# Fertility Intention, Son Preference, and Second Childbirth: Survey Findings from Shaanxi Province of China 

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#### Abstract

China is characterized by a low fertility intention, a strong preference for sons, as well as a stringent birth control policy. In this study, we used data from a Fertility Intention and Behavior Survey of 2101 questionnaires conducted in 2013 in Shaanxi Province of northwestern China, and event history analysis methods to examine the effect of fertility intention and preference for sons on the probability of having a second child. The results not only validate the correlation of fertility intention with having a second child empirically, even in the low fertility intention and stringent birth control context of China, but also show that women with a preference for sons were less likely to have a second child. Women with son preference turn to sex-selective abortion to ensure that their first child is a son, thus reducing the likelihood of a second child and decreasing the fertility rate. Our findings also shed light on China's potential fertility policy adjustment.


## Keywords

Fertility intention; intended number of children; son preference; event history analysis; Cox proportional hazard model1

## Introduction

China's fertility has declined dramatically during the past few decades, and currently the total fertility rate is estimated between 1.4 and 1.6 (Morgan et al., 2009; Zhao and Chen, 2011). The low fertility rate is no longer mainly driven by the fertility policy, but reflects people's low fertility intentions under the current social and economic context ( Gu et al., 2007; Zheng et al., 2009; Cai, 2010). Surveys of fertility intention indicated that the respondents' ideal number of children was 1.45 in the developed eastern province of Jiangsu, China (RTJS, 2008) and 1.8 in the western province of Shaanxi, China (Jiang et al., 2013a). Another nationwide survey of 63417 questionnaires conducted by National Health and Family Planning Commission of China reported that the average ideal number of children was 1.93 (CPA, 2013).

As for son preference, the effect of son preference on the number of childbirths and fertility behavior remains a controversial topic. Some studies hold the view that a strong preference for sons in China would increase the total fertility rate (Morgan et al., 2009), while others thought son preference, via sex-selective abortion, would decrease children number and subsequently the fertility level in China (Yang and Wang, 2006; Guo, 2008; Cai, 2011). In terms of sex composition, more than $70 \%$ of the women of childbearing age in Shaanxi Province indicated their desire to have one son and one daughter (Jiang et al., 2013a), and the nationwide survey showed that $81.70 \%$ of people had this intention (CPA, 2013). However, if limited to only one child, $30.04 \%$ of survey respondents intended to have a son, $16.04 \%$ intended to have a daughter, and $53.92 \%$ expressed no preference (Jiang et al., 2013a).

It is well documented that the intention to have another child is strongly correlated with future fertility behavior (Schoen et al., 1999; Islam and Bairagi, 2003). Chinese people generally intend to have two children, and would prefer one son and one daughter; however, if this is not possible, they would rather have sons than daughters. In China's context of both a low fertility intention as listed above and a stringent birth control, does individual's intended number of children affect considerably second childbirth of women with one child? And how does son preference affect the fertility behavior of parents with one child? In this paper, using the data from a survey of 2101 questionnaires on fertility intention and behavior in Shaanxi Province in Northwest China, we apply event history analysis to explore the effect of the intended number of children and son preference on second childbirth among women with a child. Using new survey data from an averagely developed province in China, and event history analysis - two different statistical models from previous studies (Hou et al., 2008; Zheng et al., 2009; Luo and Mao, 2014) - our study not only validates empirically the effect of fertility intention on having a second child, even in the Chinese context of low fertility intention and stringent birth control, but also yields the surprising fact that women with son preference are less likely to go on to have a second child if their first child is a boy. To our knowledge, this paper is the first to analyze behavior regarding the choice to have a second child on the basis of recent survey data from China. Our study clarifies empirically the disputes as to whether son preference increases or decreases the number of families having a second child, and how this affects the fertility rate. Our findings shed light on China's potential fertility policy adjustment, which still maintains an only child policy, despite a low yet declining fertility intention and a decades-long distorted sex ratio at birth.

Below we first review the literature about reproductive behavior, including its correlation with the intended number of children and preference for sons, and then we introduce the survey data and statistical methods used in this paper. Next we present our results, including descriptive statistics about the sample, the Kaplan-Meier survival analysis, and Cox regression results. Finally we draw some conclusions, and discuss limitations to the research.

## 2. Literature Review

### 2.1 Intended number of children and reproductive behavior

Women who intend to have more children are more likely to have a greater number of children than those without this intention. Morgan (2003) and Morgan et al. (2009) expressed the theoretical model and formulas used by Bongaarts $(2001,2002)$ in a study on low fertility rate as a decomposition of total fertility rate (TFR) ${ }^{1}$. The formula was expressed as $T F R=F_{u} \times F_{s} \times F_{r} \times F_{t} \times F_{i} \times F_{c} \times I P$, with IP, intended parity, representing the intended number of children. This model showed that a greater intended number of children was associated with higher actual fertility levels. Islam and Bairagi (2003) showed that women who intended to have additional children were more likely to have an additional childbirth within 5 years compared to women who did not desire additional children.

