

## Feedback Stabilization of Distributed Semilinear Control Systems

J. M. Ball<sup>1</sup> and M. Slemrod<sup>2\*</sup>,

<sup>1</sup>Department of Mathematics, Heriot-Watt University, Edinburgh EH14 4AS

<sup>2</sup>Department of Mathematical Sciences, Rensselaer Polytechnic Institute, Troy, N.Y. 12181

**Abstract.** This paper considers feedback stabilization for the semilinear control system  $\dot{u}(t) = Au(t) + v(t)B(u(t))$ . Here  $A$  is the infinitesimal generator of a linear  $C^0$  semigroup of contractions on a Hilbert space  $H$  and  $B: H \rightarrow H$  is a nonlinear operator. A sufficient condition for feedback stabilization is given and applications to hyperbolic boundary value problems are presented.

### Introduction

This paper considers the question of feedback stabilizability for the semilinear control system

$$\dot{u}(t) = Au(t) + v(t)B(u(t)). \quad (\mathfrak{P})$$

Here  $A$  is the infinitesimal generator of a linear  $C^0$  semigroup of contractions  $e^{At}$  on a real Hilbert space  $H$  with inner product  $\langle \cdot, \cdot \rangle$ , so that  $A$  is *dissipative*, i.e.

$$\langle A\psi, \psi \rangle \leq 0 \quad \text{for all } \psi \in D(A).$$

$B$  is a (possibly nonlinear) operator from  $H$  into  $H$  and  $v(t)$  is a real valued control.

An important special case of  $(\mathfrak{P})$  is when  $e^{At}$  is a group of isometries (so that  $\langle A\psi, \psi \rangle = 0$  for all  $\psi \in D(A)$ ) and  $B$  is a bounded linear operator. This *bilinear control problem* has been considered in the case  $H = \mathbb{R}^n$  by Jurdjevic and

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\*This research was sponsored in part by grant no. MCS76-07012 from the U.S. National Science Foundation.

Quinn [10] and Slemrod [14], who showed that the condition

$$\langle e^{At}\psi, B(e^{At}\psi) \rangle = 0 \quad \text{for all } t \in \mathbb{R}^+ \Rightarrow \psi = 0 \tag{C}$$

is sufficient for stabilizability of  $(\mathcal{P})$ . We generalize this result to the infinite-dimensional case with  $A$  dissipative under the assumption that  $B$  is sequentially continuous from  $H_w$  ( $H$  endowed with its weak topology) to  $H$ . In the bilinear control problem this is equivalent to assuming that  $B$  is compact. Note that in the case when  $e^{At}$  is a group of isometries the equation  $(\mathcal{P})$  with  $v(t) \equiv 0$  is undamped.

The paper is divided into four sections. Sections 1 and 2 provide background material on nonlinear semigroups and nonlinear evolution equations respectively. In particular, we derive in Section 2 a simplified version of an invariance principle originally presented in Ball [2]. In Section 3 we apply the invariance principle to the stabilization problem and show that under our assumptions condition (C) implies weak stabilizability of  $(\mathcal{P})$ . Section 4 provides an application to certain hyperbolic boundary value problems—as an example of the type of result we obtain, consider the system

$$\begin{aligned} y_{tt} - \Delta y + v(t)y &= 0, & x \in \Omega, & \quad t \in \mathbb{R}^+, \\ y|_{\partial\Omega} &= 0, & t \in \mathbb{R}^+, \end{aligned}$$

where  $y = y(x, t)$  and  $\Omega$  is a bounded open subset of  $\mathbb{R}^n$ . We prove that this system is weakly stabilizable in  $H_0^1(\Omega) \times L^2(\Omega)$  if and only if  $\Omega$  is such that all eigenvalues of  $-\Delta$  with Dirichlet boundary conditions are simple.

In order to simplify the proofs it will be assumed throughout that  $H$  is separable. The necessary techniques for dealing with nonseparable  $H$  may be found in [2].

### 1. Preliminary Results on Nonlinear Semigroups

**Definitions.** Let  $H$  be a real Hilbert space. A (generally nonlinear) *semigroup*  $T(t)$  on  $H$  is a family of continuous maps  $T(t): H \rightarrow H, t \in \mathbb{R}^+$ , satisfying (i)  $T(0) = \text{identity}$ , (ii)  $T(t+s) = T(t)T(s)$ , for all  $t, s \in \mathbb{R}^+$ .

