

1 First Observations of Tracking Clouds Using Scanning ARM
2 Cloud Radars.

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Abstract

Tracking cloud entities using scanning cloud radars can help to document the temporal evolution of cloud properties well before large drop formation (“first echo”). These measurements compliment cloud and precipitation tracking using geostationary satellites and weather radars. Here, two-dimensional (2-D) Along-Wind Range Height Indicator (AW-RHI) observations of a population of shallow cumuli (with or without precipitation) from the 35-GHz scanning ARM cloud radar (SACR) at the DOE Atmospheric Radiation Measurements (ARM) program Southern Great Plains (SGP) site are presented. Observations from the ARM SGP network of scanning precipitation radars are used to provide the larger scale context of the cloud field and to highlight the advantages of the SACR to detect the numerous, small, non-precipitating cloud elements. A new Cloud Identification and Tracking Algorithm (CITA) is developed to track cloud elements. In CITA, a cloud element is identified as a region having a contiguous set of pixels exceeding a preset reflectivity and size threshold. The high temporal resolution of the SACR 2-D observations (30 sec) allows for an area superposition criteria algorithm to match cloud elements at consecutive times. Following CITA, the temporal evolution of cloud element properties (number, size, maximum reflectivity) is presented. The vast majority of the designated elements during this cumulus event were short-lived non-precipitating clouds having an apparent lifecycle shorter than 15 minutes. The advantages and disadvantages of cloud tracking using a SACR are discussed.

43 1. INTRODUCTION

44 Clouds play a critical role in Earth's climate system through their participation in
45 Earth's radiation budget, the hydrological cycle and the vertical redistribution of energy
46 and moisture in the atmosphere (e.g., Stephens 2005; Feingold and Seibert 2009). The
47 accurate representation of the factors that control cloud microscale and macroscale
48 properties in global climate models (GCMs) and cloud resolving models (CRMs) remains
49 a major challenge (e.g., Ghan et al. 1999; Grenier and Bretherton 2001; Park and
50 Bretherton 2009; Stevens and Feingold 2009). Continuously operating ground-based
51 supersites (Stokes and Schwartz 1994; Ackerman and Stokes 2003; Illingworth et al.
52 2007) equipped with a wide range of active and passive sensors provide detailed
53 information on cloud dynamical and microphysical properties. Until recently, the cloud
54 properties retrieved at these ground-based supersites were limited to the column sampled
55 by profiling sensors. Now, scanning cloud and precipitation radars are deployed to
56 provide information on the 3D structure of clouds and precipitation (Mather and Voyles
57 2013; Kollias et al. 2013a). One of the main scientific drivers for deploying scanning
58 cloud radars is the desire to document individual cloud elements as they transit through
59 different stages of their lifecycle (e.g., cloud formation, precipitation onset, dissipation).
60 Relating the temporal evolution of cloud systems to aerosol and large-scale meteorology
61 conditions could lead to a better understanding of the controls on low-clouds and
62 associated statistics.

63 Monitoring the temporal evolution of shallow cumulus clouds can be
64 accomplished using ground-based and airborne-based radar systems (multiple passes).
65 Capturing the early stage of cumulus development/detection (first echo) depends on the

66 sensitivity of the radar system. When cm-wavelength radars have been tasked for these
67 studies, the first echo coincides with the early development of small precipitation
68 particles (Knight and Miller 1993; Knight et al. 2002; Göke et al. 2007; Burnet and
69 Brenguier 2010). This early development of a precipitation echo implies that an efficient
70 collision-coalescence process drives particle growth in warm clouds. French et al. (1999)
71 used multiple passes over shallow cumulus clouds and observations from an airborne
72 mm-wavelength radar to document the temporal evolution of non-precipitating cumulus
73 clouds. These early efforts demonstrate the potential of scanning radars to monitor the
74 temporal evolution of shallow cumuli. However, the studied dataset is limited and, in the
75 majority of the studies, the use of cm-wavelength radars does not permit the
76 documentation of the cloud lifecycle before the development of small raindrop particles.
77 The spatial and temporal resolution of geostationary satellites also limits their
78 applicability for the detection of small, non-precipitating cumuli clouds.

79 The deployment of continuously operating scanning cloud radars (Mather and
80 Voyles 2013; Kollias et al. 2013a) at the US Department of Energy (DOE) Atmospheric
81 Radiation Measurement (ARM) program fixed and mobile sites offers the required
82 observational capabilities for monitoring the entire lifecycle of shallow cumuli clouds
83 over an extensive period of time. This is particularly germane for the ARM Southern
84 Great Plain (SGP) facility that is equipped with a distributed, multi-frequency scanning
85 radar network. This network includes a Scanning ARM Cloud Radar (SACR) with
86 sensitivity (~ -30 dBZ at 10 km) and spatial (45 m) and temporal resolution (~ 30 sec per
87 horizon-to-horizon scan) sufficient for continuous tracking of non-precipitating short-
88 lived cloud elements.

89 Here, we present the first set of observations from this scanning cloud radar
90 facility during a warm season cloud event with a wide distribution of cloud types from
91 short-lived, non-precipitating cumuli to shallow, light precipitating cumulus clouds. The
92 details of a Cloud Identification and Tracking Algorithm (CITA) suitable for monitoring
93 the evolution of shallow cumulus in a Range-Height Indicator (RHI) plane are presented.
94 The detection capabilities and observed cloud statistics are compared to those obtained
95 from the scanning ARM precipitation radars. Preliminary statistics of the temporal
96 gradient of the radar reflectivity in shallow non-precipitating clouds are presented.
97 Finally, the limitations and capabilities of the ARM SGP facility to study the lifecycle of
98 cloud elements are discussed.
99

100 2. OBSERVATIONS

101 The observations presented for this study were collected during the Midlatitude
102 Continental Convective Clouds Experiment (MC3E) conducted in April-June 2011 at the
103 ARM SGP facility. MC3E was the result of a collaborative effort between the DOE –
104 ARM program and its Climate Research Facility and the National Aeronautics and Space
105 Administration’s (NASA) Global Precipitation Measurement (GPM) mission Ground
106 Validation (GV) program. The MC3E campaign was the first major field experiment
107 conducted at an ARM site after the acquisition of the new scanning ARM radar (Fig. 1,
108 Mather and Voyles 2013). The backbone infrastructure of the ARM SGP radar facility is
109 a distributed, heterogeneous network of profiling and scanning radar systems suitable for
110 the mapping of cloud and precipitation in 3D along with a small network of radiometers
111 and lidars. The SGP radar facility includes a 5.4-GHz (5.5 cm wavelength) C-band
112 Scanning ARM Precipitation Radar (C-SAPR), a network of three 9.4-GHz (3.2 cm
113 wavelength) X-band Scanning ARM Precipitation Radars (X-SAPR), and a dual-
114 frequency 35.3/93.9-GHz (8.5/3.2 mm) Scanning Cloud Radar (Ka-/W- SACR – Fig. 1).

115 The bulk of the observations presented in this manuscript are from the SACR
116 located in the Central Facility (CF). A Total Sky Imager (TSI), radiosonde launch
117 facility, 2-dimensional video disdrometer, wind profiler, and a laser ceilometer are also
118 present at the CF and are used in this study. The C-SAPR is located approximately 25 km
119 to the north of the SGP-CF and the three X-SAPR systems are located in a triangular
120 configuration having a side (baseline) of approximately 20 km and centered on the SGP-
121 CF (Fig. 1). The primary motivation for the C-SAPR polarimetric radar system is to
122 provide the mesoscale context of precipitation over a 100-120 km domain range around

123 the CF. The acquisition of the X-band radar network at the ARM SGP radar facility is
124 based on the desire to bridge the observational gap in sensitivity and spatial scales
125 between the dual-frequency scanning cloud radar and C-band polarimetric radar. The
126 SACR is a dual frequency scanning Doppler and polarimetric radar. However, during the
127 MC3E only the 35-GHz (Ka-band) radar frequency was operational (Table 1). With
128 sensitivity close to -30 dBZ at 10 km during nominal scanning parameters, the Ka-SACR
129 is capable of detecting clouds from their early formation stages. SACR scan strategies for
130 this event included horizon-to-horizon Along-Wind scans (AW-RHI), which requires the
131 primary wind direction at cloud level as an input. Once the wind direction is designated,
132 the cloud radar is expected to capture the evolution of the same cloud element as it is
133 advected over the instrument. For this particular case, the wind direction was determined
134 by consulting the relevant 1730 UTC radiosonde, wind profiler and visible satellite
135 imagines available in real time by the authors in the field. This wind direction was
136 visually confirmed in-situ by the authors and later corroborated by the 2030 UTC
137 radiosonde also launched at the CF. The scan direction was fixed for the duration of the
138 Ka-SACR AW-RHI scan strategy period. This was not a major concern since, during this
139 2.5-hour interval, wind in the cloud layer did not have an appreciable change in time or
140 height and there was not a distinguishable shear that could cause clouds to move
141 differently at different heights (Fig. 2). Furthermore, later inspection of the X- and C-
142 SAPR data showed that the motion field of clouds detected by these systems did not
143 differ substantially from the previously assumed flow (not shown). Once the wind
144 direction was determined, the SACR azimuth was aligned to this mean cloud layer wind
145 direction and the radar was tasked to perform long sequences of horizon-to-horizon AW-

146 RHI scans to capture the evolution of the same cloud elements as they propagate towards,
147 over and away from the SACR. Additional details on the first generation of SACR
148 operational strategies and data post-processing are described in Kollias et al. (2013a, b).

