FIVE-DIMENSIONAL HOMOGENEOUS CONTACT MANIFOLDS AND RELATED PROBLEMS

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Abstract. We prove that a five-dimensional, compact, simply connected and homogeneous contact manifold is diffeomorphic to S^5 or $S^2 \times S^3$.

1. Introduction. Contact manifolds have been studied extensively. A first class of classical examples is provided by the tangent sphere bundles and a second class by the odd-dimensional spheres. As is well-known, Boothby and Wang extended this last class. They proved that every compact, simply connected, homogeneous contact manifold is a circle bundle over a homogeneous Hodge manifold and conversely, a compact Hodge manifold *B* has a contact manifold canonically associated with it as a circle bundle with *B* as a base space. Further, the contact structures on odd-dimensional spheres are not of the same type as those on tangent sphere bundles. One of the purposes of [6] was to study the circle bundles from the topological viewpoint in order to see when such manifolds were homeomorphic to tangent sphere bundles. In particular, the authors of [6] proved that a simply connected, compact and homogeneous contact manifold of dimension 4r+1, r>1, is homeomorphic to the tangent sphere bundle of a manifold only when it is the Stiefel manifold $V_{2r+2,2}$.

Here we note that a contact manifold M is said to be homogeneous if there is a connected Lie group G acting transitively and effectively as a group of diffeomorphisms on M which leave the contact form invariant. In this context we note that it has been proved in [8] that the sphere is the only simply connected homogeneous contact manifold which can be equipped with an invariant contact metric of positive sectional curvature. Further, the first author proved in [13] that the sphere S^3 is the only compact simply connected three-dimensional manifold which admits a homogeneous contact structure.

In this note we concentrate on the five-dimensional case and complete the results of the first author [13], [14]. More specifically, we prove that any compact, simply connected, five-dimensional and homogeneous contact manifold is diffeomorphic to S^5 or $S^2 \times S^3$. This last manifold is, as is well-known, diffeomorphic to the Stiefel manifold $T^1(S^3)$. In addition, we also consider the non-simply connected case and further we prove two results about compact regular Sasakian manifolds. Finally, we give some new results about the three-dimensional case.

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2. Preliminaries. A contact manifold is a $C^{\infty}(2n+1)$ -dimensional manifold M equipped with a global one-form η such that $\eta \wedge (d\eta)^n \neq 0$. It has an underlying *contact* Riemannian structure (also called a *contact metric structure*) (ξ , φ , η , g) where ξ is a vector field (called the characteristic field), φ a tensor field of type (1, 1) and g a Riemannian metric (called an *associated* metric). These structure tensors satisfy

$$\eta(\xi) = 1$$
, $\varphi^2 = -I + \eta \otimes \xi$, $\eta = g(\xi, \cdot)$, $d\eta = \phi$,

where $\phi(X, Y) = g(X, \phi Y)$ for all tangent vector fields X, Y.

If the almost complex structure J on $M \times R$, defined by

$$J\left(X,f\frac{d}{dt}\right) = \left(\varphi X - f\xi, \eta(X)\frac{d}{dt}\right),$$

where f is a real-valued function, is integrable, then the contact structure is said to be *normal*. A normal contact Riemannian structure is called a *Sasakian structure*. Moreover, a Sasakian manifold whose Ricci tensor ρ has the form

$$\rho = ag + b\eta \otimes \eta$$

where $a = \tau/2n - 1$ and $b = -\tau/2n + 2n + 1$ are constants (τ being the scalar curvature) is said to be an η -Einstein manifold. So, an η -Einstein manifold is an Einstein space if and only if $\tau = 2n(2n+1)$.

A contact manifold M is said to be *regular* if any of its points has a cubical neighborhood such that each integral curve of the characteristic field ξ which passes through it does so only once. If M is *compact* and *regular* it is a principal circle bundle over a symplectic manifold B whose fundamental two-form has integral periods (a Hodge manifold). The corresponding fibration $\pi: M \to B$ is known as the *Boothby-Wang fibration* [6].