For  $\phi \in H$  define the *positive orbit through  $\phi$*  by  $\Theta^+(\phi) = \cup_{t \in \mathbb{R}^+} T(t)\phi$ . The  *$\omega$ -limit set of  $\phi$*  is the (possibly empty) set given by  $\omega(\phi) = \{ \psi \in H : \text{there exists a sequence } t_n \rightarrow \infty \text{ as } n \rightarrow \infty \text{ such that } T(t_n)\phi \rightarrow \psi \text{ as } n \rightarrow \infty \}$ . The *weak  $\omega$ -limit set of  $\phi$*  is the (possibly empty) set given by  $\omega_w(\phi) = \{ \psi \in H : \text{there exists a sequence } t_n \rightarrow \infty \text{ as } n \rightarrow \infty \text{ such that } T(t_n)\phi \rightarrow \psi \text{ as } n \rightarrow \infty \}$ .

A subset  $C$  of  $H$  is said to be *positively invariant* if  $T(t)C \subset C$  for all  $t \in \mathbb{R}^+$ , and *invariant* if  $T(t)C = C$  for all  $t \in \mathbb{R}^+$ .

**Theorem 1.1.** (i) *If  $\Theta^+(\phi)$  is precompact then  $\omega(\phi)$  is a nonempty, invariant set in  $H$ .* (ii) *If each  $T(t)$  is sequentially weakly continuous on  $H$  (i.e.  $T(t)\phi_n \rightarrow T(t)\phi$  if  $\phi_n \rightarrow \phi$ ), then  $\Theta^+(\phi)$  bounded implies  $\omega_w(\phi)$  is a nonempty, invariant set in  $H$ .*

*Proof.*

(i) The proof is a direct consequence of Prop. 2.2 in Dafermos [6].

(ii) Since  $\Theta^+(\phi)$  belongs to a sequentially weakly compact set in  $H$ ,  $\omega_w(\phi)$  is non-empty. Furthermore, since  $H$  is separable this weakly compact set may be regarded as a compact set in a metric space with a metric induced by the weak topology (see Dunford and Schwartz [8]). The result again follows from Prop. 2.2 in Dafermos [6].

**Remark 1.1.** In the study of nonlinear semigroups of “parabolic” type and nonlinear contraction semigroups of “hyperbolic” type sufficient conditions have been given for  $\Theta^+(\phi)$  to be precompact and hence  $\omega(\phi)$  to be nonempty (see Henry [9], Pazy [11] and Dafermos and Slemrod [7]). Unfortunately these results do not apply to the problems considered here. For this reason our main conceptual tool in studying asymptotic behaviour of  $(\mathcal{P})$  is the weak  $\omega$ -limit set.

## 2. Preliminary Results on Nonlinear Evolution Equations

Consider the initial value problem

$$\begin{aligned} \dot{u}(t) &= Au(t) + f(u(t), t), \\ u(t_0) &= u_0, \end{aligned} \tag{2.1}$$

where  $A$  is the infinitesimal generator of a  $C^0$  semigroup  $e^{At}$  on a real Hilbert space  $H$  with inner product  $\langle \cdot, \cdot \rangle$  and norm  $\| \cdot \|$ ,  $f: H \times \mathbb{R} \rightarrow H$  is a given function, and  $u_0 \in H$  is a given initial datum.

**Definition.** Let  $t_1 > t_0$ . A function  $u \in C([t_0, t_1]; H)$  is a weak solution of (2.1) on  $[t_0, t_1]$  if  $u(t_0) = u_0$ ,  $f(u(\cdot), \cdot) \in L^1(t_0, t_1; H)$  and if for each  $w \in D(A^*)$  the function  $\langle u(t), w \rangle$  is absolutely continuous on  $[t_0, t_1]$  and satisfies

$$\frac{d}{dt} \langle u(t), w \rangle = \langle u(t), A^* w \rangle + \langle f(u(t), t), w \rangle$$

for almost all  $t \in [t_0, t_1]$ .