149 Figure 3 demonstrates the advantage of using a heterogeneous network of radars
150 to document the temporal and spatial distribution of clouds from their early, low
151 reflectivity stages to their more mature precipitation-associated regime and following
152 lower reflectivity decay stage. Here an example of data collected by the Ka-SACR, C-
153 SAPR and the SE X-SAPR at a time for which primarily weak, non-precipitating clouds
154 were present over SGP-CF is shown. The Total Sky Imager (Fig. 3a) confirms the
155 presence of shallow, broken cumuli over the CF. These same clouds are observed by the
156 Ka-SACR overhead (Fig. 3d). All ARM radars observe a precipitating shallow cumulus
157 at a 5-10 km range from the Ka-SACR (southeast part of the AW-RHI scan). However,
158 the SAPRs have difficulty detecting the non-precipitating clouds observed by the Ka-
159 SACR illustrating the importance of millimeter radar observations for capturing shallow
160 non-precipitating clouds as well as the early stages of cloud evolution (Fig. 3). This is not
161 only due to differences in wavelength but also, in a smaller manner due to beam width,
162 relative cloud-to-radar distance and scanning strategy [\[Add References\]](#).

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164 3. CLOUD IDENTIFICATION AND TRACKING ALGORITHM

165 The literature provides many examples of studies that have sought to follow the
166 evolution of cloud systems, with the most salient examples considering the lifecycle and
167 morphology of deep convective systems through the use of cloud-tracking algorithms
168 (e.g., Williams and Houze 1987; Velasco and Fritsch 1987; Rosenfeld 1987; Johnson et
169 al. 1998; Dixon and Wiener 1993; Machado et al. 1998). Satellite-based cloud tracking
170 studies identify deep convective cloud elements using infrared temperature (T_{IR})
171 thresholds (e.g., Maddox 1980; Williams and Houze 1987; Chen et al. 1996) and
172 additional spatial coherency constraints (e.g., Machado et al. 1998; Futyan and Del Genio
173 2007). From the surface, radar-based cell designation and tracking algorithms capitalize
174 on radar reflectivity factor patterns and additional size constraints (e.g., Dixon and
175 Wiener 1993; Rosenfeld 1987; Johnson et al. 1998). These radar-based ‘cell’
176 identification efforts then act as input for tracking algorithm components that analyze the
177 evolution of these cell patterns by determining area superposition between consecutive
178 time steps (e.g., Williams and Houze 1987; Boer and Ramanathan 1997; Machado et al.
179 1998), cloud propagation speed and superposition (Rosenfeld 1987; Johnson et al. 1998;
180 Futyan and Del Genio 2007), or by minimizing a cost function based on position and
181 element volume differences at consecutive times (e.g., Dixon and Wiener 1993). For such
182 deep convective cells and larger convective system examples, automatic and
183 semiautomatic (manual selection of the optimal candidate) tracking algorithms often
184 arrive at similar results (e.g., Machado et al. 1998).

185 The Cloud Identification and Tracking Algorithm (CITA) is developed to analyze
186 shallow cumulus clouds as they transit through different stages of their lifetime. The

187 input to CITA is 2-D (range-height) Ka-SACR observations collected during an AW-RHI
188 scan (e.g., Fig. 3d). Range gates that contain no meteorologically significant detections
189 have been removed using a SNR threshold technique (e.g., Kollias et al. 2013b).
190 Furthermore, conditional sampling using the Linear Depolarization Ratio (LDR) and
191 radar reflectivity from the Ka-SACR, as well as the cloud base height from a ceilometer,
192 has been applied to classify and filter radar echoes associated with insects (Kollias et al.
193 2013b). Once these non-meteorological radar returns are removed, each AW-RHI radar
194 image is processed and CITA identifies a cloud element as those echoes having a
195 contiguous set of pixels with reflectivity greater or equal than -50 dBZ and assign them
196 an identification number (ID). The reflectivity of -50 dBZ matches the Ka-SACR
197 sensitivity at a 1-km range during nominal scanning operational conditions. Although the
198 Ka-SACR will not be able to detect such weak cloud echoes at longer distance from the
199 radar, it is known that the Ka-SACR still offers sufficient sensitivity to observe weak,
200 non-precipitating clouds at extended range. To eliminate spurious echo clusters (due to
201 imperfect removal of radar noise-only range gates and insect returns), only those radar
202 echo clusters having areal coverage larger than 0.5 km^2 are considered as cloud elements.

203 The second step within CITA is to apply a superposition criterion to track the
204 temporal-spatial movement of each ID assigned cloud element (Fig. 4b-c). The
205 superposition criterion identifies clusters that have the largest areal overlap in
206 consecutive radar scans and links them as echoes coming from the same cloud. This
207 assumption is considered reasonable for the AW-RHI SACR scans that were generated
208 every 30 seconds during this campaign. When two cloud elements merge, the larger
209 element is considered to continue and the smaller to terminate. Similarly, when a cloud

210 element splits, the larger element continues with the previously assigned tracking ID and
211 the smaller appears as a new element. Validation for merges and splits detected by CITA
212 was done by time coherency in the range-height plane (this was determined by the
213 authors by visually inspecting every RHI scan from the SACR) and areal thresholds to
214 mitigate the weakest cloud features that may result from poor cumulus cloud RHI slicing.

215 An example application of CITA for a sequence of three consecutive along-wind
216 scans from Ka-SACR on 25 May 2011 is shown in Fig. 4. At the first time step, six cloud
217 cells are identified by CITA (ID: 1-6). Cloud elements having the tracking IDs 1 and 4
218 demonstrate an undisturbed lifecycle with no merges or splits during the provided
219 sequence (Fig. 5), whereas cloud elements assigned the IDs 2 and 3 (Fig. 4a) merge into a
220 single cloud element assigned to tracking ID 2 (Fig. 4b), and cloud ID 6 (Fig. 4b) splits
221 into two cloud elements with IDs 6 and 7 (Fig. 4c). A more in depth analysis of this
222 complex time sequence is shown later in this section. For this observing period, the
223 aforementioned criteria were applied for the large majority of the cases successfully, as
224 confirmed by visual inspection by the authors. This success of the echo overlap criteria
225 eliminates the need to explore more computationally demanding approaches that require
226 the estimation of the propagation speed, or the minimization of a cost function based on
227 position and volume to assess the best possible match for every cloud element. Several
228 tests were performed to evaluate the robustness of CITA results. Firstly, identified cloud
229 elements and associated evolution were manually inspected and verified by the authors.
230 Secondly, a simple test of algorithm repeatability was performed, the CITA approach was
231 applied to this dataset in reverse temporal order, with the CITA demonstrating very
232 similar ID counts and tracking results. Thirdly, the sensitivity of CITA to different

