00 | 4 | 0.94 | 730 |
| n50b | 229479 | 1 | 1.03 | 2 | 0.95 | 4 | 0.94 | 516 |
| n50c | 209762 | 1 | 1.00 | 4 | 1.01 | 4 | 0.95 | 827 |
| n30 | 159724 | 1 | 1.05 | 2 | 1.02 | 1 | 0.98 | 538 |
| n30b | 169037 | 1 | 1.01 | 1 | 0.97 | 1 | 1.02 | 440 |
| n30c | 198793 | 1 | 1.00 | 1 | 0.98 | 1 | 0.94 | 853 |
| n10 | 54477 | 1 | 1.02 | 1 | 0.92 | 1 | 0.91 | 572 |
| n10b | 63366 | 1 | 1.04 | 1 | 0.99 | 1 | 0.93 | 1117 |
| n10c | 54596 | 1 | 1.01 | 1 | 0.95 | 1 | 0.82 | 48 |
| Averages | 1.01 | 3 | 1.02 | 15 | 0.97 | 570 |  |  |

TABLE III
Comparison of Patoma With Traffic [33] and FFPC With All Blocks Hard. Pads Are Ignored

| Circuit | PATOMA |  | FFPC |  | Traffic |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  | WL | Run- <br> time <br> $(\mathrm{sec})$ | WL <br> Ratio | Run- <br> time <br> Ratio | WL <br> Ratio | Run- <br> time <br> Ratio |
| n300 | 351463 | 4 | 1.03 | 6 | 1.71 | 1 |
| n200 | 234085 | 3 | 1.09 | 9 | 1.52 | 1 |
| n200b | 193008 | 3 | 1.02 | 4 | 1.65 | 1 |
| n200c | 226147 | 3 | 0.96 | 5 | 1.53 | 1 |
| Averages |  |  |  | 1.03 | 6 | 1.60 |

FFPC's wirelength is $3 \%$ longer than PATOMA's, on average, while its run time is $6 \times$ longer. With Traffic's run-time limit set to PATOMA's run time, Traffic's average total wirelength is $60 \%$ longer than PATOMA's. Extending Traffic's run-time limit beyond PATOMA's was attempted but was not observed to improve its wirelength quality; the results of these experiments were not tabulated.

## C. Hard and Soft Blocks Together

In the fourth set of experiments, we generated large-scale floorplanning benchmarks from the IBM/ISPD98 suite [6] that include both hard and soft blocks on a fixed die with $20 \%$ whitespace. The soft blocks are clusters of standard cells generated by the First Choice clustering heuristic [22]. The hard blocks are the same macros as in the original benchmarks. The allowed range of aspect ratios for the soft blocks was set at $[1 / 3,3]$. The sizes of the benchmarks range from 500 to 2000 blocks. We called this suite of benchmarks the HB-suite (hybrid blocks). These benchmarks are available online [16]. The characteristics of the benchmarks are shown in Table IV. The same table lists the results of both PATOMA and Parquet 4.0 (default mode) on them. For these examples, Parquet's wirelength is on average $102 \%$ higher than PATOMA's, while it is $190 \times$ slower. We conclude that PATOMA has a big advantage over other floorplanning methodologies for large benchmarks and benchmarks that include soft blocks. But even for smaller

TABLE IV
Comparison of Patoma With Parquet 4.0 on Floorplanning Benchmarks Derived From IBM Circuits. Parquet 4.0 Failed To Find Legal Solution for Circuit HB12

| Circuit | \# soft blocks | \# hard blocks | PATOMA |  | Parquet 4.0 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | WL | $\begin{aligned} & \hline \text { Run- } \\ & \text { time } \\ & (\mathrm{sec}) \end{aligned}$ | WL Ratio | Runtime Ratio |
| HB01 | 665 | 246 | $3.10 \mathrm{E}+06$ | 12 | 2.32 | 132 |
| HB02 | 1200 | 271 | $6.42 \mathrm{E}+06$ | 35 | 2.11 | 136 |
| HB03 | 999 | 290 | $9.80 \mathrm{E}+06$ | 26 | 1.68 | 168 |
| HB04 | 1289 | 295 | $1.10 \mathrm{E}+07$ | 33 | 2.03 | 192 |
| HB05 | 564 | 0 | $1.46 \mathrm{E}+07$ | 20 | 1.55 | 75 |
| HB06 | 571 | 178 | $8.83 \mathrm{E}+06$ | 25 | 1.89 | 80 |
| HB07 | 829 | 291 | $1.70 \mathrm{E}+07$ | 41 | 2.08 | 132 |
| HB08 | 968 | 301 | $1.88 \mathrm{E}+07$ | 47 | 2.06 | 169 |
| HB09 | 860 | 253 | $1.87 \mathrm{E}+07$ | 34 | 1.94 | 158 |
| HB10 | 809 | 786 | $5.39 \mathrm{E}+07$ | 46 | 1.46 | 366 |
| HB11 | 1124 | 373 | $2.89 \mathrm{E}+07$ | 53 | 2.38 | 202 |
| HB12 | 582 | 651 | $5.86 \mathrm{E}+07$ | 42 | - | - |
| HB13 | 530 | 424 | $3.69 \mathrm{E}+07$ | 41 | 1.99 | 187 |
| HB14 | 1021 | 614 | $6.60 \mathrm{E}+07$ | 90 | 2.52 | 250 |
| HB15 | 1019 | 393 | $9.04 \mathrm{E}+07$ | 102 | 2.17 | 210 |
| HB16 | 633 | 458 | $1.03 \mathrm{E}+08$ | 84 | 1.88 | 208 |
| HB17 | 682 | 760 | $1.46 \mathrm{E}+08$ | 107 | 1.91 | 380 |
| HB18 | 658 | 285 | $7.32 \mathrm{E}+07$ | 71 | 2.33 | 179 |
|  |  | Averages |  |  | 2.02 | 190 |

benchmarks with hard blocks only, PATOMA is competitive with more traditional SA-based floorplanners.