Although a large number of women desired a second child, only those with a high socioeconomic status bore two children. Rinesi et al. (2011) discovered that only approximately $60 \%$ of women who reported the intention to have a second child achieved their fertility intentions despite a strong preference for a two-child family in Italy. In China, a survey on fertility intention and behavior conducted in the Jiangsu Province of China indicated that, although young couples listed a variety of benefits of having two children, such as the facilitation of the children's social and psychological development to avoid selfcenteredness, they often chose not to have a second childbirth due to the cost and effort required to raise two children and their economic conditions (Zheng et al., 2009). Attitudes towards fertility, subjective norms and other factors all contribute significantly to the discrepancy between fertility intention and behavior (Luo and Mao, 2014).

### 2.2 Son preference and reproductive behaviors

A strong son preference has long existed in China. With the current low fertility level, people prefer a mixed-gender composition but also have a stronger preference for sons.

Some studies suggested that son preference increases the individual fertility and total fertility rate. Morgan's (2003) decomposition formula of total fertility rate indicated that son preference increases the total fertility rate. Morgan et al. (2009) also claimed that son preference leads to a higher actual fertility level. In an environment with a son preference, to achieve the desired sex composition, parents might continue to give birth until the desired gender composition or intended number of sons is reached. Thus, a son preference increases the number of childbirths and fertility levels (Park and Cho, 1995; Chen and Jin, 2011). Evidence from Vietnam, Albania, and India showed that the preference for sons improved the fertility level. In Vietnam, people who intend to have at least one son increase the parity progression ratio, thereby enhance the fertility level (Guilmoto, 2012a). In Albania, if the first two children were not sons, $47 \%$ of the households chose to give birth to an additional child. However, when there was at least one son among the first two children, only $23 \%$ of the parents chose to give birth to an additional child (Guilmoto, 2012b). In India, women with two daughters had a $90 \%$ chance of giving birth to a third child. However, there was

[^0]only a $45 \%$ chance to give birth to a third child for women who had two children and at least one son (UNFPA, 2012). Thus, the preference for sons is often considered to be a major obstacle to fertility decline.

However, some other studies suggest that the preference to bear a son reduces the number of childbirths and fertility levels, via sex identification technology. In the early birth control studies, sociologists urged biologists to discover methods for sex identification to help people have offspring of the desired gender through human intervention in fertility and thereby reduce the fertility levels (Cohen et al., 1967). In his influential and controversial book "The Population Bomb," Ehrlich (1968) appealed for a simple method to determine the sex of a fetus to ensure the birth of a boy and thereby reduce the fertility level and population growth. Keyfitz and Caswell (2005) attempt to estimate how much the ability to manipulate the sex of children would lower the birth rate.

In China, families traditionally prefer to have at least one boy to continue the family name and to provide elderly care, the families in which the first born is a girl are more likely to express a willingness to have additional children (Cai et al., 2010). A second childbirth is more likely to occur in rural families in which the first born is a girl (Qian, 1997). However, contrary to the fact that people previously achieved son preference mainly through giving birth to additional children, with the popularity of fetal sex determination technology since the 1980s, people have been able to satisfy their son preferences via sex-selective abortions. As a result, the measures to achieve son preference have changed from high parity to sexselective abortions, which reduce the fertility level (Guo, 2008; Cai, 2011). Yang and Wang (2006) also indicated that human intervention in offspring gender decreased the number of additional children, leading to a decline in the fertility rate.

Given the economic pressure due to the rapid transition, it has been shown that those Chinese who are eligible to have two children under the current birth control policy would voluntarily choose to have only one child in prosperous areas (Zheng et al., 2009), but little is known about those women in areas which have only undergone average development. Is fertility intention still a strong predictor of a second child? Does son preference mean that families go on to have a second child, and so increase the macro fertility rate? Unlike other studies, we use recently collected data in a northwestern province and event history analysis to examine correlations between having a second child and fertility intention, as well as son preference.

## 3. Data and Methods

### 3.1 Survey

The data were from the "Survey of Fertility Intentions and Behaviors in Shaanxi Province", which was conducted in 2013 jointly by the Institute for Population and Development Studies at Xi'an Jiaotong University and the Population and Information Center under Shaanxi Provincial Population and Family Planning Committee (China). Shaanxi Province is located in northwestern China; within it there are three regions: namely central Shaanxi, northern Shaanxi and southern Shaanxi, which have different geographic, historic, cultural, language features. By 2012, Shaanxi had a population of 37.61 million, and was the most
prosperous provincial capital in the northwestern provinces in terms of economic, political and cultural development. The GNP of Shaanxi Province ranks $16^{\text {th }}$ among the 31 provinces, and the GDP per capita is roughly the national average. Ever since 2010, the crude birth rate and natural growth rate have been slightly lower than national rates, the sex ratio is higher than normal but below the national level. In 2012, the total fertility rate was estimated at 1.6, far below replacement level. The ideal number of children averages 1.8 (Jiang et al., 2013a), slightly lower than national average of 1.93 (CPA, 2013) but higher than that of 1.45 in the much more prosperous Jiangsu Province (RTJS, 2008). The birth control policy is largely representative of most provinces in China, namely urban couples have one child, and rural couples have one son or two children (they are permitted to have a second child if the first child is a girl). Middle-ranked economic development, a fertility rate close to the national average, average fertility intention, and representative birth control policy all make Shaanxi Province a suitable site to examine the correlations between having a second child, son preference and fertility intention.