233 detection thresholds was also tested for this event. The analysis indicated that for
234 reflectivity thresholds between -40 and -50 dBZ, there was no significant change with the
235 number of clouds detected by the CITA approach or in the associated cloud primary
236 microphysical or geometrical proprieties (Fig. 5). However, if more restrictive threshold
237 changes were applied (e.g., higher reflectivity thresholds were selected), the impact on
238 tracking and evolution behaviors became more noticeable, as anticipated in light of past
239 radar and satellite tracking studies. One noteworthy consideration for the feedback
240 between reflectivity thresholds and CITA results was found when exploring the
241 implication of higher reflectivity thresholds on the documentation of the maximum cloud
242 element reflectivity. Specifically, single cloud elements often exhibit multiple maxima
243 regions, most likely attributed to coherent precipitation shafts that are embedded within
244 lower regions of cloud element reflectivity. When more restrictive thresholds (closer to
245 classical values for the presence of drizzle particles ~ -10 dBZ) are applied, these multi-
246 core cloud elements are often reclassified into unique cloud entities rather than grouped
247 as a single cloud element. Since our study emphasizes the analysis of individual cloud
248 elements regardless of the number of interior precipitation cores, the behaviors associated
249 with thresholds closer to -50 dBZ seem to be the most appropriate to track singular cloud
250 features. However, this low reflectivity threshold can also presents challenges in the
251 interpretation of the output from CITA. Further inspection of a longer time sequence of
252 the clouds shown in figure 6 shows how complex the identification and tracking
253 algorithm can really be. As an example, when considering previous time steps, it can be
254 seen that IDs 2, 4 and 6 developed with their top capped at 2.5km as early as 19:40UTC.
255 These cloud elements then individually merged with other cloud elements that originate

256 later in time. These higher clouds present a cloud-top height at around 4.5km and seem
257 to have a vertically extent of 1km approximately (as can be seen from figure 4c). The
258 problem with the low reflectivity threshold is that later in time these cloud elements tend
259 to separate, or split, again, and what one could interpret as a cloud that developed on top
260 could be analyzed as a two cloud entities that merged and later split, but if a more
261 restrictive threshold is applied then some clouds might not outlive the size threshold and
262 loose their initiation and/or decay moments and some of the statistics will be biased
263 towards larger and deep clouds. Therefore, it is a trade-off between fully capturing cloud
264 entities (and their edges and ‘deeper cells’ embedded in them as part of it and not
265 separate entities) and being able to perfectly and unambiguously distinguish between
266 different cloud entities at every time step in an automatic way. We believe that this type
267 of analysis and algorithm, if wants to be used independently of the case and in an
268 automated way, should be used with a large enough dataset and in a statistical way to
269 smooth out the possible biases introduced by the chosen reflectivity threshold since it was
270 shown that, in a statistical way the main variables analyzed here are not very sensitive to
271 the selected threshold (Fig. 5).

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273

274 4. RESULTS

275 Using Ka-SACR observations from the 25 May 2011, CITA identified a total of
276 1323 individual cloud elements, 49 of them (3.7%) were the result of a merge and 50
277 (3.78%) of a split, and tracked the lifecycle of 338 distinct cloud elements (Fig. 6).
278 Therefore, the lifecycle of the vast majority of the cloud elements identified and tracked
279 by CITA mainly correspond to undisturbed and continuous evolution of cloud elements
280 with only one unique link at each time step of their lifecycle. In particular, three long-
281 lived shallow cloud elements (having cloud tops below 5 km) tracked by the Ka-SACR
282 CITA during this period persisted for more than 25 minutes. These clouds attained
283 maximum reflectivity values exceeding 20 dBZ during most of the observed cloud
284 lifecycle and exhibited cross-sectional areas in excess of 40 km² (Fig. 6). The majority of
285 the shallow cloud elements observed however, were short-lived features with CITA
286 tracking lifecycles under 10 minute and low maximal reflectivity cores (below -5 dBZ,
287 see Figs. 6 and 7). Most often, weaker cloud elements are observed to have dissipated (or
288 exited the domain) after less than 5 minutes of their first detection. The validity that these
289 features are legitimate scans from shallow cloud elements (separate from ‘detrained’
290 cloud elements in a sheered flow) was confirmed by author in-field observations and
291 sounding evidence, surface TSI camera imagery, as well as the absence of stronger
292 echoes in the SAPRs imagery near the cloud radar scanning transect during most periods
293 of observation.

294 It is important to note that there is a likely underrepresentation in these statistics
295 due to the radar scans not sampling the center of the cloud. Jorgensen et al. 1985 found a
296 diameter bias of approximately 22% when sampling spherical updraft cores from aircraft.

297 This circular shape assumption for cumulus clouds may be applicable under low shear
298 conditions (such as the ones present during most of the time in this event, e.g. fig.2)
299 however; it might not be applicable for all the cloud lifecycle. Here we extended this
300 analysis to a generic ellipsoidal shape of cloud elements. As expected, biases for more
301 elongated cloud are more pronounced. For example, if clouds are elongated along the
302 wind direction having an axis ratio (major to minor dimension) of 0.8, the expected bias
303 in areal coverage would be roughly 32%. This suggests that future scan strategies should
304 include low-level PPI scans to effectively capture the structure of clouds and help
305 establish the placement of future AW-RHI.

306 The distribution of the maximum radar reflectivity values determined for all 338
307 cloud elements detected within the Ka-SACR sampling period is offered in Fig. 7a. This
308 plot indicates that the majority of the cloud elements attain a maximum radar reflectivity
309 between -20 to -10 dBZ (Fig. 7a). This magnitude of radar reflectivity at the SGP
310 location in central Oklahoma is consistent with clouds that do not produce drizzle (Lu et
311 al., 2008). The frequency distribution of maximum horizontal-height area coverage
312 attained by all cloud elements peaks at the smallest-possible detectable area coverage for
313 CITA methods (0.5 km^2).

314 Additional geometrical properties for the identified cloud elements are also
315 documented by CITA as a function of time. These parameters include the number of
316 cloud elements, the cloud element top height and the maximum horizontal length of the
317 cloud elements. The behaviors of these fields observed by the Ka-SACR for the 25 May
318 event are provided in Fig. 8. During times of precipitation in the vicinity of the cloud
319 radar (approximately 1920 UTC, 1950 UTC and 2040 UTC, Fig. 8e disdrometric

320 observations at SGP – CF and C-SAPR estimations in a larger domain), there are a few
321 cumulus cloud elements (Fig. 8b) with extended horizontal lengths (Fig. 8c) and higher
322 relative top heights (Fig. 8d). In contrast, there is a suggestion of a strongly bimodal or
323 occasionally more complex distribution of cloud-top heights, most having shorter
324 cumulus horizontal length scales, within the non-precipitating and weaker initiating
325 times. During these sequences that include times at the beginning of the observation
326 period, one can consistently observe clouds having tops ranging from the lower levels
327 around 1.5 km (in association with the top of the boundary level) up to higher cloud top
328 levels near 3.5 km (in association with the freezing level), within the same scan. Yet,
329 when considering the periods associated with the onset or nearby precipitation, the
330 complexity of these tracked parameters is often reduced and cloud tops below 1.5km
331 disappear letting it mainly characterized by cloud elements with elevated tops. A
332 plausible explanation for this distribution relates to the evolution of the cloud field and its
333 associated dynamics. This event started with exclusively shallow cumulus clouds that
334 later transitioned to congestus clouds with some shallow cumulus still present in the
335 region. Therefore, the multilevel cloud top structure is likely to be a combination of very
336 shallow, non-precipitating mode, with some deeper precipitating cumulus with
337 entrainment at multiple levels. However, it is likely that in times when congestus clouds
338 dominate the near vicinity of the radar (approximately 1920 UTC, 1950 UTC and 2040
339 UTC) its associated cold pool-type outflow (noticeable from the drop in equivalent
340 potential temperature at SGP – CF, Fig. 8c) effectively act in a capacity to deter lower-
341 topped shallow, surface forced convection and temporarily reduces those observations for
342 an extended windows of atmospheric recovery.

343 As a preliminary attempt to explore time-evolving cloud maximum and median
344 properties and the associated local rate of change (as potentially related to cloud
345 microphysical process and cloud growth and decay therein) the evolution of cloud radar
346 reflectivity fields from discrete shallow, non-precipitating cloud target examples are
347 provided in Fig. 9. To ensure these discrete, non-precipitating cumuli conditions, the
348 maximum and median parameter calculations and associated rate of change estimates are
349 limited to only those calculations from the individual cloud elements that persist for a
350 minimum of 5 minutes and have a maximum radar reflectivity that does not exceed -5
351 dBZ during the CITA cloud lifecycle tracking. As an additional constraint, we restrict the
352 dataset to only those pure or discrete cloud elements for which the CITA IDs have not
353 experienced a merge or a split. Finally, the remaining clouds are checked to ensure that a
354 maximum in the radar reflectivity factor in time occurs at least three time steps after
355 (before) the initial (final) detection by CITA. This latter constraint is intended to mitigate
356 the inclusion of clouds that either initiate too close to the edge of the Ka-SACR scanning
357 domain and might propagate out of the domain before achieving a mature state or mature
358 clouds entering the edge of the scanning domain for which initiation or growth stages are
359 not captured.

