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# FINITE QUOTIENTS OF THE ALGEBRAIC FUNDAMENTAL GROUP OF PROJECTIVE CURVES IN POSITIVE CHARACTERISTIC

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Let  $X$  be a smooth connected projective curve defined over an algebraically closed field  $k$  of characteristic  $p > 0$ . Let  $G$  be a finite group whose order is divisible by  $p$ . Suppose that  $G$  has a normal  $p$ -Sylow subgroup. We give a necessary and sufficient condition for  $G$  to be a quotient of the algebraic fundamental group  $\pi_1(X)$  of  $X$ .

## 1. Introduction.

Let  $X$  be a smooth projective connected algebraic curve of genus  $g$  defined over an algebraically closed field  $k$  of characteristic  $p > 0$ . In this paper we study necessary and sufficient conditions for a finite group  $G$  to be a quotient of the algebraic fundamental group  $\pi_1(X)$  of  $X$ . We denote by  $\pi_A(X)$  the set of isomorphism classes of finite groups which are quotients of  $\pi_1(X)$ . Recall that a group  $G \in \pi_A(X)$  will occur as a Galois group of an étale Galois cover  $Z \rightarrow X$ . In this paper we will call  $Z \rightarrow X$  a Galois  $G$ -cover.

Let  $G$  be a finite group and suppose that its order is not divisible by  $p$ . In [Groth71, Corollary 2.12] Grothendieck showed that  $G \in \pi_A(X)$  if and only if  $G$  is a quotient of the topological fundamental group  $\Gamma_g$  of a compact Riemann surface of genus  $g$ .

We consider next a finite  $p$ -group  $G$ . Denote by  $\Phi(G) = [G, G]G^p$  its Frattini subgroup and let  $\mathcal{G} = G/\Phi(G)$ . This group is an elementary  $p$ -abelian group. The  $p$ -torsion subgroup  $J_X[p]$  of the Jacobian variety  $J_X$  of  $X$  is an  $\mathbb{F}_p$ -vector space whose dimension  $\gamma_X$  is called the Hasse-Witt invariant of  $X$ . It follows from [Ser56, §11] that  $\mathcal{G} \in \pi_A(X)$  if and only if  $\mathcal{G}$  has  $p$ -rank at most  $\gamma_X$ . Suppose now that  $G \in \pi_A(X)$ , then  $\mathcal{G} \in \pi_A(X)$ , therefore the  $p$ -rank of  $G$  (the minimal number of generators of its maximal  $p$ -quotient) is at most  $\gamma_X$ . Actually, this condition is also sufficient. This follows from the fact that the  $p$ -cohomological dimension  $\text{cd}_p(\pi_1(X))$  of  $\pi_1(X)$  is at most 1 (cf. end of proof of Theorem 1.3).

Now these two situations are understood, the next step to study is the case of a finite group  $G$  whose order is divisible by  $p$ . Consider the case where  $G$  has a normal  $p$ -Sylow subgroup  $P$ . Let  $H = G/P$ . The main

theorem (Theorem 1.3) addresses the question of when a Galois  $P$ -cover  $Z \rightarrow Y$  and a Galois  $H$ -cover  $Y \rightarrow X$  can be composed to give a Galois  $G$ -cover  $Z \rightarrow X$  (recall that in general the cover may not be Galois). Roughly speaking the theorem says that  $G \in \pi_A(X)$  if and only if the action of  $H$  on  $P$  is compatible with the action of  $H$  on  $J_Y[p]$ . The result fits nicely with the fact (implied above) that the  $p$ -torsion of the Jacobian variety of  $Y$  regulates the Galois  $P$ -covers of  $Y$ . In order to state the main theorem precisely we need to introduce some notation.

**1.1. Group theory.** Let  $G$  be a finite group with normal  $p$ -Sylow subgroup  $P$  and quotient  $H = G/P$ . A theorem of Schur and Zassenhaus assures that  $G$  is isomorphic to the semi-direct product  $P \rtimes H$  taken with respect to the the action  $\eta : H \rightarrow \text{Aut}(P)$  defined by conjugation. Let  $\Phi(P) = [P, P]P^p$  be the Frattini subgroup of  $P$ . The quotient  $\mathcal{P} = P/\Phi(P)$  is the maximal elementary abelian quotient of  $P$ , hence it is an  $\mathbb{F}_p$ -vector space. This action induces an  $\mathbb{F}_p$ -representation  $\rho : H \rightarrow \text{Aut}(\mathcal{P})$ .

Let  $Z(H)$  be the set of irreducible characters  $\chi$  of  $H$  with values in the algebraically closed field  $k$  of characteristic  $p > 0$ . Let  $\chi^0$  be the trivial character of  $H$  and  $\rho_\chi : H \rightarrow \text{GL}(V_\chi)$  an irreducible  $k$ -representation of  $H$  of character  $\chi$  of degree  $n_\chi$ . The canonical decomposition of  $\mathcal{P} \otimes_{\mathbb{F}_p} k$  as a  $k[H]$ -module is given by

$$(1.1) \quad \mathcal{P} \otimes_{\mathbb{F}_p} k = \bigoplus_{\chi \in Z(H)} V_\chi^{m_\chi}.$$

**1.2. Generalized Hasse-Witt invariants.** Let  $Y \rightarrow X$  be a Galois cover with  $\text{Gal}(Y/X) \cong H$  and  $g_Y$  the genus of  $Y$ . Let  $J_Y$  be the Jacobian variety of  $Y$  and  $J_Y[p]$  its  $p$ -torsion subgroup. Suppose that  $\mathbb{F}_q = \mathbb{F}_{p^m}$  is a finite field large enough to contain the  $|H|$ -th roots of unity. Let  $e_\chi = \frac{\chi(1)}{|H|} \sum_{h \in H} \chi(h^{-1}) h \in k[H]$  be the idempotent corresponding to  $\chi$ . Denote by

$$(1.2) \quad J_Y[p] \otimes_{\mathbb{F}_p} \mathbb{F}_q = \bigoplus_{\chi \in Z(H)} J_Y[p]_\chi$$

the canonical decomposition of  $J_Y[p] \otimes_{\mathbb{F}_p} \mathbb{F}_q$ , where  $J_Y[p]_\chi = e_\chi \cdot (J_Y[p] \otimes_{\mathbb{F}_p} \mathbb{F}_q)$ .

**Definition 1.1.** The generalized Hasse-Witt invariant  $\gamma_{Y,\chi}$  of  $Y$  with respect to  $\chi$  is defined as the dimension of  $J_Y[p]_\chi$  as an  $\mathbb{F}_q$ -vector space (cf. [Ruc86, §2]). A surjection  $\phi : \pi_1(X) \twoheadrightarrow H$  corresponds to a Galois  $H$ -cover  $Y \rightarrow X$ , and in the case that the cover  $Y \rightarrow X$  is not named, we will use  $\gamma_{\phi,\chi}$  to denote the generalized Hasse-Witt invariant  $\gamma_{Y,\chi}$  of  $Y$  with respect to  $\chi$ .

The notation  $\gamma_{\phi,\chi}$  has the advantage that it emphasizes that the generalized Hasse-Witt invariants are invariants of the cover  $Y \rightarrow X$  (corresponding

to the surjection  $\phi : \pi_1(X) \twoheadrightarrow H$ ) rather than of the curve  $Y$  alone. Also, the main result of this paper is phrased in terms of embedding problems involving  $\phi$ . Thus, the notation  $\gamma_{\phi, \chi}$  eases the exposition in that case. However, in the literature the notation  $\gamma_{Y, \chi}$  is standard. Moreover, in this paper when we are dealing directly with the cover  $Y \rightarrow X$ , as opposed to the surjection  $\phi$ , we use the notation  $\gamma_{Y, \chi}$ .