The objective of this cross-sectional survey was to comprehensively investigate fertility intention and behaviors of women of childbearing age in Shaanxi Province, and we included both urban and rural women aged 20 to 44 years. The data we collected included individual characteristics, characteristics of the husband and his family, pregnancy history, outcomes of every pregnancy, and fertility intention. The survey adopted stratified probability sampling. In the first stage, the sampling units were the district and the county. The neighborhood committee and village committee were the sampling units in the second stage. In the third stage, the sampling unit was women of childbearing age within a household. A total of 3000 individuals were interviewed in this survey, with 2920 valid questionnaires recovered. To ensure reliability, the survey was implemented through face-to-face interviews. The main variables in this paper came from data on pregnancy history and outcomes. In the survey, respondents were asked to look back on their reproductive history.

The subjects of this study are women of childbearing age who had given birth to at least one child. The final sample size of this study is 2101 after eliminating those who had never given birth or whose data were incomplete.

### 3.2 Variables

Dependent Variables-We estimated the probability of second childbirth over time in an event history analysis. Though the dependent variable in an event history analysis like the Cox model is the hazard rate, for the Stata statistical software version 12 we used in the analysis, we actually provided two variables. One is the time from first childbirth to second childbirth. In the survey, we asked interviewees to look back at their childbearing history, including the ending time and outcomes of every pregnancy, and this information enabled us to calculate the survival time, which was structured in person-months format. The other is an indicator variable to show whether the second childbirth event occurred: giving birth to a second child was defined as the occurrence of the event, and the value of this variable was set to one. For those who had not given birth to a second childbirth by the end of survey, we did not know whether they would give birth, and if they did, when they would give birth, so the data for these were censored.

Independent Variables-The primary independent variables of this study are the intended number of children and son preference. Researchers have generally considered that the ideal number of children reflects personal values and views on fertility and often used the ideal number of children as the intended number of children (Zheng, 2011a, 2011b). In this study, the question "What is your ideal number of children if you do not consider the family planning policy?" was used to measure the intended number of children of a woman. And for son preference, how to measure son preference is controversial. In China, we have observed a higher than normal sex ratio at birth, then in most literature this phenomenon is attributed to strong son preference due to China's traditional patriarchal, patrilineal, and patrilocal systems. But as the ideal composition of children is one son and one daughter, it shows no son preference in the "ideal" indicator. So we used the question "If you could only have one child, would you prefer a boy or a girl, or is there no preference?" to measure whether women of childbearing age had son preference. If a respondent reported a preference for a boy, then was labeled with son preference, otherwise was labeled without son preference.

Control Variables-There are four kinds of control variables in this study. The first kind is the individual characteristics of the childbearing-age women, which includes age, the square root of age, age at first childbirth, year of the first childbirth, Hukou type, and education level. As age is a strong predictor of fertility, and there is an inverted U-shaped curve between age and fertility rate (Brass, 1968, 1974), so the square root of age is still included in the regression, as in other influential studies (Ward and Butz, 1980; Fay et al., 2002; Liu et al., 2014). Age at first childbirth is associated with fertility behavior and fertility rate (Kohler at al., 2001). China's family planning policy varies according to household registration types, labeled as Hukou in China (Chan and Zhang, 1999; Zhang, 2012) ${ }^{2}$. Hukou can be divided into two categories, rural type and non-rural type. Couples with non-rural Hukou type are permitted to have one child, and those with rural Hukou type are permitted a second child if the first is a girl. Rural people are more inclined to have a second child (Zheng et al., 2009). Educational attainment is also a factor affecting people's childbearing behavior (Qian, 1997; Drèze and Murthi, 2001). The second kind of control variable is the existing childbearing status of the childbearing-age women, which includes the gender of the first child and whether the woman has had abortions in the past. In low fertility countries, the sex of children born affects the next childbirth (Jiang et al., 2013b), and the gender of the first child is a strong predictor of a second child (Qian, 1997). The third kind of control variable involves family environment factors, including the number of siblings the husband has, whether the in-laws are still living, and the interaction term between living in-laws and the age of the childbearing woman. China's patrilineal and patrilocal system makes women susceptible to in-laws' influence, and help from parents-inlaw may facilitate the rearing of children (Choe et al., 2004). The fourth kind of control variable is a regional factor. Shaanxi province is divided into three regions, central, northern and southern Shaanxi. There are great differences in geographic location, natural environment, social and economic development and education and cultural background

[^1]between the regions. These differences affect fertility too. The definition and measurement of the variables are shown in table 1 .

