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TITLE: Fermented beverages with health-promoting potential: Past and future perspectives

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35 **Fermented beverages with health-promoting potential: past and future**
36 **perspectives**

37

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39

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60 **ABSTRACT**

61 Fermentation is an ancient form of food preservation, which also improves the nutritional
62 content of foods. In many regions of the world, fermented beverages have become known for
63 their health-promoting attributes. In addition to harnessing traditional beverages for
64 commercial use, there have recently been innovative efforts to develop non-dairy probiotic
65 fermented beverages from a variety of substrates, including soy milk, whey, cereals and
66 vegetable and fruit juices. On the basis of recent developments, it is anticipated that fermented
67 beverages will continue to be a significant component within the functional food market.

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98 **Introduction**

99 Societies throughout the world independently discovered the value of fermenting food as a
100 cheap means of preservation, improving nutritional quality and enhancing sensory
101 characteristics. The fermentation of milk, cereals and other substrates to produce beverages
102 with health-promoting properties is indigenous to many regions of Asia, Africa, Europe, the
103 Middle East and South America. Evidence from pottery vessels show that fermented rice, honey
104 and fruit beverages date as far back as 7000 B.C. in China (McGovern *et al.*, 2004), and there is
105 evidence of kombucha manufacture dating back to approximately 220 B.C. (Dufresne &
106 Farnworth, 2000), while recent proteomic analysis has shown kefir-like milk to have been
107 fermented some 3500 years ago in Asia (Yang *et al.*, 2014). While many such beverages have for
108 quite some time been noted for their putative health-promoting attributes, this interest is now
109 being harnessed by modern biotechnological techniques to develop the next generation of
110 fermented functional beverages.

111 The global functional beverage market is a growing sector of the food industry as
112 modern health-conscious consumers show an increasing desire for foods that can improve well-
113 being and reduce the risk of disease. Fermented milks, especially yoghurt-style products, are
114 the most popular functional beverages with kefir in Western Europe and North America and
115 ymer in Denmark being good examples. Notably, the global functional food and drink market
116 increased 1.5 fold between 2003 and 2010, and is expected to grow a further 22.8% between
117 2010 and 2014 to be worth €21.7 billion (Leatherhead, 2011), with other estimates predicting
118 the market will reach €65 billion by the year 2016 (Companiesandmarkets, 2013). Dairy-based
119 produce account for approximately 43% of the functional beverage market, and is mainly
120 comprised of fermented products (Özer & Kirmaci, 2010). It is also intriguing to note that a
121 number of food companies that have been under pressure, due to the poor public perception
122 regarding the ‘healthiness’ of the foods they produce, are now focusing on developing such
123 functional products.

124 In this article we review the literature regarding traditional fermented beverages with
125 reputed health benefits, and explore recent trends and developments in this field, as well as
126 areas for future research.

127

128 **Natural fermented beverages: sources and microbial composition**

129 ***Naturally fermented milks***

130 The yoghurt and fermented milks market is currently worth €46 billion, with North America,
131 Europe and Asia accounting for 77% of the market. Many communities across the world
132 produce naturally fermented milks with many of these products being of a yoghurt-style
133 consistency. Fermented milk products can be made with milk (or skimmed milk) from various
134 sources, including cow, camel, goat, sheep, yak and even coconut, milk, and can be either
135 pasteurised or unpasteurised. They can be produced through the use of defined starter
136 cultures, back-slopping or allowed to ferment naturally. Although fermented milk beverages are
137 predominantly composed of lactic acid bacteria (LAB), the exact microbial content may vary
138 depending on the source of milk, treatment of the milk (e.g. pasteurisation), use of starters, the
139 nature of the local environmental microbes present, temperatures, hygiene, the type and
140 treatment of containers used and the length of fermentation. Many artisanal fermented milk
141 beverages are produced as a result of back-slopping, whereby a small portion of already-
142 fermented milk is used to begin a new fermentation. In this way, cultures from the LAB
143 naturally present in the raw milk are passed from household to household and between
144 generations. While the consumption of spontaneously fermented milk is common to many
145 different regions, the exact microbial differences between these products have not been
146 ascertained. **Table 1** lists a number of the most popular and best-studied fermented beverages
147 from around the world, along with information with respect to their corresponding microbial
148 compositions. From this, the domination of milk-based beverages fermented by LAB, mainly
149 *Leuconostoc*, lactobacilli and lactococci, is clear. Fermentation in colder climates promotes the
150 growth of mesophilic bacteria such as *Lactococcus* and *Leuconostoc*, whereas beverages
151 produced at higher temperatures usually have greater counts of thermophilic bacteria such as
152 *Lactobacillus* and *Streptococcus*. The contributions of slime-producing species or acetic acid
153 producing species, generally present at low abundance relative to *Lactobacillus* or *Lactococcus*
154 species, vary depending on abundance. There may also be significant numbers of coliforms
155 present, depending on the level of hygiene employed during preparation, with high levels
156 having been noted in some African beverages (Gran, Gadaga, & Narvhus, 2003). The quantity

157 and types of yeasts involved can vary greatly, but *Candida* and *Saccharomyces* are the species
158 most commonly detected.

159 Of the many fermented milk beverages, kefir, a drink that originated with shepherds in
160 the Caucasian mountains has been a notable success, gaining worldwide popularity, with the
161 market now worth €78.7 million in North America alone (Lifeway, 2014). The microorganisms
162 responsible for the fermentation are actually a symbiotic combination of bacteria and yeast,
163 bound within a polysaccharide matrix, known as kefir 'grains'. Koumiss, sometimes known as
164 airag, is a popular beverage of nomadic cattle breeders in Asia and some regions of Russia. This
165 beverage is similar to kefir, but there is no solid inoculation matrix, and this milk is fermented
166 by back-slopping or by allowing the milk to ferment naturally, and has been reported to contain
167 fewer lactococci. Shubat is a fermented camels milk popular in Asia, also believed to have
168 healing properties (Rahman, Nurgul, Chen Xiaohong, Feng Meiqin, 2009). In Africa, fermented
169 milk beverages are quite popular, where the art of making fermented products is passed down
170 through generations. Examples of such beverages include amasi from Zimbabwe, kivuguto from
171 Rwanda, suusac from Kenya, nyarmie from Ghana and rob and garris from Sudan. Considering
172 that most of these are derived from the spontaneous fermentation of milk by its innate
173 microbiota, it is likely that the fermented milks, although known by different names, are
174 actually quite similar, and can be, in combination, referred to as naturally fermented milk
175 (NFM) (Narvhus & Gadaga, 2003). Nonetheless, accurate categorization remains difficult in the
176 absence of more detailed microbiological and biochemical analyses. Also, in many countries
177 yoghurts are diluted with water to form drinkable fermented milk, such as doogh, ayran, chaas
178 and lassi, with the resulting microbial composition generally being similar to that of yoghurt.
179 The composition and purported health benefits associated with fermented dairy beverages can
180 also be read about in a recent review by Shiby and Mishra (Shiby & Mishra, 2013).