A consequence of (1.2) is

$$(1.3) \quad \gamma_Y = \sum_{\chi \in Z(H)} \gamma_{Y, \chi}.$$

### 1.3. Embedding problems.

**Definition 1.2** ([Har95, p. 366]). An embedding problem for a profinite group  $\Lambda$  is a pair of surjective profinite group homomorphisms  $(\alpha : \Lambda \rightarrow \mathcal{K}_2, \delta : E \rightarrow \mathcal{K}_2)$ . The embedding problem is finite, if  $E$  is a finite group, and trivial, if  $\delta$  is an isomorphism. A weak, respectively proper, solution to the embedding problem is a homomorphism, respectively a surjective homomorphism,  $\beta : \Lambda \rightarrow E$  such that  $\alpha = \delta \circ \beta$ .

**1.4. Main theorem.** Here we give the statement of the main result. Since a surjection  $\phi : \pi_1(X) \twoheadrightarrow H$  corresponds to a unique Galois  $H$ -cover  $Y \rightarrow X$ , embedding problems  $(\phi : \pi_1(X) \twoheadrightarrow H, G \twoheadrightarrow H)$  relate to Galois theory. Specifically, a proper solution to such an embedding problem corresponds to the existence of a Galois  $G$ -cover  $Z \rightarrow X$  dominating the Galois  $H$ -cover  $Y \rightarrow X$ . Thus we use the language of embedding problems to state the main theorem.

Again we assume throughout this paper that all curves are smooth connected projective  $k$ -curves. For such a curve  $X$  we make the following notation.

**Notation.** Given a group  $G$  with normal  $p$ -Sylow subgroup  $P$  and quotient  $H = G/P$ , and given  $\phi : \pi_1(X) \twoheadrightarrow H$ , let  $m_\chi, n_\chi$ , and  $\gamma_{\phi, \chi}$  be as in Sections 1.1 and 1.2. By *Condition A for the curve  $X$*  we will mean that for every  $\chi \in Z(H)$  the following inequality holds:  $m_\chi n_\chi \leq \gamma_{\phi, \chi}$ .

**Theorem 1.3.** *Let  $G$  be a finite group having a normal  $p$ -Sylow subgroup  $P$ . Let  $H = G/P$ . An embedding problem  $(\phi : \pi_1(X) \twoheadrightarrow H, G \twoheadrightarrow H)$  has a proper solution if and only if Condition A holds for the curve  $X$ .*

The necessity of Condition A for the curve  $X$  in Theorem 1.3 was previously obtained in [Ste96a, Proposition 3.4]. In this paper we show that it is also sufficient. Rephrasing this in terms of covers we obtain the following immediate corollary.

**Corollary 1.4.** *Let  $G$  be a finite group having a normal  $p$ -Sylow subgroup  $P$ . Let  $H = G/P$ . Then,  $G \in \pi_A(X)$  if and only if there exists a Galois  $H$ -cover  $Y \rightarrow X$  such that  $m_\chi n_\chi \leq \gamma_{Y, \chi}$ , for every  $\chi \in Z(H)$ .*

**Remark 1.5.** In the case that  $\phi$  corresponds to a Galois  $H$ -cover  $Y \rightarrow X$  where  $Y$  is an ordinary curve (namely, that the genus of  $Y$  is equal to  $\gamma_Y$ ) we show (Theorem 7.1) that an embedding problem  $(\phi : \pi_1(X) \twoheadrightarrow H, G \twoheadrightarrow H)$  has a proper solution if and only if  $m_\chi \leq g$ , when  $\chi$  is the trivial character of  $H$ , and  $m_\chi \leq (g - 1)n_\chi$ , otherwise. The advantage here is that we eliminate the generalized Hasse-Witt invariant notation from the condition. This result appears in Section 7 where we discuss it and other consequences of Theorem 1.3. The existence or non-existence of ‘ordinary Galois  $H$ -covers’ is a difficult and open problem. However, in the case that  $H$  is abelian and  $X$  is ‘generic’ (cf. Section 7) a great deal of progress has been made by Nakajima [Nak83] and Zhang [Zha92]. We use their theorems in Section 7 to obtain some interesting results and examples (cf. Theorem 7.4 and Example 7.11).

We start with some Preliminaries which allow us to compute the generalized Hasse-Witt invariants in terms of differentials and to estimate how big they are. Next, in Section 3, we determine when  $\mathcal{P} \rtimes H \in \pi_A(X)$  and develop some elementary representation theory tools which will be used in Section 6 to prove Theorem 1.3. In Section 4, some useful results regarding solutions of embedding problems are given. In Section 5, we prove that the  $p$ -cohomological dimension of  $\pi_1(X)$  is at most 1. The main result is proved in Section 6, and in Section 7 we discuss some consequences of the main theorem and make some comparisons to previous work of Nakajima [Nak87] and Stevenson [Ste96a].

## 2. Preliminaries.

Let  $Y$  be a smooth projective connected algebraic curve of genus  $g_Y$  defined over an algebraically closed field  $k$  of characteristic  $p > 0$ .

**Definition 2.1.** Let  $\Omega_Y^1$  be the space of differentials of  $Y$  and  $\Omega_Y^1(0) \subset \Omega_Y^1$  the subspace of regular differentials. Let  $L$  be the function field of  $Y$  and  $t$  a separating variable of  $L$ . Given  $\omega = f dt \in \Omega_Y^1$ , the Cartier operator is defined by  $\mathcal{C}(\omega) = (-d^{p-1}f/dt^{p-1})^{1/p} dt$ . This is a  $1/p$ -linear operator, i.e.,  $\mathcal{C}(a^p\omega) = a\mathcal{C}(\omega)$ , for any  $a \in K$ . Moreover,  $\mathcal{C}$  acts on  $\Omega_Y^1(0)$  (cf. [Ser56, §10, p. 39]).

It also follows from [Ser56, §10, p. 39] that there exists an  $\mathbb{F}_p$ -isomorphism between  $J_Y[p]$  and  $\text{Ker}(1 - \mathcal{C}|_{\Omega_Y^1(0)})$  given by  $\text{class}(D) \mapsto df/f$ , where  $p \cdot \text{class}(D) = \text{div}(f)$ . In particular,  $\gamma_Y \leq g_Y$ .

**Definition 2.2.** The curve  $Y$  is called ordinary if  $\gamma_Y = g_Y$ .

In order to understand how big the generalized Hasse-Witt invariants are we recall that a theorem of Nakajima ([Nak84] Corollary, one can also follow the proof in characteristic 0 of Chevalley and Weil [CheWei34])

which says that if  $Y \rightarrow X$  is étale and  $\text{Gal}(Y/X) \cong H$ , then we have an isomorphism of  $k[H]$ -modules

$$(2.1) \quad \Omega_Y^1(0) \cong k \oplus k[H]^{g-1}.$$

Given  $\chi \in Z(H)$ , let  $\Omega_Y^1(0)_\chi = e_\chi \cdot \Omega_Y^1(0)$  and  $g_\chi = \dim_k \Omega_Y^1(0)_\chi$ . Note that (2.1) implies that  $g_{\chi^0} = g$  and  $g_\chi = (g-1)n_\chi^2$  for every  $\chi \in Z(H)$ ,  $\chi \neq \chi^0$ . It is a result due to Rück [Ruc86, Proposition 2.3] that the  $\mathbb{F}_q[H]$ -modules  $J_Y[p]_\chi$  and  $\text{Ker}(1 - \mathcal{C}^m | \Omega_Y^1(0)_\chi)$  are isomorphic (this generalizes the above result of Serre). Hence, for each  $\chi \in Z(H)$  we have

$$(2.2) \quad \gamma_{Y,\chi} \leq g_\chi.$$

**Remark 2.3.** In particular, by (1.3), we conclude that  $Y$  is ordinary if and only if for each  $\chi \in Z(H)$  we have

$$(2.3) \quad \gamma_{Y,\chi} = \begin{cases} g, & \text{if } \chi = \chi^0 \text{ and} \\ (g-1)n_\chi^2, & \text{if } \chi \neq \chi^0. \end{cases}$$

### 3. Unramified covers and Galois modules.

Let  $Y \rightarrow X$  be a Galois cover with  $\text{Gal}(Y/X) \cong H$  and  $Z \rightarrow Y$  an étale Galois cover with  $\text{Gal}(Z/Y) \cong (\mathbb{Z}/p\mathbb{Z})^r$ , for some  $1 \leq r \leq \gamma_Y$ . In [Pac95, Propositions 2.4 and 2.5] the first author determined a necessary and sufficient condition for  $Z \rightarrow X$  to be also Galois. We review these results and as a consequence we obtain a necessary and sufficient condition for  $\mathcal{P} \rtimes H \in \pi_A(X)$ .