**Theorem 2.1** (cf. Balakrishnan [1], Ball [3]). Let  $t_1 > t_0$ . A function  $u: [t_0, t_1] \rightarrow H$  is a weak solution of (2.1) on  $[t_0, t_1]$  if and only if  $f(u(\cdot), \cdot) \in L^1(t_0, t_1; H)$  and  $u$  satisfies the variation of constants formula

$$u(t) = e^{A(t-t_0)}u_0 + \int_{t_0}^t e^{A(t-s)}f(u(s), s)ds$$

for all  $t \in [t_0, t_1]$ .

**Remark 2.1.** Functions  $u$  satisfying the variation of constants formula are often called “mild solutions” of (2.1).

The following elementary existence and uniqueness result for (2.1) is sufficient for our purposes (see Segal [13] or Pazy [12]).

**Theorem 2.2.** *Let  $f: H \times \mathbb{R} \rightarrow H$  be continuous in  $t$  and locally Lipschitz in  $u$ . Then for each  $u_0 \in H$  ( $\mathcal{U}$ ) has a unique weak solution  $u$  defined on a maximal interval of existence  $[t_0, t_{\max})$ ,  $t_{\max} > t_0$ ,  $u \in C([t_0, t_{\max}); H)$ . Moreover, if  $u_n \in C([t_0, t_1]; H)$  are weak solutions of ( $\mathcal{U}$ ) such that  $u_n(0) \rightarrow u_0$  as  $n \rightarrow \infty$ ,  $t_1 > t_0$ , then  $u_n \rightarrow u$  in  $C([t_0, t_1]; H)$  as  $n \rightarrow \infty$ , where  $u$  is the unique weak solution of ( $\mathcal{U}$ ) satisfying  $u(0) = u_0$ . Furthermore, for any weak solution  $u$  with  $t_{\max} < \infty$  there holds*

$$\lim_{t \nearrow t_{\max}} \|u(t)\| = \infty.$$

Theorem 2.2 provides information on continuity with respect to initial conditions in the norm topology of weak solutions of ( $\mathcal{U}$ ). The following theorem provides similar information in the weak topology of  $H$ . For simplicity we consider only the autonomous case  $f(t, u) = f(u)$ ,  $t_0 = 0$ .

**Theorem 2.3.** *Let  $f: H \rightarrow H$  be sequentially weakly continuous ( $\psi_n \rightarrow \psi$  implies  $f(\psi_n) \rightarrow f(\psi)$ ). Let ( $\mathcal{U}$ ) possess a unique weak solution  $u(t; u_0)$  on  $[0, T]$  for each  $u_0 \in H$ . Furthermore suppose  $\|u(t; u_0)\| \leq \text{const.}$  if  $t \in [0, T]$  and  $u_0$  is restricted to a bounded subset of  $H$ . Then  $u_{0_n} \rightarrow u_0$  implies  $u(t; u_{0_n}) \rightarrow u(t; u_0)$  for every  $t \in [0, T]$ .*

*Proof.* Let  $u_n(t) = u(t; u_{0_n})$  and  $u(t) = u(t; u_0)$ . Since  $\{u_{0_n}\}$  is bounded so is  $\{u_n(t)\}$  for all  $n$ ,  $t \in [0, T]$ . Also,  $f$  maps bounded sets to bounded sets, so that  $\|f(u_n(t))\| \leq \text{const.}$  for all  $n$ ,  $t \in [0, T]$ . Let  $t_n \searrow t$  in  $[0, T]$ . For  $w \in H$  let

$$a_r = \sup_{\substack{\|\phi\| \leq 1 \\ 0 < s < t}} |\langle [e^{A(t-s)} - e^{A(t_n-s)}] \phi, w \rangle|.$$

We claim that  $a_r \rightarrow 0$  as  $r \rightarrow \infty$ . If not there exist sequences  $\{\phi_\mu\}, \{s_\mu\}$  such that  $\phi_\mu \rightarrow \phi, s_\mu \rightarrow s$  in  $[0, t], t_\mu \rightarrow t$ , and a number  $\varepsilon > 0$  with

$$|\langle [e^{A(t-s_\mu)} - e^{A(t_\mu-s_\mu)}] \phi_\mu, w \rangle| \geq \varepsilon.$$

But the map  $(t, \phi) \mapsto e^{At} \phi$  is jointly sequentially weakly continuous on  $\mathbb{R}^+ \times H$  (see Ball [2]) so that

$$\begin{aligned} e^{A(t-s_\mu)} \phi_\mu &\rightharpoonup e^{A(t-s)} \phi, \\ e^{A(t_\mu-s_\mu)} \phi_\mu &\rightharpoonup e^{A(t-s)} \phi, \end{aligned}$$

and hence  $a_r \rightarrow 0$ .