181

182 ***Non-dairy fermented beverages***

183 Another important class of fermented beverages are those made from cereals, which are
184 popular in tropical regions and on the continent of Africa in particular. As with many milk-based
185 products, the natural microbial component is used to ferment grains including maize, millet,
186 barley, oats, rye, wheat, rice or sorghum. The grains are often heated, mashed and sometimes

187 filtered. Back-slopping is again quite common, but the microbial populations responsible for the
188 fermentation of these beverages are not as well characterised.

189 Boza, consumed in Bulgaria and Turkey, is generated through the fermentation of a
190 variety of cereals including barley, oats, rye, millet, maize, wheat or rice, with the specific
191 composition affecting the viscosity, fermentability and content of the final beverage (Akpinar-
192 Bayizit, Arzu, Lutfiye Yilmaz-Ersan, 2010). The cereal is boiled and filtered, a carbohydrate
193 source is added, and the mixture can be left to ferment independently or with the use of back-
194 slop. Boza has yet to be commercialised and studies have revealed that the microbial
195 population varies. The function of the yeast present, which are only sometimes detected,
196 remains unknown. Of several combinations, it has been suggested that fermentation by *S.*
197 *cerevisiae*, *Leuconostoc mesenteroides* and *Lactobacillus confusus* produce the most palatable
198 beverage (Zorba, Hancioglu, Genc, Karapinar, & Ova, 2003).

199 Togwa, a sweet and sour, non-alcoholic beverage, is one of the better studied African
200 cereal beverages. Produced from the flour of maize, sorghum and finger millet and, sometimes,
201 cassava root, the chosen substrates are boiled, cooled and fermented for approximately 12
202 hours to form a porridge, which is then diluted to drink (Kitabatake, Gimbi, & Oi, 2003).
203 Mahewu is similar in that maize or sorghum meal is fermented with millet or sorghum malt, and
204 is available commercially (Mugochi, Tapiwa, Tony Mutukumira, 2001). Bushera is generally
205 prepared from germinated or non-germinated sorghum grains, and fermented for 1-6 days
206 (Muyanja, Narvhus, Treimo, & Langsrud, 2003). These beverages are often used to wean
207 children, and as a high-energy diet supplement. Koko sour water is the fermented liquid water
208 created in the production of the fermented porridge, koko. This contains a high portion of LAB
209 and is used by locals to treat stomach aches and as a refreshing beverage (Lei & Jakobsen,
210 2004).

211 Kvass is a fermented rye bread beverage common in Russia, which has seen much
212 commercial success. The beverage can have a sparkling, sweet or sour, rye bread flavour. Its
213 alcohol content, though usually low, can vary, and has been suggested as a contributor to
214 alcoholism (Jargin, 2009). Amazake is a sweet fermented rice beverage that is the non-alcoholic
215 precursor to sake, produced in Japan. Steamed rice is mixed with rice-*koji* (*Aspergillus-mycelia*

216 and rice) and water, and is heated to 55-60°C for 15-18 hours. Enzymes break down the rice
217 and form glucose content of approximately 20%. Amazake is highly nutritious and is consumed
218 for its purported health benefits (Yamamoto, Nakashima, Yoshikawa, Wada, & Matsugo, 2011).
219 Pozol, which is common to south-eastern Mexico, has quite a different method of production,
220 in that maize grains are heat-treated in an acid solution, ground and shaped into dough balls.
221 These are then wrapped in banana leaves and fermented for 2-7 days, after which they are
222 resuspended in water and consumed as beverages. Pozol is composed of a variety of
223 microorganisms including LAB, non-LAB, yeasts and other fungi (ben Omar & Ampe, 2000).

224 In addition to milk and cereal-based fermentations, there are also other forms of
225 fermented beverages. One example is kombucha, which is a fermented sweetened tea that was
226 originally popular in China but is now enjoyed worldwide, and is set to be worth €363 million by
227 2015 in North America (BevNet, 2011). It is fermented by a symbiotic mixture of bacteria
228 (typically acetic acid bacteria, with small quantities of LAB) and yeast, which are embedded
229 within a cellulosic matrix that floats above the fermentate, similar to the mother cultures of
230 vinegar. Due to the high acid content (as low as pH2), the functionality of kombucha is
231 predominantly due to its physiochemical properties (Greenwalt, Steinkraus, & Ledford, 2000).
232 As a result of the tea content, it also contains a number of phenols and vitamins (Dufresne &
233 Farnworth, 2000). Water kefir is similar in concept to milk kefir in that it is fermented by a
234 symbiosis of bacteria and yeast contained within grains. However, these grains are composed
235 of dextran, are translucent and crystal-like in appearance, and are thought to have originated in
236 Mexico where they formed as hard granules fermented from sap on the pads of the *Opuntia*
237 cactus. They ferment sweetened water, to which figs and lemon are traditionally added for
238 additional flavour and nutrients. The composition of water kefir can vary, but is known to
239 contain LAB, including *Lactobacillus*, and *Bifidobacterium* (Laureys & De Vuyst, 2014). Hardaliye
240 is a non-alcoholic, Turkish, fermented beverage made from red grapes, black mustard seeds,
241 cherry leaf and benzoic acid. Ingredients are pressed and fermented for 5-10 days at room
242 temperature. Again, the microbial population has been reported to be predominantly
243 composed of *Lactobacillus* and unknown fungal components, and this beverage is thought to
244 have antioxidant properties (Amoutzopoulos et al., 2013).