Fig. 11 shows a floorplan for the benchmark extracted from ibm01. All the benchmarks have an available deadspace of $20 \%$. This is a reasonable value for modern fixed-size designs. The results show that the recursive-bisection flow of the PATOMA algorithm is very effective and efficient compared to other floorplanning algorithms.

## VII. CONCLUSION

A new paradigm has been presented for floorplanning a combination of fixed-and variable-dimension blocks under a wirelength objective and a fixed-outline constraint. By constructively ensuring satisfiability of all constraints at each level by fast area-driven heuristics, recursive cutsize-driven


Fig. 11. Sample output of PATOMA algorithm for benchmark generated from ibm01. Hard blocks are shown with "H" mark.
bipartitioning is allowed to proceed longer, and post hoc legalization is eliminated. The resulting flow is scalable and produces superior wirelengths in orders of magnitude less run time than a leading SA-based tool. In the current implementation, feedback to the bipartitioning is used only to adjust cutline positions, and only until satisfiability is ensured. More elaborate feedback can be expected to improve results significantly on benchmarks with more than 500 blocks.

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Jason Cong (S'88-M'90-SM'96-F'00) received the B.S. degree in computer science from Peking University, China, in 1985, and the M.S. and Ph.D. degrees in computer science from the University of Illinois, Urbana-Champaign, in 1987 and 1990, respectively.

Currently, he is a Professor and Codirector of the very large scale integration (VLSI) CAD Laboratory in the Computer Science Department, University of California, Los Angeles. His research interests include synthesis and layout of VLSI circuits, highly scalable VLSI design algorithms and tools, design and synthesis of programmable circuits and systems, and system-on-a-chip (SoC) designs. He has published over 220 research papers and led over 30 research projects.

Dr. Cong served on technical program committees and executive committees of many professional conferences, such as the Digital/Analog Converter (DAC) International Conference on Computer Aided Design (ICCAD) and the International Symposium on Circuits and Systems (ISCAS), including serving as the General Chair of the 1993 ACM/SIGDA Physical Design Workshop, the Program Chair and General Chair of the 1997 and 1998 International Symposiums on field programmable gate arrays (FPGAs), respectively, the Program Cochair of the 1999 International Symposium on Low-Power Electronics and Designs, and the Program Cochair and General Cochair of the Asia and South Pacific Design Automation Conference (ASPDAC)' 2003 and 2005. He served as an Associate Editor for IEEE Transactions on Very Large Scale Integration Systems, from 1999 to 2002, and has been an Associate Editor of ACM Transaction on Design Automation of Electronic Systems since 1995. He served on the ACM SIGDA Advisory Board from 1993 to 1999. He served on the Board of Governors of the IEEE Circuits and Systems Society from 2001 to 2004. He received the Best Graduate Award from the Peking University, in 1985, and the Ross J. Martin Award for Excellence in Research from the University of Illinois, in 1989. He received the NSF Young Investigator Award, in 1993, the Northrop Outstanding Junior Faculty Research Award from the University of California Los Angeles (UCLA), in 1993, and the ACM/SIGDA Meritorious Service Award, in 1998. He has received three best paper awards, including the 1995 IEEE Transactions on ComputerAided Design Best Paper Award, the 2005 International Symposium on Physical Design Best Paper Award, and the 2005 ACM Transaction on Design Automation of Electronic Systems Best Paper Award. He also received the Semiconductor Research Corporation (SRC) Inventor Recognition Award and the SRC Technical Excellence Award, both in 2000.


Michail Romesis (S'01-M'04) received the B.S. degree in electrical and computer engineering from the National Technical University of Athens, Athen Greece, in 1999, and the M.S. and Ph.D. degrees in computer science from the University of California, Los Angeles, in 2001 and 2005, respectively.

He is currently working at Magma Design Automation, Eindhoven, The Netherlands. His research interests include very large-scale integration computer-aided design algorithms for placement and floorplanning.
Dr. Romesis received the Dimitris Chorafas Foundation Award, in 2003.


Joseph R. Shinnerl (M'00) is a Lecturer and Assistant Researcher in the Department of Computer Science, University of California, Los Angeles (UCLA). His interests include multiscale optimization and interior-point methods for linear and nonlinear programming. Since 1998, he has worked with Tony Chan the UCLA Mathematics Department, and Jason Cong the UCLA Computer Science Department on multiscale algorithms for large-scale circuit placement, including the mPL placement package. He is Coeditor of the book "Multiscale Optimization in VLSICAD."


[^0]:    ${ }^{1}$ Throughout this paper, legal means "explicitly satisfying all aspect-ratio, nonoverlap, and fixed-outline-boundary constraints." Extension of our methodology to more complex constraints such as routability and timing is left as an open question.

[^1]:    ${ }^{2}$ By this definition of $\rho_{i}$, which we use for the remainder of the paper, the aspect ratio of every block is always at least one.

[^2]:    ${ }^{3}$ If the aspect-ratio bounds on the different blocks are different, we must check that the restriction on $\rho(\mathcal{R})$ is strong enough that any block will fit in $\mathcal{R}$ when all other blocks are ignored.

[^3]:    ${ }^{4}$ Unless there is a block with both its dimensions larger than $W$. In that case, a legal placement under the area constraints obviously cannot be found.

[^4]:    ${ }^{5}$ FFPC does support semisoft blocks, whose shapes must be selected from a fixed finite set. Currently, PATOMA supports only soft blocks, not semisoft. It is readily adapted to directly handle semisoft blocks as well.