### 3.3 Statistical methods

The current study used the event history analysis (EHA) methods to analyze the probability of a second child among women of childbearing age in Shaanxi Province. The EHA methods not only focus on the outcome but also analyze the time to an event. Unlike conventional statistical models, EHA methods allow for censored data, with the assumption that censoring is random and that the processes governing censoring and occurrence of events are independent of one another (Tsiatis, 1975). In this study, we applied two EHA methods, Kaplan-Meier survival analysis to estimate the survival time from the first childbirth to the second childbirth, and multivariate Cox hazard regression models to analyze how the various factors affect the probability of having a second child (Blossfeld et al., 2007). Kaplan-Meier survival analysis is a non-parametric model, which has no assumption about the shape of the hazard function or about how covariates may affect the shape. Multivariate Cox hazard regression model is a semi-parametric model which is particularly flexible since it makes no assumption about the shape of the hazard but makes a strong assumption about how the covariates affect the shape of the hazard function between groups over time.

Cox (1972) proposed the proportional hazard model below:

$$
\begin{equation*}
\ln h(t)=a(t)+b_{1} X_{1}+b_{2} X_{2}(t) \tag{1}
\end{equation*}
$$

$h(t)$ represents hazard rate, $a(t)$ denotes the baseline hazard function, $X_{1}$ are time-constant variables, and $X_{2}(t)$ are time-varying covariates. When both sides of the formula (1) were exponent, then the risk function can be expressed as:

$$
\begin{equation*}
h_{i}(t)=h_{0}(t) \exp \left(\beta_{1} x_{i 1}+\beta_{2} x_{i 2}+\ldots+\beta_{k} x_{i k}\right) \tag{2}
\end{equation*}
$$

Where $h_{i}(t)$ stands for the hazard rate, $h_{0}(t)$ is the baseline hazard function, $x_{i k}$ are covariates influencing event occurrence. $\beta_{j}(j=1,2, \ldots, k)$ are regression coefficients to be determined in the model.

When both sides of the risk function were divided by $h_{0}(t)$ and the logarithm of the results was taken, the Cox regression model was obtained:

$$
\begin{equation*}
\log \frac{h_{i}(t)}{h_{0}(t)}=\beta_{1} x_{i 1}+\beta_{2} x_{i 2}+\ldots+\beta_{k} x_{i k} \tag{3}
\end{equation*}
$$

As we mentioned before, in the dataset we provided the survival time from first childbirth to second childbirth, and an indicator variable to show whether the second childbirth event
occurs. With Stata 12, we ran the Kaplan-Meier survival analysis and multivariate Cox hazard regression.

## 4. Results

### 4.1 Descriptive results

By the time this survey was completed, among the women whose first child was a girl, $40.73 \%$ of them had already given birth to a second child. This percentage is significantly higher than that of $25.60 \%$ for women whose first child is a boy and have since given birth to a second child. The survival time from first childbirth to second childbirth is 69 months. For those whose first child is a boy, the survival time is 75 months, significantly more than 65 months for those whose first child is a girl.

There is no clear difference in childbearing-age women's intended number of children regardless of whether the first child is a boy or a girl. We found that $22.57 \%$ of childbearingage women whose first child is a girl show a characteristic son preference. This percentage is lower when the first child is a boy. Data for these results are shown in Table 2.

### 4.2 Results of Kaplan-Meier survival analysis

Prior to the analysis using the Cox proportional hazards model, the Kaplan-Meier survival analysis was employed to delineate the status of second childbirths among women of childbearing age in Shaanxi Province, as shown in Figure 1. Because a sufficient time interval is required between the birth of the first child and the birth of the second child, the survival probability in the first few months was 1 , representing no second childbirths during this time. With the elongation of the time interval between childbirths, a decline was observed in the proportion of women who did not give birth to a second child. When the mean interval reached approximately 60 months, nearly $75 \%$ of the surveyed individuals had not given birth to a second child. When the mean interval reachedapproximately 180 months, approximately half of the surveyed individuals had given birth to a second child. Figure 2 presents the status of the second childbirths among women of childbearing age in Shaanxi Province based on the gender of the first child. The results showed a significant effect of the gender of the first child on the status of the second childbirth (Log-rank test: $p$ value $<0.001$ ). The probability of a second childbirth was significantly reduced when the first child was a boy compared to when the first child was a girl. The mean childbirth interval among women whose first child was a boy was longer than that among women whose first child was a girl.

The status of the second childbirths among women of childbearing age in Shaanxi Province was compared according to prior abortion, age, region and residence (urban or rural area), and the Kaplan-Meier survival curves are shown in Figures 3, 4, 5 and 6. As shown in the figures, some factors, such as abortion and age, were closely correlated with the reproductive behaviors of women of childbearing age, exhibiting significant differences in their effect on the second childbirths (Log-rank test: p values<0.001). In addition, macroscopic factors, such as regional differences and differences between urban and rural areas, showed significantly different effects on second childbirths (Log-rank test: p value $<0.001$ ).