Denote by  $\mathcal{S}_1$  the set of all étale Galois covers  $Z \rightarrow Y$  with  $\text{Gal}(Z/Y) \cong (\mathbb{Z}/p\mathbb{Z})^r$  for some  $1 \leq r \leq \gamma_Y$ . This set corresponds bijectively to the set  $\mathcal{S}_2$  of  $\mathbb{F}_p$ -vector subspaces of  $\text{Hom}(\pi_1(Y), \mathbb{Z}/p\mathbb{Z})$  by  $(Z \rightarrow Y) \mapsto \text{Hom}(\text{Gal}(Z/Y), \mathbb{Z}/p\mathbb{Z})$ , where we identify  $\text{Hom}(\text{Gal}(Z/Y), \mathbb{Z}/p\mathbb{Z})$  with the  $\mathbb{F}_p$ -vector space of  $\psi \in \text{Hom}(\pi_1(Y), \mathbb{Z}/p\mathbb{Z})$  such that  $\pi_1(Z) \subset \text{Ker}(\psi)$ . Its inverse is equal to  $V \mapsto (Z \rightarrow Y)$ , where  $\bigcap_{\psi \in V} (L^{\text{un}})^{\text{Ker}(\psi)}$  is the function field of  $Z$ ,  $L$  is the function field of  $Y$  and  $L^{\text{un}}$  is the maximal unramified Galois extension of  $L$ . An element  $(Z \rightarrow Y)$  of  $\mathcal{S}_1$  is explicitly described as follows.

For each  $Q \in Y$ , let  $L_Q$  be the completion of  $L$  at  $Q$ ,  $U_L = \bigcap_{Q \in Y} (\wp(L_Q) \cap L)$ , where  $\wp$  denotes the operator  $\wp(x) = x^p - x$ . Let  $W_L = U_L / \wp(L)$  and for each  $a \in U_L - \wp(L)$ , let  $\langle a + \wp(L) \rangle$  be the cyclic subgroup of order  $p$  of  $W_L$  generated by  $a + \wp(L)$ . Denote by  $\wp^{-1}(a)$  a solution of  $\wp(T) = a$  in the algebraic closure of  $L$ .

**Lemma 3.1** ([Pac95, Proposition 2.4]). *Let  $(Z \rightarrow Y) \in \mathcal{S}_1$  with  $\text{Gal}(Z/Y) \cong (\mathbb{Z}/p\mathbb{Z})^r$  for some  $1 \leq r \leq \gamma_Y$ . There exist  $\mathbb{F}_p$ -linearly independent  $a_1 + \wp(L), \dots, a_r + \wp(L) \in W_L$  such that  $k(Z) = k(\wp^{-1}(a_1), \dots, \wp^{-1}(a_r))$ . Moreover, the cover  $(Z \rightarrow Y)$  is uniquely determined by the  $\mathbb{F}_p$ -vector subspace  $A_{Z/Y} = \bigoplus_{j=1}^r \langle a_j + \wp(L) \rangle$  of  $W_L$  and  $\text{Gal}(Z/Y) = \text{Hom}(A_{Z/Y}, \mathbb{Z}/p\mathbb{Z})$ .*

**Lemma 3.2** ([Pac95, Proposition 2.5]). *With hypothesis and notation as in Lemma 3.1,  $Z \rightarrow X$  is Galois if and only if  $A_{Z/Y}$  is an  $\mathbb{F}_p[H]$ -module. In this case,  $\text{Gal}(Z/X) \cong \text{Gal}(Z/Y) \rtimes H$  and the action of  $H$  on  $A_{Z/Y}$  is contragredient to the natural action of  $H$  on  $\text{Gal}(Z/Y)$ .*

Our goal now is to describe the  $\mathbb{F}_p[H]$ -module structure of  $\mathcal{P}$  and compare it with the  $\mathbb{F}_p[H]$ -module structure of  $\text{Ker}(1 - \mathcal{C} | \Omega_Y^1(0))$ . In order to do this we introduce some basic facts on representation theory.

**Definition 3.3.** Let  $\chi \in Z(H)$  and denote by  $\rho_\chi : H \rightarrow \text{GL}(V_\chi)$  an irreducible representation with character  $\chi$ . Given  $h \in H$ , let  $(a_{ij}(h))$  be the matrix of  $\rho_\chi(h)$  with respect to some fixed basis of  $V_\chi$ . For each  $m \geq 0$ , let  $\rho_{\chi^{p^m}} : H \rightarrow \text{GL}(V_\chi)$  be the map defined by  $\rho_{\chi^{p^m}}(h) = \rho_\chi(h)^{p^m}$ .

**Lemma 3.4** ([Isa76, p. 151]). *The map  $\rho_{\chi^{p^m}}$  is an irreducible  $k$ -representation of  $H$  with character  $\chi^{p^m}$  defined by  $\chi^{p^m}(h) = \chi(h)^{p^m}$ .*

**Definition 3.5** ([Isa76, p. 152]). Denote by  $\mathbb{F}_{p^{l_\chi}}$  the field  $\mathbb{F}_p(\chi)$  generated by  $\mathbb{F}_p$  and the character values  $\{\chi(h); h \in H\}$ . Given  $\chi, \psi \in Z(H)$ , define  $\chi \sim \psi$  if and only if there exists  $0 \leq m < l_\chi$  such that  $\psi = \chi^{p^m}$ . Let  $[\chi]$  be the class of  $\chi$  in  $\mathcal{Z}(H) = Z(H)/\sim$ . Let  $\mathcal{F}$  be the set of  $\mathbb{F}_p$ -irreducible representations  $\rho : H \rightarrow \text{GL}(U)$  of  $H$ .

**Lemma 3.6** ([Isa76, Theorem 9.21]). *There is a bijection between the sets  $\mathcal{F}$  and  $\mathcal{Z}(H)$  given by  $\rho \mapsto [\chi]$ , where  $\rho \otimes_{\mathbb{F}_p} k : H \rightarrow \text{GL}(U \otimes_{\mathbb{F}_p} k)$  is isomorphic to  $\rho_{[\chi]} = \bigoplus_{j=0}^{l_\chi-1} \rho_{\chi^{p^j}}$ .*

The action  $\eta : H \rightarrow \text{Aut}(P)$  given by conjugation induces an  $\mathbb{F}_p$ -representation  $\rho : H \rightarrow \text{Aut}(\mathcal{P})$ . By Lemma 3.6,  $\rho \otimes_{\mathbb{F}_p} k$  is a sum of the representations  $\rho_{[\chi]}$  with multiplicities  $m_\chi$  (note that since  $\rho$  is defined over  $\mathbb{F}_p$ ,  $m_\psi = m_\chi$ , for  $\psi \sim \chi$ ). Denote  $V_{[\chi]} = \bigoplus_{j=0}^{l_\chi-1} V_{\chi^{p^j}}$ . Hence,

$$(3.1) \quad \mathcal{P} \otimes_{\mathbb{F}_p} k \cong \bigoplus_{[\chi] \in \mathcal{Z}(H)} V_{[\chi]}^{m_\chi}.$$

