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Fiber-associated Lachnospiraceae reduce colon tumorigenesis by modulation of the tumor-immune microenvironment — Source link

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20 Graphical Abstract



30 Abstract

31

Patients with colorectal cancer (CRC) harbor gut microbiomes that differ in structure and function from 32 those of healthy individuals, suggesting this altered microbiome could contribute to tumorigenesis. 33 34 Despite increasing evidence implicating the gut microbiome in CRC, the collective role of different microbial consortia in CRC carcinogenesis is unclear. We have previously described these consortia 35 as co-abundance groups that co-exist at different abundance levels in the same patient. Here, we 36 report that tumor biopsy tissue from patients with a "high-risk" Pathogen-type microbiome had a 37 different immune transcriptome and immune cell infiltrate from those with a "low-risk" 38 39 Lachnospiraceae-type microbiome. Transplantation from patients of the two fecal microbiome types into mice with an orthotopic tumor differentially affected tumor growth and the systemic anti-tumor 40 immune response. The differences in tumor volume and immunophenotype between mice receiving 41 42 the high-risk and the low-risk microbiome correlated with differences in the engrafted human microbial 43 species and predicted microbiome-encoded metabolites in the two groups. Of twelve taxa whose abundance in recipient mice led to increased tumor onset, seven corresponded with differentially 44 45 abundant taxa in a global dataset of 325 CRC patients versus 310 healthy controls. These data 46 suggest that the enrichment for a Lachnospiraceae-type configuration of the gut microbiome may 47 influence colon cancer progression and disease outcome by modulating the local and systemic anti-48 tumor immune response.

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Keywords: Colorectal cancer, gut microbiota, tumor microbiota, metagenomics, bacterial metabolites
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54 Introduction

Colorectal cancer (CRC) is among the top three causes of global cancer-related mortality and the 55 incidence continues to increase worlwide¹. Risk factors for CRC include age, a diet low in fiber and 56 rich in red meat and fat, and chronic inflammation of the gastrointestinal tract^{2,3}. All these factors are 57 58 closely associated with altered composition and function of the gut microbiota. The gut microbiota from patients with polyps or CRC differs from that of healthy individuals^{4,5}. However, whether this 59 "dysbiosis" contributes to disease or is a consequence of cancer is not yet clear⁶. Differences in the 60 61 gut microbiota also explain why some patients with cancer benefit from new cancer immunotherapies 62 while other patients do not⁷.

Studies to date in mice have indicated several ways by which specific bacterial species might impact CRC development. Proposed CRC-promoting bacteria or "oncobacteria" include *Fusobacterium nucleatum*^{8,9}, genotoxic (*pks*+) *Escherichia coli*^{10,11}, enterotoxigenic *Bacteroides fragilis*¹², *Streptococcus gallolyticus*¹³, and *Peptostreptococcus anaerobius*¹⁴. Despite the increasing evidence implicating the gut microbiota in CRC development, the role of microbial community-driven pathogenicity as distinct from single taxa still needs to be elucidated.

Bacteria can directly contribute to the development of CRC by the release of genotoxic factors¹⁵, 69 production of carcinogenic metabolites¹⁶, or by interacting with the immune system¹⁷. Infiltration by 70 immune cells heavily impacts clinical outcome in CRC¹⁸. Numbers of intratumoral T cells correlate 71 positively with better CRC outcomes, including disease-free and overall survival¹⁹. However, the role 72 of innate immune cells in CRC is less clear. Under certain circumstances, neutrophils and 73 macrophages release radical oxygen species and nitrous oxide, which can potentially cause genomic 74 damage in colonic epithelial cells²⁰. The presence of specific immune cells in the tumor 75 microenvironment, as well as the release of lymphocyte-attracting chemokines and cytokines, can be 76 modulated by the microbiota, either directly or through their metabolites⁶. Understanding how specific 77 78 microbiota taxa and their metabolites differentially modulate the host immune response has important 79 clinical implications for CRC patients, including diagnosis, and potentially also treatment.

In our previous analyses of the gut microbiome in CRC patients, we used clustering methodologies
 to identify six co-abundance groups or CAGs (i.e. co-abundance associations of genera)⁴, which we

subsequently simplified to five CAGs²¹. A single subject harbored multiple CAGs but their relative 82 abundance differed between CRC patients and healthy controls, with three of the 5 CAGs being more 83 abundant in CRC patients. Tumor biopsies from patients whose microbiome was dominated by these 84 CAGs showed differential expression of 18 genes involved in inflammation and CRC progression⁴, 85 86 suggesting a possible microbiome influence on tumor development. To test this, here we used humaninto-mice fecal microbiota transplants from patients with adenomas or adenocarcinomas with a 'high 87 risk' or a 'low risk' microbiota. We show for the first time that the presence of a Pathogen CAG or a 88 Lachnospiraceae CAG microbiome differentially affected tumor progression. Distinct bacterial taxa 89 correlated with tumorigenesis, different metabolic pathways, and divergent systemic immune 90 91 responses that correlated with tumor volume.

92 Results

Different global transcriptome in human colonic tumors with the Pathogen CAG and the *Lachnospiraceae* CAG

To investigate if bacterial CAGs present in CRC patients may shape the tumor immune profile and 95 96 affect the host response, we recruited a cohort of 32 treatment-naive patients with either adenomas 97 or adenocarcinomas and undergoing resection surgery (Fig. 1A, Table S1). None of these patients 98 had received antibiotics or probiotics in the 3 months before surgery, nor been treated with 99 chemotherapy or radiation therapy. Samples were collected from different mucosal sites, including 100 from the tumor (or adenoma) and nearby healthy tissue. Using the approach we previously 101 described^{4,22}, we profiled the abundance of the 5 different bacterial CAGs in the 32 patients and named them according to the dominant genera in each group --- Bacteroidetes, Lachnospiraceae, 102 103 Pathogen, Prevotella, and Rumminococcus (Figs. 1B, S1, S2; Table S2). As expected, the majority 104 of the patients had a higher abundance of the Pathogen CAG compared to the other CAGs.

We selected 12 representative patients whose microbiome was dominated by either the Pathogen 105 CAG (n= 6) or the Lachnospiraceae CAG (n= 6), together with biopsies from 10 healthy controls⁴, and 106 subjected them to RNA seg analysis. Patients with adenomas or CRC were chosen accordingly to 107 108 their microbiota profile and independently of tumor staging, size or location, although the majority of the tumors were located in the rectum or sigmoid colon (Table S1). First, we identified 21 (FDR <0.1) 109 and an additional 518 (p value <0.05) genes significantly differentially expressed between Pathogen 110 and Lachnospiraceae enriched tumors, with 268 upregulated in the Pathogen CAG and 271 111 upregulated in the Lachnospiraceae CAG tumors (Fig. 1C, Tables S3 and S4). Next, we identified 112 significantly differentially expressed genes (DEGs) between healthy controls and the Pathogen CAG 113 114 (11,520), and healthy controls and the Lachnospiraceae CAG (11,205) enriched tumors (Fig. 1C, 115 **Table S3**). To further refine the transcriptomic differences found, we identified genes uniquely 116 elevated in Pathogen or Lachnospiraceae dominated tumors compared to healthy controls and performed unsupervised hierarchical clustering (Fig 1D). This revealed that the two microbiota CAGs 117 were associated with distinct host transcriptome differences. Pathway level analysis indicated that the 118 119 greatest transcriptional changes were related to upregulation of the pathways involved in epithelial120 mesenchymal transition, inflammatory response, angiogenesis, and interleukin-6 (IL-6) JAK STAT3 signaling in Pathogen-enriched tumors versus Lachnospiraceae-enriched tumors (Fig. S3, Table S4). 121 122 We next focused on genes associated with the host immune response. Relative to healthy controls. 123 Lachnospiraceae enriched tumors from patients with adenomas or CRC showed upregulated genes 124 associated with immune signatures that were not different in Pathogen enriched tumors. These included antigen presentation genes (HLA-C, HLA-B, TRPC4AP, PSMD2, ULBP3), TCR signaling 125 genes (MAP3K8, CBLC, CD3E, MAP3K14), chemokines predictive of increased survival in CRC^{23,24} 126 127 (CXCL11, CXCL13), innate immune genes (IL1A, IL33, NLRP6) and the inhibitory receptor PD1 128 (PDCD1) (Fig. 1F). In contrast, genes associated with monocytes or monocytic myeloid-derived 129 suppressor cells (MDSCs) signature and signaling were differentially upregulated only in Pathogenenriched tumors compared to healthy controls (CSF1R, CD163, CSF1, CXCR2), as well as the 130 131 inhibitory receptor CTLA4 (Fig. 1E). These differentially expressed immune genes were also 132 significant after testing for confounding by tumor site, tumor stage, and lymph node involvement (Table S5). 133

To functionally interpret these results, we used the CIBERSORTx (Cell type Identification By 134 Estimating Relative Subsets Of known RNA Transcripts) method^{25,26} to deconvolute the gene 135 136 expression data and estimate immune cell composition in CRC tumors. Pathogen CAG enriched tumors had a significantly higher estimated abundance of monocytes and lower estimated abundance 137 of lymphocytes compared to healthy controls, whereas there were no statistical differences between 138 Lachnospiraceae CAG tumors and healthy controls (Fig 1G, Fig. S4, Table S4). Specific leukocyte 139 subset analysis identified a significant increase of M2 macrophages in Pathogen enriched tumors 140 141 compared to Lachnospiraceae enriched tumors, but not M0 or M1 macrophages (Fig. 1H). Altogether 142 these results suggest that the abundance of different microbiota taxa is associated with specific host 143 gene expression changes, including differential upregulation of several immune pathways and 144 recruitment of specific immune cells to the tumor microenvironment.