360 For these Fig. 9 demonstrations, it is observed that the local growth and/or decay
361 rates of the maximum reflectivity are typically less than 10 dBZ/minute and exhibit no
362 clear relationship between the maximum reflectivity and its local rate of change for the
363 surrounding minute of radar observations (Figs. 9a-b). Median cloud reflectivity values
364 and the associated local rates of change are more gradual and demonstrate a maximum of
365 5 dBZ/minute (Figs. 9c-d). Similarly, the local rate of change is likely to be independent

366 of the median reflectivity value. In contrast to the maximum, the median value and its
367 relationship to its local rate of change is shown to be strongly tied to relative location of
368 the cloud element to the radar location, wherein lower magnitudes of the median are
369 observed closer to the radar location (Figs. 9b-d). This is an obvious consequence of
370 cloud elements having reduced radar sensitivity with range due to increased range gate
371 volume with distance from radar. The influence of radar sensitivity is larger if
372 considering the evolution of the mean cloud reflectivity and its local rate of change (not
373 shown). This indicates a limited relationship between these parameters and their rate of
374 change, thus showing the larger influence of radar sensitivity when analyzing the time
375 evolution of the mean and median cloud reflectivity.

376

377 **5. Discussion and Conclusions**

378 This manuscript offers preliminary findings towards the potential capability to
379 track and document the lifecycle of shallow and weak cumulus to drizzling and showery
380 cumulus clouds using a scanning millimeter wavelength cloud radar. Whereas cloud
381 radars typically exhibit enhanced sensitivity for the detection of these low-level cloud
382 features, the ability of the Scanning ARM Cloud Radar (SACR) mm-wavelength radar
383 for detection of the particular shallow and non-precipitating boundary layer clouds from
384 this dataset was improved owing to a reduced signal-to-clutter ratio and suppressed
385 coherent scattering (e.g., Kollias et al., 2007). An AW-RHI scan strategy was
386 implemented during the MC3E campaign and included high temporal sampling to
387 facilitate the following of transient cloud elements as they advect with the mean wind
388 field over the SACR platform at the ARM Southern Great Plains – Central Facility (SGP
389 – CF). The ARM SGP site during the MC3E campaign was home to a network of
390 complementary scanning precipitation radars, lidar and collocated surface cloud
391 properties instrumentation that gave context to SACR observations. Simple
392 morphological analysis of complementary reflectivity factor observations from the
393 scanning radar facilities in particular helps demonstrate the potential benefits for having
394 multi-wavelength radar facilities of various scanning coverage scales, or cloud
395 observational ‘supersites’, to help bridge gaps between different cloud scales. There are
396 significant morphological implications when one is unable to capture the full dynamic
397 range of clouds from the smallest scales that may be detected by the millimeter
398 wavelength radar (SACR) to the larger scales covered by the centimeter radars (X- and
399 C-SAPR).

400 To better demonstrate the capabilities of the ARM SACR systems for the
401 documentation of shallow cumulus evolution, a radar-based tracking algorithm (Cloud
402 Identification and Tracking Algorithm – CITA) was developed. A goal for CITA was to
403 explore the possibilities for a functional method to track key cloud microphysical and
404 geometric parameters, including their evolution in time and space, which are of interest to
405 detailed cloud process studies and cloud model evaluation. Basic sensitivity testing for
406 our initial set of CITA parameter outputs revealed that the current CITA design is capable
407 of reliably documenting cloud metrics, such as cloud element counts, maximum radar
408 reflectivity factor and cloud geometric properties including cloud top and cross-sectional
409 area. CITA was tested on a postfrontal shallow cumulus dataset collected by the SACR
410 when performing along-wind scans during MC3E on 25 May 2011. This day exhibited a
411 wide variety of cumulus cloud conditions and featured two and one half hours of
412 uninterrupted rapid radar scanning rates thereby allowing CITA to track clouds
413 unambiguously with time (e.g., Figs. 6, 7). The vast majority of the cloud elements
414 detected by CITA were short-lived with lifecycles shorter than 15 minutes, most of them
415 decaying after the first 5 minutes and exhibiting low maximal reflectivity cores.

416 Three long-lived cumulus clouds were captured during the collection period and
417 attained high reflectivity values that can be associated with precipitation onset in the
418 region. The associated time evolution captured by CITA is complex, yet potentially
419 highlights the eventual suffocation of the previously surface driven-sort shallow cumulus
420 clouds (albeit, those having additional larger-scale forcing in the post-frontal
421 environment) in the vicinity of the Ka-SACR. Moreover, observations suggest that these
422 deeper precipitation modes preceded sequences of higher-based non-precipitating

423 cumulus cloud over the site, with these higher based clouds possibly influenced by
424 downward mixing of dry air associated with the preceding precipitation over or near the
425 region. Overall, most precipitation-free times demonstrate interesting behaviors during
426 the presented event, including bimodal or more complex distributions of low-level
427 cumulus clouds in terms of cloud-top heights and of smaller relative horizontal lengths.

428 Additional interpretation of the CITA dataset outputs in the context of the 25 May
429 2011 MC3E event indicates most cloud elements reflect numerous shallow, non-
430 precipitating clouds having a maximum radar reflectivity lower than -5 dBZ (near the
431 traditional “first echo” limit of precipitation radars). These shallow cumuli were often
432 observed to be short-lived. The time-varying behaviors of the maximum and median
433 cloud reflectivity and local attempts to calculate associated rates of change for non-
434 precipitating shallow cumulus examples were less conclusive (e.g., Fig. 9). It is not
435 surprising to note that in following the evolution of median (and mean) cloud element
436 reflectivity factors, the tracking must account for changes in the sensitivity of the radar to
437 cloud echoes to be of much use. Nevertheless, following cloud maximum behaviors (less
438 influenced by radar sensitivity issues) as tractable quantities for microphysical evolution
439 of the clouds was also challenging to interpret, as echo maximums are found to evolve
440 quite rapidly and significantly in magnitude for well-captured shallow, non-precipitating
441 cloud echo elements and within only a few minutes of observation.

442 As this is the first application of CITA, more datasets are needed to drive a more
443 robust verification of the CITA methodology and to allow more comprehensive cloud
444 statistics. The findings for this study are also limited to shallow cloud observations from
445 the Oklahoma SGP ARM facility, although we anticipate the methods should translate

446 well to other ARM facilities for similar low cloud conditions. Application of CITA in
447 real-time or field campaign settings is also however nontrivial and strongly tied to an
448 ability to characterize the cloud-level winds and appropriately (and repeatedly) target the
449 same cloud elements in time that are assumed to propagate along that mean wind
450 direction. Highly variable wind with time and, in the case of larger more vigorous clouds,
451 cloud development/decay alignment can play a role in a successful implementation of this
452 methodology as a fully automated tracking system. While those assumptions for most
453 cloud types are likely viable, tracking algorithm design problems may be exacerbated by
454 the narrow beamwidth of the Ka-SACR (0.3°) and other similar cloud radar systems.
455 Moreover, for such small beamwidths, only very small errors when establishing a mean
456 horizontal wind direction could affect substantial decreases in the quality and continuity
457 of the measurements, additional details on the sensitivity to the horizontal wind direction
458 is described in the appendix. Specifically, this suggest that several clouds would not
459 likely follow a path over the radar site and therefore represent an eventual inability for
460 the radar to track the complete (or best-case partial) evolution of valid cloud elements
461 with time. Different scanning strategies (including routine or reference sector scans) can
462 mitigate some of these known difficulties (e.g., Boundary Layer – RHIs, additional
463 details on scan strategies are described in Kollias et al. 2013a). However, utilizing these
464 scans implies a tradeoff between the scanning necessary for adequate temporal revisit of
465 cloud elements for tracking and microphysical process monitoring as compared to the
466 needs to assure the individual clouds are properly captured or tracked in full spatial
467 contexts.

468

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481 Development (LDRD) Program.