245

246 **Health Benefits**

247 Originally devised as a means of food preservation, over time many beverages, such as
248 kefir and koumiss, became popular due to their reputed abilities to improve gastrointestinal
249 health (Metchnikoff, Elie, 1908; Saijirahu, 2008). However, most of the traditional fermented
250 beverages are poorly studied, with unsubstantiated claims linking them to positive effects on
251 human health. Ideally, any such beverage making health claims should be backed by credible
252 scientific evidence in the form of randomised, controlled and replicated human intervention
253 trials. This form of evidence is rare for these beverages (and particularly so for non-dairy
254 forms), and the generation of such data is an expensive and unappealing prospect for industry,
255 but nonetheless remains a critical area for proof-of-concept and future research. Despite this,
256 however, there is still a perception that many of these beverages are “healthy”, particularly in
257 societies where the beverage is steeped in local tradition, which in turn contributes to their
258 market potential and justifies investing in related research.

259 For many of the fermented beverages, it is the strong association between the microbial
260 content and improvement of gastrointestinal health that is thought to be responsible for
261 perceived health outcomes. While it is sometimes unclear what functional characteristics
262 traditional beverages confer beyond the basic nutrition of the raw unfermented ingredients,
263 there is evidence that some fermented beverages provide beneficial effects through direct
264 microbial/probiotic action and indirectly via the production of metabolites and breakdown of
265 complex proteins. Nonetheless, natural fermented milks have been shown to have
266 antihypertensive effects, enhance systemic immunity, lower cholesterol and to help lower
267 blood pressure. In recent human trials, they have been shown to aid in the treatment of IBS and
268 to help alleviate constipation (Tabbers *et al.*, 2011). Additionally, they have been shown to have
269 modulatory effects on the brain, and demonstrate anti-cancer potential (Kumar *et al.*, 2012;
270 Tillisch *et al.*, 2013). Of the traditional-style beverages, kefir specifically has been shown to
271 positively impact the gastrointestinal tract, stimulate the immune system, and have anti-
272 inflammatory and anti-carcinogenic effects, albeit not through clinical trials (de Oliveira Leite *et*
273 *al.*, 2013). Lactic fermented milks often contain compounds not present in regular milk, such as
274 exopolysaccharides, e.g. kefiran in kefir, and natural enrichments, including increased vitamin

275 (e.g. B12 and K2), folate and riboflavin content (Hugenholtz, 2013). Furthermore, fermented
276 dairy products usually possess β -galactosidase activity and a reduced lactose content compared
277 to milk, making them potentially suitable for those suffering from lactose intolerance.
278 Fermented produce can also be a source of bioactive peptides, released through fermentation
279 by proteolytic cultures, and have been linked with many potential health benefits including
280 digestive, endocrine, cardiovascular, immune and nervous system affects.

281 The occurrence of organic acids, which lower the pH of the beverages, may also confer
282 health benefits. Indeed, the presence of glucuronic acid, one of the primary metabolites in
283 kombucha, is believed to improve detoxification by binding toxin molecules and aiding
284 excretion through the kidneys, and it is this acidic composition that is most associated with the
285 reputed health properties of kombucha, rather than a microbial-gut interaction (Wang *et al.*,
286 2014). Kombucha also contains increased B vitamins and folic acid in addition to a number of
287 healthy components, such as phenols, naturally present in tea (Dufresne & Farnworth, 2000).
288 Acid content, in conjunction with antimicrobials often produced by bacteria, could result in the
289 beverage possessing therapeutic, antimicrobial properties.

290 Fermented cereals can also contain a high mineral content, and generally have a lower
291 fat percentage than their dairy-based counterparts, but grains are generally lacking in essential
292 amino acids. These forms of beverages can also naturally provide plant-based functional
293 components, such as fibre, vitamins, minerals, flavonoids and phenolic compounds, which can
294 effect oxidative stress, inflammation, hyperglycemia and carcinogenesis (Wang, Chung-Yi, Sz-Jie
295 Wu, 2013). As previously mentioned, fermented foods are particularly common in Africa, where
296 palates are accustomed to sour foods. Providing a safe, fermented cereal beverage with reliable
297 probiotic cultures could help reduce diarrhoea and malnutrition caused by contaminated
298 traditional beverages used in weaning children, and help reduce fatalities and improve well-
299 being (Motarjemi, Käferstein, Moy, & Quevedo, 1993).

300 Despite the need for definitive studies demonstrating direct health benefits on
301 consumers, *in vitro* and animal studies give reason to be optimistic. In many cultures, alleged
302 health benefits are the reason for consumption, and if there are indeed health benefits to be
303 gained from consuming fermented beverages, it is most likely the result of a synergistic effect

304 between substrates, delicate microbial content and microbial end products, the relationship
305 between which should become clearer with further research.

306

307 **Beyond physiochemical advantages: from microbial content to functionality**

308 ***Molecular-based microbial characterisation***

309 Despite health claims linked to the microbial composition of fermented beverages, there is a
310 considerable lack of analyses relating to the microorganisms present and the quantities in
311 which they exist in such beverages. In order to address this, it is necessary for the application of
312 unbiased, standardised techniques to assess beverages from different geographical regions,
313 and to reach a consensus on the definition of microorganisms which constitute part of any
314 particular beverage. Since many such beverages are naturally fermented, and thus subject to
315 environmental influences, their microbiota can differ significantly, but the application of
316 reliable technology can help definitively identify a core population (or lack thereof), responsible
317 for characteristic traits of the beverage in question. While some molecular-based, microbial
318 characterisation of these beverages has taken place, most studies have relied on low-
319 throughput approaches, employing techniques such as DGGE, which can only assess 1-2% of a
320 population (Muyzer, de Waal, & Uitterlinden, 1993).