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A Lachnospiraceae-dominant microbiome reduced tumor growth in a pre-clinical mouse
 model of CRC

148 Although previous studies have determined that certain bacterial species can influence tumor progression and response to therapy^{6,7}, the mechanism(s) by which specific bacterial clusters present 149 in CRC patients might influence tumor progression has not yet been determined. We therefore 150 investigated the effect of the two different CAGs - Pathogen and Lachnospiraceae - on tumor 151 152 development using the MC-38 orthotopic cancer mouse model²⁷. This orthotopic model allows for the reliable and efficient study of tumors arising in immune-competent animals at the appropriate primary 153 site²⁸. Germ-free (GF) mice were administered one of two representative human microbiota types. 154 155 from donors selected based on their mucosal microbiota profile (Fig. 2A). We selected donor 1 156 (CRC044, female, T3N2b rectum adenocarcinoma) because their mucosal microbiota was mostly 157 composed of the Pathogen and Prevotella CAGs (44.3% and 11.4%, respectively, Figs. 2A, S5, 158 Table 1). We selected donor 2 (CRC056, male, adenoma with low-grade dysplasia) with a mucosal 159 microbiota dominated by the Lacnhospiraceae CAG (41.3%, Figs. 2A, S5, Table 1). After human 160 fecal administration, we allowed 8-10 days for colonization and stabilization of the human microbiota²⁹ and then injected the MC-38 colorectal cancer cells in the rectal submucosa of these mice, as 161 previously described³⁰ (Fig. 2B). Mice that received the Pathogen CAG microbiota developed larger 162 163 tumors than mice that received the Lachnospiraceae CAG microbiota (Fig. 2C). Surprisingly, mice 164 that received the Lachnospiraceae CAG developed very small tumors, even smaller when compared to the GF control group, and 3 out of 12 mice did not develop any tumors (p= 0.022 and p= 0.063, 165 two independent experiments) (Fig. 2C). We also observed that mice that received the Pathogen 166 CAG displayed a much higher level of variability in their tumor volume than the Lachnospiraceae 167 168 CAG-receiving group.

We investigated the recipient mouse fecal microbiota composition using 16S rRNA gene amplicon (16S) sequencing. As expected, β -diversity analysis showed a donor-specific signature in each recipient group (R²= 0.79, *p*= 0.001) (**Fig. 2D**). Similar to β -diversity, α -diversity analysis of the recipient mice resembled donor microbiota diversity, with mice in the Pathogen CAG group harboring a less diverse fecal microbiota than the *Lachospiraceae* CAG group (**Fig. S6, S7, Table S6**). Strikingly, the differences observed in tumor growth between the Pathogen CAG and the *Lachnospiraceae* CAG mouse groups were significantly correlated with the mouse microbiota profiles at both weeks 2 (after human microbiota administration but before MC-38 cells injection) and week 5 (three weeks after MC-38 cells injection, endpoint) (R^2 = 0.25, *p*= 0.006) (**Figs. 2E**). This finding strengthened the causal link between the engrafted microbiota composition and tumor onset in the MC-38 mouse model. Notably, mice that received the Pathogen CAG were also characterized by significantly higher microbiome composition variability from the corresponding donor compared to those that received the *Lachnospiraceae* CAG, suggesting differences in the resilience or community cohesion of the two different microbiome types (**Fig. S8**).

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Distinct pathobiont and commensal taxa strongly correlate with tumorigenesis and predict tumor growth

To identify with greater taxonomic resolution the bacterial taxa that were associated with 186 187 tumorigenesis, and their respective functions, we performed shallow shotgun sequencing³¹ on mouse 188 fecal samples collected at weeks 2 and 5. Using Spearman's correlation analysis between the abundance of the bacterial species present at each time point and the tumor volume at week 5, we 189 identified several bacterial species that significantly correlated with tumor volume (Figs. 3, S9). 190 191 Bacterial species that were positively correlated with tumor growth (referred to henceforth as 'tumor 192 positive') were consistently present in higher abundance in mice that received the Pathogen CAG compared to mice that received the Lachnospiraceae CAG, while species negatively correlated with 193 tumor growth ('tumor negative'), showed the opposite trend. The taxa identified by 16S sequencing 194 as tumor-positive or tumor-negative largely overlapped between the two experiments performed (Fig. 195 196 S10), but the shallow shotgun sequencing data performed for the second replicate (experiment 2) 197 afforded much greater taxonomic resolution and correspondingly more taxa. At week 2, we identified 198 23 taxa that showed significant association with tumor volume (Fig. 3). Interestingly, 8 out of these 199 23 were also associated with tumor volume at week 5 (Fig. 3). These included four tumor-negative 200 bacterial taxa, 2 of which belonged to the Lachnospiraceae family (Coprococcus comes and 201 Ruminococcus lactaris), and 4 tumor-positive taxa (Ruminococcus obeum, Clostridium hathewayi, Flavonifractor plautii, and Coprococcus sp ART55 1). In addition to these eight, there were 28 202 203 additional bacterial taxa whose abundance was significantly associated with tumor volume at week 5

only (Fig. 3). Tumor-positive taxa included *Bacteroides sp* (*B. fragilis*, *B. salyersiae*, *B. faecis*, *B. uniformis*), *Paraprevotella* sp., *Clostridium boltae*, and *Desulfovibrio piger*. Bacterial species that were
negatively associated with tumor growth at week 5 included *Akkermansia muciniphila*, *Barnesiella intestinihominis*, *Alistipes* sp., and *Bifidobacterium* sp., (*B. longum* and *B. pseudocatenulatum*) (Fig.
3).

209 To check the clinical relevance of these microbiome-tumor associations, we examined the abundance 210 of these taxa in six patient-control paired studies whose CRC-associated microbiome had been shotgun sequenced (Global Reference CRC cohort, as described in the methods)^{32–37}. We calculated 211 212 the correlation between these bacterial taxa and CRC by comparing the effect size between CRC 213 patients (n= 352) and healthy individuals (n= 310) across the six studies (Fig. 3, Table S7). We found 214 7 out of 12 tumor-positive taxa at week 2 to be significantly more abundant in CRC patients when 215 compared to healthy subjects (Fig. 3). These included several Clostridia reported as pathobionts (C. 216 hylemonae, C. symbiosum, C. asparagiforme, C. hathewayi, and Clostridiales bacterium 1-7-47FAA), along with F. plautii and Bacteroides caccae. In contrast, while 5 of the tumor-negative taxa were 217 enriched in the healthy individuals, only C. comes and R. lactaris (Lachnospiraceae family) reached 218 219 significance. Interestingly, B. longum and B. pseudocatenulatum (week 5) were also present in 220 significantly lower abundance in CRC patients compared to healthy individuals, while B. fragilis, B. salyersiae, and C. boltae were significantly more abundant in CRC patients Some associations 221 between bacterial taxa and tumor volume (e.g. Bilophila wadsworthia and Bilophila unclassified) were 222 not confirmed in the human dataset. This suggests that these bacterial species might be donor-223 224 specific and not involved in tumor progression, at least in the MC-38 mouse model. Another example 225 was *R. obeum* which showed a positive association with tumor volume at both weeks 2 and 5, but a 226 negative association in the Global Reference CRC cohort. However, within the Pathogen CAG group, 227 *R. obeum* showed a negative association with tumor volume, being in much higher abundance in 228 mice that developed the smallest tumors (Fig. S11).

To explore if the dynamic shifts in microbial composition observed before tumor development (week 230 2) and afterward (week 5) could be a predictor of disease, we used Random-Forest (RF) classifier 231 models to identify the bacterial species at the different time-points that predicted tumor volume. We

found that the bacterial species at week 2 had a significantly higher predictive value compared to the bacterial species found at week 5 (p < 0.006) (**Figs. 4A, S12**). We also confirmed this effect was not due to a loss of species over time (data not shown). This result suggests that the transplanted taxa that correlated with tumor volume after 2 weeks of engraftment were better indicators of final tumor volume than the 5-week taxa, indicating that early microbe-cell interactions were pivotal for determining cancer progression.