We have by the variation of constants formula that

$$\begin{aligned} |\langle u_n(t_r) - u_n(t), w \rangle| &\leq |\langle [e^{At_r} - e^{At}] u_{0_n}, w \rangle| \\ &\quad + \int_0^t |\langle [e^{A(t_r-\tau)} - e^{A(t-\tau)}] f(u_n(\tau)), w \rangle| d\tau \\ &\quad + \int_t^{t_r} |\langle e^{A(t_r-\tau)} f(u_n(\tau)), w \rangle| d\tau \\ &\leq \text{const.}_1 a_r + \text{const.}_2 |t_r - t|. \end{aligned}$$

Hence  $\langle u_n(t_r) - u_n(t), w \rangle \rightarrow 0$  uniformly as  $r \rightarrow \infty$ . A similar argument shows that for  $t_r \nearrow t$ ,  $\langle u_n(t_r) - u_n(t), w \rangle \rightarrow 0$  uniformly as  $r \rightarrow \infty$ . Thus  $\{u_n(t)\}$  is equicontinuous in  $C([0, T]; H_w)$ . Furthermore, since  $\{u_n(t)\}$  is uniformly bounded in  $n$  for all  $t \in [0, T]$ , we may view  $\{u_n(t)\}$  as belonging to a bounded set in  $H$  endowed with the metrized weak topology. Hence we can apply the Ascoli-Arzela theorem for metric spaces to conclude that there exists  $\tilde{u} \in C([0, T]; H_w)$  and a subsequence  $\{u_\nu(t)\}$  so that  $u_\nu(t) \rightarrow \tilde{u}(t)$  uniformly on  $[0, T]$  as  $\nu \rightarrow \infty$ . But

$$u_\nu(t) = e^{At}u_{0\nu} + \int_0^t e^{A(t-s)}f(u_\nu(s))ds,$$

and hence, for any  $w \in H$ ,

$$\langle u_\nu(t), w \rangle = \langle e^{At}u_{0\nu}, w \rangle + \int_0^t \langle e^{A(t-s)}f(u_\nu(s)), w \rangle ds.$$

We may now take the limit as  $\nu \rightarrow \infty$  and employ the sequential weak continuity of  $f$  and the dominated convergence theorem to conclude that

$$\langle \tilde{u}(t), w \rangle = \langle e^{At}u_0, w \rangle + \int_0^t \langle e^{A(t-s)}f(\tilde{u}(s)), w \rangle ds.$$

Since this equality holds for all  $w \in H$ ,

$$\tilde{u}(t) = e^{At}u_0 + \int_0^t e^{A(t-s)}f(\tilde{u}(s))ds.$$

By uniqueness of solutions to  $(\mathcal{U})$  we must have  $u(t) = \tilde{u}(t)$  on  $[0, T]$ .

To conclude the proof assume that  $u_{0n} \rightarrow u_0$  and that  $\{u_n(t)\}$  does not converge to  $u(t)$  in  $C([0, T]; H_w)$ . We may assume that  $\{u_n(t)\}$  lies outside a fixed neighbourhood of  $u(t)$  in  $C([0, T]; H_w)$ . By the above argument  $\{u_n(t)\}$  possesses a subsequence converging to  $u(t)$ . This contradiction completes the proof.

The next result characterizes the asymptotic behaviour of solutions to  $(\mathcal{U})$  in an important special case. We apply it to the stabilization problem in the next section.

**Theorem 2.4.** *Let  $A$  generate a linear  $C^0$  semigroup  $e^{At}$  of contractions on  $H$ . Let  $f: H \rightarrow H$  satisfy*

- (i)  $f$  is locally Lipschitz,
- (ii)  $\psi_n \rightarrow \psi \Rightarrow f(\psi_n) \rightarrow f(\psi)$ ,
- (iii)  $\langle f(\psi), \psi \rangle \leq 0$  for all  $\psi \in H$ .