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493 **Appendix**

494 The success of CITA partially depends on the accurately determination of the
495 environment wind direction for the set up of the along-wind scan strategy (AW-RHI).
496 This is extremely dependent on the wind field and the cloud lifetime. In this particular
497 case, the wind field is not expected to significantly influence the results since it did not
498 present an appreciable change in time or height and there was no distinguishable shear
499 that could cause clouds to move differently at different heights. Considering a very
500 simple advection model the deviation from the wind direction that the AW-RHI scan
501 strategy can have and still sample the same volume will mostly depend on the size of the
502 region that is assumed homogeneous, the wind speed at which clouds propagate and
503 cloud lifecycle. Due to the Ka-SACR beamwidth at a 10km distance from the radar the
504 sampling volume is approximately a cylinder of ~50m diameter, considering this to be
505 the size of the region to be homogeneous, assuming a constant horizontal wind and that
506 cloud elements are advected over the radar domain with the middle point of their
507 lifecycle occurring over the location of the radar then the estimation of the maximum
508 deviation of the radar scan angle from the wind direction can be estimated (Fig. 10).
509 Small errors in the horizontal wind direction could substantially impact the use of this
510 technique, for this particular case study, considering wind speeds slower than 20 m/s
511 (Fig. 2) and cloud lifetime shorter than 10 minutes (Fig. 6), the same cloud volume will
512 be sampled approximately 20 times and capture the evolution of the same parcel only if
513 there is a variation of 1 degree between the scan angle and the wind direction. However,
514 considering a slower advection speed of 10m/s and shorter cloud lifecycles then the

515 disagreement between the cloud propagating and scan angle can be close to 5 degrees and
516 still sample proprieties within the same volume, and of course considering a larger parcel
517 size will also modify these results by allowing a larger disagreement between the angles
518 (i.e., if assuming a homogeneous volume with diameter of 100m, the deviation between
519 the angles can be almost doubled).

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Scanning ARM Cloud Radar (Ka-band)	
Scan type	Along-Wind Horizon-to-Horizon
Nyquist velocity	10.5 ms ⁻¹
Range resolution	20 m
Scan time	~30 sec
PRF	5 kHz
Sensitivity	~ -30 dBZ at 10 km
Frequency	35.29 GHz
Wavelength	8.5 mm

643 Table 1: Ka-band Scanning ARM Cloud Radar (Ka-SACR) technical specifications

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645

646 **7. FIGURE CAPTIONS**

647

648 Figure 1. Map showing the heterogeneous ARM radar network at the SGP facility. Blue
649 rings indicate a 20 km radius around each X-band radar and red ring indicates a 30 km
650 radius around the C-band radar.

651

652 Figure 2. Wind magnitude (a) and direction (b) from radiosonde observations at SGP –
653 CF at 1730 UTC (blue) and 2030 UTC (black) on 25 May 2011.

654

655 Figure 3. Hemispherical view of the cloud field at the Central Facility from the Total Sky
656 Imager (a), reflectivity from the C-SAPR at 2011 UTC (b), X-SAPR at 2010 UTC(c) PPI
657 scan at 1.2° and 1.5° respectively and from Ka-SACR AW-RHI scan at 2010 UTC (d) on
658 25 May 2011 when weak non-precipitating cloud were present over the Central Facility.
659 Blue triangle represents the location of the radar and white dot represents the location of
660 the Central Facility; on panels (b) and (c) black line represents the SACR scan and black
661 circle represents the domain where SE X-SAPR data are collected. Orientation in panel d)
662 is NW on the right and SE on the left.

663

664 Figure 4. Reflectivity from three consecutive Ka-SACR along-wind scans from 19:44 to
665 19:45 UTC (shaded) and cloud's identification number documented by the CITA
666 (Contour). Radar location is depicted by the yellow rectangle and the time of each scan is
667 indicated in the bottom left sector of each sub-panel.

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669 Figure 5. Maximum reflectivity frequency (bin: 2 dB, upper panel) and cloud area cross-
670 section frequency (bin: 0.5 km², lower panel) for different reflectivity detections
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690 calculations for median reflectivity are shown in panels (c) and (d). For (b) and (d) color

691 code indicates the maximum distance between the cloud element outer edge and the radar
692 location [km].

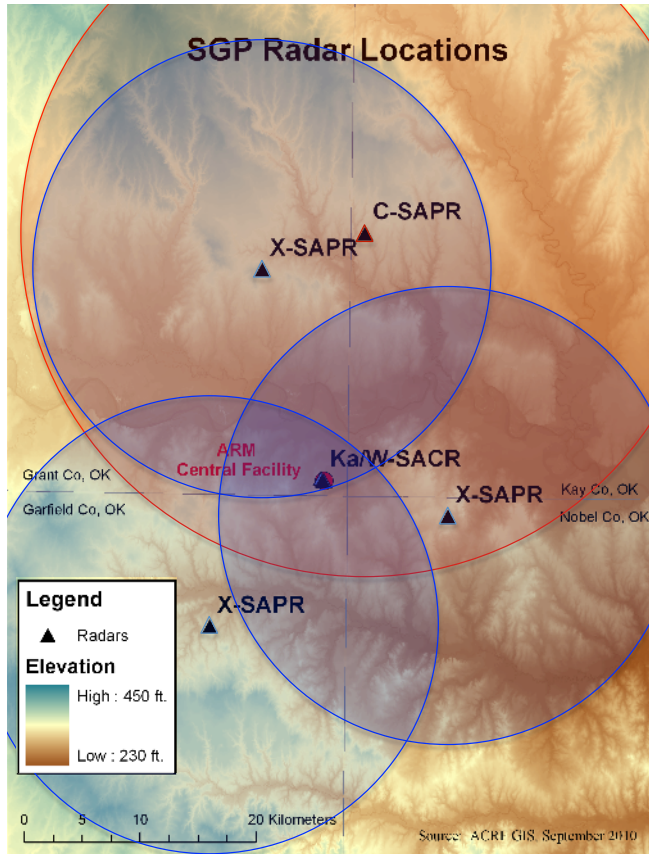
693

694 Figure 10. Maximum deviation of the radar scan angle from the wind direction so
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696 as a function of wind speed and cloud lifetime. The area in the top right corner
697 delimited by the black thick line represents the region where clouds cannot be observed
698 given their time required to sample their full lifecycle, the wind speed, and the domain
699 size.