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The tumorigenic high-risk metagenome is characterized by detrimental metabolic pathways associated with CRC pathogenesis

241 The results obtained up to this point in our investigation strongly suggested a causal link between the microbiome type and tumorigenesis. To explore potential mechanisms, we investigated the metabolic 242 functions associated with the two microbiota types. For this purpose, we identified a validated set of 243 244 predicted metabolic capabilities that were 1) differentially abundant between the Pathogen CAG and 245 Lachnospiraceae CAG mouse groups, and 2) differentially abundant in CRC patients versus healthy individuals in the Global Reference CRC cohorts (refer to Fig. S13 for methodology). As expected, 246 247 Pathogen CAG and Lachnospiraceae CAG microbiomes were associated with different metabolic 248 pathways (Fig. S14). Differences in the predicted microbiome production of secondary bile acids (i.e. 249 lithocholic acid (LCA) and deoxycholic acid (DCA)), trimethylamine (TMA), p-cresol, acetone, and 250 ammonia were positively associated with the Pathogen CAG (Fig. 4B). Similarly, increased 251 consumption of multiple short-chain fatty-acids (SCFAs), mainly driven by D. piger, was associated 252 with the high-risk Pathogen CAG, a trait that could lead to the depletion of available SCFAs (Fig. S15, 253 **Table S7**). In contrast, the predicted production of several vitamins including pyridoxal phosphate, 254 folate, and thiamine, was associated with the low-risk Lachnospiraceae microbiota, exclusively driven 255 by *B. longum* and *B. pseudocatenulatum* (Fig. 4B, Table S8). Interestingly, pyridoxal, an active form 256 of vitamin B6, has been associated with a 30-50% reduction in CRC incidence³⁸.

A limitation of the above data was that these were inferred based on experimentally known production and consumption profiles derived from reference genomes and organisms. To investigate whether these metabolic functionalities were also encoded in the genome of the strains present in the mouse

260 fecal samples, we profiled the genes for enzymes known to confer these functions, focusing on the production of secondary bile acids, and genes for choline to TMA production. For secondary bile acid 261 262 synthesis (DCA and LCA), a group of the bai gene cluster (baiF, baiN, baiE, baiCD, and baiA) is known to be involved. Based on the gene family abundances obtained in the HUMAnN2 analysis, we 263 264 first checked and compared the coverage of the bai gene cluster in the samples derived from the 265 Pathogen and the Lachnospiraceae microbiome recipient mice (Fig. 4C). The high-risk Pathogen 266 CAG had significantly greater coverage of the bai gene cluster compared to the Lachnospiraceae 267 CAG indicating a significantly greater probability of this metabolic function being present in the former 268 (p < 0.006). Similarly, the Pathogen CAG also had a significantly larger copy number of the CutC and 269 CntA enzymes that catalyze the conversion of choline to TMA, further validating the results of the 270 inferred metabolite profiling (p < 0.02; **Fig. 4C**). In contrast, the low-risk Lachnospiraceae CAG had 271 significantly higher copy numbers of the AtoD enzyme that catalyzes the formation of the anti-272 inflammatory SCFAs - butyrate, propionate, and acetate - from Butyryl CoA, Propionyl CoA, and Acetyl CoA (*p* < 0.0004 **Fig. 4C**). 273

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275 A Lachnospiraceae-type microbiome is associated with a strong systemic anti-tumor

276 response

To determine whether the difference in tumor growth rates in recipient mice could be related to host 277 immunity, we investigated immune cell populations in the spleen of mice by flow cytometry. There 278 significantly (CD45⁺CD11b⁺MHCII⁻Ly6G⁺Ly6^{low}), 279 were more neutrophils monocytes (CD45⁺CD11b⁺MHCII⁻Ly6G⁻Ly6^{high}), macrophages (CD45⁺CD11b⁺MHCII⁺), and dendritic cells (DCs) 280 (CD45⁺CD11b⁻MHCII⁺CD11c⁺) in spleens from mice receiving the Pathogen CAG than in mice 281 282 receiving the Lachnospiraceae CAG (Fig. 5A). In contrast, mice receiving the Lachnospiraceae CAG 283 had multiple differences in the adaptive immune cell populations present in the spleen, including an 284 increase in overall numbers of CD3+, CD4+ T cells, and cytotoxic CD8+ T cells, which is consistent 285 with an active and effective anti-tumor immune response in these mice (Fig. 5B). There were also significantly more NK T cells (CD3+CD335+) in spleens of mice receiving the Lachnospiraceae CAG 286 287 than in mice receiving the Pathogen CAG, with no change in NK cells (CD3⁻CD335⁺) (Figs. 5B, S16). 288 These findings in the mouse model, together with the RNAseg analysis of human tumor tissue suggesting a strong and differential regulation of a microbiota-dependent immune response prompted 289 us to characterize and quantify the immune infiltrate in the human biopsies using 290 immunofluorescence. There was an increase in tumor-infiltrating CD15⁺ neutrophils in Pathogen CAG 291 enriched tumors, while Lachnospiraceae CAG-enriched tumors had increased tumor-infiltrating total 292 CD3⁺ T cells (Figs. 5C-D, S17). Together, these data demonstrate that a Lachnospiraceae-dominant 293 microbiome is associated with a strong local and systemic adaptive immune response, while a 294 Pathogen-enriched microbiome is associated with an immunosuppressive myeloid phenotype. 295 296 Collectively these results suggest that the abundance of particular taxa is associated with specific 297 host immune signatures that likely dictate tumor fate.

298 Discussion

Although human studies have suggested a causal link between an oncogenic microbiota and 299 microbiota-induced immune response in CRC, there has been a lack of compelling causative 300 evidence to support this concept. Our study consolidates the microbial ecology aspect of this thesis 301 302 by showing that mice that received a human-derived Lachnospiraceae CAG microbiota developed much smaller orthotopic tumors than those receiving a Pathogen CAG microbiota. Based on our data 303 304 and published studies we propose that the Pathogen-type microbiota, which is relatively over-305 abundant in CRC patients⁴, induces the recruitment of neutrophils, myeloid-derived suppressor cells, 306 and M2 macrophages, enhancing tumor growth. Furthermore, our data suggest that the fiber-307 associated Lachnospiraceae-type microbiome has a protective role in the orthotopic model and induces a systemic T cell response, suggesting its contribution to a tumor-inhibiting 308 309 microenvironment. These findings emphasize the important effects that microbiota abundance and 310 composition can exert on immunomodulatory signals derived from the tumor microenvironment.

This study, in accordance with investigations of CRC patients, supports the existence of a cancer-311 specific signature^{5,35}. These putative "oncobacteria" include Clostridium XIVa pathobionts (C. 312 313 symbiosum, C. asparagiforme, C. hylemonae, C. bolteae, and C. hathewayi)³⁹, Bacteroides sp., including *B. fragilis*^{40,41}, as well as the high-sulfur-metabolizing *D. piger*⁴². In addition, previous studies 314 in mice suggested that microbiota composition and structural organization contribute to CRC 315 oncogenesis^{43,44}. However, many of the specific microbiota members associated with such responses 316 vary substantially between studies⁴⁵. For instance, none of the donors used in our study had 317 detectable levels of Fusobacterium nucleatum, a known pro-carcinogenic bacterium that has been 318 correlated with clinical outcome ^{8,46}. However, this is also consistent with studies where the presence 319 of *F. nucleatum* in CRC biopsies largely varies between individuals⁴. 320

It is not currently clear whether the altered microbiome in CRC patients contributes to or is a consequence of disease. It may therefore be relevant that the pre-tumor (week 2) microbiota was predictive of tumor growth, which suggests that the pre-cancer microbiome may establish a permissive or protective environment for CRC development. Notably, here we identified a dominant human *Lachnospiraceae* microbiota as being protective in the mouse model. Specifically, 326 commensals including C. comes, R. lactaris, B. longum, and B. pseudocatenulatum were largely responsible for this effect. *Bifidobacterium spp.* are known for their immunomodulatory effects⁴⁷ and 327 they were found to be depleted in CRC patients ^{32,48}, as well as in biofilm positive-inoculated mice⁴⁴. 328 Of note, the commensal B. longum has been reported to be enriched in patients with metastatic 329 melanoma that respond to PD-1 immunotherapy and associated with improved immune-mediated 330 tumor control⁴⁹. This "protective" microbiome concept is consistent with the fact that the microbiome 331 332 from mice that received the Lachnospiraceae CAG harbored a significantly higher abundance of health-related taxa groups³⁹ (i.e. lost in disease), in the elderly and across multiple diseases, 333 334 compared to the microbiome of mice that received the Pathogen CAG (Fig. S18).

The occurrence of genetic alterations involved in the initiation of human CRC has been 335 suggested to be increased by a "dysbiotic" microbiota⁶. In that context, we suggest that it is relevant 336 337 for CRC progression that the CAG microbiome types tested here contributed differently to the cancer 338 hallmarks, including changes in the expression levels of genes involved in epithelial-mesenchymal transition, ^{50,51}, angiogenesis, and immune evasion. These pathways, which are associated with poor 339 prognosis in CRC⁵⁰, were overexpressed in patients harboring the Pathogen CAG. Interestingly, a 340 341 recent study using a novel cancer mouse model with aberrant expression of the Zeb2 gene, a master 342 regulator of the epithelial-mesenchymal transition, showed that these mice were characterized by microbial dysbiosis and that the microbiota was necessary for the development of CRC in Zeb2-343 overexpressing mice⁵¹. It will now be interesting to investigate if the specific microbial components 344 associate with consensus molecular subtypes⁵². 345

The composition and functionality of the immune infiltrate of the cancer patient are relevant 346 for disease progression, metastasis, and immunotherapy treatment⁵³. Abnormal immune responses, 347 which are often accompanied by the recruitment of pro-inflammatory myeloid cells and overproduction 348 of inflammatory cytokines, are associated with changes in the gut microbiota⁶. Specific bacterial 349 350 species (e.g. *B. fragilis* and *P. anaerobius*) have been shown to trigger the secretion of chemokines that recruit immunosuppressive MDSCs, tumor-associated macrophages, and tumor-associated 351 neutrophils^{54,55}. Albeit working in a pre-clinical model, we identified differences in the numbers of 352 353 immune cells in the spleens of mice harboring the two different microbiota types, which is consistent

with the transcriptomic differences observed in the tumor-immune genes of this cohort of CRC patients. Manipulation of the microbiota with the goal to modulate towards a "cold" tumor, characteristic of patients with sporadic CRC, towards a "hot" tumor environment, which is characterized by a high infiltration of T cells and an effective response to checkpoint blockade, is a promising and valid therapeutic avenue, especially when in combination with current immunotherapies.