321 Moving forward, the availability of molecular technologies such as culture-independent,
322 high-throughput, sequencing-based microbial analyses, metabolomics and bioinformatics will
323 prove particularly useful, and will provide a more accurate picture of these populations,
324 surmounting problems associated with relying on phenotypic-based approaches. In-depth
325 molecular studies have the potential to be particularly useful when carrying out analyses across
326 different beverages with a view to attributing specific desirable or non-desirable sensory and
327 organoleptic characteristics with specific microorganisms present (Marsh, O'Sullivan, Hill, Ross,
328 & Cotter, 2013). Such approaches will also ultimately facilitate accurate species identification,
329 leading to novel starter design, and the development of beverages with different and complex
330 flavour profiles. It will also be possible to more effectively monitor the change of proportions of
331 different species throughout fermentation and storage (Cocolin, Alessandria, Dolci, Gorra, &
332 Rantsiou, 2013). Future studies will also shed light on the nature of the symbiosis of such
333 beverages, which is so complex that *in vitro* synthesis of kefir grains has yet to be replicated.

334 Currently, commercial kefir is produced by defined starters, with probiotic strains added to
335 some products to boost reputed health claims.

336

337 ***Health-promoting microbes***

338 As noted above, it is widely believed that the primary reason for the functionality of these
339 beverages is due to the presence of specific live microorganisms. To the consumer, health
340 claims are more important than nutritional claims (Verbeke, Scholderer, & Lähteenmäki, 2009),
341 so there has and will be a desire to augment the health-promoting potential of these beverages
342 through the addition of certified probiotics. The probiotic market was worth €15.7 billion in
343 2010, and is expected to increase to €22.6 billion by 2015 (BCCResearch, 2011). The WHO/FAO
344 defines probiotics as “live microorganisms, which when administered in adequate amounts
345 confer a health benefit on the host”, and the probiotic sector is the largest component of the
346 functional food market. The physiology of certain strains of lactobacilli and bifidobacteria make
347 them well-suited to both the gastrointestinal and milk environments, and thus lactic acid
348 bacteria and bifidobacteria are the most studied and utilised probiotic organisms. It is generally
349 considered that a minimum of 10^9 cells per daily dose are required for probiotics to be effective
350 (Forssten, Sindelar, & Ouwehand, 2011). Within the EU, the term “probiotic” is now considered
351 a health claim, with strict criteria surrounding its use and resulting in many applications
352 submitted to the European Food Safety Authority (EFSA) being rejected (Guarner *et al.*, 2011).
353 In Europe, boosting numbers of *Lactobacillus* and *Bifidobacterium* in the gut is not deemed to
354 be of sufficient merit to be considered a health benefit; the link must be made to a
355 physiological (e.g. strengthening the immune system or resistance to infections) benefit to the
356 host. Proving such health claims is expensive, and in the midst of unclear definitions and
357 guidelines, industries are currently more likely to develop and market probiotic products in
358 other parts of the world (Katan, 2012). In situations where probiotic strains are added during
359 fermentation, they must not interact antagonistically with starter strains. This becomes less of
360 an issue if strains are added after fermentation is complete, due to the low metabolic rates at
361 refrigerated temperatures. Additionally, microencapsulation technology may aid in the delivery
362 of probiotic strains by protecting them in non-native environments. In one instance,

363 microencapsulation of *Bifidobacterium* successfully increased viable numbers in mahewu,
364 without significantly impacting on flavour, suggesting it could be an effective probiotic delivery
365 system (McMaster, Kokott, Reid, & Abratt, 2005).

366 A health-related role for the yeast in fermented beverages has yet to be elucidated. The
367 volume of studies reporting significant numbers of yeast in traditional fermented beverages
368 indicates their importance in these fermentations. Yeasts in dairy produce generate desirable
369 aromatic compounds, proteolytic and lipolytic activities and can aid bacterial growth by
370 producing amino acids, vitamins and other metabolites, and contribute to the final composition
371 of the product by producing ethanol and carbon dioxide (Viljoen, 2001). In particular, studies
372 have demonstrated that yeast can exert a positive effect on the abundance of *Lactobacillus* in
373 fermented environments (Gadaga, Mutukumira, & Narvhus, 2001), and this might be a key
374 function in such symbioses, as well as preventing the proliferation of undesirable species. While
375 yeast only comprise <0.1% of the gut microbiota, they are 10 times larger than prokaryotes and
376 can thus impede colonisation of pathogenic bacteria (Czerucka, Piche, & Rampal, 2007). Success
377 has been made in incorporating them in commercial fermented milk products, but excessive
378 gas production during storage can be an issue. Some species of *Saccharomyces* and *Candida*
379 yeasts are common to both fermented beverages and the gut microbiota, such as species, and
380 could be investigated with a view to their contribution to fermentations and optimising health-
381 promoting potential. However, to date, *Saccharomyces boulardii* is the only recognised
382 probiotic yeast.

383

384 ***Rational design of starter cultures***

385 The selection of appropriate starter strains will be key in efforts to accurately reproduce the
386 desirable characteristics of traditional health-promoting beverages for mass production (**Figure**
387 **1**). To faithfully reproduce these beverages and traits, microbes should be sourced from the
388 traditional fermented beverages, given that these microbes have adapted over thousands of
389 years to their respective environments, and are more likely to function at the appropriate pH,
390 salt concentration, temperature etc. For instance, amylolytic digestion of starch could be
391 considered desirable for fermented cereal production, and isolates from boza and pozol have
392 been shown to be capable of this metabolic trait. Such populations also have a history of safe

393 human consumption. Rational strain selection to produce the correct balance of flavour, aroma,
394 texture, acidification, bitterness, speed of fermentation, and the optimum quantity of organic
395 acid, vitamins and minerals is essential, as beverages that are too sour or bitter, or contain too
396 much ethanol, will not meet consumers' approval. Over recent years, genetic tools have
397 become available to engineer and select superior starter strains, but legislation currently
398 hinders their industrial use (Hansen, 2002). The inclusion of strains producing antimicrobials,
399 such as bacteriocins, could serve as natural preservatives and help produce a more natural
400 product, while sequential fermentation with yeast, followed by bacteria, could produce a
401 beverage with the desired physiochemical effects, but without biostabilisation issues created by
402 excessive gas production (Kwak, Park, & Kim, 1996).