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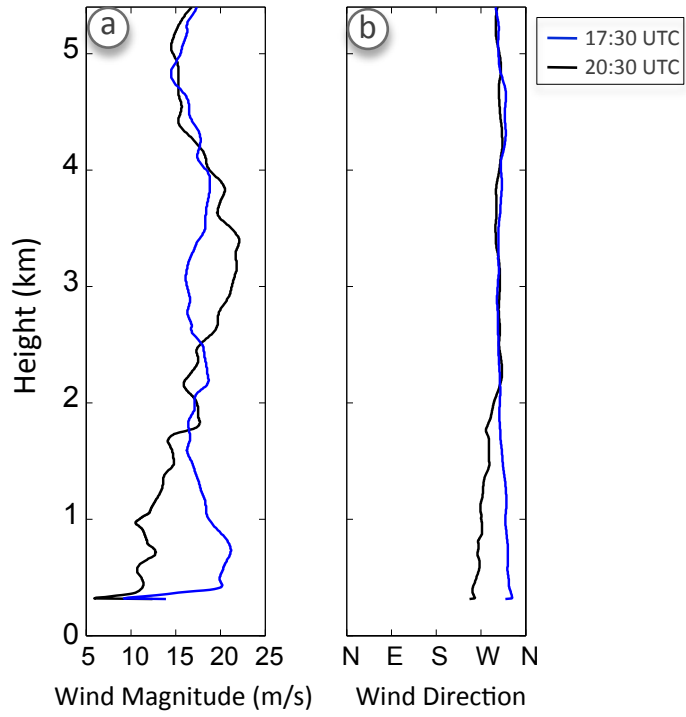
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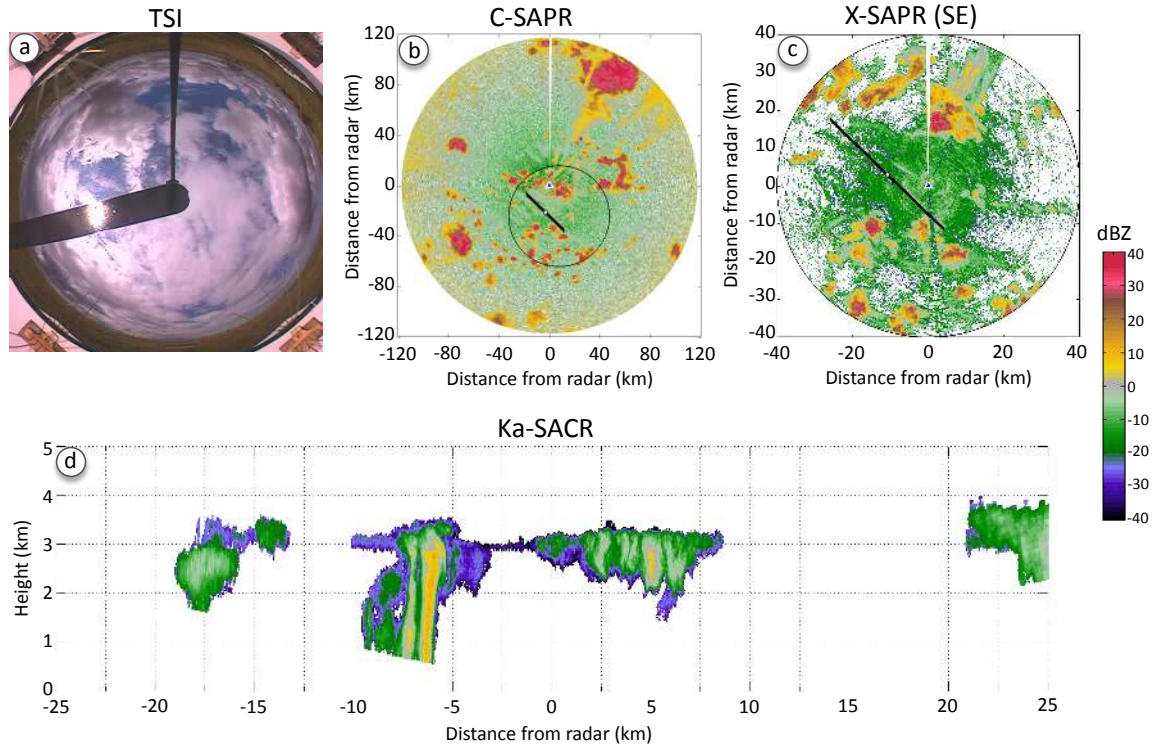
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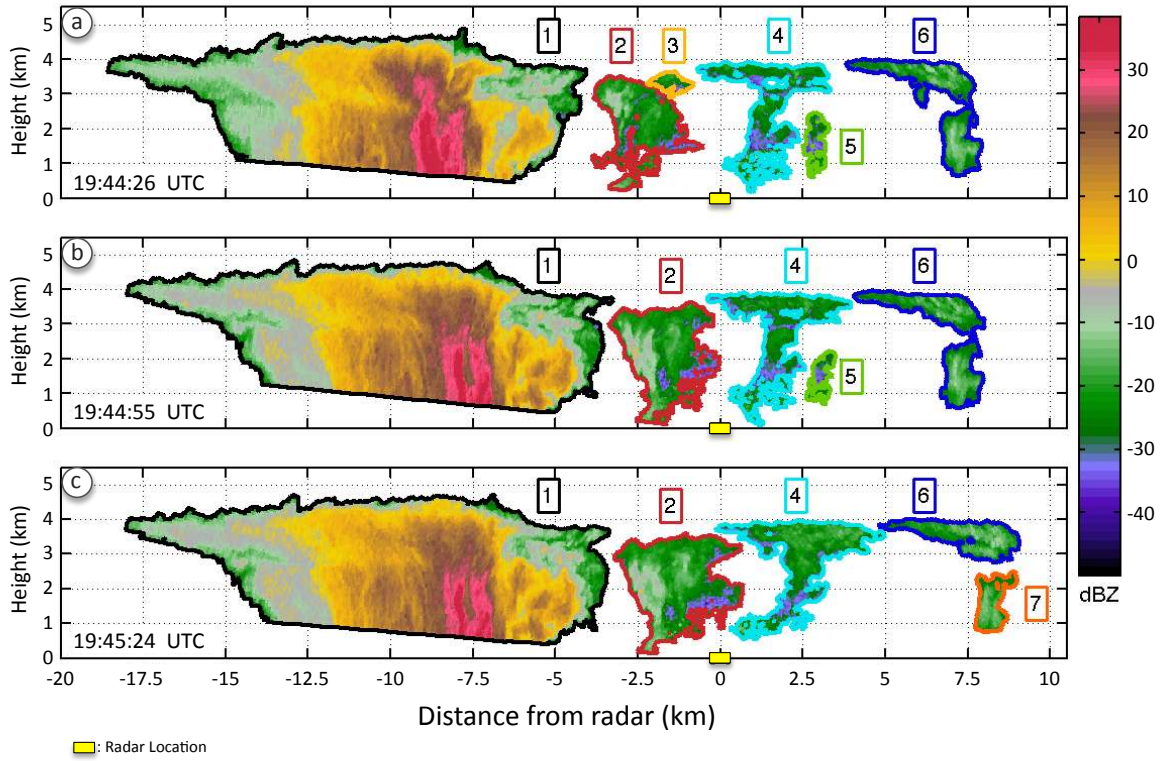
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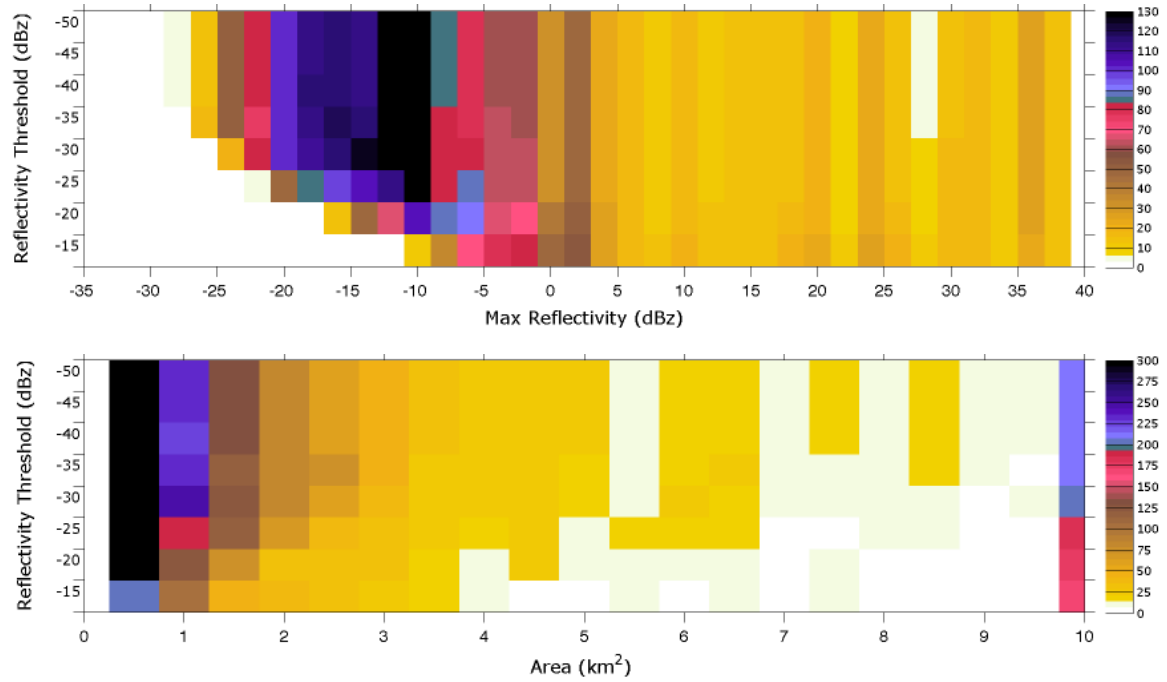
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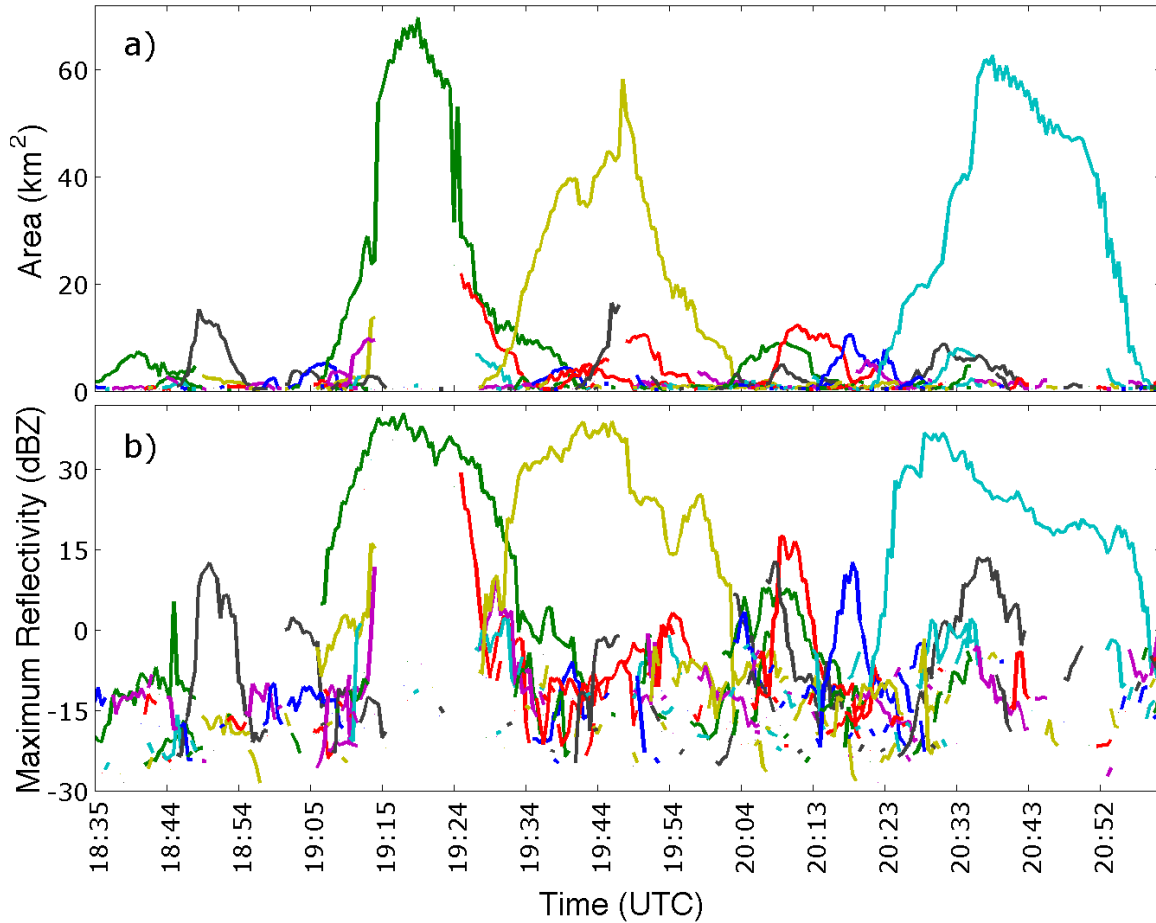