Although we compared our findings in the mouse model to six large human CRC studies and confirmed many of the identified key taxa, we acknowledge the limitation of the use of only one representative donor for each microbiota type. Another limitation in this study is the fact that it is not powered to detect very small differences in human genes between the two different CAGs due to the small number of patient samples analyzed, which limits our ability to detect interactions between specific driver mutations and microbiome structure. Moreover, whether the microbiota-induced immunity affects the mutation burden of the tumor or vice-versa, remains to be determined.

In this study, we expanded on previous work by us and others to identify specific taxa and respective metabolites that correlate with CRC development and to suggest a mechanism that involves immune modulation by the microbiota and its metabolites. Future studies involving the culture of these microbial taxa, comparative genomics to evaluate strain-specificity and variability, and *in vitro* functional assays with defined bacterial consortia and/or their metabolites will be essential to understand if (and which) bacterial compounds can ultimately be used as immunomodulators in CRC.

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542

543 Conflict of Interests: None

544

Author contributions: ASA, FS and PWOT designed the study; ASA, TTT, TSG, CR, and BF performed microbiota data analysis; CF performed RNAseq analysis on human samples; ASA, LAA, PP, WF, CMH and RJ performed experiments; ASA, RJ and IS performed immune infiltrate data analysis; MOR was responsible for patient sample acquisition; ASA and PWOT wrote the manuscript and obtained funding for the study.

550

551 Figure Legends

Figure 1. Distinct microbiomes in patients with adenomas and CRC correlate with differential human immune transcripts

A. Overview of the experimental design. 32 treatment-naïve patients were included in the study. 554 555 Surgical resections were collected from multiple sites in the colon and analyzed by 16S rRNA 556 sequencing, immunofluorescence, and RNA expression analysis. Selected fecal samples were 557 collected anaerobically and administered in a germ-free cancer mouse model. B. Human microbiota 558 composition measured by proportional abundance of bacterial CAGs in human colon biopsies. CAGs 559 are named after the most abundant genus. Stars (*) indicate the 12 patients selected for bulk RNAseq 560 analysis. C. Venn diagram depicting numbers of significantly DEGs (p value <0.5) between healthy controls (Healthy, n= 10), tumors from the Pathogen CAG (Path, n= 6), and tumors from subjects 561 562 harboring the Lachnospiraceae CAG (Lachno, n= 6). The gene numbers circled in red are those 563 uniquely elevated in the Pathogen CAG and gene numbers circled in green are uniquely elevated in the Lachnospiraceae CAG. D. Heatmap of unsupervised hierarchal clustering of genes and patients, 564 representing the top 60 significantly DEGs (CRC versus healthy controls; FDR adjusted p value < 0.1, 565 566 and Lachnospiraceae CAG versus Pathogen CAG; p value < 0.05. All log₂FC \leq -1.5 and \geq 1.5), 567 consisting of the top 10 significantly DEGs from each circle highlighted in the Venn diagram (panel C). E, F. Expression plots displaying labeled immune genes differentially expressed and uniquely 568 elevated in (E) Pathogen CAG and (F) Lachnospiraceae CAG tumors relative to healthy controls. The 569 x-axis is the logCPM values for healthy controls and the y-axis is the logCPM values for (E) Pathogen 570 CAG and (F) Lachnospiraceae CAG tumors. Red dots show genes of interest. G, H. Estimated 571 immune cell abundancies from whole transcriptomic data deconvoluted with the CIBERSORTx 572 573 software in healthy controls and tumors. Estimated lymphocyte abundances were calculated as the sum of proportions of naïve B cells, memory B cells, CD8⁺ T cells, naïve CD4⁺ T cells, resting memory 574 575 CD4⁺ T cells, and activated memory CD4⁺ T cells. Estimated monocyte abundances were calculated 576 as the sum of proportions of monocytes, M0 macrophages, M1 macrophages, and M2 macrophages. Bars represent mean ±SEM. p values were calculated by unpaired Student's t-tests. * $p \le 0.05$, ** $p \le$ 577 578 $0.01, ***p \le 0.001, ****p \le 0.0001.$

579 Figure 2. Tumor growth is strongly dependent on microbiota type in a humanized mouse 580 model of CRC.

581 A. Validation of microbiota composition in human donors for the pre-clinical mouse trial. Colon 582 resections were collected at surgery and the mucosal microbiota was profiled using 16S rRNA 583 sequencing. Pie-charts represent the abundance of the five bacterial CAGs on adenoma or tumor 584 samples from each donor. Donor 1 (CRC044), diagnosed with a T3N2 rectum adenocarcinoma, was 585 selected based on the high abundance of a Pathogen CAG microbiota and Donor 2 (CRC056). 586 diagnosed with a tubulovillous adenoma, was selected based on the high abundance of a 587 Lachnospiraceae CAG microbiota. B. Experimental design of the pre-clinical trial with a humanized 588 MC-38 model of CRC. C. Tumor growth is reduced in mice receiving the Lachnospiraceae microbiota compared to mice receiving the Pathogen CAG microbiota or control germ-free (GF) mice. Tumor 589 590 volume was measured with a caliper at endpoint and calculated as $(length x width^2)/2$. Overall p values 591 were calculated with the Kruskal-Wallis test. Data indicate mean \pm SEM. n= 3-6 replicates/group per condition. Data from two independent experiments are shown by open and grey bars. D. Relatedness 592 $(\beta$ -diversity) of the fecal microbiota of the two human donors and respective recipient mice at different 593 594 time-points represented by principal coordinate analysis (PCoA) on Bray-Curtis distance matrix 595 (PERMANOVA r²=0.79; *p* value = 0.001). W, week. **E** PCoA plots of the Metaphlan2 species-level taxa profiles of the murine fecal microbiomes, performed at week 2 (left) and week 5 (right). Fecal 596 microbiome profiles corresponding to the Pathogen CAG donor and the Lachnospiraceae CAG donor 597 are colored in red and green, respectively. Each point corresponds to a specific mouse ID and the 598 corresponding tumor volume is shown within parentheses. The size of each point is proportional to 599 the tumor volume. PERMANOVA $r^2=0.24$; p = 0.016 (week 2), $r^2=0.25$; p value = 0.006 (week 5). 600

601

602 Figure 3. Distinct bacterial taxa are associated with final tumor volume

Heatmap showing the ranked abundances of 'tumor positive' (red) and 'tumor negative' (green) taxa in mice fecal microbiomes at week 2 only (top panel), shared between week 2 and 5 (middle panel), and week 5 only (bottom panel), as determined by shallow shotgun sequencing of samples from experiment 2. For each mouse, the corresponding tumor volume at week 5 is indicated as bar plots

607 at the top of the heatmap. Spearman's rank correlations between bacterial taxa abundance at different time points and the tumor volume at week 5 are indicated. The effect sizes (Cohen's D) observed for 608 609 the various taxa in the Global Reference cohort are also shown. Taxa that are significantly enriched or depleted in CRC (n = 325) versus healthy individuals (n = 310) (identified using Mann-Whitney U 610 611 tests, p values corrected using Benjamini-Hochberg for FDR < 0.1) are indicated by red and green 612 stars, respectively. Positive association with tumor volume and enrichment in CRC in the Global 613 Reference cohort is color-coded in red. Negative association with tumor volume and depletion in CRC 614 in the Global Reference cohort is color-coded in green.

615

616 Figure 4. High-risk and low-risk microbiome are associated with different metabolic pathways A. Pre-tumor microbiota is predictive of tumor growth. Tumor-associated bacterial taxa at week 2 617 618 have higher predictability for tumor volume than taxa at week 5. Boxplots show the variation of 619 Spearman rho values calculated between the predicted and actual tumor volumes obtained for the 100 iterations of the two variants of RF models (trained on week 2 and week 5 abundance profiles, 620 respectively). Mann-Whitney U test p values for the different comparisons are indicated. B. Volcano 621 622 plot showing the validated set (identified as summarized in Fig. S13) of metabolite production 623 functionalities that were predicted to have either a significant positive or negative association with the Pathogen CAG microbiome. The x-axis indicates the effect size difference (negative indicating 624 enriched in the Lachnospiraceae CAG and positive indicating enriched in the Pathogen CAG), and 625 the y-axis indicates the negative log of FDR value. C. Boxplots comparing (left) the coverage of the 626 bile acid inducible (bai) gene cluster that converts the primary bile acids (cholic acid and 627 chenodeoxycholic acid) into secondary bile acids (deoxycholic acid and lithocholic acid); (middle) the 628 cumulated gene abundances of CntA and CutA enzymes that catalyze trimethylamine (TMA) 629 production; and, (right) the abundance of the AtoD enzyme catalyzing the last step of short-chain fatty 630 631 acids formation, between the Pathogen and the Lachnospiraceae microbiome types. The p values 632 obtained using the Mann-Whitney U tests are indicated.