Finally, a Sasakian manifold is said to be a homogeneous Sasakian manifold if the structure tensors are invariant under the group of isometries acting transitively on the manifold. Further, a Sasakian manifold is said to be a locally φ -symmetric space [15] if and only if each Kähler manifold which is a base space of a local fibering, is a Hermitian locally symmetric space. A compact and simply connected locally φ -symmetric space is a globally φ -symmetric space and in this case it is a principal S¹-bundle over a Hermitian symmetric space.

We refer to [4], [20] for more details about contact geometry.

3. Homogeneous contact manifolds. We start with the main result of this note. All manifolds are supposed to be connected.

THEOREM 1. Let (M, η) be a compact, simply connected, five-dimensional and homogeneous contact manifold. Then M is diffeomorphic to S^5 or $S^2 \times S^3$. In both cases it is a globally φ -symmetric space with respect to the underlying invariant Sasakian

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structure.

PROOF. Since (M, η) is homogeneous, it follows from [6] (see also [7]) that the contact structure is regular. Further, the base space *B* of the Boothby-Wang fibration $\pi: M \to B$ is a compact simply connected homogeneous Kähler manifold of complex dimension two. Moreover, η defines a connection on *M* whose curvature form is $\pi^*\Omega = d\eta$, where Ω is the fundamental two-form of the Kähler manifold *B*. The underlying homogeneous Sasakian structure (ξ, φ, η, g) on *M* is determined by

$$g = \pi^* h + \eta \otimes \eta ,$$

where h is the Kähler metric of B.

Next, it follows from the explicit classification given in [2], [10] that any compact, simply connected, homogeneous, four-dimensional Kähler manifold is symmetric and hence, B is either $CP^2(\lambda)$ or $CP^1(\lambda_1) \times CP^1(\lambda_2)$, where the complex projective spaces $CP^n(\lambda)$ are endowed with the Fubini-Study metric. This implies that $(M, \xi, \varphi, \eta, g)$ is a globally φ -symmetric space.

When $B = CP^2(\lambda)$, we get at once from the result in [13], [14] that M is diffeomorphic to S^5 .

In the other case, we have for $[\Omega]$ in the cohomology group $H^2(B, \mathbb{Z})$ that

$$[\Omega] = k[\pi_1^*\Omega_1] + l[\pi_2^*\Omega_2]$$

where π_i denotes the projection $\mathbb{C}P^1(\lambda_1) \times \mathbb{C}P^1(\lambda_2) \to \mathbb{C}P^1(\lambda_i)$, $i = 1, 2, \Omega_i$ is a harmonic two-form on $\mathbb{C}P^1(\lambda_i)$ such that $[\Omega_i] \in H^2(\mathbb{C}P^1, \mathbb{Z})$ and k, l are integers. Hence, M is a $P_{k,l}$ [17], [3, p. 471] and since M is simply connected, k and l are relatively prime. Moreover, $P_{k,l}$ is diffeomorphic to $S^3 \times S^3/S^1$, which is diffeomorphic to $S^2 \times S^3$ [17], [1].

This completes the proof of the theorem.

REMARKS. 1. It is well-known that $S^2 \times S^3$ is diffeomorphic to the Stiefel manifold $T^1(S^3)$.

2. A classification of simply connected and complete, five-dimensional globally φ -symmetric spaces is given in [11]. Kowalski communicated to the authors that a part of Theorem 1 may be derived from that classification.

3. For the construction of examples of φ -symmetric structures on $S^2 \times S^3$ we refer to [19] and for an Einstein metric on $S^2 \times S^3$ see [16].

THEOREM 2. Let (M, η) be a compact, five-dimensional and homogeneous contact manifold. Then M is covered by S^5 or $S^2 \times S^3$ with α leaves where $\alpha = \operatorname{card} \pi_1(M)$. Moreover, M is locally φ -symmetric with respect to the underlying invariant Sasakian structure.