403 As stated above, the natural fermentation of beverages involves many different strains
404 of bacteria, and sometimes, yeast. There is an understandable tendency to keep starter
405 formulations simple but, as traditional beverages show, there are often multiple strains
406 involved, including different species or even microorganisms. From a health perspective,
407 multistrain or multispecies probiotic beverages may provide greater beneficial effects than
408 monostrain cultures. Unfortunately, however, there is a lack of studies assessing the effects of
409 combining several natural strains on the physiochemical and sensory characteristics of milk or
410 other functional beverages. Without such information, it is difficult to accurately reproduce the
411 characteristics of the organic beverage with one produced by a defined combination of starters,
412 to match the flavour and properties of the original beverage. This is crucial when marketing
413 beverages to consumers already familiar with the artisanally produced variant of the product,
414 and if wishing to retain any health-promoting characteristics attributed to the original product.

415 In spite of the wide range of options available when designing novel health-promoting
416 fermented beverages, there will always be an attraction for healthy foods derived from natural
417 processes. Applying the solid inoculation matrices of traditional fermented beverages to new
418 substrates provides a means of generating new beverages while retaining natural microbial
419 populations. For example, kefir grains have been employed to produce whey and cocoa pulp
420 beverages containing potentially health-promoting strains (Londero, Hamet, De Antoni,
421 Garrote, & Abraham, 2012; Puerari, Magalhães, & Schwan, 2012). Similarly, the cellulosic

422 pellicle of kombucha has been successfully used to ferment milk and other substrates (Malbaša
423 *et al.*, 2009).

424

425 **Biotechnology and beverage development**

426 Expanding technological capabilities, especially ingredient exploration and development, has
427 led to increased functional product innovation. The number of new products with functional
428 claims has been growing by approximately 28% per year (Leatherhead, 2011). Consumers'
429 willingness to pay a premium price for fortified products is also a key driver for innovation.
430 While most current functional beverages are aimed at the high-income consumer, there is an
431 argument to be made that those who would benefit most from fermented beverages are from
432 underdeveloped nations, where such beverages could provide a cost-effective means of
433 delivering much-needed nutrition (Van Wyk, Britz, & Myburgh, 2002).

434

435 ***Substrate exploration***

436 The US, Europe and Japan markets account for over 90% of total functional foods, with the
437 majority being functional dairy products. However, non-dairy probiotic delivery has been
438 attracting more attention in recent years, partly due to the success of bio-functional foods and
439 the desire to expand and provide an alternative probiotic choice to conventional dairy-based
440 beverages. Indeed, this market is projected to have an annual growth rate of 15% between
441 2013 and 2018 (Marketsandmarkets, 2013). Non-dairy probiotic beverages are particularly
442 attractive due to their lack of dairy allergens, low cholesterol content and vegan-friendly status
443 (Prado, Parada, Pandey, & Soccol, 2008). Furthermore, different substrates can provide
444 different combinations of antioxidants, dietary fibre, minerals and vitamins.

445 To this end, cereal-based beverages could be marketed in response to consumers'
446 awareness of the benefits of high fibre diets. They contain natural prebiotic traits due to the
447 presence of indigestible fibres and the presence of diacetyl acetic acid aromatic compounds
448 make them palatable, and furthermore, could be cheaper to produce. Oats, a major source of
449 beta-glucan which can reduce LDL-cholesterol, are known to function as a prebiotic by boosting
450 bifidobacteria numbers in the gut (Mårtensson *et al.*, 2005), and have been investigated with a
451 view to producing synbiotic beverages. Indeed, a fermented oat drink with two *Bifidobacterium*

452 *longum* strains was shown to normalise bowel movements in elderly patients (Pitkala *et al.*,
453 2007). Malt and barley have also been used as beverage substrates (Rathore, Salmerón, &
454 Pandiella, 2012), while Emmer, an ancient European cereal has also shown potential as a
455 functional cereal beverage (Coda, Rizzello, Trani, & Gobbetti, 2011).

456 There has also been a positive trend towards the consumption soy products, as evident
457 in worldwide soy food sales, which increased from €218 million to almost €2.9 billion between
458 the years 1992 and 2008, and continues to increase (Granato, Branco, Nazzaro, Cruz, & Faria,
459 2010). Soy-based beverages contain low cholesterol and low saturated fats, are lactose-free,
460 are rich in isoflavones and antioxidants, and have been shown to exert beneficial effects on the
461 host. Soy milks are capable of fermentation by probiotic strains and, when fermented by
462 *Bifidobacterium* and *Lactobacillus*, have been shown to have a positive impact on the
463 ecosystem of the intestinal tract (Cheng *et al.*, 2005). Positive consumer attitudes towards soy
464 have encouraged industry to develop probiotic derivatives with several varieties already
465 available commercially (Haelan951[®] and Jiva[™]).

466 The utilisation of waste products to generate functional beverages has seen increased
467 interest, with whey being the most prominent example. Whey is a by-product of the cheese
468 industry, which retains 55% of milk nutrients and contains only 0.36% fat, and has the potential
469 for further use in the human diet. In an effort to add value to whey, numerous studies have
470 investigated its fermentation by lactic acid bacteria (*Streptococcus* and *Lactobacillus*) to
471 produce a lactic probiotic beverage, and probiotic bacteria have already demonstrated good
472 survival in whey (Drgalic, Tratnik, & Bozanic, 2005). Prebiotics have also been successfully
473 incorporated, including oligofructose and inulin, and hydrocolloid thickening agents added to
474 improve viscosity and mouthfeel (Gallardo-Escamilla, Kelly, & Delahunty, 2007).