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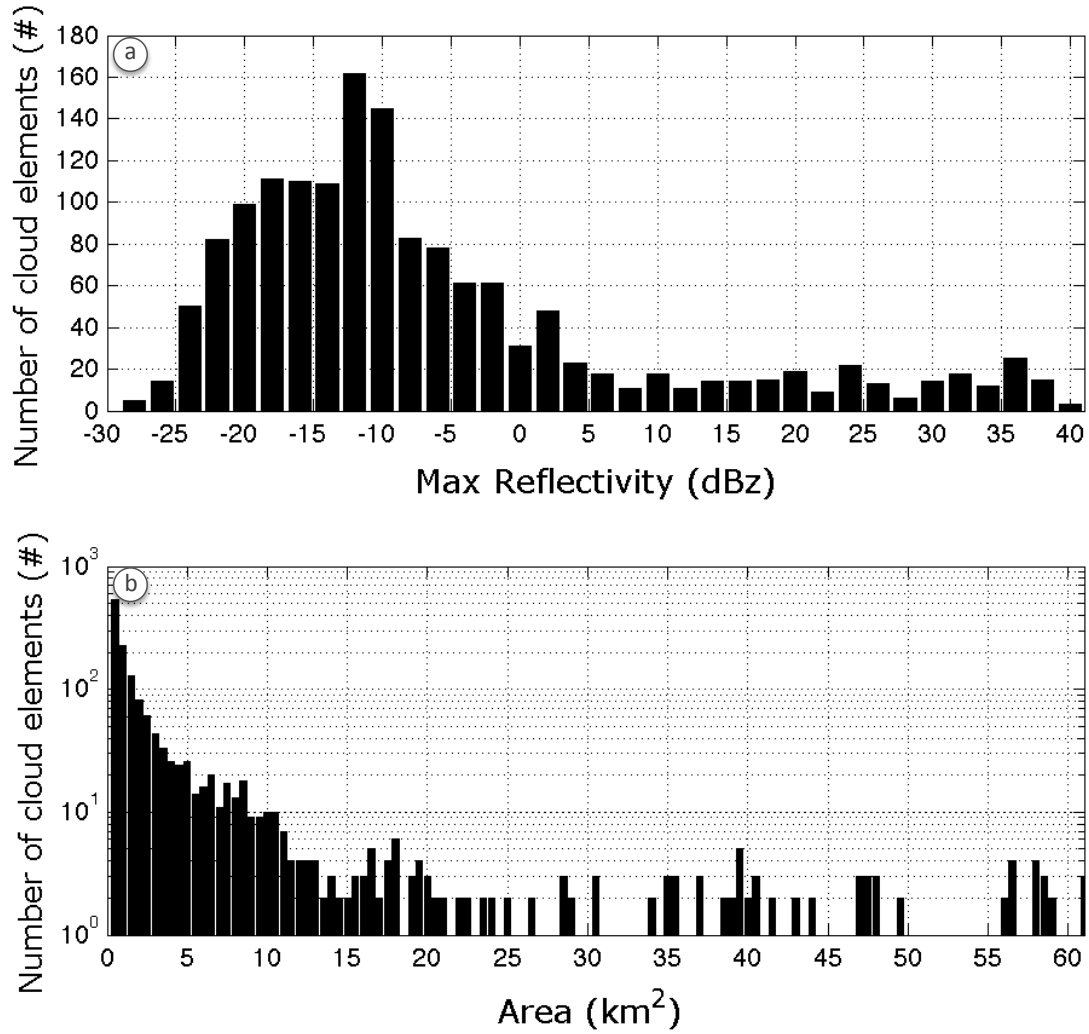
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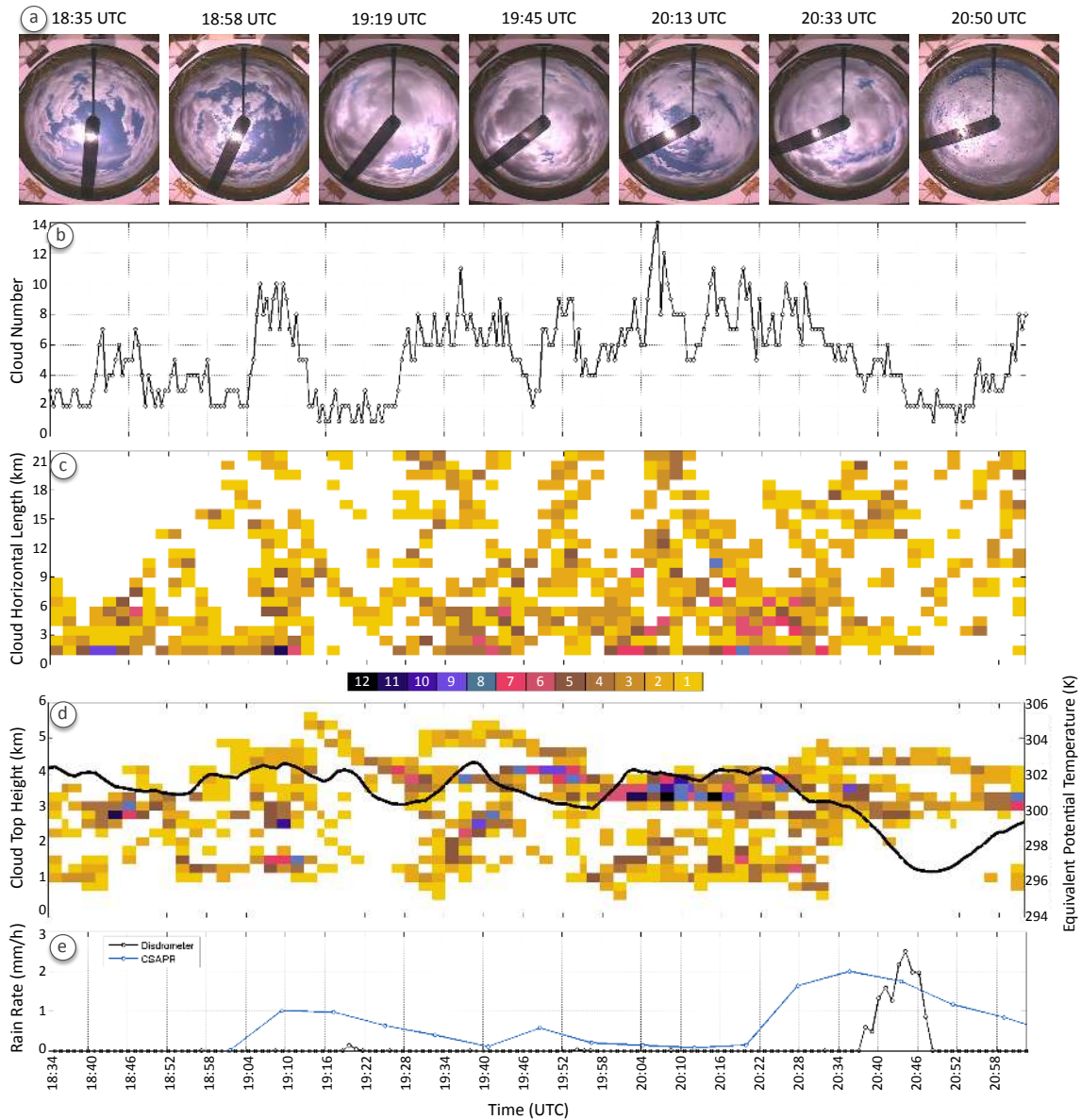
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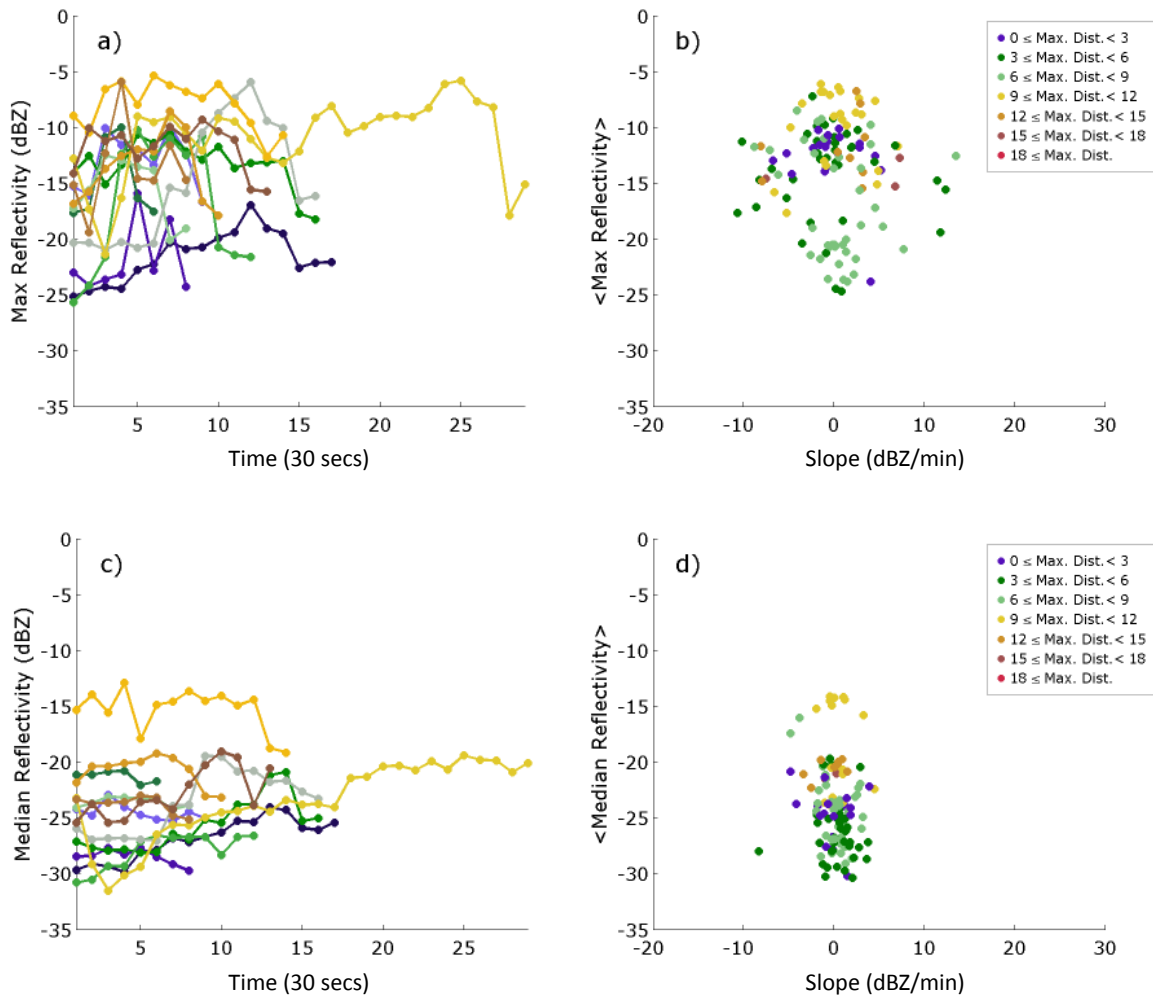
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 770 the CSAPR and the ARM disdrometer (e).