*Then  $(\mathcal{U})$  possesses a unique weak solution  $u(t; u_0)$  on  $\mathbb{R}^+$  for each  $u_0 \in H$ . Furthermore  $T(t)u_0 = u(t; u_0)$  defines a semigroup on  $H$ ,  $\omega_w(u_0)$  is a nonempty invariant set for each  $u_0 \in H$ , and for each  $\psi \in \omega_w(u_0)$*

$$\langle T(t)\psi, f(T(t)\psi) \rangle = 0 \quad \text{for all } t \in \mathbb{R}^+.$$

*If, in addition, the only solution to the above equation is  $\psi = 0$ , then  $u(t; u_0) \rightarrow 0$  as  $t \rightarrow \infty$ .*

*Proof.* From Theorem 2.1 we know  $(\mathcal{U})$  possesses a unique local weak solution  $u(t) = u(t; u_0)$  for each  $u_0 \in H$ . Since  $A$  is dissipative a simple approximation argument (cf. Ball [2 Lemma 5.5]) shows that

$$\|u(t)\|^2 - \|u_0\|^2 \leq 2 \int_0^t \langle f(u(s)), u(s) \rangle ds \leq 0, \quad (\mathcal{E})$$

and hence, again using Theorem 2.1,  $u(t; u_0)$  exists for all  $t \in \mathbb{R}^+$ . Also  $(\mathcal{E})$  and Theorem 2.3 imply that  $u(t; \cdot) : H \rightarrow H$  is sequentially weakly continuous. Clearly  $T(t)u_0 = u(t; u_0)$  defines a semigroup, and by Theorem 1.1 the weak  $\omega$ -limit set  $\omega_w(u_0)$  is nonempty and invariant. Let  $\psi \in \omega_w(u_0)$ . Then there exists a sequence  $t_n \rightarrow \infty$  such that  $T(t_n)u_0 \rightarrow \psi$  as  $n \rightarrow \infty$ . By  $(\mathcal{E})$

$$\begin{aligned} \lim_{n \rightarrow \infty} \int_{t_n}^{t_n+t} \langle f(T(s)u_0), T(s)u_0 \rangle ds \\ = \lim_{n \rightarrow \infty} \int_0^t \langle f(T(s)T(t_n)u_0), T(s)T(t_n)u_0 \rangle ds = 0 \end{aligned}$$

for each  $t \in \mathbb{R}^+$ . By Theorem 2.3 and hypothesis (ii)

$$\lim_{n \rightarrow \infty} \langle f(T(s)T(t_n)u_0), T(s)T(t_n)u_0 \rangle = \langle f(T(s)\psi), T(s)\psi \rangle$$

for each  $s \in [0, t]$ . Hence by the dominated convergence theorem

$$\int_0^t \langle f(T(s)\psi), T(s)\psi \rangle ds = 0.$$

Since  $f$  is continuous this implies that

$$\langle f(T(t)\psi), T(t)\psi \rangle = 0 \quad \text{for all } t \in \mathbb{R}^+,$$

as required.

### 3. The Stabilization Problem

Let  $(\mathcal{P})$  be as given in the introduction, and let  $B$  be locally Lipschitz.

**Definition.** System  $(\mathcal{P})$  is stabilizable (weakly stabilizable) if there exists a continuous feedback control  $v : H \rightarrow \mathbb{R}$  such that  $(\mathcal{P})$  with  $v(t) = v(u(t))$  satisfies the properties

- (i) For each  $u_0$  there exists a unique weak solution  $u(t; u_0)$  defined for all  $t \in \mathbb{R}^+$ , of  $(\mathcal{P})$ .
- (ii)  $\{0\}$  is a stable equilibrium of  $(\mathcal{P})$ .
- (iii)  $u(t; u_0) \rightarrow 0$  ( $u(t; u_0) \rightarrow 0$ ) as  $t \rightarrow \infty$  for all  $u_0 \in H$ .