475 One of the most exciting developments is the development of fruit juices, which have
476 been shown to have considerable market value and consumer acceptance (Sun-Waterhouse,
477 2011). Already considered a healthy food product, fruit juices are often fortified with vitamins
478 and minerals, in addition to having a high nutrient and antioxidant content, and represent a
479 new method of nutrient and probiotic delivery. As an increasing number of studies are
480 demonstrating, sugars naturally present in juices can facilitate the growth of cultures with

481 appealing taste profiles. This is true of tomato, pomegranate, pineapple, orange and cashew-
482 apple juice. These microbes can impact on physiochemical aspects, such as increasing the
483 concentrations of flavanones and carotenoids in orange juice, and have shown good survival
484 rates during storage of the beverages. While the final content of such beverages are quite acidic
485 and best suited to fermentation by probiotic *Lactobacillus* species (*L. casei*, *L. acidophilus*, *L.*
486 *plantarum*, *L. parachesei* and *L. delbrueckii*), the use of microencapsulation technology could
487 aid in the delivery of other viable probiotic microorganisms (Champagne & Fustier, 2007). The
488 enrichment of juices with brewer's yeast autolysate before fermentation positively impacts on
489 the nutritional content of the final beverage, raising the feasibility of co-fermentation by the
490 right combination of bacteria and yeast (Priya, Pushpa, 2013). Examples of commercially
491 available probiotic-containing fruit juices include Biola® and Bioprofit®. Similar microorganisms
492 have also been shown to successfully ferment various vegetable juices including cabbage, beet,
493 pumpkin, courgette and carrot juices supplemented with prebiotics (Martins *et al.*, 2013).

494 The major challenge with any substrate/culture combination is to overcome the sensory
495 hurdles of sour, acidic fermentates, and produce a palatable beverage that would realistically
496 be consumed regularly to avail of functional benefits. There exists the option of combining fruit
497 or vegetable juices with fermented milks as natural flavourings to overcome undesirable
498 flavours in otherwise promising beverages/products. To this end, the inclusion of sensory panel
499 evaluations provides invaluable information regarding consumer acceptance, especially for
500 non-dairy products which are intrinsically more difficult to sell than their dairy counterparts.
501 The use of direct liquid inoculation systems to include probiotics while avoiding fermentation
502 side-effects has its own problems in ensuring cell viability and stability during storage.

503

504 ***Fermentation parameters***

505 In addition to the importance and ratio of starter selection, as already described, the
506 fermentation of potentially health-promoting beverages needs to be carefully controlled to
507 achieve stability, sensory and safety standards. Changes in the concentration of sugars and
508 other compounds need to be carefully monitored both during and after fermentation, and is
509 particularly important with respect to the production of ethanol and carbon dioxide. Sensitive
510 techniques, including high performance liquid chromatography (HPLC) and gas chromatography

511 (GC), are now routine for such analyses. Antioxidant levels may also be measured by ferric
512 reducing ability of plasma (FRAP) and 2,2-diphenyl-1-picrylhydrazyl (DPPH) assays. pH is
513 obviously crucial to the success of a fermentation and can be lowered to a specified level prior
514 to fermentation to encourage enzymatic activity and prevent contamination. The concentration
515 of oxygen in the brewing environment can also be important depending on the homo- or
516 hetero-fermentative nature of the cultures. Metabolic engineering of fermenting
517 microorganisms may eventually be accepted to boost concentrations of desirable compounds in
518 the final products. Other factors to be considered include the choice of substrates, particularly
519 the types and concentrations of carbohydrates, and the treatment of raw ingredients such as
520 cereals, by homogenisation, for example, can allow for more effective metabolism and release
521 of bioactive peptides. The time and intensity of heat during pasteurisation need to be
522 considered. The addition of certain compounds, such as ascorbic acid and NaFeEDTA, can
523 encourage the release by fermentation of bioactives, such as, zinc and iron, in the final
524 beverage. Conversely, the concentration of phytic acid, an inhibitor of mineral absorption,
525 particularly with regards to cereals, could lower the mineral impact of the final beverage, and
526 the inclusion of phytases might be necessary to ensure or augment health claims. The
527 concentration of bulk starch and other factors will impact the consistency of the final drink,
528 providing either a thin and free-flowing product or a thicker beverage with a smoothie-like
529 consistency. Natural antimicrobials, such as bacteriocins, may be included to act as
530 preservatives and prevent the growth of spoilage organisms. As microbes, particularly yeast,
531 continue to grow following storage, packaging must be able to withstand the pressure
532 generated as a consequence of gas production, with either plastic or glass containers.
533 Additionally, viability during storage needs consideration, particularly for cereal-type beverages
534 that would traditionally be stored at room temperature.

535 Clearly, there a number of parameters and variations that need to be measured,
536 controlled and experimented with to determine the optimum conditions for fermentation, and
537 proven to be consistent following up-scaling. Automated processes such as controlled nutrient
538 availability and stirring can influence efficiency of the fermentation. Ongoing research into

539 community analysis and fermentation biochemistry will inform future decisions regarding the
540 control of processes for these types of fermentations.

541

542 ***Beverage enhancement***

543 There are now a variety of enhancements that can be made to both traditional and novel
544 beverages to boost health claims. Prebiotics, including fructooligosaccharides, inulin and
545 galactooligosaccharides, are often added commercially to fermented milks to promote the
546 growth of favourable bacteria (Huebner, Wehling, & Hutkins, 2007), while investigations of
547 other prebiotics such as oligofructose and polydextrose have also yielded positive results
548 (Oliveira *et al.*, 2009). In addition to preventing and treating intestinal-associated diseases, the
549 incorporation of bio-active nutraceuticals such as ω -3 fatty acids, isoflavones and phytosterols
550 in fermented milks also have potential applications (Awaisheh, Haddadin, & Robinson, 2005).
551 Isoflavones are powerful antioxidants, comparable to vitamin E, while plant-derived
552 phytosterols are cholesterol-lowering agents. Addition of such compounds, however, can be
553 complicated as ω -3 fatty acids are sensitive to light, air and heat, and can cause undesirable
554 flavours in the end product, while isoflavones and phytosterols have poor solubility in water,
555 and might be difficult to incorporate into non-fat solutions. ω -3 fatty acids can now be
556 microencapsulated to hide fishy off-notes.

557 A variety of vitamins and minerals may be added, including vitamins D, E and C, calcium
558 and magnesium, while fortification of fermented milks with iron was shown to improve the
559 growth of preschool children (Silva, Dias, Ferreira, Franceschini, & Costa, 2008). Microorganisms
560 can also provide functional metabolites, which has encouraged the screening of ecological
561 niches, such as marine environments, in addition to the previously referred to gut-derived
562 probiotics, for novel nutraceuticals, the likes of which may eventually be incorporated into
563 functional beverages (Dewapriya & Kim, 2013). Finally, it may be necessary to combat or mask
564 resulting undesirable flavours and aromas arising from the addition of functional ingredients,
565 using flavour enhancers (e.g. fruit flavours or spearmint) and natural or artificial sweeteners.