Therefore, these influential factors must be controlled when examining the effect of fertility intentions and son preference on the second childbirths in this study.

### 4.3 Results of Cox regression

Table 3 shows the Cox regression results of second childbirths among women of childbearing age in Shaanxi Province. With the three models in Table 3 we examine the effect of intended number of children and son preference as well as control variables on the probability of second childbirth. Model 1 primarily studies the effect of variables such as individual characteristics, existing childbirth status, family environment factors and regional factors on the dependent variables. The significance of both the variables and the model demonstrates the importance of including these variables in the study. Then, the primary independent variables in this study are gradually added into Model 2 and Model 3. The variables in model 1 are also added as control variables to better study the impact of the primary independent variables on the dependent variables.

As observed in Model 1, the older the childbearing women and the higher the age of the first child, the lower the likelihood of giving birth to a second child. In comparison with nonrural Hukou, rural Hukou have an increased likelihood of second births in childbearing-age women. However, as the educational level goes up, the likelihood of a second birth goes down. Family factors also play a role in the likelihood of a second birth. For example, if there are more siblings in the husband's family, then the likelihood of a second birth is higher. If the in-laws are still living, the likelihood of a second birth is also increased. When comparing the scenario where the first child is a girl, the likelihood of having a second birth is lower when the first birth is a boy. In addition, women who have had an abortion before are less likely to consider having a second birth compared with women who have never had an abortion before.

When we add the intended number of children into Model 2, we are able to see significant improvements in the model fit compared with Model 1. As reflected in the models, despite the strict birth control policy currently enforced in China as well as a relatively low intended number of children, the intended number of children still has a significant effect on the behavior related to having a second birth. The higher the intended number of children, the higher the likelihood that the childbearing-age women will have a second birth. We then add son preference as a variable to Model 3. The results indicate that childbearing-age women who have a son preference are surprisingly less likely to have a second child than women without a son preference.

The results presented in Table 3 indicate that childbearing-age women whose first child is a boy are less likely to have a second child compared with women whose first child is a girl. Furthermore, we divided the sample based on the gender of the first child to separately study the effect of the intended number of children and son preference on having a second child. The results are as shown in Table 4.

As observed in Table 4, regardless of whether the first child is a boy or a girl, the results show that the higher the intended number of children, the higher the likelihood those childbearing-age women will have a second child. However, depending on the gender of the
first child, son preference impacts differently on having a second child. The probability of a second childbirth increased with son preference if the first child was a girl, but this effect was not statistically significant. When the first child was a boy, the probability of a second childbirth was statistically significant lower for women with son preference than for those without this preference.

## 5. Conclusions and Discussion

With data from a Fertility Intention and Behavior Survey of 2101 questionnaires conducted in 2013 in Shaanxi Province of northwestern China, we examined the effect of fertility intention and son preference on the probability of a second child. Our findings validated the effect of fertility intention on proceeding to a second childbirth, and elucidated the fertility behavior for women with son preference.

Firstly, we validated the hypothesis that the intended number of children still significantly impacts the likelihood of childbearing-age women having a second birth despite the strict birth control policy currently enforced in China, and the relatively low fertility intention. This is generally consistent with the well-established principle of fertility intentions being a strong predictor of fertility behavior, shown by previous studies. As the actual fertility number is usually lower than fertility intention, it is predictable that the total fertility rate in Shaanxi Province, which now faces a fertility intention of 1.84 children per women, may continue to decline. Given the average level of socioeconomic development, the probable representativeness of low fertility intention (at least, the surveyed intention is very close to the national level), and the assertion of a discrepancy between fertility intention and fertility behavior, it may be prudent to forecast a further decline in the national fertility rate.

Secondly, our findings indicate that women with son preference were less likely to proceed to have a second child. This contradicts conclusions from studies in other countries (Guilmoto, 2012a; Guilmoto, 2012b; UNFPA, 2012). Further analysis found that when the first child is a boy, women with son preference show a lower likelihood of having a second child. When various constraints are present, in the form of birth control policy, the increased costs of childbearing, or a low intended number of children, women's willingness to have a second birth decreases. At the same time, some parents who want to avoid having a second child while fulfilling their preference fora son will possibly turn to sex identification and sex-selective abortion to ensure that the first child is a boy. Individual behavior at the micro level is consistent with the observed increase in sex ratio for the first birth at the macro level, which was 107.12 male newborns for every 100 female newborns in the 2000 census, but increased to 113.73 for 100 in the 2010 census (PCO, 2002, 2012). This indicated that as far as son preference in concerned, a transition from extra births to sex-selective abortion is making it less likely for women to have a second child, and exacerbating the decrease in the fertility rate. Both Qian (1997) and Cai et al. (2010) confirmed that son preference increases the likelihood that a family whose first child is a girl will have a second child. This is consistent with our general understanding, but our result is not statistically significant.