The natural approach to the stabilization problem is to formally differentiate  $\|u(t)\|^2$  along trajectories of  $(\mathcal{P})$ , obtaining thus

$$\frac{d}{dt} \|u(t)\|^2 = 2 \langle Au(t), u(t) \rangle + 2v(t) \langle u(t), B(u(t)) \rangle.$$

An obvious choice of feedback control (though not the only one) is

$$v(u) = -\langle u, B(u) \rangle,$$

since this control yields the “dissipating energy inequality”

$$\frac{d}{dt} \|u(t)\|^2 \leq -2\langle u(t), B(u(t)) \rangle^2.$$

For this choice of  $v(u)$  our feedback control system becomes

$$\dot{u}(t) = Au(t) - \langle u(t), B(u(t)) \rangle B(u(t)). \tag{3F}$$

While we would like to be able to treat the general case of continuous  $B : H \rightarrow H$ , our results unfortunately apply only to the case when  $B : H_w \rightarrow H$  is sequentially continuous.

**Theorem 3.1.** *If  $B : H_w \rightarrow H$  is sequentially continuous and*

$$\langle e^{At}\psi, B(e^{At}\psi) \rangle = 0 \text{ for all } t \in \mathbb{R}^+ \implies \psi = 0, \tag{3C}$$

*then (3F) is weakly stabilizable.*

*Proof.* Set  $f(u) = -\langle u, B(u) \rangle B(u)$ . (i) Since  $B$  maps bounded sets to bounded sets, it is easily verified that  $f$  is locally Lipschitz. (ii) Since  $B : H_w \rightarrow H$  is sequentially continuous,  $\psi_n \rightarrow \psi$  implies  $f(\psi_n) \rightarrow f(\psi)$ . (iii) Clearly  $\langle f(\psi), \psi \rangle \leq 0$  for all  $\psi \in H$ . Thus  $f$  satisfies the hypotheses of Theorem 2.4. Let  $u_0 \in H, \psi \in \omega_w(u_0)$ . By Theorem 2.4

$$\langle T(t)\psi, f(T(t)\psi) \rangle = 0 \quad \text{for all } t \in \mathbb{R}^+.$$

Hence  $\langle T(t)\psi, B(T(t)\psi) \rangle = 0$  for all  $t \in \mathbb{R}^+$ , so that  $f(T(t)\psi) = 0$  for all  $t \in \mathbb{R}^+$ . By the variation of constants formula  $T(t)\psi = e^{At}\psi$ . Hence (3C) implies that  $\psi = 0$ .

#### 4. Applications to Hyperbolic Problems

Let  $V$  be a real Hilbert space with inner product  $\langle \cdot, \cdot \rangle_V$ . Let  $P$  be a densely defined positive self-adjoint linear operator on  $V$  such that  $P^{-1}$  is everywhere defined and compact. Let  $V_P = D(P^{\frac{1}{2}})$ .  $V_P$  forms a Hilbert space under the inner product

$$\langle w_1, w_2 \rangle_P = \langle P^{\frac{1}{2}} w_1, P^{\frac{1}{2}} w_2 \rangle_V.$$

Consider the abstract wave equation

$$\ddot{y} + Py + v(t)y = 0, \tag{4A}$$

where  $v(t)$  is a real valued control.

To write  $(\mathcal{Q})$  in the form  $(\mathcal{P})$  we set

$$u = (y, z), H = V_P \times V, \langle (y_1, z_1), (y_2, z_2) \rangle_H = \langle y_1, y_2 \rangle_P + \langle z_1, z_2 \rangle_V,$$

$$A = \begin{pmatrix} 0 & I \\ -P & 0 \end{pmatrix}, B = \begin{pmatrix} 0 & 0 \\ -I & 0 \end{pmatrix}, D(A) = D(P) \times V_P.$$

$A$  is skew-adjoint, and the compactness of the injection  $V_P \rightarrow V$  implies that  $B: H \rightarrow H$  is compact.

**Theorem 4.1.** *System  $(\mathcal{Q})$  is weakly stabilizable if and only if all eigenvalues  $\lambda_m$  of  $P$  are simple.*

*Proof.* Suppose that the eigenvalues  $\{\lambda_m\}$  are simple, and let  $\{\phi_m\}$  denote the corresponding eigenfunctions normalized so that  $\|\phi_m\|_V = 1$  for all  $m = 1, 2, \dots$ . We apply Theorem 3.1; the feedback control is given by

$$v(t) = -\langle u(t), Bu(t) \rangle_H$$

$$= \langle y(t), \dot{y}(t) \rangle_V.$$

To check whether  $(\mathcal{C})$  is satisfied we expand  $\psi \in H$  in terms of the complete set of eigenfunctions of  $A$ , i.e.