PROOF. Since (M, η) is a homogeneous contact manifold, it follows from [7] that M is a homogeneous space for a transitive compact semi-simple Lie group G and

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moreover, it is the total space of a principal circle bundle over a simply connected compact homogeneous Hodge manifold *B*. According to [6], *B* is a simply connected compact homogeneous Kähler manifold. Since *B* has real dimension four, we may conclude as in Theorem 1 that *B* is Hermitian symmetric. Consequently, *M* is a locally φ -symmetric space with respect to the underlying homogeneous Sasakian structure. Next, following the proof of Theorem 6.3 in [15], we conclude that the universal covering space \tilde{M} of M = G/K, *K* being the isotropy subgroup of a point $p_0 \in M$, is a globally φ -symmetric space. Finally, the fundamental group $\pi_1(M)$ is finite abelian (see [7, p. 348]) and therefore \tilde{M} is a covering with α leaves. Since *M* is compact, \tilde{M} is also compact. Hence, \tilde{M} is a compact, globally φ -symmetric space and then the result follows from Theorem 1.

Next, we derive some additional results.

THEOREM 3. Let M be a compact regular Sasakian manifold with constant scalar curvature and non-negative sectional curvature. Then M is a locally φ -symmetric space. When M is in addition simply connected, then it is globally φ -symmetric.

PROOF. Let (B, h) denote the base space of the Boothby-Wang fibration of the Sasakian manifold. The sectional curvatures of (M, g) and (B, h) are related by

$$K(X^*, Y^*) = K(X, Y) \circ \pi - 3\{\phi(X^*, Y^*)\}^2$$

where X^* , Y^* are the horizontal lifts of X, Y (see for example [12], [14]). Hence, the sectional curvatures of (B, h) are non-negative. Moreover, the scalar curvatures are related by

$$\tau(g) = \tau(h) - 4$$

and so, $\tau(h)$ is constant. Then Theorem 1.1 of [9] implies that (B, h) is locally symmetric and so (M, g) is locally φ -symmetric. When M is simply connected, it is globally φ -symmetric.

As corollaries we get:

COROLLARY 4. Let M be a compact regular η -Einstein manifold of dimension ≥ 5 with non-negative sectional curvature. Then M is locally φ -symmetric.

COROLLARY 5. A compact, simply connected, regular Sasakian manifold of dimension five with constant scalar curvature and non-negative sectional curature is diffeomorphic to S^5 or $S^2 \times S^3$.

PROOF. This follows at once from Theorem 1 and Theorem 3.

This corollary extends, in the five-dimensional case, Theorem 1 of [8].

We note that it has been proved in [18] that any five-dimensional, compact, Sasakian Einstein space with non-negative sectional curvature is locally φ -symmetric.

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In the simply connected case it is globally φ -symmetric and then Theorem 1 implies that it must be diffeomorphic to S^5 or $S^2 \times S^3$.

We finish this note with some remarks on three-dimensional contact manifolds.

1. Let (M, η) be a three-dimensional, compact, homogeneous contact manifold. In a way similar to that in Theorem 2 and using Remark 3.1 of [13] we get that M is covered by S^3 with α leaves, where α is again the number of elements in $\pi_1(M)$. Moreover, M is locally φ -symmetric with respect to the underlying invariant Sasakian structure.

2. Let (M, η, g) be a three-dimensional homogeneous Sasakian manifold. Then it is complete and has constant scalar curvature. Hence, it is locally φ -symmetric [18] and following Theorem 6.3 of [15] it is locally isomorphic to a globally φ -symmetric space. Then, (M, η, g) is locally isometric to one of the spaces given in Theorem 11 of [5].

Note that a compact, three-dimensional, homogeneous contact manifold admits a homogeneous Sasakian structure [6] but, if it is non-compact, we do not know if it admits such a structure.

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