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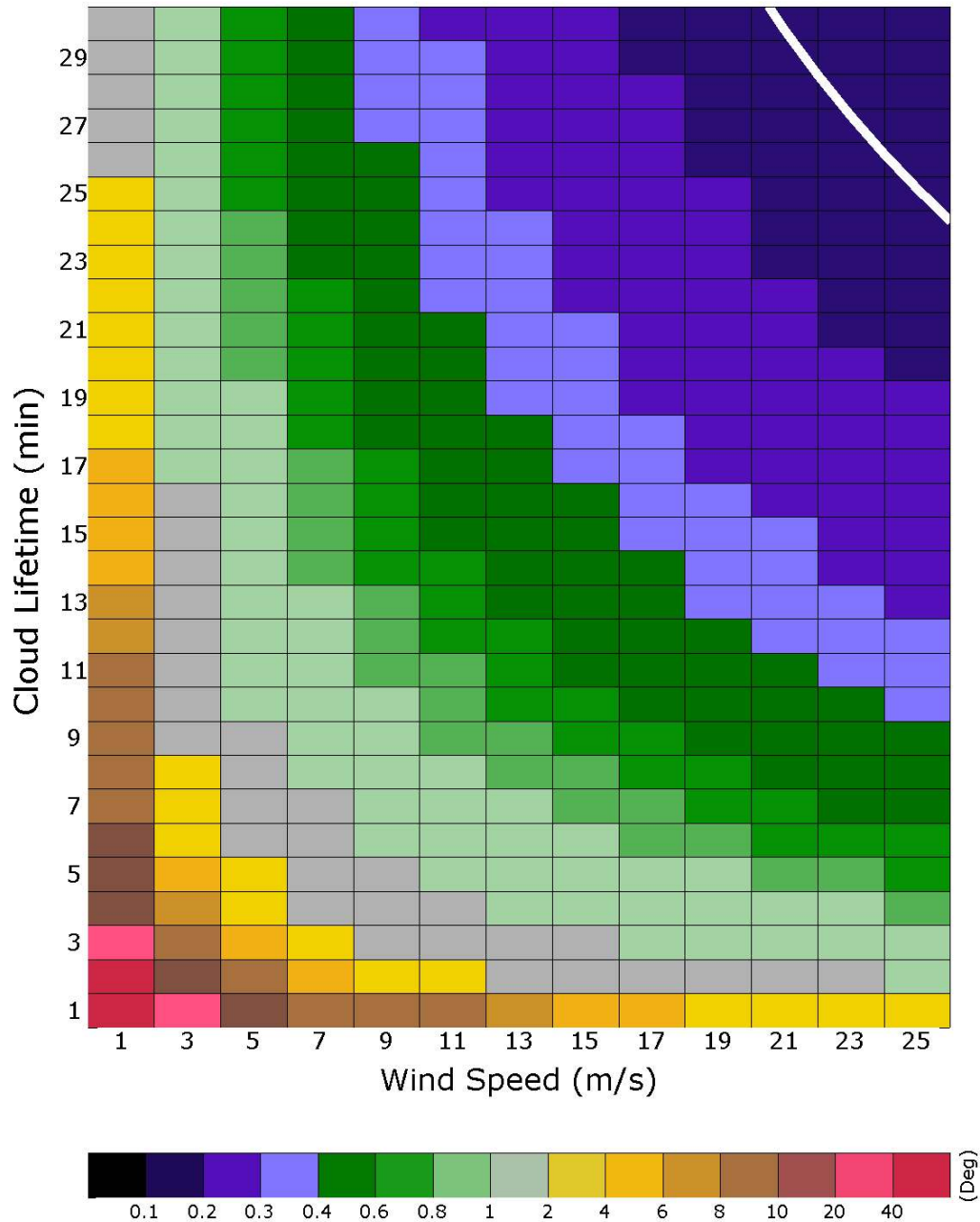
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