$$\psi = \sum_{m=1}^{\infty} \begin{pmatrix} c_m \\ \sqrt{\lambda_m} d_m \end{pmatrix} \phi_m.$$

Separation of variables yields

$$e^{At}\psi = \sum_{m=1}^{\infty} \begin{pmatrix} c_m \cos \sqrt{\lambda_m} t + d_m \sin \sqrt{\lambda_m} t \\ -\sqrt{\lambda_m} c_m \sin \sqrt{\lambda_m} t + \sqrt{\lambda_m} d_m \cos \sqrt{\lambda_m} t \end{pmatrix} \phi_m,$$

and we easily see that

$$\langle e^{At}\psi, Be^{At}\psi \rangle_H = \sum_{m=1}^{\infty} \sqrt{\lambda_m} \left[ \frac{1}{2}(c_m^2 - d_m^2) \sin 2\sqrt{\lambda_m} t - c_m d_m \cos 2\sqrt{\lambda_m} t \right].$$

From the uniqueness of the Fourier series expansion for almost periodic functions (cf. Besicovitch [4]) we deduce that  $\langle e^{At}\psi, Be^{At}\psi \rangle_H = 0$  for all  $t \in \mathbb{R}^+$  implies  $c_m^2 - d_m^2 = 0, c_m d_m = 0, m = 1, 2, \dots$ , i.e.  $c_m = d_m = 0$  for  $m = 1, 2, \dots$  and  $\psi = 0$ . Hence  $(\mathcal{C})$  holds, so that by Theorem 3.1 system  $(\mathcal{Q})$  is weakly stabilizable.

Conversely, let  $\lambda$  be an eigenvalue of  $P$  with two linearly independent eigenfunctions  $\phi$  and  $\phi^*$ . Let  $\psi = \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \phi + \begin{pmatrix} \alpha^* \\ \beta^* \end{pmatrix} \phi^*$  with  $\alpha^* \beta \neq \alpha \beta^*$ . Then the solution  $y$  of  $(\mathcal{Q})$  satisfying  $(y(0), \dot{y}(0)) = \psi$  is given by

$$y(t) = w(t)\phi + w^*(t)\phi^*,$$



where

$$\begin{aligned} \ddot{w} + \lambda w + v(t)w &= 0, \\ \ddot{w}^* + \lambda w^* + v(t)w^* &= 0, \end{aligned}$$

and  $w(0) = \alpha, \dot{w}(0) = \beta, w^*(0) = \alpha^*, \dot{w}^*(0) = \beta^*$ . Eliminating  $v(t)$  we obtain

$$\dot{w}(t)w^*(t) - w(t)\dot{w}^*(t) = \alpha^*\beta - \alpha\beta^* \neq 0.$$

Hence  $(y(t), \dot{y}(t)) \not\rightarrow (0, 0)$  as  $t \rightarrow \infty$  for any control  $v(t)$ .

*Example 1 (Wave equation).* Let  $\Omega$  be a bounded open subset of  $\mathbb{R}^n$ , and consider the system

$$\begin{aligned} y_{tt} - \Delta y + v(t)y &= 0, & x \in \Omega, t \in \mathbb{R}^+, \\ y|_{\partial\Omega} &= 0, \end{aligned} \tag{1}$$

where  $y = y(x, t)$ . This system has the form (Q) if we set

$$V = L^2(\Omega), D(P) = \{w \in V : -\Delta w \in V\}, P = -\Delta,$$

so that  $V_P = H_0^1(\Omega)$ . Hence (1) is weakly stabilizable in  $H_0^1(\Omega) \times L^2(\Omega)$  if and only if the eigenvalues  $\lambda_m$  of  $-\Delta$  with Dirichlet boundary conditions are simple. This is a condition on  $\Omega$ , which holds, for example, if  $n = 1$  and  $\Omega$  is an open interval. If  $n = 2$  and  $\Omega$  is a disc then the condition does not hold, while if  $\Omega$  is a rectangle with sides  $a, b$  then the condition holds if and only if  $a/b$  is irrational (cf Courant-Hilbert [5]).