Let  $\mathcal{V}_{[\chi]}$  be the irreducible  $\mathbb{F}_p[H]$ -module such that  $\mathcal{V}_{[\chi]} \otimes_{\mathbb{F}_p} k \cong V_{[\chi]}$ . It follows from (3.1) that

$$(3.2) \quad \mathcal{P} \cong \bigoplus_{[\chi] \in \mathcal{Z}(H)} \mathcal{V}_{[\chi]}^{m_\chi}.$$

Let  $n_\chi = \dim_k V_\chi$ ,  $g_\chi = \dim_k \Omega_Y^1(0)_\chi$  and  $\Omega_Y^1(0)_{[\chi]} = \bigoplus_{i=0}^{l_\chi-1} \Omega_Y^1(0)_{\chi^{p^i}}$ . The Cartier operator  $\mathcal{C}$  induces a  $k$ -isomorphism between  $\Omega_Y^1(0)_{\chi^{p^i}}$  and  $\Omega_Y^1(0)_\chi$  given by  $\omega \mapsto \mathcal{C}(\omega)$ . In particular,  $\Omega_Y^1(0)_{[\chi]} \cong V_{[\chi]}^{g_\chi/n_\chi}$ . Clearly  $\mathcal{C}$  acts on  $\Omega_Y^1(0)_{[\chi]}$ . Hence,  $\text{Ker}(1 - \mathcal{C} | \Omega_Y^1(0)_{[\chi]}) \cong \mathcal{V}_{[\chi]}^{t_\chi}$ , for some  $1 \leq t_\chi \leq g_\chi/n_\chi$ .

The canonical decomposition of  $\Omega_Y^1(0)$  into irreducible  $k[H]$ -modules is given by

$$\Omega_Y^1(0) = \bigoplus_{\chi \in \mathcal{Z}(H)} \Omega_Y^1(0)_\chi = \bigoplus_{[\chi] \in \mathcal{Z}(H)} \Omega_Y^1(0)_{[\chi]}.$$

As a consequence we obtain the canonical decomposition

$$(3.3) \quad \begin{aligned} \text{Ker}(1 - \mathcal{C} | \Omega_Y^1(0)) &= \bigoplus_{[\chi] \in \mathcal{Z}(H)} \text{Ker}(1 - \mathcal{C} | \Omega_Y^1(0)_{[\chi]}) \\ &\cong \bigoplus_{[\chi] \in \mathcal{Z}(H)} \mathcal{V}_{[\chi]}^{t_\chi} \end{aligned}$$

of  $\text{Ker}(1 - \mathcal{C} | \Omega_Y^1(0))$  into irreducible  $\mathbb{F}_p[H]$ -modules.

#### 4. Cohomological dimension and embedding problems.

In this section we describe one tool from Galois cohomology which we use to prove that if  $\mathcal{P} \rtimes H \in \pi_A(X)$  and  $\text{cd}_p(\pi_1(X)) \leq 1$ , then  $G \in \pi_A(X)$ . This result is expressed in terms of embedding problems (cf. Remark 4.4). This concept is also reviewed here.

**Definition 4.1** ([Ser86, I-17]). A profinite group  $\Lambda$  has  $p$ -cohomological dimension at most  $d \geq 1$ , if for every  $\Lambda$ -module  $M$  and for every integer  $e > d$  the  $p$ -primary component of  $H^e(\Lambda, M)$  is trivial. The infimum  $\text{cd}_p(\Lambda)$  of all such  $d$  is called the  $p$ -cohomological dimension of  $\Lambda$ .

**Definition 4.2** ([Ser86, I-23, 3.4]). Let

$$(4.1) \quad 1 \rightarrow \mathcal{K}_1 \rightarrow E \xrightarrow{\delta} \mathcal{K}_2 \rightarrow 1$$

be an extension of profinite groups. A profinite group  $\Lambda$  has the lifting property for this extension, if for every homomorphism  $\alpha : \Lambda \rightarrow \mathcal{K}_2$  there exists a homomorphism  $\beta : \Lambda \rightarrow E$  such that  $\alpha = \delta \circ \beta$ .

**Proposition 4.3** ([Ser86, Proposition 16, I-23]). *The inequality  $\text{cd}_p(\Lambda) \leq 1$  holds if and only if the extension (4.1) has the lifting property, when  $\mathcal{K}_1$  is a pro- $p$  group.*

**Remark 4.4.** In the case where  $\text{cd}_p(\Lambda) \leq 1$ , it follows from Proposition 4.3 and Definition 1.2 that there exists a weak solution to the embedding problem

$$(\delta : E \rightarrow \mathcal{K}_2, \Lambda \rightarrow \mathcal{K}_2).$$

Let  $G$  be a finite group having a normal  $p$ -Sylow subgroup  $P$ ,  $H = G/P$  and  $\mathcal{P} = P/\Phi(P)$ . Recall that  $G \cong \mathcal{P} \rtimes H$ . Define  $\delta_G : G \rightarrow \mathcal{P} \rtimes H$  by  $\delta_G((a, b)) = (a \bmod \Phi(P), b)$ . This function is a surjective group homomorphism and  $\text{Ker}(\delta_G) = \Phi(P)$ .



In particular, if  $\text{cd}_p(\pi_1(X)) \leq 1$  and  $\mathcal{P} \rtimes H \in \pi_A(X)$ , then there exists a weak solution  $\pi_1(X) \rightarrow G$  to the embedding problem

$$(\delta_G : G \rightarrow \mathcal{P} \rtimes H, \pi_1(X) \rightarrow \mathcal{P} \rtimes H).$$

Furthermore, this weak solution is indeed a proper one, because  $\Phi(P) \subset \Phi(G)$  and the latter set is exactly the set of “non-generators” of  $G$ , thus  $\pi_1(X) \rightarrow G$  must be surjective.

## 5. Cohomological dimension at most one.

In this section we prove that the  $p$ -cohomological dimension  $\pi_1(X)$  is at most 1. The proof follows the argument sketched out by Serre in [Ser90, Proposition 1] where he proves a similar result for an affine curve  $U$  (sf. also [Kat88]).

**Definition 5.1.** Let  $X$  be a smooth projective connected curve defined over  $k$ . Denote by  $\mathbf{FEt}/X$  the category of finite étale covers of  $X$ . Given a closed point  $\bar{x}$  of  $X$  define the functor  $\mathfrak{F} : \mathbf{FEt}/X \rightarrow \mathbf{Sets}$  by  $Y \mapsto \text{Hom}_X(\bar{x}, Y)$ .

**Remark 5.2.** It follows from [Mil80, Chapter I, §5, p. 39] that  $\mathfrak{F}$  is strictly pro-representable, i.e., there exists a projective system  $(X_\nu, \phi_{\nu\mu})$  in  $\mathbf{FEt}/X$  where the transition morphisms  $\phi_{\nu\mu} : X_\nu \rightarrow X_\mu$  are epimorphisms for  $\nu \geq \mu$  and the elements  $f_\nu \in \text{Hom}_X(\bar{x}, X_\nu)$  satisfy

- 1)  $f_\nu = \phi_{\nu\mu} \circ f_\mu$ ; and
- 2) for any  $Y \in \mathbf{FEt}/X$  the natural map  $\varinjlim_\nu \text{Hom}_X(X_\nu, Y) \rightarrow \text{Hom}_X(\bar{x}, Y)$  is an isomorphism.

**Notation.** Given a morphism  $Y \rightarrow X$  and  $\mathcal{F}$  an étale sheaf on  $X$  (cf. [Mil80, Chapter II]), we denote by  $\mathcal{F}|_Y$  the pullback of  $\mathcal{F}$  to  $Y$ . For any  $n \geq 0$  and  $\alpha \in H_{\text{ét}}^n(X, \mathcal{F})$  denote by  $\alpha|_Y \in H_{\text{ét}}^n(Y, \mathcal{F}|_Y)$  the pullback of  $\alpha$  to  $Y$ .