One limitation of the paper is that some independent variables are not time-varying variables in this event history analysis. Another limitation is, when we measure the socioeconomic
status (SES) of respondents, we found the response rate on annual income, which is a good index for SES measurement, is too low and there are too many missing data. Moreover, people are inclined to underestimate their income. For those who did provide the data on income, they may provide a lower figure. So we did not include annual income in our analysis. And the measure of son preference may be controversial.

Despite these limitations, our study not only contributes to the literature on fertility intention, son preference and fertility behavior, but also sheds light on possible adjustments to the Chinese birth control policy. After three decades of stringent birth control policy, China is now characterized by a low fertility intention as well as a strong preference for sons in the context of a total fertility rate of around 1.5 (Cai, 2013), well below replacement level. The intended number of children in the future will not rebound but instead may continue to go down. Son preference will continue to exist and negatively impact the number of second births, hence reducing the fertility rate. China's current fertility level is already very low; and may fall into a low fertility trap (Jiang et al. 2013a). However, the Chinese government and some scholars still worry that allowing the general public to have second births will lead to a large rebound in China's fertility rate and put excessive pressure on public resources (Zhai et al., 2014). A recent relaxation of birth control policy was initiated in 2013 to permit a couple where either spouse is an only child to have two children, but little effect on fertility level rebound has been observed (Basten and Jiang, 2014). The results of this study perhaps indicate that timely adjustment of the birth control policy such as an immediate policy switch from an anti-natalist to a pro-natalist position could be the best approach for today's China.

## Appendix The relevant survey questions and how to derive the main variables

101 Your date of birth? Year/Month
103 Your Hukou belongs to?
1 Agricultural Hukou 2 Non-agricultural Hukou 3 Other (please indicate)
104 Your Education level is?

1 illiterate 2 primary school 3 middle school 4 high school/intermediate vocational education 4 higher vocational education $B$ college or above

115 How many siblings do you have (not including self but include siblings who had passed away)?

217 Information about your parents-in-law

|  | (1) still alive <br> 1 yeas <br> 2no(jump to the other parent) |
| :--- | :--- |



303Your pregnancy situation

| Parity <br> (twins are in the <br> same parity) | First pregnancy | Second pregnancy | Third pregnancy | Fourth pregnancy | Fifth pregnancy |
| :--- | :--- | :--- | :--- | :--- | :--- |
| (1)the terminal <br> time of the <br> pregnancy | Year/month | Year/month | Year/month | Year/month | Year/month |
| (2)pregnancy <br> outcome <br> 1 live birth <br> 2 abortion |  |  |  | $\square$ | $\square$ |
| 3misscarry/dead <br> birth/dead foetus <br> 4 in pregnancy | $\square$ | $\square$ | $\square$ | $\square$ |  |
| Answer if the outcome of pregnancy is live birth |  | $\square$ | $\square$ |  |  |
| (7) sex of the <br> child <br> 1male 2female | $\square$ | $\square$ | $\square$ | $\square$ |  |

401 What is your ideal number of children if you do not consider the family planning policy?

403 If you could only have one child, would you prefer a boy or a girl, or is there no preference?

1 boy 2 girl 3 no preference

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Figure 1.
Kaplan-Meier survival curve of second childbirths in Shaanxi Province


Figure 2.
Kaplan-Meier survival curve of second childbirths by the gender of the first child (Log-rank test: p value<0.001)


Figure 3.
Kaplan-Meier survival curve of second childbirths by according to prior abortion (Log-rank test: p value<0.001)


Figure 4.
Kaplan-Meier survival curve of second childbirths by age (Log-rank test: p value<0.001)


Figure 5.
Kaplan-Meier survival curve of second childbirths by region (Log-rank test: p value<0.001)


Figure 6.
Kaplan-Meier survival curve of second childbirths by Hukou type (Log-rank test: p value<0.001)

Table 1
Definition and measurement of variables

| Variable | Definition and measurement |
| :---: | :---: |
| Whether having given birth to a second birth | whether the second childbirth event occurs |
| Survival time | the survival time from first childbirth to second childbirth |
| Fertility intention |  |
| Intended number of children | the ideal number of offspring |
| Presence of son preference | dummy variable: $1=$ with son preference, $0=$ without son preference |
| Individual characteristics |  |
| Age | woman's age at the end of the survey |
| Age at first childbirth | woman's age at their first childbirth |
| Year of first childbirth | dummy variable: <br> $1=1980 \mathrm{~s}$ and $1990 \mathrm{~s}, 0=2000$ and after |
| Hukou type | dummy variable: $1=$ rural type, $0=$ non-rural type |
| Education | reference category: elementary school and below |
| Middle school | $1=$ middle school, $0=$ else |
| High school/technical secondary school | $1=$ high school/technical secondary school, $0=$ else |
| Junior college | $1=$ junior college, $0=$ else |
| College and above | $1=$ college and above, $0=$ else |
| Existing childbirth status |  |
| Gender of first child | dummy variable: $1=$ male, $0=$ female |
| Prior abortion | dummy variable: $1=y e s, 0=$ no |
| Family environment |  |
| Number of paternal siblings | number of paternal siblings, not including self but include siblings who had passed away |
| Presence of parents-in-law | dummy variable: $1=\text { yes, } 0=\text { no }$ |
| Regional factor |  |
| Region | reference category: Central Shaanxi |
| Southern Shaanxi | $1=$ Southern Shaanxi, $0=$ else |
| Northern Shaanxi | $1=$ Northern Shaanxi, $0=$ else |