*Example 2 (Beam equation).* Consider the equation

$$y_{tt} + y_{xxxx} + v(t)y = 0, \quad 0 < x < 1, t \in \mathbb{R}^+,$$

with boundary conditions either

$$y = y_x = 0 \text{ at } x = 0, 1 \text{ (clamped ends)} \tag{2}$$

or

$$y = y_{xx} = 0 \text{ at } x = 0, 1 \text{ (simple supported ends)}$$

This system has the form (Q) if we set

$$V = L^2(0, 1), P = \frac{d^4}{dx^4},$$

$$D(P) = \{y \in V : y_{xxxx} \in V, y \text{ satisfies boundary conditions}\}.$$

In the clamped (simply supported) case  $V_P = H_0^2(0, 1)$  ( $V_P = H^2(0, 1) \cap H_0^1(0, 1)$ ). It is well known (cf. Courant-Hilbert [5]) that in both cases the eigenvalues of  $P$  are simple. Hence (2) is weakly stabilizable in  $H = V_P \times L^2(0, 1)$ .

**Remark 4.1.** We have been unable to determine whether in Examples 1 (with  $\lambda_m$  simple) and 2 above, and with our choice of feedback control

$$v(t) = \langle y, y_t \rangle_{L^2}, \quad (3)$$

all solutions  $(y, y_t)$  converge *strongly* to  $(0, 0)$  in  $V_p \times V$  as  $t \rightarrow \infty$ .

**Remark 4.2.** It is important to notice that the equality in condition (C) must hold for *all*  $t \in \mathbb{R}^+$ , and not merely for all sufficiently small  $t$ ; this fact was used crucially in the proof of Theorem 4.1. Indeed for any  $0 < \tau < 1$  there are nonzero solutions  $y$  of the one-dimensional wave equation

$$\begin{aligned} y_{tt} &= y_{xx}, & 0 < x < 1, \\ y &= 0 \text{ at } x = 0, 1, \end{aligned}$$

satisfying

$$\langle y, y_t \rangle_{L^2(0,1)} = \frac{d}{dt} \int_0^1 y^2 dx = 0 \quad \text{for all } t \in [0, \tau].$$

(Consider a unidirectional pulse with small compact support.) If  $v(t)$  is given by (3) then such solutions satisfy (1) on  $[0, \tau]$ ; this illustrates the subtle nature of the damping induced by the feedback control.

**Remark 4.3.** Consider the problem

$$\begin{aligned} y_{tt} - y_{xx} + v(t)f(y) &= 0, & 0 < x < 1, \\ y &= 0 \text{ at } x = 0, 1, \end{aligned}$$

where  $f \in C^1(\mathbb{R})$  is nonlinear. Let  $F(y) \stackrel{\text{def}}{=} \int^y f(s) ds$ . It is easily seen using Theorem 2.4 that with the choice of feedback control

$$v(t) = \int_0^1 f(y) y_t dx,$$

all solutions  $(y, y_t)$  of (4) converge weakly in  $H_0^1(0,1) \times L^2(0,1)$  to the set  $S$  consisting of the initial data of all solutions  $w$  of

$$\begin{aligned} w_{tt} &= w_{xx}, \\ w &= 0 \text{ at } x = 0, 1, \end{aligned}$$

satisfying

$$\int_0^1 F(w(x,t)) dx = \text{constant}, \quad \text{for all } t \in \mathbb{R}^+.$$

However it seems to be a difficult problem to find conditions on  $f$  guaranteeing that  $S = (0, 0)$ , so that (4) is weakly stabilizable.

**Remark 4.4.** An interesting example arising in mechanics is that of stabilizing a vibrating beam by choosing the axial load as a feedback control. A simple model of this situation consists of the equation

$$y_{tt} + y_{xxxx} + v(t)y_{xx} = 0, \quad 0 < x < 1,$$

where  $v(t)$  is the axial load and  $y(x,t)$  the transverse displacement, with either clamped or simply supported boundary conditions. Let  $H, \langle \cdot, \cdot \rangle_H$  and  $A$  be defined as for Example 2. The relevant point is that the operator  $B: H \rightarrow H$  given by

$$B = \begin{pmatrix} 0 & 0 \\ -d^2 & 0 \\ dx^2 & 0 \end{pmatrix}$$

is bounded, but not compact. Hence our theory does not apply.

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Received October 17, 1977, accepted June 23, 1978.