633

Figure 5. Lachnospiraceae-type microbiome colonization induces a strong immune infiltration and antitumoral immune response.

A. Spleens from mice with the Pathogen CAG have more neutrophils (CD45⁺CD11b⁺MHCII⁻ 636 Ly6G⁺Ly6^{low}), monocytes (CD45⁺CD11b⁺MHCII⁻Ly6G⁻LyC^{high}), macrophages (CD45⁺CD11b⁺MHCII⁺), 637 638 and dendritic cells (CD45⁺MHCII⁺CD11b⁻CD11c⁺), as determined by flow cytometry gated on CD45⁺ cells. Panels show quantification of neutrophils, monocytes, macrophages, and dendritic cells. B. 639 640 Spleens from mice with the Lachnospiraceae CAG have more CD3⁺, CD4⁺, CD8⁺, and NK T cells, as determined by flow cytometry gated on CD45⁺ cells. P values were determined by Mann-Whitney U 641 642 test and are represented in each plot. Data indicate mean ±SEM. n= 6 biological replicates/group. C. 643 Quantification of immune infiltrate (CD45⁺), T-cell infiltrate (CD3⁺ and CD8⁺) and neutrophil infiltrate 644 $(CD15^+)$ in human CRC biopsies from Pathogen (n= 5) and Lachnospiraceae-enriched tumors (n= 4). 645 2 sections/tumor and 3 ROIs quantified per section (same ROIs were used to quantify different 646 immune cell subpopulation in each tumor), means are shown, group comparison with one-way 647 ANOVA. D. Immunofluorescence representative images of Pathogen CAG-enriched tumors (CRC073) and Lachnospiraceae CAG-enriched tumors (CRC057) human tumors showing that more 648 649 CD3⁺ T cells (red) infiltrate into Lachnospiraceae tumors, while more CD15+ neutrophils infiltrate into 650 Pathogen tumors. Counterstained with nuclear dye DAPI. All tumors were analyzed and for each tumor; 3 ROIs were quantified per section (n= 2 sections/tumor/staining). Scale bars 100µm. 651

652 Materials and Methods

653 Patients cohort and sample collection

All clinical studies were conducted after informed consent of the patients, following the guidelines of the Declaration of Helsinki. Ethical approval for this study was granted by the Clinical Research Ethics Committee of the University College Cork, under the study number APC033. Patients' data were anonymized and stored under European Union General Data Protection Regulation.

- 658 Detailed clinical and pathological information on the patients is presented in **Table S1**. A total of 32 659 treatment-naïve patients with adenomas or CRC were included in this study. Exclusion criteria 660 included a personal history of CRC, inflammatory bowel disease (IBD), or inflammatory bowel 661 syndrome (IBS), as well as chemotherapy or radiation therapy treatments. Individuals were not treated with antibiotics in the month prior to surgery but were administered antibiotics intravenously during 662 663 surgery. Dietary data for each patient were collected using a validated Food Frequency Questionnaire 664 (FFQ)²². Control subjects (i.e. routine colonoscopy) included individuals without a history of CRC, IBD or IBS, or antibiotic usage within 3 months, described elsewhere⁴. 665
- Resection samples were collected from CRC patients undergoing surgery at Mercy University Hospital, Cork. Samples were collected from the tumor site (ON), 2-3 cm far from the tumor margin (OFF), and paired healthy tissue (approximately 10 cm from the tumor site). Samples collected were rapidly preserved in 1) RNA later for sequencing purposes; 2) methacarn for histology and 3) snapfrozen for subsequent analysis. Bowel preparations before surgery or colonoscopy were determined by the surgeon and are detailed in **Table S1**.
- 672

673 DNA and RNA extraction of human biopsies

Human colon resections were placed in RNAlater (Qiagen) at the time of resection, stored at 4°C for 12 h, and then stored at -20°C until processing. Genomic DNA and total RNA were extracted using the AllPrep DNA/RNA kit (Qiagen). For tissue samples, ~20 mg of tissue was placed into bead tubes containing 250 µl of 0.1 mm sterile glass beads (Biospec Products) and three 3–4 mm sterile glass beads (Biospec Products). Next, 600 mL of buffer RLT (Qiagen) containing 1% β-mercaptoethanol was added and the sample was homogenized in a MagnaLyzer (Roche) for two pulses of 15 s each

680	at full speed. The extraction was then carried out using the AllPrep DNA/RNA extraction kit (Qiagen),
681	following the manufacturer's instructions. Genomic DNA was quantified using the Nanodrop 1000
682	(Thermo Scientific) and total RNA was quantified using the Bioanalyser (Agilent).

683

684 Human fecal inoculum preparation

Two human donors were selected from the CRC patients' cohort based on their mucosal microbiota compositional profiles. Donor 1 (CRC044) is a female, 66 years old, diagnosed with a T3N2b rectum adenocarcinoma; donor 2 (CRC056) is a male, 65 years old, diagnosed with a tubulovillous adenoma with low-grade dysplasia (**Table 1 and Figure 2A**). Stool samples were transferred to an anaerobic chamber immediately after voiding, transported to the lab in anaerobic bags, and transferred to an anaerobic hood in less than an hour. Fresh stools were diluted (ratio 1:10) in sterile pre-reduced PBS with 20% glycerol and stored at – 80°C in aliquots until further use.

692

693 **DNA extraction of human fecal samples**

694 Genomic DNA was extracted from fecal samples following the Repeated Beat Beating (RBB) 695 Method⁵⁶, with the following modifications. Samples (0.25 g) were placed in sterile tubes containing 696 one 3.5 mm zirconia bead and one scoop of 0.1 mm and 1 mm beads, respectively (Thistle Scientific, UK). Fecal samples were homogenized via bead beating for 60 seconds (Mini-Beadbeater™, 697 BioSpec Products), with the samples cooled on ice for 60 seconds before another 60 seconds bead 698 beating. Samples were then incubated at 70°C for 15 min and centrifuged at full speed for 5 min. 699 Pooled supernatants were incubated with 350 ml of 7.5 M ammonium acetate (Sigma) and incubate 700 on ice for 5 min. The remaining steps of DNA purification were performed using QIAamp columns 701 (Qiagen). Genomic DNA was quantified using the Nanodrop 1000 (Thermo Scientific). Extracted 702 703 genomic DNA was stored at -20°C until amplification.

704

705 MC-38 cells culture

Murine C57BL/6 MC-38 colorectal tumor cells were obtained from Kerafast (ENH204-FP) and maintained in 5% CO_2 at 37°C in Dulbecco's modified MEM (DMEM) medium supplemented with 10%

heat-inactivated fetal bovine serum (Sigma), 2mM L-glutamine, 0.1 mM nonessential amino acids, 1
 mM sodium pyruvate, 10mM HEPES and 100 units/ml penicillin/streptomycin antibiotic solution (all
 reagents from Gibco-Invitrogen). Cells were tested for Mycoplasma contamination every 4 - 6 weeks
 and before each experiment (Mycoalert Mycoplasma Detection kit, Lonza).

712

713 Germ-free MC-38 mouse model

All animal protocols were approved by the Animal Experimentation Ethics Committee at University College Cork and by the Health Products Regulatory Authority (HPRA) of Ireland, per EU Directive 2010/63/EU (HPRA Project authorization number AE19130/P055).

717 Germ-free (GF) mice were bred and maintained at the APC Germ-Free facility in dedicated axenic 718 isolators (Bell Isolation Systems). Germ-free status was routinely monitored by culture-dependent 719 methods. Age-matched male C57BL/6 GF mice, 6-10 weeks of age, were group-housed 3-4 and 720 transferred into sterile individual ventilated cages (IVCs) (Arrownight, Hereford, UK) before undergoing human microbiota administration. Mice were kept in a 12-hour light-dark cycle and on ad 721 722 libitum diet RM1 (autoclaved) (Special Diet Services, #0103). Water and diet were batched at the 723 beginning of the experiment to exclude possible variations between batches. An overview of the 724 experimental study design is presented in Figure 2B. Animals were pipette-dosed with 100 µl of fecal slurry or control PBS, per day for 3 consecutive days. Groups were as follows: "Pathogen CAG" group, 725 inoculated with fecal slurry from donor 1 (patient CRC044); "Lachnospiraceae CAG" group, inoculated 726 with fecal slurry from donor 2 (patient CRC056); and, GF control group inoculated with 100 µl of 727 reduced PBS + glycerol 20%. After microbiota administration and colonization, MC-38 cells were 728 orthotopically injected into the rectal submucosa, as previously described²⁷. Briefly, mice were 729 anesthetized using a mixture of Ketamine/Medetomidine (75 mg/kg ketamine (100mg/ml), 0.5 mg/kg 730 medetomidine (1mg/ml) subcutaneously. Injection of 20 μ l of 5x10⁵ (experiment1) or 1x10⁵ 731 732 (experiment 2) MC-38 cancer cells was performed using an insulin syringe on the right flank. Fecal 733 and blood samples were collected from each animal at various time points (weeks 0 to 5) as indicated in **Figure 2B**. Two to three fecal pellets were collected at each time point and were immediately frozen 734 735 in dry ice before being transferred to -80° C. Tumor size was measured by caliper at endpoint (week

4 in experiment 1 and week 5 in experiment 2), and tumor volume was calculated as (length \times width²)/2.