| Variable | Total |  | First child-Girl | First child-Boy | P value |  |  |
| :--- | :---: | :--- | :--- | :--- | :--- | :--- | :--- |
|  | N | \% or Mean | N | \% or Mean | N | \% or Mean |  |
| Second birth |  |  |  |  |  |  | $0.000^{a}$ |
| No | 1409 | 67.06 | 604 | 59.27 | 805 | 74.40 |  |
| Yes | 692 | 32.94 | 415 | 40.73 | 277 | 25.60 |  |
| Survival time | 2101 | $69.00^{3}$ | 1019 | $65.00^{3}$ | 1082 | $75.00^{3}$ | $0.000^{b}$ |
| Fertility intention |  |  |  |  |  |  |  |
| Intended number of children | 2101 | 1.84 | 1019 | 1.87 | 1082 | 1.81 | $0.004^{b}$ |
| Presence of son preference |  |  |  |  |  |  | $0.000^{a}$ |
| $\quad$ No | 1468 | 69.87 | 789 | 77.43 | 679 | 62.75 |  |
| $\quad$ Yes | 633 | 30.13 | 230 | 22.57 | 403 | 37.25 |  |
| Individual characteristics |  |  |  |  |  |  |  |
| Age | 2101 | 33.68 | 1019 | 33.37 | 1082 | 33.97 | $0.479^{b}$ |
| Age at first childbirth | 2101 | 23.69 | 1019 | 23.77 | 1082 | 23.61 | $0.054^{b}$ |
| Year of first childbirth |  |  |  |  |  |  | $0.522^{a}$ |
| $\quad$ 2000 and after | 1349 | 64.21 | 671 | 65.85 | 678 | 62.66 |  |
| 1980s and 1990s | 752 | 35.79 | 348 | 34.15 | 404 | 37.34 |  |
| Hukou type |  |  |  |  |  |  | $0.308^{a}$ |
| Non-rural type | 809 | 38.51 | 381 | 37.39 | 428 | 39.56 |  |
| Rural type | 1292 | 61.49 | 638 | 62.61 | 654 | 60.44 |  |
| Education |  |  |  |  |  |  | $0.001^{a}$ |
| Elementary school and below | 86 | 4.10 | 24 | 2.36 | 63 | 5.74 |  |
| Middle school | 1094 | 52.10 | 543 | 53.29 | 551 | 50.97 |  |
| High school/technical secondary school | 450 | 21.43 | 223 | 21.88 | 227 | 21.00 |  |
| Junior college | 289 | 13.76 | 150 | 14.72 | 139 | 12.86 |  |
| College and above | 79 | 7.75 | 102 | 9.44 |  |  |  |
| Existing childbirth status |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |

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| Variable | Total |  | First child-Girl |  | First child-Boy |  | P value |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | N | \% or Mean | N | \% or Mean | N | \% or Mean |  |
| Prior abortion |  |  |  |  |  |  | $0.004^{\text {a }}$ |
| No | 1934 | 92.05 | 956 | 93.82 | 978 | 90.39 |  |
| Yes | 167 | 7.95 | 63 | 6.18 | 104 | 9.61 |  |
| Family environment |  |  |  |  |  |  |  |
| Number of paternal siblings | 2101 | 2.03 | 1019 | 2.02 | 1082 | 2.03 | $0.900^{\text {b }}$ |
| Presence of parents-in-law |  |  |  |  |  |  | $0.291^{\text {a }}$ |
| No | 230 | 10.95 | 104 | 10.21 | 126 | 11.65 |  |
| Yes | 1871 | 89.05 | 915 | 89.79 | 956 | 88.35 |  |
| Regional factor |  |  |  |  |  |  | $0.115^{\text {a }}$ |
| Central Shaanxi | 1120 | 53.31 | 549 | 53.88 | 571 | 52.77 |  |
| Southern Shaanxi | 537 | 25.56 | 273 | 26.79 | 264 | 24.40 |  |
| Northern Shaanxi | 444 | 21.13 | 197 | 19.33 | 247 | 22.83 |  |
| 3 Different than other means in Table 2, these values are medians for asymmetrically distributed continuous data of survival time. |  |  |  |  |  |  |  |
| ${ }^{a}$ Pearson's chi-squared test of in ${ }^{b}$ One-way analysis of variance ( | ween a | ertain variable ens of the two | and the | ex of first child. by sex of first |  |  |  |