**Definition 5.3** ([Mil80, p. 155 and 220]). An étale sheaf  $\mathcal{F}$  on  $X$  is called finite if for every quasi-compact  $U \subset X$ ,  $\mathcal{F}(U)$  is finite.  $\mathcal{F}$  has finite stalks if for every geometric point  $\bar{x}$  of  $X$ ,  $\mathcal{F}_{\bar{x}}$  is finite.  $\mathcal{F}$  is called locally constant if there exists a covering  $(U_\xi \rightarrow X)_{\xi \in \Xi}$  such that for every  $\xi \in \Xi$ ,  $\mathcal{F}|_{U_\xi}$  is constant.  $\mathcal{F}$  is called a  $p$ -torsion sheaf if for every quasi-compact  $U \subset X$ ,  $\mathcal{F}(U)$  is killed by a power of  $p$ .

**Proposition 5.4** ([Mil80, Proposition 1.1, Remark 1.2 (b)]). *Each locally constant sheaf  $\mathcal{F}$  on  $X$  with finite stalks is finite and represented by a group scheme  $\tilde{\mathcal{F}}$  that is finite and étale over  $X$ . Furthermore, there exists a finite étale morphism  $X' \rightarrow X$  such that  $\tilde{\mathcal{F}} \times_X X'$  is a disjoint union of copies of  $X'$  and  $\mathcal{F}|_{X'}$  is constant.*

**Convention.** From this point till the end of this section, unless otherwise stated,  $\mathcal{F}$  will denote a  $p$ -torsion locally constant sheaf on  $X$  with finite stalks.

**Remark 5.5.** It follows from Definition 5.3 and Proposition 5.4 that

$$(5.1) \quad \mathcal{F}_{|X'} \cong \bigoplus_{i=1}^r (\mathbb{Z}/p^{n_i}\mathbb{Z})^{m_i},$$

where the  $n_i$ 's and  $m_i$ 's are positive integers.

**Proposition 5.6.** *For each  $Y \in \mathbf{FEt}/X$  and  $\beta \in H_{\text{et}}^1(Y, \mathcal{F}_Y)$  there exists  $Z \in \mathbf{FEt}/X$  such that  $Z$  factors through  $Y$  and  $\beta|_Z \in H_{\text{et}}^1(Z, \mathcal{F}_Z)$  is trivial.*

*Proof.* We start with the case where  $Y = X$ . Given  $\beta \in H_{\text{et}}^1(X, \mathcal{F})$ , let  $x'$  be as in Prop. 5.4 and  $\beta' = \beta|_{X'} \in H_{\text{et}}^1(X', \mathcal{F}_{|X'})$ . By (5.1)

$$H_{\text{et}}^1(X', \mathcal{F}_{|X'}) \cong \bigoplus_{i=1}^r H_{\text{et}}^1(X', \mathbb{Z}/p^{n_i}\mathbb{Z})^{m_i}.$$

So, we denote  $\beta' = (\beta_{1,1}, \dots, \beta_{1,m_1}, \dots, \beta_{r,1}, \dots, \beta_{r,m_r})$  with  $\beta_{i,j} \in H_{\text{et}}^1(X', \mathbb{Z}/p^{n_i}\mathbb{Z})$ . Let  $\mathcal{W}_n$  be the sheaf of Witt vectors of length  $n$  on  $X$  [Ser56, §2],  $F_{\text{abs}} : X \rightarrow X$  the absolute Frobenius morphism and  $\wp$  the operator  $\wp(x) = x^p - x$ . The exact sequence

$$1 \rightarrow \mathbb{Z}/p^n\mathbb{Z} \rightarrow \mathcal{W}_n \xrightarrow{\wp} \mathcal{W}_n \rightarrow 1,$$

gives an isomorphism  $H_{\text{et}}^1(X, \mathbb{Z}/p^n\mathbb{Z}) \cong H^1(X, \mathcal{W}_n)^{F_{\text{abs}}}$ , as in the usual Artin-Schreier theory [Mil80, p. 127-128]. Hence, by [Ser56, Proposition 13], we conclude that  $\beta_{i,j}$  parametrizes a cyclic étale cover  $X_{i,j} \rightarrow X'$  of degree  $p^{n_i}$ . Given  $\alpha \in H_{\text{et}}^1(X', \mathbb{Z}/p^n\mathbb{Z})$  and  $V \rightarrow X'$  any finite étale cover, let  $X'' \rightarrow X'$  be the cyclic étale cover of degree  $p^n$  defined by  $\alpha$  and let  $\alpha' = \alpha|_V \in H_{\text{et}}^1(V, \mathbb{Z}/p^n\mathbb{Z}|_V)$ . Thus  $\alpha'$  parametrizes the covering  $W = V \times_{X'} X'' \rightarrow V$ . In the case where  $\alpha = \beta_{i,j}$ , the covering  $X_{i,j} \rightarrow X'$  plays the role of both  $V \rightarrow X'$  and  $X'' \rightarrow X'$ . Therefore  $\beta_{i,j}|_{X_{i,j}}$  is trivial. Let  $Z \rightarrow X'$  be a finite étale cover such that for every  $i \in \{1, \dots, r\}$  and  $j \in \{1, \dots, m_i\}$ . The cover  $Z \rightarrow X'$  factors through  $X_{i,j} \rightarrow X'$ . Therefore  $\beta|_Z = \beta'|_Z \in H_{\text{et}}^1(Z, \mathcal{F}_Z)$  is trivial.

In the case where  $Y \neq X$ , let  $Y' = Y \times_X X'$ . We have

$$\mathcal{F}_{|Y'} \cong \bigoplus_{i=1}^r (\mathbb{Z}/p^{n_i}\mathbb{Z})^{m_i}.$$

It follows from the above argument that there exists a finite étale cover  $Z \rightarrow Y'$  such that  $\beta|_Z = (\beta_{|Y'})|_Z \in H_{\text{et}}^1(Z, \mathcal{F}_Z)$  is trivial.  $\square$

**Proposition 5.7.** *For each  $Y \in \mathbf{FEt}/X$  there exists  $Z \in \mathbf{FEt}/X$  which factors through  $Y$  such that  $H_{\text{et}}^0(Z, \mathcal{F}_Z) \cong \bigoplus_{i=1}^r (\mathbb{Z}/p^{n_i}\mathbb{Z})^{m_i}$ .*

*Proof.* As in the proof of Proposition 5.6 it suffices to take  $Z = Y \times_X X'$ .  $\square$

**Remark 5.8** ([Mil80, Chapter I, 5.4]). Given  $Y \in \mathbf{FEt}/X$  denote by  $\text{Aut}_X(Y)$  the set of  $X$ -automorphisms of  $Y$ . There exists  $Z \in \mathbf{FEt}/X$  such that  $Z \rightarrow X$  is Galois and  $Z \rightarrow Y$  is an  $X$ -morphism. In this case  $\text{Hom}_X(\bar{x}, Z)$  is isomorphic to  $\text{Aut}_X(Z)$ . In particular the elements of the projective system  $(X_\nu, \phi_{\nu\mu})$  can be taken so that for each  $\nu$  the cover  $X_\nu \rightarrow X$  is Galois. Furthermore,  $\pi_1(X, \bar{x}) = \varprojlim_\nu \text{Aut}_X(X_\nu)$ .

**Remark 5.9.** Since for each  $\nu$  the map  $X_\nu \rightarrow X$  is finite, hence affine, it follows from [SGA 4, VII, §5] that the projective limit of schemes  $\hat{X} = \varprojlim_\nu X_\nu$  exists. Moreover, by [Mil80, Chapter III, Lemma 1.16], for any étale sheaf  $\mathcal{F}$  on  $X$  and for any integer  $n \geq 0$  we have

$$H_{\text{et}}^n(\hat{X}, \mathcal{F}_{|\hat{X}}) \cong \varinjlim_\nu H_{\text{et}}^n(X_\nu, \mathcal{F}_{|X_\nu}).$$

**Corollary 5.10.**  $H_{\text{et}}^1(\hat{X}, \mathcal{F}_{|\hat{X}}) = 0$  and  $H_{\text{et}}^0(\hat{X}, \mathcal{F}_{|\hat{X}}) \cong \bigoplus_{i=1}^r (\mathbb{Z}/p^{n_i}\mathbb{Z})^{m_i}$ .