738

739 Genomic DNA extraction and microbiota profiling of murine fecal samples

Total DNA was extracted from mouse fecal samples using QIAamp DNeasy Blood and Tissue Kit
 (Qiagen, UK) according to the manufacturer's protocol and as previously described⁵⁷. Genomic DNA
 was quantified using the Nanodrop 1000 (Thermo Scientific, Ireland). Extracted genomic DNA was
 stored at -20°C until amplification.

744

745 Sequencing, Taxonomic and Functional Profiling

746 16S rRNA Gene Amplicon Sequencing: The V3-V4 region of the 16S rRNA gene was amplified, 747 sequenced, and analyzed as described before⁵⁸. Amplification was performed with the universal 16S rRNA gene primer pair S-D-Bact-0341-b-S-17 and S-D-Bact-0785-a-A-21⁵⁹. The Phusion High-748 749 Fidelity PCR Master Mix (ThermoFisher Scientific, USA) was used for the amplification. The 750 sequencing library was prepared using the Nextera XT V.2 Index Kit (Sets A and D, Illumina) 751 according to the Illumina 16S MiSeg Sequencing Library protocol. The PCR products were purified 752 with the SPRIselect reagent kit (Beckman Coulter, Inc., USA). Amplicons were quantified with a Qubit dsDNA HS Assay Kit (Thermo Fischer Scientific) and pooled at the same concentration. Sequencing 753 754 was performed on an Illumina MiSeq Platform (2x250 bp reads for human samples and 2x300 bp reads for mouse samples) by the Teagasc Next Generation DNA Sequencing Facility (Fermoy, 755 Ireland). 756

757

Microbiota composition analysis of 16S rRNA amplicon sequencing data: Primers were removed from raw sequences using Trimmomatic⁶⁰ (v0.36). Paired-end sequencing reads (2x250 bp or 2x300 bp) were joined using FLASH⁶¹ (v1.2.8). Demultiplexing and quality filtering were performed using the QIIME package⁶² (v1.9.1). The USEARCH⁶³ sequence analysis tool (v8.1.186) was applied for further quality filtering and *de novo* clustering to form operational taxonomic units (OTUs). The sequences were initially filtered by length and sorted by size, and single unique sequences were

764 removed. The remaining sequences were clustered into OTUs at 97% similarity. Subsequently, chimeras were removed with UCHIME⁶⁴, using the GOLD reference database. The original quality-765 filtered sequences were mapped against the OTUs at 97% sequence identity. OTU representative 766 sequences were classified with a confidence threshold of 80% to taxonomic ranks from phylum to 767 768 genus level by mothur (v1.36.1) using the RDP reference database (trainset 16⁶⁵) and to species level by SPINGO⁶⁶ (v1.3) using the RDP reference database (v11.4). Alpha (α) and beta (β) diversity 769 analyses were performed in QIIME on a rarefied OTU table. The sequences were aligned using the 770 PyNast tool⁶⁷ in QIIme to generate α -diversity indices (Shannon, Simpson, PD whole tree, Chao1, 771 772 and Observed Species), and β-diversity indices (Bray-Curtis, Weighted UniFrac, and Unweighted 773 UniFrac).

774

775 Shallow shotgun analysis

Pre-processing of shotgun sequence data: Pre-processing of raw shotgun sequence reads was performed using a similar approach adopted by previous studies⁶⁸. To summarize, the reads were quality trimmed using Trimmomatic (v0.39, with default parameters)⁶⁰; followed by removing reads originating from the host genome bowtie2 (v2.3.4 with default parameters) (with *Mus musculus* genome version 9 for mouse fecal metagenomes and human genome hg38 for donor fecal metagenomes)⁶⁹.

782

Taxonomic, functional, and strain-wise profiling: The taxonomic and functional profiling of the metagenomes was performed using the HUMAnN2 pipeline with the clade-specific marker genebased metaphlan2 as the taxonomic classifier^{70,71}. Pathway and gene-family abundances obtained using the UniProt mapping scheme were subsequently converted into the KEGG-specific mapping scheme using the legacy databases of humann2 (as described in Keohane *et al.*⁶⁸). Strain-wise variations were profiled using Strainphlan2⁷². Inferred metabolite production and consumption profiles were obtained using a similar approach as adopted in Ghosh *et al.*³⁹.

790

791

792 RNA-Sequencing

RNA sequencing libraries were prepared by Genewiz with the Standard RNA-seq protocol for tumor samples and by GATC for healthy control samples. Tumor samples were sequenced on an Illumina HiSeq instrument with 150-bp paired-end reads to an average depth of 29 million pairs of reads per sample. Healthy control samples were sequenced with 100-bp paired-end reads to an average depth of 45 million pairs of reads per sample.

798

799 **RNAseq transcriptome analysis**

800 FastQC software (v0.11.5) was performed to assess the quality control checks of paired-end 801 sequencing reads. The TrimGalore (v0.6.5) tool was used with Cutadapt (v1.15) and FastQC to apply quality and adapter trimming to FASTQ files. STAR (v2.7.3a) was used to align trimmed reads to the 802 803 human genome (Homo sapiens high coverage assembly GRCh38 from the Genome Reference 804 Consortium – GRCh38.p13) with the --quantMode GeneCounts option to output read counts per gene. The Bioconductor package EdgeR (v3.28.1) was applied in R (v3.6.3) to identify statistically significant 805 806 differentially expressed genes between patient groups. Biological and technical variation was 807 accounted for by the negative binomial distribution of RNAseg count data using a generalization of 808 the Poisson distribution model. The filterByExpr function was applied to remove lowly expressed genes. The data was normalized across library sizes, between samples using the trimmed mean of 809 M-values (TMM) normalization method. Tagwise dispersions were estimated for the normalized 810 dataset. P values from multiple comparisons were corrected with the Benjamini-Hochberg method in 811 812 EdgeR. For the comparisons between tumors and healthy controls, genes were considered 813 significantly differentially expressed with an FDR adjusted p value < 0.1. For the comparisons between 814 Pathogen and Lachnospiraceae enriched tumor genes were considered significantly differentially 815 expressed with a p value < 0.05. Voom "variance modeling at the observational level" method within 816 edgeR was used to output normalized read counts as LogCPM values. These were used to perform 817 hierarchical clustering and to construct heatmaps in Gene Pattern's online server (v3.9.11), volcano plots in Gene Patterns Multiplot Studio (v1.5.2), to estimate the abundances of immune cell types in 818 819 a mixed cell population with CIBERSORTx^{25,26} signature genes (LM22), and to perform Gene Set Enrichment Analysis (GSEA) (v4.1.0) with annotated HALLMARK genesets from the MSigDB (Molecular Signatures Database) collections (v6.2). Venn diagrams were constructed using InteractiVenn. Further statistical analysis of estimated abundances of immune cell types from CIBERSORTx involved students t-tests between patient groups within GraphPad Prism (v6). Summary statistics for the RNA Seq data analysis is given in **Table S3 and S4**.

825

826 Statistical analysis

827 Statistical analysis, data visualization and machine learning-based analyses were carried out in R 828 statistical software package (v3.4.0). Principal Coordinate Analyses (PCoA) were performed using 829 the dudi.pco function of the ade4 package (v1.7-15). Two-dimensional PCoA plots were created using the ggplot2 package (v2.2.1). Permutational multivariate analysis of variance (PERMANOVA) 830 831 analyses to test for statistical difference in β -diversity, the gut microbiome profiles as well as the strain 832 variations of the different species were performed using the adonis function from the vegan package (v2.5-6). Spearman Distances of the species abundances across samples and the species-specific 833 strain-wise distances were provided as inputs to the adonis function. PERMANOVA analysis of the 834 835 strain-wise variations for each species (obtained using Strainphlan) was performed in a time-point 836 specific manner (separately for week 2 and week 5), after adjusting for the donor and abundance of the given species as confounders. Effect size calculations (Cohen's D) were performed using the 837 effsize package (v0.8.1). We excluded from the analysis any bacterial taxa or metabolites that were 838 detected in less than 50% of the samples of each group. Significant variations in α-diversity, taxa/gene 839 relative abundance, metabolites abundance, and pathway coverages were assessed on median 840 values of the technical replicates using the Mann-Whitney U test for unpaired data or Wilcoxon signed-841 842 rank test for paired data. The Kruskal-Wallis test followed by Dunn's post hoc test with Benjamini-Hochberg p value adjustment for multiple testing was applied when comparing more than two 843 844 experimental groups. The bar plots showing different taxonomic level classification were created 845 using the ggplot2 package. Taxa below 1% sample abundance and the unclassified taxa were grouped into the "Other" category. P values from multiple comparisons were adjusted for the FDR 846 847 using the Benjamini-Hochberg method (implemented in the p.adjust R function). Significance was

assumed for adjusted p values ≤ 0.05 , if not stated otherwise. Correlations between metabolite and taxa relative abundances were calculated using standard Spearman's rank correlation using the 'corr' function implemented in the 'psych' module of R and hierarchical clustering was computed using the hclust function in R (method "complete"). Features (that is taxa at various time-points and inferred metabolites) that showed significant Spearman correlations with tumor volumes (FDR < 0.1, obtained after *p* value adjustment using the Benjamini-Hochberg method) were visualized using the ggplot2 package.