Table 3
Cox regression results of second childbirth

| Variable | Model 1 | Model 2 | Model 3 |
| :---: | :---: | :---: | :---: |
|  | Coefficient (SE) | Coefficient (SE) | Coefficient (SE) |
| Fertility intention |  |  |  |
| Intended number of children |  | $0.615^{* * *}$ | $0.632^{* * *}$ |
|  |  | (0.107) | (0.109) |
| Presence of son preference (reference category: No) |  |  |  |
| Yes |  |  |  |
|  |  |  | (0.091) |
| Individual characteristics |  |  |  |
| Age | $-0.252^{* *}$ | $-0.261^{* *}$ | $-0.251^{*}$ |
|  | (0.098) | (0.097) | (0.098) |
| Age squared | $0.006^{* * *}$ | $0.006^{* * *}$ | $0.006^{* * *}$ |
|  | (0.001) | $(0.001)$ | $(0.001)$ |
| Age at first childbirth | $-0.188^{* * *}$ | $-0.187^{* * *}$ | $-0.187^{* * *}$ |
|  | (0.019) | (0.020) | (0.020) |
| Year of first childbirth (reference category: 2000 and after) |  |  |  |
| 1980s and 1990s | $-0.710^{* * *}$ | $-0.736^{* * *}$ | $-0.738^{* * *}$ |
|  | $(0.147)$ | $(0.148)$ | (0.147) |
| Hukou type (reference category: non-rural type) |  |  |  |
| Rural type | $0.827^{* * *}$ | $0.812^{* * *}$ | $0.822^{* * *}$ |
|  | (0.123) | (0.124) | (0.124) |
| Education (reference category: elementary school and below) |  |  |  |
| Middle school | -0.311 * |  | -0.164 |
|  | (0.137) | $(0.141)$ | $(0.142)$ |
| High school/technical secondary school | $-0.499^{* *}$ | $-0.350+$ | $-0.373^{*}$ |
|  | (0.175) | (0.179) | (0.180) |
| Junior college | $-2.059^{* * *}$ | $-1.908^{* * *}$ | $-1.942^{* * *}$ |
|  | (0.374) | (0.376) | (0.377) |
| College and above | $-3.355^{* * *}$ | $-3.337^{* *}$ | $-3.372^{* * *}$ |
|  | (1.016) | (1.016) | (1.016) |
| Existing childbirth status |  |  |  |
| Gender of first child (reference category: Female) |  |  |  |
| Male | $-0.718^{* * *}$ | $-0.678^{* * *}$ | $-0.658^{* * *}$ |
|  | (0.079) |  | (0.081) |
| Prior abortion (reference category: No) |  |  |  |
| Yes | $-0.916^{* * *}$ | $-0.939^{* * *}$ | $-0.937^{* * *}$ |
|  | (0.215) | (0.215) | (0.215) |



Table 4
Cox regression results by gender of first child

| Variable | First child - Girl | First child - Boy |
| :---: | :---: | :---: |
|  | Coefficient (SE) | Coefficient (SE) |
| Fertility intention |  |  |
| Intended number of children | $0.710^{* * *}$ | $0.557^{* * *}$ |
|  | (0.165) | (0.150) |
| Presence of son preference (reference category: No) |  |  |
| Yes |  | $-0.294^{*}$ |
|  | (0.121) | (0.140) |
| Individual characteristics |  |  |
| Age | $-0.027$ | $-0.510^{* * *}$ |
|  | (0.137) | $(0.151)$ |
| Age squared | $0.004^{*}$ | $0.009^{* * *}$ |
|  | (0.002) | (0.002) |
| Age at first childbirth | $-0.155^{* * *}$ | $-0.238^{* * *}$ |
|  | $(0.025)$ | $(0.032)$ |
| Year of first childbirth (reference category: 2000 and after) |  |  |
| 1980s and 1990s | $-0.785^{* * *}$ | $-0.683^{* *}$ |
|  | (0.183) | (0.258) |
| Hukou (reference category: non-rural type) |  |  |
| Rural type | $0.852^{* * *}$ | $0.807^{* * *}$ |
|  | (0.160) | (0.199) |
| Education (reference category: elementary school and below) |  |  |
| Middle school | 0.034 | -0.217 |
|  | (0.244) | (0.178) |
| High school/technical secondary school |  | -0.692 * |
|  | (0.276) | $(0.275)$ |
| Junior college | $-2.125^{* * *}$ | $-1.649^{* *}$ |
|  | (0.573) | (0.504) |
| College and above | $-2.846^{* *}$ | $-31.21$ |
|  |  | (1.845e+06) |
| Existing childbirth status |  |  |
| Prior abortion (reference category: No) |  |  |
| Yes | $-1.198^{* * *}$ | -0.751 * |
|  | (0.324) | (0.288) |
| Family environment |  |  |
| Number of paternal siblings | 0.086* | 0.069 |
|  | (0.039) | (0.047) |




[^0]:    ${ }^{1} \mathrm{TFR}$, the abbreviation of total fertility rate, is the average number of children a woman would bear if she survived through the end of the reproductive age span and experienced at each age a particular set of age-specific fertility rates (Preston et al., 2001).

[^1]:    ${ }^{2}$ For a detailed discussion, please refer to Chan and Zhang (1999), and Zhang (2012).