566

567 **Conclusions and future prospects for fermented beverages**

568 Most of the beverages described in this review are still in the early stages of commercial
569 development, and require further extensive sensory, physical and chemical characterisation to
570 develop a palatable flavour profile and viable product.

571 In terms of traditional fermented beverages, there is still a great deal to be understood.
572 First and foremost, there needs to be a consensus with respect to what constitutes the natural
573 microbiota of specific beverages, a description of which are essential for fermentation, and the
574 contribution of each microbe to the final beverage composition. Also important is the
575 characterisation of the relationship between microorganisms, particularly between bacterial
576 and yeast populations. The influence of containers, substrates, metabolites and enhancements
577 on the organoleptic qualities and fermentation kinetics need to be evaluated. Fortunately,
578 technology is advancing such that sensitive techniques can now be used in an increasingly cost-
579 effective manner to provide greater insight.

580 Critically, there is increasing pressure to identify and confirm proposed health claims for
581 the consumer. The role of traditional beverages in the future of the fermented beverage
582 industry may be to inspire the development of new products (and assess a country's willingness
583 to accept a product), whereby it is easier to develop simple, novel beverages and directly
584 evaluate the functional and sensory properties in controlled fermentations with minimum
585 variables. Indeed, this is a key hurdle in the marketing of such products, especially in light of
586 increasing awareness amongst consumers and the emergence of strict legislation. Considering
587 the costs of development and clinical trials, innovation in the functional food market may need
588 to become a collaborative effort between industry partners and academia (Khan, Grigor,
589 Winger, & Win, 2013). Nonetheless, this is an exciting time for beverage development.
590 Advances in probiotic (including yeast species) discovery and characterisation will advance the
591 possibilities for health claims and starter design. The milk sector has already seen great success
592 in this regard, and as probiotics are intrinsically linked to the health claims of many beverages it
593 is natural to assume this will extend to other varieties of beverages to hit the market, with
594 success already seen with probiotic soy beverages, and exciting developments with juice
595 beverages. This is particularly true as the importance of gut health to our well-being becomes
596 increasingly apparent. As our knowledge and discovery of probiotics increases, so too will the

597 need for alternative means of probiotic delivery. Additionally, as research into the fermentation
598 of waste and by-products products (e.g. whey) continues, there is the potential for a significant
599 environmental impact.

600 As developed society becomes more health-conscious, particularly in response to the
601 growing obesity epidemic, the market for functional food appears to be in a long-term,
602 sustainable trend (Bigliardi & Galati, 2013), with beverages constituting a substantial share of
603 this market. Aside from marketing to health-conscious (and high-income) consumers, there is
604 evidence that functional beverages could function as a therapeutic product, particularly as a
605 means of delivering nutrition to, and improving the health of, malnourished populations. This
606 medicinal impact may also be augmented by the growing field of nutraceuticals, addition of
607 cholesterol-controlling factors, and in terms of probiotics, the alleviation of intestinal
608 discomfort and aiding in the recovery from antimicrobial treatment. One aspect that cannot be
609 underestimated in the development of beverages is the need to accurately assess the market
610 potential for the product. The obvious hurdle is consumers' willingness to accept an unfamiliar
611 product, and the right combination of starters and substrates, optimum nutrition and flavour
612 development and scientifically-supported health benefits need to be carefully considered. It has
613 been shown that taste, price and base nutritional composition are more important than
614 functional properties (Falguera, Aliguer, & Falguera, 2012). Consumers perceive products that
615 are intrinsically healthy such as yoghurt, fruit juices and cereal as preferable carriers of
616 functional foods (Annunziata & Vecchio, 2011), reflected in the increase in the study of these
617 food types, and which may allow developers to exploit natural mineral and vitamin content of
618 foods and juices already perceived to be healthy.

619 In conclusion, fermentation is an ancient form of bio-preservation that is common to all
620 regions of the world. With traditional milk-fermented products currently enjoying success in
621 many markets, there is an increasing interest in functional beverages from a scientific,
622 consumer and commercial perspective. There is a movement in the modern consumers'
623 selection of foods that offer health, social and environmental benefits, which has encouraged
624 the food industry to develop new products and market strategies. The functional beverage
625 market is still small and fragmented in most European countries (Siro et al, 2008), but it is

626 expected that this area will see much success in the coming years. Indeed, with the availability
627 and improvements in technology, and consumers' increasing interest in functional foods, the
628 outlook for fermented beverages is more promising than ever.

Table 1 A compilation of various milk, cereal and other fermented beverages popular around the world, with their corresponding microbial populations and substrates