855

856 Machine-learning based analysis

857 For comparative validation on a global scale, we utilized the curatedMetagenomicData repository to six additional case-control datasets containing human fecal shotgun metagenome data from greater 858 859 than 600 individuals consisting of CRC patients and controls⁷³ (this was referred to as the 'Global 860 Reference CRC cohort^{'32–37}). For comparing taxa abundances or inferred metabolite inferences within this Global Reference CRC cohort, we adopted a two-step procedure. First, for inter-dataset variability 861 in the detection of various taxa, we performed across sample rank normalizations of taxa abundances 862 separately within each dataset corresponding to the six studies. This limited the abundance range of 863 864 each taxon from 0 to 1 uniformly for all the six studies. Subsequently, the rank normalized profiles for the six studies were combined and the comparison of rank normalized taxa abundances were 865 compared between CRC patients and non-diseased individuals using Mann-Whitney U tests. 866 Machine-learning based analyses consisting of evaluating the disease predictive ability of various 867 868 markers in the Global Reference CRC cohort as well as within our dataset were performed using Random Forest approach (using the randomForest module implemented the R-programming 869 interface). Iterative random forest classifiers built by taking repeated 50% subsets of 'test' and 870 'training' samples were obtained using the same methodology as used in Ghosh et al.³⁹. 871

872

873 Flow cytometry

Spleens were harvested 19 days after MC-38 cancer cells injection (Experiment 2) and processed for flow cytometry analysis as previously described⁷⁴. For staining, single cells (1×10^6) were pre876 incubated with purified anti-mouse CD16/CD32 (clone 2.4G2, Biolegend) for 10 min on ice, and then stained with the appropriate surface markers antibodies at 4°C for 30 min in the dark. Zombie Red 877 (BioLegend) was used to differentiate between dead and live cells. The stained populations were 878 analyzed using a BD FACSCelesta[™] flow cytometer (BD, USA) and FlowJo software (BD, v10). 879 880 Antibodies were titrated for optimal staining. Antibodies used were: CD45-BV510 (Clone 30-F11, dil 1/100), CD274 (B7-H1, PD-L1)-BV605 (Clone 10F.9G2, dil 1/100), Ly6G-BV786 (clone 1A8, dil 881 882 1/100), CD11b-FITC (clone M1/70, dil 1/100), CD103-PE (Clone 2E7, dil 1/200), F4/80-PerCP-Cy5.5 883 (Clone BM9, dil 1/80), CD11c-APC (Clone N418, dil 1/200), Ly6C-AF700 (clone HK1.4, dil 1/200), 884 CD3-FITC (Clone 17A2, dil 1/100), NKp46-PE (clone 29A1.4, dil 1/50), CD4-PerCP-Cy5.5 (Clone 885 RM4-4, dil 1/200), CD279 (PD-1)-APC (clone 29F.1A12, dil 1/200), CD8-AF700 (Clone 53-6.7, dil 1/200), all from BioLegend; MHC II (I-A/I-E)-APC-eFluor 780 (Clone M5/114.15.2, dil 1/200) from 886 887 eBioscience.

888

889 Immunofluorescence staining, imaging, and quantification

Methacarn-fixed paraffin sections of human CRCs were blocked with 10% fetal bovine serum, 2% BSA, 0.02% fish skin gelatin, 0.05% TritonX100 (Sigma) and 0.05% Tween (Sigma). After 1h blocking at room temperature, primary antibodies were incubated overnight at 4°C, followed by 2h incubation at room temperature in secondary antibody.

The following primary antibodies were used: mouse anti-CD45 (Leica Biosystems, NCL-L-LCA, 1/70), 894 mouse anti-CD3 (Leica Biosystems CD3-565-L-CE, 1/100), mouse anti-CD8 (Novus Biologicals, 895 NBP2-32952, 1/200), mouse anti-CD15 (Cell Signalling, SSEA1 MC480 #4744S, 1/1500). 896 AlexaFluor555 donkey anti-mouse (all Invitrogen, purchased from ThermoFisher Scientific, 1/300) as 897 898 use used as secondary antibody. DAPI (Life Technologies) was used as a nuclear counterstain. Slides were mounted using ProLong Gold anti-fade reagent (Life Technologies). TissueFAXS Quantitative 899 900 Imaging System (TissueGnostics, Vienna, Austria) was used to acquire images from the slides. The 901 TissueFAXS uses a standard widefield epi-fluorescence Zeiss AXIO Observer.Z1 Inverted Microscope (with high efficiency fluorochrome specific DAPI, GFP, CFP, Cy3, and Cy5). Images were 902 captured with a Hamamatsu ORCA-Flash 4.0 CMOS Camera. The entire slide (75 × 25 mm²) was 903

904 scanned at low magnification using a 5x objective to identify the location of the tissue on the slide, 905 followed by acquisition in multiple sequential tiles at 20× high magnification used for all downstream analysis. Image processing and analysis was performed using StrataQuest software version 906 907 6.0.1.209 (TissueGnostics, Vienna, Austria). Image processing included reconstruction of whole 908 images and creation of *in silico* multiplexed images. Tissue cytometry and backgating into the tissue 909 images were used for quantitation and visualization of the *in silico* data. In brief, several algorithms 910 were used: to isolate cells by DAPI staining, to create a ring mask and identify non-nuclear staining 911 starting from the centroid of the identified nucleus and stopping at the exterior of the biomarker, to 912 identify the biomarker for the cell phenotype (CD45, CD3, CD8, CD15 stainings), allowing isolation of 913 individual cells by a specific phenotype. Global standard measurements were computed for area 914 (μm^2) , mean fluorescence intensity, perimeter (μm) , compactness, and cell location (Cartesian 915 coordinates). 2D dot scatterplots were created for each ROI (region of interest) containing the mean 916 fluorescence intensity of one biomarker on each axis. Using the backgating algorithm, threshold cutoffs were manually positioned to include or exclude cell subpopulations. Total number of cells 917 (DAPI⁺) and positively stained cells for CD45, CD3, CD8, and CD15 were segmented in each ROI. 918

919

920 Statistical analysis and reproducibility

Statistics for 16S rRNA gene sequencing, shotgun metagenomic sequencing, and human RNA-seq 921 are described above. Other data were plotted and analyzed using GraphPad Prism 7 for Windows, 922 and specific statistical methods used are indicated in the text or figure legends. Briefly, a two-tailed 923 924 Mann-Whitney U test was used for comparison between two independent groups. For multi-group 925 comparisons, one-way or two-way ANOVA followed by Dunn's multiple comparisons test was performed. Only statistically significant differences are indicated in the figures. Exact p values and 926 927 statistical tests used for each panel are reported in the source data. The number of samples analyzed 928 in each group are indicated in each figure.

929 Sample size of mice follows the 3 Rs (replace, reduce, and refine). Mice were randomly assigned to 930 experimental groups and matched to the best age. No data were excluded from the analyses and 931 details on experiment repetition are given in the respective figure legends. The investigators were not

- 932 blinded to group allocation for mouse stool collection and euthanasia to avoid sample cross-
- 933 contamination.



Figure 1. Distinct microbiomes in patients with adenomas and CRC correlate with differential human immune transcripts

937 A. Overview of the experimental design. 32 treatment-naïve patients were included in the study. Surgical 938 resections were collected from multiple sites in the colon and analyzed by 16S rRNA sequencing, immunofluorescence, and RNA expression analysis. Selected fecal samples were collected anaerobically and 939 940 administered in a germ-free cancer mouse model. B. Human microbiota composition measured by proportional 941 abundance of bacterial CAGs in human colon biopsies. CAGs are named after the most abundant genus. Stars 942 (*) indicate the 12 patients selected for bulk RNAseq analysis. C. Venn diagram depicting numbers of significantly DEGs (p value <0.5) between healthy controls (Healthy, n= 10), tumors from the Pathogen CAG 943 944 (Path, n= 6), and tumors from subjects harboring the Lachnospiraceae CAG (Lachno, n= 6). The gene numbers 945 circled in red are those uniquely elevated in the Pathogen CAG and gene numbers circled in green are uniquely elevated in the Lachnospiraceae CAG. D. Heatmap of unsupervised hierarchal clustering of genes and patients, 946 947 representing the top 60 significantly DEGs (CRC versus healthy controls; FDR adjusted p value < 0.1, and 948 Lachnospiraceae CAG versus Pathogen CAG; p value < 0.05. All log₂FC \leq -1.5 and \geq 1.5), consisting of the top 949 10 significantly DEGs from each circle highlighted in the Venn diagram (panel C). E, F. Expression plots 950 displaying labeled immune genes differentially expressed and uniquely elevated in (E) Pathogen CAG and (F) 951 Lachnospiraceae CAG tumors relative to healthy controls. The x-axis is the logCPM values for healthy controls 952 and the y-axis is the logCPM values for (E) Pathogen CAG and (F) Lachnospiraceae CAG tumors. Red dots 953 show genes of interest. G, H. Estimated immune cell abundancies from whole transcriptomic data deconvoluted 954 with the CIBERSORTx software in healthy controls and tumors. Estimated lymphocyte abundances were 955 calculated as the sum of proportions of naïve B cells, memory B cells, CD8⁺ T cells, naïve CD4⁺ T cells, resting 956 memory CD4+ T cells, and activated memory CD4+ T cells. Estimated monocyte abundances were calculated 957 as the sum of proportions of monocytes, M0 macrophages, M1 macrophages, and M2 macrophages. Bars 958 represent mean ±SEM. p values were calculated by unpaired Student's t-tests. * $p \le 0.05$, ** $p \le 0.01$, *** $p \le 0.01$, ***p959 0.001, *****p* ≤ 0.0001.