*Proof.* This is an immediate consequence of Propositions 5.6 and 5.7 and Remark 5.9.  $\square$

**Theorem 5.11.** *Let  $X$  be a smooth projective connected algebraic curve defined over an algebraically closed field of characteristic  $p > 0$ . For any closed point  $\bar{x}$  of  $X$  we have  $\text{cd}_p(\pi_1(X, \bar{x})) \leq 1$ .*

*Proof.* It follows from [Sha72, p. 55, Theorem 11] that it suffices to show that  $H^2(\pi_1(X, \bar{x}), F) = 0$  for any finite simple  $\pi_1(X, \bar{x})$ -module  $F$  of  $p$ -power order. By [Mil80, p. 155-156], any such  $F$  is associated uniquely to a  $p$ -torsion locally constant étale sheaf  $\mathcal{F}$  with finite stalks. Proposition 5.4 shows that there exists  $X' \in \mathbf{FEt}/X$  such that

$$(5.2) \quad F \cong \mathcal{F}_{|X'} \cong \bigoplus_{i=1}^r (\mathbb{Z}/p^{n_i}\mathbb{Z})^{m_i}.$$

Furthermore, by [SGA 4, X, Corollary 5.2], since  $X$  is a smooth projective connected algebraic curve defined over  $k$ , we conclude that

$$(5.3) \quad H_{\text{et}}^n(X, \mathcal{F}) = 0 \text{ for any } n \geq 2.$$

For every  $\nu$  we consider the Hochschild-Serre spectral sequence [Mil80, p. 105, Theorem 2.20]  $E_\nu^{r,s} \Rightarrow E^{r+s}$ , where  $E_\nu^{r,s} = H^r(\text{Aut}_X(X_\nu), H_{\text{et}}^s(X_\nu, \mathcal{F}_{|X_\nu}))$  and  $E^{r+s} = H_{\text{et}}^{r+s}(X, \mathcal{F})$ . Also, as in [Mil80, p. 106 (b)], taking the projective limit we obtain a spectral sequence  $E_\infty^{r,s} \Rightarrow E^{r+s}$ , where  $E_\infty^{r,s} = H^r(\pi_1(X, \bar{x}), H_{\text{et}}^s(\hat{X}, \mathcal{F}_{|\hat{X}}))$ . Furthermore, it follows from [Mil80, p. 309,

1.8] that there exists an exact sequence

$$(5.4) \quad \begin{aligned} 0 \rightarrow H^1(\pi_1(X, \bar{x}), H_{\text{et}}^0(\widehat{X}, \mathcal{F}_{|\widehat{X}})) &\rightarrow H_{\text{et}}^1(X, \mathcal{F}) \rightarrow H^0(\pi_1(X, \bar{x}), H_{\text{et}}^1(\widehat{X}, \mathcal{F}_{|\widehat{X}})) \\ &\rightarrow H^2(\pi_1(X, \bar{x}), H_{\text{et}}^0(\widehat{X}, \mathcal{F}_{|\widehat{X}})) \rightarrow H_{\text{et}}^2(X, \mathcal{F}) \rightarrow H^1(\pi_1(X, \bar{x}), H_{\text{et}}^1(\widehat{X}, \mathcal{F}_{|\widehat{X}})). \end{aligned}$$

Finally, we conclude from Corollary 5.10, (5.2), (5.3) and (5.4) that  $H^2(\pi_1(X, \bar{x}), F) = 0$ . Thus,  $\text{cd}_p(\pi_1(X, \bar{x})) \leq 1$ .  $\square$

In the next two corollaries we assume that  $X$  has genus  $g \geq 2$ . In this case it follows from [Ray82, Corollaire 4.3.2] that the  $p$ -Sylow subgroups of  $\pi_1(X, \bar{x})$  are non-trivial.

**Corollary 5.12.** *For every finite simple  $p$ -power order  $\pi_1(X, \bar{x})$ -module  $F$  we have  $H^1(\pi_1(X, \bar{x}), F) \cong H_{\text{et}}^1(X, \mathcal{F})$ .*

*Proof.* The result is a consequence of Corollary 5.10 and (5.4).  $\square$

**Corollary 5.13.** *The  $p$ -Sylow subgroups of  $\pi_1(X, \bar{x})$  are non-trivial and pro- $p$ -free.*

*Proof.* Recall that [Ser86, p. I-20, Proposition 14 (i)] implies  $\text{cd}_p(P) = \text{cd}_p(\pi_1(X, \bar{x}))$ , for any  $p$ -Sylow subgroup  $P$  of  $\pi_1(X, \bar{x})$ . Moreover, it follows from Theorem 5.11 that  $\text{cd}_p(\pi_1(X, \bar{x})) \leq 1$ . But, for a pro- $p$ -group  $P$  this is equivalent to  $P$  being pro- $p$ -free.  $\square$

## 6. Galois covers.

*Proof of Theorem 1.3.* Let  $\pi_1(X) \twoheadrightarrow G$  be a proper solution for the embedding problem  $(\phi : \pi_1(X) \twoheadrightarrow H, G \twoheadrightarrow H)$ . Let  $Y \rightarrow X$  be the Galois  $H$ -cover corresponding to  $\phi$ . Thus,  $\gamma_{\phi, \chi} = \gamma_{Y, \chi}$ . Recall that  $\Phi(P)$  is the Frattini subgroup of  $P$  and  $\mathcal{P} = P/\Phi(P)$ . Observe that  $\mathcal{P} \in \pi_A(Y)$ . It follows from the correspondence described in the second paragraph of Section 3 that  $\text{Hom}(\mathcal{P}, \mathbb{Z}/p\mathbb{Z})$  is an  $\mathbb{F}_p$ -subspace of  $\text{Hom}(\pi_1(Y), \mathbb{Z}/p\mathbb{Z})$ . This latter space is  $\mathbb{F}_p$ -isomorphic to  $\text{Hom}(\text{Ker}(1 - \mathcal{C} | \Omega_Y^1(0)), \mathbb{F}_p)$  by Serre's duality [Ser56, §9]. Therefore, (3.2) and (3.3) imply  $m_\chi \leq t_\chi$ , for every  $\chi \in Z(H)$ . Note that  $\text{Ker}(1 - \mathcal{C} | \bigoplus_{j=0}^{l_\chi-1} \Omega_Y^1(0)_{\chi^{pj}})$  and  $\text{Ker}(1 - \mathcal{C}^{l_\chi} | \Omega_Y^1(0)_\chi)$  are  $\mathbb{F}_p[H]$ -isomorphic via  $\omega = \sum_{j=0}^{l_\chi-1} \omega_j \mapsto \omega_0$  (cf. [Pac95, Lemma 2.14]). Moreover,  $\dim_{\mathbb{F}_p} \text{Ker}(1 - \mathcal{C}^{l_\chi} | \Omega_Y^1(0)_\chi) = \gamma_{Y, \chi} l_\chi$ , therefore  $t_\chi = \gamma_{Y, \chi} / n_\chi$  (cf. [Pac95, Corollary 3.6]), hence  $m_\chi n_\chi \leq \gamma_{Y, \chi}$  (cf. [Ste96a, Proposition 3.4]). Conversely, suppose that  $m_\chi \leq \gamma_{Y, \chi} / n_\chi$ , for every  $\chi \in Z(H)$ . Since  $t_\chi = \gamma_{Y, \chi} / n_\chi$ , it follows from (3.3) there exists an  $\mathbb{F}_p[H]$ -submodule  $\mathcal{B}_\chi$  of  $\text{Ker}(1 - \mathcal{C} | \bigoplus_{j=0}^{l_\chi-1} \Omega_Y^1(0)_{\chi^{pj}})$  such that  $\mathcal{B}_\chi \cong \mathcal{V}_{[\chi]}^{m_\chi}$ . Let  $\mathcal{B} = \bigoplus_{\chi \in Z(H)} \mathcal{B}_\chi$  and remark that there exists an  $\mathbb{F}_p[H]$ -isomorphism between  $\mathcal{B}$  and  $\mathcal{P}$ . Once