| Product | Substrates | Region | Microflora |
|----------------------|---------------------|--------------------------------------|--|
| Amasi | Milk (Cow, Various) | Africa (Zimbabwe) | <i>Lactococcus</i> (<i>L. lactis</i>), <i>Lactobacillus</i> , <i>Leuconostoc</i> , <i>Enterococcus</i> . Uncharacterised fungal component |
| Aryan | Milk (Cow, Various) | Turkey | LAB: <i>Lactobacillus bulgaricus</i> , <i>Streptococcus thermophilus</i> |
| Garris | Milk (Camel) | Africa (Sudan) | Bacteria: <i>Lactobacillus</i> (<i>Lb. parachesei</i> , <i>Lb. fermentum</i> and <i>Lb. plantarum</i>), <i>Lactococcus</i> , <i>Enterococcus</i> , <i>Leuconostoc</i> . Uncharacterised fungal component |
| Kefir | Milk (Cow, Various) | Eastern Europe (Caucasian region) | Bacteria: <i>Lactococcus</i> , <i>Lactobacillus</i> , <i>Leuconostoc</i> , <i>Acetobacter</i> ; Yeast: <i>Naumovozya</i> , <i>Kluyveromyces</i> , <i>Kazachstania</i> |
| Kivuguto | Milk (Cow) | Africa (Rwanda) | LAB: <i>Leuconostoc</i> (<i>Leu. mesenteroides</i> , <i>Leu. pseudomesenteroides</i>) and <i>L. lactis</i> . Uncharacterised fungal component |
| Koumiss/Airag | Milk (Horse) | Asia/Russia | LAB: <i>Lactobacillus</i> ; Yeast: <i>Kluyveromyces</i> , <i>Saccharomyces</i> and <i>Kazachstania</i> |
| Kumis | Milk (Cow) | South America (Columbia) | Bacteria: <i>Lb. cremoris</i> , <i>L. lactis</i> , <i>Enterococcus</i> (<i>E. faecalis</i> , <i>E. faecium</i>); Yeast: <i>Galactomyces geotrichum</i> , <i>Pichia kudriavzevii</i> , <i>Clavispora lusitaniae</i> , <i>Candida tropicalis</i> |
| Nyarmie | Milk (Camel) | Africa (Ghana) | LAB: <i>Leu. mesenteroides</i> , <i>Lb. bulgaricus</i> , <i>Lb. helveticus</i> , <i>Lb. lactis</i> , <i>Lactococcus lactis</i> ; Yeast: <i>Saccharomyces cerevisiae</i> |
| Rob | Milk (Unspecified) | Africa (Sudan) | LAB: <i>Lb. fermentum</i> , <i>Lb. acidophilus</i> , <i>L. lactis</i> , <i>Streptococcus salivarius</i> ; Yeast: <i>Saccharomyces cerevisiae</i> , <i>Candida kefir</i> |
| Suusac | Milk (Unspecified) | Africa (Kenya) | LAB: <i>Leu. mesenteroides</i> , <i>Lactobacillus</i> (<i>Lb. plantarum</i> , <i>Lb. cruvatus</i> , <i>Lb. salivarius</i> , <i>Lb. Raffinolactis</i>); Yeast: <i>Candida krusei</i> , <i>Geotrichum penicillatum</i> , <i>Rhodotorula mucilaginosa</i> |

| | | | |
|------------------------|---|----------------------------|--|
| Shubat | Milk (Camel) | China | Bacteria: <i>Lactobacillus</i> (<i>Lb. sakei</i> , <i>Lb. Helveticus</i> , <i>Lb. brevis</i>) <i>Enterococcus</i> (<i>E. faecium</i> , <i>E. faecalis</i>), <i>Leu. lactis</i> and <i>Weissella hellenica</i> ; Yeast: <i>Kluyveromyces marxianus</i> , <i>Kazachstania unisporus</i> , and <i>Candida ethanolica</i> |
| Amazake | Rice | Japan | Fungi: <i>Aspergillus</i> spp |
| Boza | Various (Barley, Oats, Rye, Millet, Maize, Wheat or Rice) | Balkans (Turkey, Bulgaria) | LAB: <i>Leuconostoc</i> (<i>Leu. paramesenteroides</i> , <i>Leu. sanfranciscensis</i> , <i>Leu. mesenteroides</i>), <i>Lactobacillus</i> (<i>Lb. plantarum</i> , <i>Lb. acidophilus</i> , <i>Lb. fermentum</i>); Yeast: <i>Saccharomyces</i> (<i>S. uvarum</i> , <i>S. cerevisiae</i>), <i>Pichia fermentans</i> , <i>Candida</i> spp. |
| Bushera | Sorghum, Millet flour, | Africa (Uganda) | Bacteria: <i>Lactobacillus</i> , <i>Streptococcus</i> , <i>Enterococcus</i> . Uncharacterised fungal component |
| Koko Sour Water | Cereal (Pearl Millet) | Africa (Ghana) | Bacteria: <i>Weissella confusa</i> , <i>Lb. fermentum</i> , <i>Lb. salivarius</i> , <i>Pediococcus</i> spp. Uncharacterised fungal component |
| Kvass | Rye bread, rye and barley malt/flour, | Russia | LAB: <i>Lb. casei</i> , <i>Leu. mesenteroides</i> ; Yeast: <i>Saccharomyces cerevisiae</i> |
| Mahewu | Maize, Sorghum/Millet | Africa (Zimbabwe) | Unknown |
| Pozol | Maize | Mexico (Southeast) | Bacteria: <i>L. lactis</i> , <i>Streptococcus suis</i> , <i>Lactobacillus</i> (<i>Lb. plantarum</i> , <i>Lb. casei</i> , <i>Lb. alimentarium</i> , <i>Lb. delbruekii</i>), <i>Bifidobacterium</i> , <i>Enterococcus</i> . Uncharacterised fungal component |
| Togwa | Maize flour, Finger Millet Malt, | Africa (Tanzania) | LAB: <i>Lactobacillus</i> spp.; Yeast: <i>Saccharomyces cerevisiae</i> , <i>Candida</i> spp. |
| Hardaliye | Grapes/Mustard Seeds/Cherry Leaf | Turkey | LAB: <i>Lactobacillus</i> spp. Uncharacterised fungal component |
| Kombucha | Tea | China, Worldwide | Bacteria: <i>Gluconacetobacter</i> (<i>G. xylinus</i>), <i>Acetobacter</i> , <i>Lactobacillus</i> ; Yeast: <i>Zygosaccharomyces</i> , <i>Candida</i> , <i>Hanseniaspora</i> , <i>Torulasporea</i> , <i>Pichia</i> , <i>Dekkera</i> , <i>Saccharomyces</i> |
| Water Kefir | Water/Sucrose | Mexico, Worldwide | Bacteria: <i>Lactobacillus</i> (<i>Lb. casei</i> , <i>Lb. hilgardii</i> , <i>Lb. brevis</i> , <i>Lb.</i> |

plantarum), *L. lactis*, *Leu. mesenteroides*, *Zymomonas*; Yeast: *Dekkera* (*D. anomola*, *D. bruxellensis*), *Hanseniaspora* (*H. valbyensis*, *H. vineae*) *Saccharomyces cerevisiae*, *Lachancea fermentati*, *Zygosaccharomyces* (*Z. lentus*, *Z. florentina*)

Figure 1:

An overview of the interlinked processes and considerations in fermented beverage production and development.

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