960 961

962 Figure 2. Tumor growth is strongly dependent on microbiota type in a humanized mouse model of CRC. 963 A. Validation of microbiota composition in human donors for the pre-clinical mouse trial. Colon resections were 964 collected at surgery and the mucosal microbiota was profiled using 16S rRNA sequencing. Pie-charts represent 965 the abundance of the five bacterial CAGs on adenoma or tumor samples from each donor. Donor 1 (CRC044), 966 diagnosed with a T3N2 rectum adenocarcinoma, was selected based on the high abundance of a Pathogen 967 CAG microbiota and Donor 2 (CRC056), diagnosed with a tubulovillous adenoma, was selected based on the 968 high abundance of a Lachnospiraceae CAG microbiota. B. Experimental design of the pre-clinical trial with a 969 humanized MC-38 model of CRC. C. Tumor growth is reduced in mice receiving the Lachnospiraceae

970 microbiota compared to mice receiving the Pathogen CAG microbiota or control germ-free (GF) mice. Tumor 971 volume was measured with a caliper at endpoint and calculated as (length x width²)/2. Overall p values were 972 calculated with the Kruskal-Wallis test. Data indicate mean ±SEM. n= 3-6 replicates/group per condition. Data 973 from two independent experiments are shown by open and grey bars. **D.** Relatedness (β -diversity) of the fecal 974 microbiota of the two human donors and respective recipient mice at different time-points represented by 975 principal coordinate analysis (PCoA) on Bray-Curtis distance matrix (PERMANOVA $r^2=0.79$; p value = 0.001). 976 W, week. E PCoA plots of the Metaphlan2 species-level taxa profiles of the murine fecal microbiomes, 977 performed at week 2 (left) and week 5 (right). Fecal microbiome profiles corresponding to the Pathogen CAG 978 donor and the Lachnospiraceae CAG donor are colored in red and green, respectively. Each point corresponds 979 to a specific mouse ID and the corresponding tumor volume is shown within parentheses. The size of each point 980 is proportional to the tumor volume. PERMANOVA $r^2=0.24$; p = 0.016 (week 2), $r^2=0.25$; p value = 0.006 (week 981 5).



983 Figure 3. Distinct bacterial taxa are associated with final tumor volume

984 Heatmap showing the ranked abundances of 'tumor positive' (red) and 'tumor negative' (green) taxa in mice 985 fecal microbiomes at week 2 only (top panel), shared between week 2 and 5 (middle panel), and week 5 only (bottom panel), as determined by shallow shotgun sequencing of samples from experiment 2. For each mouse, 986 987 the corresponding tumor volume at week 5 is indicated as bar plots at the top of the heatmap. Spearman's rank 988 correlations between bacterial taxa abundance at different time points and the tumor volume at week 5 are 989 indicated. The effect sizes (Cohen's D) observed for the various taxa in the Global Reference cohort are also 990 shown. Taxa that are significantly enriched or depleted in CRC (n = 325) versus healthy individuals (n = 310) 991 (identified using Mann-Whitney U tests, p values corrected using Benjamini-Hochberg for FDR < 0.1) are 992 indicated by red and green stars, respectively. Positive association with tumor volume and enrichment in CRC 993 in the Global Reference cohort is color-coded in red. Negative association with tumor volume and depletion in

994 CRC in the Global Reference cohort is color-coded in green.



996

997

998 Figure 4. High-risk and low-risk microbiome are associated with different metabolic pathways

999 A. Pre-tumor microbiota is predictive of tumor growth. Tumor-associated bacterial taxa at week 2 have higher 1000 predictability for tumor volume than taxa at week 5. Boxplots show the variation of Spearman rho values 1001 calculated between the predicted and actual tumor volumes obtained for the 100 iterations of the two variants 1002 of RF models (trained on week 2 and week 5 abundance profiles, respectively). Mann-Whitney U test p values 1003 for the different comparisons are indicated. B. Volcano plot showing the validated set (identified as summarized 1004 in Fig. S13) of metabolite production functionalities that were predicted to have either a significant positive or 1005 negative association with the Pathogen CAG microbiome. The x-axis indicates the effect size difference 1006 (negative indicating enriched in the Lachnospiraceae CAG and positive indicating enriched in the Pathogen 1007 CAG), and the y-axis indicates the negative log of FDR value. C. Boxplots comparing (left) the coverage of the 1008 bile acid inducible (bai) gene cluster that converts the primary bile acids (cholic acid and chenodeoxycholic acid) 1009 into secondary bile acids (deoxycholic acid and lithocholic acid); (middle) the cumulated gene abundances of 1010 CntA and CutA enzymes that catalyze trimethylamine (TMA) production; and, (right) the abundance of the AtoD 1011 enzyme catalyzing the last step of short-chain fatty acids formation, between the Pathogen and the 1012 Lachnospiraceae microbiome types. The p values obtained using the Mann-Whitney U tests are indicated.



1013

1014 Figure 5. *Lachnospiraceae*-type microbiome colonization induces a strong immune infiltration and 1015 antitumoral immune response.

1016 A. Spleens from mice with the Pathogen CAG have more neutrophils (CD45+CD11b+MHCII-Ly6G+Ly6low), monocytes (CD45+CD11b+MHCII-Ly6G-LyChigh), macrophages (CD45+CD11b+MHCII+), and dendritic cells 1017 (CD45+MHCII+CD11b-CD11c+), as determined by flow cytometry gated on CD45+ cells. Panels show 1018 1019 quantification of neutrophils, monocytes, macrophages, and dendritic cells. B. Spleens from mice with the 1020 Lachnospiraceae CAG have more CD3+, CD4+, CD8+, and NK T cells, as determined by flow cytometry gated 1021 on CD45⁺ cells. p values were determined by Mann-Whitney U test and are represented in each plot. Data 1022 indicate mean ±SEM. n= 6 biological replicates/group. C. Quantification of immune infiltrate (CD45⁺), T-cell 1023 infiltrate (CD3⁺ and CD8⁺) and neutrophil infiltrate (CD15⁺) in human CRC biopsies from Pathogen (n= 5) and 1024 Lachnospiraceae-enriched tumors (n= 4). 2 sections/tumor and 3 ROIs guantified per section (same ROIs were

used to quantify different immune cell subpopulation in each tumor), means are shown, group comparison with
one-way ANOVA. **D.** Immunofluorescence representative images of Pathogen CAG-enriched tumors (CRC073)
and *Lachnospiraceae* CAG-enriched tumors (CRC057) human tumors showing that more CD3⁺ T cells (red)
infiltrate into *Lachnospiraceae* tumors, while more CD15⁺ neutrophils infiltrate into Pathogen tumors.
Counterstained with nuclear dye DAPI. All tumors were analyzed and for each tumor; 3 ROIs were quantified
per section (n= 2 sections/tumor/staining). Scale bars 100µm.

Table 1 - Clinico-pathological characteristics of the two donors.

	CRC044	CRC056
Gender	Female	Male
Age (years, at the time of surgery)	66	65
Tumor Type	Adenocarcinoma	Tubulovillous adenoma with low grade dysplasia
Tumor Location	Rectum	Hepatic flexure
Tumor Size (cm)	3.5	-
T-category	Т3	ТО
N-category	N2b	-
BMI	N/A	25.7
Hip/waist ratio	N/A	0.98
Medication	Bendnoflumethiazole 5mgs OD	Atrovasin 20mg OD,Eltroxin 50mgs OD
Bowel Preparation	Klean Prep x 1 and Picolax (5 days prior to surgery) followed by low residue diet x 5days and Phosphate enema x 2 on morning of the surgery	Klean Prep x 1 and Picolax (5 days prior to surgery) followed by low residue diet x 5days and Phosphate enema x 2 on morning of the surgery
3-year clinical folow-up	Patient had adjuvant chemotherapy following surgery. Patient finished oral Capecitabine in October 2017 and a CT scan in January 2018 which showed no evidence of disease. Development of symptoms (dysphasia and right-sided facial droop) in February 2020. 18 mm brain metastases consistent with rectal cancer. Negative CT scan and negative colonoscopy in June 2020.	No further treatment required to date