again by the the correspondence described in the second paragraph of Section 3,  $\text{Hom}(\mathcal{B}, \mathbb{F}_p)$  is  $\mathbb{F}_p[H]$ -isomorphic to  $\text{Hom}(\text{Gal}(Z/Y), \mathbb{Z}/p\mathbb{Z})$  for some étale cover  $Z \rightarrow Y$  and  $\text{Gal}(Z/Y) \cong \mathcal{P}$ . Therefore, Lemma 3.2 implies that  $Z \rightarrow X$  is Galois and  $\text{Gal}(Z/X) \cong \mathcal{P} \rtimes H$ . Hence  $\mathcal{P} \rtimes H \in \pi_A(X)$ . It follows from Theorem 5.11 that  $\text{cd}_p(\pi_1(X, \bar{x})) \leq 1$  for any closed point  $\bar{x}$  of  $X$ . Therefore, the argument of Remark 4.4 implies that  $G \in \pi_A(X)$ .  $\square$

### 7. A generic condition.

Theorem 1.3 tells us that if we are given a finite group  $G$  with a normal  $p$ -Sylow subgroup  $P$  and quotient  $H$ , then whether or not  $G$  lies in  $\pi_A(X)$  depends not only on the size of  $P$ , but also on the specific action of  $H$  on  $P$ . The role that the action of  $H$  on  $P$  plays in this question was examined previously in the work of Nakajima [Nak87, Theorem A], Pacheco [Pac95, Propositions 2.4 and 2.5] and Stevenson [Ste96a, Proposition 3.5]. However, for the groups we are considering, Theorem 1.3 is stronger. In particular, it gives us a necessary and sufficient condition which is reasonably easy to compute. We begin this section with some consequences of Theorem 1.3. These involve situations where the generalized Hasse-Witt invariants can be most easily computed. At the end of this section we compute Condition A for the curve  $X_g$  (which represents the generic geometric point of the coarse moduli scheme  $\mathcal{M}_g$  of curves of genus  $g$ ) under the assumption that  $H$  is abelian. This situation is sufficient to demonstrate the strengths of our results while also distinguishing it from previous work.

As a preliminary step, we will prove the result mentioned in Remark 1.5, which deals with “ordinary Galois  $H$ -covers”. The advantage in this case is that Condition A can be rephrased in a way that is independent of the  $H$ -cover. Given a finite group  $G$  having a normal  $p$ -Sylow subgroup  $P$ , recall that  $H = G/P$ ,  $Z(H)$  denotes the set of irreducible characters  $\chi$  of  $H$  defined over the algebraically closed field  $k$  of characteristic  $p > 0$  and  $\chi^0$  is the trivial character of  $H$ .

**Theorem 7.1.** *Let  $G$  be a finite group having a normal  $p$ -Sylow subgroup  $P$ . Let  $H = G/P$ . Suppose that  $\phi : \pi_1(X) \twoheadrightarrow H$  corresponds to a Galois  $H$ -cover  $Y \rightarrow X$  where  $Y$  is an ordinary curve. An embedding problem  $(\phi : \pi_1(X) \twoheadrightarrow H, G \twoheadrightarrow H)$  has a proper solution if and only if  $m_{\chi^0} \leq g$ , and  $m_\chi \leq (g - 1)n_\chi$ , for  $\chi \neq \chi^0$ .*

*Proof.* Notice that by Remark 2.3, the Galois  $H$ -cover  $Y$  is ordinary if and only if we have

$$(7.1) \quad \gamma_{Y,\chi} = \begin{cases} g, & \text{if } \chi = \chi^0 \text{ and} \\ (g - 1)n_\chi^2, & \text{if } \chi \neq \chi^0. \end{cases}$$

Thus condition A is equivalent to the condition of Theorem 7.1.  $\square$

Let  $g \geq 2$  be an integer and  $\pi_A(g)$  the set of isomorphism classes of finite groups  $G$  such that  $G \in \pi_A(X)$  for some smooth projective connected curve  $X$  of genus  $g$ .

**Remark 7.2.** Suppose that there exists some smooth projective connected curve  $X$  defined over  $k$  such that a finite group  $G \in \pi_A(X)$ . Denote by  $x \in \mathcal{M}_g$  the point corresponding to  $X$ . In [Ste96, Proposition 4.2] Stevenson showed that in this case there exists an open subset  $U$  of  $\mathcal{M}_g$  containing  $x$  such that for every  $z \in U$  we have  $G \in \pi_A(Z)$ , where  $Z$  denotes the curve corresponding to  $z$ . In particular,  $G \in \pi_A(X_g)$ , therefore  $\pi_A(X_g) = \pi_A(g)$ .

**Remark 7.3.** It is an immediate consequence of the definition of  $\pi_A(g)$  that a finite group  $G$  satisfying the hypothesis of Theorem 1.3 lies in  $\pi_A(g)$  if and only if there exists a smooth projective connected curve  $X$  of genus  $g$  for which Condition A holds.

**Notation.** Let  $G$  be a finite group. Denote by  $d(G)$  the minimum number of generators of  $G$ .

Now we can prove another consequence of Theorem 1.3.

**Theorem 7.4.** *Let  $G$  be a finite group having a normal  $p$ -Sylow subgroup  $P$ . Suppose that  $H = G/P$  is abelian and  $g \geq 2$ . A necessary and sufficient condition for  $G \in \pi_A(g)$  is  $d(H) \leq 2g$ ,  $m_{\chi^0} \leq g$  and  $m_\chi \leq g - 1$  for each  $\chi \in Z(H)$  and  $\chi \neq \chi^0$ .*

*Proof.* Suppose that  $G \in \pi_A(g)$ . It follows from Remark 7.3 that there exists a smooth projective connected curve  $X$  and an étale Galois cover  $Y \rightarrow X$  with  $\text{Gal}(Y/X) \cong H$  such that for every  $\chi \in Z(H)$  we have  $m_\chi \leq \gamma_{Y,\chi}$ . By (2.2) we conclude that  $\gamma_{Y,\chi^0} \leq g$  and  $\gamma_{Y,\chi} \leq g - 1$  for every  $\chi \in Z(H)$ ,  $\chi \neq \chi^0$ . Moreover, since  $H \in \pi_A(X)$ , [Groth71, Corollary 2.12] implies that  $d(H) \leq 2g$ . In particular, the condition of Theorem 7.4 is satisfied. Conversely, suppose that  $d(H) \leq 2g$ ,  $m_{\chi^0} \leq g$  and  $m_\chi \leq g - 1$  for each  $\chi \in Z(H)$  and  $\chi \neq \chi^0$ . Since  $H$  is abelian and  $d(H) \leq 2g$ , it follows from [Groth71, Corollary 2.12] that  $H \in \pi_A(X_g)$ , i.e., there exists an étale covering  $Y_g \rightarrow X_g$  such that  $\text{Gal}(Y_g/X_g) \cong H$ . It is a result due to Nakajima [Nak83, Theorem 2] that every étale cyclic covering  $Z_g \rightarrow X_g$  of degree prime to  $p$  is ordinary. (It is essential here that  $X_g$  is generic.) This result was extended to all abelian prime to  $p$  groups by Zhang [Zha92, Théorème 3.1] (again for  $X_g$ ). Hence  $Y_g$  is ordinary. So, by Theorem 7.1,  $\gamma_{Y_g,\chi^0} = g$  and  $\gamma_{Y_g,\chi} = g - 1$  for every  $\chi \in Z(H)$ ,  $\chi \neq \chi^0$ . Furthermore, by hypothesis,  $m_{\chi^0} \leq g$  and  $m_\chi \leq g - 1$  for every  $\chi \in Z(H)$ ,  $\chi \neq \chi^0$ . Therefore, Condition A holds for  $X_g$  and by Theorem 1.3,  $G \in \pi_A(X_g)$ . Finally, Remark 7.2 shows that this is equivalent to  $G \in \pi_A(g)$ .  $\square$

Another result in this direction is the following one from [Ste96a].

**Theorem 7.5** ([Ste96a, Propositions 3.1 and 3.2]). *Let  $G$  be a finite group having a normal  $p$ -Sylow subgroup  $P$  and  $H = G/P$ . Suppose that  $g \geq 2$  and  $d(H) \leq g$ . A necessary and sufficient condition for  $G \in \pi_A(g)$  is  $m_{\chi^0} \leq g$  and  $m_{\chi} \leq (g-1)n_{\chi}$  for each  $\chi \in Z(H)$  and  $\chi \neq \chi^0$ .*

**Remark 7.6.** Notice that for an abelian group  $H$  such that  $d(H) \leq 2g$ , Theorem 7.4 is stronger than Theorem 7.5 since the latter requires that  $d(H) \leq g$ . However, for arbitrary  $H$  with  $d(H) \leq g$ , Theorem 7.5 is stronger than Theorem 7.4.

Now we can compare these results to a result of Nakajima. Let  $G$  be a finite group,  $I_G = \{\sum_{\sigma \in G} a_{\sigma} \sigma \in \mathbb{Z}[G]; \sum_{\sigma \in G} a_{\sigma} = 0\}$  its augmentation ideal and  $t(G)$  the minimum number of generators of  $I_G$ . Suppose that there exists a smooth projective curve  $X$  of genus  $g$  such that  $G \in \pi_A(X)$ , i.e.,  $G \cong \text{Gal}(Y/X)$  for some étale Galois cover  $Y \rightarrow X$ .

**Theorem 7.7** (Nakajima, [Nak84, Theorem 4]). *There exists a short exact sequence of  $k[G]$ -modules*

$$1 \rightarrow \Omega_Y^1(0) \rightarrow k[G]^g \rightarrow I_G \rightarrow 1.$$

**Corollary 7.8** (Nakajima, [Nak87, Theorem A]).  $t(G) \leq g$ .

**Notation.** We call Condition B the inequality of Corollary 7.8.

**Remark 7.9.** From the definition of  $\pi_A(g)$ , Theorem 7.7 and Corollary 7.8, we see that Condition B is necessary for  $G \in \pi_A(g)$ .

**Corollary 7.10.** *Let  $G$  be a finite group having a normal  $p$ -Sylow subgroup  $P$ ,  $H = G/P$ . Suppose that either: (a)  $H$  is abelian and  $d(H) \leq 2g$ ; or (b)  $d(H) \leq g$ . Under either hypothesis (a) or (b) Condition A is equivalent to Condition B.*

*Proof.* By [Ste96a, Proposition 3.5] Condition A implies Condition B without any restrictions on  $H$ . Conversely, by [Ste96, Proposition 3.1] Condition B implies that  $m_{\chi^0} \leq g$  and  $m_{\chi} \leq (g-1)n_{\chi}$  for each  $\chi \in Z(H)$  and  $\chi \neq \chi^0$ . Under hypothesis (a) (resp. (b)) Theorem 7.4 (resp. 7.5) show that the latter condition implies that  $G \in \pi_A(g)$ . Now by Theorem 1.3 this implies Condition A.  $\square$

In order to obtain a converse in the case where  $H$  is a non-abelian finite quotient of  $\Gamma_g$  we need to generalize the Nakajima-Zhang result ([Nak83, Theorem 2] and [Zha92, Théorème 3.1]) to non-abelian Galois étale covers of degree prime to  $p$  of  $X_g$ . Another option is to show that there exists an ordinary Galois  $H$ -cover of a curve  $X$  of genus  $g$  and apply [Ste96] (cf. Remark 1.5). Very recently M. Raynaud has found a counter example to both these approaches.

**Example 7.11.** Theorem 7.4 gives a result which is not covered by [Ste96a, Theorem 3.2] in the case where  $H$  is abelian and  $g < d(H) \leq 2g$ . Let  $n \geq 1$  be an integer and let  $g \geq 2$  be an integer. Let  $H = (\mathbb{Z}/n\mathbb{Z})^{2g}$  and label the elements  $\tau_j$  for  $j = 1, \dots, n^{2g}$ . For each  $i = 1, 2, \dots, g-1$  let  $P_i = (\mathbb{Z}/p\mathbb{Z})^{n^{2g}}$  and  $P_g = \mathbb{Z}/p\mathbb{Z}$ . Pick a basis  $a_{i,\tau_1}, \dots, a_{i,\tau_{n^{2g}}}$  for  $P_i$  for  $i = 1, \dots, g-1$  and let  $a_g$  be a basis of  $P_g$ . Then we define an action of  $H$  on each  $P_i$  for  $i = 1, \dots, g-1$  as follows:  $\rho_i : H \rightarrow \text{Aut}(P_i)$  by  $\rho_i(\tau_j)a_{i,\tau_l} = a_{i,\tau_j\tau_l}$ . With this action each  $P_i$  is isomorphic to  $\mathbb{F}_p[H]$ , which is the  $H$ -module defined over  $\mathbb{F}_p$  corresponding to the regular representation of  $H$ . Let  $H$  act on  $P_g$  trivially. Now let  $P = \bigoplus_{i=1}^g P_i$  with the induced action of  $H$  on  $P$ . Then  $P$  is isomorphic to  $(\mathbb{Z}/p\mathbb{Z})^{n^{2g}(g-1)+1}$  as a group and to  $\mathbb{F}_p[H]^{g-1} \oplus \mathbb{F}_p$  as an  $\mathbb{F}_p[H]$ -module. Let  $G$  be defined as the semi-direct product  $P \rtimes H$  with respect to this action.

By construction  $P \otimes_{\mathbb{F}_p} k$  is isomorphic as a  $k[H]$ -module to  $k[H]^{g-1} \oplus k$ . Let  $Z(H)$  be the set of irreducible characters of  $H$  defined over  $k$  and let  $\chi^0$  be the trivial character of  $H$ . Then using the notation of Section 1.1,  $m_{\chi^0} = g$  and  $m_\chi = g-1$  for  $\chi \neq \chi^0$ . Note that since  $H$  is abelian, by Zhang's theorem [Zha92, Théorème 3.1], any Galois  $H$ -cover  $Y_g$  of  $X_g$  is ordinary, thus  $\gamma_{Y_g, \chi^0} = g$ , and  $\gamma_{Y_g, \chi} = g-1$  for  $\chi \neq \chi^0$ . In particular, Condition A is satisfied for the curve  $X_g$ , therefore  $G \in \pi_A(X_g) = \pi_A(g)$ .

**Remark 7.12.** In the set-up of Example 7.11, as the rank of  $H$  is greater than  $g$ , Theorem 7.5 does not apply. If we keep  $P$  the same but change the action of  $H$  on  $P$  in any way, then  $G$  will not lie in  $\pi_A(g)$ , because for some character  $\chi \neq \chi^0$  we would have  $m_\chi > g-1$  or  $m_{\chi^0} > g$ . Finally, if we replace  $P$  by any  $p$ -group  $Q$  with Frattini quotient isomorphic to  $P$  and extend the action of  $H$  in  $P$  of Example 7.11 to an action of  $H$  in  $Q$ , then we get (as in the end of the proof of Theorem 1.3)  $Q \rtimes H \in \pi_A(X_g) = \pi_A(g)$ .

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